

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS**

Department of Enforcement,

Complainant,

V.

**Cameron Beale
CRD No. 5086781**

Respondent.

**DISCIPLINARY PROCEEDING
No. 2007008203401**

Hearing Officer: AWH

**ORDER ACCEPTING OFFER OF
SETTLEMENT**

August 11, 2008

INTRODUCTION

Disciplinary Proceeding No. 2007008203401 was filed on April 24, 2008, by the Department of Enforcement of the Financial Industry Regulatory Authority ("FINRA"). ("Complainant"). The Complaint was amended on July 29, 2008. Respondent Beale has submitted an Offer of Settlement ("Offer") to Complainant. Pursuant to NASD Rule 9270(e), the Complainant and the National Adjudicatory Council ("NAC"), a Review Subcommittee of the NAC, or the Office of Disciplinary Affairs ("ODA") have accepted the uncontested Offer. Accordingly, this Order now is issued pursuant to NASD Rule 9270(e)(3). The findings, conclusions and sanctions set forth in this Order are those stated in the Offer as accepted by the Complainant and approved by the NAC.

Under the terms of the Offer, Respondent has consented, without admitting or denying the allegations of the Amended Complaint, and solely for the purposes of this proceeding and any other proceeding brought by or on behalf of FINRA, or to which FINRA is a party, to the entry

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of findings and violations consistent with the allegations of the Amended Complaint, and to the imposition of the sanctions set forth below, and fully understands that this Order will become part of Respondent's permanent disciplinary record and may be considered in any future actions brought by FINRA.

BACKGROUND

Respondent Beale first became registered with a FINRA (f/k/a NASD) member firm, as a general securities representative, in March 1996. Beale first became registered as a general securities sales supervisor in April 1999. Beale was registered with FINRA member Citigroup Global Markets, Inc., as a general securities representative and general securities sales supervisor, from November 2000 until January 2004. Beale was last registered, as a general securities representative and general securities sales supervisor, with FINRA Member Linsco/Private Ledger Corp. from January 2004 until July 17, 2006. Beale currently is not associated with a FINRA member and has no disciplinary history.

FINDINGS AND CONCLUSIONS

It has been determined that the Offer be accepted and that findings be made as follows:

Respondent Beale failed to respond in a timely manner to FINRA staff requests for information made pursuant to NASD Procedural Rule 8210, in violation of NASD Conduct Rule 2110 and NASD Procedural Rule 8210.

Based on these considerations, the sanctions hereby imposed by the acceptance of the Offer are in the public interest, are sufficiently remedial to deter Respondent from any future misconduct, and represent a proper discharge by FINRA, of its regulatory responsibility under the Securities Exchange Act of 1934.

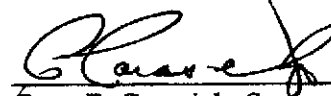
SANCTIONS

It is ordered that Respondent be suspended from association with any FINRA member in all capacities for one year.¹ The sanctions imposed herein shall be effective on a date set by FINRA staff.

SO ORDERED.

FINRA

Signed on behalf of the
Director of ODA, by delegated authority



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¹ On May 5, 2008, Respondent Beale was granted a discharge under Section 727 of Title 11, United States Code (the Bankruptcy Code). Accordingly, no fine is being assessed in this matter.