

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS**

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REGISTRATION & DISCLOSURE

Department of Enforcement,

Complainant,

V.

Craig Edward Massey
CRD No. 4076167

Respondent.

DISCIPLINARY PROCEEDING
No. 2007008374401

Hearing Officer: SW

**ORDER ACCEPTING OFFER OF
SETTLEMENT**

November 26, 2007

INTRODUCTION

Disciplinary Proceeding No. 2007008374401 was filed on October 24, 2007, by the Department of Enforcement of the Financial Industry Regulatory Authority ("FINRA") ("Complainant"). Respondent Massey submitted an Offer of Settlement ("Offer") to Complainant on November 15, 2007. Pursuant to NASD Rule 9270(e), the Complainant and the National Adjudicatory Council ("NAC"), a Review Subcommittee of the NAC, or the Office of Disciplinary Affairs ("ODA") have accepted the uncontested Offer. Accordingly, this Order now is issued pursuant to NASD Rule 9270(e)(3). The findings, conclusions and sanctions set forth in this Order are those stated in the Offer as accepted by the Complainant and approved by the NAC.

Under the terms of the Offer, Respondent has consented, without admitting or denying the allegations of the Complaint, and solely for the purposes of this proceeding and any other proceeding brought by or on behalf of FINRA, or to which FINRA is a party, to the entry of findings and violations consistent with the allegations of the Complaint, and to the imposition of

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the sanctions set forth below, and fully understands that this Order will become part of Respondent's permanent disciplinary record and may be considered in any future actions brought by FINRA.

BACKGROUND

Respondent Massey entered the securities industry in October 1999 with a FINRA (f/k/a NASD) member firm and became registered as a general securities representative in January 2000. He was registered as a general securities representative with FINRA member Ameritas Investment Corporation from May 25, 2006, until March 9, 2007. His employment end date with Ameritas was March 9, 2007. FINRA retains jurisdiction over Massey pursuant to Article V, Section 4 of FINRA By-laws.

FINDINGS AND CONCLUSIONS

It has been determined that the Offer be accepted and that findings be made as follows:

1. Respondent Massey willfully failed to amend his Form U4 to disclose a regulatory action taken by the State of Michigan, and the signing of a Consent Order in connection with that action, which are material facts, in violation of NASD Conduct Rule 2110, NASD Membership and Registration Rule IM-1000-1, and Article V, Section 2 of FINRA By-laws.
2. Respondent Massey failed to respond to two FINRA staff requests for information concerning his failure to amend his Form U4, in violation of NASD Conduct Rule 2110 and Procedural Rule 8210.

Based on these considerations, the sanctions hereby imposed by the acceptance of the Offer are in the public interest, are sufficiently remedial to deter Respondent from any future misconduct, and represent a proper discharge by FINRA, of its regulatory responsibility under the Securities Exchange Act of 1934.

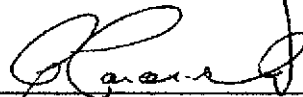
SANCTIONS

It is ordered that Respondent Massey be barred from association with any FINRA member in all capacities. Pursuant to IM-8310-3(e), a bar shall become effective upon approval or acceptance of this Order.

SO ORDERED.

FINRA

Signed on behalf of the
Director of ODA, by delegated authority

A handwritten signature in black ink, appearing to read "Gene E. Carasick", is written over a horizontal line.

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