

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS**

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REGISTRATION & DISCLOSURE

Department of Enforcement,

Complainant,

v.

Glenn F. Bruce, Jr.
CRD No. 4598002,

Respondent.

DISCIPLINARY PROCEEDING
No. 2007009269801

HEARING OFFICER: LBB

ORDER ACCEPTING OFFER OF SETTLEMENT

MAY 22, 2008

INTRODUCTION

Disciplinary Proceeding No. 2007009269801 was filed on April 1, 2008, by the Department of Enforcement of the Financial Industry Regulatory Authority ("FINRA"). ("Complainant"). Respondent Bruce submitted an Offer of Settlement ("Offer") to Complainant on May 7, 2008. Pursuant to NASD Rule 9270(e), the Complainant and the National Adjudicatory Council ("NAC"), a Review Subcommittee of the NAC, or the Office of Disciplinary Affairs ("ODA") have accepted the uncontested Offer. Accordingly, this Order now is issued pursuant to NASD Rule 9270(e)(3). The findings, conclusions and sanctions set forth in this Order are those stated in the Offer as accepted by the Complainant and approved by the NAC.

Under the terms of the Offer, Respondent has consented, without admitting or denying the allegations of the Complaint, and solely for the purposes of this proceeding and any other proceeding brought by or on behalf of FINRA, or to which FINRA is a party, to the entry of findings and violations consistent with the allegations of the Complaint, and to the imposition of the sanctions set forth below, and fully understands that this Order will become part of

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Respondent's permanent disciplinary record and may be considered in any future actions brought by FINRA.

BACKGROUND

Glenn Ferrell Bruce, Jr. first became registered, as an investment company and variable contracts products representative, with FINRA member Horace Mann Investors, Inc., in March 2003. His registration with Horace Mann was terminated on June 1, 2007. Bruce currently is not registered with a FINRA member and has no disciplinary history. FINRA retains jurisdiction over Respondent Bruce pursuant to Article V, Section 4 of FINRA By-laws.

FINDINGS AND CONCLUSIONS

It has been determined that the Offer be accepted and that findings be made as follows:

1. On December 5, 2006, while registered with FINRA member Horace Mann Investors, Respondent Bruce became employed as a regional plant engineer with Potters Industries, Inc., at an annual salary of \$97,500. Bruce did not give prompt written notice of his outside employment to Horace Mann.
2. In addition, on or about April 25, 2007, Respondent Bruce completed a Horace Mann outside business activity questionnaire and failed to disclose the engineering job.
3. Respondent Bruce's failure to provide prompt written notice to Horace Mann of his employment with Potter Industries constitutes violations of NASD Conduct Rules 2110 and 3030 by Respondent Bruce.

Based on these considerations, the sanctions hereby imposed by the acceptance of the Offer are in the public interest, are sufficiently remedial to deter Respondent from any future misconduct,

and represent a proper discharge by FINRA, of its regulatory responsibility under the Securities Exchange Act of 1934.

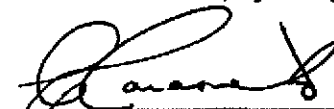
SANCTIONS

It is ordered that Respondent be fined \$5,000 and suspended from association with any FINRA member in all capacities for one month. The sanctions imposed herein shall be effective on a date set by FINRA staff.

SO ORDERED.

FINRA

Signed on behalf of the
Director of ODA, by delegated authority

A handwritten signature in black ink, appearing to read "Gene E. Carasick", is written over a horizontal line.

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