

FINANCIAL INDUSTRY REGULATORY AUTHORITY
LETTER OF ACCEPTANCE, WAIVER AND CONSENT
NO. 20070108752-01

TO: Department of Market Regulation
Financial Industry Regulatory Authority ("FINRA")

RE: Citadel Securities LLC, Respondent
Broker-Dealer
CRD No. 116797

Pursuant to FINRA Rule 9216 of FINRA's Code of Procedure, Citadel Securities LLC ("CDRG" or the "firm") submits this Letter of Acceptance, Waiver and Consent ("AWC") for the purpose of proposing a settlement of the alleged rule violations described below. This AWC is submitted on the condition that, if accepted, FINRA will not bring any future actions against the firm alleging violations based on the same factual findings described herein.

I.

ACCEPTANCE AND CONSENT

- A. The firm hereby accepts and consents, without admitting or denying the findings, and solely for the purposes of this proceeding and any other proceeding brought by or on behalf of FINRA, or to which FINRA is a party, prior to a hearing and without an adjudication of any issue of law or fact, to the entry of the following findings by FINRA:

BACKGROUND

CDRG has been a member of FINRA since February 25, 2005, and its registration remains in effect.

RELEVANT DISCIPLINARY HISTORY

The firm has no relevant disciplinary history.

FACTS AND VIOLATIVE CONDUCT

In Market Regulation Review No. 20070108752, Market Regulation staff conducted a review of CDRG's compliance with NASD Rules 2110, 2111(a) and 2320 for trade date August 17, 2007.

In Market Regulation Review No. 20080150970, Market Regulation staff conducted a review of CDRG's compliance with NASD Rule 2320 for the review period November 28, 2007 through September 29, 2008.

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1. On August 17, 2007, the AMEX Stock Exchange ("AMEX") opened for equity trading at 10:40 a.m. (EST) -- approximately 70 minutes later than its regular opening of 9:30 a.m. -- due to system problems. At 9:23 a.m., the AMEX announced that its transmission lines were shut down and that all orders transmitted to the AMEX would be rejected. At the time of this event, CDRG had no written supervisory procedures to address how the firm would handle customer orders in the event that a primary market failed to open for trading, or was unavailable for trading. With the exception of a few securities that CDRG decided to begin trading on a manual basis, CDRG followed its regular practice on August 17, 2007, and handled all customer orders on a fully automated basis. Regular orders (*i.e.*, market orders, day, and GTC limit orders) received prior to the opening of the AMEX were routed, on a riskless principal basis, to the AMEX to participate in the exchange's opening process. CDRG held the customer orders in-house on its book, and sent identical representative orders to the AMEX floor for execution. Relying on this procedure, CDRG continued to accept new customer orders, and to send representative orders to the AMEX, after receiving notice at 9:23 a.m. that the AMEX was rejecting all new orders.
2. CDRG failed to establish, maintain and enforce a system of supervision and written supervisory procedures reasonably designed to address the handling of customer orders during market-disrupting events. Specifically, CDRG's supervisory system did not include written supervisory procedures concerning the prompt and fair handling of customer orders in instances where a primary market fails to open for trading, or the market is unavailable for trading. Based on these facts, CDRG violated NASD Rules 2110 and 3010.
3. The firm failed to preserve for a period of not less than three years, the first two in an accessible place, memoranda of the cancellation of 1,195 brokerage orders. Based on these facts, CDRG violated SEC Rule 17a-4 and NASD Rule 3110.

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4. In 1,587 transactions for or with a customer, the firm failed to use reasonable diligence to ascertain the best inter-dealer market and failed to buy or sell in such market so that the resultant price to its customer was as favorable as possible under prevailing market conditions, as reflected on Exhibits A and B attached hereto. The conduct described in this paragraph constitutes separate and distinct violations of NASD Rules 2110 and 2320.

B. The firm also consents to the imposition, at a maximum, of the following sanctions:

A censure and fine of \$215,000 (consisting of \$75,000 for violations of NASD Rules 2110 and 3010, and \$15,000 for violations of SEC Rule 17a-4 and NASD Rule 3110 in Review No. 20070108752; and \$125,000 for violations of NASD Rules 2110 and 2320 in Review No. 20080150970); **an undertaking to revise the firm's written supervisory procedures** with respect to the area described in paragraphs one and two above; and with respect to Review No. 20080150970 **restitution of \$239,582.12 to the customers associated with the transactions identified on Exhibits A and B** attached hereto, plus interest at the rate set forth in Section 6621(a) of the Internal Revenue Code, 26 U.S.C. 6621(a)(2), from the date of the violative conduct until the date this AWC is accepted by the National Adjudicatory Council ("NAC").

Within 30 business days of acceptance of this AWC by the NAC, a registered principal of the Respondent shall submit to the COMPLIANCE ASSISTANT, LEGAL SECTION, MARKET REGULATION DEPARTMENT, 9509 KEY WEST AVENUE, ROCKVILLE, MD 20850, a signed, dated letter, or an e-mail from a work-related account of the registered principal to MarketRegulationComp@finra.org, providing the following information: (1) a reference to this matter; (2) a representation that the firm has revised its written supervisory procedures to address the deficiencies described in paragraphs one and two above, and (3) the date the revised procedures were implemented.

In addition, a registered principal of the firm shall submit satisfactory proof of payment of the restitution, or of reasonable and documented efforts undertaken to effect restitution, to the COMPLIANCE ASSISTANT, LEGAL SECTION, MARKET REGULATION DEPARTMENT, 9509 KEY WEST AVENUE, ROCKVILLE, MD 20850 or in an e-mail from a work-related account of the registered principal to MarketRegulationComp@finra.org, no later than 120 days after acceptance of this AWC. If for any reason, Respondent cannot locate any customer after reasonable and documented efforts within such period, or such additional period agreed to by the staff, Respondent shall forward any undistributed restitution and interest to the appropriate escheat, unclaimed-property or abandoned-property fund for the state in which the customer is known to have last resided.

The firm agrees to pay the monetary sanctions upon notice that this AWC has been accepted and that such payments are due and payable. It has submitted an Election of Payment form showing the method by which it proposes to pay the fine imposed.

The firm specifically and voluntarily waives any right to claim that it is unable to pay, now or at any time hereafter, the monetary sanction(s) imposed in this matter.

The sanctions imposed herein shall be effective on a date set by FINRA staff.

II.

WAIVER OF PROCEDURAL RIGHTS

The firm specifically and voluntarily waives the following rights granted under FINRA's Code of Procedure:

- A. To have a Complaint issued specifying the allegations against the firm;
- B. To be notified of the Complaint and have the opportunity to answer the allegations in writing;
- C. To defend against the allegations in a disciplinary hearing before a hearing panel, to have a written record of the hearing made and to have a written decision issued; and
- D. To appeal any such decision to the NAC and then to the U.S. Securities and Exchange Commission and a U.S. Court of Appeals.

Further, the firm specifically and voluntarily waives any right to claim bias or prejudgment of the General Counsel, the NAC, or any member of the NAC, in connection with such person's or body's participation in discussions regarding the terms and conditions of this AWC, or other consideration of this AWC, including acceptance or rejection of this AWC.

The firm further specifically and voluntarily waives any right to claim that a person violated the ex parte prohibitions of FINRA Rule 9143 or the separation of functions prohibitions of FINRA Rule 9144, in connection with such person's or body's participation in discussions regarding the terms and conditions of this AWC, or other consideration of this AWC, including its acceptance or rejection.

III.

OTHER MATTERS

The firm understands that:

- A. Submission of this AWC is voluntary and will not resolve this matter unless and until it has been reviewed and accepted by the NAC, a Review Subcommittee of the NAC, or the Office of Disciplinary Affairs ("ODA"), pursuant to FINRA Rule 9216;
- B. If this AWC is not accepted, its submission will not be used as evidence to prove any of the allegations against the firm; and
- C. If accepted:
 - 1. this AWC will become part of the firm's permanent disciplinary record and may be considered in any future actions brought by FINRA or any other regulator against the firm;
 - 2. this AWC will be made available through FINRA's public disclosure program in response to public inquiries about the firm's disciplinary record;
 - 3. FINRA may make a public announcement concerning this agreement and the subject matter thereof in accordance with FINRA Rule 8313; and
 - 4. The firm may not take any action or make or permit to be made any public statement, including in regulatory filings or otherwise, denying, directly or indirectly, any finding in this AWC or create the impression that the AWC is without factual basis. The firm may not take any position in any proceeding brought by or on behalf of FINRA, or to which FINRA is a party, that is inconsistent with any part of this AWC. Nothing in this provision affects the firm's: (i) testimonial obligations; or (ii) right to take legal or factual positions in litigation or other legal proceedings in which FINRA is not a party.
- D. The firm may attach a Corrective Action Statement to this AWC that is a statement of demonstrable corrective steps taken to prevent future misconduct. The firm understands that it may not deny the charges or make any statement that is inconsistent with the AWC in this Statement. This Statement does not constitute factual or legal findings by FINRA, nor does it reflect the views of FINRA or its staff.

The undersigned, on behalf of the firm, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that it has agreed to the AWC's provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth herein and the prospect of avoiding the issuance of a Complaint, has been made to induce the firm to submit it.

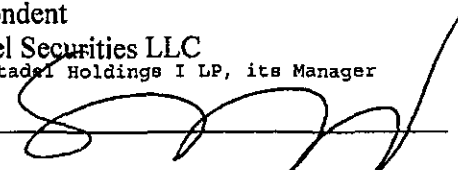
April 9, 2013

Date

Respondent

Citadel Securities LLC

By: Citadel Holdings I LP, its Manager

By: 

Name:

SHAWN FAGAN

Title:

Authorized Signatory

Reviewed by:

Attorney Name

Counsel for Respondent

Firm Name

Address

City/State/Zip

Phone Number

Accepted by FINRA:

Date

5/28/13

Signed on behalf of the

Director of ODA, by delegated authority



Thomas R. Gira

Executive Vice President

Department of Market Regulation

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell/Cross	Entered Quantity	Price	Issue	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
1	1	9/29/2008	CDRG	P	B	500	55.3	QID	09:52:08	09:52:08	273201716B00	55.36	55.3	\$30.00
2	2	9/29/2008	CDRG	P	B	1000	55.29	QID	09:52:26	09:52:26	273001753000	55.35	55.3	\$60.00
3	5	9/29/2008	CDRG	P	B	100	55.3	QID	09:52:51	09:52:51	2736017AC900	55.52	55.3	\$22.00
4	4	9/29/2008	CDRG	P	B	1100	55.3	QID	09:52:51	09:52:51	2736017ACA00	55.52	55.3	\$242.00
5	7	9/29/2008	CDRG	P	B	50	55.3	QID	09:53:14	09:53:14	273401807700	55.59	55.3	\$14.50
6	6	9/29/2008	CDRG	P	B	70	55.3	QID	09:53:14	09:53:14	273001808D00	55.59	55.3	\$20.30
7	9	9/29/2008	CDRG	P	B	180	55.3	QID	09:53:14	09:53:14	273201809600	55.59	55.3	\$52.20
8	8	9/29/2008	CDRG	P	B	200	55.3	QID	09:53:14	09:53:14	273801808300	55.59	55.3	\$58.00
9	10	9/29/2008	CDRG	P	B	500	55.3	QID	09:53:14	09:53:14	273601808900	55.59	55.3	\$145.00
10	12	9/29/2008	CDRG	P	B	80	55.29	QID	09:54:03	09:54:03	2732018C6800	55.82	55.3	\$42.40
11	11	9/29/2008	CDRG	P	B	200	55.29	QID	09:54:03	09:54:03	2732018C6600	55.82	55.3	\$106.00
12	14	9/29/2008	CDRG	P	B	300	55.29	QID	09:54:03	09:54:03	2738018C5600	55.82	55.3	\$159.00
13	13	9/29/2008	CDRG	P	B	420	55.29	QID	09:54:03	09:54:03	2738018C5300	55.82	55.3	\$222.60
14	15	9/29/2008	CDRG	P	B	400	55.29	QID	09:54:26	09:54:26	27320192B200	55.86	55.3	\$228.00
15	16	9/29/2008	CDRG	P	B	30	55.29	QID	09:55:11	09:55:11	273001A14200	55.96	55.3	\$20.10
16	17	9/29/2008	CDRG	P	B	250	55.29	QID	09:55:21	09:55:21	273401A45D00	56.01	55.3	\$180.00
17	18	9/29/2008	CDRG	P	B	200	55.29	QID	09:55:37	09:55:37	273001A92900	56.05	55.3	\$152.00
18	19	9/29/2008	CDRG	P	B	300	55.29	QID	09:55:44	09:55:44	273601AB3300	56.16	55.3	\$261.00
19	20	9/29/2008	CDRG	P	B	30	55.29	QID	09:56:17	09:56:17	273401B4EE00	56.04	55.3	\$22.50
20	21	9/29/2008	CDRG	P	B	50	55.29	QID	09:56:18	09:56:18	273001B58200	56.03	55.3	\$37.00
21	23	9/29/2008	CDRG	P	B	11	55.29	QID	09:56:22	09:56:22	273001B69200	56.00	55.3	\$7.81
22	24	9/29/2008	CDRG	P	B	339	55.29	QID	09:56:22	09:56:22	273401B67D00	56.00	55.3	\$240.69
23	22	9/29/2008	CDRG	P	B	450	55.29	QID	09:56:22	09:56:22	273201B69900	56.00	55.3	\$319.50
24	25	9/29/2008	CDRG	P	B	100	55.29	QID	09:56:24	09:56:24	273001B73A00	55.99	55.3	\$70.00
25	26	9/29/2008	CDRG	P	B	60	55.29	QID	09:56:26	09:56:26	273601B7D000	55.96	55.3	\$40.20
26	27	9/29/2008	CDRG	P	B	100	55.29	QID	09:56:29	09:56:29	273801B8C400	55.90	55.3	\$61.00
27	29	9/29/2008	CDRG	P	B	100	55.29	QID	09:56:29	09:56:29	273401B87A00	55.90	55.3	\$61.00
28	28	9/29/2008	CDRG	P	B	149	55.29	QID	09:56:29	09:56:29	273801B8C500	55.90	55.3	\$90.89
29	31	9/29/2008	CDRG	P	B	150	55.29	QID	09:56:29	09:56:29	273401B8AC00	55.90	55.3	\$91.50
30	30	9/29/2008	CDRG	P	B	751	55.29	QID	09:56:29	09:56:29	273401B8B600	55.90	55.3	\$458.11
31	32	9/29/2008	CDRG	P	B	400	55.29	QID	09:56:30	09:56:30	273601B92900	55.90	55.3	\$244.00
32	34	9/29/2008	CDRG	P	B	10	55.29	QID	09:56:37	09:56:37	273801B80100	55.88	55.3	\$5.90
33	33	9/29/2008	CDRG	P	B	1500	55.29	QID	09:56:37	09:56:37	273801B81A00	55.88	55.3	\$885.00
34	35	9/29/2008	CDRG	P	B	25	55.29	QID	09:56:41	09:56:41	273801BC0A00	55.99	55.3	\$17.50
35	36	9/29/2008	CDRG	P	B	200	55.29	QID	09:56:48	09:56:48	273601BDD800	56.09	55.3	\$160.00
36	37	9/29/2008	CDRG	P	B	48	55.29	QID	09:56:52	09:56:52	273001BEAD00	56.10	55.3	\$38.88
37	38	9/29/2008	CDRG	P	B	500	55.29	QID	09:56:53	09:56:53	273401BECE00	56.10	55.3	\$405.00
38	41	9/29/2008	CDRG	P	B	1168	55.29	QID	09:56:54	09:56:54	273601BF2D00	56.10	55.3	\$946.08
39	40	9/29/2008	CDRG	P	B	1500	55.29	QID	09:56:54	09:56:54	273001BF3200	56.10	55.3	\$1,215.00
40	39	9/29/2008	CDRG	P	B	2332	55.29	QID	09:56:55	09:56:54	273201BF3800	56.10	55.3	\$1,888.92
41	42	9/29/2008	CDRG	P	B	324	55.29	QID	09:57:16	09:57:16	273001C47200	55.98	55.3	\$223.56
42	44	9/29/2008	CDRG	P	B	352	55.29	QID	09:57:16	09:57:16	273801C46800	55.98	55.3	\$242.88
43	43	9/29/2008	CDRG	P	B	1124	55.29	QID	09:57:16	09:57:16	273201C47700	55.98	55.3	\$775.56
44	45	9/29/2008	CDRG	P	B	100	55.29	QID	09:57:23	09:57:23	273801C66300	55.91	55.3	\$62.00
45	47	9/29/2008	CDRG	P	B	24	55.29	QID	09:57:27	09:57:27	273201C77A00	55.89	55.3	\$14.40
46	46	9/29/2008	CDRG	P	B	76	55.29	QID	09:57:27	09:57:27	273201C77700	55.89	55.3	\$45.60
47	48	9/29/2008	CDRG	P	B	650	55.29	QID	09:57:27	09:57:27	273401C75A00	55.89	55.3	\$390.00
48	49	9/29/2008	CDRG	P	B	400	55.29	QID	09:57:33	09:57:33	273201C93D00	55.89	55.3	\$240.00
49	51	9/29/2008	CDRG	P	B	14	55.29	QID	09:57:34	09:57:34	273401C96800	55.88	55.3	\$8.26
50	50	9/29/2008	CDRG	P	B	336	55.29	QID	09:57:34	09:57:34	273801C97400	55.88	55.3	\$198.24
51	54	9/29/2008	CDRG	P	B	86	55.29	QID	09:57:40	09:57:40	273001CAF100	55.90	55.3	\$52.46
52	53	9/29/2008	CDRG	P	B	100	55.29	QID	09:57:40	09:57:40	273401CB0300	55.90	55.3	\$61.00
53	55	9/29/2008	CDRG	P	B	414	55.29	QID	09:57:40	09:57:40	273601CAF100	55.90	55.3	\$252.54
54	52	9/29/2008	CDRG	P	B	2000	55.29	QID	09:57:40	09:57:40	273601CAF000	55.90	55.3	\$1,220.00
55	57	9/29/2008	CDRG	P	B	14	55.29	QID	09:57:41	09:57:41	273801CB4C00	55.93	55.3	\$8.96
56	56	9/29/2008	CDRG	P	B	486	55.29	QID	09:57:41	09:57:41	273601CB5200	55.93	55.3	\$311.04
57	58	9/29/2008	CDRG	P	B	350	55.29	QID	09:57:45	09:57:45	273401CBF100	55.92	55.3	\$220.50
58	59	9/29/2008	CDRG	P	B	200	55.29	QID	09:57:47	09:57:47	273401CC3600	55.95	55.3	\$132.00
59	60	9/29/2008	CDRG	P	B	42	55.29	QID	09:57:50	09:57:50	273401CCDC00	56.00	55.3	\$29.82
60	61	9/29/2008	CDRG	P	B	394	55.29	QID	09:57:52	09:57:52	273401CD4600	56.00	55.3	\$279.74

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell/ Cross	Entered Quantity	Price	Issue	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
61	62	9/29/2008	CDRG	P	B	706	55.29	QID	09:57:52	09:57:52	273601CD5A00	56.00	55.3	\$501.26
62	63	9/29/2008	CDRG	P	B	200	55.29	QID	09:57:54	09:57:54	273801CDAB00	56.01	55.3	\$144.00
63	64	9/29/2008	CDRG	P	B	44	55.29	QID	09:57:55	09:57:55	273201CDEB00	56.01	55.3	\$31.68
64	66	9/29/2008	CDRG	P	B	50	55.29	QID	09:57:59	09:57:59	273201CEB100	55.95	55.3	\$33.00
65	67	9/29/2008	CDRG	P	B	70	55.29	QID	09:57:59	09:57:59	273401CE9400	55.95	55.3	\$46.20
66	65	9/29/2008	CDRG	P	B	320	55.29	QID	09:57:59	09:57:59	273401CE9500	55.95	55.3	\$211.20
67	71	9/29/2008	CDRG	P	B	89	55.29	QID	09:58:07	09:58:07	273601D05400	55.88	55.3	\$52.51
68	70	9/29/2008	CDRG	P	B	200	55.29	QID	09:58:07	09:58:07	273001D05700	55.88	55.3	\$118.00
69	69	9/29/2008	CDRG	P	B	211	55.29	QID	09:58:07	09:58:07	273401D04100	55.88	55.3	\$124.49
70	68	9/29/2008	CDRG	P	B	469	55.29	QID	09:58:07	09:58:07	273401D04600	55.88	55.3	\$276.71
71	72	9/29/2008	CDRG	P	B	3531	55.29	QID	09:58:07	09:58:07	273601D05A00	55.88	55.3	\$2,083.29
72	73	9/29/2008	CDRG	P	B	300	55.29	QID	09:58:09	09:58:09	273201D11700	55.85	55.3	\$168.00
73	74	9/29/2008	CDRG	P	B	625	55.29	QID	09:58:15	09:58:15	273601D27C00	55.86	55.3	\$356.25
74	75	9/29/2008	CDRG	P	B	40	55.29	QID	09:58:17	09:58:17	273401D2B600	55.86	55.3	\$22.80
75	76	9/29/2008	CDRG	P	B	200	55.29	QID	09:58:23	09:58:23	273601D42700	55.88	55.3	\$118.00
76	77	9/29/2008	CDRG	P	B	100	55.29	QID	09:58:26	09:58:26	273401D4FA00	55.78	55.3	\$49.00
77	78	9/29/2008	CDRG	P	B	500	55.29	QID	09:58:40	09:58:39	273601D84A00	55.74	55.3	\$225.00
78	79	9/29/2008	CDRG	P	B	75	55.29	QID	09:58:40	09:58:40	273601D85700	55.77	55.3	\$36.00
79	81	9/29/2008	CDRG	P	B	300	55.29	QID	09:58:43	09:58:43	273801D90800	55.77	55.3	\$144.00
80	80	9/29/2008	CDRG	P	B	3904	55.29	QID	09:58:43	09:58:43	273201D8FF00	55.77	55.3	\$1,873.92
81	82	9/29/2008	CDRG	P	B	100	55.29	QID	09:58:49	09:58:49	273001DA4200	55.78	55.3	\$49.00
82	83	9/29/2008	CDRG	P	B	200	55.29	QID	09:58:54	09:58:54	273801D82E00	55.70	55.3	\$82.00
83	84	9/29/2008	CDRG	P	B	1976	55.29	QID	09:58:55	09:58:55	273801D8A200	55.69	55.3	\$790.40
84	85	9/29/2008	CDRG	P	B	100	55.29	QID	09:58:57	09:58:57	273001DC1100	55.72	55.3	\$43.00
85	86	9/29/2008	CDRG	P	B	100	55.29	QID	09:59:04	09:59:04	273601DD9600	55.71	55.3	\$42.00
86	87	9/29/2008	CDRG	P	B	100	55.29	QID	09:59:06	09:59:06	273801DDE600	55.67	55.3	\$38.00
87	88	9/29/2008	CDRG	P	B	6500	55.29	QID	09:59:16	09:59:16	273201E07000	55.73	55.3	\$2,860.00
88	89	9/29/2008	CDRG	P	B	800	55.29	QID	09:59:17	09:59:17	273201E07200	55.74	55.3	\$360.00
89	90	9/29/2008	CDRG	P	B	2500	55.29	QID	09:59:20	09:59:20	273601E12E00	55.74	55.3	\$1,125.00
90	92	9/29/2008	CDRG	P	B	20	55.29	QID	09:59:23	09:59:23	273601E1F600	55.78	55.3	\$9.80
91	91	9/29/2008	CDRG	P	B	300	55.29	QID	09:59:23	09:59:23	273201E1EC00	55.78	55.3	\$147.00
92	93	9/29/2008	CDRG	P	B	200	55.29	QID	09:59:24	09:59:24	273801E21500	55.76	55.3	\$94.00
93	94	9/29/2008	CDRG	P	B	100	55.29	QID	09:59:43	09:59:43	273801E63900	55.82	55.3	\$53.00
94	95	9/29/2008	CDRG	P	B	4200	55.29	QID	09:59:45	09:59:45	273601E6D600	55.84	55.3	\$2,310.00
95	96	9/29/2008	CDRG	P	B	100	55.29	QID	09:59:54	09:59:54	273601E8A400	55.82	55.3	\$53.00
96	97	9/29/2008	CDRG	P	B	100	55.29	QID	10:00:09	10:00:09	273201ED4F00	55.64	55.3	\$35.00
97	98	9/29/2008	CDRG	P	B	391	55.29	QID	10:00:27	10:00:27	273201F2EF00	55.49	55.3	\$78.20
98	99	9/29/2008	CDRG	P	B	3250	55.29	QID	10:00:28	10:00:28	273801F30B00	55.47	55.3	\$585.00
99	100	9/29/2008	CDRG	P	B	1000	55.29	QID	10:00:31	10:00:31	273401F3E900	55.47	55.3	\$180.00
100	101	9/29/2008	CDRG	P	B	100	55.29	QID	10:00:32	10:00:32	273601F45400	55.43	55.3	\$14.00
101	102	9/29/2008	CDRG	P	B	1000	55.29	QID	10:00:32	10:00:32	273201F45F00	55.43	55.3	\$140.00
102	104	9/29/2008	CDRG	P	B	100	55.29	QID	10:00:33	10:00:33	273401F48F00	55.43	55.3	\$14.00
103	103	9/29/2008	CDRG	P	B	1000	55.29	QID	10:00:33	10:00:33	273801F4C400	55.43	55.3	\$140.00
104	105	9/29/2008	CDRG	P	B	200	55.29	QID	10:00:35	10:00:35	273401F56500	55.38	55.3	\$18.00
105	106	9/29/2008	CDRG	P	B	500	55.29	QID	10:00:41	10:00:41	273601F72F00	55.51	55.3	\$110.00
106	107	9/29/2008	CDRG	P	B	158	55.29	QID	10:00:44	10:00:44	273801F7E300	55.56	55.3	\$42.66
107	108	9/29/2008	CDRG	P	B	100	55.29	QID	10:00:45	10:00:45	273601F82D00	55.58	55.3	\$29.00
108	109	9/29/2008	CDRG	P	B	65	55.29	QID	10:00:53	10:00:53	273401F9FC00	55.58	55.3	\$18.85
109	110	9/29/2008	CDRG	P	B	100	55.29	QID	10:00:56	10:00:56	273201FAAA00	55.54	55.3	\$25.00
110	111	9/29/2008	CDRG	P	B	100	55.29	QID	10:00:59	10:00:59	273201FB5600	55.49	55.3	\$20.00
111	112	9/29/2008	CDRG	P	B	300	55.29	QID	10:01:03	10:01:03	273801FC3000	55.44	55.3	\$45.00
112	114	9/29/2008	CDRG	P	B	100	55.29	QID	10:01:08	10:01:08	273601FD4700	55.52	55.3	\$23.00
113	113	9/29/2008	CDRG	P	B	200	55.29	QID	10:01:08	10:01:08	273201FD5000	55.52	55.3	\$46.00
114	115	9/29/2008	CDRG	P	B	500	55.29	QID	10:01:13	10:01:13	273601FE5200	55.57	55.3	\$140.00
115	116	9/29/2008	CDRG	P	B	200	55.29	QID	10:01:19	10:01:18	273001FF7F00	55.58	55.3	\$58.00
116	117	9/29/2008	CDRG	P	B	200	55.29	QID	10:01:28	10:01:28	273802018100	55.49	55.3	\$40.00
117	118	9/29/2008	CDRG	P	B	220	55.29	QID	10:01:32	10:01:32	273802027100	55.51	55.3	\$48.40
118	119	9/29/2008	CDRG	P	B	25	55.29	QID	10:01:51	10:01:51	273802064700	55.48	55.3	\$4.75
119	120	9/29/2008	CDRG	P	B	500	55.29	QID	10:01:56	10:01:56	273402072800	55.61	55.3	\$160.00
120	121	9/29/2008	CDRG	P	B	50	55.29	QID	10:02:17	10:02:17	2736020C0500	55.59	55.3	\$15.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell/ Cross	Entered Quantity	Price	Issue	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
121	122	9/29/2008	CDRG	P	B	1000	55.29	QID	10:02:21	10:02:21	2736020C9600	55.59	55.3	\$300.00
122	123	9/29/2008	CDRG	P	B	14	55.29	QID	10:02:37	10:02:37	273402102C00	55.63	55.3	\$4.76
123	124	9/29/2008	CDRG	P	B	115	55.29	QID	10:02:37	10:02:37	273202104C00	55.63	55.3	\$39.10
124	125	9/29/2008	CDRG	P	B	300	55.29	QID	10:02:52	10:02:52	27360213AE00	55.72	55.3	\$129.00
125	126	9/29/2008	CDRG	P	B	100	55.29	QID	10:02:59	10:02:59	27380214F700	55.72	55.3	\$43.00
126	127	9/29/2008	CDRG	P	B	200	55.29	QID	10:03:02	10:03:02	27360215BA00	55.71	55.3	\$84.00
127	128	9/29/2008	CDRG	P	B	5	55.29	QID	10:03:05	10:03:05	273002165A00	55.75	55.3	\$2.30
128	129	9/29/2008	CDRG	P	B	500	55.29	QID	10:03:20	10:03:20	27340219BF00	55.75	55.3	\$230.00
129	130	9/29/2008	CDRG	P	B	500	55.29	QID	10:03:20	10:03:20	27320219DC00	55.75	55.3	\$230.00
130	131	9/29/2008	CDRG	P	B	100	55.29	QID	10:03:43	10:03:43	2736021EB000	55.71	55.3	\$42.00
131	133	9/29/2008	CDRG	P	B	195	55.29	QID	10:03:55	10:03:55	273602211E00	55.76	55.3	\$91.65
132	132	9/29/2008	CDRG	P	B	400	55.29	QID	10:03:55	10:03:55	273202212700	55.76	55.3	\$188.00
133	134	9/29/2008	CDRG	P	B	100	55.29	QID	10:04:04	10:04:04	27380222E500	55.89	55.3	\$60.00
134	135	9/29/2008	CDRG	P	B	40	55.29	QID	10:04:13	10:04:13	27340224AA00	55.80	55.3	\$20.40
135	136	9/29/2008	CDRG	P	B	100	55.29	QID	10:04:18	10:04:18	27300225ED00	55.87	55.3	\$58.00
136	137	9/29/2008	CDRG	P	B	260	55.29	QID	10:04:27	10:04:27	273602280100	55.87	55.3	\$150.80
137	138	9/29/2008	CDRG	P	B	740	55.29	QID	10:04:27	10:04:27	27380227FB00	55.87	55.3	\$429.20
138	139	9/29/2008	CDRG	P	B	500	55.29	QID	10:04:42	10:04:42	27380228B600	55.88	55.3	\$295.00
139	140	9/29/2008	CDRG	P	B	20	55.29	QID	10:05:48	10:05:48	27340239DC00	55.96	55.3	\$13.40
140	141	9/29/2008	CDRG	P	B	800	55.29	QID	10:05:51	10:05:51	2734023A7E00	55.97	55.3	\$544.00
141	142	9/29/2008	CDRG	P	B	2000	55.29	QID	10:05:51	10:05:51	2730023A8800	55.97	55.3	\$1,360.00
142	143	9/29/2008	CDRG	P	B	30	55.29	QID	10:06:26	10:06:26	273802417100	55.99	55.3	\$21.00
143	144	9/29/2008	CDRG	P	B	50	55.29	QID	10:06:29	10:06:28	27380241CB00	55.97	55.3	\$34.00
144	145	9/29/2008	CDRG	P	B	70	55.29	QID	10:06:33	10:06:33	27340242AA00	55.98	55.3	\$48.30
145	146	9/29/2008	CDRG	P	B	100	55.29	QID	10:06:36	10:06:36	273402434400	56.02	55.3	\$73.00
146	148	9/29/2008	CDRG	P	B	150	55.29	QID	10:07:10	10:07:10	2730024A7F00	56.09	55.3	\$120.00
147	149	9/29/2008	CDRG	P	B	500	55.29	QID	10:07:10	10:07:10	2732024A8500	56.09	55.3	\$400.00
148	150	9/29/2008	CDRG	P	B	600	55.29	QID	10:07:10	10:07:10	2734024A6800	56.09	55.3	\$480.00
149	147	9/29/2008	CDRG	P	B	750	55.29	QID	10:07:10	10:07:10	2736024A7C00	56.09	55.3	\$600.00
150	151	9/29/2008	CDRG	P	B	100	55.29	QID	10:07:33	10:07:33	2734024E4800	56.03	55.3	\$74.00
151	152	9/29/2008	CDRG	P	B	500	55.29	QID	10:07:41	10:07:41	2730024FA900	56.00	55.3	\$355.00
152	153	9/29/2008	CDRG	P	B	513	55.29	QID	10:08:02	10:08:02	27360254E300	55.91	55.3	\$318.06
153	154	9/29/2008	CDRG	P	B	100	55.29	QID	10:08:09	10:08:09	273402561500	55.91	55.3	\$62.00
154	155	9/29/2008	CDRG	P	B	300	55.29	QID	10:08:29	10:08:29	2730025AFB00	56.05	55.3	\$228.00
155	156	9/29/2008	CDRG	P	B	410	55.29	QID	10:09:00	10:09:00	273602613000	56.22	55.3	\$381.30
156	157	9/29/2008	CDRG	P	B	85	55.29	QID	10:09:53	10:09:53	2734026AA900	56.18	55.3	\$75.65
157	158	9/29/2008	CDRG	P	B	290	55.29	QID	10:09:53	10:09:53	2738026AB600	56.18	55.3	\$258.10
158	161	9/29/2008	CDRG	P	B	15	55.29	QID	10:10:18	10:10:18	2734026F4600	56.18	55.3	\$13.35
159	162	9/29/2008	CDRG	P	B	100	55.29	QID	10:10:18	10:10:18	2730026F5D00	56.18	55.3	\$89.00
160	159	9/29/2008	CDRG	P	B	150	55.29	QID	10:10:18	10:10:18	2732026F6300	56.18	55.3	\$133.50
161	160	9/29/2008	CDRG	P	B	415	55.29	QID	10:10:18	10:10:18	2736026F5700	56.18	55.3	\$369.35
162	163	9/29/2008	CDRG	P	B	85	55.29	QID	10:10:34	10:10:34	273202726A00	56.14	55.3	\$72.25
163	164	9/29/2008	CDRG	P	B	115	55.29	QID	10:10:34	10:10:34	273402724D00	56.14	55.3	\$97.75
164	165	9/29/2008	CDRG	P	B	37	55.29	QID	10:10:38	10:10:38	273002730400	56.19	55.3	\$33.30
165	167	9/29/2008	CDRG	P	B	185	55.29	QID	10:10:38	10:10:38	273002730300	56.19	55.3	\$166.50
166	166	9/29/2008	CDRG	P	B	278	55.29	QID	10:10:38	10:10:38	273202730A00	56.19	55.3	\$250.20
167	168	9/29/2008	CDRG	P	B	1000	55.29	QID	10:10:38	10:10:38	27340272EC00	56.19	55.3	\$900.00
168	170	9/29/2008	CDRG	P	B	278	55.29	QID	10:10:39	10:10:39	273402732400	56.17	55.3	\$244.64
169	169	9/29/2008	CDRG	P	B	722	55.29	QID	10:10:39	10:10:39	273202734100	56.17	55.3	\$635.36
170	171	9/29/2008	CDRG	P	B	10	55.29	QID	10:10:41	10:10:41	273402736400	56.22	55.3	\$9.30
171	172	9/29/2008	CDRG	P	B	100	55.29	QID	10:10:49	10:10:49	27300274F000	56.37	55.3	\$108.00
172	173	9/29/2008	CDRG	P	B	184	55.29	QID	10:11:03	10:11:03	27380277D400	56.40	55.3	\$204.24
173	175	9/29/2008	CDRG	P	B	100	55.29	QID	10:11:08	10:11:08	273802791E00	56.48	55.3	\$119.00
174	174	9/29/2008	CDRG	P	B	200	55.29	QID	10:11:08	10:11:08	273402791000	56.48	55.3	\$238.00
175	176	9/29/2008	CDRG	P	B	352	55.29	QID	10:11:23	10:11:23	2734027C0200	56.59	55.3	\$457.60
176	178	9/29/2008	CDRG	P	B	100	55.29	QID	10:11:44	10:11:44	27360280AF00	56.54	55.3	\$125.00
177	177	9/29/2008	CDRG	P	B	200	55.29	QID	10:11:44	10:11:44	27380280A900	56.54	55.3	\$250.00
178	179	9/29/2008	CDRG	P	B	300	55.29	QID	10:12:28	10:12:27	2730028AC200	56.47	55.3	\$354.00
179	180	9/29/2008	CDRG	P	B	200	55.29	QID	10:12:29	10:12:29	2732028B1300	56.46	55.3	\$234.00
180	181	9/29/2008	CDRG	P	B	300	55.29	QID	10:12:29	10:12:29	2738028B0500	56.46	55.3	\$351.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell/Cross	Entered Quantity	Price	Issue	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
181	182	9/29/2008	CDRG	P	B	500	55.29	QID	10:12:29	10:12:29	2736028B0B00	56.46	55.3	\$585.00
182	183	9/29/2008	CDRG	P	B	100	55.29	QID	10:12:30	10:12:30	2730028B4500	56.47	55.3	\$118.00
183	184	9/29/2008	CDRG	P	B	100	55.29	QID	10:12:31	10:12:31	2734028B4B00	56.47	55.3	\$118.00
184	186	9/29/2008	CDRG	P	B	50	55.29	QID	10:12:52	10:12:52	2736028F8200	56.28	55.3	\$49.50
185	185	9/29/2008	CDRG	P	B	100	55.29	QID	10:12:52	10:12:52	2736028F8000	56.28	55.3	\$99.00
186	187	9/29/2008	CDRG	P	B	750	55.29	QID	10:12:57	10:12:57	273202909F00	56.28	55.3	\$742.50
187	188	9/29/2008	CDRG	P	B	100	55.29	QID	10:12:58	10:12:58	27300290B600	56.29	55.3	\$100.00
188	190	9/29/2008	CDRG	P	B	100	55.29	QID	10:13:08	10:13:08	273802925A00	56.36	55.3	\$107.00
189	189	9/29/2008	CDRG	P	B	200	55.29	QID	10:13:08	10:13:08	273002926900	56.36	55.3	\$214.00
190	191	9/29/2008	CDRG	P	B	700	55.29	QID	10:13:08	10:13:08	273202926F00	56.36	55.3	\$749.00
191	192	9/29/2008	CDRG	P	B	100	55.29	QID	10:13:09	10:13:09	273802929600	56.37	55.3	\$108.00
192	193	9/29/2008	CDRG	P	B	200	55.29	QID	10:13:20	10:13:20	273402944800	56.35	55.3	\$212.00
193	195	9/29/2008	CDRG	P	B	80	55.29	QID	10:13:21	10:13:21	273802948000	56.35	55.3	\$84.80
194	196	9/29/2008	CDRG	P	B	300	55.29	QID	10:13:21	10:13:21	273202949000	56.35	55.3	\$318.00
195	194	9/29/2008	CDRG	P	B	620	55.29	QID	10:13:21	10:13:21	273402947300	56.35	55.3	\$657.20
196	197	9/29/2008	CDRG	P	B	200	55.29	QID	10:13:25	10:13:25	273002952400	56.34	55.3	\$210.00
197	198	9/29/2008	CDRG	P	B	200	55.29	QID	10:13:59	10:13:59	2730029BAB00	56.42	55.3	\$226.00
198	200	9/29/2008	CDRG	P	B	120	55.29	QID	10:14:01	10:14:01	2738029C0900	56.48	55.3	\$142.80
199	202	9/29/2008	CDRG	P	B	180	55.29	QID	10:14:01	10:14:01	2734029BFA00	56.48	55.3	\$214.20
200	199	9/29/2008	CDRG	P	B	200	55.29	QID	10:14:01	10:14:01	2736029C0E00	56.48	55.3	\$238.00
201	201	9/29/2008	CDRG	P	B	500	55.29	QID	10:14:01	10:14:01	2738029C0800	56.48	55.3	\$595.00
202	203	9/29/2008	CDRG	P	B	36	55.29	QID	10:14:02	10:14:02	2734029C4600	56.49	55.3	\$43.20
203	204	9/29/2008	CDRG	P	B	27	55.29	QID	10:14:28	10:14:28	273402A08100	56.54	55.3	\$33.75
204	205	9/29/2008	CDRG	P	B	100	55.29	QID	10:14:49	10:14:49	273202A48500	56.62	55.3	\$133.00
205	206	9/29/2008	CDRG	P	B	100	55.29	QID	10:15:26	10:15:26	273002ACD600	56.96	55.3	\$167.00
206	207	9/29/2008	CDRG	P	B	250	55.29	QID	10:15:44	10:15:44	273602B16C00	57.07	55.3	\$445.00
207	208	9/29/2008	CDRG	P	B	1400	55.29	QID	10:16:06	10:16:06	273802B7B900	57.08	55.3	\$2,506.00
208	209	9/29/2008	CDRG	P	B	500	55.29	QID	10:16:09	10:16:09	273602B84D00	57.11	55.3	\$910.00
209	210	9/29/2008	CDRG	P	B	200	55.29	QID	10:16:30	10:16:30	273202BD3400	57.10	55.3	\$362.00
210	211	9/29/2008	CDRG	P	B	200	55.29	QID	10:16:30	10:16:30	273002BD2E00	57.10	55.3	\$362.00
211	214	9/29/2008	CDRG	P	B	30	55.29	QID	10:16:34	10:16:34	273202BE8300	57.08	55.3	\$53.70
212	215	9/29/2008	CDRG	P	B	67	55.29	QID	10:16:34	10:16:34	273402BE6600	57.08	55.3	\$119.93
213	213	9/29/2008	CDRG	P	B	103	55.29	QID	10:16:34	10:16:34	273602BE7A00	57.08	55.3	\$184.37
214	212	9/29/2008	CDRG	P	B	800	55.29	QID	10:16:34	10:16:34	273802BE7200	57.08	55.3	\$1,432.00
215	217	9/29/2008	CDRG	P	B	200	55.29	QID	10:16:56	10:16:56	273202C3F700	57.04	55.3	\$350.00
216	218	9/29/2008	CDRG	P	B	200	55.29	QID	10:16:56	10:16:56	273802C3E700	57.04	55.3	\$350.00
217	216	9/29/2008	CDRG	P	B	300	55.29	QID	10:16:56	10:16:56	273402C3D900	57.04	55.3	\$525.00
218	219	9/29/2008	CDRG	P	B	300	55.29	QID	10:17:08	10:17:08	273802C66F00	57.07	55.3	\$534.00
219	220	9/29/2008	CDRG	P	B	200	55.29	QID	10:17:27	10:17:27	273402CA7E00	56.97	55.3	\$336.00
220	222	9/29/2008	CDRG	P	B	72	55.29	QID	10:18:19	10:18:19	273802D88500	56.66	55.3	\$98.64
221	221	9/29/2008	CDRG	P	B	500	55.29	QID	10:18:19	10:18:19	273202D87D00	56.66	55.3	\$685.00
222	223	9/29/2008	CDRG	P	B	200	55.29	QID	10:18:34	10:18:34	273002DC0D00	56.69	55.3	\$280.00
223	224	9/29/2008	CDRG	P	B	200	55.29	QID	10:18:37	10:18:37	273002DC8E00	56.70	55.3	\$282.00
224	225	9/29/2008	CDRG	P	B	300	55.29	QID	10:18:42	10:18:42	273002DD9400	56.68	55.3	\$417.00
225	226	9/29/2008	CDRG	P	B	800	55.29	QID	10:18:45	10:18:45	273802DE3E00	56.69	55.3	\$1,120.00
226	227	9/29/2008	CDRG	P	B	150	55.29	QID	10:19:05	10:19:05	273002E23C00	56.85	55.3	\$234.00
227	230	9/29/2008	CDRG	P	B	122	55.29	QID	10:19:45	10:19:45	273002E9F600	56.85	55.3	\$190.32
228	229	9/29/2008	CDRG	P	B	400	55.29	QID	10:19:45	10:19:45	273802E9EC00	56.85	55.3	\$624.00
229	228	9/29/2008	CDRG	P	B	678	55.29	QID	10:19:45	10:19:45	273602E9F200	56.85	55.3	\$1,057.68
230	233	9/29/2008	CDRG	P	B	40	55.29	QID	10:20:03	10:20:03	273602ED9400	56.97	55.3	\$67.20
231	232	9/29/2008	CDRG	P	B	78	55.29	QID	10:20:03	10:20:03	273402ED8000	56.97	55.3	\$131.04
232	231	9/29/2008	CDRG	P	B	182	55.29	QID	10:20:03	10:20:03	273802ED8F00	56.97	55.3	\$305.76
233	234	9/29/2008	CDRG	P	B	200	55.29	QID	10:20:03	10:20:03	273602ED9500	56.97	55.3	\$336.00
234	235	9/29/2008	CDRG	P	B	500	55.29	QID	10:20:03	10:20:03	273802ED8E00	56.97	55.3	\$840.00
235	236	9/29/2008	CDRG	P	B	100	55.29	QID	10:20:44	10:20:44	273402F4FD00	57.17	55.3	\$188.00
236	237	9/29/2008	CDRG	P	B	100	55.29	QID	10:20:51	10:20:51	273202F65A00	57.31	55.3	\$202.00
237	240	9/29/2008	CDRG	P	B	82	55.29	QID	10:20:57	10:20:57	273802F78400	57.39	55.3	\$172.20
238	238	9/29/2008	CDRG	P	B	100	55.29	QID	10:20:57	10:20:57	273202F79300	57.39	55.3	\$210.00
239	239	9/29/2008	CDRG	P	B	100	55.29	QID	10:20:57	10:20:57	273402F77600	57.39	55.3	\$210.00
240	242	9/29/2008	CDRG	P	B	100	55.29	QID	10:20:57	10:20:57	273002F78B00	57.39	55.3	\$210.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell/Cross	Entered Quantity	Price	Issue	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
241	241	9/29/2008	CDRG	P	B	618	55.29	QID	10:20:57	10:20:57	273602F78700	57.39	55.3	\$1,297.80
242	243	9/29/2008	CDRG	P	B	100	55.3	QID	10:21:17	10:21:17	273802FB2E00	57.26	55.3	\$196.00
243	244	9/29/2008	CDRG	P	B	398	55.29	QID	10:21:32	10:21:32	273602FDBE00	57.21	55.3	\$764.16
244	250	9/29/2008	CDRG	P	B	50	55.29	QID	10:21:53	10:21:53	273203024D00	57.10	55.3	\$90.50
245	246	9/29/2008	CDRG	P	B	80	55.29	QID	10:21:53	10:21:53	273203024E00	57.10	55.3	\$144.80
246	248	9/29/2008	CDRG	P	B	100	55.29	QID	10:21:53	10:21:53	273003024800	57.10	55.3	\$181.00
247	247	9/29/2008	CDRG	P	B	318	55.29	QID	10:21:53	10:21:53	273803023D00	57.10	55.3	\$575.58
248	245	9/29/2008	CDRG	P	B	500	55.29	QID	10:21:53	10:21:53	273403023000	57.10	55.3	\$905.00
249	249	9/29/2008	CDRG	P	B	502	55.29	QID	10:21:53	10:21:53	273603024300	57.10	55.3	\$908.62
250	251	9/29/2008	CDRG	P	B	50	55.29	QID	10:21:58	10:21:58	273403031600	57.06	55.3	\$88.50
251	252	9/29/2008	CDRG	P	B	50	55.29	QID	10:22:01	10:22:01	273803039D00	57.04	55.3	\$87.50
252	253	9/29/2008	CDRG	P	B	200	55.29	QID	10:22:38	10:22:38	27300309A900	57.18	55.3	\$378.00
253	254	9/29/2008	CDRG	P	B	100	55.29	QID	10:22:39	10:22:39	27340309BC00	57.18	55.3	\$189.00
254	255	9/29/2008	CDRG	P	B	100	55.29	QID	10:23:06	10:23:06	2732030EFD00	57.11	55.3	\$182.00
255	256	9/29/2008	CDRG	P	B	150	55.29	QID	10:23:11	10:23:11	2734030FD700	57.07	55.3	\$267.00
256	260	9/29/2008	CDRG	P	B	80	55.29	QID	10:23:16	10:23:15	273403109500	57.10	55.3	\$144.80
257	261	9/29/2008	CDRG	P	B	100	55.29	QID	10:23:15	10:23:15	27300310AC00	57.10	55.3	\$181.00
258	259	9/29/2008	CDRG	P	B	200	55.29	QID	10:23:15	10:23:15	27320310B200	57.10	55.3	\$362.00
259	258	9/29/2008	CDRG	P	B	241	55.29	QID	10:23:16	10:23:15	27320310B300	57.10	55.3	\$436.21
260	257	9/29/2008	CDRG	P	B	370	55.29	QID	10:23:15	10:23:15	27380310A200	57.10	55.3	\$669.70
261	263	9/29/2008	CDRG	P	B	1	55.29	QID	10:23:44	10:23:44	273603150400	57.12	55.3	\$1.83
262	262	9/29/2008	CDRG	P	B	59	55.29	QID	10:23:44	10:23:44	27340314EF00	57.12	55.3	\$107.97
263	264	9/29/2008	CDRG	P	B	200	55.29	QID	10:24:05	10:24:05	273203190600	56.99	55.3	\$340.00
264	265	9/29/2008	CDRG	P	B	50	55.29	QID	10:24:12	10:24:12	2736031A1700	56.95	55.3	\$83.00
265	266	9/29/2008	CDRG	P	B	750	55.29	QID	10:24:15	10:24:15	2734031A9C00	56.94	55.3	\$1,237.50
266	267	9/29/2008	CDRG	P	B	199	55.29	QID	10:24:33	10:24:33	2738031E7800	56.95	55.3	\$330.34
267	268	9/29/2008	CDRG	P	B	201	55.29	QID	10:24:33	10:24:33	2730031E8200	56.95	55.3	\$333.66
268	269	9/29/2008	CDRG	P	B	200	55.29	QID	10:25:10	10:25:10	273403248900	56.97	55.3	\$336.00
269	270	9/29/2008	CDRG	P	B	33	55.29	QID	10:25:11	10:25:11	27380324C200	56.93	55.3	\$54.12
270	271	9/29/2008	CDRG	P	B	144	55.29	QID	10:25:13	10:25:13	273003256800	56.84	55.3	\$223.20
271	272	9/29/2008	CDRG	P	B	100	55.29	QID	10:25:17	10:25:17	27380326AD00	56.76	55.3	\$147.00
272	273	9/29/2008	CDRG	P	B	25	55.29	QID	10:25:29	10:25:29	273803291000	56.75	55.3	\$36.50
273	278	9/29/2008	CDRG	P	B	122	55.29	QID	10:25:34	10:25:34	27300329C900	56.72	55.3	\$174.46
274	277	9/29/2008	CDRG	P	B	178	55.29	QID	10:25:34	10:25:34	27380329C100	56.72	55.3	\$254.54
275	274	9/29/2008	CDRG	P	B	375	55.29	QID	10:25:34	10:25:34	27340329B100	56.72	55.3	\$536.25
276	279	9/29/2008	CDRG	P	B	400	55.29	QID	10:25:34	10:25:34	27300329CA00	56.72	55.3	\$572.00
277	276	9/29/2008	CDRG	P	B	500	55.29	QID	10:25:34	10:25:34	27320329D000	56.72	55.3	\$715.00
278	275	9/29/2008	CDRG	P	B	1000	55.29	QID	10:25:34	10:25:34	27320329CF00	56.72	55.3	\$1,430.00
279	280	9/29/2008	CDRG	P	B	200	55.29	QID	10:25:46	10:25:46	2734032BF900	56.72	55.3	\$286.00
280	282	9/29/2008	CDRG	P	B	28	55.29	QID	10:26:14	10:26:14	273403313700	56.64	55.3	\$37.80
281	281	9/29/2008	CDRG	P	B	122	55.29	QID	10:26:14	10:26:14	273603314A00	56.64	55.3	\$164.70
282	284	9/29/2008	CDRG	P	B	28	55.29	QID	10:26:17	10:26:17	27360331EC00	56.69	55.3	\$39.20
283	283	9/29/2008	CDRG	P	B	72	55.29	QID	10:26:17	10:26:17	27340331D800	56.69	55.3	\$100.80
284	285	9/29/2008	CDRG	P	B	70	55.29	QID	10:26:21	10:26:21	273203328500	56.62	55.3	\$93.10
285	287	9/29/2008	CDRG	P	B	100	55.29	QID	10:26:21	10:26:21	273003327F00	56.62	55.3	\$133.00
286	286	9/29/2008	CDRG	P	B	130	55.29	QID	10:26:21	10:26:21	273603327E00	56.62	55.3	\$172.90
287	288	9/29/2008	CDRG	P	B	500	55.29	QID	10:26:21	10:26:21	273403326800	56.62	55.3	\$665.00
288	290	9/29/2008	CDRG	P	B	30	55.29	QID	10:26:30	10:26:30	273803340300	56.60	55.3	\$39.30
289	289	9/29/2008	CDRG	P	B	70	55.29	QID	10:26:30	10:26:30	273603340900	56.60	55.3	\$91.70
290	294	9/29/2008	CDRG	P	B	170	55.29	QID	10:26:41	10:26:41	273003363A00	56.59	55.3	\$221.00
291	291	9/29/2008	CDRG	P	B	200	55.29	QID	10:26:41	10:26:41	273603363800	56.59	55.3	\$260.00
292	295	9/29/2008	CDRG	P	B	300	55.29	QID	10:26:41	10:26:41	273403362300	56.59	55.3	\$390.00
293	293	9/29/2008	CDRG	P	B	500	55.29	QID	10:26:41	10:26:41	273803363200	56.59	55.3	\$650.00
294	292	9/29/2008	CDRG	P	B	830	55.29	QID	10:26:41	10:26:41	273003363C00	56.59	55.3	\$1,079.00
295	298	9/29/2008	CDRG	P	B	170	55.29	QID	10:26:48	10:26:48	273603376100	56.64	55.3	\$229.50
296	297	9/29/2008	CDRG	P	B	400	55.29	QID	10:26:48	10:26:48	273803375B00	56.64	55.3	\$540.00
297	296	9/29/2008	CDRG	P	B	916	55.29	QID	10:26:48	10:26:48	273203376B00	56.64	55.3	\$1,236.60
298	300	9/29/2008	CDRG	P	B	16	55.29	QID	10:26:52	10:26:52	27360337EE00	56.65	55.3	\$21.76
299	299	9/29/2008	CDRG	P	B	84	55.29	QID	10:26:52	10:26:52	27340337DA00	56.65	55.3	\$114.24
300	301	9/29/2008	CDRG	P	B	200	55.29	QID	10:27:01	10:27:01	27380339D400	56.66	55.3	\$274.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell/ Cross	Entered Quantity	Price	Issue	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
301	302	9/29/2008	CDRG	P	B	800	55.29	QID	10:27:01	10:27:01	27340339C700	56.66	55.3	\$1,096.00
302	304	9/29/2008	CDRG	P	B	184	55.29	QID	10:27:13	10:27:13	27340338C800	56.56	55.3	\$233.68
303	303	9/29/2008	CDRG	P	B	1066	55.29	QID	10:27:13	10:27:13	27380338D600	56.56	55.3	\$1,353.82
304	306	9/29/2008	CDRG	P	B	300	55.29	QID	10:27:37	10:27:37	273203406900	56.48	55.3	\$357.00
305	307	9/29/2008	CDRG	P	B	300	55.29	QID	10:27:37	10:27:37	273603406000	56.48	55.3	\$357.00
306	305	9/29/2008	CDRG	P	B	400	55.29	QID	10:27:37	10:27:37	273003406300	56.48	55.3	\$476.00
307	308	9/29/2008	CDRG	P	B	135	55.29	QID	10:27:50	10:27:50	273803422F00	56.52	55.3	\$166.05
308	310	9/29/2008	CDRG	P	B	200	55.29	QID	10:27:51	10:27:51	273203427000	56.51	55.3	\$244.00
309	312	9/29/2008	CDRG	P	B	235	55.29	QID	10:27:51	10:27:51	273403425400	56.51	55.3	\$286.70
310	311	9/29/2008	CDRG	P	B	500	55.29	QID	10:27:51	10:27:51	273003426A00	56.51	55.3	\$610.00
311	309	9/29/2008	CDRG	P	B	565	55.29	QID	10:27:51	10:27:51	273203426F00	56.51	55.3	\$689.30
312	313	9/29/2008	CDRG	P	B	300	55.29	QID	10:27:59	10:27:59	27320343BD00	56.58	55.3	\$387.00
313	314	9/29/2008	CDRG	P	B	35	55.29	QID	10:28:10	10:28:10	273403453100	56.64	55.3	\$47.25
314	315	9/29/2008	CDRG	P	B	465	55.29	QID	10:28:10	10:28:10	273603454400	56.64	55.3	\$627.75
315	316	9/29/2008	CDRG	P	B	125	55.29	QID	10:28:12	10:28:12	27320345BA00	56.64	55.3	\$168.75
316	321	9/29/2008	CDRG	P	B	55	55.29	QID	10:28:22	10:28:22	27380346F400	56.58	55.3	\$70.95
317	319	9/29/2008	CDRG	P	B	105	55.29	QID	10:28:22	10:28:22	27340346E700	56.58	55.3	\$135.45
318	318	9/29/2008	CDRG	P	B	140	55.29	QID	10:28:22	10:28:22	27380346F100	56.58	55.3	\$180.60
319	317	9/29/2008	CDRG	P	B	200	55.29	QID	10:28:22	10:28:22	27300346FB00	56.58	55.3	\$258.00
320	320	9/29/2008	CDRG	P	B	500	55.29	QID	10:28:22	10:28:22	273203470400	56.58	55.3	\$645.00
321	322	9/29/2008	CDRG	P	B	1000	55.29	QID	10:28:22	10:28:22	27300346FE00	56.58	55.3	\$1,290.00
322	326	9/29/2008	CDRG	P	B	50	55.29	QID	10:28:42	10:28:42	273403497A00	56.60	55.3	\$65.50
323	328	9/29/2008	CDRG	P	B	95	55.29	QID	10:28:42	10:28:42	273403497800	56.60	55.3	\$124.45
324	324	9/29/2008	CDRG	P	B	100	55.29	QID	10:28:42	10:28:42	273603498E00	56.60	55.3	\$131.00
325	327	9/29/2008	CDRG	P	B	200	55.29	QID	10:28:42	10:28:42	273603498C00	56.60	55.3	\$262.00
326	323	9/29/2008	CDRG	P	B	255	55.29	QID	10:28:42	10:28:42	273003499200	56.60	55.3	\$334.05
327	325	9/29/2008	CDRG	P	B	300	55.29	QID	10:28:42	10:28:42	273203499700	56.60	55.3	\$393.00
328	329	9/29/2008	CDRG	P	B	100	55.29	QID	10:28:50	10:28:49	2736034AD100	56.53	55.3	\$124.00
329	330	9/29/2008	CDRG	P	B	185	55.29	QID	10:28:55	10:28:55	2732034C0100	56.43	55.3	\$210.90
330	331	9/29/2008	CDRG	P	B	245	55.29	QID	10:28:55	10:28:55	27300348FA00	56.43	55.3	\$279.30
331	332	9/29/2008	CDRG	P	B	720	55.29	QID	10:28:55	10:28:55	27340348E400	56.43	55.3	\$820.80
332	333	9/29/2008	CDRG	P	B	100	55.29	QID	10:29:00	10:28:59	2736034CE500	56.37	55.3	\$108.00
333	334	9/29/2008	CDRG	P	B	50	55.29	QID	10:29:21	10:29:21	27300350E600	56.44	55.3	\$57.50
334	335	9/29/2008	CDRG	P	B	100	55.29	QID	10:29:47	10:29:47	273403555500	56.40	55.3	\$111.00
335	337	9/29/2008	CDRG	P	B	30	55.29	QID	10:30:54	10:30:54	273603600E00	56.51	55.3	\$36.60
336	336	9/29/2008	CDRG	P	B	70	55.29	QID	10:30:54	10:30:54	273803600800	56.51	55.3	\$85.40
337	338	9/29/2008	CDRG	P	B	11	55.29	QID	10:31:02	10:31:02	273003614B00	56.55	55.3	\$13.86
338	339	9/29/2008	CDRG	P	B	50	55.29	QID	10:31:20	10:31:20	27380363EB00	56.72	55.3	\$71.50
339	340	9/29/2008	CDRG	P	B	75	55.29	QID	10:31:22	10:31:22	273203645600	56.76	55.3	\$110.25
340	341	9/29/2008	CDRG	P	B	100	55.29	QID	10:31:27	10:31:27	27340364F100	56.78	55.3	\$149.00
341	342	9/29/2008	CDRG	P	B	100	55.29	QID	10:31:59	10:31:59	273403647400	56.62	55.3	\$133.00
342	343	9/29/2008	CDRG	P	B	100	55.29	QID	10:32:23	10:32:23	2736036E7400	56.55	55.3	\$126.00
343	345	9/29/2008	CDRG	P	B	50	55.29	QID	10:32:41	10:32:41	273603721A00	56.45	55.3	\$58.00
344	347	9/29/2008	CDRG	P	B	94	55.29	QID	10:32:41	10:32:41	273803721300	56.45	55.3	\$109.04
345	346	9/29/2008	CDRG	P	B	200	55.29	QID	10:32:41	10:32:41	273003721E00	56.45	55.3	\$232.00
346	348	9/29/2008	CDRG	P	B	200	55.29	QID	10:32:41	10:32:41	273203722300	56.45	55.3	\$232.00
347	349	9/29/2008	CDRG	P	B	300	55.29	QID	10:32:41	10:32:41	273803721400	56.45	55.3	\$348.00
348	344	9/29/2008	CDRG	P	B	500	55.29	QID	10:32:41	10:32:41	273403720600	56.45	55.3	\$580.00
349	350	9/29/2008	CDRG	P	B	606	55.29	QID	10:32:42	10:32:41	273203722400	56.45	55.3	\$702.96
350	352	9/29/2008	CDRG	P	B	106	55.29	QID	10:32:59	10:32:59	27380374ED00	56.48	55.3	\$126.14
351	351	9/29/2008	CDRG	P	B	394	55.29	QID	10:32:59	10:32:59	27300374F600	56.48	55.3	\$468.86
352	353	9/29/2008	CDRG	P	B	200	55.29	QID	10:33:03	10:33:03	273203759600	56.43	55.3	\$228.00
353	354	9/29/2008	CDRG	P	B	200	55.29	QID	10:33:16	10:33:16	27340377AB00	56.37	55.3	\$216.00
354	355	9/29/2008	CDRG	P	B	100	55.29	QID	10:33:51	10:33:50	27340377D1200	56.49	55.3	\$120.00
355	356	9/29/2008	CDRG	P	B	100	55.29	QID	10:33:56	10:33:56	2734037E0A00	56.46	55.3	\$117.00
356	357	9/29/2008	CDRG	P	B	400	55.29	QID	10:33:56	10:33:56	2738037E1700	56.46	55.3	\$468.00
357	358	9/29/2008	CDRG	P	B	100	55.29	QID	10:34:02	10:34:02	2734037EE700	56.54	55.3	\$125.00
358	359	9/29/2008	CDRG	P	B	100	55.29	QID	10:34:26	10:34:26	273603823100	56.54	55.3	\$125.00
359	360	9/29/2008	CDRG	P	B	680	55.29	QID	10:34:55	10:34:55	27380385FC00	56.59	55.3	\$884.00
360	367	9/29/2008	CDRG	P	B	100	55.29	QID	10:34:56	10:34:56	273003863800	56.59	55.3	\$130.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell/Cross	Entered Quantity	Price	Issue	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
361	363	9/29/2008	CDRG	P	B	105	55.29	QID	10:34:56	10:34:56	273803862F00	56.59	55.3	\$136.50
362	361	9/29/2008	CDRG	P	B	120	55.29	QID	10:34:56	10:34:56	273403861F00	56.59	55.3	\$156.00
363	366	9/29/2008	CDRG	P	B	140	55.29	QID	10:34:56	10:34:56	273803862E00	56.59	55.3	\$182.00
364	365	9/29/2008	CDRG	P	B	150	55.29	QID	10:34:56	10:34:56	273803862D00	56.59	55.3	\$195.00
365	362	9/29/2008	CDRG	P	B	185	55.29	QID	10:34:56	10:34:56	273603863300	56.59	55.3	\$240.50
366	364	9/29/2008	CDRG	P	B	200	55.29	QID	10:34:56	10:34:56	273603863400	56.59	55.3	\$260.00
367	368	9/29/2008	CDRG	P	B	200	55.29	QID	10:35:03	10:35:03	273003873C00	56.65	55.3	\$272.00
368	369	9/29/2008	CDRG	P	B	105	55.29	QID	10:35:13	10:35:13	273003888700	56.62	55.3	\$139.65
369	370	9/29/2008	CDRG	P	B	195	55.29	QID	10:35:13	10:35:13	273603888200	56.62	55.3	\$259.35
370	371	9/29/2008	CDRG	P	B	54	55.29	QID	10:35:22	10:35:22	27380389E900	56.63	55.3	\$72.36
371	372	9/29/2008	CDRG	P	B	17	55.29	QID	10:36:21	10:36:21	27320391C000	56.67	55.3	\$23.46
372	373	9/29/2008	CDRG	P	B	200	55.29	QID	10:36:38	10:36:38	273803943B00	56.67	55.3	\$276.00
373	374	9/29/2008	CDRG	P	B	75	55.29	QID	10:37:17	10:37:17	2736039A4100	56.73	55.3	\$108.00
374	378	9/29/2008	CDRG	P	B	151	55.29	QID	10:37:49	10:37:49	2736039ED200	56.61	55.3	\$199.32
375	375	9/29/2008	CDRG	P	B	300	55.29	QID	10:37:49	10:37:49	2736039ED100	56.61	55.3	\$396.00
376	376	9/29/2008	CDRG	P	B	549	55.29	QID	10:37:49	10:37:49	2732039EDA00	56.61	55.3	\$724.68
377	377	9/29/2008	CDRG	P	B	600	55.29	QID	10:37:49	10:37:49	2734039EBE00	56.61	55.3	\$792.00
378	380	9/29/2008	CDRG	P	B	49	55.29	QID	10:38:06	10:38:06	273403A12000	56.60	55.3	\$64.19
379	379	9/29/2008	CDRG	P	B	951	55.29	QID	10:38:06	10:38:06	273603A13400	56.60	55.3	\$1,245.81
380	383	9/29/2008	CDRG	P	B	49	55.29	QID	10:38:26	10:38:26	273603A41500	56.60	55.3	\$64.19
381	382	9/29/2008	CDRG	P	B	151	55.29	QID	10:38:26	10:38:26	273403A40200	56.60	55.3	\$197.81
382	381	9/29/2008	CDRG	P	B	200	55.29	QID	10:38:26	10:38:26	273803A40F00	56.60	55.3	\$262.00
383	384	9/29/2008	CDRG	P	B	500	55.29	QID	10:38:32	10:38:32	273203A4F600	56.68	55.3	\$695.00
384	385	9/29/2008	CDRG	P	B	300	55.29	QID	10:38:39	10:38:38	273003A5B400	56.66	55.3	\$411.00
385	387	9/29/2008	CDRG	P	B	49	55.29	QID	10:39:18	10:39:18	273003ABC200	56.43	55.3	\$55.86
386	386	9/29/2008	CDRG	P	B	51	55.29	QID	10:39:18	10:39:18	273203ABC800	56.43	55.3	\$58.14
387	388	9/29/2008	CDRG	P	B	20	55.29	QID	10:40:03	10:40:03	273203B23500	56.58	55.3	\$25.80
388	390	9/29/2008	CDRG	P	B	29	55.29	QID	10:40:21	10:40:21	273603B4FD00	56.56	55.3	\$36.83
389	389	9/29/2008	CDRG	P	B	71	55.29	QID	10:40:21	10:40:21	273203B50800	56.56	55.3	\$90.17
390	392	9/29/2008	CDRG	P	B	71	55.29	QID	10:40:23	10:40:23	273003B55300	56.53	55.3	\$88.04
391	394	9/29/2008	CDRG	P	B	100	55.29	QID	10:40:23	10:40:23	273203B55800	56.53	55.3	\$124.00
392	393	9/29/2008	CDRG	P	B	129	55.29	QID	10:40:23	10:40:23	273803B54800	56.53	55.3	\$159.96
393	391	9/29/2008	CDRG	P	B	200	55.29	QID	10:40:23	10:40:23	273003B55200	56.53	55.3	\$248.00
394	395	9/29/2008	CDRG	P	B	200	55.29	QID	10:41:06	10:41:06	273803BB7100	56.64	55.3	\$270.00
395	396	9/29/2008	CDRG	P	B	200	55.29	QID	10:41:24	10:41:24	273003BE6F00	56.57	55.3	\$256.00
396	397	9/29/2008	CDRG	P	B	300	55.29	QID	10:42:33	10:42:33	273203C82300	56.77	55.3	\$444.00
397	400	9/29/2008	CDRG	P	B	100	55.29	QID	10:42:56	10:42:56	273403CAFF00	56.85	55.3	\$156.00
398	398	9/29/2008	CDRG	P	B	200	55.29	QID	10:42:56	10:42:56	273203CB1D00	56.85	55.3	\$312.00
399	399	9/29/2008	CDRG	P	B	200	55.29	QID	10:42:56	10:42:56	273003CB1600	56.85	55.3	\$312.00
400	401	9/29/2008	CDRG	P	B	75	55.29	QID	10:44:02	10:44:02	273803D5B500	56.85	55.3	\$117.00
401	402	9/29/2008	CDRG	P	B	200	55.29	QID	10:45:43	10:45:43	273403E57F00	56.62	55.3	\$266.00
402	404	9/29/2008	CDRG	P	B	25	55.29	QID	10:47:05	10:47:05	273203F2C800	56.54	55.3	\$31.25
403	405	9/29/2008	CDRG	P	B	75	55.29	QID	10:47:05	10:47:05	273403F2AB00	56.54	55.3	\$93.75
404	403	9/29/2008	CDRG	P	B	200	55.29	QID	10:47:05	10:47:05	273803F2B900	56.54	55.3	\$250.00
405	406	9/29/2008	CDRG	P	B	40	55.29	QID	10:47:07	10:47:07	273203F36400	56.50	55.3	\$48.40
406	407	9/29/2008	CDRG	P	B	100	55.29	QID	10:47:33	10:47:33	273603F6DA00	56.46	55.3	\$117.00
407	408	9/29/2008	CDRG	P	B	100	55.29	QID	10:47:36	10:47:36	273803F76200	56.44	55.3	\$115.00
408	409	9/29/2008	CDRG	P	B	20	55.29	QID	10:47:42	10:47:42	273603F8C600	56.38	55.3	\$21.80
409	415	9/29/2008	CDRG	P	B	40	55.29	QID	10:47:49	10:47:49	273003F9CB00	56.33	55.3	\$41.60
410	420	9/29/2008	CDRG	P	B	50	55.29	QID	10:47:49	10:47:49	273803F9C300	56.33	55.3	\$52.00
411	418	9/29/2008	CDRG	P	B	100	55.29	QID	10:47:49	10:47:49	273003F9CF00	56.33	55.3	\$104.00
412	421	9/29/2008	CDRG	P	B	100	55.29	QID	10:47:49	10:47:49	273203F9D300	56.33	55.3	\$104.00
413	410	9/29/2008	CDRG	P	B	200	55.29	QID	10:47:49	10:47:49	273203F9D100	56.33	55.3	\$208.00
414	411	9/29/2008	CDRG	P	B	200	55.29	QID	10:47:49	10:47:49	273203F9D200	56.33	55.3	\$208.00
415	413	9/29/2008	CDRG	P	B	200	55.29	QID	10:47:49	10:47:49	273403F9B600	56.33	55.3	\$208.00
416	414	9/29/2008	CDRG	P	B	400	55.29	QID	10:47:49	10:47:49	273603F9C900	56.33	55.3	\$416.00
417	417	9/29/2008	CDRG	P	B	710	55.29	QID	10:47:49	10:47:49	273203F9D500	56.33	55.3	\$738.40
418	412	9/29/2008	CDRG	P	B	1000	55.29	QID	10:47:49	10:47:49	273603F9CA00	56.33	55.3	\$1,040.00
419	416	9/29/2008	CDRG	P	B	1000	55.29	QID	10:47:49	10:47:49	273003F9CD00	56.33	55.3	\$1,040.00
420	419	9/29/2008	CDRG	P	B	1000	55.29	QID	10:47:49	10:47:49	273403F9B500	56.33	55.3	\$1,040.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell/Cross	Entered Quantity	Price	Issue	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
421	422	9/29/2008	CDRG	P	B	50	55.29	QID	10:47:52	10:47:52	273403FA1B00	56.32	55.3	\$51.50
422	423	9/29/2008	CDRG	P	B	40	55.29	QID	10:47:54	10:47:54	273803FAD900	56.27	55.3	\$39.20
423	426	9/29/2008	CDRG	P	B	120	55.29	QID	10:47:54	10:47:54	273803FADB00	56.27	55.3	\$117.60
424	424	9/29/2008	CDRG	P	B	150	55.29	QID	10:47:54	10:47:54	273603FAE100	56.27	55.3	\$147.00
425	427	9/29/2008	CDRG	P	B	200	55.29	QID	10:47:54	10:47:54	273603FADC00	56.27	55.3	\$196.00
426	425	9/29/2008	CDRG	P	B	275	55.29	QID	10:47:54	10:47:54	273003FAE500	56.27	55.3	\$269.50
427	428	9/29/2008	CDRG	P	B	225	55.29	QID	10:48:09	10:48:09	273203FDA200	56.31	55.3	\$229.50
428	429	9/29/2008	CDRG	P	B	575	55.29	QID	10:48:09	10:48:09	273403FD8500	56.31	55.3	\$586.50
429	430	9/29/2008	CDRG	P	B	420	55.29	QID	10:48:11	10:48:11	273003FDD600	56.30	55.3	\$424.20
430	431	9/29/2008	CDRG	P	B	5	55.29	QID	10:48:23	10:48:23	273603FFD100	56.33	55.3	\$5.20
431	432	9/29/2008	CDRG	P	B	70	55.29	QID	10:48:23	10:48:23	273803FFC800	56.33	55.3	\$72.80
432	433	9/29/2008	CDRG	P	B	140	55.29	QID	10:48:28	10:48:28	273804007200	56.32	55.3	\$144.20
433	434	9/29/2008	CDRG	P	B	2000	55.29	QID	10:48:28	10:48:28	273604008100	56.32	55.3	\$2,060.00
434	435	9/29/2008	CDRG	P	B	100	55.29	QID	10:49:12	10:49:12	27340405B800	56.29	55.3	\$100.00
435	436	9/29/2008	CDRG	P	B	500	55.29	QID	10:49:19	10:49:19	27360406E100	56.31	55.3	\$510.00
436	437	9/29/2008	CDRG	P	B	100	55.29	QID	10:49:38	10:49:37	27320409B800	56.19	55.3	\$90.00
437	439	9/29/2008	CDRG	P	B	510	55.29	QID	10:49:45	10:49:45	2734040B4B00	56.19	55.3	\$459.00
438	438	9/29/2008	CDRG	P	B	690	55.29	QID	10:49:45	10:49:45	2732040B6800	56.19	55.3	\$621.00
439	440	9/29/2008	CDRG	P	B	110	55.29	QID	10:49:53	10:49:53	2732040CBB00	56.14	55.3	\$93.50
440	441	9/29/2008	CDRG	P	B	190	55.29	QID	10:49:53	10:49:53	2734040C9D00	56.14	55.3	\$161.50
441	442	9/29/2008	CDRG	P	B	200	55.29	QID	10:49:53	10:49:53	2736040CB100	56.14	55.3	\$170.00
442	444	9/29/2008	CDRG	P	B	90	55.29	QID	10:50:03	10:50:03	2734040E5500	56.13	55.3	\$75.60
443	445	9/29/2008	CDRG	P	B	110	55.29	QID	10:50:03	10:50:03	2734040E5600	56.13	55.3	\$92.40
444	443	9/29/2008	CDRG	P	B	400	55.29	QID	10:50:03	10:50:03	2736040E6900	56.13	55.3	\$336.00
445	447	9/29/2008	CDRG	P	B	90	55.29	QID	10:50:24	10:50:24	273604117000	56.12	55.3	\$74.70
446	446	9/29/2008	CDRG	P	B	310	55.29	QID	10:50:24	10:50:24	273804116B00	56.12	55.3	\$257.30
447	448	9/29/2008	CDRG	P	B	50	55.29	QID	10:51:02	10:51:02	27300416B100	56.13	55.3	\$42.00
448	450	9/29/2008	CDRG	P	B	44	55.29	QID	10:51:03	10:51:03	27320416C200	56.13	55.3	\$36.96
449	451	9/29/2008	CDRG	P	B	120	55.29	QID	10:51:03	10:51:03	27380416B700	56.13	55.3	\$100.80
450	449	9/29/2008	CDRG	P	B	140	55.29	QID	10:51:03	10:51:03	27300416B800	56.13	55.3	\$117.60
451	453	9/29/2008	CDRG	P	B	36	55.29	QID	10:51:30	10:51:30	2738041A8900	56.07	55.3	\$28.08
452	452	9/29/2008	CDRG	P	B	50	55.29	QID	10:51:30	10:51:30	2738041A9400	56.07	55.3	\$39.00
453	454	9/29/2008	CDRG	P	B	100	55.29	QID	10:51:30	10:51:30	2732041A9900	56.07	55.3	\$78.00
454	455	9/29/2008	CDRG	P	B	104	55.29	QID	10:51:30	10:51:30	2734041A7C00	56.07	55.3	\$81.12
455	456	9/29/2008	CDRG	P	B	100	55.29	QID	10:52:40	10:52:40	273404233200	56.16	55.3	\$87.00
456	457	9/29/2008	CDRG	P	B	146	55.29	QID	10:53:50	10:53:50	2734042C2E00	56.30	55.3	\$147.46
457	458	9/29/2008	CDRG	P	B	500	55.29	QID	10:53:55	10:53:55	2734042CB300	56.18	55.3	\$445.00
458	459	9/29/2008	CDRG	P	B	10	55.29	QID	10:54:39	10:54:39	27380432FE00	56.30	55.3	\$10.10
459	460	9/29/2008	CDRG	P	B	30	55.29	QID	10:55:50	10:55:50	2730043BBF00	56.40	55.3	\$33.30
460	461	9/29/2008	CDRG	P	B	60	55.29	QID	10:57:20	10:57:20	27380449D800	56.52	55.3	\$73.80
461	462	9/29/2008	CDRG	P	B	340	55.29	QID	10:57:20	10:57:20	27320449E800	56.52	55.3	\$418.20
462	464	9/29/2008	CDRG	P	B	90	55.29	QID	10:59:41	10:59:41	2734045CD400	56.34	55.3	\$94.50
463	463	9/29/2008	CDRG	P	B	260	55.29	QID	10:59:41	10:59:41	2732045CF100	56.34	55.3	\$273.00
464	465	9/29/2008	CDRG	P	B	100	55.29	QID	10:59:55	10:59:55	2738045EA000	56.34	55.3	\$105.00
465	466	9/29/2008	CDRG	P	B	10	55.29	QID	11:00:57	11:00:57	273404683000	56.28	55.3	\$9.90
466	467	9/29/2008	CDRG	P	B	115	55.29	QID	11:00:57	11:00:57	273404683100	56.28	55.3	\$113.85
467	470	9/29/2008	CDRG	P	B	85	55.29	QID	11:02:05	11:02:05	273204723D00	56.48	55.3	\$101.15
468	468	9/29/2008	CDRG	P	B	100	55.29	QID	11:02:05	11:02:05	273404722000	56.48	55.3	\$119.00
469	469	9/29/2008	CDRG	P	B	165	55.29	QID	11:02:05	11:02:05	273204723E00	56.48	55.3	\$196.35
470	471	9/29/2008	CDRG	P	B	200	55.29	QID	11:02:05	11:02:05	273604723400	56.48	55.3	\$238.00
471	472	9/29/2008	CDRG	P	B	150	55.29	QID	11:02:20	11:02:20	27380473E900	56.45	55.3	\$174.00
472	473	9/29/2008	CDRG	P	B	100	55.29	QID	11:02:24	11:02:24	273204745800	56.45	55.3	\$116.00
473	474	9/29/2008	CDRG	P	B	185	55.29	QID	11:02:58	11:02:58	27320478D200	56.45	55.3	\$214.60
474	475	9/29/2008	CDRG	P	B	815	55.29	QID	11:02:58	11:02:58	27360478C900	56.45	55.3	\$945.40
475	476	9/29/2008	CDRG	P	B	30	55.29	QID	11:03:05	11:03:05	273404798500	56.45	55.3	\$34.80
476	479	9/29/2008	CDRG	P	B	100	55.29	QID	11:03:45	11:03:45	2730047E4800	56.39	55.3	\$110.00
477	477	9/29/2008	CDRG	P	B	145	55.29	QID	11:03:45	11:03:45	2732047E4E00	56.39	55.3	\$159.50
478	478	9/29/2008	CDRG	P	B	155	55.29	QID	11:03:45	11:03:45	2738047E3E00	56.39	55.3	\$170.50
479	480	9/29/2008	CDRG	P	B	2	55.29	QID	11:03:50	11:03:50	2730047ECF00	56.40	55.3	\$2.22
480	481	9/29/2008	CDRG	P	B	10	55.29	QID	11:03:52	11:03:52	2732047F0100	56.40	55.3	\$11.10

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell/Cross	Entered Quantity	Price	Issue	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
481	482	9/29/2008	CDRG	P	B	45	55.29	QID	11:04:34	11:04:34	27300483D700	56.45	55.3	\$52.20
482	483	9/29/2008	CDRG	P	B	150	55.29	QID	11:05:52	11:05:52	2736048EF700	56.43	55.3	\$171.00
483	485	9/29/2008	CDRG	P	B	62	55.29	QID	11:05:57	11:05:57	2730048F7400	56.41	55.3	\$69.44
484	484	9/29/2008	CDRG	P	B	148	55.29	QID	11:05:57	11:05:57	2738048F6A00	56.41	55.3	\$165.76
485	486	9/29/2008	CDRG	P	B	50	55.29	QID	11:06:17	11:06:17	273004925700	56.33	55.3	\$52.00
486	487	9/29/2008	CDRG	P	B	15	55.29	QID	11:06:40	11:06:40	273804955900	56.37	55.3	\$16.20
487	488	9/29/2008	CDRG	P	B	150	55.29	QID	11:07:00	11:07:00	273604983000	56.42	55.3	\$169.50
488	491	9/29/2008	CDRG	P	B	185	55.29	QID	11:07:00	11:07:00	273404981C00	56.42	55.3	\$209.05
489	490	9/29/2008	CDRG	P	B	273	55.29	QID	11:07:00	11:07:00	273204983900	56.42	55.3	\$308.49
490	489	9/29/2008	CDRG	P	B	392	55.29	QID	11:07:00	11:07:00	273004983600	56.42	55.3	\$442.96
491	492	9/29/2008	CDRG	P	B	200	55.29	QID	11:07:02	11:07:02	273804985500	56.43	55.3	\$228.00
492	493	9/29/2008	CDRG	P	B	100	55.29	QID	11:07:09	11:07:09	273804993E00	56.44	55.3	\$115.00
493	495	9/29/2008	CDRG	P	B	300	55.29	QID	11:07:25	11:07:25	273604987700	56.44	55.3	\$345.00
494	494	9/29/2008	CDRG	P	B	392	55.29	QID	11:07:25	11:07:25	273204988100	56.44	55.3	\$450.80
495	496	9/29/2008	CDRG	P	B	1308	55.29	QID	11:07:25	11:07:25	273404986300	56.44	55.3	\$1,504.20
496	497	9/29/2008	CDRG	P	B	250	55.29	QID	11:07:30	11:07:30	2734049C2400	56.43	55.3	\$285.00
497	498	9/29/2008	CDRG	P	B	150	55.29	QID	11:08:24	11:08:24	273004A42600	56.25	55.3	\$144.00
498	499	9/29/2008	CDRG	P	B	50	55.29	QID	11:08:56	11:08:56	273404A96E00	56.28	55.3	\$49.50
499	500	9/29/2008	CDRG	P	B	100	55.29	QID	11:09:09	11:09:09	273804AB6900	56.32	55.3	\$103.00
500	501	9/29/2008	CDRG	P	B	400	55.29	QID	11:09:09	11:09:09	273604AB7200	56.32	55.3	\$412.00
501	503	9/29/2008	CDRG	P	B	149	55.29	QID	11:09:51	11:09:51	273404B2A000	56.23	55.3	\$140.06
502	502	9/29/2008	CDRG	P	B	351	55.29	QID	11:09:51	11:09:51	273604B2B400	56.23	55.3	\$329.94
503	504	9/29/2008	CDRG	P	B	100	55.29	QID	11:09:52	11:09:52	273204B2E400	56.22	55.3	\$93.00
504	505	9/29/2008	CDRG	P	B	800	55.29	QID	11:09:55	11:09:55	273004B35D00	56.22	55.3	\$744.00
505	506	9/29/2008	CDRG	P	B	100	55.29	QID	11:10:21	11:10:21	273404B70500	56.25	55.3	\$96.00
506	508	9/29/2008	CDRG	P	B	45	55.29	QID	11:10:28	11:10:28	273204B81B00	56.23	55.3	\$42.30
507	507	9/29/2008	CDRG	P	B	255	55.29	QID	11:10:28	11:10:28	273204B81C00	56.23	55.3	\$239.70
508	509	9/29/2008	CDRG	P	B	100	55.29	QID	11:10:42	11:10:42	273804B9C500	56.22	55.3	\$93.00
509	510	9/29/2008	CDRG	P	B	500	55.29	QID	11:10:49	11:10:49	273804BA9400	56.18	55.3	\$445.00
510	511	9/29/2008	CDRG	P	B	100	55.29	QID	11:10:53	11:10:53	273604BB1700	56.18	55.3	\$89.00
511	512	9/29/2008	CDRG	P	B	30	55.29	QID	11:11:06	11:11:06	273604BCD500	56.16	55.3	\$26.10
512	513	9/29/2008	CDRG	P	B	45	55.29	QID	11:11:06	11:11:06	273404BCC100	56.16	55.3	\$39.15
513	514	9/29/2008	CDRG	P	B	300	55.29	QID	11:11:54	11:11:54	273404C3E400	56.30	55.3	\$303.00
												Total Restitution Due:		\$143,739.87

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
1	1	11/28/2007	CDRG	P	B	50	C	32.46	10:40:50	10:40:50	332402232400	32.52	32.45	\$3.00
2	2	11/28/2007	CDRG	P	B	90	C	32.46	10:42:38	10:42:38	332202316100	32.48	32.45	\$1.80
3	3	11/28/2007	CDRG	P	B	40	C	32.46	10:42:49	10:42:47	33220233FA00	32.53	32.45	\$2.80
4	4	01/17/2008	CDRG	P	B	64	QLD	76.65	15:51:14	15:51:14	017209738100	76.82	76.66	\$10.88
5	5	01/17/2008	CDRG	P	B	500	QLD	76.65	15:51:14	15:51:14	01760973A600	76.82	76.66	\$85.00
6	6	01/17/2008	CDRG	P	B	1000	QLD	76.65	15:51:19	15:51:19	017409743900	76.82	76.66	\$170.00
7	7	01/17/2008	CDRG	P	B	50	SPY	133.09	15:52:32	15:52:32	0172097FD700	133.21	133.09	\$6.00
8	8	01/17/2008	CDRG	P	B	162	SPY	133.09	15:53:07	15:53:07	01760985C600	133.17	133.09	\$12.96
9	9	01/17/2008	CDRG	P	B	82	SPY	133.09	15:57:26	15:57:25	017409AF4500	133.15	133.09	\$4.92
10	10	01/17/2008	CDRG	P	B	168	SPY	133.09	15:57:25	15:57:25	017809AF4800	133.15	133.09	\$10.08
11	11	01/17/2008	CDRG	P	B	250	SPY	133.09	15:57:25	15:57:25	017009AF3C00	133.15	133.09	\$15.00
12	12	04/01/2008	CDRG	P	B	500	BZH	9.75	10:10:07	10:10:07	092801929D00	9.85	9.76	\$50.00
13	16	9/29/2008	CDRG	P	S	200	SPY	118.19	9:47:36	9:47:36	2733010CE400	118.19	118.17	\$4.00
14	17	9/29/2008	CDRG	P	S	750	SPY	118.2	9:48:59	9:48:59	2735011CB300	118.19	117.96	\$180.00
15	18	9/29/2008	CDRG	P	S	955	SPY	118.2	9:49:02	9:49:02	2739011D5400	118.19	117.96	\$229.20
16	19	9/29/2008	CDRG	P	B	65	SKF	103	9:55:35	9:55:35	273801A86000	103.18	103.00	\$11.70
17	20	9/29/2008	CDRG	P	S	500	CHK	34	9:55:36	9:55:36	273901786500	34.00	33.94	\$30.00
18	21	9/29/2008	CDRG	P	B	10	SKF	102.99	9:55:42	9:55:41	273601AA7A00	103.36	103.00	\$3.70
19	22	9/29/2008	CDRG	P	S	400	CHK	34	9:55:47	9:55:47	2731017B3100	34.00	33.97	\$12.00
20	23	9/29/2008	CDRG	P	S	100	CHK	34	9:55:49	9:55:49	2733017BE300	34.00	33.95	\$5.00
21	24	9/29/2008	CDRG	P	S	5000	CHK	34	9:55:50	9:55:50	2733017C1F00	34.00	33.95	\$250.00
22	25	9/29/2008	CDRG	P	B	25	SKF	102.99	9:55:58	9:55:58	273801AF2200	103.98	103.00	\$24.75
23	26	9/29/2008	CDRG	P	B	75	SKF	102.99	9:55:58	9:55:58	273001AF2C00	103.98	103.00	\$74.25
24	27	9/29/2008	CDRG	P	B	500	SKF	102.99	9:56:01	9:56:01	273801B02C00	103.75	103.00	\$380.00
25	28	9/29/2008	CDRG	P	B	1000	AUY	8.99	9:56:12	9:56:12	273801B36000	9.05	9.00	\$60.00
26	29	9/29/2008	CDRG	P	B	1000	AUY	8.99	9:56:12	9:56:12	273601B36600	9.05	9.00	\$60.00
27	30	9/29/2008	CDRG	P	B	20	SKF	102.99	9:56:21	9:56:21	273401B63400	103.79	103.00	\$16.00
28	31	9/29/2008	CDRG	P	B	100	SKF	102.99	9:56:34	9:56:34	273401BA2500	103.73	103.00	\$74.00
29	32	9/29/2008	CDRG	P	B	30	SKF	102.99	9:56:34	9:56:34	273201BA4200	103.73	103.00	\$22.20
30	33	9/29/2008	CDRG	P	B	60	SKF	102.99	9:56:34	9:56:34	273801BA3300	103.73	103.00	\$44.40
31	34	9/29/2008	CDRG	P	B	40	SKF	102.99	9:56:38	9:56:38	273001BB8000	103.66	103.00	\$26.80
32	35	9/29/2008	CDRG	P	B	160	SKF	102.99	9:56:38	9:56:38	273201BB8600	103.66	103.00	\$107.20
33	36	9/29/2008	CDRG	P	B	300	SKF	102.99	9:56:57	9:56:56	273401BF7000	103.50	103.00	\$153.00
34	37	9/29/2008	CDRG	P	B	10	SKF	102.99	9:57:00	9:57:00	273801C02500	103.35	103.00	\$3.60
35	38	9/29/2008	CDRG	P	B	500	ABX	37.36	9:57:00	9:57:00	273401C02000	37.64	37.37	\$140.00
36	39	9/29/2008	CDRG	P	S	50	FCX	56.03	9:57:02	9:57:02	2731018AAB00	56.02	55.92	\$5.50
37	40	9/29/2008	CDRG	P	B	20	SKF	102.99	9:57:02	9:57:02	273201C09C00	103.30	103.00	\$6.20
38	41	9/29/2008	CDRG	P	B	100	JPM	45.76	9:57:07	9:57:07	273201C1B700	45.81	45.76	\$5.00
39	42	9/29/2008	CDRG	P	B	100	AUY	8.99	9:57:10	9:57:10	273801C29D00	9.09	9.00	\$10.00
40	43	9/29/2008	CDRG	P	B	25	SKF	102.99	9:57:12	9:57:12	273001C32D00	103.16	103.00	\$4.25
41	44	9/29/2008	CDRG	P	B	125	SKF	102.99	9:57:12	9:57:12	273201C33300	103.16	103.00	\$21.25
42	45	9/29/2008	CDRG	P	B	175	SKF	102.99	9:57:14	9:57:14	273801C3EE00	103.26	103.00	\$47.25
43	46	9/29/2008	CDRG	P	B	25	SKF	102.99	9:57:14	9:57:14	273001C3F800	103.26	103.00	\$6.75
44	47	9/29/2008	CDRG	P	B	500	SKF	102.99	9:57:14	9:57:14	273401C3FC00	103.26	103.00	\$135.00
45	48	9/29/2008	CDRG	P	B	500	AUY	8.99	9:57:16	9:57:16	273201C48600	9.09	9.00	\$50.00
46	49	9/29/2008	CDRG	P	B	3900	AUY	8.99	9:57:16	9:57:16	273801C47600	9.09	9.00	\$390.00
47	50	9/29/2008	CDRG	P	B	500	AUY	8.99	9:57:16	9:57:16	273001C48000	9.09	9.00	\$50.00
48	51	9/29/2008	CDRG	P	B	100	AUY	8.99	9:57:16	9:57:16	273401C46B00	9.09	9.00	\$10.00
49	52	9/29/2008	CDRG	P	B	100	SKF	102.99	9:57:19	9:57:19	273801C53C00	103.39	103.00	\$40.00
50	53	9/29/2008	CDRG	P	B	100	SKF	102.99	9:57:19	9:57:19	273401C52F00	103.39	103.00	\$40.00
51	54	9/29/2008	CDRG	P	B	100	SKF	102.99	9:57:22	9:57:22	273601C5D500	103.11	103.00	\$12.00
52	55	9/29/2008	CDRG	P	B	100	SKF	102.99	9:57:22	9:57:22	273201C5DF00	103.11	103.00	\$12.00
53	56	9/29/2008	CDRG	P	B	800	SKF	102.99	9:57:22	9:57:22	273401C5C200	103.11	103.00	\$96.00
54	57	9/29/2008	CDRG	P	B	200	SKF	102.99	9:57:27	9:57:27	273601C7A900	103.04	103.00	\$10.00
55	58	9/29/2008	CDRG	P	S	250	FCX	56.03	9:57:28	9:57:28	2731018FD100	56.02	55.90	\$32.50
56	59	9/29/2008	CDRG	P	S	1000	FCX	56.03	9:57:30	9:57:30	273301904D00	56.02	55.93	\$100.00
57	60	9/29/2008	CDRG	P	B	400	AUY	8.99	9:57:32	9:57:32	273401C8CC00	9.13	9.00	\$56.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
58	61	9/29/2008	CDRG	P	B	500	AUY	8.99	9:57:32	9:57:32	273601C8E000	9.13	9.00	\$70.00
59	62	9/29/2008	CDRG	P	B	1200	AUY	8.99	9:57:32	9:57:32	273801C8DB00	9.13	9.00	\$168.00
60	63	9/29/2008	CDRG	P	S	75	FCX	56.03	9:57:39	9:57:39	273101923	56.02	55.93	\$7.50
61	64	9/29/2008	CDRG	P	S	300	FCX	56.03	9:57:43	9:57:42	273301932A00	56.02	55.90	\$39.00
62	65	9/29/2008	CDRG	P	S	200	FCX	56.03	9:57:50	9:57:50	273701946	56.02	55.85	\$36.00
63	66	9/29/2008	CDRG	P	S	300	FCX	56.03	9:57:56	9:57:56	27370195A200	56.02	55.85	\$54.00
64	67	9/29/2008	CDRG	P	S	200	FCX	56.03	9:58:01	9:58:01	273501968F00	56.02	55.92	\$22.00
65	68	9/29/2008	CDRG	P	S	300	FCX	56.03	9:58:01	9:58:01	27310196A300	56.02	55.92	\$33.00
66	69	9/29/2008	CDRG	P	B	350	AUY	8.99	9:58:06	9:58:06	273401D02800	9.08	9.00	\$31.50
67	70	9/29/2008	CDRG	P	B	55	CF	82.6	9:58:08	9:58:08	273401D08800	82.84	82.61	\$13.20
68	71	9/29/2008	CDRG	P	B	200	SKF	103	9:58:17	9:58:17	273001D2CD00	103.04	103.00	\$8.00
69	72	9/29/2008	CDRG	P	B	150	SKF	103	9:58:18	9:58:18	273601D31800	103.04	103.00	\$6.00
70	73	9/29/2008	CDRG	P	S	1000	FCX	56.03	9:58:26	9:58:26	2735019B2600	56.02	55.92	\$110.00
71	74	9/29/2008	CDRG	P	B	100	SKF	103	9:58:27	9:58:27	273401D53600	103.04	103.00	\$4.00
72	75	9/29/2008	CDRG	P	B	410	ABX	37.36	9:58:29	9:58:29	273001D5E800	37.76	37.37	\$164.00
73	76	9/29/2008	CDRG	P	B	100	ABX	37.36	9:58:29	9:58:29	273201D5EE00	37.76	37.37	\$40.00
74	77	9/29/2008	CDRG	P	B	90	ABX	37.36	9:58:30	9:58:29	273601D5E500	37.76	37.37	\$36.00
75	78	9/29/2008	CDRG	P	B	100	SKF	103	9:58:32	9:58:32	273401D69200	103.08	103.00	\$8.00
76	79	9/29/2008	CDRG	P	B	100	ABX	37.36	9:58:34	9:58:34	273001D6F900	37.81	37.37	\$45.00
77	80	9/29/2008	CDRG	P	B	110	ABX	37.36	9:58:34	9:58:34	273601D6F500	37.81	37.37	\$49.50
78	81	9/29/2008	CDRG	P	B	500	ABX	37.36	9:58:34	9:58:34	273001D6FA00	37.81	37.37	\$225.00
79	82	9/29/2008	CDRG	P	B	400	ABX	37.36	9:58:34	9:58:34	273801D6F000	37.81	37.37	\$180.00
80	83	9/29/2008	CDRG	P	B	100	ABX	37.36	9:58:34	9:58:34	273601D6F800	37.81	37.37	\$45.00
81	84	9/29/2008	CDRG	P	B	147	ABX	37.36	9:58:34	9:58:34	273201D70100	37.81	37.37	\$66.15
82	85	9/29/2008	CDRG	P	B	50	ABX	37.36	9:58:34	9:58:34	273401D6E400	37.81	37.37	\$22.50
83	86	9/29/2008	CDRG	P	B	1000	ABX	37.36	9:58:34	9:58:34	273001D6FB00	37.81	37.37	\$450.00
84	87	9/29/2008	CDRG	P	B	200	ABX	37.36	9:58:34	9:58:34	273201D70200	37.81	37.37	\$90.00
85	88	9/29/2008	CDRG	P	B	200	ABX	37.36	9:58:34	9:58:34	273401D6E500	37.81	37.37	\$90.00
86	89	9/29/2008	CDRG	P	B	40	ABX	37.36	9:58:34	9:58:34	273601D6FA00	37.81	37.37	\$18.00
87	90	9/29/2008	CDRG	P	B	100	ABX	37.36	9:58:34	9:58:34	273401D6E600	37.81	37.37	\$45.00
88	91	9/29/2008	CDRG	P	B	1053	ABX	37.36	9:58:34	9:58:34	273201D70400	37.81	37.37	\$473.85
89	92	9/29/2008	CDRG	P	B	100	SKF	103	9:58:39	9:58:39	273601D84500	103.22	103.00	\$22.00
90	93	9/29/2008	CDRG	P	B	5	SKF	102.99	9:58:42	9:58:42	273401D8C000	103.25	103.00	\$1.30
91	94	9/29/2008	CDRG	P	B	200	GE	24.91	9:58:42	9:58:42	273201D8F300	25.03	24.92	\$24.00
92	95	9/29/2008	CDRG	P	B	100	GE	24.91	9:58:43	9:58:43	273601D90A00	24.98	24.92	\$7.00
93	96	9/29/2008	CDRG	P	B	150	GE	24.91	9:58:43	9:58:43	273801D90700	24.98	24.92	\$10.50
94	97	9/29/2008	CDRG	P	B	245	SKF	103	9:58:48	9:58:48	273001DA1D00	103.33	103.00	\$80.85
95	98	9/29/2008	CDRG	P	B	55	SKF	103	9:58:48	9:58:48	273601DA1B00	103.33	103.00	\$18.15
96	99	9/29/2008	CDRG	P	B	100	GE	24.91	9:58:49	9:58:49	273601DA4B00	24.95	24.92	\$4.00
97	100	9/29/2008	CDRG	P	B	100	GE	24.91	9:58:50	9:58:50	273401DA6F00	24.95	24.92	\$4.00
98	101	9/29/2008	CDRG	P	B	150	GE	24.91	9:58:50	9:58:50	273601DA8300	24.95	24.92	\$6.00
99	102	9/29/2008	CDRG	P	B	100	AUY	8.99	9:58:50	9:58:50	273601DA8500	9.11	9.00	\$12.00
100	103	9/29/2008	CDRG	P	S	25	FCX	56.03	9:58:51	9:58:51	2733019FF700	56.02	55.90	\$3.25
101	104	9/29/2008	CDRG	P	B	400	ABX	37.36	9:58:52	9:58:52	273201DAD300	37.81	37.37	\$180.00
102	105	9/29/2008	CDRG	P	S	50	FCX	56.03	9:58:54	9:58:54	273901A08000	56.02	55.90	\$6.50
103	106	9/29/2008	CDRG	P	S	100	FCX	56.03	9:58:55	9:58:55	273501A0AF00	56.02	55.90	\$13.00
104	107	9/29/2008	CDRG	P	S	200	FCX	56.03	9:58:56	9:58:56	273501A0D400	56.02	55.90	\$26.00
105	108	9/29/2008	CDRG	P	B	100	SKF	102.99	9:59:00	9:59:00	273601DCA200	103.52	103.00	\$53.00
106	109	9/29/2008	CDRG	P	B	55	SKF	102.99	9:59:00	9:59:00	273001DCB600	103.52	103.00	\$29.15
107	110	9/29/2008	CDRG	P	B	75	SKF	102.99	9:59:00	9:59:00	273201DCBE00	103.52	103.00	\$39.75
108	111	9/29/2008	CDRG	P	S	50	FCX	56.03	9:59:00	9:59:00	273101A1B000	56.02	55.80	\$11.50
109	112	9/29/2008	CDRG	P	B	500	GE	24.91	9:59:03	9:59:03	273801DD6300	25.00	24.92	\$45.00
110	113	9/29/2008	CDRG	P	B	1500	GE	24.91	9:59:03	9:59:03	273001DD6E00	25.00	24.92	\$135.00
111	114	9/29/2008	CDRG	P	B	200	ABX	37.36	9:59:06	9:59:06	273401DDD500	37.85	37.37	\$98.00
112	115	9/29/2008	CDRG	P	B	25	GE	24.91	9:59:06	9:59:06	273201DE0E00	25.04	24.92	\$3.25
113	116	9/29/2008	CDRG	P	B	40	AUY	8.99	9:59:07	9:59:07	273801DE6300	9.08	9.00	\$3.60
114	117	9/29/2008	CDRG	P	B	100	GE	24.91	9:59:10	9:59:10	273401DEDE00	25.05	24.92	\$14.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
115	118	9/29/2008	CDRG	P	B	100	GE	24.91	9:59:11	9:59:11	273001DF2700	25.03	24.92	\$12.00
116	119	9/29/2008	CDRG	P	B	200	ABX	37.36	9:59:29	9:59:29	273401E30100	37.85	37.37	\$98.00
117	120	9/29/2008	CDRG	P	B	200	ABX	37.36	9:59:29	9:59:29	273201E31F00	37.85	37.37	\$98.00
118	121	9/29/2008	CDRG	P	S	100	FCX	56.03	9:59:32	9:59:32	273901A85A00	56.02	55.35	\$68.00
119	122	9/29/2008	CDRG	P	S	20	FCX	56.03	9:59:32	9:59:32	273101A86E00	56.02	55.35	\$13.60
120	123	9/29/2008	CDRG	P	S	50	FCX	56.03	9:59:32	9:59:32	273501A86700	56.02	55.35	\$34.00
121	124	9/29/2008	CDRG	P	S	100	FCX	56.03	9:59:33	9:59:33	273701A87500	56.02	55.37	\$66.00
122	125	9/29/2008	CDRG	P	B	25	SKF	102.99	9:59:35	9:59:35	273401E42500	103.92	103.00	\$23.25
123	126	9/29/2008	CDRG	P	B	100	SKF	102.99	9:59:37	9:59:37	273001E4C300	103.90	103.00	\$91.00
124	127	9/29/2008	CDRG	P	B	300	ABX	37.36	9:59:38	9:59:38	273001E51C00	37.85	37.37	\$147.00
125	128	9/29/2008	CDRG	P	B	200	AUY	8.99	9:59:38	9:59:38	273601E51F00	9.10	9.00	\$22.00
126	129	9/29/2008	CDRG	P	B	500	ABX	37.36	9:59:41	9:59:41	273201E5ED00	37.85	37.37	\$245.00
127	130	9/29/2008	CDRG	P	B	280	SKF	102.99	9:59:44	9:59:44	273801E68100	103.84	103.00	\$238.00
128	131	9/29/2008	CDRG	P	B	20	SKF	102.99	9:59:44	9:59:44	273401E67300	103.84	103.00	\$17.00
129	132	9/29/2008	CDRG	P	B	100	GE	24.92	9:59:44	9:59:44	273401E67B00	24.95	24.92	\$3.00
130	133	9/29/2008	CDRG	P	B	200	GE	24.92	9:59:47	9:59:47	273801E73C00	24.95	24.92	\$6.00
131	134	9/29/2008	CDRG	P	S	1000	FCX	56.03	9:59:54	9:59:54	273301AD1000	56.02	55.23	\$800.00
132	135	9/29/2008	CDRG	P	B	100	GE	24.92	9:59:55	9:59:55	273601E8BF00	24.99	24.92	\$7.00
133	136	9/29/2008	CDRG	P	B	1000	ABX	37.36	9:59:55	9:59:55	273401E8B000	37.79	37.37	\$430.00
134	137	9/29/2008	CDRG	P	B	100	CF	82.6	9:59:56	9:59:56	273201E90900	83.02	82.61	\$42.00
135	138	9/29/2008	CDRG	P	B	120	GE	24.92	10:00:04	10:00:04	273001EB4D00	25.00	24.92	\$9.60
136	139	9/29/2008	CDRG	P	B	110	AUY	8.99	10:00:07	10:00:07	273201EC7A00	9.08	9.00	\$9.90
137	140	9/29/2008	CDRG	P	B	35	AUY	8.99	10:00:07	10:00:07	273001EC7700	9.08	9.00	\$3.15
138	141	9/29/2008	CDRG	P	B	200	ABX	37.36	10:00:09	10:00:09	273601ED0900	37.78	37.37	\$84.00
139	142	9/29/2008	CDRG	P	S	760	FCX	56.03	10:00:09	10:00:09	273901B04900	56.02	55.49	\$410.40
140	143	9/29/2008	CDRG	P	S	100	FCX	56.03	10:00:09	10:00:09	273101B05C00	56.02	55.49	\$54.00
141	144	9/29/2008	CDRG	P	B	152	GE	24.92	10:00:10	10:00:10	273201EDB400	25.06	24.92	\$21.28
142	145	9/29/2008	CDRG	P	S	100	FCX	56.03	10:00:11	10:00:11	273701B0DB00	56.02	55.44	\$59.00
143	146	9/29/2008	CDRG	P	B	100	SKF	102.99	10:00:12	10:00:12	273601EE5400	103.41	103.00	\$42.00
144	147	9/29/2008	CDRG	P	B	100	SKF	102.99	10:00:12	10:00:12	273201EE5E00	103.41	103.00	\$42.00
145	148	9/29/2008	CDRG	P	B	100	SKF	102.99	10:00:19	10:00:19	273601EFB800	103.28	103.00	\$29.00
146	149	9/29/2008	CDRG	P	B	200	JPM	45.76	10:00:23	10:00:23	273201F15B00	46.25	45.76	\$98.00
147	150	9/29/2008	CDRG	P	B	200	JPM	45.76	10:00:23	10:00:23	273001F15500	46.25	45.76	\$98.00
148	151	9/29/2008	CDRG	P	B	35	JPM	45.76	10:00:23	10:00:23	273801F14C00	46.25	45.76	\$17.15
149	152	9/29/2008	CDRG	P	B	100	SKF	102.99	10:00:24	10:00:24	273801F1AC00	103.19	103.00	\$20.00
150	153	9/29/2008	CDRG	P	B	300	GE	24.91	10:00:24	10:00:24	273401F1B900	25.07	24.92	\$48.00
151	154	9/29/2008	CDRG	P	B	100	GE	24.91	10:00:29	10:00:29	273201F38D00	24.94	24.92	\$3.00
152	155	9/29/2008	CDRG	P	B	150	JPM	45.75	10:00:30	10:00:30	273201F3AC00	46.22	45.76	\$70.50
153	156	9/29/2008	CDRG	P	B	350	JPM	45.75	10:00:30	10:00:30	273001F3A800	46.22	45.76	\$164.50
154	157	9/29/2008	CDRG	P	B	309	GE	24.92	10:00:33	10:00:33	273801F4BB00	24.98	24.92	\$18.54
155	158	9/29/2008	CDRG	P	B	59	GE	24.92	10:00:40	10:00:40	273401F6CD00	25.03	24.92	\$6.49
156	159	9/29/2008	CDRG	P	B	141	GE	24.92	10:00:40	10:00:40	273201F6EA00	25.03	24.92	\$15.51
157	160	9/29/2008	CDRG	P	S	400	FCX	56.03	10:00:44	10:00:44	273701B8BE00	56.02	55.69	\$136.00
158	161	9/29/2008	CDRG	P	S	200	FCX	56.03	10:00:45	10:00:45	273101B91000	56.02	55.56	\$94.00
159	162	9/29/2008	CDRG	P	S	300	CHK	34	10:00:49	10:00:49	273501BA2D00	34.00	33.95	\$15.00
160	163	9/29/2008	CDRG	P	B	1000	LOW	23.59	10:00:50	10:00:50	273401F95D00	23.72	23.60	\$130.00
161	164	9/29/2008	CDRG	P	B	1558	GE	24.92	10:00:54	10:00:54	273201FA2900	24.94	24.92	\$31.16
162	165	9/29/2008	CDRG	P	B	441	GE	24.92	10:00:54	10:00:54	273001FA2300	24.94	24.92	\$8.82
163	166	9/29/2008	CDRG	P	B	509	JPM	45.75	10:00:54	10:00:54	273001FA6100	46.23	45.76	\$244.32
164	167	9/29/2008	CDRG	P	B	2000	JPM	45.75	10:01:03	10:01:03	273801FC1500	46.20	45.76	\$900.00
165	168	9/29/2008	CDRG	P	B	150	GE	24.92	10:01:03	10:01:03	273801FC1D00	25.03	24.92	\$16.50
166	169	9/29/2008	CDRG	P	B	111	JPM	45.75	10:01:08	10:01:08	273801FD4100	46.23	45.76	\$53.28
167	170	9/29/2008	CDRG	P	B	389	JPM	45.75	10:01:08	10:01:08	273201FD5100	46.23	45.76	\$186.72
168	171	9/29/2008	CDRG	P	B	81	JPM	45.75	10:01:10	10:01:10	273401FDC300	46.20	45.76	\$36.45
169	172	9/29/2008	CDRG	P	B	200	ABX	37.36	10:01:11	10:01:11	273601FDF300	37.53	37.37	\$34.00
170	173	9/29/2008	CDRG	P	B	300	JPM	45.75	10:01:12	10:01:12	273001FE3200	46.20	45.76	\$135.00
171	174	9/29/2008	CDRG	P	S	500	FCX	56.03	10:01:13	10:01:13	273701BF8700	56.02	55.37	\$330.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
172	175	9/29/2008	CDRG	P	B	400	GE	24.92	10:01:16	10:01:16	273001FEE100	24.94	24.92	\$8.00
173	176	9/29/2008	CDRG	P	B	100	ABX	37.36	10:01:16	10:01:16	273601FEF600	37.48	37.37	\$12.00
174	177	9/29/2008	CDRG	P	B	200	JPM	45.75	10:01:18	10:01:18	273001FF7B00	46.17	45.76	\$84.00
175	178	9/29/2008	CDRG	P	S	200	FCX	56.03	10:01:27	10:01:27	273901C24300	56.02	55.47	\$112.00
176	179	9/29/2008	CDRG	P	B	100	ABX	37.36	10:01:27	10:01:27	273202014C00	37.37	37.37	\$1.00
177	180	9/29/2008	CDRG	P	S	100	FCX	56.03	10:01:35	10:01:35	273301C43B00	56.02	55.06	\$97.00
178	181	9/29/2008	CDRG	P	S	100	FCX	56.03	10:01:35	10:01:35	273501C43500	56.02	55.06	\$97.00
179	182	9/29/2008	CDRG	P	B	1500	GE	24.92	10:01:38	10:01:38	273402036D00	24.99	24.92	\$105.00
180	183	9/29/2008	CDRG	P	B	500	GE	24.92	10:01:38	10:01:38	273602038100	24.99	24.92	\$35.00
181	184	9/29/2008	CDRG	P	B	450	GE	24.92	10:01:38	10:01:38	273202038A00	24.99	24.92	\$31.50
182	185	9/29/2008	CDRG	P	B	400	GE	24.92	10:01:38	10:01:38	273802037B00	24.99	24.92	\$28.00
183	186	9/29/2008	CDRG	P	B	100	GE	24.92	10:01:38	10:01:38	273002038500	24.99	24.92	\$7.00
184	187	9/29/2008	CDRG	P	B	291	GE	24.92	10:01:38	10:01:38	273802037D00	24.99	24.92	\$20.37
185	188	9/29/2008	CDRG	P	B	100	GE	24.92	10:01:44	10:01:44	273802048200	25.00	24.92	\$8.00
186	189	9/29/2008	CDRG	P	S	50	FCX	56.03	10:01:46	10:01:46	273901C67B00	56.02	54.63	\$70.00
187	190	9/29/2008	CDRG	P	B	100	ABX	37.37	10:01:58	10:01:58	27300207B800	37.49	37.37	\$12.00
188	191	9/29/2008	CDRG	P	B	100	JPM	45.75	10:02:06	10:02:06	273402097900	45.98	45.76	\$23.00
189	192	9/29/2008	CDRG	P	S	50	FCX	56.03	10:02:08	10:02:08	273701CA7B00	56.02	54.69	\$67.00
190	193	9/29/2008	CDRG	P	B	60	ABX	37.37	10:02:09	10:02:09	2732020A4E00	37.58	37.37	\$12.60
191	194	9/29/2008	CDRG	P	B	10	CF	82.6	10:02:11	10:02:11	2736020A8C00	83.18	82.61	\$5.80
192	195	9/29/2008	CDRG	P	S	100	FCX	56.03	10:02:15	10:02:15	273901CBD900	56.02	54.57	\$146.00
193	196	9/29/2008	CDRG	P	B	100	JPM	45.75	10:02:17	10:02:17	2738020BEC00	46.15	45.76	\$40.00
194	197	9/29/2008	CDRG	P	B	109	GE	24.91	10:02:19	10:02:19	2734020C3500	25.00	24.92	\$9.81
195	198	9/29/2008	CDRG	P	B	500	GE	24.91	10:02:19	10:02:19	2732020C5300	25.00	24.92	\$45.00
196	199	9/29/2008	CDRG	P	B	780	GE	24.91	10:02:19	10:02:19	2738020C4400	25.00	24.92	\$70.20
197	200	9/29/2008	CDRG	P	B	200	GE	24.91	10:02:19	10:02:19	2734020C3600	25.00	24.92	\$18.00
198	201	9/29/2008	CDRG	P	B	211	GE	24.91	10:02:19	10:02:19	2730020C5100	25.00	24.92	\$18.99
199	202	9/29/2008	CDRG	P	B	200	GE	24.91	10:02:19	10:02:19	2738020C4700	25.00	24.92	\$18.00
200	203	9/29/2008	CDRG	P	S	50	FCX	56.03	10:02:19	10:02:19	273101CCA400	56.02	54.46	\$78.50
201	204	9/29/2008	CDRG	P	B	110	GE	24.91	10:02:38	10:02:38	273002107B00	25.00	24.92	\$9.90
202	205	9/29/2008	CDRG	P	S	30	FCX	56.03	10:02:38	10:02:38	273301D07700	56.02	54.94	\$32.70
203	206	9/29/2008	CDRG	P	B	100	LOW	23.59	10:02:43	10:02:43	27380211A300	23.74	23.60	\$15.00
204	207	9/29/2008	CDRG	P	B	300	LOW	23.59	10:02:43	10:02:43	27300211AD00	23.74	23.60	\$45.00
205	208	9/29/2008	CDRG	P	S	50	CHK	34	10:02:45	10:02:45	273301D1B000	34.00	33.95	\$2.50
206	209	9/29/2008	CDRG	P	S	50	CHK	34	10:02:46	10:02:46	273701D1D700	34.00	33.90	\$5.00
207	210	9/29/2008	CDRG	P	S	100	CHK	34	10:02:50	10:02:50	273501D29000	34.00	33.87	\$13.00
208	211	9/29/2008	CDRG	P	S	35	FCX	56.03	10:03:02	10:03:02	273101D54900	56.02	55.22	\$28.35
209	212	9/29/2008	CDRG	P	S	100	CHK	34.01	10:03:03	10:03:03	273301D56800	34.00	33.84	\$17.00
210	213	9/29/2008	CDRG	P	B	114	GE	24.91	10:03:04	10:03:03	273202162300	25.10	24.92	\$21.66
211	214	9/29/2008	CDRG	P	S	149	FCX	56.03	10:03:04	10:03:04	273901D59B00	56.02	55.25	\$116.22
212	215	9/29/2008	CDRG	P	S	50	CHK	34.01	10:03:05	10:03:05	273701D5AF00	34.00	33.84	\$8.50
213	216	9/29/2008	CDRG	P	B	35	GE	24.91	10:03:05	10:03:05	273002167	25.05	24.92	\$4.90
214	217	9/29/2008	CDRG	P	B	100	GE	24.91	10:03:06	10:03:05	273402166D00	25.05	24.92	\$14.00
215	218	9/29/2008	CDRG	P	B	500	GE	24.91	10:03:10	10:03:10	27320217D100	25.07	24.92	\$80.00
216	219	9/29/2008	CDRG	P	B	100	GE	24.91	10:03:21	10:03:21	27380219F400	25.12	24.92	\$21.00
217	220	9/29/2008	CDRG	P	S	25	CHK	34.01	10:03:21	10:03:21	273301D99000	34.00	33.84	\$4.25
218	221	9/29/2008	CDRG	P	S	100	CHK	34.01	10:03:26	10:03:26	273901DA6A00	34.00	33.81	\$20.00
219	222	9/29/2008	CDRG	P	B	26	GE	24.91	10:03:31	10:03:31	27340218C500	25.12	24.92	\$5.46
220	223	9/29/2008	CDRG	P	B	15	GE	24.91	10:03:35	10:03:35	2738021CD500	25.12	24.92	\$3.15
221	224	9/29/2008	CDRG	P	B	285	GE	24.91	10:03:35	10:03:35	2736021CDD00	25.12	24.92	\$59.85
222	225	9/29/2008	CDRG	P	B	100	GE	24.91	10:03:37	10:03:37	2730021D7C00	25.11	24.92	\$20.00
223	226	9/29/2008	CDRG	P	B	585	GE	24.91	10:03:37	10:03:37	2732021D8200	25.11	24.92	\$117.00
224	227	9/29/2008	CDRG	P	B	15	GE	24.91	10:03:37	10:03:37	2738021D7200	25.11	24.92	\$3.00
225	228	9/29/2008	CDRG	P	B	2000	ABX	37.36	10:03:39	10:03:39	2732021DEE00	37.79	37.37	\$860.00
226	229	9/29/2008	CDRG	P	B	1000	ABX	37.36	10:03:39	10:03:39	2738021DE000	37.79	37.37	\$430.00
227	230	9/29/2008	CDRG	P	B	500	ABX	37.36	10:03:39	10:03:39	2734021DD200	37.79	37.37	\$215.00
228	231	9/29/2008	CDRG	P	B	50	ABX	37.36	10:03:39	10:03:39	2736021DE600	37.79	37.37	\$21.50

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
229	232	9/29/2008	CDRG	P	B	971	ABX	37.36	10:03:39	10:03:39	2732021DF200	37.79	37.37	\$417.53
230	233	9/29/2008	CDRG	P	B	150	CF	82.6	10:03:54	10:03:53	27360220D300	83.50	82.61	\$135.00
231	234	9/29/2008	CDRG	P	B	1100	CF	82.6	10:04:33	10:04:33	273802292F00	82.67	82.61	\$77.00
232	235	9/29/2008	CDRG	P	B	710	C	19.9	10:04:39	10:04:39	2732022A9000	19.96	19.90	\$42.60
233	236	9/29/2008	CDRG	P	B	300	ABX	37.36	10:04:42	10:04:42	2734022B2B00	37.75	37.37	\$117.00
234	237	9/29/2008	CDRG	P	B	100	C	19.9	10:04:48	10:04:48	2734022C9000	19.96	19.90	\$6.00
235	238	9/29/2008	CDRG	P	B	810	C	19.9	10:04:52	10:04:52	2736022D5B00	19.98	19.90	\$64.80
236	239	9/29/2008	CDRG	P	B	190	C	19.9	10:04:52	10:04:52	2734022D4700	19.98	19.90	\$15.20
237	240	9/29/2008	CDRG	P	B	500	C	19.9	10:04:55	10:04:55	2732022E0700	19.96	19.90	\$30.00
238	241	9/29/2008	CDRG	P	B	500	C	19.9	10:04:55	10:04:55	2734022DEE00	19.96	19.90	\$30.00
239	242	9/29/2008	CDRG	P	B	500	C	19.9	10:04:55	10:04:55	2730022E1100	19.96	19.90	\$30.00
240	243	9/29/2008	CDRG	P	B	500	C	19.9	10:04:55	10:04:55	2734022E0600	19.96	19.90	\$30.00
241	244	9/29/2008	CDRG	P	B	500	C	19.9	10:04:56	10:04:55	2738022E1E00	19.96	19.90	\$30.00
242	245	9/29/2008	CDRG	P	B	500	C	19.9	10:04:56	10:04:56	2738022E2D00	19.96	19.90	\$30.00
243	246	9/29/2008	CDRG	P	B	500	C	19.9	10:04:56	10:04:56	2736022E3900	19.96	19.90	\$30.00
244	247	9/29/2008	CDRG	P	B	500	C	19.9	10:04:56	10:04:56	2736022E3D00	19.96	19.90	\$30.00
245	248	9/29/2008	CDRG	P	B	500	C	19.9	10:04:56	10:04:56	2732022E5000	19.96	19.90	\$30.00
246	249	9/29/2008	CDRG	P	B	500	C	19.9	10:04:56	10:04:56	2734022E3D00	19.96	19.90	\$30.00
247	250	9/29/2008	CDRG	P	B	500	C	19.9	10:04:56	10:04:56	2736022E5500	19.96	19.90	\$30.00
248	251	9/29/2008	CDRG	P	B	500	C	19.9	10:04:57	10:04:57	2730022E6300	19.95	19.90	\$25.00
249	252	9/29/2008	CDRG	P	B	500	C	19.9	10:04:57	10:04:57	2738022E6300	19.95	19.90	\$25.00
250	253	9/29/2008	CDRG	P	B	500	C	19.9	10:04:57	10:04:57	2734022E5900	19.95	19.90	\$25.00
251	254	9/29/2008	CDRG	P	B	500	C	19.9	10:04:57	10:04:57	2732022E7C00	19.95	19.90	\$25.00
252	255	9/29/2008	CDRG	P	B	190	C	19.9	10:04:57	10:04:57	2734022E6500	19.95	19.90	\$9.50
253	256	9/29/2008	CDRG	P	B	100	C	19.9	10:04:57	10:04:57	2736022E7900	19.95	19.90	\$5.00
254	257	9/29/2008	CDRG	P	B	110	C	19.9	10:04:57	10:04:57	2732022E8500	19.95	19.90	\$5.50
255	258	9/29/2008	CDRG	P	B	100	C	19.9	10:04:57	10:04:57	2730022E7F00	19.95	19.90	\$5.00
256	259	9/29/2008	CDRG	P	B	390	C	19.9	10:04:57	10:04:57	2732022E8F00	19.95	19.90	\$19.50
257	260	9/29/2008	CDRG	P	B	110	C	19.9	10:04:57	10:04:57	2730022E8A00	19.95	19.90	\$5.50
258	261	9/29/2008	CDRG	P	B	290	C	19.9	10:04:58	10:04:57	2736022E9500	19.95	19.90	\$14.50
259	262	9/29/2008	CDRG	P	B	210	C	19.9	10:04:58	10:04:57	2732022E9F00	19.95	19.90	\$10.50
260	263	9/29/2008	CDRG	P	B	500	C	19.9	10:04:58	10:04:58	2734022E8C00	19.96	19.90	\$30.00
261	264	9/29/2008	CDRG	P	B	290	C	19.9	10:04:58	10:04:58	2734022E9400	19.96	19.90	\$17.40
262	265	9/29/2008	CDRG	P	B	210	C	19.9	10:04:58	10:04:58	2730022EAC00	19.96	19.90	\$12.60
263	266	9/29/2008	CDRG	P	B	500	C	19.9	10:04:58	10:04:58	2732022EB700	19.96	19.90	\$30.00
264	267	9/29/2008	CDRG	P	B	150	C	19.9	10:04:59	10:04:59	2738022EC900	19.96	19.90	\$9.00
265	268	9/29/2008	CDRG	P	B	1000	C	19.9	10:05:03	10:05:03	2732022FCA00	19.98	19.90	\$80.00
266	269	9/29/2008	CDRG	P	B	192	C	19.9	10:05:30	10:05:30	273402356A00	19.97	19.90	\$13.44
267	270	9/29/2008	CDRG	P	B	50	SKF	102.99	10:05:40	10:05:40	27300237AA00	103.31	103.00	\$16.00
268	271	9/29/2008	CDRG	P	B	84	LOW	23.59	10:05:45	10:05:45	27320238E900	23.71	23.60	\$10.08
269	272	9/29/2008	CDRG	P	B	1000	C	19.9	10:05:47	10:05:47	273402397800	20.03	19.90	\$130.00
270	273	9/29/2008	CDRG	P	B	800	C	19.9	10:05:48	10:05:48	27320239D000	20.03	19.90	\$104.00
271	274	9/29/2008	CDRG	P	B	500	C	19.9	10:05:49	10:05:49	2738023A0900	19.99	19.90	\$45.00
272	275	9/29/2008	CDRG	P	B	500	C	19.9	10:05:49	10:05:49	2734023A2200	19.99	19.90	\$45.00
273	276	9/29/2008	CDRG	P	B	80	GG	34.1	10:05:54	10:05:54	2736023B1400	34.25	34.10	\$12.00
274	277	9/29/2008	CDRG	P	B	3800	C	19.9	10:05:54	10:05:54	2730023B1E00	19.98	19.90	\$304.00
275	278	9/29/2008	CDRG	P	B	100	C	19.9	10:05:55	10:05:55	2736023B5200	19.97	19.90	\$7.00
276	279	9/29/2008	CDRG	P	B	442	C	19.9	10:05:55	10:05:55	2732023B6200	19.97	19.90	\$30.94
277	280	9/29/2008	CDRG	P	B	35	SKF	103	10:05:57	10:05:57	2736023B9600	103.30	103.00	\$10.50
278	281	9/29/2008	CDRG	P	B	125	LOW	23.59	10:06:02	10:06:02	2732023CD200	23.68	23.60	\$11.25
279	282	9/29/2008	CDRG	P	B	800	GG	34.09	10:06:07	10:06:07	2732023DB300	34.22	34.10	\$104.00
280	283	9/29/2008	CDRG	P	B	200	ABT	58.97	10:06:09	10:06:09	2736023E0400	59.06	58.98	\$18.00
281	284	9/29/2008	CDRG	P	B	500	C	19.89	10:06:13	10:06:13	2738023ED900	19.99	19.90	\$50.00
282	285	9/29/2008	CDRG	P	B	200	C	19.89	10:06:13	10:06:13	2736023EDF00	19.99	19.90	\$20.00
283	286	9/29/2008	CDRG	P	B	1000	C	19.89	10:06:13	10:06:13	2730023EE300	19.99	19.90	\$100.00
284	287	9/29/2008	CDRG	P	B	500	C	19.89	10:06:13	10:06:13	2732023EE900	19.99	19.90	\$50.00
285	288	9/29/2008	CDRG	P	B	2800	C	19.89	10:06:13	10:06:13	2734023ECC00	19.99	19.90	\$280.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
286	289	9/29/2008	CDRG	P	B	500	ABX	37.36	10:06:22	10:06:22	273602409A00	38.01	37.37	\$325.00
287	290	9/29/2008	CDRG	P	B	1500	ABX	37.36	10:06:22	10:06:22	273602409C00	38.01	37.37	\$975.00
288	291	9/29/2008	CDRG	P	B	100	GG	34.09	10:06:23	10:06:23	27340240D300	34.29	34.10	\$20.00
289	292	9/29/2008	CDRG	P	B	50	ABT	58.97	10:06:24	10:06:24	273202410	59.04	58.98	\$3.50
290	293	9/29/2008	CDRG	P	B	45	CF	82.6	10:06:32	10:06:32	273402428300	82.88	82.61	\$12.60
291	294	9/29/2008	CDRG	P	B	38	LOW	23.59	10:06:36	10:06:36	273002435A00	23.68	23.60	\$3.42
292	295	9/29/2008	CDRG	P	B	400	GG	34.09	10:06:59	10:06:59	273002483500	34.32	34.10	\$92.00
293	296	9/29/2008	CDRG	P	B	200	LOW	23.59	10:07:13	10:07:13	273002480800	23.69	23.60	\$20.00
294	297	9/29/2008	CDRG	P	B	500	GG	34.09	10:07:16	10:07:16	273802489C00	34.31	34.10	\$110.00
295	298	9/29/2008	CDRG	P	B	1300	GG	34.09	10:07:16	10:07:16	273802489E00	34.31	34.10	\$286.00
296	299	9/29/2008	CDRG	P	B	500	GG	34.09	10:07:16	10:07:16	27360248A400	34.31	34.10	\$110.00
297	300	9/29/2008	CDRG	P	B	700	GG	34.09	10:07:16	10:07:16	273402489000	34.31	34.10	\$154.00
298	301	9/29/2008	CDRG	P	B	100	CF	82.6	10:07:50	10:07:50	273202524B00	83.37	82.61	\$77.00
299	302	9/29/2008	CDRG	P	B	150	GG	34.09	10:07:53	10:07:53	27360252C800	34.43	34.10	\$51.00
300	303	9/29/2008	CDRG	P	B	100	GG	34.09	10:08:18	10:08:18	273602589400	34.36	34.10	\$27.00
301	304	9/29/2008	CDRG	P	B	150	GG	34.09	10:08:18	10:08:18	273202589D00	34.36	34.10	\$40.50
302	305	9/29/2008	CDRG	P	B	323	GG	34.09	10:08:18	10:08:18	273802589100	34.36	34.10	\$87.21
303	306	9/29/2008	CDRG	P	B	1327	GG	34.09	10:08:18	10:08:18	273002589B00	34.36	34.10	\$358.29
304	307	9/29/2008	CDRG	P	B	100	GG	34.09	10:08:18	10:08:18	273602589700	34.36	34.10	\$27.00
305	308	9/29/2008	CDRG	P	B	673	GG	34.09	10:08:21	10:08:21	273002598	34.36	34.10	\$181.71
306	309	9/29/2008	CDRG	P	B	300	GG	34.09	10:08:21	10:08:21	273802598500	34.36	34.10	\$81.00
307	310	9/29/2008	CDRG	P	B	1000	GG	34.09	10:08:21	10:08:21	273002598F00	34.36	34.10	\$270.00
308	311	9/29/2008	CDRG	P	B	27	GG	34.09	10:08:21	10:08:21	273802598800	34.36	34.10	\$7.29
309	312	9/29/2008	CDRG	P	B	2350	AUY	9.24	10:08:22	10:08:22	27380259AE00	9.26	9.25	\$47.00
310	313	9/29/2008	CDRG	P	B	150	AUY	9.24	10:08:22	10:08:22	27300259B800	9.26	9.25	\$3.00
311	314	9/29/2008	CDRG	P	B	1900	GG	34.09	10:08:43	10:08:43	2736025DD600	34.12	34.10	\$57.00
312	315	9/29/2008	CDRG	P	B	1100	GG	34.09	10:08:43	10:08:43	2738025DD100	34.12	34.10	\$33.00
313	316	9/29/2008	CDRG	P	B	500	GG	34.09	10:08:43	10:08:43	2736025DD700	34.12	34.10	\$15.00
314	317	9/29/2008	CDRG	P	B	1000	GG	34.09	10:08:45	10:08:45	2738025E2700	34.13	34.10	\$40.00
315	318	9/29/2008	CDRG	P	B	100	ABT	58.97	10:08:53	10:08:53	2738025FD300	59.05	58.98	\$8.00
316	319	9/29/2008	CDRG	P	B	100	SKF	102.99	10:09:20	10:09:20	27340264A200	103.70	103.00	\$71.00
317	320	9/29/2008	CDRG	P	B	200	SKF	102.99	10:09:21	10:09:21	27300264F800	103.70	103.00	\$142.00
318	321	9/29/2008	CDRG	P	B	100	SKF	102.99	10:09:21	10:09:21	27320264FE00	103.70	103.00	\$71.00
319	322	9/29/2008	CDRG	P	B	100	SKF	102.99	10:09:22	10:09:21	27300264FA00	103.70	103.00	\$71.00
320	323	9/29/2008	CDRG	P	B	50	SKF	102.99	10:09:22	10:09:22	27340264FF00	103.70	103.00	\$35.50
321	324	9/29/2008	CDRG	P	B	200	SKF	102.99	10:09:39	10:09:39	27340267FE00	103.67	103.00	\$136.00
322	325	9/29/2008	CDRG	P	S	1363	BAC	35	10:09:45	10:09:45	2733021F8D00	35.00	34.97	\$40.89
323	326	9/29/2008	CDRG	P	B	300	SKF	102.99	10:09:50	10:09:50	2730026A0D00	103.85	103.00	\$258.00
324	327	9/29/2008	CDRG	P	B	305	LOW	23.59	10:10:04	10:10:04	2738026CBD00	23.68	23.60	\$27.45
325	328	9/29/2008	CDRG	P	B	295	LOW	23.59	10:10:04	10:10:04	2738026CBE00	23.68	23.60	\$26.55
326	329	9/29/2008	CDRG	P	S	900	BAC	35	10:10:05	10:10:05	27310222C200	35.00	34.89	\$99.00
327	330	9/29/2008	CDRG	P	S	122	BAC	35.01	10:10:08	10:10:08	273302234F00	35.00	34.92	\$10.98
328	331	9/29/2008	CDRG	P	B	500	GG	34.09	10:10:09	10:10:09	2734026D9800	34.29	34.10	\$100.00
329	332	9/29/2008	CDRG	P	B	3000	GG	34.09	10:10:09	10:10:09	2738026DA600	34.29	34.10	\$600.00
330	333	9/29/2008	CDRG	P	B	500	GG	34.09	10:10:09	10:10:09	2730026DB000	34.29	34.10	\$100.00
331	334	9/29/2008	CDRG	P	B	100	SKF	102.99	10:10:10	10:10:10	2734026DA400	103.78	103.00	\$79.00
332	335	9/29/2008	CDRG	P	S	150	BAC	35.01	10:10:21	10:10:21	27370224FC00	35.00	34.98	\$4.50
333	336	9/29/2008	CDRG	P	S	500	BAC	35.01	10:10:34	10:10:34	27370226F000	35.00	34.97	\$20.00
334	337	9/29/2008	CDRG	P	B	20	GG	34.09	10:10:36	10:10:36	273002729C00	34.26	34.10	\$3.40
335	338	9/29/2008	CDRG	P	S	100	BAC	35.01	10:10:38	10:10:38	27330227D200	35.00	34.94	\$7.00
336	339	9/29/2008	CDRG	P	B	50	SKF	102.99	10:10:40	10:10:40	273002736800	103.88	103.00	\$44.50
337	340	9/29/2008	CDRG	P	B	105	GG	34.09	10:10:56	10:10:56	273602764	34.26	34.10	\$17.85
338	341	9/29/2008	CDRG	P	B	200	SKF	102.99	10:10:56	10:10:56	273202767000	104.05	103.00	\$212.00
339	342	9/29/2008	CDRG	P	S	900	BAC	35.01	10:11:09	10:11:09	2731022E2000	35.00	34.92	\$81.00
340	343	9/29/2008	CDRG	P	S	100	BAC	35.01	10:11:17	10:11:16	2739022F9900	35.00	34.89	\$12.00
341	344	9/29/2008	CDRG	P	S	8900	MS	21.88	10:11:26	10:11:26	273502313700	21.88	21.81	\$623.00
342	345	9/29/2008	CDRG	P	S	100	BAC	35.01	10:11:29	10:11:29	27330231	35.00	34.91	\$10.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
343	346	9/29/2008	CDRG	P	S	114	MS	21.88	10:11:30	10:11:30	273302320F00	21.88	21.82	\$6.84
344	347	9/29/2008	CDRG	P	S	50	MS	21.88	10:11:32	10:11:32	273902324500	21.88	21.82	\$3.00
345	348	9/29/2008	CDRG	P	S	50	BAC	35.01	10:11:38	10:11:38	27330233E200	35.00	34.84	\$8.50
346	349	9/29/2008	CDRG	P	S	50	BAC	35.01	10:11:40	10:11:40	273902341400	35.00	34.82	\$9.50
347	350	9/29/2008	CDRG	P	S	250	MS	21.88	10:11:47	10:11:47	27330235BB00	21.88	21.80	\$20.00
348	351	9/29/2008	CDRG	P	B	80	SKF	102.99	10:11:48	10:11:48	273802815600	104.44	103.00	\$116.00
349	352	9/29/2008	CDRG	P	B	70	SKF	102.99	10:11:48	10:11:48	273802815700	104.44	103.00	\$101.50
350	353	9/29/2008	CDRG	P	S	100	MS	21.89	10:11:54	10:11:54	273902374600	21.88	21.78	\$11.00
351	354	9/29/2008	CDRG	P	B	50	SKF	102.99	10:11:55	10:11:55	27360282D500	104.44	103.00	\$72.50
352	355	9/29/2008	CDRG	P	S	84	MS	21.89	10:11:59	10:11:59	273102386500	21.88	21.73	\$13.44
353	356	9/29/2008	CDRG	P	B	200	LOW	23.59	10:11:59	10:11:59	273802839900	23.66	23.60	\$14.00
354	357	9/29/2008	CDRG	P	S	533	BAC	35.01	10:12:10	10:12:10	2739023A9900	35.00	34.87	\$74.62
355	358	9/29/2008	CDRG	P	S	3600	BAC	35.01	10:12:10	10:12:10	2739023AAA00	35.00	34.87	\$504.00
356	359	9/29/2008	CDRG	P	B	1600	ABT	58.97	10:12:22	10:12:22	27300289B900	59.06	58.98	\$144.00
357	360	9/29/2008	CDRG	P	B	1217	AUY	9.25	10:12:23	10:12:23	27360289CD00	9.28	9.25	\$36.51
358	361	9/29/2008	CDRG	P	B	500	AUY	9.25	10:12:23	10:12:23	27380289C700	9.28	9.25	\$15.00
359	362	9/29/2008	CDRG	P	B	400	AUY	9.25	10:12:23	10:12:23	27380289C900	9.28	9.25	\$12.00
360	363	9/29/2008	CDRG	P	B	400	AUY	9.25	10:12:23	10:12:23	27360289CF00	9.28	9.25	\$12.00
361	364	9/29/2008	CDRG	P	B	2483	AUY	9.25	10:12:23	10:12:23	27300289D300	9.28	9.25	\$74.49
362	365	9/29/2008	CDRG	P	S	39	BAC	35.01	10:12:35	10:12:35	2731023EAC00	35.00	34.96	\$1.95
363	366	9/29/2008	CDRG	P	S	100	BAC	35.01	10:12:37	10:12:37	2737023ED400	35.00	34.95	\$6.00
364	367	9/29/2008	CDRG	P	B	200	AUY	9.24	10:13:18	10:13:18	273202941800	9.32	9.25	\$16.00
365	368	9/29/2008	CDRG	P	B	120	AUY	9.24	10:13:18	10:13:18	27340293FC00	9.32	9.25	\$9.60
366	369	9/29/2008	CDRG	P	B	1000	AUY	9.24	10:13:18	10:13:18	273802940A00	9.32	9.25	\$80.00
367	370	9/29/2008	CDRG	P	B	210	AUY	9.24	10:13:18	10:13:18	273002941400	9.32	9.25	\$16.80
368	371	9/29/2008	CDRG	P	B	270	AUY	9.24	10:13:18	10:13:18	27340293FD00	9.32	9.25	\$21.60
369	372	9/29/2008	CDRG	P	B	100	AUY	9.24	10:13:18	10:13:18	273602941000	9.32	9.25	\$8.00
370	373	9/29/2008	CDRG	P	B	100	AUY	9.24	10:13:18	10:13:18	273202941A00	9.32	9.25	\$8.00
371	374	9/29/2008	CDRG	P	B	730	AUY	9.24	10:13:21	10:13:21	273002949000	9.33	9.25	\$65.70
372	375	9/29/2008	CDRG	P	B	500	AUY	9.24	10:13:21	10:13:21	273802948700	9.33	9.25	\$45.00
373	376	9/29/2008	CDRG	P	B	100	AUY	9.24	10:13:21	10:13:21	273202949700	9.33	9.25	\$9.00
374	377	9/29/2008	CDRG	P	B	100	AUY	9.24	10:13:21	10:13:21	273002949100	9.33	9.25	\$9.00
375	378	9/29/2008	CDRG	P	B	3570	AUY	9.24	10:13:21	10:13:21	273802948800	9.33	9.25	\$321.30
376	379	9/29/2008	CDRG	P	B	100	ABT	58.97	10:13:30	10:13:30	273202961A00	59.24	58.98	\$27.00
377	380	9/29/2008	CDRG	P	B	62	LOW	23.59	10:13:31	10:13:31	273802965100	23.81	23.60	\$13.64
378	381	9/29/2008	CDRG	P	B	400	GG	34.09	10:13:32	10:13:32	273402967B00	34.38	34.10	\$116.00
379	382	9/29/2008	CDRG	P	B	100	GG	34.09	10:13:32	10:13:32	273802968A00	34.38	34.10	\$29.00
380	383	9/29/2008	CDRG	P	B	100	ABT	58.97	10:13:38	10:13:38	27380297DB00	59.26	58.98	\$29.00
381	384	9/29/2008	CDRG	P	B	100	ABT	58.97	10:14:21	10:14:21	2734029F7100	59.09	58.98	\$12.00
382	385	9/29/2008	CDRG	P	B	38	CF	82.6	10:15:19	10:15:19	273402AAAE00	83.50	82.61	\$34.20
383	386	9/29/2008	CDRG	P	B	1573	CF	82.6	10:15:20	10:15:20	273002AB1D00	83.50	82.61	\$1,415.70
384	387	9/29/2008	CDRG	P	B	58	CF	82.6	10:15:22	10:15:22	273202ABB100	83.50	82.61	\$52.20
385	388	9/29/2008	CDRG	P	B	1200	CF	82.6	10:16:31	10:16:31	273202BDAF00	83.55	82.61	\$1,140.00
386	389	9/29/2008	CDRG	P	B	12	CF	82.6	10:17:00	10:17:00	273202C4B700	83.56	82.61	\$11.52
387	390	9/29/2008	CDRG	P	B	1485	CF	82.6	10:17:25	10:17:24	273602CA1000	83.53	82.61	\$1,381.05
388	391	9/29/2008	CDRG	P	B	1875	LOW	23.59	10:19:31	10:19:31	273602E71800	23.71	23.60	\$225.00
389	392	9/29/2008	CDRG	P	B	20	HPQ	46.46	10:19:47	10:19:47	273402EA4E00	46.55	46.47	\$1.80
390	393	9/29/2008	CDRG	P	B	7	LOW	23.59	10:19:54	10:19:53	273202EBD000	23.69	23.60	\$0.70
391	394	9/29/2008	CDRG	P	B	395	HPQ	46.46	10:20:17	10:20:17	273802F03000	46.50	46.47	\$15.80
392	395	9/29/2008	CDRG	P	B	1000	BAC	33.69	10:20:32	10:20:32	273202F30F00	33.98	33.70	\$290.00
393	396	9/29/2008	CDRG	P	B	1017	BAC	33.69	10:20:46	10:20:46	273002F56300	34.04	33.70	\$355.95
394	397	9/29/2008	CDRG	P	B	50	BAC	33.69	10:20:46	10:20:46	273802F55A00	34.04	33.70	\$17.50
395	398	9/29/2008	CDRG	P	B	633	BAC	33.69	10:20:46	10:20:46	273002F56400	34.04	33.70	\$221.55
396	399	9/29/2008	CDRG	P	B	1000	BAC	33.69	10:20:47	10:20:47	273802F57D00	34.08	33.70	\$390.00
397	400	9/29/2008	CDRG	P	B	92	BAC	33.69	10:20:59	10:20:59	273402F7C100	34.14	33.70	\$41.40
398	401	9/29/2008	CDRG	P	B	67	BAC	33.69	10:21:12	10:21:12	273202FA6D00	33.84	33.70	\$10.05
399	402	9/29/2008	CDRG	P	B	100	BAC	33.69	10:21:15	10:21:15	273602FAC200	33.84	33.70	\$15.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
400	403	9/29/2008	CDRG	P	S	1000	CX	17.26	10:21:18	10:21:18	2739029C1A00	17.25	17.19	\$70.00
401	404	9/29/2008	CDRG	P	B	85	BAC	33.69	10:21:23	10:21:23	273202FC4800	33.94	33.70	\$21.25
402	405	9/29/2008	CDRG	P	B	100	BAC	33.69	10:21:30	10:21:30	273402FD6600	33.93	33.70	\$24.00
403	406	9/29/2008	CDRG	P	B	100	BAC	33.69	10:21:37	10:21:37	273202FEB400	33.98	33.70	\$29.00
404	407	9/29/2008	CDRG	P	B	630	LOW	23.59	10:21:55	10:21:55	27320302A200	23.67	23.60	\$50.40
405	408	9/29/2008	CDRG	P	B	1000	LOW	23.6	10:23:02	10:23:01	2732030E5800	23.65	23.60	\$50.00
406	409	9/29/2008	CDRG	P	B	200	CF	82.6	10:23:09	10:23:09	2730030F8000	84.46	82.61	\$372.00
407	410	9/29/2008	CDRG	P	B	469	CF	82.6	10:23:09	10:23:09	2736030F7D00	84.46	82.61	\$872.34
408	411	9/29/2008	CDRG	P	B	10	CF	82.6	10:23:32	10:23:32	273803134500	84.71	82.61	\$21.10
409	412	9/29/2008	CDRG	P	B	20	LOW	23.59	10:23:44	10:23:44	273203152100	23.65	23.60	\$1.20
410	413	9/29/2008	CDRG	P	B	200	EXM	13.41	10:23:50	10:23:50	27340315FF00	13.53	13.42	\$24.00
411	414	9/29/2008	CDRG	P	B	1000	EXM	13.41	10:23:51	10:23:51	273403162000	13.53	13.42	\$120.00
412	415	9/29/2008	CDRG	P	B	500	LOW	23.59	10:24:06	10:24:06	273203192300	23.69	23.60	\$50.00
413	416	9/29/2008	CDRG	P	B	300	ABB	18.7	10:24:23	10:24:23	2734031C0400	18.72	18.70	\$6.00
414	417	9/29/2008	CDRG	P	B	400	LOW	23.59	10:24:37	10:24:37	2734031F4400	23.72	23.60	\$52.00
415	418	9/29/2008	CDRG	P	S	1000	CX	17.26	10:24:43	10:24:43	273102B9FE00	17.25	17.11	\$150.00
416	419	9/29/2008	CDRG	P	B	595	EXM	13.41	10:25:13	10:25:13	273003255900	13.64	13.42	\$136.85
417	420	9/29/2008	CDRG	P	B	227	CMI	42.19	10:25:14	10:25:14	273803259B00	42.69	42.20	\$113.50
418	421	9/29/2008	CDRG	P	B	75	AA	21.67	10:25:19	10:25:19	273603271B00	21.94	21.68	\$20.25
419	422	9/29/2008	CDRG	P	B	630	LOW	23.59	10:25:35	10:25:35	27340329FA00	23.69	23.60	\$63.00
420	423	9/29/2008	CDRG	P	B	2000	GLW	15.24	10:25:37	10:25:37	2734032A4E00	15.31	15.25	\$140.00
421	424	9/29/2008	CDRG	P	B	100	BAC	33.7	10:25:40	10:25:40	2734032AC500	33.87	33.70	\$17.00
422	425	9/29/2008	CDRG	P	B	50	AA	21.67	10:25:44	10:25:44	2734032BB800	21.97	21.68	\$15.00
423	426	9/29/2008	CDRG	P	B	100	GLW	15.24	10:25:47	10:25:47	2738032C3B00	15.32	15.25	\$8.00
424	427	9/29/2008	CDRG	P	B	100	EXM	13.41	10:25:56	10:25:56	2730032E1300	13.65	13.42	\$24.00
425	428	9/29/2008	CDRG	P	B	550	EXM	13.41	10:25:56	10:25:56	2732032E1900	13.65	13.42	\$132.00
426	429	9/29/2008	CDRG	P	B	18	CF	82.6	10:26:00	10:26:00	2738032EA200	85.68	82.61	\$55.44
427	430	9/29/2008	CDRG	P	B	400	BAC	33.69	10:26:01	10:26:01	2730032ED900	34.05	33.70	\$144.00
428	431	9/29/2008	CDRG	P	B	400	BAC	33.69	10:26:01	10:26:01	2732032EDF00	34.05	33.70	\$144.00
429	432	9/29/2008	CDRG	P	B	50	AA	21.67	10:26:02	10:26:02	2730032F0B00	21.96	21.68	\$14.50
430	433	9/29/2008	CDRG	P	B	450	AA	21.67	10:26:02	10:26:02	2732032F1100	21.96	21.68	\$130.50
431	434	9/29/2008	CDRG	P	B	100	EXM	13.41	10:26:06	10:26:06	2730032FF000	13.65	13.42	\$24.00
432	435	9/29/2008	CDRG	P	B	150	AA	21.67	10:26:13	10:26:13	273603312300	21.97	21.68	\$45.00
433	436	9/29/2008	CDRG	P	B	900	EXM	13.41	10:26:24	10:26:24	27320332F800	13.63	13.42	\$198.00
434	437	9/29/2008	CDRG	P	B	200	EXM	13.41	10:26:24	10:26:24	27340332DB00	13.63	13.42	\$44.00
435	438	9/29/2008	CDRG	P	B	100	GLW	15.24	10:26:24	10:26:24	27380332EB00	15.33	15.25	\$9.00
436	439	9/29/2008	CDRG	P	B	670	BAC	33.69	10:26:42	10:26:42	273203365600	34.36	33.70	\$448.90
437	440	9/29/2008	CDRG	P	B	100	BAC	33.69	10:26:42	10:26:42	273603364D00	34.36	33.70	\$67.00
438	441	9/29/2008	CDRG	P	B	300	BAC	33.69	10:26:42	10:26:42	273403363900	34.36	33.70	\$201.00
439	442	9/29/2008	CDRG	P	B	433	BAC	33.69	10:26:42	10:26:42	273803364800	34.36	33.70	\$290.11
440	443	9/29/2008	CDRG	P	B	100	BAC	33.69	10:26:42	10:26:42	273803364700	34.36	33.70	\$67.00
441	444	9/29/2008	CDRG	P	B	650	BAC	33.69	10:26:42	10:26:42	273003365200	34.36	33.70	\$435.50
442	445	9/29/2008	CDRG	P	B	300	BAC	33.69	10:26:42	10:26:42	273003365100	34.36	33.70	\$201.00
443	446	9/29/2008	CDRG	P	B	147	BAC	33.69	10:26:42	10:26:42	273203365700	34.36	33.70	\$98.49
444	447	9/29/2008	CDRG	P	B	300	BAC	33.69	10:26:42	10:26:42	273403363A00	34.36	33.70	\$201.00
445	448	9/29/2008	CDRG	P	B	300	BAC	33.69	10:26:43	10:26:43	273003368	34.29	33.70	\$180.00
446	449	9/29/2008	CDRG	P	B	100	BAC	33.69	10:26:45	10:26:45	27340336CF00	34.21	33.70	\$52.00
447	450	9/29/2008	CDRG	P	B	150	LOW	23.59	10:26:49	10:26:49	27360337A400	23.71	23.60	\$18.00
448	451	9/29/2008	CDRG	P	B	200	GLW	15.24	10:26:52	10:26:52	27300337FE00	15.33	15.25	\$18.00
449	452	9/29/2008	CDRG	P	B	400	BAC	33.69	10:26:52	10:26:52	273603381900	34.26	33.70	\$228.00
450	453	9/29/2008	CDRG	P	B	2	CF	82.6	10:26:53	10:26:53	273803381F00	85.69	82.61	\$6.18
451	454	9/29/2008	CDRG	P	B	18	BAC	33.69	10:27:01	10:27:01	27320339E500	34.27	33.70	\$10.44
452	455	9/29/2008	CDRG	P	B	4	BAC	33.69	10:27:02	10:27:02	2738033A0D00	34.27	33.70	\$2.32
453	456	9/29/2008	CDRG	P	S	200	CX	17.26	10:27:04	10:27:04	273902CF7C00	17.25	17.11	\$30.00
454	457	9/29/2008	CDRG	P	B	8	BAC	33.69	10:27:05	10:27:05	2732033A7900	34.27	33.70	\$4.64
455	458	9/29/2008	CDRG	P	B	87	BAC	33.69	10:27:05	10:27:05	2730033A7400	34.27	33.70	\$50.46
456	459	9/29/2008	CDRG	P	S	50	CX	17.26	10:27:06	10:27:06	273702CFBA00	17.25	17.13	\$6.50

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
457	460	9/29/2008	CDRG	P	B	5	LOW	23.59	10:27:12	10:27:12	273403388B00	23.70	23.60	\$0.55
458	461	9/29/2008	CDRG	P	B	20	BAC	33.69	10:27:15	10:27:15	2734033C1200	34.26	33.70	\$11.40
459	462	9/29/2008	CDRG	P	B	101	BAC	33.69	10:27:28	10:27:28	2734033EA600	34.26	33.70	\$57.57
460	463	9/29/2008	CDRG	P	B	100	BAC	33.69	10:27:30	10:27:30	2738033F0F00	34.23	33.70	\$54.00
461	464	9/29/2008	CDRG	P	B	300	BAC	33.69	10:27:35	10:27:34	2730033FFE00	34.27	33.70	\$174.00
462	465	9/29/2008	CDRG	P	B	43	BAC	33.69	10:27:36	10:27:36	273403402D00	34.23	33.70	\$23.22
463	466	9/29/2008	CDRG	P	B	464	BAC	33.69	10:27:37	10:27:37	273003406700	34.21	33.70	\$241.28
464	467	9/29/2008	CDRG	P	B	222	BAC	33.69	10:28:02	10:28:02	273203444500	34.21	33.70	\$115.44
465	468	9/29/2008	CDRG	P	B	200	AA	21.67	10:28:10	10:28:10	273203456	21.86	21.68	\$38.00
466	469	9/29/2008	CDRG	P	B	100	LOW	23.59	10:28:21	10:28:21	27380346B000	23.73	23.60	\$14.00
467	470	9/29/2008	CDRG	P	B	50	HPQ	46.46	10:28:21	10:28:21	27340346A400	46.71	46.47	\$12.50
468	471	9/29/2008	CDRG	P	B	100	HPQ	46.46	10:28:21	10:28:21	27300346CC00	46.71	46.47	\$25.00
469	472	9/29/2008	CDRG	P	B	255	BAC	33.69	10:28:34	10:28:34	273803487200	34.19	33.70	\$127.50
470	473	9/29/2008	CDRG	P	B	200	BAC	33.69	10:28:37	10:28:37	27340348C300	34.17	33.70	\$96.00
471	474	9/29/2008	CDRG	P	B	400	AA	21.67	10:28:41	10:28:41	273003497D00	21.86	21.68	\$76.00
472	475	9/29/2008	CDRG	P	B	203	ABB	18.69	10:28:47	10:28:47	2732034A4F00	18.76	18.70	\$14.21
473	476	9/29/2008	CDRG	P	B	100	HPQ	46.46	10:28:53	10:28:53	2734034B5F00	46.71	46.47	\$25.00
474	477	9/29/2008	CDRG	P	B	4	HPQ	46.46	10:28:56	10:28:56	2734034C1500	46.73	46.47	\$1.08
475	478	9/29/2008	CDRG	P	S	2200	DUG	39.98	10:29:21	10:29:21	273102E41900	39.97	39.88	\$220.00
476	479	9/29/2008	CDRG	P	B	100	GLW	15.24	10:29:32	10:29:32	27380352Bf00	15.31	15.25	\$7.00
477	480	9/29/2008	CDRG	P	B	73	AGU	56.99	10:29:40	10:29:40	273203545D00	57.44	57.00	\$32.85
478	481	9/29/2008	CDRG	P	B	200	HPQ	46.46	10:30:03	10:30:03	27300357CC00	46.75	46.47	\$58.00
479	482	9/29/2008	CDRG	P	B	111	ABB	18.69	10:30:03	10:30:03	27380357C300	18.75	18.70	\$6.66
480	483	9/29/2008	CDRG	P	S	200	DUG	39.98	10:30:30	10:30:30	273902EE6900	39.97	39.79	\$38.00
481	484	9/29/2008	CDRG	P	B	50	GLW	15.24	10:30:31	10:30:31	2736035C3600	15.31	15.25	\$3.50
482	485	9/29/2008	CDRG	P	B	11	LOW	23.59	10:30:35	10:30:35	2732035CAD00	23.79	23.60	\$2.20
483	486	9/29/2008	CDRG	P	B	3	BAC	33.69	10:30:41	10:30:40	2734035D7700	34.19	33.70	\$1.50
484	487	9/29/2008	CDRG	P	B	292	BAC	33.69	10:30:56	10:30:56	273403604600	34.17	33.70	\$140.16
485	488	9/29/2008	CDRG	P	B	250	BAC	33.69	10:30:56	10:30:56	273603605A00	34.17	33.70	\$120.00
486	489	9/29/2008	CDRG	P	B	58	BAC	33.69	10:30:56	10:30:56	273803605400	34.17	33.70	\$27.84
487	490	9/29/2008	CDRG	P	B	100	AGU	56.99	10:31:02	10:31:02	273403613300	57.17	57.00	\$18.00
488	491	9/29/2008	CDRG	P	B	10	AGU	56.99	10:31:10	10:31:10	273403624B00	57.19	57.00	\$2.00
489	492	9/29/2008	CDRG	P	B	100	BAC	33.69	10:31:24	10:31:24	273403648A00	34.13	33.70	\$44.00
490	493	9/29/2008	CDRG	P	B	25	BAC	33.69	10:31:32	10:31:32	273603658B00	34.20	33.70	\$12.75
491	494	9/29/2008	CDRG	P	B	500	ABB	18.69	10:31:36	10:31:36	273403669800	18.76	18.70	\$35.00
492	495	9/29/2008	CDRG	P	S	195	CX	17.26	10:31:48	10:31:48	273502F9E200	17.25	17.05	\$40.95
493	496	9/29/2008	CDRG	P	B	100	STT	46.62	10:31:49	10:31:49	27300368AC00	47.49	46.63	\$87.00
494	497	9/29/2008	CDRG	P	B	4	STT	46.62	10:31:49	10:31:49	27320368B300	47.49	46.63	\$3.48
495	498	9/29/2008	CDRG	P	B	396	STT	46.62	10:31:49	10:31:49	273403689600	47.49	46.63	\$344.52
496	499	9/29/2008	CDRG	P	S	1000	DUG	39.98	10:32:12	10:32:12	273302FD7100	39.97	39.76	\$220.00
497	500	9/29/2008	CDRG	P	B	1000	STT	46.62	10:32:13	10:32:13	2732036CF200	46.82	46.63	\$200.00
498	501	9/29/2008	CDRG	P	B	1000	STT	46.62	10:32:13	10:32:13	2738036CE400	46.82	46.63	\$200.00
499	502	9/29/2008	CDRG	P	S	300	CX	17.26	10:32:14	10:32:14	273902FD9F00	17.25	17.04	\$66.00
500	503	9/29/2008	CDRG	P	B	100	HPQ	46.46	10:32:26	10:32:26	2734036EB900	46.86	46.47	\$40.00
501	504	9/29/2008	CDRG	P	B	100	CF	82.6	10:32:31	10:32:31	2732036F9700	85.57	82.61	\$297.00
502	505	9/29/2008	CDRG	P	B	100	AA	21.67	10:32:31	10:32:31	2730036F9200	21.88	21.68	\$21.00
503	506	9/29/2008	CDRG	P	B	27	CF	82.6	10:32:31	10:32:31	2734036F7B00	85.57	82.61	\$80.19
504	507	9/29/2008	CDRG	P	B	90	CMI	42.19	10:32:45	10:32:45	27380372CE00	42.71	42.20	\$46.80
505	508	9/29/2008	CDRG	P	B	200	ABB	18.69	10:32:53	10:32:53	273403740B00	18.76	18.70	\$14.00
506	509	9/29/2008	CDRG	P	B	350	GLW	15.24	10:32:58	10:32:58	27360374C700	15.29	15.25	\$17.50
507	510	9/29/2008	CDRG	P	B	200	GLW	15.24	10:33:06	10:33:06	27320375E600	15.30	15.25	\$12.00
508	511	9/29/2008	CDRG	P	B	100	GLW	15.24	10:33:06	10:33:06	27320375E700	15.30	15.25	\$6.00
509	512	9/29/2008	CDRG	P	B	86	GLW	15.24	10:33:06	10:33:06	27340375CA00	15.30	15.25	\$5.16
510	513	9/29/2008	CDRG	P	B	5	HPQ	46.46	10:33:20	10:33:20	273203786B00	46.96	46.47	\$2.50
511	514	9/29/2008	CDRG	P	B	94	HPQ	46.46	10:33:26	10:33:26	273203794F00	46.85	46.47	\$36.66
512	515	9/29/2008	CDRG	P	B	70	GLW	15.24	10:33:26	10:33:26	273603796C00	15.31	15.25	\$4.90
513	516	9/29/2008	CDRG	P	B	220	GLW	15.24	10:33:33	10:33:33	2738037A5900	15.31	15.25	\$15.40

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
514	517	9/29/2008	CDRG	P	S	100	DUG	39.98	10:33:41	10:33:41	273503094500	39.97	39.77	\$21.00
515	518	9/29/2008	CDRG	P	B	28	LOW	23.59	10:33:41	10:33:41	27300378BD00	23.81	23.60	\$6.16
516	519	9/29/2008	CDRG	P	B	66	LOW	23.59	10:33:56	10:33:56	2730037E3500	23.82	23.60	\$15.18
517	520	9/29/2008	CDRG	P	B	119	GLW	15.24	10:33:57	10:33:57	2730037E3E00	15.32	15.25	\$9.52
518	521	9/29/2008	CDRG	P	B	100	BAC	33.69	10:34:18	10:34:18	273603811800	34.01	33.70	\$32.00
519	522	9/29/2008	CDRG	P	B	100	BAC	33.69	10:34:18	10:34:18	273003811C00	34.01	33.70	\$32.00
520	523	9/29/2008	CDRG	P	B	100	BAC	33.69	10:34:18	10:34:18	273803811200	34.01	33.70	\$32.00
521	524	9/29/2008	CDRG	P	S	300	DUG	39.98	10:34:18	10:34:18	2735030E9800	39.97	39.97	\$3.00
522	525	9/29/2008	CDRG	P	B	400	LOW	23.59	10:34:24	10:34:24	27320381E300	23.81	23.60	\$88.00
523	526	9/29/2008	CDRG	P	B	100	GLW	15.24	10:34:39	10:34:39	27380383A400	15.32	15.25	\$8.00
524	527	9/29/2008	CDRG	P	B	3800	LOW	23.59	10:34:50	10:34:50	273803856600	23.81	23.60	\$836.00
525	528	9/29/2008	CDRG	P	B	10	HPQ	46.46	10:34:57	10:34:57	273803863F00	46.95	46.47	\$4.90
526	529	9/29/2008	CDRG	P	B	75	AGU	56.99	10:34:57	10:34:57	273403865500	57.07	57.00	\$6.00
527	530	9/29/2008	CDRG	P	S	50	MER	25.01	10:35:33	10:35:33	273503188200	25.00	24.95	\$3.00
528	531	9/29/2008	CDRG	P	S	2000	MER	25.01	10:35:50	10:35:50	2731031AA500	25.00	24.95	\$120.00
529	532	9/29/2008	CDRG	P	S	500	MER	25.01	10:36:19	10:36:19	2735031EAB00	25.00	24.92	\$45.00
530	533	9/29/2008	CDRG	P	S	150	MER	25.01	10:36:35	10:36:35	27330320BA00	25.00	24.95	\$9.00
531	534	9/29/2008	CDRG	P	B	191	GLW	15.24	10:36:42	10:36:42	27380394AD00	15.33	15.25	\$17.19
532	535	9/29/2008	CDRG	P	B	100	GLW	15.24	10:36:42	10:36:42	27380394AE00	15.33	15.25	\$9.00
533	536	9/29/2008	CDRG	P	B	200	GLW	15.24	10:36:42	10:36:42	27300394B800	15.33	15.25	\$18.00
534	537	9/29/2008	CDRG	P	B	489	GLW	15.24	10:36:42	10:36:42	27340394A100	15.33	15.25	\$44.01
535	538	9/29/2008	CDRG	P	B	1200	GLW	15.24	10:36:46	10:36:46	273403951	15.32	15.25	\$96.00
536	539	9/29/2008	CDRG	P	B	900	AGU	56.99	10:37:24	10:37:24	273603987000	57.30	57.00	\$279.00
537	540	9/29/2008	CDRG	P	B	150	STT	46.62	10:37:26	10:37:26	27380398B900	46.68	46.63	\$9.00
538	541	9/29/2008	CDRG	P	B	200	BAC	33.69	10:37:30	10:37:30	2736039C2E00	33.89	33.70	\$40.00
539	542	9/29/2008	CDRG	P	S	1000	MER	25.01	10:38:17	10:38:17	2735032F6200	25.00	24.91	\$100.00
540	543	9/29/2008	CDRG	P	B	200	EXM	13.41	10:38:25	10:38:25	273403A3EA00	13.85	13.42	\$88.00
541	544	9/29/2008	CDRG	P	B	200	BAC	33.69	10:38:27	10:38:27	273603A44000	34.06	33.70	\$74.00
542	545	9/29/2008	CDRG	P	B	200	BAC	33.69	10:38:27	10:38:27	273803A43A00	34.06	33.70	\$74.00
543	546	9/29/2008	CDRG	P	S	3000	MER	25.01	10:38:27	10:38:27	2733033E200	25.00	24.91	\$300.00
544	547	9/29/2008	CDRG	P	B	150	CMI	42.19	10:38:30	10:38:30	273803A4A800	42.99	42.20	\$120.00
545	548	9/29/2008	CDRG	P	S	100	CX	17.26	10:38:30	10:38:30	273903312C00	17.25	17.05	\$21.00
546	549	9/29/2008	CDRG	P	B	250	LOW	23.59	10:38:59	10:38:59	273603A88500	23.89	23.60	\$75.00
547	550	9/29/2008	CDRG	P	B	300	EXM	13.41	10:39:04	10:39:04	273003A96500	13.89	13.42	\$144.00
548	551	9/29/2008	CDRG	P	B	2000	LOW	23.59	10:39:19	10:39:19	273803ABDA00	23.91	23.60	\$640.00
549	552	9/29/2008	CDRG	P	S	100	DUG	39.98	10:39:20	10:39:20	273503371000	39.97	39.71	\$27.00
550	553	9/29/2008	CDRG	P	B	100	BAC	33.69	10:39:37	10:39:37	273403AE7500	34.13	33.70	\$44.00
551	554	9/29/2008	CDRG	P	B	200	BAC	33.69	10:39:38	10:39:38	273603AEAC00	34.12	33.70	\$86.00
552	555	9/29/2008	CDRG	P	B	100	BAC	33.69	10:39:38	10:39:38	273203AEB600	34.12	33.70	\$43.00
553	556	9/29/2008	CDRG	P	B	516	BAC	33.69	10:39:38	10:39:38	273403AE9900	34.12	33.70	\$221.88
554	557	9/29/2008	CDRG	P	B	500	BAC	33.69	10:39:39	10:39:39	273803AEBB00	34.14	33.70	\$225.00
555	558	9/29/2008	CDRG	P	B	110	CMI	42.19	10:39:52	10:39:52	273203B07700	43.08	42.20	\$97.90
556	559	9/29/2008	CDRG	P	S	100	DUG	39.98	10:40:39	10:40:39	27350341FA00	39.97	39.80	\$18.00
557	560	9/29/2008	CDRG	P	B	1484	BAC	33.69	10:40:49	10:40:49	273603B90100	34.00	33.70	\$460.04
558	561	9/29/2008	CDRG	P	B	200	BAC	33.69	10:40:49	10:40:49	273003B90600	34.00	33.70	\$62.00
559	562	9/29/2008	CDRG	P	B	75	BAC	33.69	10:40:49	10:40:49	273403B8EF00	34.00	33.70	\$23.25
560	563	9/29/2008	CDRG	P	B	300	BAC	33.69	10:40:49	10:40:49	273203B90C00	34.00	33.70	\$93.00
561	564	9/29/2008	CDRG	P	B	100	BAC	33.69	10:40:49	10:40:49	273203B90E00	34.00	33.70	\$31.00
562	565	9/29/2008	CDRG	P	B	300	BAC	33.69	10:40:49	10:40:49	273603B90400	34.00	33.70	\$93.00
563	566	9/29/2008	CDRG	P	B	400	BAC	33.69	10:40:49	10:40:49	273803B8FE00	34.00	33.70	\$124.00
564	567	9/29/2008	CDRG	P	B	100	BAC	33.69	10:40:49	10:40:49	273003B90800	34.00	33.70	\$31.00
565	568	9/29/2008	CDRG	P	B	49	BAC	33.69	10:40:49	10:40:49	273603B90500	34.00	33.70	\$15.19
566	569	9/29/2008	CDRG	P	B	151	BAC	33.69	10:40:51	10:40:51	273003B94C00	34.02	33.70	\$49.83
567	570	9/29/2008	CDRG	P	B	194	BAC	33.69	10:40:51	10:40:51	273203B95200	34.02	33.70	\$64.02
568	571	9/29/2008	CDRG	P	B	100	AGU	56.99	10:41:01	10:41:01	273403BA8C00	57.05	57.00	\$6.00
569	572	9/29/2008	CDRG	P	B	50	BAC	33.69	10:41:06	10:41:06	273403BB5000	33.98	33.70	\$14.50
570	573	9/29/2008	CDRG	P	B	6	BAC	33.69	10:41:09	10:41:09	273603BC1500	33.97	33.70	\$1.68

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
571	574	9/29/2008	CDRG	P	B	100	BAC	33.69	10:41:09	10:41:09	273803BC0F00	33.97	33.70	\$28.00
572	575	9/29/2008	CDRG	P	B	46	BAC	33.69	10:41:09	10:41:09	273803BC1000	33.97	33.70	\$12.88
573	576	9/29/2008	CDRG	P	B	25	LOW	23.59	10:41:11	10:41:11	273603BC7C00	23.84	23.60	\$6.25
574	577	9/29/2008	CDRG	P	B	11	LOW	23.59	10:41:22	10:41:22	273603BE1D00	23.84	23.60	\$2.75
575	578	9/29/2008	CDRG	P	B	5	BAC	33.69	10:41:23	10:41:23	273403BE3900	33.90	33.70	\$1.05
576	579	9/29/2008	CDRG	P	B	21	HPQ	46.46	10:41:24	10:41:24	273403BE5F00	46.78	46.47	\$6.72
577	580	9/29/2008	CDRG	P	B	100	LOW	23.59	10:41:24	10:41:24	273803BE7300	23.84	23.60	\$25.00
578	581	9/29/2008	CDRG	P	B	35	LOW	23.59	10:41:25	10:41:25	273003BEB100	23.84	23.60	\$8.75
579	582	9/29/2008	CDRG	P	B	49	BAC	33.69	10:41:45	10:41:45	273003C15800	33.81	33.70	\$5.88
580	583	9/29/2008	CDRG	P	B	51	BAC	33.69	10:41:45	10:41:45	273803C15200	33.81	33.70	\$6.12
581	584	9/29/2008	CDRG	P	S	500	TSO	16.66	10:41:49	10:41:49	2737034BA100	16.65	16.62	\$20.00
582	585	9/29/2008	CDRG	P	S	250	DUG	39.98	10:41:57	10:41:56	2739034CAC00	39.97	39.85	\$32.50
583	586	9/29/2008	CDRG	P	B	25	HPQ	46.46	10:42:02	10:42:02	273603C39C00	46.69	46.47	\$5.75
584	587	9/29/2008	CDRG	P	B	49	BAC	33.69	10:42:15	10:42:15	273203C53000	33.82	33.70	\$6.37
585	588	9/29/2008	CDRG	P	B	250	BAC	33.69	10:42:15	10:42:15	273803C52100	33.82	33.70	\$32.50
586	589	9/29/2008	CDRG	P	B	66	BAC	33.69	10:42:15	10:42:15	273003C52B00	33.82	33.70	\$8.58
587	590	9/29/2008	CDRG	P	B	135	BAC	33.69	10:42:15	10:42:15	273603C52700	33.82	33.70	\$17.55
588	591	9/29/2008	CDRG	P	B	134	BAC	33.69	10:42:17	10:42:17	273803C57000	33.82	33.70	\$17.42
589	592	9/29/2008	CDRG	P	B	166	BAC	33.69	10:42:17	10:42:17	273003C57A00	33.82	33.70	\$21.58
590	593	9/29/2008	CDRG	P	B	100	BAC	33.69	10:42:21	10:42:21	273603C64200	33.75	33.70	\$6.00
591	594	9/29/2008	CDRG	P	B	33	HPQ	46.46	10:42:38	10:42:38	273003C8B300	46.66	46.47	\$6.60
592	595	9/29/2008	CDRG	P	B	35	LOW	23.59	10:42:39	10:42:39	273603C8D200	23.76	23.60	\$5.95
593	596	9/29/2008	CDRG	P	B	200	EXM	13.41	10:42:41	10:42:41	273403C91500	13.77	13.42	\$72.00
594	597	9/29/2008	CDRG	P	B	10	STT	46.62	10:42:42	10:42:42	273603C93400	46.74	46.63	\$1.20
595	598	9/29/2008	CDRG	P	B	190	STT	46.62	10:42:48	10:42:48	273203C9F100	46.74	46.63	\$22.80
596	599	9/29/2008	CDRG	P	B	210	STT	46.62	10:42:48	10:42:48	273403C9D400	46.74	46.63	\$25.20
597	600	9/29/2008	CDRG	P	B	200	CF	82.6	10:42:56	10:42:55	273403CAE300	85.42	82.61	\$564.00
598	601	9/29/2008	CDRG	P	B	100	CF	82.6	10:42:56	10:42:55	273603CAF700	85.42	82.61	\$282.00
599	602	9/29/2008	CDRG	P	B	220	CMI	42.19	10:43:22	10:43:22	273603CFBE00	43.18	42.20	\$217.80
600	603	9/29/2008	CDRG	P	B	40	CMI	42.19	10:43:22	10:43:22	273403CFAA00	43.18	42.20	\$39.60
601	604	9/29/2008	CDRG	P	B	200	CMI	42.19	10:43:25	10:43:25	273603D01E00	43.18	42.20	\$198.00
602	605	9/29/2008	CDRG	P	S	500	MER	25.01	10:43:46	10:43:46	2739035B1900	25.00	24.76	\$125.00
603	606	9/29/2008	CDRG	P	B	150	HPQ	46.46	10:43:51	10:43:51	273003D3FE00	46.62	46.47	\$24.00
604	607	9/29/2008	CDRG	P	B	105	CMI	42.19	10:43:54	10:43:54	273003D47700	43.17	42.20	\$102.90
605	608	9/29/2008	CDRG	P	S	100	MER	25.01	10:43:54	10:43:54	2733035C2600	25.00	24.79	\$22.00
606	609	9/29/2008	CDRG	P	S	1000	DUG	39.98	10:43:55	10:43:55	2733035C4F00	39.97	39.95	\$30.00
607	610	9/29/2008	CDRG	P	B	108	HPQ	46.46	10:44:06	10:44:06	273203D65A00	46.60	46.47	\$15.12
608	611	9/29/2008	CDRG	P	B	92	HPQ	46.46	10:44:06	10:44:06	273603D65200	46.60	46.47	\$12.88
609	612	9/29/2008	CDRG	P	B	500	CMI	42.19	10:44:13	10:44:13	273403D72200	43.10	42.20	\$455.00
610	613	9/29/2008	CDRG	P	S	100	V	58.59	10:44:26	10:44:26	273103608	58.58	58.42	\$17.00
611	614	9/29/2008	CDRG	P	B	113	BAC	33.7	10:44:33	10:44:33	273003DA3300	33.81	33.70	\$12.43
612	615	9/29/2008	CDRG	P	B	5	BAC	33.7	10:44:35	10:44:35	273003DAA300	33.80	33.70	\$0.50
613	616	9/29/2008	CDRG	P	B	32	BAC	33.7	10:44:42	10:44:42	273403DB4F00	33.77	33.70	\$2.24
614	617	9/29/2008	CDRG	P	B	68	BAC	33.7	10:44:42	10:44:42	273203DB6D00	33.77	33.70	\$4.76
615	618	9/29/2008	CDRG	P	B	517	EXM	13.41	10:44:43	10:44:43	273003DBA700	13.65	13.42	\$124.08
616	619	9/29/2008	CDRG	P	S	1000	MER	25.01	10:44:46	10:44:46	273903631100	25.00	24.82	\$190.00
617	620	9/29/2008	CDRG	P	S	500	DUG	39.98	10:44:53	10:44:53	27350363	39.97	39.92	\$30.00
618	621	9/29/2008	CDRG	P	B	340	EXM	13.41	10:44:56	10:44:56	273203DD3000	13.69	13.42	\$95.20
619	622	9/29/2008	CDRG	P	S	1000	MER	25.01	10:45:01	10:45:01	27330364CA00	25.00	24.85	\$160.00
620	623	9/29/2008	CDRG	P	B	32	BAC	33.69	10:45:20	10:45:20	273603E08900	33.77	33.70	\$2.56
621	624	9/29/2008	CDRG	P	B	75	CF	82.6	10:45:42	10:45:42	273603E57000	85.75	82.61	\$236.25
622	625	9/29/2008	CDRG	P	B	500	HPQ	46.46	10:45:48	10:45:48	273803E62400	46.73	46.47	\$135.00
623	626	9/29/2008	CDRG	P	B	300	GLW	15.25	10:45:52	10:45:52	273203E71000	15.31	15.25	\$18.00
624	627	9/29/2008	CDRG	P	B	59	BAC	33.7	10:45:56	10:45:56	273403E77C00	33.80	33.70	\$5.90
625	628	9/29/2008	CDRG	P	S	20	MER	25.01	10:45:57	10:45:57	2737036D1D00	25.00	24.83	\$3.60
626	629	9/29/2008	CDRG	P	B	235	BAC	33.69	10:45:59	10:45:59	273603E80A00	33.80	33.70	\$25.85
627	630	9/29/2008	CDRG	P	B	765	BAC	33.69	10:45:59	10:45:59	273403E7F700	33.80	33.70	\$84.15

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
628	631	9/29/2008	CDRG	P	S	133	DUG	39.96	10:46:11	10:46:11	2737036EE800	39.97	39.85	\$14.63
629	632	9/29/2008	CDRG	P	B	250	AA	21.67	10:46:26	10:46:26	273203EDB700	21.76	21.68	\$22.50
630	633	9/29/2008	CDRG	P	B	25	BAC	33.7	10:46:26	10:46:26	273003EDB500	33.84	33.70	\$3.50
631	634	9/29/2008	CDRG	P	B	50	CMI	42.19	10:46:28	10:46:28	273803EDF500	43.14	42.20	\$47.50
632	635	9/29/2008	CDRG	P	B	200	HPQ	46.46	10:46:32	10:46:32	273403EE6600	46.80	46.47	\$68.00
633	636	9/29/2008	CDRG	P	S	50	MER	25.01	10:46:36	10:46:36	273903724F00	25.00	24.90	\$5.50
634	637	9/29/2008	CDRG	P	S	20	V	58.59	10:46:39	10:46:39	27350372AF00	58.58	58.50	\$1.80
635	638	9/29/2008	CDRG	P	B	100	SPY	116.79	10:46:45	10:46:45	273003F03F00	116.95	116.80	\$16.00
636	639	9/29/2008	CDRG	P	B	4965	SPY	116.79	10:46:45	10:46:45	273403F02800	116.95	116.80	\$794.40
637	640	9/29/2008	CDRG	P	S	50	MER	25.01	10:46:47	10:46:47	27310373B400	25.00	24.89	\$6.00
638	641	9/29/2008	CDRG	P	S	2800	MER	25.01	10:46:53	10:46:53	273903746400	25.00	24.96	\$140.00
639	642	9/29/2008	CDRG	P	S	100	V	58.59	10:46:55	10:46:55	273503748600	58.58	58.50	\$9.00
640	643	9/29/2008	CDRG	P	B	15	CF	82.6	10:46:58	10:46:58	273203F1E100	86.35	82.61	\$56.25
641	644	9/29/2008	CDRG	P	B	210	BAC	33.69	10:46:58	10:46:58	273203F1EB00	33.81	33.70	\$25.20
642	645	9/29/2008	CDRG	P	B	1090	BAC	33.69	10:46:58	10:46:58	273603F1E400	33.81	33.70	\$130.80
643	646	9/29/2008	CDRG	P	B	100	BAC	33.69	10:46:58	10:46:58	273403F1D000	33.81	33.70	\$12.00
644	647	9/29/2008	CDRG	P	S	100	V	58.59	10:46:59	10:46:59	27350374E800	58.58	58.50	\$9.00
645	648	9/29/2008	CDRG	P	B	100	HPQ	46.46	10:47:05	10:47:05	273603F2DC00	46.80	46.47	\$34.00
646	649	9/29/2008	CDRG	P	B	50	HPQ	46.46	10:47:05	10:47:05	273803F2D700	46.80	46.47	\$17.00
647	650	9/29/2008	CDRG	P	B	50	HPQ	46.46	10:47:05	10:47:05	273003F2E100	46.80	46.47	\$17.00
648	651	9/29/2008	CDRG	P	B	208	BAC	33.69	10:47:14	10:47:14	273803F4A000	33.94	33.70	\$52.00
649	652	9/29/2008	CDRG	P	S	400	MER	25	10:47:18	10:47:18	273703774800	25.00	24.96	\$16.00
650	653	9/29/2008	CDRG	P	B	200	SPY	116.79	10:47:19	10:47:19	273003F52E00	117.08	116.80	\$58.00
651	654	9/29/2008	CDRG	P	B	100	SPY	116.79	10:47:19	10:47:19	273203F53400	117.08	116.80	\$29.00
652	655	9/29/2008	CDRG	P	B	1000	SPY	116.79	10:47:19	10:47:19	273803F52500	117.08	116.80	\$290.00
653	656	9/29/2008	CDRG	P	S	100	DUG	39.98	10:47:24	10:47:24	273103780C00	39.97	39.75	\$23.00
654	657	9/29/2008	CDRG	P	B	100	GLW	15.24	10:47:27	10:47:27	273603F60D00	15.32	15.25	\$8.00
655	658	9/29/2008	CDRG	P	S	700	V	58.59	10:47:28	10:47:28	273703785600	58.58	58.53	\$42.00
656	659	9/29/2008	CDRG	P	B	50	BAC	33.69	10:47:31	10:47:31	273203F69300	33.88	33.70	\$9.50
657	660	9/29/2008	CDRG	P	B	240	CMI	42.19	10:47:31	10:47:31	273603F68F00	43.20	42.20	\$242.40
658	661	9/29/2008	CDRG	P	B	10	CF	82.6	10:47:31	10:47:31	273803F69600	86.61	82.61	\$40.10
659	662	9/29/2008	CDRG	P	B	5	CF	82.6	10:47:31	10:47:31	273403F68900	86.61	82.61	\$20.05
660	663	9/29/2008	CDRG	P	B	1500	SPY	116.79	10:47:40	10:47:40	273603F85D00	117.12	116.80	\$495.00
661	664	9/29/2008	CDRG	P	B	17	SPY	116.79	10:47:43	10:47:43	273603F8E200	117.14	116.80	\$5.95
662	665	9/29/2008	CDRG	P	B	500	BAC	33.69	10:47:45	10:47:45	273603F93300	33.98	33.70	\$145.00
663	666	9/29/2008	CDRG	P	B	22	CMI	42.19	10:47:46	10:47:46	273203F94800	43.23	42.20	\$22.88
664	667	9/29/2008	CDRG	P	B	35	HPQ	46.46	10:47:46	10:47:46	273403F93100	46.91	46.47	\$15.75
665	668	9/29/2008	CDRG	P	B	127	BAC	33.69	10:47:47	10:47:47	273603F97300	33.99	33.70	\$38.10
666	669	9/29/2008	CDRG	P	B	200	BAC	33.69	10:47:52	10:47:52	273803FA3000	33.98	33.70	\$58.00
667	670	9/29/2008	CDRG	P	B	36	HPQ	46.46	10:47:54	10:47:54	273403FAE900	46.92	46.47	\$16.56
668	671	9/29/2008	CDRG	P	B	400	WFC	37.8	10:47:57	10:47:57	273803FBA000	37.95	37.80	\$60.00
669	672	9/29/2008	CDRG	P	B	400	WFC	37.8	10:47:57	10:47:57	273403FB9900	37.95	37.80	\$60.00
670	673	9/29/2008	CDRG	P	B	500	SPY	116.79	10:47:58	10:47:58	273803FBB900	117.29	116.80	\$250.00
671	674	9/29/2008	CDRG	P	B	257	WFC	37.8	10:48:04	10:48:04	273203FCC600	37.91	37.80	\$28.27
672	675	9/29/2008	CDRG	P	B	20	CMI	42.19	10:48:12	10:48:12	273403FDF600	43.24	42.20	\$21.00
673	676	9/29/2008	CDRG	P	B	35	HPQ	46.46	10:48:13	10:48:13	273803FE0D00	46.94	46.47	\$16.80
674	677	9/29/2008	CDRG	P	S	130	CX	17.26	10:48:18	10:48:18	2731037F6800	17.25	17.17	\$11.70
675	678	9/29/2008	CDRG	P	B	27	GLW	15.24	10:48:19	10:48:19	273003FF4900	15.29	15.25	\$1.35
676	679	9/29/2008	CDRG	P	S	200	CX	17.26	10:48:21	10:48:21	2737037FA900	17.25	17.17	\$18.00
677	680	9/29/2008	CDRG	P	B	500	GLW	15.24	10:48:22	10:48:22	273003FFC600	15.29	15.25	\$25.00
678	681	9/29/2008	CDRG	P	B	100	HPQ	46.46	10:48:29	10:48:29	273004009400	46.86	46.47	\$40.00
679	682	9/29/2008	CDRG	P	B	100	WFC	37.79	10:48:40	10:48:40	27340401E200	37.87	37.80	\$8.00
680	683	9/29/2008	CDRG	P	B	100	EXM	13.41	10:48:49	10:48:49	273404030F00	13.91	13.42	\$50.00
681	684	9/29/2008	CDRG	P	B	100	AA	21.67	10:48:52	10:48:52	273204037500	21.80	21.68	\$13.00
682	685	9/29/2008	CDRG	P	B	24	LOW	23.59	10:48:54	10:48:54	27320403C600	23.89	23.60	\$7.20
683	686	9/29/2008	CDRG	P	B	76	WFC	37.79	10:48:55	10:48:55	27360403ED00	37.96	37.80	\$12.92
684	687	9/29/2008	CDRG	P	B	300	WFC	37.79	10:48:55	10:48:55	27360403F300	37.96	37.80	\$51.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
685	688	9/29/2008	CDRG	P	B	544	GLW	15.24	10:48:57	10:48:57	273804042600	15.30	15.25	\$32.64
686	689	9/29/2008	CDRG	P	B	50	BAC	33.69	10:48:58	10:48:58	273004043D00	34.14	33.70	\$22.50
687	690	9/29/2008	CDRG	P	S	170	V	58.59	10:49:06	10:49:06	27330384A800	58.58	58.54	\$8.50
688	691	9/29/2008	CDRG	P	B	50	BAC	33.69	10:49:07	10:49:07	273204053D00	34.14	33.70	\$22.50
689	692	9/29/2008	CDRG	P	B	10	CF	82.6	10:49:11	10:49:11	27340405A000	87.04	82.61	\$44.40
690	693	9/29/2008	CDRG	P	B	23	CMI	42.19	10:49:13	10:49:13	27360405FC00	43.39	42.20	\$27.60
691	694	9/29/2008	CDRG	P	B	75	WFC	37.79	10:49:14	10:49:14	27340405FD00	38.05	37.80	\$19.50
692	695	9/29/2008	CDRG	P	S	1000	MER	25.01	10:49:14	10:49:14	27390385B500	25.00	24.99	\$20.00
693	696	9/29/2008	CDRG	P	S	700	V	58.59	10:49:17	10:49:17	273903861A00	58.58	58.52	\$49.00
694	697	9/29/2008	CDRG	P	B	500	EXM	13.41	10:49:26	10:49:26	273204080F00	13.93	13.42	\$260.00
695	698	9/29/2008	CDRG	P	B	50	WFC	37.79	10:49:28	10:49:28	273004085400	38.05	37.80	\$13.00
696	699	9/29/2008	CDRG	P	B	50	WFC	37.79	10:49:28	10:49:28	273404083D00	38.05	37.80	\$13.00
697	700	9/29/2008	CDRG	P	B	542	WFC	37.79	10:49:30	10:49:30	27320408B100	38.05	37.80	\$140.92
698	701	9/29/2008	CDRG	P	B	100	WFC	37.79	10:49:30	10:49:30	27380408A200	38.05	37.80	\$26.00
699	702	9/29/2008	CDRG	P	B	100	WFC	37.79	10:49:30	10:49:30	27300408AC00	38.05	37.80	\$26.00
700	703	9/29/2008	CDRG	P	B	1758	WFC	37.79	10:49:30	10:49:30	27320408B300	38.05	37.80	\$457.08
701	704	9/29/2008	CDRG	P	B	35	HPQ	46.46	10:49:40	10:49:40	2732040A8700	46.92	46.47	\$16.10
702	705	9/29/2008	CDRG	P	B	600	SPY	116.79	10:49:47	10:49:47	27320408BD00	117.36	116.80	\$342.00
703	706	9/29/2008	CDRG	P	B	50	AGU	56.99	10:50:03	10:50:03	2730040E6600	57.44	57.00	\$22.50
704	707	9/29/2008	CDRG	P	S	100	V	58.59	10:50:04	10:50:04	2735038C1600	58.58	58.52	\$7.00
705	708	9/29/2008	CDRG	P	B	200	BAC	33.69	10:50:05	10:50:05	2732040EB600	34.18	33.70	\$98.00
706	709	9/29/2008	CDRG	P	B	4	SPY	116.79	10:50:05	10:50:05	2730040EB200	117.45	116.80	\$2.64
707	710	9/29/2008	CDRG	P	S	183	V	58.59	10:50:08	10:50:07	2733038C9000	58.58	58.52	\$12.81
708	711	9/29/2008	CDRG	P	B	24	HPQ	46.46	10:50:08	10:50:08	2730040F1500	46.94	46.47	\$11.52
709	712	9/29/2008	CDRG	P	B	300	AA	21.67	10:50:13	10:50:13	2738040FED00	21.81	21.68	\$42.00
710	713	9/29/2008	CDRG	P	B	47	LOW	23.59	10:50:15	10:50:15	273804103C00	23.95	23.60	\$16.92
711	714	9/29/2008	CDRG	P	B	350	WFC	37.79	10:50:23	10:50:23	273404112300	38.21	37.80	\$147.00
712	715	9/29/2008	CDRG	P	B	81	HPQ	46.46	10:50:26	10:50:26	27360411CE00	46.89	46.47	\$34.83
713	716	9/29/2008	CDRG	P	B	600	WFC	37.79	10:50:29	10:50:29	273404125D00	38.23	37.80	\$264.00
714	717	9/29/2008	CDRG	P	B	200	WFC	37.79	10:50:32	10:50:32	27360412BE00	38.16	37.80	\$74.00
715	718	9/29/2008	CDRG	P	S	300	MER	25	10:50:48	10:50:48	273103917A00	25.00	24.97	\$9.00
716	719	9/29/2008	CDRG	P	B	200	BAC	33.69	10:50:58	10:50:58	273604163D00	34.24	33.70	\$110.00
717	720	9/29/2008	CDRG	P	B	300	ABB	18.69	10:50:59	10:50:59	273804165500	18.74	18.70	\$15.00
718	721	9/29/2008	CDRG	P	B	75	WFC	37.79	10:51:06	10:51:06	273804172100	38.09	37.80	\$22.50
719	722	9/29/2008	CDRG	P	B	150	WFC	37.79	10:51:08	10:51:08	273604176400	38.09	37.80	\$45.00
720	723	9/29/2008	CDRG	P	B	250	HPQ	46.46	10:51:09	10:51:09	27360417A200	46.84	46.47	\$95.00
721	724	9/29/2008	CDRG	P	B	100	AA	21.68	10:51:09	10:51:09	27320417B100	21.80	21.68	\$12.00
722	725	9/29/2008	CDRG	P	B	100	CF	82.61	10:51:15	10:51:15	273604185700	87.20	82.61	\$459.00
723	726	9/29/2008	CDRG	P	B	825	WFC	37.79	10:51:16	10:51:16	273404187800	38.11	37.80	\$264.00
724	727	9/29/2008	CDRG	P	B	15	WFC	37.79	10:51:16	10:51:16	273004189000	38.11	37.80	\$4.80
725	728	9/29/2008	CDRG	P	B	15	CMI	42.19	10:51:19	10:51:19	27380418E600	43.41	42.20	\$18.30
726	729	9/29/2008	CDRG	P	B	15	WFC	37.79	10:51:28	10:51:28	2736041A3D00	38.15	37.80	\$5.40
727	730	9/29/2008	CDRG	P	B	85	WFC	37.79	10:51:28	10:51:28	2734041A2900	38.15	37.80	\$30.60
728	731	9/29/2008	CDRG	P	S	100	MER	25.01	10:51:35	10:51:35	273903975A00	25.00	24.93	\$8.00
729	732	9/29/2008	CDRG	P	B	100	WFC	37.79	10:51:48	10:51:48	2736041D1100	38.13	37.80	\$34.00
730	733	9/29/2008	CDRG	P	B	200	ABB	18.69	10:51:52	10:51:52	2730041D8F00	18.73	18.70	\$8.00
731	734	9/29/2008	CDRG	P	B	150	WFC	37.79	10:51:56	10:51:56	2732041DD900	38.14	37.80	\$52.50
732	735	9/29/2008	CDRG	P	B	35	WFC	37.79	10:51:56	10:51:56	2732041DE200	38.14	37.80	\$12.25
733	736	9/29/2008	CDRG	P	B	115	WFC	37.79	10:51:56	10:51:56	2736041DD900	38.14	37.80	\$40.25
734	737	9/29/2008	CDRG	P	B	250	WFC	37.79	10:51:56	10:51:56	2734041DC500	38.14	37.80	\$87.50
735	738	9/29/2008	CDRG	P	B	155	WFC	37.79	10:51:57	10:51:57	2730041DFF00	38.15	37.80	\$55.80
736	739	9/29/2008	CDRG	P	B	100	ABB	18.69	10:51:59	10:51:59	2738041E1B00	18.73	18.70	\$4.00
737	740	9/29/2008	CDRG	P	B	310	ABB	18.69	10:52:01	10:52:01	2734041E9000	18.74	18.70	\$15.50
738	741	9/29/2008	CDRG	P	B	100	WFC	37.79	10:52:02	10:52:02	2732041ECC00	38.15	37.80	\$36.00
739	742	9/29/2008	CDRG	P	B	200	WFC	37.79	10:52:02	10:52:02	2730041EC700	38.15	37.80	\$72.00
740	743	9/29/2008	CDRG	P	B	100	CF	82.61	10:52:08	10:52:08	2738041F6F00	86.93	82.61	\$432.00
741	744	9/29/2008	CDRG	P	B	306	LOW	23.59	10:52:12	10:52:12	2738041FE800	23.90	23.60	\$94.86

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
742	745	9/29/2008	CDRG	P	B	94	LOW	23.59	10:52:12	10:52:12	2736041FEE00	23.90	23.60	\$29.14
743	746	9/29/2008	CDRG	P	B	300	STT	46.62	10:52:17	10:52:17	273804207500	46.75	46.63	\$39.00
744	747	9/29/2008	CDRG	P	B	100	AA	21.68	10:52:18	10:52:18	27320420C200	21.77	21.68	\$9.00
745	748	9/29/2008	CDRG	P	B	100	EXM	13.41	10:52:23	10:52:22	273404213900	13.88	13.42	\$47.00
746	749	9/29/2008	CDRG	P	B	132	WFC	37.79	10:52:26	10:52:26	27380421A700	38.09	37.80	\$39.60
747	750	9/29/2008	CDRG	P	B	100	SPY	116.79	10:52:26	10:52:26	27320421BB00	117.19	116.80	\$40.00
748	751	9/29/2008	CDRG	P	B	500	SPY	116.79	10:52:26	10:52:26	273404219	117.19	116.80	\$200.00
749	752	9/29/2008	CDRG	P	B	100	BAC	33.69	10:52:42	10:52:42	273204238700	34.12	33.70	\$43.00
750	753	9/29/2008	CDRG	P	B	11	WFC	37.79	10:52:46	10:52:46	27360423F500	38.03	37.80	\$2.64
751	754	9/29/2008	CDRG	P	B	300	WFC	37.79	10:52:48	10:52:48	273404241900	38.02	37.80	\$69.00
752	755	9/29/2008	CDRG	P	B	1000	WFC	37.79	10:52:52	10:52:52	27300424B100	37.96	37.80	\$170.00
753	756	9/29/2008	CDRG	P	B	400	SPY	116.79	10:52:58	10:52:58	273604254A00	117.16	116.80	\$148.00
754	757	9/29/2008	CDRG	P	B	100	LOW	23.59	10:52:59	10:52:59	273804255	23.87	23.60	\$28.00
755	758	9/29/2008	CDRG	P	B	52	HPQ	46.46	10:53:07	10:53:07	273804264700	46.78	46.47	\$16.64
756	759	9/29/2008	CDRG	P	B	485	HPQ	46.46	10:53:07	10:53:07	273004265200	46.78	46.47	\$155.20
757	760	9/29/2008	CDRG	P	B	285	HPQ	46.46	10:53:07	10:53:07	273204266300	46.78	46.47	\$91.20
758	761	9/29/2008	CDRG	P	B	15	HPQ	46.46	10:53:07	10:53:07	273004265D00	46.78	46.47	\$4.80
759	762	9/29/2008	CDRG	P	B	37	WFC	37.79	10:53:12	10:53:12	27320426F300	37.97	37.80	\$6.66
760	763	9/29/2008	CDRG	P	B	200	WFC	37.79	10:53:12	10:53:12	27300426F900	37.97	37.80	\$36.00
761	764	9/29/2008	CDRG	P	B	100	CF	82.61	10:53:15	10:53:15	273804274400	87.00	82.61	\$439.00
762	765	9/29/2008	CDRG	P	B	28	HPQ	46.46	10:53:22	10:53:22	273004286300	46.86	46.47	\$11.20
763	766	9/29/2008	CDRG	P	B	100	AA	21.68	10:53:28	10:53:28	273804295800	21.78	21.68	\$10.00
764	767	9/29/2008	CDRG	P	B	232	WFC	37.79	10:53:30	10:53:30	273404299300	37.91	37.80	\$27.84
765	768	9/29/2008	CDRG	P	B	100	WFC	37.79	10:53:30	10:53:30	27320429B000	37.91	37.80	\$12.00
766	769	9/29/2008	CDRG	P	B	155	WFC	37.79	10:53:38	10:53:38	2734042A6700	37.98	37.80	\$29.45
767	770	9/29/2008	CDRG	P	B	96	WFC	37.79	10:53:43	10:53:43	2734042B0A00	37.97	37.80	\$17.28
768	771	9/29/2008	CDRG	P	B	70	WFC	37.79	10:53:44	10:53:44	2736042B4500	37.99	37.80	\$14.00
769	772	9/29/2008	CDRG	P	B	10	SPY	116.79	10:53:45	10:53:45	2734042B6000	117.15	116.80	\$3.60
770	773	9/29/2008	CDRG	P	B	100	WFC	37.79	10:53:56	10:53:56	2732042CEA00	37.99	37.80	\$20.00
771	774	9/29/2008	CDRG	P	B	500	WFC	37.79	10:53:57	10:53:57	2736042CF900	37.99	37.80	\$100.00
772	775	9/29/2008	CDRG	P	B	100	EXM	13.41	10:53:58	10:53:58	2732042D3A00	13.83	13.42	\$42.00
773	776	9/29/2008	CDRG	P	B	100	LOW	23.59	10:54:00	10:54:00	2738042DB600	23.82	23.60	\$23.00
774	777	9/29/2008	CDRG	P	B	100	SPY	116.79	10:54:01	10:54:01	2738042DDB00	117.08	116.80	\$29.00
775	778	9/29/2008	CDRG	P	B	30	AA	21.67	10:54:17	10:54:17	2734042FFD00	21.76	21.68	\$2.70
776	779	9/29/2008	CDRG	P	B	50	HPQ	46.46	10:54:17	10:54:17	273204302600	46.77	46.47	\$15.50
777	780	9/29/2008	CDRG	P	B	100	SPY	116.79	10:54:19	10:54:19	273204306D00	117.10	116.80	\$31.00
778	781	9/29/2008	CDRG	P	B	25	SPY	116.79	10:54:19	10:54:19	273404305100	117.10	116.80	\$7.75
779	782	9/29/2008	CDRG	P	B	100	BAC	33.69	10:54:22	10:54:22	27380430BD00	34.25	33.70	\$56.00
780	783	9/29/2008	CDRG	P	B	300	BAC	33.69	10:54:22	10:54:22	2730043E100	34.25	33.70	\$168.00
781	784	9/29/2008	CDRG	P	B	13	BAC	33.69	10:54:22	10:54:22	2730043E200	34.25	33.70	\$7.28
782	785	9/29/2008	CDRG	P	B	100	CF	82.61	10:54:22	10:54:22	27360430E400	87.24	82.61	\$463.00
783	786	9/29/2008	CDRG	P	B	100	WFC	37.79	10:54:29	10:54:29	27320431D000	37.93	37.80	\$14.00
784	787	9/29/2008	CDRG	P	B	187	BAC	33.69	10:54:30	10:54:30	27340431BB00	34.29	33.70	\$112.20
785	788	9/29/2008	CDRG	P	B	33	BAC	33.69	10:54:30	10:54:30	27340431BC00	34.29	33.70	\$19.80
786	789	9/29/2008	CDRG	P	B	216	BAC	33.69	10:54:30	10:54:30	27360431D000	34.29	33.70	\$129.60
787	790	9/29/2008	CDRG	P	B	30	BAC	33.69	10:54:33	10:54:32	273404320	34.29	33.70	\$18.00
788	791	9/29/2008	CDRG	P	B	100	AA	21.68	10:54:37	10:54:37	27340432B800	21.78	21.68	\$10.00
789	792	9/29/2008	CDRG	P	B	39	SPY	116.79	10:54:51	10:54:51	27360434DC00	117.06	116.80	\$10.53
790	793	9/29/2008	CDRG	P	B	74	BAC	33.69	10:54:58	10:54:58	27320435B200	34.20	33.70	\$37.74
791	794	9/29/2008	CDRG	P	B	200	BAC	33.69	10:54:58	10:54:58	27300435B200	34.20	33.70	\$102.00
792	795	9/29/2008	CDRG	P	B	193	WFC	37.79	10:54:59	10:54:59	27320435F800	37.93	37.80	\$27.02
793	796	9/29/2008	CDRG	P	S	1600	MER	25.01	10:55:01	10:55:01	273103AFD000	25.00	24.88	\$208.00
794	797	9/29/2008	CDRG	P	B	100	GLW	15.24	10:55:03	10:55:03	273804366B00	15.29	15.25	\$5.00
795	798	9/29/2008	CDRG	P	B	100	GLW	15.24	10:55:03	10:55:03	273004367500	15.29	15.25	\$5.00
796	799	9/29/2008	CDRG	P	B	110	GLW	15.24	10:55:03	10:55:03	273404365	15.29	15.25	\$5.50
797	800	9/29/2008	CDRG	P	B	20	GLW	15.24	10:55:03	10:55:03	273204367C00	15.29	15.25	\$1.00
798	801	9/29/2008	CDRG	P	B	670	GLW	15.24	10:55:03	10:55:03	273604367200	15.29	15.25	\$33.50

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
799	802	9/29/2008	CDRG	P	S	100	MER	25.01	10:55:06	10:55:06	273503B06A00	25.00	24.88	\$13.00
800	803	9/29/2008	CDRG	P	B	1000	WFC	37.79	10:55:07	10:55:07	27340436CD00	37.81	37.80	\$20.00
801	804	9/29/2008	CDRG	P	B	75	SPY	116.79	10:55:14	10:55:14	273004379	117.00	116.80	\$15.75
802	805	9/29/2008	CDRG	P	S	500	MER	25.01	10:55:20	10:55:20	273103B21E00	25.00	24.88	\$65.00
803	806	9/29/2008	CDRG	P	B	100	CF	82.61	10:55:27	10:55:27	273004394600	87.01	82.61	\$440.00
804	807	9/29/2008	CDRG	P	S	500	DIS	31.56	10:55:34	10:55:34	273103B3BF00	31.55	31.52	\$20.00
805	808	9/29/2008	CDRG	P	B	70	SPY	116.79	10:55:37	10:55:37	2732043A3E00	116.97	116.80	\$12.60
806	809	9/29/2008	CDRG	P	B	100	SPY	116.79	10:55:37	10:55:37	2734043A2100	116.97	116.80	\$18.00
807	810	9/29/2008	CDRG	P	B	410	SPY	116.79	10:55:37	10:55:37	2736043A3500	116.97	116.80	\$73.80
808	811	9/29/2008	CDRG	P	B	300	BAC	33.69	10:55:37	10:55:37	2736043A3C00	34.19	33.70	\$150.00
809	812	9/29/2008	CDRG	P	B	480	BAC	33.69	10:55:37	10:55:37	2732043A4500	34.19	33.70	\$240.00
810	813	9/29/2008	CDRG	P	B	1120	BAC	33.69	10:55:37	10:55:37	2730043A4000	34.19	33.70	\$560.00
811	814	9/29/2008	CDRG	P	B	100	BAC	33.69	10:55:37	10:55:37	2738043A3600	34.19	33.70	\$50.00
812	815	9/29/2008	CDRG	P	B	100	AA	21.68	10:55:48	10:55:48	2738043B5F00	21.78	21.68	\$10.00
813	816	9/29/2008	CDRG	P	B	2000	SPY	116.79	10:55:53	10:55:53	2734043C0D00	116.92	116.80	\$260.00
814	817	9/29/2008	CDRG	P	B	20	LOW	23.59	10:55:57	10:55:57	2734043C6100	23.77	23.60	\$3.60
815	818	9/29/2008	CDRG	P	B	25	BAC	33.69	10:56:07	10:56:07	2738043DAD00	34.21	33.70	\$13.00
816	819	9/29/2008	CDRG	P	B	100	BAC	33.69	10:56:15	10:56:15	2732043ED800	34.14	33.70	\$45.00
817	820	9/29/2008	CDRG	P	B	855	BAC	33.69	10:56:15	10:56:15	2730043ED200	34.14	33.70	\$384.75
818	821	9/29/2008	CDRG	P	B	45	BAC	33.69	10:56:15	10:56:15	2734043EBB00	34.14	33.70	\$20.25
819	822	9/29/2008	CDRG	P	B	100	HPQ	46.46	10:56:18	10:56:17	2738043F0900	46.75	46.47	\$29.00
820	823	9/29/2008	CDRG	P	B	200	BAC	33.69	10:56:19	10:56:19	2734043F3100	34.14	33.70	\$90.00
821	824	9/29/2008	CDRG	P	B	84	BAC	33.69	10:56:19	10:56:19	2732043F4E00	34.14	33.70	\$37.80
822	825	9/29/2008	CDRG	P	B	1000	BAC	33.69	10:56:19	10:56:19	2736043F4500	34.14	33.70	\$450.00
823	826	9/29/2008	CDRG	P	B	216	BAC	33.69	10:56:19	10:56:19	2732043F4F00	34.14	33.70	\$97.20
824	827	9/29/2008	CDRG	P	B	46	HPQ	46.46	10:56:21	10:56:21	2738043F7900	46.72	46.47	\$11.96
825	828	9/29/2008	CDRG	P	S	500	MER	25.01	10:56:27	10:56:27	273903BA9800	25.00	24.82	\$95.00
826	829	9/29/2008	CDRG	P	B	100	HPQ	46.46	10:56:30	10:56:30	273204419600	46.59	46.47	\$13.00
827	830	9/29/2008	CDRG	P	B	100	HPQ	46.46	10:56:30	10:56:30	273404417B00	46.59	46.47	\$13.00
828	831	9/29/2008	CDRG	P	B	200	HPQ	46.46	10:56:30	10:56:30	273604418F00	46.59	46.47	\$26.00
829	832	9/29/2008	CDRG	P	B	84	BAC	33.69	10:56:33	10:56:33	273604422F00	34.12	33.70	\$36.12
830	833	9/29/2008	CDRG	P	B	100	BAC	33.69	10:56:33	10:56:33	273204423B00	34.12	33.70	\$43.00
831	834	9/29/2008	CDRG	P	B	216	BAC	33.69	10:56:33	10:56:33	273404421	34.12	33.70	\$92.88
832	835	9/29/2008	CDRG	P	S	100	DUG	39.98	10:56:33	10:56:33	273303BBD600	39.97	39.90	\$8.00
833	836	9/29/2008	CDRG	P	B	292	HPQ	46.46	10:56:38	10:56:38	273004435300	46.57	46.47	\$32.12
834	837	9/29/2008	CDRG	P	B	74	BAC	33.69	10:56:39	10:56:39	273404435700	34.05	33.70	\$26.64
835	838	9/29/2008	CDRG	P	B	100	BAC	33.69	10:56:39	10:56:39	273604436B00	34.05	33.70	\$36.00
836	839	9/29/2008	CDRG	P	B	150	BAC	33.69	10:56:39	10:56:39	273004436F00	34.05	33.70	\$54.00
837	840	9/29/2008	CDRG	P	B	200	BAC	33.69	10:56:39	10:56:39	273804436500	34.05	33.70	\$72.00
838	841	9/29/2008	CDRG	P	B	270	BAC	33.69	10:56:39	10:56:39	273404435900	34.05	33.70	\$97.20
839	842	9/29/2008	CDRG	P	B	500	BAC	33.69	10:56:39	10:56:39	273004437000	34.05	33.70	\$180.00
840	843	9/29/2008	CDRG	P	B	100	BAC	33.69	10:56:39	10:56:39	273404435800	34.05	33.70	\$36.00
841	844	9/29/2008	CDRG	P	B	100	BAC	33.69	10:56:39	10:56:39	273804436600	34.05	33.70	\$36.00
842	845	9/29/2008	CDRG	P	B	500	BAC	33.69	10:56:39	10:56:39	273204437500	34.05	33.70	\$180.00
843	846	9/29/2008	CDRG	P	B	300	BAC	33.69	10:56:39	10:56:39	273604436D00	34.05	33.70	\$108.00
844	847	9/29/2008	CDRG	P	B	200	BAC	33.69	10:56:39	10:56:39	273604436C00	34.05	33.70	\$72.00
845	848	9/29/2008	CDRG	P	B	2006	BAC	33.69	10:56:39	10:56:39	273204437700	34.05	33.70	\$722.16
846	849	9/29/2008	CDRG	P	B	100	AA	21.68	10:56:55	10:56:55	273204461A00	21.70	21.68	\$2.00
847	850	9/29/2008	CDRG	P	B	214	HPQ	46.46	10:56:56	10:56:56	273004462600	46.61	46.47	\$32.10
848	851	9/29/2008	CDRG	P	B	500	STT	46.62	10:56:57	10:56:57	273804466900	47.54	46.63	\$460.00
849	852	9/29/2008	CDRG	P	S	500	CX	17.26	10:57:02	10:57:02	273303BF9600	17.25	17.21	\$25.00
850	853	9/29/2008	CDRG	P	S	2000	DUG	39.97	10:57:07	10:57:07	273303C02A00	39.97	39.84	\$260.00
851	854	9/29/2008	CDRG	P	B	3	BAC	33.69	10:57:08	10:57:08	273204485100	34.08	33.70	\$1.17
852	855	9/29/2008	CDRG	P	B	100	SPY	116.8	10:57:09	10:57:09	273004485	116.87	116.80	\$7.00
853	856	9/29/2008	CDRG	P	B	62	CMI	42.19	10:57:19	10:57:19	27320449B100	43.41	42.20	\$75.64
854	857	9/29/2008	CDRG	P	B	57	CMI	42.19	10:57:19	10:57:19	273404499400	43.41	42.20	\$69.54
855	858	9/29/2008	CDRG	P	B	195	HPQ	46.46	10:57:19	10:57:19	273404499C00	46.65	46.47	\$37.05

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
856	859	9/29/2008	CDRG	P	B	991	BAC	33.69	10:57:24	10:57:24	2736044A4F00	34.08	33.70	\$386.49
857	860	9/29/2008	CDRG	P	B	209	BAC	33.69	10:57:24	10:57:24	2738044A4900	34.08	33.70	\$81.51
858	861	9/29/2008	CDRG	P	B	791	BAC	33.69	10:57:24	10:57:24	2732044A6300	34.08	33.70	\$308.49
859	862	9/29/2008	CDRG	P	B	100	BAC	33.69	10:57:24	10:57:24	2734044A4600	34.08	33.70	\$39.00
860	863	9/29/2008	CDRG	P	B	750	BAC	33.69	10:57:24	10:57:24	2734044A4700	34.08	33.70	\$292.50
861	864	9/29/2008	CDRG	P	B	100	BAC	33.69	10:57:24	10:57:24	2730044A5E00	34.08	33.70	\$39.00
862	865	9/29/2008	CDRG	P	B	364	BAC	33.69	10:57:24	10:57:24	2730044A5F00	34.08	33.70	\$141.96
863	866	9/29/2008	CDRG	P	B	230	BAC	33.69	10:57:24	10:57:24	2738044A5500	34.08	33.70	\$89.70
864	867	9/29/2008	CDRG	P	B	100	BAC	33.69	10:57:24	10:57:24	2736044A5B00	34.08	33.70	\$39.00
865	868	9/29/2008	CDRG	P	B	100	BAC	33.69	10:57:24	10:57:24	2732044A6400	34.08	33.70	\$39.00
866	869	9/29/2008	CDRG	P	B	89	CMI	42.19	10:57:31	10:57:31	2738044B2200	43.38	42.20	\$105.91
867	870	9/29/2008	CDRG	P	B	147	HPQ	46.46	10:57:31	10:57:31	2734044B1B00	46.58	46.47	\$17.64
868	871	9/29/2008	CDRG	P	B	91	HPQ	46.46	10:57:32	10:57:32	2738044B4300	46.58	46.47	\$10.92
869	872	9/29/2008	CDRG	P	B	10	HPQ	46.46	10:57:37	10:57:37	2734044BDD00	46.58	46.47	\$1.20
870	873	9/29/2008	CDRG	P	B	150	WFC	37.8	10:57:41	10:57:41	2732044C8B00	37.92	37.80	\$18.00
871	874	9/29/2008	CDRG	P	B	100	WFC	37.8	10:57:41	10:57:41	2734044C6E00	37.92	37.80	\$12.00
872	875	9/29/2008	CDRG	P	B	250	WFC	37.8	10:57:41	10:57:41	2738044C7C00	37.92	37.80	\$30.00
873	876	9/29/2008	CDRG	P	B	400	WFC	37.8	10:57:45	10:57:45	2730044D1800	37.86	37.80	\$24.00
874	877	9/29/2008	CDRG	P	B	66	CMI	42.19	10:57:45	10:57:45	2732044D2900	43.39	42.20	\$79.20
875	878	9/29/2008	CDRG	P	B	129	HPQ	46.46	10:57:45	10:57:45	2730044D2B00	46.60	46.47	\$18.06
876	879	9/29/2008	CDRG	P	B	165	GLW	15.24	10:57:48	10:57:48	2732044D8A00	15.27	15.25	\$4.95
877	880	9/29/2008	CDRG	P	S	100	DUG	39.98	10:57:51	10:57:51	273303C49A00	39.97	39.78	\$20.00
878	881	9/29/2008	CDRG	P	B	10	CMI	42.19	10:57:52	10:57:52	2734044E200	43.39	42.20	\$12.00
879	882	9/29/2008	CDRG	P	S	40	TSO	16.66	10:58:02	10:58:02	273103C5A400	16.65	16.51	\$6.00
880	883	9/29/2008	CDRG	P	B	37	HPQ	46.46	10:58:03	10:58:03	2736044F8400	46.59	46.47	\$4.81
881	884	9/29/2008	CDRG	P	S	150	GNW	6.6	10:58:20	10:58:20	273103C7C800	6.60	6.49	\$16.50
882	885	9/29/2008	CDRG	P	S	240	CX	17.25	10:58:27	10:58:27	273903C8A200	17.25	17.20	\$12.00
883	886	9/29/2008	CDRG	P	B	900	WFC	37.79	10:58:45	10:58:45	27360454BA00	37.90	37.80	\$99.00
884	887	9/29/2008	CDRG	P	B	200	GLW	15.24	10:58:46	10:58:46	27320454DB00	15.28	15.25	\$8.00
885	888	9/29/2008	CDRG	P	S	297	GNW	6.61	10:58:53	10:58:53	273303CB6200	6.60	6.42	\$56.43
886	889	9/29/2008	CDRG	P	B	17	LOW	23.59	10:58:57	10:58:57	273004567	23.70	23.60	\$1.87
887	890	9/29/2008	CDRG	P	B	900	CF	82.6	10:58:59	10:58:59	273404569B00	87.17	82.61	\$4,113.00
888	891	9/29/2008	CDRG	P	S	1500	GNW	6.61	10:59:00	10:59:00	273503CC2500	6.60	6.42	\$285.00
889	892	9/29/2008	CDRG	P	S	200	CX	17.26	10:59:01	10:59:01	273903CC4900	17.25	17.20	\$12.00
890	893	9/29/2008	CDRG	P	B	100	GLW	15.24	10:59:06	10:59:06	27360457D400	15.28	15.25	\$4.00
891	894	9/29/2008	CDRG	P	B	154	BAC	33.69	10:59:26	10:59:26	2732045AD200	34.19	33.70	\$77.00
892	895	9/29/2008	CDRG	P	B	300	BAC	33.69	10:59:27	10:59:27	2738045AE100	34.19	33.70	\$150.00
893	896	9/29/2008	CDRG	P	S	500	GNW	6.61	10:59:33	10:59:33	273903CFA500	6.60	6.41	\$100.00
894	897	9/29/2008	CDRG	P	B	1	STT	46.62	10:59:36	10:59:36	2736045C2900	48.25	46.63	\$1.63
895	898	9/29/2008	CDRG	P	B	200	WFC	37.79	10:59:40	10:59:40	2734045CCB00	37.94	37.80	\$30.00
896	899	9/29/2008	CDRG	P	S	500	TSO	16.66	10:59:43	10:59:43	273903D0A500	16.65	16.39	\$135.00
897	900	9/29/2008	CDRG	P	S	200	MER	25.01	10:59:45	10:59:45	273903D0CC00	25.00	24.95	\$12.00
898	901	9/29/2008	CDRG	P	S	200	TSO	16.66	10:59:45	10:59:45	273903D0DD00	16.65	16.39	\$54.00
899	902	9/29/2008	CDRG	P	S	116	DIS	31.56	10:59:45	10:59:45	273503D0CB00	31.55	31.47	\$10.44
900	903	9/29/2008	CDRG	P	S	200	MER	25.01	10:59:51	10:59:51	273503D16400	25.00	24.94	\$14.00
901	904	9/29/2008	CDRG	P	B	89	BAC	33.69	10:59:53	10:59:53	2732045E6500	34.25	33.70	\$49.84
902	905	9/29/2008	CDRG	P	B	1360	WFC	37.79	10:59:56	10:59:56	2732045ECB00	37.99	37.80	\$272.00
903	906	9/29/2008	CDRG	P	B	440	WFC	37.79	10:59:56	10:59:56	2738045EBE00	37.99	37.80	\$88.00
904	907	9/29/2008	CDRG	P	B	260	WFC	37.79	10:59:56	10:59:56	2730045EC800	37.99	37.80	\$52.00
905	908	9/29/2008	CDRG	P	S	100	MER	25.01	10:59:58	10:59:58	273503D23500	25.00	23.92	\$109.00
906	909	9/29/2008	CDRG	P	B	2000	WFC	37.79	11:00:09	11:00:09	27380460F000	37.99	37.80	\$400.00
907	910	9/29/2008	CDRG	P	B	20	BAC	33.69	11:00:14	11:00:14	273204619800	34.28	33.70	\$11.80
908	911	9/29/2008	CDRG	P	B	21	HPQ	46.46	11:00:16	11:00:16	273004620500	46.58	46.47	\$2.52
909	912	9/29/2008	CDRG	P	B	79	HPQ	46.46	11:00:16	11:00:16	273604620200	46.58	46.47	\$9.48
910	913	9/29/2008	CDRG	P	B	100	AA	21.68	11:00:23	11:00:23	27360462E500	21.90	21.68	\$22.00
911	914	9/29/2008	CDRG	P	S	100	MER	25.01	11:00:26	11:00:26	273103D52B00	25.00	24.95	\$6.00
912	915	9/29/2008	CDRG	P	B	73	BAC	33.69	11:00:29	11:00:29	273804639C00	34.32	33.70	\$45.99

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
913	916	9/29/2008	CDRG	P	B	77	BAC	33.69	11:00:29	11:00:29	27320463AD00	34.32	33.70	\$48.51
914	917	9/29/2008	CDRG	P	B	150	GLW	15.24	11:00:33	11:00:33	273404642900	15.27	15.25	\$4.50
915	918	9/29/2008	CDRG	P	B	250	SPY	116.79	11:00:36	11:00:36	27300464B900	117.01	116.80	\$55.00
916	919	9/29/2008	CDRG	P	B	400	WFC	37.79	11:00:37	11:00:37	27300464D100	37.94	37.80	\$60.00
917	920	9/29/2008	CDRG	P	B	101	LOW	23.59	11:00:58	11:00:58	273404687900	23.76	23.60	\$17.17
918	921	9/29/2008	CDRG	P	B	1	CF	82.6	11:01:01	11:01:01	27340468E800	87.89	82.61	\$5.29
919	922	9/29/2008	CDRG	P	B	300	WFC	37.79	11:01:08	11:01:08	2730046A4300	37.99	37.80	\$60.00
920	923	9/29/2008	CDRG	P	B	100	HPQ	46.46	11:01:09	11:01:09	2732046A6200	46.59	46.47	\$13.00
921	924	9/29/2008	CDRG	P	S	500	MER	25	11:01:20	11:01:20	273703DB6A00	25.00	24.96	\$20.00
922	925	9/29/2008	CDRG	P	S	40	MER	25.01	11:01:44	11:01:44	273703DF3200	25.00	23.93	\$43.20
923	926	9/29/2008	CDRG	P	B	100	GLW	15.24	11:01:47	11:01:47	2730046FB200	15.28	15.25	\$4.00
924	927	9/29/2008	CDRG	P	B	1700	WFC	37.79	11:01:56	11:01:56	27360470C800	37.84	37.80	\$85.00
925	928	9/29/2008	CDRG	P	B	480	WFC	37.79	11:01:56	11:01:56	27320470D200	37.84	37.80	\$24.00
926	929	9/29/2008	CDRG	P	B	400	WFC	37.79	11:01:56	11:01:56	27380470C600	37.84	37.80	\$20.00
927	930	9/29/2008	CDRG	P	S	1000	MER	25.01	11:01:57	11:01:57	273103E12900	25.00	24.92	\$90.00
928	931	9/29/2008	CDRG	P	B	300	WFC	37.79	11:01:58	11:01:58	273404711	37.81	37.80	\$6.00
929	932	9/29/2008	CDRG	P	B	123	BAC	33.69	11:01:58	11:01:58	273204714700	34.36	33.70	\$82.41
930	933	9/29/2008	CDRG	P	B	308	BAC	33.69	11:01:58	11:01:58	273404712A00	34.36	33.70	\$206.36
931	934	9/29/2008	CDRG	P	S	120	MER	25.01	11:02:00	11:02:00	273703E18700	25.00	24.92	\$10.80
932	935	9/29/2008	CDRG	P	S	200	MER	25.01	11:02:04	11:02:04	273303E24500	25.00	24.94	\$14.00
933	936	9/29/2008	CDRG	P	B	100	CF	82.61	11:02:05	11:02:05	273404722800	87.41	82.61	\$480.00
934	937	9/29/2008	CDRG	P	B	500	BAC	33.69	11:02:07	11:02:07	273204726B00	34.26	33.70	\$285.00
935	938	9/29/2008	CDRG	P	S	25	MER	25.01	11:02:09	11:02:09	273303E2CB00	25.00	24.93	\$2.00
936	939	9/29/2008	CDRG	P	B	400	WFC	37.79	11:02:14	11:02:14	273204733D00	37.97	37.80	\$72.00
937	940	9/29/2008	CDRG	P	B	100	BAC	33.69	11:02:16	11:02:16	273404737700	34.42	33.70	\$73.00
938	941	9/29/2008	CDRG	P	B	400	WFC	37.79	11:02:20	11:02:20	27320473EA00	38.00	37.80	\$84.00
939	942	9/29/2008	CDRG	P	B	100	AA	21.67	11:02:28	11:02:28	27320474DB00	21.81	21.68	\$14.00
940	943	9/29/2008	CDRG	P	S	200	MER	25.01	11:02:30	11:02:30	273503E46F00	25.00	24.98	\$6.00
941	944	9/29/2008	CDRG	P	B	100	LOW	23.59	11:02:32	11:02:32	273804753	23.67	23.60	\$8.00
942	945	9/29/2008	CDRG	P	B	92	BAC	33.69	11:02:48	11:02:48	273004776300	34.50	33.70	\$74.52
943	946	9/29/2008	CDRG	P	B	8	BAC	33.69	11:02:48	11:02:48	273804775A00	34.50	33.70	\$6.48
944	947	9/29/2008	CDRG	P	B	2000	WFC	37.79	11:02:51	11:02:51	27320477C000	38.01	37.80	\$440.00
945	948	9/29/2008	CDRG	P	S	100	MER	25	11:02:57	11:02:57	273303E73C00	25.00	24.96	\$4.00
946	949	9/29/2008	CDRG	P	B	200	BAC	33.69	11:02:58	11:02:58	27380478B500	34.46	33.70	\$154.00
947	950	9/29/2008	CDRG	P	B	72	BAC	33.69	11:02:58	11:02:58	27360478BB00	34.46	33.70	\$55.44
948	951	9/29/2008	CDRG	P	B	60	BAC	33.69	11:02:58	11:02:58	27300478BF00	34.46	33.70	\$46.20
949	952	9/29/2008	CDRG	P	B	168	BAC	33.69	11:02:58	11:02:58	27360478BF00	34.46	33.70	\$129.36
950	953	9/29/2008	CDRG	P	S	400	MER	25	11:03:04	11:03:04	273903E7C400	25.00	24.98	\$8.00
951	954	9/29/2008	CDRG	P	S	100	CX	17.26	11:03:06	11:03:05	273503E7D500	17.25	17.17	\$9.00
952	955	9/29/2008	CDRG	P	B	40	WFC	37.79	11:03:06	11:03:06	273404799600	37.98	37.80	\$7.60
953	956	9/29/2008	CDRG	P	B	290	WFC	37.79	11:03:06	11:03:06	27360479AA00	37.98	37.80	\$55.10
954	957	9/29/2008	CDRG	P	B	199	STT	46.62	11:03:09	11:03:09	2736047A2800	49.01	46.63	\$475.61
955	958	9/29/2008	CDRG	P	B	241	STT	46.62	11:03:09	11:03:09	2736047A2900	49.01	46.63	\$575.99
956	959	9/29/2008	CDRG	P	B	386	BAC	33.69	11:03:19	11:03:19	2738047B2300	34.42	33.70	\$281.78
957	960	9/29/2008	CDRG	P	B	31	LOW	23.59	11:03:21	11:03:21	2738047B6600	23.65	23.60	\$1.86
958	961	9/29/2008	CDRG	P	B	50	BAC	33.69	11:03:25	11:03:25	2736047BD900	34.46	33.70	\$38.50
959	962	9/29/2008	CDRG	P	B	200	BAC	33.69	11:03:25	11:03:25	2730047BDD00	34.46	33.70	\$154.00
960	963	9/29/2008	CDRG	P	B	35	STT	46.62	11:03:35	11:03:35	2730047D1100	48.90	46.63	\$79.80
961	964	9/29/2008	CDRG	P	B	16	LOW	23.59	11:03:45	11:03:45	2738047E3200	23.67	23.60	\$1.28
962	965	9/29/2008	CDRG	P	B	100	AA	21.68	11:03:51	11:03:51	2732047EF300	21.90	21.68	\$22.00
963	966	9/29/2008	CDRG	P	S	400	TSO	16.66	11:03:51	11:03:51	273103ECB800	16.65	16.18	\$192.00
964	967	9/29/2008	CDRG	P	B	200	WFC	37.79	11:03:56	11:03:56	2732047F6A00	37.89	37.80	\$20.00
965	968	9/29/2008	CDRG	P	B	2000	WFC	37.79	11:03:56	11:03:56	2730047F6900	37.89	37.80	\$200.00
966	969	9/29/2008	CDRG	P	B	20	WFC	37.79	11:03:56	11:03:56	2732047F6F00	37.89	37.80	\$2.00
967	970	9/29/2008	CDRG	P	S	700	MER	25.01	11:03:58	11:03:58	273503ED6700	25.00	24.98	\$21.00
968	971	9/29/2008	CDRG	P	S	200	GNW	6.61	11:03:59	11:03:59	273903ED7900	6.60	6.01	\$120.00
969	972	9/29/2008	CDRG	P	B	100	BAC	33.69	11:04:02	11:04:02	2736047FFB00	34.42	33.70	\$73.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
970	973	9/29/2008	CDRG	P	S	100	MER	25.01	11:04:06	11:04:06	273703EE1200	25.00	24.98	\$3.00
971	974	9/29/2008	CDRG	P	B	50	BAC	33.69	11:04:18	11:04:18	27360481EF00	34.47	33.70	\$39.00
972	975	9/29/2008	CDRG	P	S	100	MER	25.01	11:04:29	11:04:29	273703F0ED00	25.00	24.96	\$5.00
973	976	9/29/2008	CDRG	P	S	46	CX	17.26	11:04:50	11:04:50	273903F31B00	17.25	17.16	\$4.60
974	977	9/29/2008	CDRG	P	B	100	BAC	33.69	11:04:51	11:04:51	273404861500	34.41	33.70	\$72.00
975	978	9/29/2008	CDRG	P	B	46	BAC	33.69	11:04:51	11:04:51	273004862C00	34.41	33.70	\$33.12
976	979	9/29/2008	CDRG	P	B	702	BAC	33.69	11:04:51	11:04:51	273204863400	34.41	33.70	\$505.44
977	980	9/29/2008	CDRG	P	B	100	AA	21.68	11:05:00	11:05:00	273604876100	21.95	21.68	\$27.00
978	981	9/29/2008	CDRG	P	B	298	BAC	33.69	11:05:11	11:05:11	273204891200	34.45	33.70	\$226.48
979	982	9/29/2008	CDRG	P	B	700	BAC	33.69	11:05:11	11:05:11	273604890B00	34.45	33.70	\$532.00
980	983	9/29/2008	CDRG	P	B	100	BAC	33.69	11:05:11	11:05:11	273004890	34.45	33.70	\$76.00
981	984	9/29/2008	CDRG	P	B	718	BAC	33.69	11:05:11	11:05:11	27340488F900	34.45	33.70	\$545.68
982	985	9/29/2008	CDRG	P	B	100	BAC	33.69	11:05:11	11:05:11	273204891600	34.45	33.70	\$76.00
983	986	9/29/2008	CDRG	P	B	1000	WFC	37.8	11:05:13	11:05:13	273204898100	37.83	37.80	\$30.00
984	987	9/29/2008	CDRG	P	B	80	BAC	33.69	11:05:14	11:05:14	27360489AC00	34.47	33.70	\$62.40
985	988	9/29/2008	CDRG	P	B	60	WFC	37.8	11:05:19	11:05:19	2738048AE800	37.83	37.80	\$1.80
986	989	9/29/2008	CDRG	P	B	100	WFC	37.8	11:05:19	11:05:19	2732048AFB00	37.83	37.80	\$3.00
987	990	9/29/2008	CDRG	P	B	55	EXM	13.41	11:05:20	11:05:20	2730048B0100	13.92	13.42	\$28.05
988	991	9/29/2008	CDRG	P	B	100	CF	82.61	11:05:24	11:05:24	2732048B9D00	88.18	82.61	\$557.00
989	992	9/29/2008	CDRG	P	B	200	BAC	33.69	11:05:27	11:05:27	2736048C1500	34.56	33.70	\$174.00
990	993	9/29/2008	CDRG	P	S	1400	DUG	39.97	11:05:30	11:05:30	273303F72200	39.97	39.83	\$196.00
991	994	9/29/2008	CDRG	P	B	400	WFC	37.8	11:05:34	11:05:34	2738048CE800	37.96	37.80	\$64.00
992	995	9/29/2008	CDRG	P	S	250	DUG	39.97	11:05:37	11:05:37	273103F7A300	39.97	39.86	\$27.50
993	996	9/29/2008	CDRG	P	B	400	WFC	37.79	11:05:38	11:05:38	2736048D5B00	37.96	37.80	\$68.00
994	997	9/29/2008	CDRG	P	B	17	STT	46.62	11:05:40	11:05:40	2732048DA200	48.70	46.63	\$35.36
995	998	9/29/2008	CDRG	P	B	300	GLW	15.24	11:05:45	11:05:45	2736048E1C00	15.32	15.25	\$24.00
996	999	9/29/2008	CDRG	P	B	150	WFC	37.79	11:05:56	11:05:56	2736048F6800	37.96	37.80	\$25.50
997	1000	9/29/2008	CDRG	P	B	102	WFC	37.79	11:05:57	11:05:57	2732048F7700	37.97	37.80	\$18.36
998	1001	9/29/2008	CDRG	P	S	90	GNW	6.61	11:05:57	11:05:57	273703F99D00	6.60	6.08	\$47.70
999	1002	9/29/2008	CDRG	P	B	100	BAC	33.69	11:05:59	11:05:59	2734048F9100	34.58	33.70	\$89.00
1000	1003	9/29/2008	CDRG	P	B	174	WFC	37.79	11:06:01	11:06:01	273804902C00	37.98	37.80	\$33.06
1001	1004	9/29/2008	CDRG	P	B	100	AA	21.68	11:06:09	11:06:09	273204912D00	22.00	21.68	\$32.00
1002	1005	9/29/2008	CDRG	P	B	1357	SPY	116.79	11:06:17	11:06:17	273804926700	116.98	116.80	\$257.83
1003	1006	9/29/2008	CDRG	P	B	100	WFC	37.79	11:06:20	11:06:20	27300492C600	37.96	37.80	\$17.00
1004	1007	9/29/2008	CDRG	P	S	500	TSO	16.66	11:06:21	11:06:21	273103FC0E00	16.65	16.14	\$260.00
1005	1008	9/29/2008	CDRG	P	B	53	LOW	23.59	11:06:24	11:06:24	273604936900	23.77	23.60	\$9.54
1006	1009	9/29/2008	CDRG	P	B	97	WFC	37.79	11:06:25	11:06:25	27360493A800	37.97	37.80	\$17.46
1007	1010	9/29/2008	CDRG	P	B	155	BAC	33.69	11:06:28	11:06:28	27360493EA00	34.63	33.70	\$145.70
1008	1011	9/29/2008	CDRG	P	B	700	GLW	15.24	11:06:28	11:06:28	27360493F100	15.31	15.25	\$49.00
1009	1012	9/29/2008	CDRG	P	B	601	WFC	37.79	11:06:39	11:06:39	273204955C00	37.92	37.80	\$78.13
1010	1013	9/29/2008	CDRG	P	B	1000	LOW	23.59	11:06:40	11:06:40	273204957200	23.79	23.60	\$200.00
1011	1014	9/29/2008	CDRG	P	S	400	GNW	6.61	11:06:41	11:06:41	273903FE5000	6.60	6.08	\$212.00
1012	1015	9/29/2008	CDRG	P	B	105	SPY	116.79	11:06:46	11:06:46	273204962D00	116.89	116.80	\$10.50
1013	1016	9/29/2008	CDRG	P	S	10	DIS	31.56	11:06:49	11:06:49	273703FF8F00	31.55	31.38	\$1.80
1014	1017	9/29/2008	CDRG	P	S	100	TSO	16.66	11:06:50	11:06:50	273903FFA400	16.65	16.16	\$50.00
1015	1018	9/29/2008	CDRG	P	B	49	AA	21.67	11:07:06	11:07:06	27380498E400	22.00	21.68	\$16.17
1016	1019	9/29/2008	CDRG	P	S	100	DIS	31.56	11:07:18	11:07:18	27350402AA00	31.55	31.35	\$21.00
1017	1020	9/29/2008	CDRG	P	B	400	WFC	37.79	11:07:31	11:07:31	2732049C5300	37.92	37.80	\$52.00
1018	1021	9/29/2008	CDRG	P	B	5600	WFC	37.79	11:07:31	11:07:31	2736049C4A00	37.92	37.80	\$728.00
1019	1022	9/29/2008	CDRG	P	B	500	WFC	37.79	11:07:31	11:07:31	2734049C3600	37.92	37.80	\$65.00
1020	1023	9/29/2008	CDRG	P	B	50	BAC	33.69	11:07:53	11:07:52	2732049ED200	34.60	33.70	\$45.50
1021	1024	9/29/2008	CDRG	P	B	200	BAC	33.69	11:07:53	11:07:52	2736049EC900	34.60	33.70	\$182.00
1022	1025	9/29/2008	CDRG	P	B	750	WFC	37.79	11:07:56	11:07:56	2732049F2F00	37.95	37.80	\$120.00
1023	1026	9/29/2008	CDRG	P	B	996	WFC	37.79	11:07:56	11:07:56	2738049F2000	37.95	37.80	\$159.36
1024	1027	9/29/2008	CDRG	P	B	1494	WFC	37.79	11:07:56	11:07:56	2730049F2A00	37.95	37.80	\$239.04
1025	1028	9/29/2008	CDRG	P	B	1558	WFC	37.79	11:07:56	11:07:56	2730049F2E00	37.95	37.80	\$249.28
1026	1029	9/29/2008	CDRG	P	B	800	WFC	37.79	11:07:58	11:07:58	2730049F7300	37.95	37.80	\$128.00

Item No.	Original Item No.	Transaction Date	Firm MPID	Capacity	Buy/Sell	Entered Quantity	Symbol	Execution Price	Report Time	Execution Time	Trade Control Number	Lowest Inside Bid	Highest Inside Ask	Restitution Calculation
1027	1030	9/29/2008	CDRG	P	B	400	WFC	37.79	11:08:00	11:08:00	2734049F8E00	37.94	37.80	\$60.00
1028	1031	9/29/2008	CDRG	P	B	200	WFC	37.79	11:08:01	11:08:01	2730049FBC00	37.94	37.80	\$30.00
1029	1032	9/29/2008	CDRG	P	B	100	BAC	33.69	11:08:11	11:08:11	273004A0F300	34.64	33.70	\$95.00
1030	1033	9/29/2008	CDRG	P	B	675	BAC	33.69	11:08:27	11:08:27	273404A4BC00	34.70	33.70	\$681.75
1031	1034	9/29/2008	CDRG	P	B	100	AA	21.68	11:08:27	11:08:27	273204A4DB00	21.99	21.68	\$31.00
1032	1035	9/29/2008	CDRG	P	B	100	SPY	116.8	11:08:30	11:08:30	273404A52C00	117.00	116.80	\$20.00
1033	1036	9/29/2008	CDRG	P	B	100	SPY	116.8	11:08:30	11:08:30	273604A54000	117.00	116.80	\$20.00
1034	1037	9/29/2008	CDRG	P	B	1300	SPY	116.8	11:08:30	11:08:30	273004A54400	117.00	116.80	\$260.00
1035	1038	9/29/2008	CDRG	P	B	1300	WFC	37.79	11:08:34	11:08:34	273004A5CE00	37.99	37.80	\$260.00
1036	1039	9/29/2008	CDRG	P	B	500	WFC	37.79	11:08:42	11:08:42	273804A6C900	38.04	37.80	\$125.00
1037	1040	9/29/2008	CDRG	P	B	187	LOW	23.59	11:08:50	11:08:50	273404A86B00	23.87	23.60	\$52.36
1038	1041	9/29/2008	CDRG	P	S	100	GNW	6.61	11:09:13	11:09:12	2737040F1900	6.60	6.14	\$47.00
1039	1042	9/29/2008	CDRG	P	B	151	AA	21.67	11:09:13	11:09:13	273004AC2500	22.09	21.68	\$63.42
1040	1043	9/29/2008	CDRG	P	B	250	AA	21.67	11:09:13	11:09:13	273404AC0E00	22.09	21.68	\$105.00
1041	1044	9/29/2008	CDRG	P	S	1300	TSO	16.66	11:09:25	11:09:25	273704105900	16.65	16.31	\$455.00
1042	1045	9/29/2008	CDRG	P	B	400	GLW	15.24	11:09:37	11:09:37	273004B01600	15.33	15.25	\$36.00
1043	1046	9/29/2008	CDRG	P	B	122	BAC	33.69	11:09:39	11:09:39	273004B05F00	34.85	33.70	\$141.52
1044	1047	9/29/2008	CDRG	P	B	24	BAC	33.69	11:09:39	11:09:39	273204B06500	34.85	33.70	\$27.84
1045	1048	9/29/2008	CDRG	P	B	400	WFC	37.79	11:09:56	11:09:56	273404B36700	38.00	37.80	\$84.00
1046	1049	9/29/2008	CDRG	P	B	1100	WFC	37.79	11:09:57	11:09:57	273804B38F00	38.01	37.80	\$242.00
1047	1050	9/29/2008	CDRG	P	B	1120	WFC	37.79	11:09:57	11:09:57	273004B39900	38.01	37.80	\$246.40
1048	1051	9/29/2008	CDRG	P	B	23	CMI	42.19	11:09:57	11:09:57	273604B38E00	43.70	42.20	\$34.73
1049	1052	9/29/2008	CDRG	P	B	150	WFC	37.79	11:09:58	11:09:58	273204B3D100	38.03	37.80	\$36.00
1050	1053	9/29/2008	CDRG	P	B	77	CMI	42.19	11:10:01	11:10:01	273804B44200	43.73	42.20	\$118.58
1051	1054	9/29/2008	CDRG	P	B	23	CMI	42.19	11:10:01	11:10:01	273604B44900	43.73	42.20	\$35.42
1052	1055	9/29/2008	CDRG	P	S	306	TSO	16.66	11:10:22	11:10:22	273704167C00	16.65	16.37	\$88.74
1053	1056	9/29/2008	CDRG	P	S	500	GNW	6.61	11:10:24	11:10:24	27330416C900	6.60	6.25	\$180.00
1054	1057	9/29/2008	CDRG	P	S	1000	TSO	16.66	11:10:26	11:10:26	27330416FC00	16.65	16.38	\$280.00
1055	1058	9/29/2008	CDRG	P	B	75	WFC	37.79	11:10:30	11:10:30	273604B83900	37.96	37.80	\$12.75
1056	1059	9/29/2008	CDRG	P	S	1000	V	58.59	11:10:30	11:10:30	273104174200	58.58	58.50	\$90.00
1057	1060	9/29/2008	CDRG	P	S	400	TSO	16.66	11:10:33	11:10:33	273704178800	16.65	16.38	\$112.00
1058	1061	9/29/2008	CDRG	P	S	225	GNW	6.61	11:10:40	11:10:40	273504184200	6.60	6.23	\$85.50
1059	1062	9/29/2008	CDRG	P	S	100	TSO	16.66	11:10:42	11:10:42	273104187F00	16.65	16.40	\$26.00
1060	1063	9/29/2008	CDRG	P	B	100	AA	21.68	11:10:46	11:10:46	273404BA2800	22.05	21.68	\$37.00
1061	1064	9/29/2008	CDRG	P	S	300	GNW	6.61	11:10:50	11:10:50	273104193C00	6.60	6.23	\$114.00
1062	1065	9/29/2008	CDRG	P	B	18	SPY	116.79	11:10:50	11:10:50	273004BAB900	117.20	116.80	\$7.38
1063	1066	9/29/2008	CDRG	P	B	60	WFC	37.79	11:11:02	11:11:02	273604BC5A00	37.91	37.80	\$7.20
1064	1067	9/29/2008	CDRG	P	S	250	GNW	6.61	11:11:05	11:11:05	2739041ADA00	6.60	6.23	\$95.00
1065	1068	9/29/2008	CDRG	P	B	600	WFC	37.79	11:11:07	11:11:07	273004BD5000	37.94	37.80	\$90.00
1066	1069	9/29/2008	CDRG	P	B	100	WFC	37.79	11:11:08	11:11:08	273204BDB500	37.95	37.80	\$16.00
1067	1070	9/29/2008	CDRG	P	B	2000	WFC	37.79	11:11:16	11:11:16	273604BEA700	37.91	37.80	\$240.00
1068	1071	9/29/2008	CDRG	P	B	500	WFC	37.79	11:11:18	11:11:18	273404BEC700	37.87	37.80	\$40.00
1069	1072	9/29/2008	CDRG	P	B	500	BAC	33.69	11:11:22	11:11:22	273204BFA300	34.75	33.70	\$530.00
1070	1073	9/29/2008	CDRG	P	B	300	AGU	56.99	11:11:32	11:11:32	273804C11D00	57.22	57.00	\$69.00
1071	1074	9/29/2008	CDRG	P	B	400	GLW	15.24	11:11:36	11:11:36	273404C18200	15.30	15.25	\$24.00
1072	1075	9/29/2008	CDRG	P	B	280	BAC	33.69	11:11:36	11:11:36	273404C18300	34.75	33.70	\$296.80
1073	1076	9/29/2008	CDRG	P	S	300	V	58.59	11:11:45	11:11:45	27330420AD00	58.58	58.35	\$72.00
1074	1077	9/29/2008	CDRG	P	B	120	BAC	33.69	11:11:52	11:11:52	273004C38700	34.67	33.70	\$117.60
												Total Restitution Due:		\$95,842.25

This Corrective Action Statement is submitted by the Respondent, Citadel Securities LLC ("Citadel Securities"). It does not constitute factual or legal findings by FINRA, nor does it reflect the views of FINRA, or its staff.

**STATEMENT OF CORRECTIVE ACTION
BY CITADEL SECURITIES LLC**

Citadel Securities LLC submits this Statement of Corrective Action in connection with the foregoing Letter of Acceptance, Waiver, and Consent ("AWC") to describe the steps it has taken to correct and substantially reduce the risk of recurrence of the issues addressed by the AWC.

In regard to Market Regulation Review No. 20070108752, the AWC addresses an incident that occurred on a single day, August 17, 2007, as a result of major systems problems of the American Stock Exchange (the "AMEX" now "NYSE MKT") that caused it to open 70 minutes later than its regular opening. Prior to this date, Citadel Securities had not experienced an exchange-wide delayed opening of significant duration at the AMEX, or any other exchange, and these events caused Citadel Securities to have discussions with its clients regarding routing pre-open AMEX orders to an alternative venue, and seeking permission to do so. As a result of these discussions, in addition to the written supervisory procedure revisions discussed in the AWC, Citadel Securities now offers clients the option of selecting the primary exchange or another market center for pre-open orders, instead of automatically routing their orders to the primary exchange.

In regard to Market Regulation Review No. 20080150970, all but 15 of the 1,591 transactions that are the subject of this AWC occurred on September 29, 2008, the day on which the Dow Jones Industrial Average dropped 778 points, with volume and volatility metrics at record levels. There also were numerous locked and crossed quotes, often for long periods of time. Of the 1,576 transactions on September 29, 2008 that are the subject of the AWC, 514 resulted from a single, persistently crossed quotation. As a result of these unprecedented market conditions, Citadel Securities' personnel in various groups worked together to devise an automated solution for these types of market conditions, and were able to begin implementation of the change in execution logic by market open on the next trading day. The revised logic has been effective and has substantially reduced, if not eliminated, the risk of recurrence of the specific issues addressed by the AWC. Together with ongoing system and market data monitoring, Citadel Securities believes that it has taken appropriate corrective action.