

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
LETTER OF ACCEPTANCE, WAIVER AND CONSENT
NO. 20100214009-01**

TO: Department of Market Regulation
Financial Industry Regulatory Authority ("FINRA")

RE: INTL FCStone Securities Inc., Respondent
Broker-Dealer
CRD No. 45993

Pursuant to FINRA Rule 9216 of FINRA's Code of Procedure, INTL FCStone Securities Inc. ("INTL" or the "firm") submits this Letter of Acceptance, Waiver and Consent ("AWC") for the purpose of proposing a settlement of the alleged rule violations described below. This AWC is submitted on the condition that, if accepted, FINRA will not bring any future actions against the firm alleging violations based on the same factual findings described herein.

I.

ACCEPTANCE AND CONSENT

- A. The firm hereby accepts and consents, without admitting or denying the findings, and solely for the purposes of this proceeding and any other proceeding brought by or on behalf of FINRA, or to which FINRA is a party, prior to a hearing and without an adjudication of any issue of law or fact, to the entry of the following findings by FINRA:

BACKGROUND

INTL has been a member of FINRA since March 22, 1999, and its registration remains in effect. On August 20, 2012, the firm changed its primary business name from INTL Trading, Inc. to INTL FCStone Securities Inc.

RELEVANT DISCIPLINARY HISTORY

The firm has no relevant disciplinary history.

FACTS AND VIOLATIVE CONDUCT

In Market Regulation Review No. 20100214009, Department of Market Regulation staff conducted a review of INTL's compliance with NASD Rule 2010, FINRA Rule 2110 and NASD IM-2110-2 relating to limit order protection during the period November 11, 2008 through March 31, 2010.

1. In 1,989 instances during the review period, INTL failed to contemporaneously or partially execute customer limit orders in OTC securities after it traded each subject security for its own market-making account at a price that would have satisfied each customer's limit order. These 1,989 instances are reflected on Exhibit A attached hereto. The conduct described in this paragraph constitutes separate and distinct violations of NASD Rule 2110 (for conduct occurring prior to December 15, 2008), FINRA Rule 2010 (for conduct occurring on or after December 15, 2008), and NASD IM-2110-2.
2. The firm's supervisory system did not provide for supervision reasonably designed to achieve compliance with respect to the applicable securities laws and regulations, and the Rules of FINRA, concerning customer limit order protection. Specifically, the firm's supervisory system did not include written supervisory procedures providing for: (1) a statement of the supervisory step(s) to be taken by the firm to achieve compliance with the firm's obligation to provide limit order protection to customers, including a supervisory review to ensure that the firm's order management system, which was provided by a third party vendor, was providing accurate price information and exception reports; (2) a statement as to how often such supervisory step(s) should be taken; and (3) a statement as to how the completion of the step(s) included in the written supervisory procedures should be documented. The conduct described in this paragraph constitutes separate and distinct violations of NASD Rule 3010(b)(1), NASD Rule 2110 (for conduct occurring prior to December 15, 2008), and FINRA Rule 2010 (for conduct occurring on or after December 15, 2008).

B. The firm also consents to the imposition of the following sanctions:

1. a censure;
2. a fine of \$70,000 (consisting of a fine of \$50,000 for violations of NASD Rule 2110, FINRA Rule 2010 and NASD IM-2110-2 relating to customer limit order protection, and \$20,000 for violations of NASD Rules 2110 and 3010 and FINRA Rule 2010 relating to the firm's supervision of customer limit order protection);
3. restitution by Respondent to the customers listed on Exhibit A which is attached, in the total amount of \$ 62,297.13, plus interest at the rate set forth in Section 6621(a) of the Internal Revenue

Code, 26 U.S.C. 6621(a)(2), from the date of the violative conduct until the date this AWC is accepted by the National Adjudicatory Council ("NAC"). A registered principal of the firm shall submit satisfactory proof of payment of the restitution, or of reasonable and documented efforts undertaken to effect restitution, to the COMPLIANCE ASSISTANT, LEGAL SECTION, MARKET REGULATION DEPARTMENT, 9509 KEY WEST AVENUE, ROCKVILLE, MD 20850 or in an e-mail from a work-related account of the registered principal to MarketRegulationComp@finra.org, no later than 120 days after acceptance of this AWC. If for any reason, Respondent cannot locate any customer after reasonable and documented efforts within such period, or such additional period agreed to by the staff, Respondent shall forward any undistributed restitution and interest to the appropriate escheat, unclaimed-property or abandoned-property fund for the state in which the customer is known to have last resided; and

4. an undertaking to revise the firm's written supervisory procedures with respect to the area described in paragraph I.A.2. Within 30 business days of acceptance of this AWC by the NAC, a registered principal of the Respondent shall submit to the COMPLIANCE ASSISTANT, LEGAL SECTION, MARKET REGULATION DEPARTMENT, 9509 KEY WEST AVENUE, ROCKVILLE, MD 20850, a signed, dated letter, or an e-mail from a work-related account of the registered principal to MarketRegulationComp@finra.org, providing the following information: (1) a reference to this matter; (2) a representation that the firm has revised its written supervisory procedures to address the deficiencies described in this paragraph; and, (3) the date the revised procedures were implemented.

The firm agrees to pay the monetary sanction(s) upon notice that this AWC has been accepted and that such payment(s) are due and payable. The firm has submitted an Election of Payment form showing the method by which it propose to pay the fine imposed.

The firm specifically and voluntarily waives any right to claim that it is unable to pay, now or at any time hereafter, the monetary sanction(s) imposed in this matter.

The imposition of a restitution order or any other monetary sanction herein, and the timing of such ordered payments, does not preclude customers from pursuing their own actions to obtain restitution or other remedies.

The sanctions imposed herein shall be effective on a date set by FINRA staff.

II.

WAIVER OF PROCEDURAL RIGHTS

The firm specifically and voluntarily waives the following rights granted under FINRA's Code of Procedure:

- A. To have a Complaint issued specifying the allegations against the firm;
- B. To be notified of the Complaint and have the opportunity to answer the allegations in writing;
- C. To defend against the allegations in a disciplinary hearing before a hearing panel, to have a written record of the hearing made and to have a written decision issued; and
- D. To appeal any such decision to the NAC and then to the U.S. Securities and Exchange Commission and a U.S. Court of Appeals.

Further, the firm specifically and voluntarily waives any right to claim bias or prejudgment of the General Counsel, the NAC, or any member of the NAC, in connection with such person's or body's participation in discussions regarding the terms and conditions of this AWC, or other consideration of this AWC, including acceptance or rejection of this AWC.

The firm further specifically and voluntarily waives any right to claim that a person violated the ex parte prohibitions of FINRA Rule 9143 or the separation of functions prohibitions of FINRA Rule 9144, in connection with such person's or body's participation in discussions regarding the terms and conditions of this AWC, or other consideration of this AWC, including its acceptance or rejection.

III.

OTHER MATTERS

The firm understands that:

- A. Submission of this AWC is voluntary and will not resolve this matter unless and until it has been reviewed and accepted by the NAC, a Review Subcommittee of the NAC, or the Office of Disciplinary Affairs ("ODA"), pursuant to FINRA Rule 9216;
- B. If this AWC is not accepted, its submission will not be used as evidence to prove any of the allegations against the firm; and
- C. If accepted:

1. this AWC will become part of the firm's permanent disciplinary record and may be considered in any future actions brought by FINRA or any other regulator against the firm;
 2. this AWC will be made available through FINRA's public disclosure program in response to public inquiries about the firm's disciplinary record;
 3. FINRA may make a public announcement concerning this agreement and the subject matter thereof in accordance with FINRA Rule 8313; and
 4. The firm may not take any action or make or permit to be made any public statement, including in regulatory filings or otherwise, denying, directly or indirectly, any finding in this AWC or create the impression that the AWC is without factual basis. The firm may not take any position in any proceeding brought by or on behalf of FINRA, or to which FINRA is a party, that is inconsistent with any part of this AWC. Nothing in this provision affects the firm's: (i) testimonial obligations; or (ii) right to take legal or factual positions in litigation or other legal proceedings in which FINRA is not a party.
- D. The firm may attach a Corrective Action Statement to this AWC that is a statement of demonstrable corrective steps taken to prevent future misconduct. The firm understands that it may not deny the charges or make any statement that is inconsistent with the AWC in this Statement. This Statement does not constitute factual or legal findings by FINRA, nor does it reflect the views of FINRA or its staff.

The undersigned, on behalf of the firm, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that it has agreed to the AWC's provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth herein and the prospect of avoiding the issuance of a Complaint, has been made to induce the firm to submit it.

5/5/2014
Date

Respondent
INTL FCStone Securities Inc.
By: [Signature]
Name: Charles Lyon
Title: CEO

Reviewed by:

Attorney Name
Counsel for Respondent
Firm Name
Address
City/State/Zip
Phone Number

Accepted by FINRA:

5/6/14
Date

Signed on behalf of the
Director of ODA, by delegated authority

[Signature]
Justin Chretien
Chief Counsel
Department of Market Regulation

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1	11/12/2008	09:31:21	09:31:20	INTL	B	P	6,000	NILSY	6.50	1	10/30/2008	NW	10/30/2008 14:44:08	INTL	B	500	NILSY	8.00	DOCB94EC	NFSC	\$175.00
												EX	11/12/2008 09:32:39	INTL		500	NILSY	6.85	DOCB94EC		
										2	11/11/2008	NW	11/11/2008 07:25:07	INTL	B	100	NILSY	7.50	D182A4CA	NFSC	\$35.00
												EX	11/12/2008 09:32:35	INTL		100	NILSY	6.85	D182A4CA		
2	11/12/2008	09:32:18	09:32:17	INTL	B	P	930	GZPFY	8.20	3	11/03/2008	NW	11/03/2008 10:10:17	INTL	B	1,500	GZPFY	9.99	D108949C	NFSC	n/a
												EX	11/12/2008 09:34:56	INTL		1,500	GZPFY	9.45	D108949C		
										4	11/07/2008	NW	11/07/2008 14:17:18	INTL	B	100	GZPFY	8.40	D145A446	NFSC	n/a
												CL	11/12/2008 12:14:17	INTL		100	GZPFY		D145A446		
										5	11/11/2008	NW	11/11/2008 07:25:07	INTL	B	100	GZPFY	9.00	D182A4CD	NFSC	n/a
												EX	11/12/2008 09:40:18	INTL		100	GZPFY	9.00	D182A4CD		
										6	11/12/2008	NW	11/12/2008 07:33:22	INTL	B	5,000	GZPFY	8.50	D191E719	NFSC	\$279.00
												EX	11/12/2008 09:32:28	INTL		5,000	GZPFY	8.50	D191E719		
										7	11/12/2008	NW	11/12/2008 07:33:22	INTL	B	5,000	GZPFY	9.25	D191E71B	NFSC	n/a
												EX	11/12/2008 09:37:17	INTL		5,000	GZPFY	9.25	D191E71B		
										8	11/12/2008	NW	11/12/2008 07:33:22	INTL	B	5,000	GZPFY	9.25	D191E71A	NFSC	n/a
												EX	11/12/2008 09:33:17	INTL		2,500	GZPFY	8.95	D191E71A		
												EX	11/12/2008 09:37:03	INTL		1,000	GZPFY	9.25	D191E71A		
												EX	11/12/2008 09:37:09	INTL		1,500	GZPFY	9.25	D191E71A		
3	11/12/2008	09:36:50	09:36:49	INTL	B	P	1,000	GZPFY	9.15	9	11/12/2008	NW	11/12/2008 07:33:22	INTL	B	5,000	GZPFY	9.25	D191E71B	NFSC	n/a
												EX	11/12/2008 09:37:17	INTL		5,000	GZPFY	9.25	D191E71B		
										10	11/12/2008	NW	11/12/2008 07:33:22	INTL	B	5,000	GZPFY	9.25	D191E71A	NFSC	\$100.00
												EX	11/12/2008 09:33:17	INTL		2,500	GZPFY	8.95	D191E71A		
												EX	11/12/2008 09:37:03	INTL		1,000	GZPFY	9.25	D191E71A		
												EX	11/12/2008 09:37:09	INTL		1,500	GZPFY	9.25	D191E71A		
4	11/12/2008	09:59:15	09:59:14	INTL	S	P	200	GZPFY	9.15	11	11/12/2008	NW	11/12/2008 09:49:40	INTL	SL	500	GZPFY	9.10	D191E80F	NFSC	\$10.00
												EX	11/12/2008 09:59:39	INTL		500	GZPFY	9.10	D191E80F		
5	11/12/2008	10:02:04	10:02:04	INTL	S	P	200	NSRGY	38.52	12	11/12/2008	NW	11/12/2008 09:59:50	INTL	SL	500	NSRGY	38.50	D191E82F	NFSC	\$4.00
												EX	11/12/2008 10:03:00	INTL		500	NSRGY	38.50	D191E82F		
6	11/12/2008	10:25:35	10:25:35	INTL	B	P	1,800	PALAF	1.50	13	11/07/2008	NW	11/07/2008 10:19:28	INTL	B	1,000	PALAF	1.51	D1459D63	MLEX	\$10.00
												EX	11/12/2008 10:25:39	INTL		1,000	PALAF	1.51	D1459D63		
7	11/12/2008	10:30:26	10:30:26	INTL	B	P	1,300	YARIY	17.80	14	10/29/2008	NW	10/29/2008 12:38:10	INTL	B	300	YARIY	18.00	D08C4E80	NFSC	\$60.00
												EX	11/12/2008 10:31:20	INTL		300	YARIY	18.00	D08C4E80		
8	11/12/2008	10:30:26	10:30:26	INTL	B	P	1,300	YARIY	17.80	15	11/12/2008	NW	11/12/2008 09:59:30	INTL	B	4,500	YARIY	17.85	D191E82E	NFSC	\$65.00
												EX	11/12/2008 10:31:10	INTL		400	YARIY	17.85	D191E82E		
												EX	11/12/2008 10:39:42	INTL		4,100	YARIY	17.85	D191E82E		
9	11/12/2008	12:42:08	12:42:07	INTL	B	P	1,950	NSRGY	37.90	16	11/12/2008	NW	11/12/2008 12:39:47	INTL	B	275	NSRGY	38.50	D191EE34	NFSC	\$165.00
												EX	11/12/2008 12:42:42	INTL		275	NSRGY	38.50	D191EE34		
10	11/12/2008	12:43:33	12:43:32	INTL	B	P	1,236	SCBFF	11.75	17	11/12/2008	NW	11/12/2008 08:00:02	INTL	B	500	SCBFF	12.00	D191E735	DEAN	\$125.00
												EX	11/12/2008 12:45:04	INTL		500	SCBFF	12.00	D191E735		
11	11/12/2008	12:44:15	12:44:15	INTL	B	P	196	NSRGY	37.88	18	11/12/2008	NW	11/12/2008 12:43:00	INTL	B	300	NSRGY	38.50	D191EEB3	NFSC	\$23.52
												EX	11/12/2008 12:44:36	INTL		300	NSRGY	38.00	D191EEB3		
12	11/12/2008	13:14:32	13:14:31	INTL	B	P	1,425	FSUMF	1.21	19	10/27/2008	NW	10/27/2008 08:02:11	INTL	B	600	FSUMF	1.25	D09DC3B8	NFSC	\$24.00
												EX	11/12/2008 13:14:53	INTL		600	FSUMF	1.25	D09DC3B8		
13	11/12/2008	13:14:32	13:14:31	INTL	B	P	1,425	FSUMF	1.21	20	11/07/2008	NW	11/07/2008 10:04:16	INTL	B	5,000	FSUMF	1.25	D1459D33	NFSC	\$57.00
												EX	11/12/2008 13:14:55	INTL		5,000	FSUMF	1.25	D1459D33		
14	11/12/2008	14:26:11	14:26:11	INTL	B	P	200	LNVGY	4.41	21	11/12/2008	NW	11/12/2008 14:18:32	INTL	B	100	LNVGY	4.50	D191F237	NFSC	\$9.00
												EX	11/12/2008 14:26:36	INTL		100	LNVGY	4.50	D191F237		
15	11/12/2008	15:16:34	15:16:33	INTL	B	P	400	BASFY	31.06	22	11/12/2008	NW	11/12/2008 10:38:25	INTL	B	1,000	BASFY	31.10	D191E98E	NFSC	\$16.00
												EX	11/12/2008 15:17:40	INTL		400	BASFY	31.10	D191E98E		
												EX	11/12/2008 15:44:20	INTL		200	BASFY	31.10	D191E98E		
												EX	11/12/2008 15:47:13	INTL		200	BASFY	31.10	D191E98E		
												EX	11/12/2008 15:53:27	INTL		200	BASFY	31.10	D191E98E		
16	11/12/2008	15:26:28	15:26:28	INTL	B	P	118	SWCEY	36.10	23	11/12/2008	NW	11/12/2008 14:32:33	INTL	B	146	SWCEY	36.50	D191F2A2	NFSC	\$47.20
												EX	11/12/2008 15:26:40	INTL		146	SWCEY	36.50	D191F2A2		
17	11/12/2008	15:46:59	15:46:58	INTL	B	P	645	BASFY	30.90	24	11/12/2008	NW	11/12/2008 10:38:25	INTL	B	1,000	BASFY	31.10	D191E98E	NFSC	\$120.00
												EX	11/12/2008 15:17:40	INTL		400	BASFY	31.10	D191E98E		
												EX	11/12/2008 15:44:20	INTL		200	BASFY	31.10	D191E98E		
												EX</									

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Part I: Trigger Trades										Part II: Customer Limit Orders												Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
										129	11/13/2008	NW	11/13/2008 10:27:51	INTL	B	1,000	GZPFY	8.00	D1A12C06	NFSC	n/a	
												EX	11/20/2008 10:08:11	INTL		1,000	GZPFY	8.00	D1A12C06			
										130	11/13/2008	NW	11/13/2008 08:13:07	INTL	B	100	GZPFY	8.00	D1A129DC	NFSC	n/a	
												EX	11/20/2008 10:07:41	INTL		100	GZPFY	8.00	D1A129DC			
										131	11/17/2008	NW	11/17/2008 15:10:30	INTL	B	5,000	GZPFY	8.00	D1DE3D8A	NFSC	n/a	
												EX	11/20/2008 10:23:20	INTL		5,000	GZPFY	8.00	D1DE3D8A			
										132	11/17/2008	NW	11/17/2008 15:09:37	INTL	B	5,000	GZPFY	8.00	D1DE3D81	NFSC	n/a	
												EX	11/20/2008 11:12:32	INTL		1,622	GZPFY	8.00	D1DE3D81			
												EX	11/20/2008 15:57:26	INTL		500	GZPFY	8.00	D1DE3D81			
												EX	11/20/2008 16:03:54	INTL		2,878	GZPFY	8.00	D1DE3D81			
										133	11/18/2008	NW	11/18/2008 12:04:41	INTL	B	156	GZPFY	8.50	D1ED7A6E	NFSC	n/a	
												CL	11/20/2008 09:41:48	INTL		156	GZPFY		D1ED7A6E			
92	11/20/2008	09:38:38	09:38:37	INTL	B	P	127	NSRGY	35.02	134	11/20/2008	NW	11/20/2008 07:25:03	INTL	B	200	NSRGY	35.10	D20BF908	NFSC	\$1.35	
												EX	11/20/2008 09:52:28	INTL		200	NSRGY	35.07	D20BF908			
										135	11/20/2008	NW	11/20/2008 09:38:23	INTL	B	100	NSRGY	35.10	D20BFA71	NFSC	\$6.00	
												EX	11/20/2008 09:48:04	INTL		100	NSRGY	35.08	D20BFA71			
93	11/20/2008	09:39:50	09:39:49	INTL	B	P	525	RHHBY	63.85	136	11/17/2008	NW	11/17/2008 08:18:13	INTL	B	100	RHHBY	64.00	D1DE32F1	NFSC	\$15.00	
												EX	11/20/2008 09:39:58	INTL		100	RHHBY	64.00	D1DE32F1			
94	11/20/2008	09:43:26	09:43:26	INTL	B	P	175	NSRGY	34.96	137	10/27/2008	NW	10/27/2008 09:58:21	INTL	B	100	NSRGY	35.00	D09DC553	NFSC	n/a	
												EX	11/20/2008 09:48:41	INTL		100	NSRGY	35.00	D09DC553			
										138	10/27/2008	NW	10/27/2008 07:25:11	INTL	B	125	NSRGY	35.00	D09DC35D	NFSC	n/a	
												EX	11/20/2008 09:48:40	INTL		125	NSRGY	35.00	D09DC35D			
										139	10/29/2008	NW	10/29/2008 10:03:17	INTL	B	100	NSRGY	35.00	D08C49B3	FCCP	n/a	
												EX	11/20/2008 09:48:40	INTL		100	NSRGY	35.00	D08C49B3			
										140	11/03/2008	NW	11/03/2008 07:25:05	INTL	B	100	NSRGY	35.00	D10892DC	NFSC	\$4.00	
												EX	11/20/2008 09:47:07	INTL		100	NSRGY	35.00	D10892DC			
										141	11/07/2008	NW	11/07/2008 12:32:17	INTL	B	100	NSRGY	35.00	D145A0BE	FCCP	n/a	
												EX	11/20/2008 09:48:17	INTL		100	NSRGY	35.00	D145A0BE			
										142	11/14/2008	NW	11/14/2008 11:33:05	INTL	B	100	NSRGY	35.00	D1B06FBB	NFSC	n/a	
												EX	11/20/2008 09:48:23	INTL		100	NSRGY	35.00	D1B06FBB			
										143	11/19/2008	NW	11/19/2008 13:03:00	INTL	B	1,000	NSRGY	35.00	D1FCBF79	NFSC	\$3.00	
												EX	11/20/2008 09:47:51	INTL		1,000	NSRGY	35.00	D1FCBF79			
										144	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	100	NSRGY	35.00	D20BF95F	MSCO	n/a	
												EX	11/20/2008 09:48:09	INTL		100	NSRGY	35.00	D20BF95F			
										145	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	100	NSRGY	35.00	D20BF95E	MSCO	n/a	
												EX	11/20/2008 09:48:08	INTL		100	NSRGY	35.00	D20BF95E			
										146	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	400	NSRGY	35.00	D20BF96A	MSCO	n/a	
												EX	11/20/2008 09:47:52	INTL		400	NSRGY	35.00	D20BF96A			
										147	11/20/2008	NW	11/20/2008 07:25:03	INTL	B	200	NSRGY	35.10	D20BF908	NFSC	n/a	
												EX	11/20/2008 09:52:28	INTL		200	NSRGY	35.07	D20BF908			
										148	11/20/2008	NW	11/20/2008 09:38:23	INTL	B	100	NSRGY	35.10	D20BFA71	NFSC	n/a	
												EX	11/20/2008 09:48:04	INTL		100	NSRGY	35.08	D20BFA71			
95	11/20/2008	09:43:45	09:43:44	INTL	B	P	750	NSRGY	34.94	149	10/27/2008	NW	10/27/2008 09:58:21	INTL	B	100	NSRGY	35.00	D09DC553	NFSC	n/a	
												EX	11/20/2008 09:48:41	INTL		100	NSRGY	35.00	D09DC553			
										150	10/27/2008	NW	10/27/2008 07:25:11	INTL	B	125	NSRGY	35.00	D09DC35D	NFSC	n/a	
												EX	11/20/2008 09:48:40	INTL		125	NSRGY	35.00	D09DC35D			
										151	10/29/2008	NW	10/29/2008 10:03:17	INTL	B	100	NSRGY	35.00	D08C49B3	FCCP	n/a	
												EX	11/20/2008 09:48:40	INTL		100	NSRGY	35.00	D08C49B3			
										152	11/03/2008	NW	11/03/2008 07:25:05	INTL	B	100	NSRGY	35.00	D10892DC	NFSC	n/a	
												EX	11/20/2008 09:47:07	INTL		100	NSRGY	35.00	D10892DC			
										153	11/07/2008	NW	11/07/2008 12:32:17	INTL	B	100	NSRGY	35.00	D145A0BE	FCCP	n/a	
												EX	11/20/2008 09:48:17	INTL		100	NSRGY	35.00	D145A0BE			
										154	11/14/2008	NW	11/14/2008 11:33:05	INTL	B	100	NSRGY	35.00	D1B06FBB	NFSC	n/a	
												EX	11/20/2008 09:48:23	INTL		100	NSRGY	35.00	D1B06FBB			
										155	11/19/2008	NW	11/19/2008 13:03:00	INTL	B	1,000	NSRGY	35.00	D1FCBF79	NFSC	\$45.00	
												EX	11/20/2008 09:47:51	INTL		1,000	NSRGY	35.00	D1FCBF79			
										156	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	100	NSRGY	35.00	D20BF95F	MSCO	n/a	
												EX	11/20/2008 09:48:09	INTL		100	NSRGY	35.00	D20BF95F			
										157	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	100	NSRGY	35.00	D20BF95E	MSCO	n/a	
												EX	11/20/2008 09:48:08	INTL		100	NSRGY	35.00	D20BF95E			
										158	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	400	NSRGY	35.00	D20BF96A	MSCO	n/a	
												EX	11/20/2008 09:47:52	INTL								

Part I: Trigger Trades										Part II: Customer Limit Orders												Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
										167	11/19/2008	NW	11/19/2008 13:03:00	INTL	B	1,000	NSRGY	35.00	D1FCBF79	NFSC	\$27.00	
												EX	11/20/2008 09:47:51	INTL		1,000	NSRGY	35.00	D1FCBF79			
										168	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	100	NSRGY	35.00	D20BF95F	MSCO	n/a	
												EX	11/20/2008 09:48:09	INTL		100	NSRGY	35.00	D20BF95F			
										169	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	100	NSRGY	35.00	D20BF95E	MSCO	n/a	
												EX	11/20/2008 09:48:08	INTL		100	NSRGY	35.00	D20BF95E			
										170	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	400	NSRGY	35.00	D20BF96A	MSCO	\$33.00	
												EX	11/20/2008 09:47:52	INTL		400	NSRGY	35.00	D20BF96A			
										171	11/20/2008	NW	11/20/2008 07:25:03	INTL	B	200	NSRGY	35.10	D20BF908	NFSC	n/a	
												EX	11/20/2008 09:52:28	INTL		200	NSRGY	35.07	D20BF908			
										172	11/20/2008	NW	11/20/2008 09:38:23	INTL	B	100	NSRGY	35.10	D20BFA71	NFSC	n/a	
												EX	11/20/2008 09:48:04	INTL		100	NSRGY	35.08	D20BFA71			
97	11/20/2008	09:47:32	09:47:31	INTL	B	P	1,050	NSRGY	34.90	173	10/27/2008	NW	10/27/2008 09:58:21	INTL	B	100	NSRGY	35.00	D09DC553	NFSC	\$10.00	
												EX	11/20/2008 09:48:41	INTL		100	NSRGY	35.00	D09DC553			
										174	10/27/2008	NW	10/27/2008 07:25:11	INTL	B	125	NSRGY	35.00	D09DC35D	NFSC	\$12.50	
												EX	11/20/2008 09:48:40	INTL		125	NSRGY	35.00	D09DC35D			
										175	10/29/2008	NW	10/29/2008 10:03:17	INTL	B	100	NSRGY	35.00	D08C49B3	FCCP	\$10.00	
												EX	11/20/2008 09:48:40	INTL		100	NSRGY	35.00	D08C49B3			
										176	11/07/2008	NW	11/07/2008 12:32:17	INTL	B	100	NSRGY	35.00	D145A0BE	FCCP	\$10.00	
												EX	11/20/2008 09:48:17	INTL		100	NSRGY	35.00	D145A0BE			
										177	11/14/2008	NW	11/14/2008 11:33:05	INTL	B	100	NSRGY	35.00	D1806F8B	NFSC	\$10.00	
												EX	11/20/2008 09:48:23	INTL		100	NSRGY	35.00	D1806F8B			
										178	11/19/2008	NW	11/19/2008 13:03:00	INTL	B	1,000	NSRGY	35.00	D1FCBF79	NFSC	n/a	
												EX	11/20/2008 09:47:51	INTL		1,000	NSRGY	35.00	D1FCBF79			
										179	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	100	NSRGY	35.00	D20BF95F	MSCO	\$10.00	
												EX	11/20/2008 09:48:09	INTL		100	NSRGY	35.00	D20BF95F			
										180	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	100	NSRGY	35.00	D20BF95E	MSCO	\$10.00	
												EX	11/20/2008 09:48:08	INTL		100	NSRGY	35.00	D20BF95E			
										181	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	400	NSRGY	35.00	D20BF96A	MSCO	n/a	
												EX	11/20/2008 09:47:52	INTL		400	NSRGY	35.00	D20BF96A			
										182	11/20/2008	NW	11/20/2008 07:25:03	INTL	B	200	NSRGY	35.10	D20BF908	NFSC	\$34.00	
												EX	11/20/2008 09:52:28	INTL		200	NSRGY	35.07	D20BF908			
										183	11/20/2008	NW	11/20/2008 09:38:23	INTL	B	100	NSRGY	35.10	D20BFA71	NFSC	\$18.00	
												EX	11/20/2008 09:48:04	INTL		100	NSRGY	35.08	D20BFA71			
98	11/20/2008	09:47:38	09:47:37	INTL	B	P	410	VWDRY	11.19	184	11/19/2008	NW	11/19/2008 11:53:03	INTL	B	100	VWDRY	12.00	D1FCBC72	FCCP	\$26.00	
												EX	11/20/2008 09:48:20	INTL		100	VWDRY	11.45	D1FCBC72			
99	11/20/2008	09:47:41	09:47:41	INTL	B	P	2,750	NSRGY	34.90	185	10/27/2008	NW	10/27/2008 09:58:21	INTL	B	100	NSRGY	35.00	D09DC553	NFSC	n/a	
												EX	11/20/2008 09:48:41	INTL		100	NSRGY	35.00	D09DC553			
										186	10/27/2008	NW	10/27/2008 07:25:11	INTL	B	125	NSRGY	35.00	D09DC35D	NFSC	n/a	
												EX	11/20/2008 09:48:40	INTL		125	NSRGY	35.00	D09DC35D			
										187	10/29/2008	NW	10/29/2008 10:03:17	INTL	B	100	NSRGY	35.00	D08C49B3	FCCP	n/a	
												EX	11/20/2008 09:48:40	INTL		100	NSRGY	35.00	D08C49B3			
										188	11/07/2008	NW	11/07/2008 12:32:17	INTL	B	100	NSRGY	35.00	D145A0BE	FCCP	n/a	
												EX	11/20/2008 09:48:17	INTL		100	NSRGY	35.00	D145A0BE			
										189	11/14/2008	NW	11/14/2008 11:33:05	INTL	B	100	NSRGY	35.00	D1806F8B	NFSC	n/a	
												EX	11/20/2008 09:48:23	INTL		100	NSRGY	35.00	D1806F8B			
										190	11/19/2008	NW	11/19/2008 13:03:00	INTL	B	1,000	NSRGY	35.00	D1FCBF79	NFSC	n/a	
												EX	11/20/2008 09:47:51	INTL		1,000	NSRGY	35.00	D1FCBF79			
										191	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	100	NSRGY	35.00	D20BF95F	MSCO	n/a	
												EX	11/20/2008 09:48:09	INTL		100	NSRGY	35.00	D20BF95F			
										192	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	100	NSRGY	35.00	D20BF95E	MSCO	n/a	
												EX	11/20/2008 09:48:08	INTL		100	NSRGY	35.00	D20BF95E			
										193	11/20/2008	NW	11/20/2008 08:11:41	INTL	B	400	NSRGY	35.00	D20BF96A	MSCO	n/a	
												EX	11/20/2008 09:47:52	INTL		400	NSRGY	35.00	D20BF96A			
										194	11/20/2008	NW	11/20/2008 07:25:03	INTL	B	200	NSRGY	35.10	D20BF908	NFSC	n/a	
												EX	11/20/2008 09:52:28	INTL		200	NSRGY	35.07	D20BF908			
										195	11/20/2008	NW	11/20/2008 09:38:23	INTL	B	100	NSRGY	35.10	D20BFA71	NFSC	n/a	
												EX	11/20/2008 09:48:04	INTL		100	NSRGY	35.08	D20BFA71			
100	11/20/2008	09:55:06	09:55:05	INTL	B	P	257	HINKY	13.46	196	11/20/2008	NW	11/20/2008 09:43:22	INTL	B	700	HINKY	13.50	D20BFAAA	NFSC	\$10.28	
												EX	11/20/2008 09:56:18	INTL		700	HINKY	13.50	D20BFAAA			
101	11/20/2008	10:01:30	10:01:29	INTL	B	P	150	VWSYF	33.50	197	11/03/2008	NW	11/03/2008 07:25:03	INTL	B	100	VWSYF	33.53				

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Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount		
												EX	11/21/2008 14:25:35	INTL		200	BASFY	26.50	D21B3B7E				
												EX	11/21/2008 14:32:52	INTL		200	BASFY	26.50	D21B3B7E				
												EX	11/21/2008 14:33:11	INTL		600	BASFY	26.49	D21B3B7E				
139	11/21/2008	14:36:47	14:36:46	INTL	B	P	204	IMPUY	8.86	238	11/21/2008	NW	11/21/2008 13:13:11	INTL	B	170	IMPUY	9.15	D21B47FA	NFSC	\$49.30		
												EX	11/21/2008 14:38:15	INTL		170	IMPUY	9.15	D21B47FA				
140	11/21/2008	14:59:29	14:59:29	INTL	B	P	800	NSRGY	33.50	239	11/21/2008	NW	11/21/2008 14:53:06	INTL	B	3,000	NSRGY	33.53	D21B4E53	NFSC	\$15.00		
												EX	11/21/2008 15:00:06	INTL		500	NSRGY	33.53	D21B4E53				
												EX	11/21/2008 15:12:03	INTL		2,500	NSRGY	33.50	D21B4E53				
141	11/21/2008	15:32:35	15:32:35	INTL	S	P	339	LNNGY	4.50	240	11/21/2008	NW	11/21/2008 11:09:51	INTL	SL	200	LNNGY	4.44	D21B4204	NFSC	\$12.00		
												EX	11/21/2008 15:32:40	INTL		200	LNNGY	4.44	D21B4204				
142	11/21/2008	15:33:13	15:33:13	INTL	S	P	3,000	NSRGY	34.12	241	11/21/2008	NW	11/21/2008 15:32:45	INTL	SL	500	NSRGY	34.10	D21B5181	NFSC	\$10.00		
												EX	11/21/2008 15:33:32	INTL		500	NSRGY	34.10	D21B5181				
143	11/21/2008	15:34:00	15:33:59	INTL	S	P	200	LUKOY	28.35	242	11/21/2008	NW	11/21/2008 12:48:18	INTL	SL	300	LUKOY	27.95	D21B46EE	NFSC	\$80.00		
												EX	11/21/2008 15:34:36	INTL		300	LUKOY	27.95	D21B46EE				
144	11/21/2008	15:43:38	15:43:37	INTL	B	P	140	BASFY	27.19	243	11/21/2008	NW	11/21/2008 15:40:53	INTL	B	100	BASFY	27.50	D21B5222	NFSC	\$31.00		
												EX	11/21/2008 15:44:55	INTL		100	BASFY	27.50	D21B5222				
145	11/24/2008	13:18:44	13:18:44	INTL	B	P	2,210	LUKOY	33.57	244	11/24/2008	NW	11/24/2008 13:18:35	INTL	B	200	LUKOY	33.60	D2490B47	NFSC	\$6.00		
												EX	11/24/2008 13:19:07	INTL		200	LUKOY	33.60	D2490B47				
146	11/24/2008	14:40:32	14:40:31	INTL	B	P	22,775	SRCF	0.95	245	10/30/2008	NW	10/30/2008 14:33:28	INTL	B	100	SRCF	0.98	D0CB948D	AGED	\$3.00		
												EX	11/24/2008 14:40:49	INTL		100	SRCF	0.98	D0CB948D				
147	11/24/2008	15:01:54	15:01:54	INTL	B	P	390	BASFY	30.87	246	11/24/2008	NW	11/24/2008 14:28:21	INTL	B	200	BASFY	31.00	D2490E32	NFSC	\$26.00		
												EX	11/24/2008 15:03:19	INTL		200	BASFY	31.00	D2490E32				
148	11/24/2008	15:09:06	15:09:05	INTL	B	P	300	FSUMF	1.18	247	11/24/2008	NW	11/24/2008 12:52:30	INTL	B	2,000	FSUMF	1.19	D2490A43	NFSC	\$3.00		
												EX	11/24/2008 15:09:26	INTL		2,000	FSUMF	1.19	D2490A43				
149	11/24/2008	15:29:48	15:29:47	INTL	S	P	500	LNNGY	4.85	248	11/24/2008	NW	11/24/2008 15:26:21	INTL	SL	500	LNNGY	4.80	D249118E	FCCP	\$25.00		
												EX	11/24/2008 15:29:52	INTL		500	LNNGY	4.80	D249118E				
150	11/24/2008	15:48:13	15:48:13	INTL	S	P	500	TOSBF	3.50	249	11/24/2008	NW	11/24/2008 15:48:08	INTL	SL	1,000	TOSBF	3.45	D2491314	NFSC	\$25.00		
												EX	11/24/2008 15:48:30	INTL		1,000	TOSBF	3.45	D2491314				
151	11/24/2008	15:48:49	15:48:48	INTL	B	P	244	NSRGY	35.75	250	11/24/2008	NW	11/24/2008 15:47:57	INTL	B	1,000	NSRGY	35.80	D2491312	NFSC	\$12.20		
												EX	11/24/2008 15:48:53	INTL		1,000	NSRGY	35.80	D2491312				
152	11/25/2008	09:56:36	09:56:36	INTL	B	P	200	NSRGY	35.44	251	11/25/2008	NW	11/25/2008 07:25:06	INTL	B	100	NSRGY	35.45	D258445B	NFSC	n/a		
												CL	11/25/2008 10:04:57	INTL		100	NSRGY	35.45	D258445B				
										252	11/25/2008	NW	11/25/2008 07:25:03	INTL	B	500	NSRGY	35.46	D258444B	NFSC	\$4.00		
												EX	11/25/2008 09:57:09	INTL		500	NSRGY	35.46	D258444B				
153	11/25/2008	10:11:53	10:11:53	INTL	S	P	100	NSRGY	36.03	253	11/25/2008	NW	11/25/2008 09:46:53	INTL	SL	100	NSRGY	36.00	D25845A2	NFSC	\$3.00		
												EX	11/25/2008 10:14:04	INTL		100	NSRGY	36.00	D25845A2				
154	11/25/2008	10:19:13	10:19:13	INTL	B	P	1,400	WMMVY	26.45	254	11/25/2008	NW	11/25/2008 10:12:04	INTL	B	1,000	WMMVY	26.90	D258463F	NFSC	\$350.00		
												EX	11/25/2008 10:19:43	INTL		1,000	WMMVY	26.80	D258463F				
155**	11/25/2008	10:19:43	10:19:43	INTL	S	P	1,000	WMMVY	26.80	255	11/25/2008	NW	11/25/2008 09:52:41	INTL	SL	1,000	WMMVY	26.80	D25845B8	NFSC	n/a		
												CL	11/25/2008 11:33:41	INTL		1,000	WMMVY	26.80	D25845B8				
156	11/25/2008	10:26:04	10:26:04	INTL	B	P	212	NSRGY	35.51	256	11/25/2008	NW	11/25/2008 10:18:00	INTL	B	100	NSRGY	35.95	D258468A	NFSC	\$6.36		
												EX	11/25/2008 10:27:10	INTL		100	NSRGY	35.54	D258468A				
157	11/25/2008	10:47:06	10:47:06	INTL	B	P	447	NSRGY	35.20	257	11/25/2008	NW	11/25/2008 09:48:55	INTL	B	100	NSRGY	35.40	D25845A9	NFSC	\$10.00		
												EX	11/25/2008 10:47:23	INTL		100	NSRGY	35.30	D25845A9				
158	11/25/2008	10:49:04	10:49:03	INTL	B	P	279	SKHSY	7.91	258	11/25/2008	NW	11/25/2008 10:36:27	INTL	B	2,675	SKHSY	8.11	D2584732	SBSH	\$55.80		
												EX	11/25/2008 10:49:57	INTL		2,675	SKHSY	8.11	D2584732				
159	11/25/2008	10:55:56	10:55:56	INTL	B	P	1,320	VWSYF	46.80	259	11/25/2008	NW	11/25/2008 10:23:57	INTL	B	100	VWSYF	47.00	D25846A9	NFSC	\$20.00		
												EX	11/25/2008 10:56:09	INTL		100	VWSYF	47.00	D25846A9				
160	11/25/2008	11:02:41	11:02:41	INTL	S	P	103	YARIY	15.50	260	11/25/2008	NW	11/25/2008 11:01:12	INTL	SL	140	YARIY	15.40	D258481B	NFSC	\$10.30		
												EX	11/25/2008 11:02:57	INTL		140	YARIY	15.40	D258481B				
161	11/25/2008	11:17:04	11:17:02	INTL	B	P	200	HUWHY	22.70	261	11/25/2008	NW	11/25/2008 11:09:00	INTL	B	1,025	HUWHY	22.75	D2584870	AGED	\$10.00		
												EX	11/25/2008 11:17:33	INTL		200	HUWHY	22.75	D2584870				
												EX	11/25/2008 11:19:39	INTL		825	HUWHY	22.75	D2584870				
162	11/25/2008	12:18:00	12:17:59	INTL	B	P	849	SPHRY	1.25	262	11/25/2008	NW	11/25/2008 07:51:08	INTL	B	200							

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Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
190	11/26/2008	15:26:13	15:26:12	INTL	S	P	140	CHEUY	9.30	297	11/26/2008	NW	11/26/2008 15:25:54	INTL	SL	275	BNPQY	26.46	D267944E		
												EX	11/26/2008 15:26:31	INTL		825	CHEUY	9.20	D26794D9	MLEX	\$14.00
191	11/26/2008	15:35:36	15:35:35	INTL	B	P	250	AGIBY	0.99	298	11/26/2008	NW	11/26/2008 10:16:44	INTL	B	7,500	AGIBY	1.00	D26789D2	NFSC	\$2.50
												EX	11/26/2008 10:39:50	INTL		750	AGIBY	1.00	D26789D2		
												EX	11/26/2008 10:44:51	INTL		2,000	AGIBY	1.00	D26789D2		
												EX	11/26/2008 11:02:34	INTL		1,750	AGIBY	1.00	D26789D2		
												EX	11/26/2008 11:06:06	INTL		500	AGIBY	1.00	D26789D2		
												EX	11/26/2008 15:35:52	INTL		250	AGIBY	1.00	D26789D2		
												EX	11/28/2008 09:59:35	INTL		250	AGIBY	1.00	D26789D2		
												EX	11/28/2008 10:06:36	INTL		600	AGIBY	1.00	D26789D2		
												EX	11/28/2008 10:08:54	INTL		1,400	AGIBY	1.00	D26789D2		
192	11/26/2008	15:43:39	15:43:39	INTL	B	P	150	MTNOY	10.30	299	11/26/2008	NW	11/26/2008 12:09:09	INTL	B	1,000	MTNOY	10.50	D2678EBB	NFSC	\$30.00
												EX	11/26/2008 15:45:10	INTL		1,000	MTNOY	10.50	D2678EBB		
193	11/26/2008	15:57:04	15:57:04	INTL	B	P	112	VLKAY	74.50	300	11/26/2008	NW	11/26/2008 15:42:11	INTL	B	1,000	VLKAY	74.55	D26796B1	NFSC	\$5.60
												EX	11/26/2008 15:59:56	INTL		1,000	VLKAY	74.55	D26796B1		
194	11/28/2008	09:59:14	09:59:14	INTL	B	P	212	AGIBY	0.93	301	11/26/2008	NW	11/26/2008 10:16:44	INTL	B	7,500	AGIBY	1.00	D26789D2	NFSC	\$2.12
												EX	11/26/2008 10:39:50	INTL		750	AGIBY	1.00	D26789D2		
												EX	11/26/2008 10:44:51	INTL		2,000	AGIBY	1.00	D26789D2		
												EX	11/26/2008 11:02:34	INTL		1,750	AGIBY	1.00	D26789D2		
												EX	11/26/2008 11:06:06	INTL		500	AGIBY	1.00	D26789D2		
												EX	11/26/2008 15:35:52	INTL		250	AGIBY	1.00	D26789D2		
												EX	11/28/2008 09:59:35	INTL		250	AGIBY	1.00	D26789D2		
												EX	11/28/2008 10:06:36	INTL		600	AGIBY	1.00	D26789D2		
												EX	11/28/2008 10:08:54	INTL		1,400	AGIBY	1.00	D26789D2		
195	11/28/2008	10:06:24	10:06:24	INTL	B	P	590	AGIBY	0.90	302	11/26/2008	NW	11/26/2008 10:16:44	INTL	B	7,500	AGIBY	1.00	D26789D2	NFSC	\$5.90
												EX	11/26/2008 10:39:50	INTL		750	AGIBY	1.00	D26789D2		
												EX	11/26/2008 10:44:51	INTL		2,000	AGIBY	1.00	D26789D2		
												EX	11/26/2008 11:02:34	INTL		1,750	AGIBY	1.00	D26789D2		
												EX	11/26/2008 11:06:06	INTL		500	AGIBY	1.00	D26789D2		
												EX	11/26/2008 15:35:52	INTL		250	AGIBY	1.00	D26789D2		
												EX	11/28/2008 09:59:35	INTL		250	AGIBY	1.00	D26789D2		
												EX	11/28/2008 10:06:36	INTL		600	AGIBY	1.00	D26789D2		
												EX	11/28/2008 10:08:54	INTL		1,400	AGIBY	1.00	D26789D2		
196	11/28/2008	10:08:21	10:08:21	INTL	B	P	459	AGIBY	0.90	303	11/26/2008	NW	11/26/2008 10:16:44	INTL	B	7,500	AGIBY	1.00	D26789D2	NFSC	\$4.59
												EX	11/26/2008 10:39:50	INTL		750	AGIBY	1.00	D26789D2		
												EX	11/26/2008 10:44:51	INTL		2,000	AGIBY	1.00	D26789D2		
												EX	11/26/2008 11:02:34	INTL		1,750	AGIBY	1.00	D26789D2		
												EX	11/26/2008 11:06:06	INTL		500	AGIBY	1.00	D26789D2		
												EX	11/26/2008 15:35:52	INTL		250	AGIBY	1.00	D26789D2		
												EX	11/28/2008 09:59:35	INTL		250	AGIBY	1.00	D26789D2		
												EX	11/28/2008 10:06:36	INTL		600	AGIBY	1.00	D26789D2		
												EX	11/28/2008 10:08:54	INTL		1,400	AGIBY	1.00	D26789D2		
197	11/28/2008	11:00:12	11:00:11	INTL	S	P	200	NSRGY	35.90	304	11/28/2008	NW	11/28/2008 09:48:39	INTL	SL	100	NSRGY	35.89	D2860C35	NFSC	\$5.00
												EX	11/28/2008 11:02:12	INTL		100	NSRGY	35.89	D2860C35		
198	11/28/2008	11:35:43	11:35:43	INTL	B	P	449	SKHSY	8.01	305	11/28/2008	NW	11/28/2008 11:10:05	INTL	B	1,188	SKHSY	8.24	D2860E8D	FCCP	\$103.27
												EX	11/28/2008 11:36:34	INTL		1,188	SKHSY	8.24	D2860E8D		
199	11/28/2008	11:56:24	11:56:23	INTL	S	P	100	NSRGY	36.10	306	11/28/2008	NW	11/28/2008 07:25:05	INTL	SL	1,500	NSRGY	36.00	D2860B30	NFSC	\$10.00
												EX	11/28/2008 11:45:20	INTL		500	NSRGY	36.00	D2860B30		
												EX	11/28/2008 11:49:24	INTL		200	NSRGY	36.00	D2860B30		
												EX	11/28/2008 11:57:15	INTL		800	NSRGY	36.00	D2860B30		
200	11/28/2008	12:15:42	12:15:41	INTL	B	P	154	NSRGY	36.00	307	11/28/2008	NW	11/28/2008 12:09:28	INTL	B	200	NSRGY	36.10	D28610FC	NFSC	\$15.40
												EX	11/28/2008 12:17:49	INTL		200	NSRGY	36.10	D28610FC		
201	11/28/2008	12:26:34	12:26:34	INTL	S	P	108	RHHBY	70.05	308	11/24/2008	NW	11/24/2008 08:57:10	INTL	SL	100	RHHBY	70.00	D24902C4	NFSC	\$5.00
												EX	11/28/2008 12:31:53	INTL		100	RHHBY	70.00	D24902C4		
202	11/28/2008	12:28:22	12:28:22	INTL	S	P	632	IMPUY	12.41	309	11/28/2008	NW	11/28/2008 11:50:36	INTL	SL	600	IMPUY	12.20	D2861036	NFSC	\$126.00
												EX	11/28/2008 12:31:37	INTL		600	IMPUY	12.20	D2861036		
203	11/28/2008	12:36:12	12:36:11	INTL	S	P	129	NSRGY	36.25	310	11/28/2008	NW	11/28/2008 12:34:28	INTL	SL	200	NSRGY	36.20	D28612CC	NFSC	\$6.45
												EX	11/28/2008 12:36:20	INTL		200	NSRGY	36.20	D28612CC		
204	11/28/2008	12:48:30	12:48:30	INTL	B	P	294	AGIBY	0.90	311	11/28/2008	NW	11/28/2008 12:32:06	INTL	B	5,000	AGIBY	0.92	D28612BB	NFSC	\$5.88

Part I: Trigger Trades									Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
												EX	12/01/2008 12:54:59	INTL		500	PGSVY	3.75	D2B3D586			
												EX	12/01/2008 13:54:21	INTL		500	PGSVY	3.75	D2B3D586			
												CL	12/01/2008 14:42:35	INTL		2,500	PGSVY		D2B3D586			
210	12/01/2008	14:34:55	14:34:55	INTL	B	P	528	ZONMY	4.50	317	12/01/2008	NW	12/01/2008 13:35:58	INTL	B	200	ZONMY	4.55	D2B3DA2D	BCAP	\$10.00	
												EX	12/01/2008 14:35:06	INTL		200	ZONMY	4.55	D2B3DA2D			
211	12/01/2008	14:53:12	14:53:11	INTL	B	P	184	AGIBY	0.88	318	11/28/2008	NW	11/28/2008 12:32:06	INTL	B	5,000	AGIBY	0.92	D2B612B8	NFSC	\$7.36	
												EX	11/28/2008 12:50:02	INTL		500	AGIBY	0.92	D2B612B8			
												EX	12/01/2008 10:02:00	INTL		500	AGIBY	0.875	D2B612B8			
												EX	12/01/2008 14:53:59	INTL		200	AGIBY	0.92	D2B612B8			
												CL	12/02/2008 14:38:07	INTL		3,800	AGIBY		D2B612B8			
212	12/01/2008	15:39:18	15:39:18	INTL	B	P	2,343	NILSY	6.01	319	11/12/2008	NW	11/12/2008 07:25:04	INTL	B	500	NILSY	6.03	D191E709	NFSC	\$10.00	
												EX	12/01/2008 15:40:35	INTL		500	NILSY	6.03	D191E709			
213	12/01/2008	15:55:15	15:55:15	INTL	S	P	425	PALAF	1.56	320	12/01/2008	NW	12/01/2008 15:54:38	INTL	SL	1,000	PALAF	1.51	D2B3E067	NFSC	\$21.25	
												EX	12/01/2008 15:55:30	INTL		1,000	PALAF	1.51	D2B3E067			
214	12/02/2008	09:30:18	09:30:14	INTL	B	P	190	VOLVY	3.89	321	12/02/2008	NW	12/02/2008 07:25:02	INTL	B	100	VOLVY	3.90	D2C31410	NFSC	\$1.00	
												EX	12/02/2008 09:30:50	INTL		100	VOLVY	3.90	D2C31410			
215	12/02/2008	09:32:30	09:32:30	INTL	S	P	501	ATLKY	6.78	322	12/02/2008	NW	12/02/2008 09:31:35	INTL	SL	375	ATLKY	6.65	D2C314C3	SBSH	\$48.75	
												EX	12/02/2008 09:33:40	INTL		375	ATLKY	6.65	D2C314C3			
216	12/02/2008	11:53:44	11:53:44	INTL	S	P	500	WMMVY	27.05	323	12/02/2008	NW	12/02/2008 10:54:38	INTL	SL	100	WMMVY	27.00	D2C31726	NFSC	\$5.00	
												EX	12/02/2008 11:53:48	INTL		100	WMMVY	27.00	D2C31726			
217	12/02/2008	13:21:58	13:21:57	INTL	B	P	200	PMSEY	10.20	324	12/02/2008	NW	12/02/2008 12:58:31	INTL	B	350	PMSEY	10.21	D2C3185A	FCCP	\$2.00	
												EX	12/02/2008 13:22:10	INTL		350	PMSEY	10.21	D2C3185A			
218	12/02/2008	14:09:49	14:09:48	INTL	B	P	100	TKOMY	22.40	325	12/02/2008	NW	12/02/2008 13:00:21	INTL	B	4,000	TKOMY	22.50	D2C31865	NFSC	\$10.00	
												EX	12/02/2008 14:10:22	INTL		500	TKOMY	22.50	D2C31865			
												EX	12/02/2008 14:21:22	INTL		3,500	TKOMY	22.50	D2C31865			
219	12/02/2008	14:20:56	14:20:56	INTL	B	P	200	TKOMY	22.45	326	12/02/2008	NW	12/02/2008 13:00:21	INTL	B	4,000	TKOMY	22.50	D2C31865	NFSC	\$10.00	
												EX	12/02/2008 14:10:22	INTL		500	TKOMY	22.50	D2C31865			
												EX	12/02/2008 14:21:22	INTL		3,500	TKOMY	22.50	D2C31865			
220	12/02/2008	15:17:08	15:17:07	INTL	S	P	329	SANYY	9.00	327	11/24/2008	NW	11/24/2008 13:59:04	INTL	SL	300	SANYY	8.95	D2490CF5	NFSC	\$15.00	
												EX	12/02/2008 15:17:14	INTL		300	SANYY	8.95	D2490CF5			
221	12/02/2008	15:39:39	15:39:38	INTL	S	P	423	HUWHY	23.85	328	12/02/2008	NW	12/02/2008 12:43:19	INTL	SL	200	HUWHY	23.75	D2C31AF8	NFSC	\$20.00	
												EX	12/02/2008 15:39:45	INTL		200	HUWHY	23.75	D2C31AF8			
222	12/02/2008	15:48:16	15:48:16	INTL	B	P	500	WEESF	0.481	329	12/02/2008	NW	12/02/2008 15:47:49	INTL	B	2,000	WEESF	0.50	D2C32220	MLEX	\$9.50	
												EX	12/02/2008 15:48:22	INTL		2,000	WEESF	0.50	D2C32220			
223	12/03/2008	10:09:56	10:09:56	INTL	S	P	190	LUKOY	28.34	330	12/03/2008	NW	12/03/2008 10:06:18	INTL	SL	300	LUKOY	28.25	D2D25896	NFSC	\$17.10	
												EX	12/03/2008 10:10:11	INTL		300	LUKOY	28.25	D2D25896			
224	12/03/2008	10:23:16	10:23:16	INTL	S	P	200	BAYRY	49.08	331	12/03/2008	NW	12/03/2008 09:23:18	INTL	SL	615	BAYRY	49.00	D2D25712	FCCP	\$16.00	
												EX	12/03/2008 10:23:37	INTL		615	BAYRY	49.00	D2D25712			
225	12/03/2008	10:49:05	10:49:05	INTL	B	P	200	JMHLY	16.20	332	12/03/2008	NW	12/03/2008 09:34:42	INTL	B	155	JMHLY	16.25	D2D2573A	NFSC	\$7.75	
												EX	12/03/2008 10:50:19	INTL		155	JMHLY	16.25	D2D2573A			
226	12/03/2008	11:01:54	11:01:53	INTL	B	P	1,403	VOLVY	4.34	333	12/03/2008	NW	12/03/2008 11:00:58	INTL	B	800	VOLVY	4.37	D2D25A33	NFSC	\$24.00	
												EX	12/03/2008 11:03:22	INTL		200	VOLVY	4.37	D2D25A33			
												EX	12/03/2008 11:15:30	INTL		600	VOLVY	4.37	D2D25A33			
227	12/03/2008	11:15:15	11:15:14	INTL	B	P	536	VOLVY	4.36	334	12/03/2008	NW	12/03/2008 11:00:58	INTL	B	800	VOLVY	4.37	D2D25A33	NFSC	n/a	
												EX	12/03/2008 11:03:22	INTL		200	VOLVY	4.37	D2D25A33			
												EX	12/03/2008 11:15:30	INTL		600	VOLVY	4.37	D2D25A33			
228	12/03/2008	13:08:32	13:08:32	INTL	B	P	340	VLKAY	74.00	335	12/03/2008	NW	12/03/2008 12:32:43	INTL	B	900	VLKAY	74.25	D2D25DA5	NFSC	\$85.00	
												EX	12/03/2008 13:08:53	INTL		400	VLKAY	74.25	D2D25DA5			
229	12/03/2008	14:29:23	14:29:23	INTL	S	P	250	VWSYF	44.45	336	12/03/2008	NW	12/03/2008 14:27:54	INTL	SL	500	VWSYF	44.40	D2D2614E	NFSC	\$12.50	
												EX	12/03/2008 14:30:18	INTL		200	VWSYF	44.40	D2D2614E			
												EX	12/03/2008 14:42:45	INTL		300	VWSYF	44.40	D2D2614E			
										337	12/03/2008	NW	12/03/2008 14:22:35	INTL	SL	1,000	VWSYF	44.40	D2D26111	NFSC	n/a	
												EX	12/03/2008 14:30:14	INTL		200	VWSYF	44.40	D2D26111			
												EX	12/03/2008 14:31:15	INTL		200	VWSYF	44.40	D2D26111			
												EX	12/03/2008 14:31:44	INTL		600	VWSYF	44.40	D2D26111			
230	12/03/2008	14:29:29	14:29:28	INTL	S	P	500	VWSYF	44.45	338	12/03/2008	NW	12/03/2008 14:27:54	INTL</								

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
												EX	12/03/2008 15:31:19	INTL		200	PMSEY	10.35	D2D258C6		
												EX	12/03/2008 15:35:09	INTL		1,000	PMSEY	10.35	D2D258C6		
												EX	12/03/2008 15:37:17	INTL		2,036	PMSEY	10.35	D2D258C6		
236	12/04/2008	09:39:13	09:39:13	INTL	B	P	1,065	AGIBY	0.68	346	12/04/2008	NW	12/04/2008 09:36:34	INTL	B	2,000	AGIBY	0.75	D2E19A43	NFSC	n/a
												EX	12/04/2008 10:24:00	INTL		2,000	AGIBY	0.73	D2E19A43		
										347	12/04/2008	NW	12/04/2008 09:35:04	INTL	B	4,000	AGIBY	0.77	D2E199DE	NFSC	\$95.85
												EX	12/04/2008 09:39:33	INTL		4,000	AGIBY	0.77	D2E199DE		
237	12/04/2008	10:31:07	10:31:06	INTL	S	P	610	NTDOY	41.15	348	12/04/2008	NW	12/04/2008 10:25:03	INTL	SL	200	NTDOY	41.00	D2E19886	NFSC	\$30.00
												EX	12/04/2008 10:31:19	INTL		200	NTDOY	41.00	D2E19886		
238	12/04/2008	14:44:09	14:44:08	INTL	B	P	190	FRDPY	10.56	349	12/04/2008	NW	12/04/2008 14:43:51	INTL	B	395	FRDPY	10.78	BR5062	MLCO	\$41.80
												EX	12/04/2008 14:44:33	INTL		395	FRDPY	10.78	BR5062		
239	12/04/2008	15:11:46	15:11:46	INTL	B	P	174	NSRGY	35.25	350	12/04/2008	NW	12/04/2008 14:08:45	INTL	B	1,000	NSRGY	35.30	D2E1A2E0	NFSC	\$8.70
												EX	12/04/2008 15:12:47	INTL		1,000	NSRGY	35.30	D2E1A2E0		
240	12/04/2008	15:23:35	15:23:34	INTL	B	P	1,400	TOSBF	3.10	351	12/02/2008	NW	12/02/2008 11:39:12	INTL	B	5,000	TOSBF	3.20	D2C31916	NFSC	\$140.00
												EX	12/04/2008 15:24:12	INTL		5,000	TOSBF	3.20	D2C31916		
241	12/04/2008	15:43:37	15:43:36	INTL	B	P	500	FSUMF	1.50	352	12/04/2008	NW	12/04/2008 15:23:23	INTL	B	1,000	FSUMF	1.50	D2E1A550	NFSC	n/a
												EX	12/04/2008 15:44:46	INTL		1,000	FSUMF	1.50	D2E1A550		
										353	12/04/2008	NW	12/04/2008 15:06:47	INTL	B	5,000	FSUMF	1.51	D2E1A4C8	NFSC	\$5.00
												EX	12/04/2008 15:43:41	INTL		5,000	FSUMF	1.51	D2E1A4C8		
242	12/04/2008	15:49:34	15:49:33	INTL	B	P	675	JMHLV	15.25	354	12/04/2008	NW	12/04/2008 15:31:06	INTL	B	100	JMHLV	15.50	D2E1A5E5	NFSC	\$25.00
												EX	12/04/2008 15:50:16	INTL		100	JMHLV	15.50	D2E1A5E5		
243	12/05/2008	09:30:40	09:30:39	INTL	B	P	781	NSRGY	34.46	355	12/03/2008	NW	12/03/2008 15:42:39	INTL	B	200	NSRGY	34.67	D2D264AC	NFSC	\$26.00
												EX	12/05/2008 09:31:45	INTL		200	NSRGY	34.59	D2D264AC		
244	12/05/2008	09:32:38	09:32:38	INTL	B	P	1,000	VOLVY	3.98	356	12/02/2008	NW	12/02/2008 09:43:49	INTL	B	500	VOLVY	4.00	D2C31549	NFSC	\$10.00
												EX	12/05/2008 09:33:23	INTL		500	VOLVY	4.00	D2C31549		
245	12/05/2008	10:20:42	10:20:42	INTL	B	P	500	LNWGY	4.41	357	12/01/2008	NW	12/01/2008 10:47:34	INTL	B	100	LNWGY	4.45	D2B3D408	NFSC	\$4.00
												EX	12/05/2008 10:21:09	INTL		100	LNWGY	4.45	D2B3D408		
246	12/05/2008	11:37:22	11:37:21	INTL	B	P	500	PGSVY	2.83	358	12/05/2008	NW	12/05/2008 10:38:31	INTL	B	10,000	PGSVY	2.85	D2F0DD5A	NFSC	\$10.00
												EX	12/05/2008 11:39:35	INTL		3,000	PGSVY	2.85	D2F0DD5A		
												EX	12/05/2008 13:43:03	INTL		500	PGSVY	2.85	D2F0DD5A		
												EX	12/05/2008 14:32:06	INTL		500	PGSVY	2.85	D2F0DD5A		
247	12/05/2008	13:13:32	13:13:31	INTL	S	P	200	NTDOY	41.10	359	12/05/2008	NW	12/05/2008 12:27:55	INTL	SL	200	NTDOY	41.04	D2F0E00E	NFSC	\$12.00
												EX	12/05/2008 13:13:44	INTL		200	NTDOY	41.04	D2F0E00E		
248	12/05/2008	13:50:25	13:50:25	INTL	S	P	1,000	HYFXF	1.00	360	12/05/2008	NW	12/05/2008 10:50:43	INTL	SL	3,000	HYFXF	0.99	D2F0DDA1	NFSC	\$10.00
												EX	12/05/2008 13:50:48	INTL		3,000	HYFXF	0.99	D2F0DDA1		
249	12/05/2008	13:58:52	13:58:51	INTL	B	P	200	MSIGY	11.00	361	12/05/2008	NW	12/05/2008 13:58:07	INTL	B	4,028	MSIGY	11.27	D2F0E3C3	SBSH	\$20.00
												EX	12/05/2008 13:58:43	INTL		1,028	MSIGY	10.90	D2F0E3C3		
												EX	12/05/2008 13:58:58	INTL		3,000	MSIGY	11.20	D2F0E3C3		
250	12/05/2008	15:07:15	15:07:15	INTL	B	P	20,000	BCKBF	0.04	362	12/05/2008	NW	12/05/2008 14:30:59	INTL	B	40,000	BCKBF	0.051	D2F0E4C6	MOKE	\$220.00
												EX	12/05/2008 15:07:30	INTL		20,000	BCKBF	0.051	D2F0E4C6		
												CL	12/08/2008 12:46:35	INTL		20,000	BCKBF		D2F0E4C6		
251	12/05/2008	15:55:23	15:55:23	INTL	S	P	500	NTDOY	42.10	363	11/17/2008	NW	11/17/2008 07:25:03	INTL	SL	250	NTDOY	42.00	D1DE3245	NFSC	\$25.00
												EX	12/05/2008 15:55:36	INTL		250	NTDOY	42.00	D1DE3245		
										364	12/02/2008	NW	12/02/2008 09:34:26	INTL	SL	199	NTDOY	42.00	D2C314E8	NFSC	\$19.90
												EX	12/05/2008 15:55:38	INTL		199	NTDOY	42.00	D2C314E8		
252	12/08/2008	09:43:09	09:43:09	INTL	B	P	248	NSRGY	35.10	365	12/08/2008	NW	12/08/2008 09:40:32	INTL	B	142	NSRGY	35.15	D31EA286	NFSC	\$7.10
												EX	12/08/2008 09:43:13	INTL		142	NSRGY	35.15	D31EA286		
253	12/08/2008	10:26:51	10:26:50	INTL	S	P	300	NSRGY	35.10	366	12/08/2008	NW	12/08/2008 10:21:59	INTL	SL	100	NSRGY	35.02	D31EA357	NFSC	\$8.00
												EX	12/08/2008 10:28:33	INTL		100	NSRGY	35.02	D31EA357		
254	12/08/2008	10:53:21	10:53:20	INTL	B	P	1,481	SKHSY	7.91	367	12/08/2008	NW	12/08/2008 10:51:56	INTL	B	176	SKHSY	8.03	D31EA446	FCCP	\$21.12
												EX	12/08/2008 10:53:41	INTL		176	SKHSY	8.03	D31EA446		
255	12/08/2008	12:44:05	12:44:05	INTL	B	P	275	NSRGY	35.20	368	12/08/2008	NW	12/08/2008 12:26:11	INTL	B	100	NSRGY	35.24	D31EA7F8	NFSC	\$4.00
												EX	12/08/2008 12:44:30	INTL		100	NSRGY	35.24	D31EA7F8		
256	12/08/2008	13:16:20	13:16:19	INTL	B	P	625	NSRGY	35.15	369	12/08/2008	NW	12/08/2008 13:13:32	INTL	B	100	NSRGY	35.20	D31EA946	NFSC	\$5.00
												EX	12/08/2008 13:16:51	INTL		100	NSRGY	35.20	D31EA946		
257	12/08/2008																				

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
266	12/09/2008	12:07:53	12:07:52	INTL	B	P	4,263	SKHSY	7.97	380	12/09/2008	NW	12/09/2008 12:07:43	INTL	B	3,517	SKHSY	8.07	D32DEA93	MSCO	\$175.85
												EX	12/09/2008 12:07:53	INTL		3,517	SKHSY	8.02	D32DEA93		
267	12/09/2008	12:55:32	12:55:32	INTL	B	P	328	SKHSY	8.01	381	12/09/2008	NW	12/09/2008 12:55:13	INTL	B	6,890	SKHSY	8.07	D32DECf2	MSCO	\$19.68
												EX	12/09/2008 12:55:43	INTL		6,890	SKHSY	8.07	D32DECf2		
268	12/09/2008	14:48:19	14:48:18	INTL	B	P	825	BAMXY	9.20	382	12/09/2008	NW	12/09/2008 10:51:23	INTL	B	1,700	BAMXY	9.50	D32DE80A	NFSC	\$247.50
												EX	12/09/2008 14:48:29	INTL		900	BAMXY	9.50	D32DE80A		
												EX	12/09/2008 14:56:05	INTL		800	BAMXY	9.50	D32DE80A		
269	12/09/2008	14:55:58	14:55:58	INTL	B	P	326	BAMXY	9.25	383	12/09/2008	NW	12/09/2008 10:51:23	INTL	B	1,700	BAMXY	9.50	D32DE80A	NFSC	\$81.50
												EX	12/09/2008 14:48:29	INTL		900	BAMXY	9.50	D32DE80A		
												EX	12/09/2008 14:56:05	INTL		800	BAMXY	9.50	D32DE80A		
270	12/09/2008	15:28:36	15:28:35	INTL	B	P	200	NTDOY	45.83	384	12/09/2008	NW	12/09/2008 15:21:12	INTL	B	750	NTDOY	45.85	D32DF240	NFSC	\$4.00
												EX	12/09/2008 15:29:01	INTL		750	NTDOY	45.85	D32DF240		
271	12/09/2008	15:53:27	15:53:27	INTL	B	P	1,700	FSUMF	1.60	385	12/09/2008	NW	12/09/2008 15:50:37	INTL	B	2,735	FSUMF	1.62	D32DF390	FCCP	\$34.00
												EX	12/09/2008 15:53:45	INTL		2,735	FSUMF	1.62	D32DF390		
272	12/10/2008	09:46:23	09:46:22	INTL	S	P	270	HINKY	13.85	386	12/08/2008	NW	12/08/2008 08:45:59	INTL	SL	500	HINKY	13.75	D31EA205	NFSC	\$24.30
												EX	12/10/2008 09:47:16	INTL		500	HINKY	13.76	D31EA205		
273	12/10/2008	10:46:51	10:46:51	INTL	B	P	500	NSRGY	35.00	387	12/09/2008	NW	12/09/2008 11:12:52	INTL	B	300	NSRGY	35.00	D32DE8D2	NFSC	n/a
												EX	12/10/2008 10:51:17	INTL		300	NSRGY	35.00	D32DE8D2		
										388	12/10/2008	NW	12/10/2008 10:45:35	INTL	B	132	NSRGY	35.15	D33D2903	NFSC	n/a
												EX	12/10/2008 10:51:22	INTL		132	NSRGY	34.95	D33D2903		
274	12/10/2008	10:58:29	10:58:29	INTL	B	P	207	NSRGY	34.71	389	12/10/2008	NW	12/10/2008 07:25:04	INTL	B	200	NSRGY	34.75	D33D261E	NFSC	\$8.00
												EX	12/10/2008 11:05:05	INTL		200	NSRGY	34.75	D33D261E		
275	12/10/2008	11:04:54	11:04:54	INTL	B	P	354	AGIBY	0.40	390	12/10/2008	NW	12/10/2008 10:45:39	INTL	B	3,000	AGIBY	0.41	D33D2904	NFSC	\$3.54
												EX	12/10/2008 11:02:59	INTL		500	AGIBY	0.41	D33D2904		
												EX	12/10/2008 11:05:12	INTL		500	AGIBY	0.41	D33D2904		
												EX	12/10/2008 11:12:56	INTL		2,000	AGIBY	0.40	D33D2904		
										391	12/10/2008	NW	12/10/2008 10:46:11	INTL	B	3,000	AGIBY	0.43	D33D2908	NFSC	n/a
												CL	12/10/2008 11:14:39	INTL		3,000	AGIBY		D33D2908		
276	12/10/2008	11:17:42	11:17:41	INTL	S	P	200	LNVGY	5.60	392	12/10/2008	NW	12/10/2008 09:44:29	INTL	SL	500	LNVGY	5.56	D33D2706	NFSC	\$8.00
												EX	12/10/2008 11:17:57	INTL		500	LNVGY	5.56	D33D2706		
										393	12/10/2008	NW	12/10/2008 09:45:51	INTL	SL	400	LNVGY	5.60	D33D271E	NFSC	n/a
												EX	12/10/2008 15:57:33	INTL		400	LNVGY	5.60	D33D271E		
277	12/10/2008	12:26:19	12:26:18	INTL	B	P	390	UNCFF	2.15	394	12/10/2008	NW	12/10/2008 10:32:17	INTL	B	5,000	UNCFF	2.17	D33D285C	FCCP	\$7.80
												EX	12/10/2008 12:26:47	INTL		500	UNCFF	2.17	D33D285C		
												EX	12/10/2008 15:11:39	INTL		500	UNCFF	2.17	D33D285C		
												EX	12/10/2008 15:29:21	INTL		500	UNCFF	2.17	D33D285C		
												EX	12/10/2008 15:37:23	INTL		500	UNCFF	2.17	D33D285C		
												EX	12/10/2008 15:37:40	INTL		3,000	UNCFF	2.17	D33D285C		
278	12/10/2008	12:27:29	12:27:28	INTL	B	P	170	NSRGY	34.87	395	12/10/2008	NW	12/10/2008 12:22:26	INTL	B	200	NSRGY	34.96	D33D2C59	NFSC	\$15.30
												EX	12/10/2008 12:28:27	INTL		200	NSRGY	34.96	D33D2C59		
279	12/10/2008	13:03:26	13:03:26	INTL	S	P	1,000	GDNNY	11.35	396	12/10/2008	NW	12/10/2008 13:01:26	INTL	SL	2,000	GDNNY	11.30	D33D2E68	MLEX	\$50.00
												EX	12/10/2008 13:03:42	INTL		2,000	GDNNY	11.30	D33D2E68		
280	12/10/2008	13:25:25	13:25:24	INTL	B	P	126	ZFSVY	20.95	397	12/10/2008	NW	12/10/2008 13:13:03	INTL	B	2,000	ZFSVY	21.00	D33D2EC7	MSCO	\$6.30
												EX	12/10/2008 13:25:41	INTL		1,000	ZFSVY	21.00	D33D2EC7		
												EX	12/10/2008 13:30:55	INTL		1,000	ZFSVY	21.00	D33D2EC7		
281	12/10/2008	13:30:50	13:30:50	INTL	B	P	1,050	ZFSVY	20.95	398	12/10/2008	NW	12/10/2008 13:13:03	INTL	B	2,000	ZFSVY	21.00	D33D2EC7	MSCO	\$50.00
												EX	12/10/2008 13:25:41	INTL		1,000	ZFSVY	21.00	D33D2EC7		
												EX	12/10/2008 13:30:55	INTL		1,000	ZFSVY	21.00	D33D2EC7		
282	12/10/2008	13:31:26	13:31:26	INTL	B	P	2,050	RBSPF	1.00	399	12/10/2008	NW	12/10/2008 13:10:02	INTL	B	5,000	RBSPF	1.02	D33D2E9C	DEAN	\$41.00
												EX	12/10/2008 13:31:41	INTL		2,000	RBSPF	1.02	D33D2E9C		
												EX	12/10/2008 13:32:23	INTL		3,000	RBSPF	1.02	D33D2E9C		
										400	12/10/2008	NW	12/10/2008 13:14:09	INTL	B	10,000	RBSPF	1.02	D33D2EC8	DEAN	n/a
												CL	12/10/2008 14:39:26	INTL		10,000	RBSPF		D33D2EC8		
283	12/10/2008	13:43:58	13:43:58	INTL	B	P	600	PALAF	1.68	401	12/10/2008	NW	12/10/2008 13:36:52	INTL	B	600	PALAF	1.71	D33D2F98	NFSC	\$18.00
												EX	12/10/2008 13:44:08	INTL		600	PALAF	1.71	D33D2F98		
284	12/10/2008	14:09:42	14:09:42	INTL	B	P	119	ZFSVY	20.60	402	12/10/2008	NW	12/10/2008 13:39:43	INTL	B	5,000	ZFSVY	20.70	D33D2FBF	MSCO	\$11.90
												EX	12/10/2008 14								

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event TimeStamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
												EX	12/10/2008 15:11:39	INTL		500	UNCF	2.17	D33D285C		
												EX	12/10/2008 15:29:21	INTL		500	UNCF	2.17	D33D285C		
												EX	12/10/2008 15:37:23	INTL		500	UNCF	2.17	D33D285C		
												EX	12/10/2008 15:37:40	INTL		3,000	UNCF	2.17	D33D285C		
291	12/10/2008	15:37:11	15:37:11	INTL	B	P	185	UNCF	2.15	409	12/10/2008	NW	12/10/2008 10:32:17	INTL	B	5,000	UNCF	2.17	D33D285C	FCCP	\$3.70
												EX	12/10/2008 12:26:47	INTL		500	UNCF	2.17	D33D285C		
												EX	12/10/2008 15:11:39	INTL		500	UNCF	2.17	D33D285C		
												EX	12/10/2008 15:29:21	INTL		500	UNCF	2.17	D33D285C		
												EX	12/10/2008 15:37:23	INTL		500	UNCF	2.17	D33D285C		
												EX	12/10/2008 15:37:40	INTL		3,000	UNCF	2.17	D33D285C		
												NW	12/10/2008 10:32:17	INTL	B	5,000	UNCF	2.17	D33D285C		
292	12/10/2008	15:37:30	15:37:29	INTL	B	P	280	UNCF	2.15	410	12/10/2008	EX	12/10/2008 12:26:47	INTL		500	UNCF	2.17	D33D285C	FCCP	\$5.60
												EX	12/10/2008 15:11:39	INTL		500	UNCF	2.17	D33D285C		
												EX	12/10/2008 15:29:21	INTL		500	UNCF	2.17	D33D285C		
												EX	12/10/2008 15:37:23	INTL		500	UNCF	2.17	D33D285C		
												EX	12/10/2008 15:37:40	INTL		3,000	UNCF	2.17	D33D285C		
												NW	12/10/2008 10:32:17	INTL	B	5,000	UNCF	2.17	D33D285C		
293	12/11/2008	09:31:04	09:31:04	INTL	B	P	190	TLSYY	13.55	411	12/10/2008	NW	12/10/2008 10:48:08	INTL	B	3,000	TLSYY	13.85	D33D295A	AGED	\$57.00
												EX	12/10/2008 15:14:27	INTL		400	TLSYY	13.85	D33D295A		
												EX	12/11/2008 09:31:50	INTL		2,600	TLSYY	13.85	D33D295A		
												NW	11/12/2008 12:38:34	INTL	SL	200	LUKOY	36.00	D191EE2E		
294	12/11/2008	11:18:55	11:18:55	INTL	S	P	200	LUKOY	36.25	412	11/12/2008	EX	12/11/2008 11:19:10	INTL		200	LUKOY	36.00	D191EE2E	NFSC	\$50.00
												NW	12/11/2008 12:59:08	INTL	B	1,000	PALAF	2.00	D34C70D7	NFSC	\$120.00
												EX	12/11/2008 14:20:28	INTL		1,000	PALAF	2.00	D34C70D7		
296	12/11/2008	14:26:55	14:26:53	INTL	B	P	197	AGIBY	0.41	414	12/11/2008	NW	12/11/2008 11:27:44	INTL	B	5,000	AGIBY	0.425	D34C6038	NFSC	\$2.96
												EX	12/11/2008 14:30:22	INTL		4,000	AGIBY	0.425	D34C6038		
												EX	12/11/2008 15:24:32	INTL		1,000	AGIBY	0.425	D34C6038		
297	12/11/2008	14:45:50	14:45:50	INTL	B	P	750	NSRGY	34.94	415	12/11/2008	NW	12/11/2008 07:25:02	INTL	B	145	NSRGY	34.95	D34C6849	NFSC	\$1.45
												EX	12/11/2008 14:46:04	INTL		145	NSRGY	34.95	D34C6849		
298	12/11/2008	14:58:18	14:58:17	INTL	B	P	190	VOLVY	4.90	416	12/11/2008	NW	12/11/2008 14:35:57	INTL	B	100	VOLVY	4.94	D34C7480	NFSC	\$4.00
												EX	12/11/2008 14:59:03	INTL		100	VOLVY	4.94	D34C7480		
299	12/11/2008	15:24:28	15:24:25	INTL	B	P	122	AGIBY	0.41	417	12/11/2008	NW	12/11/2008 11:27:44	INTL	B	5,000	AGIBY	0.425	D34C6038	NFSC	\$1.83
												EX	12/11/2008 14:30:22	INTL		4,000	AGIBY	0.425	D34C6038		
												EX	12/11/2008 15:24:32	INTL		1,000	AGIBY	0.425	D34C6038		
300	12/11/2008	15:24:50	15:24:49	INTL	B	P	1,432	JMHLY	16.55	418	12/11/2008	NW	12/11/2008 10:25:11	INTL	B	500	JMHLY	16.80	D34C6833	NFSC	\$125.00
												EX	12/11/2008 15:26:04	INTL		500	JMHLY	16.80	D34C6833		
301	12/11/2008	15:47:37	15:47:37	INTL	B	P	234	BAESY	19.58	419	12/10/2008	NW	12/10/2008 07:25:04	INTL	B	250	BAESY	19.60	D33D261F	NFSC	\$4.68
												EX	12/11/2008 15:47:58	INTL		250	BAESY	19.60	D33D261F		
302	12/11/2008	15:48:23	15:48:22	INTL	B	P	212	GDFZY	37.65	420	12/10/2008	NW	12/10/2008 12:59:37	INTL	B	100	GDFZY	38.51	D33D2E65	SBSH	\$86.00
												EX	12/11/2008 15:48:29	INTL		100	GDFZY	38.51	D33D2E65		
303	12/11/2008	15:48:38	15:48:37	INTL	B	P	500	NTDOY	45.70	421	12/11/2008	NW	12/11/2008 15:31:12	INTL	B	200	NTDOY	45.77	D34C7761	NFSC	\$14.00
												EX	12/11/2008 15:48:46	INTL		200	NTDOY	45.77	D34C7761		
304	12/11/2008	15:53:36	15:53:35	INTL	B	P	1,200	PALAF	1.79	422	12/11/2008	NW	12/11/2008 14:33:07	INTL	B	200	PALAF	1.85	D34C746E	NFSC	\$12.00
												EX	12/11/2008 15:53:49	INTL		200	PALAF	1.85	D34C746E		
										423	12/11/2008	NW	12/11/2008 15:34:45	INTL	B	10,000	PALAF	1.80	D34C7792	NFSC	\$10.00
305	12/12/2008	09:30:23	09:30:19	INTL	B	P	326	CRZBY	8.70	424	12/12/2008	NW	12/12/2008 07:25:01	INTL	B	500	CRZBY	9.00	D35BA84A	NFSC	\$91.28
												EX	12/12/2008 09:32:20	INTL		500	CRZBY	8.98	D35BA84A		
306	12/12/2008	09:31:10	09:31:10	INTL	S	P	185	SMFYJ	3.75	425	11/17/2008	NW	11/17/2008 07:25:14	INTL	SL	600	SMFYJ	3.73	D1DE327E	NFSC	\$3.70
												EX	12/12/2008 09:31:34	INTL		600	SMFYJ	3.73	D1DE327E		
307	12/12/2008	09:31:41	09:31:41	INTL	S	P	500	PALAF	1.73	426	12/12/2008	NW	12/12/2008 08:23:28	INTL	SL	5,000	PALAF	1.70	D35BAAD3	NFSC	\$15.00
												EX	12/12/2008 09:31:53	INTL		1,000	PALAF	1.70	D35BAAD3		
												EX	12/12/2008 09:46:24	INTL		500	PALAF	1.70	D35BAAD3		
												EX	12/12/2008 09:46:34	INTL		3,500	PALAF	1.70	D35BAAD3		
308	12/12/2008	10:35:51	10:35:51	INTL	B	P	50,000	BJCHF	0.50	427	12/12/2008	NW	12/12/2008 10:16:45	INTL	B	2,000	BJCHF	0.51	D35BAC53	NFSC	\$20.00
												EX	12/12/2008 10:35:58	INTL		2,000	BJCHF	0.51	D35BAC53		
309	12/12/2008	11:48:21	11:48:21	INTL	S	P	10,000	GGCRF	0.2505	428	12/12/2008	NW	12/12/2008 10:00:27	INTL	SL	10,000	GGCRF	0.25	24382703	MACC	\$5.00
												EX	12/12/2008 11:48:36	INTL		10,000	GGCRF	0.25	24382703		
310	12/12/2008	13:02:47	13:02:47	INTL	B	P	301	CSCMY	3.30	429	12/12/2008	NW	12/12/2008 11:30:57	INTL	B	1,000	CSCMY	3.35	D35BAE0D	NFSC	\$15.05
												EX	12/12/2008 13:03:00	INTL		1,000	CSCMY	3.35	D35BAE0D		
311	12/12/2008	14:58:58	14:58:57	INTL	B	P	200	NSRGY	35.30	430	12/12/2008	NW	12/12/2008 14:58:40	INTL	B	1,000	NSRGY	35.31	D35B8522	NFSC	\$2.00
												EX	12/12/2008 14:59:39	INTL		1,000	NSRGY	35.31	D35B8522		
312	12/15/2008	09:56:10	09:56:10	INTL	S	P	1,000	GGCRF	0.2535	431	12/15/2008	NW	12/15/2008 09:54:21	INTL	SL	10,000	GGCRF	0.25	24413677	MACC	\$3.50
												EX	12/15/2008 09:56:20	INTL		1,000	GGCRF	0.25	24413677		
												EX	12/15/2008 09:58:48	INTL		500	GGCRF	0.25	24413677		
												EX	12/15/2008 13:12:54	INTL		8,500	GGCRF	0.25	24413677		
313	12/15/2008	09:58:40	09:58:40	INTL	S	P	500	GGCRF	0.2535	432	12/15/2008	NW	12/15/2008 09:54:21	INTL	SL	10,000	GGCRF	0.25	24413677	MACC	\$1.75
												EX	12/15/2008 09:56:20	INTL		1,000	GGCRF	0.25	24413677		
												EX	12/15/2008 09:58:48	INTL		500	GGCRF	0.25	24413677		
												EX	12/15/2008 13:12:54	INTL		8,500	GGCRF	0.25	24413677		
314	12/15/2008	11:07:56	11:07:56	INTL	B	P	500	NSRGY	35.14	433	12/15/2008	NW	12/15/2008 11:05:55	INTL	B	150	NSRGY	35.20	D3897472	FCCP	\$9.00
												EX	12/15/2008 11:08:54	INTL		150	NSRGY	35.20	D3897472		
315	12/15/2008	12:46:17	12:46:17	INTL	S	P	364	EXPGY	6.32	434	11/05/2008	NW	11/05/2008 07:25:04	INTL	SL	150	EXPGY	6.25	D1271753	NFSC	\$10.50
												EX	12/15/2008 12:46:57	INTL		150	EXPGY	6.25	D1271753		
316	12/15/2008	13:09:51	13:09:51	INTL	S	P	186	YARIY	19.11	435	12/15/2008	NW	12/15/2008 12:49:02	INTL	SL	1,200	YARIY	19.06	D389782C	AGED	\$9.30
												EX	12/15/2008 13:10:16	INTL		200	YARIY	19.06	D389782C		
												EX	12/15/2008 15:02:39	INTL		200	YARIY	19.06	D389782C		
												EX	12/15/2008 15:07:29	INTL		200	YARIY	19.06	D389782C		
317	12/15/2008	13:39:28	13:39:27	INTL	S	P	386	VOLVY	4.78	436	12/15/2008	NW	12/15/2008 13:29:50	INTL	SL	477	VOLVY	4.75	D3897995	NFSC	\$11.58

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Part I: Trigger Trades										Part II: Customer Limit Orders											Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
349	12/18/2008	09:30:29	09:30:28	INTL	S	P	695	NSRGY	39.05	470	12/01/2008	EX	12/17/2008 15:51:50	INTL	SL	150	BNPQY	24.15	D3A803A9		
												NW	12/01/2008 07:25:03	INTL	SL	200	NSRGY	38.48	D283D1DC	NFSC	\$16.00
										471	12/17/2008	EX	12/18/2008 09:31:59	INTL	SL	200	NSRGY	38.97	D283D1DC		
												NW	12/17/2008 14:27:47	INTL	SL	100	NSRGY	38.11	D3A7FF22	NFSC	\$8.00
												EX	12/18/2008 09:31:53	INTL	SL	100	NSRGY	38.97	D3A7FF22		
										472	12/18/2008	NW	12/18/2008 07:59:41	INTL	SL	150	NSRGY	37.80	D3B73833	NFSC	\$12.00
												EX	12/18/2008 09:31:47	INTL	SL	150	NSRGY	38.97	D3B73833		
350	12/18/2008	09:59:58	09:59:58	INTL	B	P	124	BNPQY	23.50	473	12/18/2008	NW	12/18/2008 07:25:04	INTL	B	100	BNPQY	23.50	D3B73826	NFSC	n/a
												EX	12/18/2008 10:02:25	INTL		100	BNPQY	23.50	D3B73826		
351	12/18/2008	10:27:29	10:27:29	INTL	B	P	771	PMSEY	11.95	474	12/18/2008	NW	12/18/2008 09:51:03	INTL	B	200	PMSEY	12.00	D3B73ADC	NFSC	\$10.00
												EX	12/18/2008 10:27:51	INTL		200	PMSEY	12.00	D3B73ADC		
352	12/18/2008	10:29:47	10:29:46	INTL	B	P	200	NTDOY	47.80	475	12/16/2008	NW	12/16/2008 12:21:09	INTL	B	100	NTDOY	47.86	D398B963	NFSC	\$1.00
												EX	12/18/2008 10:33:52	INTL		100	NTDOY	47.81	D398B963		
353	12/18/2008	11:11:55	11:11:54	INTL	B	P	271	NSRGY	38.03	476	12/18/2008	NW	12/18/2008 10:11:52	INTL	B	2,000	NSRGY	38.10	D3B7383E	AGED	\$18.97
												EX	12/18/2008 11:12:28	INTL		500	NSRGY	38.10	D3B7383E		
												EX	12/18/2008 11:14:32	INTL		1,500	NSRGY	38.05	D3B7383E		
354	12/18/2008	11:39:29	11:39:28	INTL	B	P	223	SGAMY	2.82	477	12/18/2008	NW	12/18/2008 11:39:24	INTL	B	463	SGAMY	2.91	D3B73D80	MSCO	\$20.07
												EX	12/18/2008 11:39:44	INTL		463	SGAMY	2.91	D3B73D80		
355	12/18/2008	11:40:14	11:40:13	INTL	B	P	1,230	SGAMY	2.80	478	12/18/2008	NW	12/18/2008 11:40:00	INTL	B	24,245	SGAMY	2.91	D3B73D82	MSCO	\$61.50
												EX	12/18/2008 11:40:14	INTL		24,245	SGAMY	2.85	D3B73D82		
356	12/18/2008	12:30:31	12:30:31	INTL	B	P	500	NSRGY	37.41	479	12/18/2008	NW	12/18/2008 07:25:04	INTL	B	100	NSRGY	37.50	D3B7381E	NFSC	\$4.00
												EX	12/18/2008 12:30:41	INTL		100	NSRGY	37.45	D3B7381E		
357	12/18/2008	12:48:19	12:48:18	INTL	S	P	200	NTDOY	47.60	480	12/18/2008	NW	12/18/2008 12:47:41	INTL	SL	300	NTDOY	47.25	D3B7403F	NFSC	\$16.00
												EX	12/18/2008 12:49:24	INTL		300	NTDOY	47.52	D3B7403F		
358	12/19/2008	09:30:55	09:30:53	INTL	B	P	11,900	PALAF	1.56	481	12/16/2008	NW	12/16/2008 13:31:59	INTL	B	1,500	PALAF	1.60	D398B2C5	NFSC	\$60.00
												EX	12/19/2008 09:32:46	INTL		1,500	PALAF	1.60	D398B2C5		
										482	12/19/2008	NW	12/19/2008 07:25:01	INTL	B	200	PALAF	1.59	D3C67A43	NFSC	\$6.00
												EX	12/19/2008 09:32:48	INTL		200	PALAF	1.59	D3C67A43		
359	12/19/2008	09:31:09	09:31:08	INTL	B	P	700	NTDOY	47.80	483	12/19/2008	NW	12/19/2008 07:25:04	INTL	B	500	NTDOY	49.00	D3C67A5D	NFSC	\$150.00
												EX	12/19/2008 09:33:03	INTL		500	NTDOY	48.10	D3C67A5D		
360	12/19/2008	09:47:43	09:47:43	INTL	S	P	1,200	RHHBY	72.50	484	12/19/2008	NW	12/19/2008 09:40:06	INTL	SL	700	RHHBY	72.45	D3C67B18	MSCO	\$35.00
												EX	12/19/2008 09:48:10	INTL		200	RHHBY	72.45	D3C67B18		
												EX	12/19/2008 09:58:48	INTL		500	RHHBY	72.45	D3C67B18		
361	12/19/2008	10:31:26	10:31:26	INTL	B	P	1,250	NSRGY	36.86	485	12/19/2008	NW	12/19/2008 10:23:08	INTL	B	2,000	NSRGY	36.90	D3C67B4F	NFSC	\$50.00
												EX	12/19/2008 10:31:54	INTL		2,000	NSRGY	36.90	D3C67B4F		
										486	12/19/2008	NW	12/19/2008 10:27:41	INTL	B	100	NSRGY	36.90	D3C67C11	NFSC	n/a
												EX	12/19/2008 10:36:32	INTL		100	NSRGY	36.87	D3C67C11		
362	12/19/2008	11:03:52	11:03:51	INTL	B	P	150	NSRGY	36.75	487	12/19/2008	NW	12/19/2008 11:02:57	INTL	B	200	NSRGY	36.80	D3C67CF8	NFSC	\$7.50
												EX	12/19/2008 11:03:57	INTL		200	NSRGY	36.80	D3C67CF8		
363	12/19/2008	11:19:51	11:19:51	INTL	B	P	100	NSRGY	36.46	488	12/18/2008	NW	12/18/2008 11:11:58	INTL	B	100	NSRGY	36.50	D3B73CE8	NFSC	\$4.00
												EX	12/19/2008 11:19:57	INTL		100	NSRGY	36.50	D3B73CE8		
364	12/19/2008	11:45:16	11:45:15	INTL	B	P	325	BASFY	36.40	489	12/18/2008	NW	12/18/2008 09:43:51	INTL	B	375	BASFY	36.50	D3B73AAE	NFSC	\$32.50
												EX	12/19/2008 11:47:57	INTL		375	BASFY	36.50	D3B73AAE		
365	12/19/2008	15:32:56	15:32:56	INTL	B	P	7,073	HBOOY	0.89	490	12/19/2008	NW	12/19/2008 09:36:22	INTL	B	1,000	HBOOY	0.90	D3C67B11	NFSC	\$10.00
												EX	12/19/2008 15:33:08	INTL		1,000	HBOOY	0.90	D3C67B11		
366	12/19/2008	15:58:49	15:58:48	INTL	S	P	200	WMMVY	28.00	491	12/19/2008	NW	12/19/2008 11:13:25	INTL	SL	1,000	WMMVY	27.95	D3C67D40	NFSC	\$10.00
												EX	12/19/2008 15:59:23	INTL		200	WMMVY	27.95	D3C67D40		
367	12/22/2008	09:30:20	09:30:12	INTL	S	P	167	NSRGY	37.20	492	12/19/2008	NW	12/19/2008 15:54:05	INTL	SL	1,000	NSRGY	37.13	D3C687CC	NFSC	\$8.35
												EX	12/22/2008 09:31:24	INTL		1,000	NSRGY	37.15	D3C687CC		
368	12/22/2008	09:57:44	09:57:44	INTL	S	P	200	IMPUY	14.30	493	12/18/2008	NW	12/18/2008 07:25:04	INTL	SL	800	IMPUY	14.15	D3B73821	NFSC	\$30.00
												EX	12/22/2008 09:57:28	INTL		200	IMPUY	14.15	D3B73821		
												EX	12/22/2008 09:57:57	INTL		600	IMPUY	14.15	D3B73821		
369	12/22/2008	10:00:14	10:00:14	INTL	B	P	400	NSRGY	37.11	494	12/22/2008	NW	12/22/2008 09:52:04	INTL	B	100	NSRGY	37.23	D3F4426E	NFSC	\$12.00
												EX	12/22/2008 10:00:19	INTL		100	NSRGY	37.23	D3F4426E		
370	12/22/2008	12:04:47	12:04:46	INTL	S	P	101	TELNY	18.05	495	12/22/2008	NW									

Part I: Trigger Trades										Part II: Customer Limit Orders											Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
												EX	12/23/2008 13:38:26	INTL		1,500	AGIBY	0.31	D40386FC		
												EX	12/23/2008 14:01:15	INTL		6,000	AGIBY	0.31	D40386FC		
380	12/23/2008	14:20:51	14:20:51	INTL	S	P	100	NSRGY	37.50	506	12/23/2008	NW	12/23/2008 14:01:26	INTL	SL	320	NSRGY	37.49	D4038D37	NFSC	\$1.00
												EX	12/23/2008 14:21:00	INTL		320	NSRGY	37.49	D4038D37		
381	12/23/2008	14:21:00	14:21:00	INTL	B	P	320	NSRGY	37.49	507	12/23/2008	NW	12/23/2008 14:20:22	INTL	B	930	NSRGY	37.50	D4038E22	MSCO	\$3.20
												EX	12/23/2008 14:21:04	INTL		930	NSRGY	37.50	D4038E22		
382	12/23/2008	14:31:46	14:31:46	INTL	B	P	25,000	AGIBY	0.18	508	12/23/2008	NW	12/23/2008 14:30:45	INTL	B	20,000	AGIBY	0.20	D4038ED3	FCCP	\$400.00
												EX	12/23/2008 14:32:36	INTL		20,000	AGIBY	0.20	D4038ED3		
383	12/23/2008	14:59:40	14:59:40	INTL	B	P	50,000	AGIBY	0.16	509	12/23/2008	NW	12/23/2008 14:59:08	INTL	B	6,000	AGIBY	0.18	D403904E	NFSC	\$120.00
												EX	12/23/2008 15:00:52	INTL		6,000	AGIBY	0.18	D403904E		
384	12/23/2008	15:16:13	15:16:13	INTL	S	P	100	NSRGY	37.30	510	12/23/2008	NW	12/23/2008 15:11:37	INTL	SL	500	NSRGY	37.25	D4039123	NFSC	\$5.00
												EX	12/23/2008 15:16:42	INTL		500	NSRGY	37.25	D4039123		
385	12/23/2008	15:46:16	15:46:16	INTL	B	P	200	FANUY	34.15	511	12/23/2008	NW	12/23/2008 09:11:47	INTL	B	26,000	FANUY	34.25	BR5022	SBSH	\$20.00
												EX	12/23/2008 16:00:41	INTL		6,000	FANUY	34.25	BR5022		
386	12/23/2008	15:46:32	15:46:31	INTL	S	P	375	FSUMF	1.22	512	12/23/2008	NW	12/23/2008 15:43:46	INTL	SL	800	FSUMF	1.20	D40392CF	NFSC	\$7.50
												EX	12/23/2008 15:46:36	INTL		800	FSUMF	1.20	D40392CF		
387	12/24/2008	09:30:14	09:30:10	INTL	B	P	200	PCCWY	4.20	513	12/24/2008	NW	12/24/2008 08:16:26	INTL	B	7,000	PCCWY	4.35	D412C5D8	NFSC	\$30.00
												EX	12/24/2008 09:30:44	INTL		500	PCCWY	4.35	D412C5D8		
												EX	12/26/2008 11:19:06	INTL		6,500	PCCWY	4.35	D412C5D8		
388	12/24/2008	09:35:13	09:35:12	INTL	B	P	738	ZFSVY	20.25	514	12/24/2008	NW	12/24/2008 09:35:08	INTL	B	535	ZFSVY	20.40	D412C698	MSCO	\$80.25
												EX	12/24/2008 09:35:56	INTL		535	ZFSVY	20.40	D412C698		
389	12/24/2008	10:11:48	10:11:47	INTL	B	P	134	SCBFF	10.70	515	12/24/2008	NW	12/24/2008 08:04:25	INTL	B	300	SCBFF	10.90	D412C5BA	MLEX	\$26.80
												EX	12/24/2008 10:12:49	INTL		300	SCBFF	10.90	D412C5BA		
390	12/24/2008	11:04:40	11:04:40	INTL	S	P	184	WMMVY	27.80	516	12/24/2008	NW	12/24/2008 10:34:11	INTL	SL	1,000	WMMVY	27.75	D412C888	NFSC	\$9.20
												EX	12/24/2008 11:05:35	INTL		1,000	WMMVY	27.75	D412C888		
391	12/24/2008	11:24:28	11:24:26	INTL	B	P	459	STBUY	5.25	517	12/24/2008	NW	12/24/2008 11:24:18	INTL	B	1,120	STBUY	5.31	D412CABE	FCCP	\$9.18
												EX	12/24/2008 11:24:44	INTL		1,120	STBUY	5.27	D412CABE		
392	12/24/2008	12:03:12	12:03:12	INTL	B	P	100	NSRGY	37.59	518	12/24/2008	NW	12/24/2008 11:59:36	INTL	B	200	NSRGY	37.60	D412CC64	NFSC	\$1.00
												EX	12/24/2008 12:03:48	INTL		200	NSRGY	37.60	D412CC64		
393	12/26/2008	10:14:17	10:14:16	INTL	S	P	10,000	WMMVF	2.82	519	12/23/2008	NW	12/23/2008 13:16:28	INTL	SL	1,000	WMMVF	2.82	D4038AAE	NFSC	n/a
												EX	12/26/2008 10:28:02	INTL		1,000	WMMVF	2.82	D4038AAE		
										520	12/26/2008	NW	12/26/2008 07:25:03	INTL	SL	508	WMMVF	2.77	D4314A15	NFSC	\$15.24
												EX	12/26/2008 10:15:15	INTL		508	WMMVF	2.79	D4314A15		
394	12/26/2008	12:16:40	12:16:39	INTL	B	P	906	AGIBY	0.12	521	12/26/2008	NW	12/26/2008 12:00:20	INTL	B	10,000	AGIBY	0.13	D4314D9B	FCCP	\$9.06
												EX	12/26/2008 12:16:50	INTL		10,000	AGIBY	0.13	D4314D9B		
395	12/26/2008	14:21:44	14:21:44	INTL	S	P	217	MKGAY	28.65	522	12/26/2008	NW	12/26/2008 12:02:36	INTL	SL	100	MKGAY	28.15	D4314DBF	FCCP	\$50.00
												EX	12/26/2008 14:22:12	INTL		100	MKGAY	28.15	D4314DBF		
396	12/26/2008	14:26:55	14:26:55	INTL	B	P	7,500	SKHSY	8.20	523	12/26/2008	NW	12/26/2008 14:26:35	INTL	B	204	SKHSY	8.30	D4315288	MSCO	\$4.08
												EX	12/26/2008 14:27:12	INTL		204	SKHSY	8.22	D4315288		
397	12/26/2008	14:33:23	14:33:23	INTL	B	P	105	PMSEY	12.50	524	12/26/2008	NW	12/26/2008 14:30:43	INTL	B	141	PMSEY	12.78	D43152DA	FCCP	\$10.50
												EX	12/26/2008 14:33:27	INTL		141	PMSEY	12.60	D43152DA		
398	12/29/2008	09:30:08	09:30:05	INTL	B	P	300	FSUMF	1.19	525	12/29/2008	NW	12/29/2008 07:25:03	INTL	B	1,000	FSUMF	1.20	D45F10DD	NFSC	\$3.00
												EX	12/29/2008 09:31:04	INTL		1,000	FSUMF	1.20	D45F10DD		
399	12/29/2008	09:32:50	09:32:50	INTL	S	P	165	SMFJY	4.10	526	12/29/2008	NW	12/29/2008 07:25:04	INTL	SL	700	SMFJY	4.09	D45F10F2	NFSC	\$1.65
												EX	12/29/2008 09:33:19	INTL		700	SMFJY	4.09	D45F10F2		
400	12/29/2008	09:56:18	09:56:17	INTL	B	P	200	NTDOY	45.95	527	12/29/2008	NW	12/29/2008 07:37:33	INTL	B	100	NTDOY	46.00	D45F1104	NFSC	\$5.00
												EX	12/29/2008 09:56:21	INTL		100	NTDOY	46.00	D45F1104		
401	12/29/2008	10:20:41	10:20:40	INTL	B	P	373	AGIBY	0.11	528	12/29/2008	NW	12/29/2008 10:20:31	INTL	B	7,000	AGIBY	0.13	D45F12DB	NFSC	n/a
												CL	12/29/2008 10:32:34	INTL		7,000	AGIBY		D45F12DB		
										529	12/29/2008	NW	12/29/2008 09:47:19	INTL	B	10,000	AGIBY	0.13	D45F11EE	FCCP	\$7.46
												EX	12/29/2008 10:22:00	INTL		10,000	AGIBY	0.13	D45F11EE		
402	12/29/2008	13:12:58	13:12:57	INTL	B	P	126	ALBKY	1.95	530	12/29/2008	NW	12/29/2008 07:25:02	INTL	B	10,000	ALBKY	2.00	D45F10CF	NFSC	\$6.30
												EX	12/29/2008 13:13:53	INTL		500	ALBKY	2.00	D45F10CF		
403	12/29/2008	13:31:36	13:31:35	INTL	S	P	288	CHEUY	9.30	531	12/29/2008	NW	12/29/2008 13:29:55	INTL	SL	5,000	CHEUY	9.29	D45F1971	NFSC	\$2.

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Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount		
445	01/02/2009	14:49:16	14:49:14	INTL	S	P	100	HINKY	16.00	579	12/22/2008	NW	12/22/2008 10:04:52	INTL	SL	1,000	HINKY	15.99	D3F4429D	NFSC	\$1.00		
												EX	01/02/2009 14:49:31	INTL		200	HINKY	15.99	D3F4429D				
												CL	01/05/2009 10:26:17	INTL		800	HINKY		D3F4429D				
446	01/02/2009	15:02:47	15:02:45	INTL	S	P	10,000	CHEUY	10.23	580	01/02/2009	NW	01/02/2009 14:12:30	INTL	SL	2,500	CHEUY	10.14	D6A2EC6A	NFSC	\$225.00		
												EX	01/02/2009 15:03:16	INTL		2,500	CHEUY	10.14	D6A2EC6A				
447	01/02/2009	15:59:15	15:59:13	INTL	B	P	200	NTDOY	48.00	581	01/02/2009	NW	01/02/2009 14:47:21	INTL	B	1,000	NTDOY	48.02	D6A2ED36	NFSC	\$4.00		
												EX	01/02/2009 15:59:20	INTL		1,000	NTDOY	48.02	D6A2ED36				
448	01/05/2009	09:32:08	09:32:07	INTL	S	P	400	FSUMF	1.52	582	01/05/2009	NW	01/05/2009 09:07:13	INTL	SL	5,970	FSUMF	1.50	D6D0AD8E	NFSC	\$8.00		
												EX	01/05/2009 09:32:15	INTL		5,970	FSUMF	1.50	D6D0AD8E				
449	01/05/2009	09:32:30	09:32:29	INTL	B	P	200	NELTY	4.30	583	01/05/2009	NW	01/05/2009 08:28:43	INTL	B	2,000	NELTY	4.50	D6D0AD7D	NFSC	\$40.00		
												EX	01/05/2009 09:33:32	INTL		2,000	NELTY	4.50	D6D0AD7D				
450	01/05/2009	10:03:59	10:03:59	INTL	B	P	631	SSDOY	20.10	584	01/05/2009	NW	01/05/2009 09:45:53	INTL	B	215	SSDOY	20.12	D6D0AE3D	FCCP	\$4.30		
												EX	01/05/2009 10:04:08	INTL		215	SSDOY	20.12	D6D0AE3D				
451	01/05/2009	12:54:52	12:54:51	INTL	B	P	400	ASGLY	5.25	585	12/30/2008	NW	12/30/2008 12:31:22	INTL	B	750	ASGLY	5.30	D46E59C6	NFSC	\$20.00		
												EX	01/05/2009 12:55:10	INTL		750	ASGLY	5.30	D46E59C6				
452	01/05/2009	14:26:05	14:26:04	INTL	B	P	104	NSRGY	38.35	586	01/05/2009	NW	01/05/2009 14:18:51	INTL	B	100	NSRGY	38.45	D6D0B53A	NFSC	\$10.00		
												EX	01/05/2009 14:26:45	INTL		100	NSRGY	38.45	D6D0B53A				
453	01/06/2009	09:32:22	09:32:21	INTL	B	P	804	IMPUY	15.97	587	01/05/2009	NW	01/05/2009 15:52:18	INTL	B	200	IMPUY	16.35	D6D0B846	NFSC	\$54.00		
												EX	01/06/2009 09:32:34	INTL		200	IMPUY	16.24	D6D0B846				
										588	01/06/2009	NW	01/06/2009 08:41:31	INTL	B	200	IMPUY	17.00	D6D0FEFA4	NFSC	\$54.00		
												EX	01/06/2009 09:32:35	INTL		200	IMPUY	16.24	D6D0FEFA4				
454	01/06/2009	09:48:31	09:48:31	INTL	S	P	200	CHEUY	10.64	589	01/05/2009	NW	01/05/2009 15:50:46	INTL	SL	150	CHEUY	10.50	D6D0B83E	NFSC	\$6.00		
												EX	01/06/2009 09:48:47	INTL		150	CHEUY	10.60	D6D0B83E				
455	01/06/2009	10:13:47	10:13:47	INTL	B	P	110	PMSEY	12.07	590	01/06/2009	NW	01/06/2009 10:02:45	INTL	B	524	PMSEY	12.20	D6DFFD08	FCCP	\$14.30		
												EX	01/06/2009 10:15:15	INTL		524	PMSEY	12.20	D6DFFD08				
456	01/06/2009	10:26:07	10:26:07	INTL	B	P	132	IMPUY	15.90	591	01/06/2009	NW	01/06/2009 10:20:49	INTL	B	100	IMPUY	16.00	D6DFF116	NFSC	n/a		
												EX	01/06/2009 11:13:55	INTL		100	IMPUY	16.00	D6DFF116				
										592	01/06/2009	NW	01/06/2009 09:48:55	INTL	B	1,000	IMPUY	16.02	D6DFF07A	NFSC	\$15.84		
												EX	01/06/2009 10:26:37	INTL		200	IMPUY	16.02	D6DFF07A				
												EX	01/06/2009 11:14:50	INTL		800	IMPUY	16.00	D6DFF07A				
457	01/06/2009	10:31:50	10:31:49	INTL	S	P	1,000	HKXCF	10.90	593	12/10/2008	NW	12/10/2008 15:33:58	INTL	SL	400	HKXCF	10.70	D33D3460	MOKE	\$80.00		
												EX	01/06/2009 10:31:56	INTL		400	HKXCF	10.70	D33D3460				
458	01/06/2009	10:48:34	10:48:33	INTL	B	P	200	OGZPY	17.95	594	01/06/2009	NW	01/06/2009 10:47:39	INTL	B	200	OGZPY	18.00	D6DFF184	AGED	\$10.00		
												EX	01/06/2009 10:49:03	INTL		200	OGZPY	18.00	D6DFF184				
459	01/06/2009	10:58:56	10:58:55	INTL	B	P	1,500	PGSVY	4.50	595	01/06/2009	NW	01/06/2009 10:48:04	INTL	B	400	PGSVY	4.60	D6DFF186	NFSC	\$40.00		
												EX	01/06/2009 10:59:05	INTL		400	PGSVY	4.60	D6DFF186				
460	01/06/2009	12:45:21	12:45:20	INTL	S	P	1,805	AQPTY	6.85	596	01/06/2009	NW	01/06/2009 10:10:07	INTL	SL	220	AQPTY	6.76	D6DFF0F3	NFSC	\$19.80		
												EX	01/06/2009 12:46:03	INTL		220	AQPTY	6.76	D6DFF0F3				
461	01/06/2009	13:07:49	13:07:48	INTL	S	P	500	NSRGY	37.95	597	01/06/2009	NW	01/06/2009 12:14:43	INTL	SL	700	NSRGY	37.93	D6DFF38E	NFSC	\$10.00		
												EX	01/06/2009 13:09:01	INTL		700	NSRGY	37.93	D6DFF38E				
462	01/06/2009	13:13:37	13:13:36	INTL	B	P	150	VWSYF	63.00	598	01/06/2009	NW	01/06/2009 12:49:57	INTL	B	100	VWSYF	64.25	D6DFF488	NFSC	\$125.00		
												EX	01/06/2009 13:15:25	INTL		100	VWSYF	64.25	D6DFF488				
463	01/06/2009	15:09:18	15:09:17	INTL	S	P	200	NSRGY	38.10	599	01/06/2009	NW	01/06/2009 15:06:50	INTL	SL	149	NSRGY	38.05	D6DFF82B	NFSC	\$7.45		
												EX	01/06/2009 15:10:06	INTL		149	NSRGY	38.05	D6DFF82B				
464	01/06/2009	15:30:49	15:30:49	INTL	B	P	524	NSRGY	38.00	600	01/06/2009	NW	01/06/2009 15:30:22	INTL	B	2,500	NSRGY	38.06	D6DFF952	NFSC	\$31.44		
												EX	01/06/2009 15:31:34	INTL		750	NSRGY	38.06	D6DFF952				
												EX	01/06/2009 15:31:43	INTL		1,750	NSRGY	38.06	D6DFF952				
465	01/07/2009	09:33:18	09:33:18	INTL	S	P	300	MQBKY	24.30	601	01/06/2009	NW	01/06/2009 07:25:00	INTL	SL	400	MQBKY	23.00	D6DFF44	NFSC	\$90.00		
												EX	01/07/2009 09:33:51	INTL		400	MQBKY	24.00	D6DFF44				
466	01/07/2009	10:04:56	10:04:56	INTL	B	P	200	NCMGY	21.02	602	01/07/2009	NW	01/07/2009 10:03:09	INTL	B	100	NCMGY	21.25	D6EF32E9	NFSC	\$18.00		
												EX	01/07/2009 10:05:22	INTL		100	NCMGY	21.20	D6EF32E9				
467	01/07/2009	10:10:05	10:10:05	INTL	B	P	600	NCMGY	20.90	603	01/02/2009	NW	01/02/2009 07:48:35	INTL	B	100	NCMGY	21.00	D6A2E67A	NFSC	\$10.00		
												EX	01/07/2009 10:10:45	INTL		100	NCMGY	21.00	D6A2E67A				
										604	01/07/2009	NW	01/07/2009 10:09:26	INTL	B	100	NCMGY	21.00	D6EF32F2	NFSC	\$10.00		
												EX											

Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount		
										617	01/06/2009	EX	01/09/2009 09:30:53	INTL	B	100	NSRGY	36.93	D6DFF7EF				
												NW	01/06/2009 13:17:36	INTL	B	200	NSRGY	37.50	D6DFF59B	NFSC	n/a		
												EX	01/09/2009 09:30:54	INTL		200	NSRGY	36.93	D6DFF59B				
										618	01/07/2009	NW	01/07/2009 11:34:10	INTL	B	1,000	NSRGY	37.00	D6EF34AA	NFSC	n/a		
												EX	01/09/2009 09:30:54	INTL		1,000	NSRGY	36.93	D6EF34AA				
										619	01/07/2009	NW	01/07/2009 12:52:02	INTL	B	3,000	NSRGY	37.00	D6EF3703	NFSC	n/a		
												EX	01/09/2009 09:30:54	INTL		3,000	NSRGY	36.93	D6EF3703				
										620	01/07/2009	NW	01/07/2009 12:31:36	INTL	B	100	NSRGY	38.00	D6EF36B1	NFSC	n/a		
												EX	01/09/2009 09:30:54	INTL		100	NSRGY	36.93	D6EF36B1				
										621	01/07/2009	NW	01/07/2009 11:56:33	INTL	B	100	NSRGY	38.00	D6EF3538	NFSC	n/a		
												EX	01/09/2009 09:30:53	INTL		100	NSRGY	36.93	D6EF3538				
										622	01/07/2009	NW	01/07/2009 12:01:48	INTL	B	1,000	NSRGY	38.10	D6EF3550	NFSC	n/a		
												EX	01/09/2009 09:30:53	INTL		1,000	NSRGY	36.93	D6EF3550				
										623	01/08/2009	NW	01/08/2009 13:41:02	INTL	B	135	NSRGY	37.00	D6FE79A0	NFSC	\$4.05		
												EX	01/09/2009 09:30:52	INTL		135	NSRGY	36.93	D6FE79A0				
478	01/09/2009	09:40:36	09:40:35	INTL	S	P	200	PALAF	2.11	624	01/09/2009	NW	01/09/2009 09:38:06	INTL	SL	5,000	PALAF	2.09	D70DB6B3	NFSC	\$4.00		
												EX	01/09/2009 09:40:51	INTL		200	PALAF	2.09	D70DB6B3				
												CL	01/09/2009 09:58:56	INTL		4,800	PALAF		D70DB6B3				
479	01/09/2009	09:43:33	09:43:32	INTL	B	P	1,400	BASFY	35.26	625	01/07/2009	NW	01/07/2009 09:32:55	INTL	B	300	BASFY	35.50	D6EF3289	NFSC	\$57.00		
												EX	01/09/2009 09:45:15	INTL		300	BASFY	35.45	D6EF3289				
480	01/09/2009	09:57:01	09:57:01	INTL	B	P	400	NSRGY	36.40	626	01/09/2009	NW	01/09/2009 08:11:38	INTL	B	300	NSRGY	36.50	D70DB646	MSCO	\$12.00		
												EX	01/09/2009 09:58:55	INTL		300	NSRGY	36.44	D70DB646				
481	01/09/2009	12:02:13	12:02:12	INTL	B	P	219	NSRGY	36.35	627	01/09/2009	NW	01/09/2009 11:59:57	INTL	B	500	NSRGY	36.36	D70DBA0A	NFSC	n/a		
												EX	01/09/2009 12:13:05	INTL		200	NSRGY	36.36	D70DBA0A				
												EX	01/09/2009 12:50:37	INTL		300	NSRGY	36.36	D70DBA0A				
										628	01/09/2009	NW	01/09/2009 11:57:01	INTL	B	500	NSRGY	36.40	D70DB9FD	NFSC	\$10.95		
												EX	01/09/2009 12:03:05	INTL		500	NSRGY	36.40	D70DB9FD				
482	01/09/2009	12:12:43	12:12:43	INTL	B	P	100	NSRGY	36.35	629	01/09/2009	NW	01/09/2009 11:59:57	INTL	B	500	NSRGY	36.36	D70DBA0A	NFSC	\$1.00		
												EX	01/09/2009 12:13:05	INTL		200	NSRGY	36.36	D70DBA0A				
												EX	01/09/2009 12:50:37	INTL		300	NSRGY	36.36	D70DBA0A				
483	01/09/2009	13:17:09	13:17:08	INTL	B	P	129	NSRGY	36.36	630	01/09/2009	NW	01/09/2009 13:03:10	INTL	B	300	NSRGY	36.40	D70DBC51	NFSC	\$5.16		
												EX	01/09/2009 13:17:45	INTL		300	NSRGY	36.40	D70DBC51				
484**	01/09/2009	14:08:56	14:08:56	INTL	B	P	2,300	RHHBY	38.50	631	12/31/2008	NW	12/31/2008 07:25:02	INTL	B	100	RHHBY	70.00	D47D954F	NFSC	n/a		
												CL	01/09/2009 14:56:18	INTL		200	RHHBY		D47D954F				
										632	01/08/2009	NW	01/08/2009 14:10:12	INTL	B	500	RHHBY	76.08	D6FE7A62	NFSC	n/a		
												CL	01/12/2009 09:02:38	INTL		1,000	RHHBY		D6FE7A62				
485	01/09/2009	14:47:17	14:47:16	INTL	B	P	240	SKHSY	8.95	633	01/09/2009	NW	01/09/2009 14:47:12	INTL	B	451	SKHSY	9.16	D70DBEDF	MSCO	\$50.40		
												EX	01/09/2009 14:47:47	INTL		451	SKHSY	9.16	D70DBEDF				
486	01/09/2009	15:57:35	15:57:35	INTL	B	P	500	FSUMF	1.46	634	01/09/2009	NW	01/09/2009 15:16:53	INTL	B	5,000	FSUMF	1.50	D70DC006	NFSC	\$20.00		
												EX	01/09/2009 15:57:40	INTL		5,000	FSUMF	1.50	D70DC006				
487	01/12/2009	09:31:10	09:31:09	INTL	B	P	800	RHHBY	37.25	635	01/12/2009	NW	01/12/2009 08:11:38	INTL	B	400	RHHBY	37.75	D73B7CF2	MSCO	\$60.00		
												EX	01/12/2009 09:31:56	INTL		400	RHHBY	37.40	D73B7CF2				
										636	01/12/2009	NW	01/12/2009 09:16:04	INTL	B	100	RHHBY	38.10	D73B7D68	NFSC	\$15.00		
												EX	01/12/2009 09:32:20	INTL		100	RHHBY	37.40	D73B7D68				
488	01/12/2009	09:49:06	09:49:06	INTL	S	P	1,487	NSRGY	35.94	637	01/12/2009	NW	01/12/2009 09:48:56	INTL	SL	1,300	NSRGY	35.84	D73B7D88	NFSC	\$117.00		
												EX	01/12/2009 09:49:25	INTL		1,300	NSRGY	35.85	D73B7D88				
489	01/12/2009	10:36:51	10:36:50	INTL	S	P	449	NSRGY	35.89	638	01/12/2009	NW	01/12/2009 10:17:04	INTL	SL	100	NSRGY	35.81	D73B7E2C	NFSC	\$8.00		
												EX	01/12/2009 10:36:53	INTL		100	NSRGY	35.81	D73B7E2C				
490	01/12/2009	11:38:44	11:38:43	INTL	B	P	1,158	BASFY	33.96	639	01/12/2009	NW	01/12/2009 11:31:55	INTL	B	700	BASFY	34.00	D73B800E	NFSC	\$28.00		
												EX	01/12/2009 11:39:59	INTL		200	BASFY	34.00	D73B800E				
												EX	01/12/2009 11:48:48	INTL		500	BASFY	34.00	D73B800E				
491	01/12/2009	11:59:51	11:59:51	INTL	S	P	2,500	NSRGY	36.25	640	01/12/2009	NW	01/12/2009 11:56:56	INTL	SL	750	NSRGY	36.20	D73B80C2	NFSC	\$37.50		
												EX	01/12/2009 12:00:00	INTL		750	NSRGY	36.20	D73B80C2				
492	01/12/2009	14:10:57	14:10:56	INTL	B	P	200	NTDOY	45.61	641	01/12/2009	NW	01/12/2009 13:49:24	INTL	B	100	NTDOY	45.65	D73B840E	NFSC	\$4.00		
												EX	01/12/2009 14:11:06	INTL		100	NTDOY	45.65	D73B840E				
493	01/12/2009	14:23:00	14:23:00	INTL	B	P	5,000	AGIBY	0.46	642	01/12/2009	NW	01/12/2009 14:12:01	INTL	B	5,347	AGIBY	0.48	D73B84E5	NFSC	\$100.00		
												EX	01/12/2009 14:23:34	INTL		5,347	AGIBY	0.48	D73B84E5				
494	01/12/2009																						

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
503	01/13/2009	14:35:48	14:35:48	INTL	S	P	501	BASFY	33.51	654	01/13/2009	EX	01/13/2009 14:04:48	INTL		100	NSRGY	35.94	D74AC687		
												NW	01/13/2009 13:51:31	INTL	SL	300	BASFY	33.50	D74AC65E	NFSC	\$3.00
504	01/14/2009	09:50:27	09:50:27	INTL	B	P	102	NSRGY	35.35	655	01/14/2009	EX	01/13/2009 14:36:03	INTL		300	BASFY	33.50	D74AC65E		
												NW	01/14/2009 08:49:02	INTL	B	100	NSRGY	35.40	D75A01B2	NFSC	\$5.00
505	01/14/2009	10:35:24	10:35:23	INTL	B	P	200	IMPUY	11.85	656	01/14/2009	EX	01/14/2009 09:50:52	INTL		100	NSRGY	35.40	D75A01B2		
												NW	01/14/2009 10:34:00	INTL	B	100	IMPUY	12.50	D75A02F0	NFSC	\$10.00
506	01/14/2009	10:45:13	10:45:13	INTL	B	P	500	LNUGY	3.75	657	01/14/2009	EX	01/14/2009 10:37:59	INTL		100	IMPUY	11.95	D75A02F0		
												NW	01/14/2009 10:06:33	INTL	B	1,000	LNUGY	3.80	D75A0243	NFSC	\$25.00
										658	01/14/2009	EX	01/14/2009 10:45:28	INTL		1,000	LNUGY	3.80	D75A0243		
												NW	01/14/2009 10:16:06	INTL	B	750	LNUGY	3.80	D75A0265	NFSC	n/a
507	01/14/2009	11:10:43	11:10:42	INTL	B	P	117	VOLVY	4.15	659	01/14/2009	CL	01/14/2009 11:10:49	INTL		750	LNUGY		D75A0265		
												NW	12/19/2008 09:52:48	INTL	B	1,850	VOLVY	4.20	D3C6786A	NFSC	\$5.85
												EX	01/14/2009 11:10:50	INTL		250	VOLVY	4.20	D3C6786A		
												EX	01/14/2009 11:14:20	INTL		200	VOLVY	4.20	D3C6786A		
												EX	01/14/2009 11:19:05	INTL		1,400	VOLVY	4.20	D3C6786A		
508	01/14/2009	11:13:48	11:13:47	INTL	B	P	317	NSRGY	34.77	660	01/08/2009	NW	01/08/2009 13:40:23	INTL	B	100	NSRGY	34.93	D6FE799E	NFSC	\$6.00
												EX	01/14/2009 11:14:32	INTL		100	NSRGY	34.83	D6FE799E		
509	01/14/2009	11:14:11	11:14:11	INTL	B	P	105	VOLVY	4.16	661	12/19/2008	NW	12/19/2008 09:52:48	INTL	B	1,850	VOLVY	4.20	D3C6786A	NFSC	\$4.20
												EX	01/14/2009 11:10:50	INTL		250	VOLVY	4.20	D3C6786A		
												EX	01/14/2009 11:14:20	INTL		200	VOLVY	4.20	D3C6786A		
												EX	01/14/2009 11:19:05	INTL		1,400	VOLVY	4.20	D3C6786A		
510	01/14/2009	14:01:41	14:01:41	INTL	B	P	237	NSRGY	35.05	662	01/14/2009	NW	01/14/2009 13:53:01	INTL	B	200	NSRGY	35.10	D75A070E	NFSC	\$10.00
												EX	01/14/2009 14:01:47	INTL		200	NSRGY	35.10	D75A070E		
511	01/14/2009	14:17:55	14:17:54	INTL	S	P	400	RHHBY	38.00	663	01/14/2009	NW	01/14/2009 14:01:35	INTL	SL	300	RHHBY	37.90	D75A0808	NFSC	\$30.00
												EX	01/14/2009 14:17:59	INTL		300	RHHBY	37.90	D75A0808		
512	01/14/2009	15:03:02	15:03:02	INTL	B	P	200	NTDOY	44.90	664	01/14/2009	NW	01/14/2009 14:49:09	INTL	B	100	NTDOY	44.95	D75A099C	NFSC	\$5.00
												EX	01/14/2009 15:03:07	INTL		100	NTDOY	44.95	D75A099C		
513	01/14/2009	15:38:47	15:38:47	INTL	B	P	100	NSRGY	35.15	665	01/14/2009	NW	01/14/2009 15:36:16	INTL	B	500	NSRGY	35.30	D75A08AF	MSCO	\$15.00
												EX	01/14/2009 15:38:54	INTL		500	NSRGY	35.30	D75A08AF		
514	01/15/2009	09:30:17	09:30:12	INTL	B	P	1,700	CHEUY	9.00	666	12/31/2008	NW	12/31/2008 14:29:08	INTL	B	100	CHEUY	9.01	D47DA005	NFSC	\$1.00
												EX	01/15/2009 09:30:28	INTL		100	CHEUY	9.01	D47DA005		
										667	01/13/2009	NW	01/13/2009 13:03:23	INTL	B	1,000	CHEUY	9.10	D74AC55F	FCCP	\$100.00
												EX	01/15/2009 09:31:42	INTL		1,000	CHEUY	9.10	D74AC55F		
515	01/15/2009	09:51:09	09:51:08	INTL	B	P	2,625	FBRWY	3.40	668	12/29/2008	NW	12/29/2008 07:25:01	INTL	B	250	FBRWY	3.45	D45F10CD	NFSC	\$12.50
												EX	01/15/2009 09:51:58	INTL		250	FBRWY	3.45	D45F10CD		
516	01/15/2009	10:07:39	10:07:39	INTL	B	P	1,735	AGIBY	0.30	669	01/15/2009	NW	01/15/2009 09:10:42	INTL	B	2,000	AGIBY	0.31	D7694408	NFSC	\$17.35
												EX	01/15/2009 10:08:00	INTL		2,000	AGIBY	0.31	D7694408		
517	01/15/2009	11:05:23	11:05:23	INTL	B	P	182	SSDOY	19.08	670	01/15/2009	NW	01/15/2009 11:05:18	INTL	B	1,121	SSDOY	19.51	D7694649	MSCO	\$7.28
												EX	01/15/2009 11:05:26	INTL		1,121	SSDOY	19.12	D7694649		
518	01/15/2009	12:18:15	12:18:15	INTL	B	P	279	SSDOY	19.05	671	01/15/2009	NW	01/15/2009 12:17:59	INTL	B	542	SSDOY	19.51	D7694823	MSCO	\$8.37
												EX	01/15/2009 12:18:16	INTL		542	SSDOY	19.08	D7694823		
519	01/15/2009	12:24:01	12:24:01	INTL	B	P	500	LNUGY	3.75	672	01/15/2009	NW	01/15/2009 10:39:26	INTL	B	1,000	LNUGY	3.75	D76945A2	NFSC	n/a
												EX	01/15/2009 12:26:21	INTL		1,000	LNUGY	3.75	D76945A2		
520	01/15/2009	13:28:52	13:28:52	INTL	B	P	446	SSDOY	19.02	673	01/15/2009	NW	01/15/2009 13:28:27	INTL	B	1,373	SSDOY	19.52	D7694A35	MSCO	\$31.22
												EX	01/15/2009 13:29:30	INTL		1,373	SSDOY	19.09	D7694A35		
521	01/15/2009	14:15:33	14:15:32	INTL	B	P	500	NSRGY	35.63	674	01/15/2009	NW	01/15/2009 14:15:20	INTL	B	500	NSRGY	35.80	D769485B	NFSC	\$85.00
												EX	01/15/2009 14:15:48	INTL		500	NSRGY	35.80	D769485B		
522	01/15/2009	14:15:39	14:15:39	INTL	B	P	222	NSRGY	35.63	675	01/15/2009	NW	01/15/2009 14:15:20	INTL	B	500	NSRGY	35.80	D769485B	NFSC	\$37.74
												EX	01/15/2009 14:15:48	INTL		500	NSRGY	35.80	D769485B		
523	01/15/2009	15:12:00	15:12:00	INTL	B	P	5,000	AGIBY	0.27	676	01/07/2009	NW	01/07/2009 08:54:13	INTL	B	1,000	AGIBY	0.28	D6EF31ED	NFSC	n/a
												EX	01/15/2009 15:12:23	INTL		1,000	AGIBY	0.28	D6EF31ED		
										677	01/15/2009	NW	01/15/2009 12:31:08	INTL	B	1,000	AGIBY	0.30	D7694915	NFSC	n/a
												EX	01/15/2009 15:12:16	INTL		1,000	AGIBY	0.30	D7694915		
										678	01/15/2009	NW	01/15/2009 12:01:50	INTL	B	7,800	AGIBY	0.31	D769479F	NFSC	\$200.00
												EX	01/15/2009 15:12:12	INTL		7,800	AGIBY	0.31	D769479F		
524	01/16/2009	09:58:18	09:58:15																		

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Part I: Trigger Trades										Part II: Customer Limit Orders											Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
585	01/28/2009	14:32:40	14:32:39	INTL	B	P	139	NSRGY	34.90	764	01/28/2009	NW	01/28/2009 11:24:11	INTL	B	100	NSRGY	35.00	D82FA38B	NFSC	\$10.00
												EX	01/28/2009 14:32:51	INTL		100	NSRGY	35.00	D82FA38B		
										765	01/28/2009	NW	01/28/2009 14:32:35	INTL	B	500	NSRGY	35.00	D82FA848	NFSC	\$3.90
												EX	01/28/2009 14:32:55	INTL		500	NSRGY	35.00	D82FA848		
586	01/28/2009	15:19:59	15:19:58	INTL	S	P	444	NSRGY	35.03	766	01/28/2009	NW	01/28/2009 15:13:19	INTL	SL	287	NSRGY	35.00	D82FA9B7	AGED	\$8.61
												EX	01/28/2009 15:20:12	INTL		287	NSRGY	35.00	D82FA9B7		
587	01/28/2009	15:20:07	15:20:07	INTL	S	P	145	KCDMY	16.20	767	01/28/2009	NW	01/28/2009 13:15:29	INTL	SL	100	KCDMY	16.10	D82FA666	NFSC	\$10.00
												EX	01/28/2009 15:20:15	INTL		100	KCDMY	16.10	D82FA666		
588	01/28/2009	15:22:58	15:22:57	INTL	B	P	345	RBGPY	7.87	768	01/28/2009	NW	01/28/2009 14:28:52	INTL	B	3,643	RBGPY	7.91	D82FA812	FCCP	\$13.80
												EX	01/28/2009 15:23:07	INTL		643	RBGPY	7.91	D82FA812		
												EX	01/28/2009 15:31:37	INTL		3,000	RBGPY	7.91	D82FA812		
589	01/28/2009	15:26:28	15:26:27	INTL	B	P	500	FSUMF	1.20	769	01/28/2009	NW	01/28/2009 12:05:07	INTL	B	3,500	FSUMF	1.21	D82FA498	NFSC	\$5.00
												EX	01/28/2009 15:26:41	INTL		3,500	FSUMF	1.21	D82FA498		
590	01/29/2009	10:30:59	10:30:58	INTL	B	P	132	NSRGY	35.30	770	01/29/2009	NW	01/29/2009 10:19:04	INTL	B	700	NSRGY	35.35	D83EE41D	FCCP	\$6.60
												EX	01/29/2009 10:19:04	INTL		500	NSRGY	35.34	D83EE41D		
												EX	01/29/2009 10:31:39	INTL		200	NSRGY	35.35	D83EE41D		
591	01/29/2009	11:22:07	11:22:06	INTL	B	P	600	UEYCF	1.4495	771	01/29/2009	NW	01/29/2009 11:21:46	INTL	B	1,000	UEYCF	1.46	D83EE58A	MLEX	\$6.30
												EX	01/29/2009 11:22:18	INTL		1,000	UEYCF	1.46	D83EE58A		
592	01/29/2009	12:00:43	12:00:42	INTL	B	P	200	NSRGY	34.95	772	01/29/2009	NW	01/29/2009 07:25:02	INTL	B	200	NSRGY	35.00	D83EE314	NFSC	\$10.00
												EX	01/29/2009 12:03:02	INTL		200	NSRGY	35.00	D83EE314		
										773	01/29/2009	NW	01/29/2009 09:46:49	INTL	B	100	NSRGY	35.00	D83EE3C3	NFSC	n/a
												EX	01/29/2009 12:03:06	INTL		100	NSRGY	35.00	D83EE3C3		
593	01/29/2009	12:01:09	12:01:09	INTL	B	P	300	NSRGY	34.92	774	01/29/2009	NW	01/29/2009 07:25:02	INTL	B	200	NSRGY	35.00	D83EE314	NFSC	n/a
												EX	01/29/2009 12:03:02	INTL		200	NSRGY	35.00	D83EE314		
										775	01/29/2009	NW	01/29/2009 09:46:49	INTL	B	100	NSRGY	35.00	D83EE3C3	NFSC	\$8.00
												EX	01/29/2009 12:03:06	INTL		100	NSRGY	35.00	D83EE3C3		
594	01/29/2009	12:13:25	12:13:25	INTL	B	P	400	PGSVY	3.35	776	01/29/2009	NW	01/29/2009 09:19:38	INTL	B	500	PGSVY	3.40	D83EE38B	NFSC	\$20.00
												EX	01/29/2009 12:14:20	INTL		500	PGSVY	3.40	D83EE38B		
595	01/29/2009	12:16:24	12:16:24	INTL	B	P	289	NSRGY	34.80	777	01/26/2009	NW	01/26/2009 07:25:00	INTL	B	100	NSRGY	34.85	D8111C43	NFSC	\$5.00
												EX	01/29/2009 12:20:52	INTL		100	NSRGY	34.85	D8111C43		
596	01/29/2009	12:46:54	12:46:54	INTL	S	P	110	NSRGY	34.91	778	01/29/2009	NW	01/29/2009 12:23:58	INTL	SL	287	NSRGY	34.90	D83EE7C4	NFSC	\$1.10
												EX	01/29/2009 12:47:26	INTL		287	NSRGY	34.90	D83EE7C4		
597	01/29/2009	12:54:42	12:54:40	INTL	B	P	200	UOVEY	15.82	779	01/29/2009	NW	01/29/2009 11:00:23	INTL	B	100	UOVEY	15.84	D83EE51A	NFSC	\$2.00
												EX	01/29/2009 12:54:53	INTL		100	UOVEY	15.84	D83EE51A		
598	01/29/2009	14:04:25	14:04:24	INTL	B	P	195	BASFY	30.29	780	01/29/2009	NW	01/29/2009 13:22:41	INTL	B	200	BASFY	30.35	D83EE8BD	NFSC	\$11.70
												EX	01/29/2009 14:04:29	INTL		200	BASFY	30.35	D83EE8BD		
599	02/03/2009	09:30:35	09:30:34	INTL	S	P	300	RHHBY	35.46	781	02/03/2009	NW	02/03/2009 07:25:02	INTL	SL	1,200	RHHBY	35.00	D88B2E4B	NFSC	\$18.00
												EX	02/03/2009 09:31:34	INTL		1,200	RHHBY	35.40	D88B2E4B		
600	02/03/2009	09:31:10	09:31:09	INTL	S	P	100	BASFY	28.71	782	02/03/2009	NW	02/03/2009 07:25:02	INTL	SL	200	BASFY	28.70	D88B2E50	NFSC	\$1.00
												EX	02/03/2009 09:32:45	INTL		200	BASFY	28.70	D88B2E50		
601	02/03/2009	10:30:08	10:30:08	INTL	B	P	1,970	CHEUY	8.55	783	01/27/2009	NW	01/27/2009 09:42:59	INTL	B	100	CHEUY	8.56	D8206001	NFSC	\$1.00
												EX	02/03/2009 10:30:15	INTL		100	CHEUY	8.56	D8206001		
602	02/03/2009	13:51:22	13:51:21	INTL	B	P	135	SKHSY	8.60	784	02/03/2009	NW	02/03/2009 13:43:28	INTL	B	742	SKHSY	8.64	D88B3491	FCCP	\$5.40
												EX	02/03/2009 13:52:19	INTL		742	SKHSY	8.64	D88B3491		
										785	02/03/2009	NW	02/03/2009 13:47:33	INTL	B	355	SKHSY	8.64	D88B3488	FCCP	n/a
												EX	02/03/2009 14:08:27	INTL		355	SKHSY	8.64	D88B3488		
603	02/03/2009	14:08:22	14:08:21	INTL	B	P	600	SKHSY	8.60	786	02/03/2009	NW	02/03/2009 13:47:33	INTL	B	355	SKHSY	8.64	D88B3488	FCCP	\$14.20
												EX	02/03/2009 14:08:27	INTL		355	SKHSY	8.64	D88B3488		
604	02/04/2009	10:47:26	10:47:26	INTL	S	P	200	TSGTY	18.50	787	02/04/2009	NW	02/04/2009 07:25:03	INTL	SL	275	TSGTY	18.46	D89A709C	NFSC	\$8.00
												EX	02/04/2009 10:47:35	INTL		275	TSGTY	18.46	D89A709C		
										788	02/04/2009	NW	02/04/2009 07:25:03	INTL	SL	150	TSGTY	18.46	D89A709D	NFSC	n/a
												EX	02/04/2009 10:53:07	INTL		150	TSGTY	18.50	D89A709D		
605	02/04/2009	11:23:31	11:23:31	INTL	B	P	500	NSRGY	33.85	789	02/04/2009	NW	02/04/2009 11:22:13	INTL	B	150	NSRGY	33.90	D89A73BE	NFSC	\$7.50
												EX	02/04/2009 11:23:52	INTL		150	NSRGY	33.90	D89A73BE		
606	02/04/2009	11:37:19	11:37:18	INTL	B	P	380	SKHSY	8.51	790	02/04/2009	NW	02/04/2009 11:18:28	INTL	B						

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
												EX	02/04/2009 12:40:00	INTL		686	NSRGY	34.04	D89A7577		
												EX	02/04/2009 12:44:37	INTL		300	NSRGY	34.04	D89A7577		
												EX	02/04/2009 13:02:21	INTL		300	NSRGY	34.04	D89A7577		
												CL	02/04/2009 14:22:10	INTL		22,400	NSRGY		D89A7577		
612	02/04/2009	13:50:20	13:50:20	INTL	B	P	200	RHHBY	31.60	796	02/04/2009	NW	02/04/2009 11:23:18	INTL	B	150	RHHBY	31.70	D89A73CF	NFSC	\$15.00
												EX	02/04/2009 13:50:36	INTL		150	RHHBY	31.70	D89A73CF		
										797	02/04/2009	NW	02/04/2009 13:15:46	INTL	B	100	RHHBY	31.75	D89A764A	NFSC	n/a
												EX	02/04/2009 13:54:03	INTL		100	RHHBY	31.56	D89A764A		
613	02/04/2009	13:55:40	13:55:39	INTL	B	P	500	NSRGY	33.85	798	02/04/2009	NW	02/04/2009 11:29:26	INTL	B	220	NSRGY	33.90	D89A740C	NFSC	\$11.00
												EX	02/04/2009 13:58:20	INTL		220	NSRGY	33.90	D89A740C		
614	02/04/2009	14:46:34	14:46:34	INTL	S	P	100	NSRGY	33.90	799	02/04/2009	NW	02/04/2009 14:23:02	INTL	SL	22,400	NSRGY	33.87	D89A781C	NFSC	\$3.00
												EX	02/04/2009 14:50:03	INTL		1,400	NSRGY	33.87	D89A781C		
												EX	02/04/2009 15:00:52	INTL		21,000	NSRGY	33.88	D89A781C		
615	02/04/2009	15:19:09	15:19:08	INTL	B	P	500	SGAMY	3.14	800	02/04/2009	NW	02/04/2009 15:18:54	INTL	B	17,249	SGAMY	3.16	D89A7A42	MSCO	\$5.00
												EX	02/04/2009 15:19:21	INTL		17,249	SGAMY	3.15	D89A7A42		
616	02/04/2009	15:35:58	15:35:57	INTL	B	P	300	NSRGY	33.82	801	02/04/2009	NW	02/04/2009 15:24:12	INTL	B	120	NSRGY	33.85	D89A7AA0	NFSC	\$3.60
												EX	02/04/2009 15:36:31	INTL		120	NSRGY	33.85	D89A7AA0		
										802	02/04/2009	NW	02/04/2009 15:33:00	INTL	B	1,000	NSRGY	33.86	D89A7B16	NFSC	\$5.40
												EX	02/04/2009 15:36:16	INTL		1,000	NSRGY	33.85	D89A7B16		
617	02/05/2009	09:30:28	09:30:19	INTL	B	P	400	NTDOY	37.65	803	02/05/2009	NW	02/05/2009 07:25:03	INTL	B	100	NTDOY	37.65	D8A9B2CD	NFSC	\$10.00
												EX	02/05/2009 09:30:56	INTL		100	NTDOY	37.75	D8A9B2CD		
										804	02/05/2009	NW	02/05/2009 08:38:50	INTL	B	500	NTDOY	38.22	D8A9B30F	NFSC	\$30.00
												EX	02/05/2009 09:30:56	INTL		500	NTDOY	37.75	D8A9B30F		
618	02/05/2009	09:58:57	09:58:57	INTL	B	P	100	NSRGY	32.29	805	02/05/2009	NW	02/05/2009 09:58:17	INTL	B	100	NSRGY	32.35	D8A9B3C5	NFSC	\$6.00
												EX	02/05/2009 09:59:27	INTL		100	NSRGY	32.35	D8A9B3C5		
619	02/05/2009	10:03:10	10:03:09	INTL	B	P	515	NSRGY	32.31	806	02/05/2009	NW	02/05/2009 09:59:54	INTL	B	500	NSRGY	33.00	D8A9B3CC	NFSC	\$70.00
												EX	02/05/2009 10:03:16	INTL		500	NSRGY	32.45	D8A9B3CC		
620	02/05/2009	10:15:42	10:15:42	INTL	S	P	247	NSRGY	32.62	807	02/05/2009	NW	02/05/2009 10:11:33	INTL	SL	229	NSRGY	32.47	D8A9B3E7	NFSC	\$34.35
												EX	02/05/2009 10:17:34	INTL		229	NSRGY	32.47	D8A9B3E7		
										808	02/05/2009	NW	02/05/2009 09:59:07	INTL	SL	500	NSRGY	32.60	D8A9B3C9	NFSC	\$2.70
												EX	02/05/2009 10:17:36	INTL		500	NSRGY	32.60	D8A9B3C9		
621	02/05/2009	10:33:39	10:33:38	INTL	B	P	793	HLPPY	9.95	809	01/23/2009	NW	01/23/2009 13:56:29	INTL	B	100	HLPPY	10.00	D7E35CB0	NFSC	\$5.00
												EX	02/05/2009 10:34:00	INTL		100	HLPPY	10.00	D7E35CB0		
622	02/05/2009	11:40:28	11:40:28	INTL	B	P	249	SEDAY	5.95	810	02/05/2009	NW	02/05/2009 11:26:12	INTL	B	381	SEDAY	5.98	D8A9B5B0	FCCP	\$7.47
												EX	02/05/2009 11:41:55	INTL		381	SEDAY	5.98	D8A9B5B0		
623	02/05/2009	12:49:59	12:49:58	INTL	B	P	214	SKHSY	8.23	811	02/05/2009	NW	02/05/2009 12:40:03	INTL	B	3,221	SKHSY	8.24	D8A9B7FB	MSCO	\$2.14
												EX	02/05/2009 12:51:08	INTL		3,221	SKHSY	8.24	D8A9B7FB		
624	02/05/2009	12:51:40	12:51:39	INTL	B	P	234	NSRGY	33.05	812	02/05/2009	NW	02/05/2009 12:48:13	INTL	B	200	NSRGY	33.10	D8A9B829	NFSC	\$10.00
												EX	02/05/2009 12:51:49	INTL		200	NSRGY	33.10	D8A9B829		
625	02/05/2009	14:06:26	14:06:26	INTL	B	P	1,949	SGAMY	2.96	813	02/05/2009	NW	02/05/2009 13:54:51	INTL	B	403	SGAMY	3.04	D8A9BA1E	MSCO	\$32.24
												EX	02/05/2009 14:07:05	INTL		403	SGAMY	3.04	D8A9BA1E		
626	02/05/2009	15:39:47	15:39:47	INTL	B	P	6,075	NSRGY	32.84	814	02/05/2009	NW	02/05/2009 15:39:07	INTL	B	1,000	NSRGY	32.85	D8A9B08C	MSCO	\$10.00
												EX	02/05/2009 15:39:54	INTL		1,000	NSRGY	32.85	D8A9B08C		
627	02/05/2009	15:56:38	15:56:37	INTL	B	P	212	WTKWY	18.11	815	02/05/2009	NW	02/05/2009 14:19:49	INTL	B	160	WTKWY	18.16	D8A9BAED	FCCP	\$8.00
												EX	02/05/2009 15:56:49	INTL		160	WTKWY	18.16	D8A9BAED		
628	02/06/2009	09:30:35	09:30:35	INTL	B	P	246	RHHBY	30.66	816	02/05/2009	NW	02/05/2009 14:58:51	INTL	B	100	RHHBY	31.00	D8A9BBE5	FCCP	\$12.00
												EX	02/06/2009 09:32:52	INTL		100	RHHBY	30.78	D8A9BBE5		
										817	02/05/2009	NW	02/05/2009 15:44:50	INTL	B	130	RHHBY	31.12	D8A9BD89	NFSC	\$15.60
												EX	02/06/2009 09:33:41	INTL		130	RHHBY	30.80	D8A9BD89		
629	02/06/2009	09:47:52	09:47:52	INTL	S	P	200	SFTBF	16.45	818	02/02/2009	NW	02/02/2009 15:30:27	INTL	SL	700	SFTBF	16.00	D87BF600	NFSC	\$90.00
												EX	02/06/2009 09:54:43	INTL		700	SFTBF	16.00	D87BF600		
630	02/06/2009	10:13:46	10:13:45	INTL	S	P	1,000	FSUMF	1.55	819	01/12/2009	NW	01/12/2009 15:39:55	INTL	SL	5,000	FSUMF	1.53	D73B8898	NFSC	\$20.00
												EX	02/06/2009 10:17:18	INTL		5,000	FSUMF	1.53	D73B8898		
631	02/06/2009	11:06:51	11:06:51	INTL	S	P	200	NCMGY	21.55	820	02/02/2009	NW	02/02/2009 07:25:03	INTL	SL	200	NCMGY	21.50	D87BEC1D	NFSC	\$10.00
												EX	02/06/2009 11:06:59	INTL		200	NCMGY	21.50	D87BEC1D		
632	02/06/2009																				

Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount		
643	02/09/2009	09:32:05	09:32:04	INTL	S	P	500	PALAF	2.13	833	02/06/2009	EX	02/09/2009 09:31:44	INTL	SL	300	NSRGY	33.12	D8E68BC8	NFSC	\$5.00		
												NW	02/06/2009 15:17:49	INTL	SL	10,000	PALAF	2.12	D8B90012				
												EX	02/09/2009 09:32:31	INTL		2,500	PALAF	2.12	D8B90012				
										EX	02/09/2009 09:54:25	INTL		7,500	PALAF	2.12	D8B90012						
834	02/09/2009	NW	02/09/2009 07:25:04	INTL	SL	2,500	PALAF	2.10	D8E68BE9	NFSC	n/a												
		EX	02/09/2009 09:56:44	INTL		2,500	PALAF	2.13	D8E68BE9														
644	02/09/2009	09:55:35	09:55:35	INTL	S	P	5,000	PALAF	2.15	835	02/09/2009	NW	02/09/2009 07:25:04	INTL	SL	2,500	PALAF	2.10	D8E68BE9	NFSC	\$50.00		
												EX	02/09/2009 09:56:44	INTL		2,500	PALAF	2.13	D8E68BE9				
645	02/09/2009	11:14:49	11:14:48	INTL	B	P	1,000	CHEUY	8.80	836	02/09/2009	NW	02/09/2009 11:07:46	INTL	B	600	CHEUY	8.85	D8E68E7C	NFSC	\$30.00		
												EX	02/09/2009 11:15:04	INTL		600	CHEUY	8.85	D8E68E7C				
646	02/09/2009	11:25:26	11:25:25	INTL	B	P	210	WOSLY	3.11	837	02/09/2009	NW	02/09/2009 11:08:17	INTL	B	500	WOSLY	3.15	D8E68E7D	FCCP	\$8.40		
												EX	02/09/2009 11:25:34	INTL		500	WOSLY	3.15	D8E68E7D				
647	02/09/2009	14:00:58	14:00:57	INTL	B	P	436	SGAMY	2.93	838	02/09/2009	NW	02/09/2009 13:57:42	INTL	B	152	SGAMY	2.97	D8E6C2B5	MSCO	\$6.08		
												EX	02/09/2009 14:01:07	INTL		152	SGAMY	2.97	D8E6C2B5				
648	02/09/2009	14:33:13	14:33:13	INTL	B	P	350	SGAMY	2.93	839	02/09/2009	NW	02/09/2009 14:08:32	INTL	B	1,965	SGAMY	2.97	D8E6C307	MSCO	\$14.00		
												EX	02/09/2009 14:34:06	INTL		1,965	SGAMY	2.97	D8E6C307				
										840	02/09/2009	NW	02/09/2009 14:08:50	INTL	B	1,984	SGAMY	2.97	D8E6C30C	MSCO	n/a		
												EX	02/09/2009 14:42:04	INTL		1,984	SGAMY	2.97	D8E6C30C				
649	02/09/2009	14:34:54	14:34:54	INTL	B	P	200	SVNDY	49.85	841	02/09/2009	NW	02/09/2009 14:08:32	INTL	B	157	SVNDY	50.08	D8E6C308	MSCO	\$36.11		
												EX	02/09/2009 14:36:15	INTL		157	SVNDY	50.08	D8E6C308				
650	02/09/2009	14:40:45	14:40:43	INTL	B	P	949	SGAMY	2.93	842	02/09/2009	NW	02/09/2009 14:08:50	INTL	B	1,984	SGAMY	2.97	D8E6C30C	MSCO	\$37.96		
												EX	02/09/2009 14:42:04	INTL		1,984	SGAMY	2.97	D8E6C30C				
651	02/10/2009	09:52:24	09:52:23	INTL	S	P	1,000	NSRGY	33.77	843	02/10/2009	NW	02/10/2009 09:50:48	INTL	SL	500	NSRGY	33.71	D8F5FF2E	NFSC	\$30.00		
												EX	02/10/2009 09:52:39	INTL		500	NSRGY	33.71	D8F5FF2E				
652	02/10/2009	10:33:10	10:33:09	INTL	B	P	121	AGPPY	47.95	844	02/10/2009	NW	02/10/2009 10:12:58	INTL	B	100	AGPPY	48.25	D8F5FF9C	NFSC	\$30.00		
												EX	02/10/2009 10:33:31	INTL		100	AGPPY	48.25	D8F5FF9C				
653	02/10/2009	10:48:42	10:48:41	INTL	B	P	122	SKHSY	8.06	845	02/10/2009	NW	02/10/2009 10:34:43	INTL	B	1,287	SKHSY	8.16	D8F60014	MSCO	\$12.20		
												EX	02/10/2009 10:49:17	INTL		1,287	SKHSY	8.16	D8F60014				
654	02/10/2009	11:13:02	11:13:02	INTL	B	P	240	NSRGY	33.35	846	02/10/2009	NW	02/10/2009 10:52:03	INTL	B	100	NSRGY	33.50	D8F600B0	NFSC	\$4.00		
												EX	02/10/2009 11:13:09	INTL		100	NSRGY	33.39	D8F600B0				
655	02/10/2009	11:19:02	11:19:01	INTL	B	P	506	EONGY	31.70	847	02/05/2009	NW	02/05/2009 07:32:01	INTL	B	315	EONGY	31.71	D8A9B2D4	NFSC	\$3.15		
												EX	02/10/2009 11:19:15	INTL		315	EONGY	31.71	D8A9B2D4				
656	02/10/2009	11:32:41	11:32:40	INTL	B	P	200	IMPUY	13.40	848	02/10/2009	NW	02/10/2009 11:12:14	INTL	B	500	IMPUY	13.52	D8F60133	NFSC	\$24.00		
												EX	02/10/2009 11:34:20	INTL		500	IMPUY	13.52	D8F60133				
657	02/10/2009	13:21:27	13:21:26	INTL	B	P	165	NSRGY	32.91	849	02/10/2009	NW	02/10/2009 10:14:42	INTL	B	100	NSRGY	33.00	D8F5FFAB	NFSC	\$6.00		
												EX	02/10/2009 13:21:31	INTL		100	NSRGY	32.97	D8F5FFAB				
658	02/10/2009	13:24:16	13:24:16	INTL	B	P	500	FSUMF	1.55	850	02/10/2009	NW	02/10/2009 09:52:53	INTL	B	1,000	FSUMF	1.60	D8F5FF3B	NFSC	\$25.00		
												EX	02/10/2009 13:24:31	INTL		1,000	FSUMF	1.60	D8F5FF3B				
659	02/10/2009	13:35:34	13:35:34	INTL	B	P	65,000	BACHF	0.27	851	02/10/2009	NW	02/10/2009 11:27:16	INTL	B	1,000	BACHF	0.28	D8F601A3	NFSC	\$10.00		
												EX	02/10/2009 13:35:47	INTL		1,000	BACHF	0.28	D8F601A3				
660	02/10/2009	15:16:06	15:16:06	INTL	B	P	337	RHHBY	31.40	852	02/10/2009	NW	02/10/2009 15:11:14	INTL	B	300	RHHBY	31.45	D8F607D1	MSCO	\$15.00		
												EX	02/10/2009 15:16:25	INTL		300	RHHBY	31.45	D8F607D1				
661	02/10/2009	15:28:49	15:28:48	INTL	B	P	750	NSRGY	32.85	853	02/10/2009	NW	02/10/2009 15:27:27	INTL	B	500	NSRGY	32.90	D8F6085A	NFSC	\$25.00		
												EX	02/10/2009 15:28:58	INTL		500	NSRGY	32.90	D8F6085A				
662	02/10/2009	15:43:26	15:43:25	INTL	B	P	200	IMPUY	13.01	854	02/09/2009	NW	02/09/2009 07:25:03	INTL	B	420	IMPUY	13.05	D8E68BDB	NFSC	\$8.00		
												EX	02/10/2009 15:44:10	INTL		420	IMPUY	13.05	D8E68BDB				
										855	02/10/2009	NW	02/10/2009 13:47:07	INTL	B	2,300	IMPUY	13.20	D8F6058E	NFSC	n/a		
												EX	02/10/2009 14:23:32	INTL		1,300	IMPUY	13.20	D8F6058E				
												EX	02/10/2009 15:56:04	INTL		1,000	IMPUY	13.08	D8F6058E				
663	02/10/2009	15:53:45	15:53:45	INTL	B	P	200	SWCEY	15.90	856	02/10/2009	NW	02/10/2009 15:42:44	INTL	B	3,100	SWCEY	16.02	D8F608AE	NFSC	\$20.00		
												EX	02/10/2009 15:53:55	INTL		3,100	SWCEY	16.00	D8F608AE				
664	02/11/2009	09:30:30	09:30:22	INTL	B	P	1,762	NSRGY	33.05	857	02/11/2009	NW	02/11/2009 07:25:01	INTL	B	100	NSRGY	34.00	D9054047	NFSC	\$3.00		
												EX	02/11/2009 09:30:41	INTL		100	NSRGY	33.08	D9054047				
										858	02/11/2009	NW	02/11/2009 07:25:01	INTL	B	600	NSRGY	34.00	D9054046	NFSC	\$18.00		
												EX	02/11/2009 09:30:48	INTL		600	NSRGY	33.08	D9054046				
665	02/11/2009	09:37:18	09:37:18	INTL	B	P	1,000	FSUMF	1.54	859	02/11/2009	NW	02/11/2009 07:25:01	INTL	B	2,200	FSUMF	1.60	D9054049	NFSC	\$50.00		
												EX	02/11/2009 09:37:44	INTL		2,200	FS						

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Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
677	02/13/2009	15:16:44	15:16:44	INTL	B	P	585	SMFY	3.54	871	02/12/2009	NW	02/13/2009 14:40:00	INTL	B	200	FSUMF	1.87	D923CD41			
												EX	02/12/2009 07:25:01	INTL		200	SMFY	3.55	D914828A	NFSC	\$2.00	
678	02/17/2009	09:30:12	09:30:09	INTL	B	P	1,750	WMMVF	2.00	872	02/17/2009	NW	02/17/2009 07:25:05	INTL	B	100	WMMVF	2.05	D960CDE9	NFSC	\$5.00	
												EX	02/17/2009 09:30:26	INTL		100	WMMVF	2.05	D960CDE9			
679	02/17/2009	09:30:59	09:30:42	INTL	B	P	200	NSRGY	31.32	873	02/03/2009	NW	02/03/2009 08:35:58	INTL	B	100	NSRGY	31.50	D8882EAS	NFSC	n/a	
												EX	02/17/2009 09:32:06	INTL		100	NSRGY	31.45	D8882EAS			
										874	02/04/2009	NW	02/04/2009 07:25:01	INTL	B	300	NSRGY	31.89	D89A7089	NFSC	n/a	
												EX	02/17/2009 09:33:15	INTL		300	NSRGY	31.45	D89A7089			
										875	02/05/2009	NW	02/05/2009 15:29:05	INTL	B	100	NSRGY	31.61	D8A9BD1A	NFSC	n/a	
												EX	02/17/2009 09:31:54	INTL		100	NSRGY	31.45	D8A9BD1A			
										876	02/11/2009	NW	02/11/2009 07:25:00	INTL	B	150	NSRGY	31.90	D9054041	NFSC	n/a	
												EX	02/17/2009 09:32:19	INTL		150	NSRGY	31.45	D9054041			
										877	02/12/2009	NW	02/12/2009 12:16:41	INTL	B	150	NSRGY	31.50	D914879E	NFSC	n/a	
												EX	02/17/2009 09:32:13	INTL		150	NSRGY	31.45	D914879E			
										878	02/13/2009	NW	02/13/2009 11:41:03	INTL	B	250	NSRGY	31.55	D923C94B	NFSC	n/a	
												EX	02/17/2009 09:31:57	INTL		250	NSRGY	31.45	D923C94B			
										879	02/17/2009	NW	02/17/2009 07:25:00	INTL	B	100	NSRGY	31.50	D960CDC3	NFSC	n/a	
												EX	02/17/2009 09:32:26	INTL		100	NSRGY	31.45	D960CDC3			
										880	02/17/2009	NW	02/17/2009 08:05:15	INTL	B	500	NSRGY	32.00	D960CE2A	NFSC	\$13.00	
												EX	02/17/2009 09:31:47	INTL		500	NSRGY	31.45	D960CE2A			
										881	02/17/2009	NW	02/17/2009 07:25:05	INTL	B	100	NSRGY	32.00	D960CDF3	NFSC	\$13.00	
												EX	02/17/2009 09:31:43	INTL		100	NSRGY	31.45	D960CDF3			
										882	02/17/2009	NW	02/17/2009 07:25:04	INTL	B	622	NSRGY	32.10	D960CDE2	NFSC	n/a	
												EX	02/17/2009 09:31:50	INTL		622	NSRGY	31.45	D960CDE2			
680	02/17/2009	09:31:24	09:31:20	INTL	B	P	3,800	NSRGY	31.32	883	02/03/2009	NW	02/03/2009 08:35:58	INTL	B	100	NSRGY	31.50	D8882EAS	NFSC	\$13.00	
												EX	02/17/2009 09:32:06	INTL		100	NSRGY	31.45	D8882EAS			
										884	02/04/2009	NW	02/04/2009 07:25:01	INTL	B	300	NSRGY	31.89	D89A7089	NFSC	\$39.00	
												EX	02/17/2009 09:33:15	INTL		300	NSRGY	31.45	D89A7089			
										885	02/05/2009	NW	02/05/2009 15:29:05	INTL	B	100	NSRGY	31.61	D8A9BD1A	NFSC	\$13.00	
												EX	02/17/2009 09:31:54	INTL		100	NSRGY	31.45	D8A9BD1A			
										886	02/11/2009	NW	02/11/2009 07:25:00	INTL	B	150	NSRGY	31.90	D9054041	NFSC	\$19.50	
												EX	02/17/2009 09:32:19	INTL		150	NSRGY	31.45	D9054041			
										887	02/12/2009	NW	02/12/2009 12:16:41	INTL	B	150	NSRGY	31.50	D914879E	NFSC	\$19.50	
												EX	02/17/2009 09:32:13	INTL		150	NSRGY	31.45	D914879E			
										888	02/13/2009	NW	02/13/2009 11:41:03	INTL	B	250	NSRGY	31.55	D923C94B	NFSC	\$32.50	
												EX	02/17/2009 09:31:57	INTL		250	NSRGY	31.45	D923C94B			
										889	02/17/2009	NW	02/17/2009 07:25:00	INTL	B	100	NSRGY	31.50	D960CDC3	NFSC	\$13.00	
												EX	02/17/2009 09:32:26	INTL		100	NSRGY	31.45	D960CDC3			
										890	02/17/2009	NW	02/17/2009 07:25:02	INTL	B	317	NSRGY	31.62	D960CDD0	NFSC	\$41.21	
												EX	02/17/2009 09:32:22	INTL		317	NSRGY	31.45	D960CDD0			
										891	02/17/2009	NW	02/17/2009 08:05:15	INTL	B	500	NSRGY	32.00	D960CE2A	NFSC	\$52.00	
												EX	02/17/2009 09:31:47	INTL		500	NSRGY	31.45	D960CE2A			
										892	02/17/2009	NW	02/17/2009 07:25:05	INTL	B	100	NSRGY	32.00	D960CDF3	NFSC	\$13.00	
												EX	02/17/2009 09:31:43	INTL		100	NSRGY	31.45	D960CDF3			
										893	02/17/2009	NW	02/17/2009 07:25:04	INTL	B	622	NSRGY	32.10	D960CDE2	NFSC	\$80.86	
												EX	02/17/2009 09:31:50	INTL		622	NSRGY	31.45	D960CDE2			
681	02/17/2009	09:32:03	09:32:02	INTL	B	P	157	BNPQY	15.43	894	02/13/2009	NW	02/13/2009 12:06:39	INTL	B	200	BNPQY	16.50	D923C9AB	NFSC	\$15.70	
												EX	02/17/2009 09:33:53	INTL		200	BNPQY	15.53	D923C9AB			
682	02/17/2009	11:00:40	11:00:39	INTL	S	P	500	BASFY	29.43	895	02/17/2009	NW	02/17/2009 10:56:27	INTL	SL	200	BASFY	29.40	D960D053	NFSC	\$6.00	
												EX	02/17/2009 11:00:48	INTL		200	BASFY	29.40	D960D053			
683	02/17/2009	12:23:12	12:23:12	INTL	B	P	314	NSRGY	31.25	896	02/17/2009	NW	02/17/2009 11:57:46	INTL	B	100	NSRGY	31.28	D960D1D7	NFSC	\$3.00	
												EX	02/17/2009 12:23:37	INTL		100	NSRGY	31.28	D960D1D7			
684	02/17/2009	12:37:52	12:37:51	INTL	B	P	417	NILSY	4.76	897	02/17/2009	NW	02/17/2009 11:17:38	INTL	B	600	NILSY	4.77	D960D0D0	NFSC	\$4.17	
												EX	02/17/2009 12:38:03	INTL		600	NILSY	4.77	D960D0D0			
685	02/17/2009	13:28:23	13:28:23	INTL	B	P	200	NTDOY	36.40	898	02/12/2009	NW	02/12/2009 07:25:02	INTL	B	200	NTDOY	36.48	D914828E	NFSC	\$16.00	
												EX	02/17/2009 13:28:26	INTL		200	NTDOY	36.48	D914828E			
686	02/17/2009	13:30:27	13:30:26	INTL	B	P	150	SWCEY	14.44	899	02/17/2009	NW	02/17/2009 12:43:12	INTL	B	1,000	SWCEY	14.50	D960D2D9	NFSC	\$9.00	
												EX	02/17/2009 13:30:43	INTL		1,000	SWCEY	14.50	D960D2D9			
687	02/17/2009	13:47:01	13:47:01	INTL	B	P																

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Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
697	02/18/2009	15:57:16	15:57:15	INTL	B	P	192	IMPUY	13.50	910	02/18/2009	EX	02/18/2009 15:20:22	INTL	B	500	LUKQY	29.57	D9701D7C			
												NW	02/18/2009 15:51:27	INTL	B	360	IMPUY	13.62	D9701EA6	MSCO	\$23.04	
698	02/19/2009	09:33:30	09:33:30	INTL	S	P	500	NSRGY	33.36	911	02/19/2009	EX	02/18/2009 15:58:24	INTL		360	IMPUY	13.62	D9701EA6			
												NW	02/19/2009 09:22:19	INTL	SL	200	NSRGY	33.00	D97F52C9	NFSC	\$2.00	
												EX	02/19/2009 09:33:44	INTL		200	NSRGY	33.35	D97F52C9			
699	02/19/2009	10:50:59	10:50:59	INTL	B	P	1,000	IMPUY	14.10	912	02/19/2009	NW	02/19/2009 10:49:24	INTL	B	272	IMPUY	14.30	D97F5526	FCCP	\$54.40	
												EX	02/19/2009 10:51:08	INTL		272	IMPUY	14.30	D97F5526			
700	02/19/2009	11:03:04	11:03:04	INTL	B	P	330	NSRGY	33.31	913	02/19/2009	NW	02/19/2009 11:00:46	INTL	B	325	NSRGY	33.36	D97F5578	NFSC	\$3.25	
												EX	02/19/2009 11:03:33	INTL		325	NSRGY	33.32	D97F5578			
701	02/19/2009	11:48:51	11:48:51	INTL	B	P	758	NSRGY	32.99	914	02/19/2009	NW	02/19/2009 10:14:43	INTL	B	200	NSRGY	33.00	D97F53CB	NFSC	\$2.00	
												EX	02/19/2009 11:49:10	INTL		200	NSRGY	33.00	D97F53CB			
										915	02/19/2009	NW	02/19/2009 10:09:02	INTL	B	100	NSRGY	33.00	D97F53AD	NFSC	\$1.00	
												EX	02/19/2009 11:49:12	INTL		100	NSRGY	33.00	D97F53AD			
702	02/19/2009	12:08:01	12:08:01	INTL	S	P	200	NSRGY	33.05	916	02/19/2009	NW	02/19/2009 12:03:35	INTL	SL	100	NSRGY	33.00	D97F5785	NFSC	\$5.00	
												EX	02/19/2009 12:08:14	INTL		100	NSRGY	33.00	D97F5785			
703	02/19/2009	14:21:33	14:21:32	INTL	B	P	3,000	FSUMF	1.87	917	02/19/2009	NW	02/19/2009 12:29:26	INTL	B	500	FSUMF	1.88	D97F587D	NFSC	\$5.00	
												EX	02/19/2009 14:21:46	INTL		500	FSUMF	1.88	D97F587D			
704	02/19/2009	14:26:28	14:26:25	INTL	B	P	200	SWCEY	13.95	918	02/19/2009	NW	02/19/2009 11:27:03	INTL	B	1,000	SWCEY	14.00	D97F5657	NFSC	\$10.00	
												EX	02/19/2009 14:27:41	INTL		200	SWCEY	14.00	D97F5657			
												EX	02/19/2009 14:33:22	INTL		300	SWCEY	14.00	D97F5657			
												EX	02/19/2009 14:51:29	INTL		500	SWCEY	14.00	D97F5657			
705	02/19/2009	14:33:11	14:33:10	INTL	B	P	291	SWCEY	13.95	919	02/19/2009	NW	02/19/2009 11:27:03	INTL	B	1,000	SWCEY	14.00	D97F5657	NFSC	\$14.55	
												EX	02/19/2009 14:27:41	INTL		200	SWCEY	14.00	D97F5657			
												EX	02/19/2009 14:33:22	INTL		300	SWCEY	14.00	D97F5657			
												EX	02/19/2009 14:51:29	INTL		500	SWCEY	14.00	D97F5657			
706	02/19/2009	15:07:15	15:07:15	INTL	B	P	715	SGAMY	2.52	920	02/19/2009	NW	02/19/2009 15:06:42	INTL	B	13,773	SGAMY	2.57	D97F5E00	MSCO	\$35.75	
												EX	02/19/2009 15:07:31	INTL		13,773	SGAMY	2.57	D97F5E00			
707	02/19/2009	15:12:49	15:12:49	INTL	B	P	440	BASFY	28.70	921	02/18/2009	NW	02/18/2009 15:09:41	INTL	B	174	BASFY	28.75	D9701CF8	NFSC	\$8.70	
												EX	02/19/2009 15:13:12	INTL		174	BASFY	28.75	D9701CF8			
708	02/20/2009	09:32:38	09:32:37	INTL	B	P	2,000	VWSYF	48.54	922	02/13/2009	NW	02/13/2009 07:25:03	INTL	B	200	VWSYF	49.00	D923C4DC	NFSC	\$62.00	
												EX	02/20/2009 09:33:46	INTL		200	VWSYF	48.85	D923C4DC			
709	02/20/2009	09:36:57	09:36:57	INTL	B	P	141,510	HEGLF	0.09	923	02/20/2009	NW	02/20/2009 08:19:11	INTL	B	25,000	HEGLF	0.105	D98E94E1	DEAN	\$375.00	
												EX	02/20/2009 09:41:25	INTL		25,000	HEGLF	0.105	D98E94E1			
710	02/20/2009	11:27:07	11:27:06	INTL	B	P	320	BASFY	27.56	924	02/20/2009	NW	02/20/2009 11:17:09	INTL	B	100	BASFY	27.65	D98E98B0	NFSC	\$1.00	
												EX	02/20/2009 11:27:23	INTL		100	BASFY	27.57	D98E98B0			
711	02/20/2009	11:31:15	11:31:15	INTL	S	P	100	BASFY	27.83	925	02/20/2009	NW	02/20/2009 11:26:41	INTL	SL	300	BASFY	27.70	D98E98EA	NFSC	\$13.00	
												EX	02/20/2009 11:31:24	INTL		300	BASFY	27.70	D98E98EA			
712	02/20/2009	11:49:29	11:49:27	INTL	B	P	200	BASFY	27.45	926	02/20/2009	NW	02/20/2009 11:41:48	INTL	B	550	BASFY	27.50	D98E9955	NFSC	n/a	
												EX	02/20/2009 11:50:27	INTL		550	BASFY	27.50	D98E9955			
										927	02/20/2009	NW	02/20/2009 10:07:43	INTL	B	300	BASFY	27.50	D98E9652	NFSC	\$10.00	
												EX	02/20/2009 11:50:25	INTL		300	BASFY	27.50	D98E9652			
713	02/20/2009	11:49:53	11:49:52	INTL	B	P	200	BASFY	27.45	928	02/20/2009	NW	02/20/2009 11:41:48	INTL	B	550	BASFY	27.50	D98E9955	NFSC	\$5.00	
												EX	02/20/2009 11:50:27	INTL		550	BASFY	27.50	D98E9955			
										929	02/20/2009	NW	02/20/2009 10:07:43	INTL	B	300	BASFY	27.50	D98E9652	NFSC	\$5.00	
												EX	02/20/2009 11:50:25	INTL		300	BASFY	27.50	D98E9652			
714	02/20/2009	12:07:26	12:07:25	INTL	S	P	100	NSRGY	33.47	930	02/20/2009	NW	02/20/2009 11:39:58	INTL	SL	212	NSRGY	33.41	D98E994A	NFSC	\$6.00	
												EX	02/20/2009 12:08:53	INTL		212	NSRGY	33.41	D98E994A			
715	02/20/2009	12:50:44	12:50:43	INTL	B	P	250	NSRGY	33.30	931	02/20/2009	NW	02/20/2009 12:21:28	INTL	B	100	NSRGY	33.40	D98E9865	NFSC	\$10.00	
												EX	02/20/2009 12:51:03	INTL		100	NSRGY	33.40	D98E9865			
716	02/20/2009	13:27:56	13:27:56	INTL	B	P	387	NSRGY	33.55	932	02/20/2009	NW	02/20/2009 13:22:52	INTL	B	120	NSRGY	33.57	D98E9D74	NFSC	\$2.40	
												EX	02/20/2009 13:28:06	INTL		120	NSRGY	33.57	D98E9D74			
717	02/20/2009	13:44:48	13:44:47	INTL	S	P	146	NSRGY	33.75	933	02/20/2009	NW	02/20/2009 13:37:55	INTL	SL	100	NSRGY	33.60	D98E9E2B	NFSC	\$15.00	
												EX	02/20/2009 13:45:40	INTL		100	NSRGY	33.60	D98E9E2B			
718	02/20/2009	14:14:56	14:14:55	INTL	S	P	2,275	NSRGY	33.65	934	02/20/2009	NW	02/20/2009 14:14:49	INTL	SL	100	NSRGY	33.50	D98EA053	NFSC	\$5.00	
												EX	0									

Part I: Trigger Trades										Part II: Customer Limit Orders											Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
729	02/23/2009	13:29:32	13:29:32	INTL	B	P	100	AGPPY	41.60	946	02/23/2009	NW	02/23/2009 13:30:35	INTL	B	100	AGPPY	42.40	D9BC5D48	NFSC	\$80.00
730	02/23/2009	15:58:03	15:58:02	INTL	B	P	276	WMMVY	19.10	947	02/20/2009	NW	02/20/2009 13:13:21	INTL	B	500	WMMVY	19.25	D9E9D29	FCCP	\$41.40
731	02/24/2009	09:34:21	09:34:21	INTL	S	P	260	CRZBY	3.62	948	02/23/2009	NW	02/23/2009 11:43:58	INTL	SL	2,000	CRZBY	3.47	D9BC5FE2	NFSC	\$39.00
732	02/24/2009	09:51:11	09:51:11	INTL	S	P	100	WMMVY	19.55	949	02/24/2009	NW	02/24/2009 07:56:06	INTL	SL	535	WMMVY	19.51	D9C89D83	FCCP	\$4.00
733	02/24/2009	10:44:36	10:44:34	INTL	B	P	1,270	HOKCY	1.47	950	02/24/2009	NW	02/24/2009 10:32:21	INTL	B	194	HOKCY	1.50	D9C8A1D8	NFSC	\$5.82
734	02/24/2009	15:38:28	15:38:27	INTL	S	P	5,455	SGAPY	16.76	951	02/17/2009	NW	02/17/2009 07:25:01	INTL	SL	200	SGAPY	16.75	D960C0CA	NFSC	\$2.00
735	02/25/2009	10:46:57	10:46:56	INTL	B	P	200	TMICY	23.35	952	02/25/2009	NW	02/25/2009 10:26:54	INTL	B	200	TMICY	23.40	D9DAE291	NFSC	\$10.00
736	02/25/2009	11:32:02	11:32:02	INTL	S	P	200	VWSYF	45.10	953	02/25/2009	NW	02/25/2009 10:52:48	INTL	SL	100	VWSYF	45.00	D9DAE342	NFSC	\$10.00
737	02/25/2009	14:05:30	14:05:30	INTL	B	P	524	IMPUY	13.06	954	02/25/2009	NW	02/25/2009 13:41:48	INTL	B	1,176	IMPUY	13.17	D9DAE894	MSCO	\$57.64
738	02/25/2009	15:44:40	15:44:40	INTL	S	P	518	KMTUY	42.60	955	02/25/2009	NW	02/25/2009 15:43:37	INTL	SL	160	KMTUY	38.00	D9DAEE34	NFSC	\$14.40
739	02/26/2009	09:34:48	09:34:47	INTL	B	P	3,000	GDOCF	0.31	956	02/26/2009	NW	02/26/2009 08:00:01	INTL	B	4,500	GDOCF	0.45	D9EA224B	DEAN	\$300.00
740	02/26/2009	10:17:22	10:17:21	INTL	B	P	153	STBUY	3.40	957	02/26/2009	NW	02/26/2009 09:56:25	INTL	B	308	STBUY	3.45	D9EA23FC	FCCP	\$7.65
741	02/26/2009	10:40:26	10:40:25	INTL	B	P	113	SKHSY	6.46	958	02/26/2009	NW	02/26/2009 09:56:25	INTL	B	187	SKHSY	6.53	D9EA23FA	FCCP	\$7.91
742	02/26/2009	10:43:29	10:43:28	INTL	B	P	102	SSDOY	14.26	959	02/26/2009	NW	02/26/2009 09:56:25	INTL	B	116	SSDOY	14.39	D9EA23FB	FCCP	\$13.26
743	02/26/2009	11:20:23	11:20:23	INTL	B	P	259	SKHSY	6.46	960	02/26/2009	NW	02/26/2009 10:59:51	INTL	B	193	SKHSY	6.50	D9EA25CB	FCCP	\$7.72
744	02/26/2009	11:20:25	11:20:25	INTL	B	P	106	SSDOY	14.26	961	02/26/2009	NW	02/26/2009 10:59:51	INTL	B	120	SSDOY	14.31	D9EA25CD	FCCP	\$5.30
745	02/26/2009	11:38:50	11:38:50	INTL	B	P	617	SGAMY	2.27	962	02/26/2009	NW	02/26/2009 11:22:56	INTL	B	4,344	SGAMY	2.29	D9EA266E	MSCO	\$12.34
746	02/26/2009	12:06:10	12:06:09	INTL	B	P	163	CHEUY	8.33	963	02/26/2009	NW	02/26/2009 11:30:03	INTL	B	1,000	CHEUY	8.35	D9EA26CD	FCCP	\$3.26
747	02/26/2009	12:23:35	12:23:34	INTL	S	P	2,244	JMHLY	17.27	964	02/26/2009	NW	02/26/2009 07:35:49	INTL	SL	300	JMHLY	17.25	D9EA2239	NFSC	\$6.00
748	02/26/2009	12:30:10	12:30:10	INTL	B	P	109	CHEUY	8.33	965	02/26/2009	NW	02/26/2009 11:30:03	INTL	B	1,000	CHEUY	8.35	D9EA26CD	FCCP	\$2.18
749	02/26/2009	12:30:30	12:30:30	INTL	S	P	200	NSRGY	32.34	966	02/26/2009	NW	02/26/2009 12:30:26	INTL	SL	800	NSRGY	32.27	D9EA2904	NFSC	\$14.00
750	02/26/2009	15:54:48	15:54:47	INTL	B	P	32,700	MOAEF	0.235	967	02/26/2009	NW	02/26/2009 08:10:09	INTL	B	100,000	MOAEF	0.24	D9EA225E	DEAN	\$160.00
751	02/27/2009	09:39:23	09:39:23	INTL	B	P	102	NSRGY	31.96	968	02/20/2009	NW	02/20/2009 14:19:26	INTL	B	125	NSRGY	32.00	D98EA068	NFSC	\$4.08
752	02/27/2009	11:33:50	11:33:48	INTL	B	P	200	SWCEY	12.25	970	02/27/2009	NW	02/27/2009 07:25:03	INTL	B	100	NSRGY	32.00	D9F9645A	NFSC	n/a
753	02/27/2009	11:57:21	11:57:21	INTL	B	P	517	BASFY	27.70	971	02/27/2009	NW	02/27/2009 11:17:58	INTL	B	500	SWCEY	12.40	D9F96785	NFSC	\$30.00
754	02/27/2009	13:58:37	13:58:37	INTL	S	P	2,100	CHEUY	8.20	972	02/27/2009	NW	02/27/2009 11:35:36	INTL	B	200	BASFY	27.85	D9F96883	NFSC	\$30.00
755	02/27/2009	14:09:53	14:09:52	INTL	B	P	203	IMPUY	11.80	973	02/27/2009	NW	02/27/2009 11:59:46	INTL	SL	3,400	CHEUY	8.15	D9F96D0A	NFSC	\$105.00
756	02/27/2009	14:40:09	14:40:08	INTL	S	P	368	JMHLY	17.49	974	02/27/2009	NW	02/27/2009 13:51:43	INTL	B	3,400	CHEUY	8.15	D9F96D0A	NFSC	\$105.00
757	02/27/2009	15:05:33	15:05:32	INTL	B	P	155	IMPUY	11.71	975	02/27/2009	NW	02/27/2009 13:59:45	INTL	B	7,795	IMPUY	11.85	D9F96D5E	MSCO	\$10.15
758	02/27/2009	15:09:05	15:09:04	INTL	B	P	2,000	IMPUY	11.75	976	02/27/2009	NW	02/27/2009 14:04:23	INTL	B	7,795	IMPUY	11.85	D9F96D5E	MSCO	\$10.15

Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount		
759	02/27/2009	15:36:10	15:36:10	INTL	S	P	160	EONGY	25.90	977	02/27/2009	NW	02/27/2009 15:22:30	INTL	SL	1,800	EONGY	25.80	D9F9716D	NFSC	\$16.00		
												EX	02/27/2009 15:36:29	INTL		200	EONGY	25.80	D9F9716D				
												CL	02/27/2009 15:47:03	INTL		1,600	EONGY		D9F9716D				
760	03/02/2009	09:30:02	09:30:01	INTL	B	P	200	NSRGY	31.60	978	02/20/2009	NW	02/20/2009 10:02:06	INTL	B	500	NSRGY	31.88	D98E9634	NFSC	\$18.00		
												EX	03/02/2009 09:34:25	INTL		500	NSRGY	31.69	D98E9634				
										979	02/27/2009	NW	02/27/2009 10:32:56	INTL	B	100	NSRGY	32.00	D9F96624	NFSC	n/a		
												EX	03/02/2009 09:34:18	INTL		100	NSRGY	31.69	D9F96624				
761	03/02/2009	09:30:45	09:30:44	INTL	B	P	200	NSRGY	31.66	980	02/20/2009	NW	02/20/2009 10:02:06	INTL	B	500	NSRGY	31.88	D98E9634	NFSC	\$18.00		
												EX	03/02/2009 09:34:25	INTL		500	NSRGY	31.69	D98E9634				
										981	02/27/2009	NW	02/27/2009 10:32:56	INTL	B	100	NSRGY	32.00	D9F96624	NFSC	n/a		
												EX	03/02/2009 09:34:18	INTL		100	NSRGY	31.69	D9F96624				
762	03/02/2009	10:09:09	10:09:08	INTL	B	P	200	NSRGY	31.57	982	03/02/2009	NW	03/02/2009 09:33:48	INTL	B	1,000	NSRGY	31.60	DA272C25	NFSC	\$6.00		
												EX	03/02/2009 10:09:23	INTL		1,000	NSRGY	31.60	DA272C25				
763	03/02/2009	10:18:02	10:17:58	INTL	B	P	210	BASFY	26.55	983	02/25/2009	NW	02/25/2009 14:34:07	INTL	B	150	BASFY	26.65	D9DAEACF	NFSC	\$15.00		
												EX	03/02/2009 10:19:42	INTL		150	BASFY	26.65	D9DAEACF				
764	03/02/2009	11:32:45	11:32:45	INTL	B	P	2,000	NSRGY	31.37	984	03/02/2009	NW	03/02/2009 11:05:55	INTL	B	100	NSRGY	31.49	DA272F45	NFSC	\$3.00		
												EX	03/02/2009 11:34:10	INTL		100	NSRGY	31.40	DA272F45				
765	03/02/2009	11:33:08	11:33:07	INTL	B	P	160	BASFY	25.96	985	03/02/2009	NW	03/02/2009 07:28:10	INTL	B	100	BASFY	26.10	DA272B4A	NFSC	\$14.00		
												EX	03/02/2009 11:34:03	INTL		100	BASFY	26.10	DA272B4A				
766	03/02/2009	12:26:19	12:26:18	INTL	S	P	195	NSRGY	31.38	986	03/02/2009	NW	03/02/2009 12:23:38	INTL	SL	318	NSRGY	31.35	DA27338E	NFSC	\$5.85		
												EX	03/02/2009 12:26:56	INTL		318	NSRGY	31.35	DA27338E				
767	03/02/2009	13:11:29	13:11:29	INTL	B	P	233	RBGPY	7.52	987	03/02/2009	NW	03/02/2009 13:09:12	INTL	B	7,510	RBGPY	7.65	DA273515	NFSC	\$4.66		
												EX	03/02/2009 13:13:00	INTL		7,510	RBGPY	7.54	DA273515				
768	03/02/2009	15:27:52	15:27:52	INTL	B	P	1,000	ALBCF	0.82	988	03/02/2009	NW	03/02/2009 08:00:01	INTL	B	5,000	ALBCF	0.85	DA272B54	DEAN	\$30.00		
												EX	03/02/2009 15:28:07	INTL		1,000	ALBCF	0.85	DA272B54				
769	03/03/2009	09:32:19	09:32:17	INTL	B	P	120	AGIBY	0.04	989	03/02/2009	NW	03/02/2009 09:49:04	INTL	B	600	AGIBY	0.05	DA272CA3	NFSC	\$1.20		
												EX	03/03/2009 09:33:08	INTL		600	AGIBY	0.05	DA272CA3				
770	03/03/2009	09:34:36	09:34:35	INTL	B	P	965	NSRGY	30.48	990	02/11/2009	NW	02/11/2009 15:20:38	INTL	B	100	NSRGY	30.50	D9054AE9	NFSC	\$2.00		
												EX	03/03/2009 09:34:47	INTL		100	NSRGY	30.50	D9054AE9				
										991	02/27/2009	NW	02/27/2009 09:24:58	INTL	B	200	NSRGY	30.50	D9F964E0	NFSC	\$4.00		
												EX	03/03/2009 09:34:50	INTL		200	NSRGY	30.50	D9F964E0				
										992	03/03/2009	NW	03/03/2009 09:34:23	INTL	B	200	NSRGY	30.56	DA366EB3	NFSC	\$8.00		
												EX	03/03/2009 09:34:55	INTL		200	NSRGY	30.52	DA366EB3				
										993	03/03/2009	NW	03/03/2009 08:11:44	INTL	B	100	NSRGY	30.82	DA366DCC	MSCO	\$2.00		
												EX	03/03/2009 09:37:29	INTL		100	NSRGY	30.48	DA366DCC				
771	03/03/2009	11:17:32	11:17:31	INTL	B	P	175	NSRGY	30.06	994	02/17/2009	NW	02/17/2009 11:32:15	INTL	B	200	NSRGY	30.11	D960D154	NFSC	\$8.75		
												EX	03/03/2009 11:17:42	INTL		200	NSRGY	30.11	D960D154				
772	03/03/2009	11:22:33	11:22:32	INTL	B	P	2,500	NSRGY	29.98	995	02/09/2009	NW	02/09/2009 07:25:04	INTL	B	500	NSRGY	30.00	D8E6B8ED	NFSC	\$7.32		
												EX	03/03/2009 11:23:06	INTL		500	NSRGY	30.00	D8E6B8ED				
										996	02/18/2009	NW	02/18/2009 11:48:08	INTL	B	100	NSRGY	30.00	D97015BA	NFSC	\$2.00		
												EX	03/03/2009 11:23:07	INTL		100	NSRGY	30.00	D97015BA				
										997	02/20/2009	NW	02/20/2009 11:36:57	INTL	B	1,334	NSRGY	30.00	D98E9932	NFSC	\$26.68		
												EX	03/03/2009 11:23:07	INTL		1,334	NSRGY	30.00	D98E9932				
										998	02/20/2009	NW	02/20/2009 08:42:07	INTL	B	200	NSRGY	30.00	D98E94E5	NFSC	\$4.00		
												EX	03/03/2009 11:23:04	INTL		200	NSRGY	30.00	D98E94E5				
										999	03/03/2009	NW	03/03/2009 08:11:44	INTL	B	500	NSRGY	30.00	DA366DCB	MSCO	\$10.00		
												EX	03/03/2009 11:23:03	INTL		500	NSRGY	30.00	DA366DCB				
										1000	03/03/2009	NW	03/03/2009 08:11:44	INTL	B	500	NSRGY	30.00	DA366DCF	MSCO	n/a		
												EX	03/03/2009 11:23:09	INTL		500	NSRGY	30.00	DA366DCF				
773	03/03/2009	11:25:34	11:25:34	INTL	B	P	139	AHBF	23.55	1001	03/03/2009	NW	03/03/2009 08:00:01	INTL	B	1,000	AHBF	24.00	DA366D91	DEAN	\$62.55		
												EX	03/03/2009 11:25:58	INTL		200	AHBF	24.00	DA366D91				
												EX	03/03/2009 11:26:13	INTL		800	AHBF	23.95	DA366D91				
774	03/03/2009	11:58:00	11:57:59	INTL	B	P	106	NSRGY	29.81	1002	03/03/2009	NW	03/03/2009 11:57:36	INTL	B	200	NSRGY	29.90	DA36763D	NFSC	\$9.54		
												EX	03/03/2009 11:58:07	INTL		200	NSRGY	29.90	DA36763D				
775	03/03/2009	12:23:48	12:23:48	INTL	S	P	160	NSRGY	30.05	1003	03/03/2009	NW	03/03/2009 11:50:13	INTL	SL	500	NSRGY	30.00	DA3675D9	NFSC	\$8.00		
												EX	03/03/2009 12:25:07	INTL		500	NSRGY	30.00	DA3675D9				
776	03/03/2009																						

Part I: Trigger Trades										Part II: Customer Limit Orders												Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
786	03/04/2009	09:38:40	09:38:39	INTL	S	P	117	NCMGY	20.50	1014	03/03/2009	EX	03/03/2009 15:54:18	INTL		100	NSRGY	29.91	DA3684F3			
												NW	03/03/2009 10:50:42	INTL	SL	1,000	NCMGY	20.41	DA36729E	NFSC	\$10.53	
												EX	03/04/2009 09:38:55	INTL		117	NCMGY	20.41	DA36729E			
												EX	03/04/2009 10:02:33	INTL		200	NCMGY	20.50	DA36729E			
												EX	03/04/2009 10:08:18	INTL		683	NCMGY	20.41	DA36729E			
787	03/04/2009	09:55:37	09:55:37	INTL	B	P	300	WMMVF	1.88	1015	03/04/2009	NW	03/04/2009 07:25:01	INTL	B	1,000	WMMVF	1.91	DA45AF83	NFSC	\$9.00	
												EX	03/04/2009 09:56:40	INTL		1,000	WMMVF	1.91	DA45AF83			
788	03/04/2009	09:56:20	09:56:20	INTL	B	P	200	LUKOY	32.40	1016	03/04/2009	NW	03/04/2009 09:47:50	INTL	B	100	LUKOY	32.50	DA45B0F5	NFSC	\$10.00	
												EX	03/04/2009 09:57:17	INTL		100	LUKOY	32.50	DA45B0F5			
										1017	03/04/2009	NW	03/04/2009 09:54:28	INTL	B	3,000	LUKOY	32.60	DA45B133	NFSC	\$10.00	
												EX	03/04/2009 09:57:24	INTL		3,000	LUKOY	32.50	DA45B133			
789	03/04/2009	11:06:32	11:06:31	INTL	S	P	300	NSRGY	31.05	1018	03/03/2009	NW	03/03/2009 13:15:48	INTL	SL	175	NSRGY	31.00	DA367A7D	NFSC	n/a	
												EX	03/04/2009 11:06:53	INTL		175	NSRGY	31.00	DA367A7D			
										1019	03/04/2009	NW	03/04/2009 08:12:12	INTL	SL	6,000	NSRGY	31.00	DA45AFD4	NFSC	\$15.00	
												EX	03/04/2009 11:06:49	INTL		6,000	NSRGY	31.00	DA45AFD4			
790	03/04/2009	11:37:49	11:37:49	INTL	B	P	2,156	SSDOY	13.60	1020	03/04/2009	NW	03/04/2009 11:29:44	INTL	B	114	SSDOY	13.78	DA45B54C	FCCP	\$20.52	
												EX	03/04/2009 11:39:19	INTL		114	SSDOY	13.78	DA45B54C			
791	03/04/2009	11:51:06	11:51:06	INTL	B	P	447	SGAMY	2.22	1021	03/04/2009	NW	03/04/2009 11:50:47	INTL	B	678	SGAMY	2.28	DA45B638	MSCO	\$8.94	
												EX	03/04/2009 11:51:08	INTL		678	SGAMY	2.24	DA45B638			
792	03/04/2009	12:08:41	12:08:40	INTL	B	P	918	SKHSY	6.49	1022	03/04/2009	NW	03/04/2009 11:29:44	INTL	B	190	SKHSY	6.50	DA45B54B	FCCP	\$1.90	
												EX	03/04/2009 12:09:08	INTL		190	SKHSY	6.50	DA45B54B			
793	03/04/2009	13:59:25	13:59:25	INTL	B	P	112	SSDOY	13.59	1023	03/04/2009	NW	03/04/2009 13:14:51	INTL	B	256	SSDOY	13.79	DA45B925	FCCP	\$22.40	
												EX	03/04/2009 14:00:44	INTL		256	SSDOY	13.79	DA45B925			
794	03/04/2009	14:13:39	14:13:39	INTL	B	P	100	LUKOY	32.95	1024	03/04/2009	NW	03/04/2009 13:41:53	INTL	B	100	LUKOY	33.00	DA45BA92	NFSC	\$5.00	
												EX	03/04/2009 14:14:30	INTL		100	LUKOY	33.00	DA45BA92			
795	03/04/2009	15:19:29	15:19:28	INTL	B	P	480	VOLVY	4.19	1025	03/04/2009	NW	03/04/2009 15:17:32	INTL	B	5,000	VOLVY	4.20	DA45BE8D	NFSC	\$4.80	
												EX	03/04/2009 15:20:31	INTL		500	VOLVY	4.20	DA45BE8D			
												EX	03/04/2009 15:22:28	INTL		4,500	VOLVY	4.20	DA45BE8D			
796	03/04/2009	15:22:05	15:22:05	INTL	B	P	604	VOLVY	4.19	1026	03/04/2009	NW	03/04/2009 15:17:32	INTL	B	5,000	VOLVY	4.20	DA45BE8D	NFSC	\$6.04	
												EX	03/04/2009 15:20:31	INTL		500	VOLVY	4.20	DA45BE8D			
												EX	03/04/2009 15:22:28	INTL		4,500	VOLVY	4.20	DA45BE8D			
797	03/04/2009	15:52:02	15:52:01	INTL	B	P	112	IMPUY	12.86	1027	03/04/2009	NW	03/04/2009 15:27:21	INTL	B	408	IMPUY	12.99	DA45BF63	FCCP	\$14.56	
												EX	03/04/2009 15:53:36	INTL		408	IMPUY	12.99	DA45BF63			
798	03/05/2009	09:32:36	09:32:36	INTL	B	P	140	SCGLY	5.30	1028	02/18/2009	NW	02/18/2009 08:35:40	INTL	B	1,000	SCGLY	5.44	D970106C	NFSC	\$19.60	
												EX	03/05/2009 09:32:48	INTL		1,000	SCGLY	5.44	D970106C			
799	03/05/2009	10:21:53	10:21:52	INTL	B	P	200	NCMGY	19.90	1029	03/05/2009	NW	03/05/2009 08:05:10	INTL	B	350	NCMGY	20.00	DA54F1FE	NFSC	\$20.00	
												EX	03/05/2009 10:22:05	INTL		350	NCMGY	20.00	DA54F1FE			
800	03/05/2009	12:08:39	12:08:38	INTL	B	P	137	NSRGY	30.16	1030	03/05/2009	NW	03/05/2009 11:45:47	INTL	B	500	NSRGY	30.20	DA54F674	NFSC	\$5.48	
												EX	03/05/2009 12:09:28	INTL		500	NSRGY	30.20	DA54F674			
801	03/05/2009	12:23:16	12:23:16	INTL	B	P	300	TKPHF	38.00	1031	03/03/2009	NW	03/03/2009 14:15:40	INTL	B	200	TKPHF	38.50	DA367E08	MLEX	\$100.00	
												EX	03/05/2009 12:23:24	INTL		200	TKPHF	38.50	DA367E08			
802	03/05/2009	13:19:31	13:19:30	INTL	S	P	140	RHHBY	28.06	1032	03/05/2009	NW	03/05/2009 12:33:58	INTL	SL	1,000	RHHBY	28.00	DA54F805	MSCO	\$1.40	
												EX	03/05/2009 13:20:26	INTL		1,000	RHHBY	28.05	DA54F805			
803	03/05/2009	13:55:35	13:55:35	INTL	B	P	3,465	HYFXF	0.88	1033	03/05/2009	NW	03/05/2009 13:30:59	INTL	B	2,000	HYFXF	0.90	DA54FAC2	NFSC	\$40.00	
												EX	03/05/2009 13:56:24	INTL		2,000	HYFXF	0.90	DA54FAC2			
804	03/05/2009	14:19:07	14:19:07	INTL	S	P	600	NSRGY	30.35	1034	03/05/2009	NW	03/05/2009 14:12:06	INTL	SL	100	NSRGY	30.25	DA54FC7C	NFSC	\$10.00	
												EX	03/05/2009 14:19:30	INTL		100	NSRGY	30.25	DA54FC7C			
805	03/05/2009	14:24:46	14:24:44	INTL	B	P	425	NCMGY	20.15	1035	03/05/2009	NW	03/05/2009 13:18:53	INTL	B	500	NCMGY	20.22	DA54FA2E	NFSC	\$29.75	
												EX	03/05/2009 14:24:59	INTL		500	NCMGY	20.22	DA54FA2E			
806	03/05/2009	14:58:54	14:58:53	INTL	B	P	200	LUKOY	31.97	1036	03/04/2009	NW	03/04/2009 10:25:13	INTL	B	100	LUKOY	32.00	DA45B28A	NFSC	\$3.00	
												EX	03/05/2009 14:59:37	INTL		100	LUKOY	32.00	DA45B28A			
807	03/05/2009	15:48:57	15:48:57	INTL	B	P	1,450	VWSYF	39.20	1037	03/05/2009	NW	03/05/2009 15:18:19	INTL	B	100	VWSYF	40.00	DA54FFEE	NFSC	\$80.00	
												EX	03/05/2009 15:49:07	INTL		100	VWSYF	40.00	DA54FFEE			
										1038	03/05/2009	NW	03/05/2009 15:27:59	INTL	B	150	VWSYF	40.00	DA55004B	NFSC	\$120.00	

Part I: Trigger Trades									Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
817	03/09/2009	09:30:13	09:30:11	INTL	B	P	600	NSRGY	30.17	1051	03/09/2009	NW	03/06/2009 15:39:24	INTL	B	1,000	HYFX	0.90	DA634679			
												EX	03/09/2009 07:25:03	INTL	B	100	NSRGY	31.90	DA91FAD1	NFSC	\$8.00	
818	03/09/2009	11:20:27	11:20:27	INTL	B	P	163	VOLVY	3.96	1052	03/09/2009	EX	03/09/2009 09:32:49	INTL	B	100	NSRGY	30.25	DA91FAD1			
												NW	03/09/2009 11:18:33	INTL	B	100	VOLVY	4.00	DA91FE64	FCCP	\$4.00	
												EX	03/09/2009 11:22:03	INTL	B	100	VOLVY	4.00	DA91FE64			
819	03/09/2009	12:44:19	12:44:18	INTL	B	P	661	CPYYY	13.25	1053	03/09/2009	NW	03/09/2009 12:08:39	INTL	B	300	CPYYY	13.30	DA920030	NFSC	\$15.00	
												EX	03/09/2009 12:45:21	INTL	B	300	CPYYY	13.30	DA920030			
820	03/09/2009	13:47:51	13:47:49	INTL	B	P	450	PALAF	1.76	1054	03/09/2009	NW	03/09/2009 13:20:52	INTL	B	2,400	PALAF	1.82	DA9203BE	NFSC	\$27.00	
												EX	03/09/2009 13:48:05	INTL	B	2,400	PALAF	1.82	DA9203BE			
821	03/09/2009	15:09:13	15:09:12	INTL	B	P	140	IMPUY	11.70	1055	03/09/2009	NW	03/09/2009 15:09:06	INTL	B	208	IMPUY	11.90	DA920855	MSCO	\$28.00	
												EX	03/09/2009 15:09:16	INTL	B	208	IMPUY	11.90	DA920855			
822	03/09/2009	15:50:29	15:50:28	INTL	B	P	422	NSRGY	30.26	1056	03/09/2009	NW	03/09/2009 15:49:44	INTL	B	100	NSRGY	30.30	DA920ADE	NFSC	\$4.00	
												EX	03/09/2009 15:51:27	INTL	B	100	NSRGY	30.30	DA920ADE			
823	03/10/2009	10:41:19	10:41:19	INTL	B	P	500	NSRGY	31.43	1057	03/10/2009	NW	03/10/2009 10:39:38	INTL	B	700	NSRGY	31.54	DAA14098	NFSC	\$10.00	
												EX	03/10/2009 10:41:55	INTL	B	700	NSRGY	31.45	DAA14098			
824	03/10/2009	11:12:24	11:12:23	INTL	B	P	100	CHEUY	7.25	1058	03/10/2009	NW	03/10/2009 11:11:04	INTL	B	150	CHEUY	7.26	DAA141EF	NFSC	\$1.00	
												EX	03/10/2009 11:12:59	INTL	B	150	CHEUY	7.26	DAA141EF			
825	03/10/2009	14:18:10	14:18:10	INTL	B	P	437	NSRGY	31.50	1059	03/10/2009	NW	03/10/2009 14:17:43	INTL	B	313	NSRGY	32.00	DAA14921	NFSC	\$18.78	
												EX	03/10/2009 14:18:27	INTL	B	313	NSRGY	31.56	DAA14921			
826	03/10/2009	14:18:30	14:18:30	INTL	B	P	106	HINKY	12.80	1060	03/10/2009	NW	03/10/2009 14:06:19	INTL	B	500	HINKY	12.82	DAA1488C	NFSC	\$2.12	
												EX	03/10/2009 14:18:55	INTL	B	500	HINKY	12.82	DAA1488C			
827	03/10/2009	15:42:40	15:42:39	INTL	B	P	400	LUKOY	37.80	1061	03/10/2009	NW	03/10/2009 15:35:08	INTL	B	200	LUKOY	37.83	DAA14ECB	NFSC	\$6.00	
												EX	03/10/2009 15:43:09	INTL	B	200	LUKOY	37.83	DAA14ECB			
828	03/11/2009	10:07:32	10:07:32	INTL	B	P	230	NSRGY	31.77	1062	03/11/2009	NW	03/11/2009 10:07:23	INTL	B	100	NSRGY	31.90	DAB08089	NFSC	\$3.00	
												EX	03/11/2009 10:08:58	INTL	B	100	NSRGY	31.80	DAB08089			
829	03/11/2009	11:42:05	11:42:04	INTL	B	P	2,000	ACDSF	0.70	1063	03/11/2009	NW	03/11/2009 08:00:01	INTL	B	2,000	ACDSF	0.74	DAB07F65	DEAN	\$80.00	
												EX	03/11/2009 11:42:10	INTL	B	2,000	ACDSF	0.74	DAB07F65			
830	03/12/2009	12:46:30	12:46:30	INTL	B	P	6,000	SEOAY	4.10	1064	03/12/2009	NW	03/12/2009 12:46:10	INTL	B	18,381	SEOAY	4.16	DABFC70F	FCCP	n/a	
												EX	03/12/2009 12:46:10	INTL	B	1,000	SEOAY	4.10	DABFC70F			
												EX	03/12/2009 12:47:01	INTL	B	5,381	SEOAY	4.11	DABFC70F		\$53.81	
												EX	03/12/2009 12:47:08	INTL	B	12,000	SEOAY	4.12	DABFC70F		\$12.38	
831	03/12/2009	13:25:08	13:25:08	INTL	B	P	109	SSDOY	13.06	1065	03/12/2009	NW	03/12/2009 12:45:59	INTL	B	1,304	SSDOY	13.07	DABFC709	FCCP	\$1.09	
												EX	03/12/2009 13:25:21	INTL	B	200	SSDOY	13.07	DABFC709			
832	03/13/2009	09:30:38	09:30:37	INTL	S	P	190	BASFY	31.81	1066	03/13/2009	NW	03/13/2009 07:57:45	INTL	SL	400	BASFY	29.75	DACF03E9	NFSC	\$45.60	
												EX	03/13/2009 09:32:49	INTL	B	400	BASFY	31.57	DACF03E9			
833	03/13/2009	09:53:27	09:53:27	INTL	B	P	538	CHEUY	7.97	1067	03/13/2009	NW	03/13/2009 09:47:22	INTL	B	3,400	CHEUY	8.00	DACF04AE	NFSC	\$16.14	
												EX	03/13/2009 09:53:50	INTL	B	3,400	CHEUY	8.00	DACF04AE			
834	03/13/2009	10:11:32	10:11:31	INTL	B	P	205	STBUY	3.15	1068	03/13/2009	NW	03/13/2009 10:02:55	INTL	B	5,442	STBUY	3.22	DACF04F3	FCCP	\$14.35	
												EX	03/13/2009 10:12:35	INTL	B	5,442	STBUY	3.22	DACF04F3			
835	03/13/2009	10:29:13	10:29:10	INTL	B	P	5,721	STBUY	3.18	1069	03/13/2009	NW	03/13/2009 10:15:25	INTL	B	100	STBUY	3.20	DACF052E	NFSC	\$2.00	
												EX	03/13/2009 10:30:24	INTL	B	100	STBUY	3.20	DACF052E			
836	03/13/2009	12:38:03	12:38:03	INTL	B	P	106	BASFY	31.14	1070	03/13/2009	NW	03/13/2009 12:35:19	INTL	B	500	BASFY	31.31	DACF08CA	NFSC	\$18.02	
												EX	03/13/2009 12:38:11	INTL	B	500	BASFY	31.31	DACF08CA			
837	03/13/2009	13:08:05	13:08:05	INTL	B	P	1,000	FSUMF	1.57	1071	03/13/2009	NW	03/13/2009 09:40:33	INTL	B	1,000	FSUMF	1.61	DACF0494	NFSC	n/a	
												EX	03/13/2009 15:31:25	INTL	B	1,000	FSUMF	1.61	DACF0494			
										1072	03/13/2009	NW	03/13/2009 10:39:52	INTL	B	100	FSUMF	1.61	DACF05CC	NFSC	n/a	
												EX	03/13/2009 15:31:26	INTL	B	100	FSUMF	1.61	DACF05CC			
										1073	03/13/2009	NW	03/13/2009 09:00:22	INTL	B	5,000	FSUMF	1.59	DACF0441	NFSC	\$20.00	
												EX	03/13/2009 13:08:30	INTL	B	1,000	FSUMF	1.59	DACF0441			
838	03/13/2009	14:20:28	14:20:28	INTL	B	P	111	NSRGY	31.35	1074	03/13/2009	NW	03/13/2009 14:17:21	INTL	B	100	NSRGY	31.42	DACF0C6A	NFSC	\$7.00	
												EX	03/13/2009 14:20:53	INTL	B	100	NSRGY	31.42	DACF0C6A			
839	03/13/2009	14:41:15	14:41:15	INTL	B	P	100	NSRGY	31.36	1075	03/13/2009	NW	03/13/2009 14:40:34	INTL	B	300	NSRGY	31.40	DACF0D86	NFSC	\$4.00	
												EX	03/13/2009 14:41:39	INTL	B	300	NSRGY	31.40	DACF0D86			
840	03/16/2009	11:47:11	11:47:11	INTL	B	P	110	BASFY	31.41	1076	03/16/2009	NW	03/16/2009 11:46:20	INTL	B	100	BASFY	31.48	DAFCCD90	NFSC	\$7.00	

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
852	03/17/2009	14:41:10	14:41:09	INTL	S	P	400	NCMGY	21.05	1088	03/17/2009	NW	03/17/2009 11:03:01	INTL	SL	100	NCMGY	21.00	DB0C0F8E	NFSC	\$5.00
												EX	03/17/2009 14:41:18	INTL		100	NCMGY	21.00	DB0C0F8E		
853	03/17/2009	15:35:05	15:35:05	INTL	B	P	101	IMPUY	14.35	1089	03/17/2009	NW	03/17/2009 15:15:21	INTL	B	460	IMPUY	14.40	DB0C177A	MSCO	\$5.05
												EX	03/17/2009 15:35:14	INTL		460	IMPUY	14.40	DB0C177A		
854	03/18/2009	10:28:17	10:28:15	INTL	B	P	500	NTDOY	35.50	1090	03/18/2009	NW	03/18/2009 08:13:03	INTL	B	500	NTDOY	35.57	DB1B4F55	NFSC	\$35.00
												EX	03/18/2009 10:29:31	INTL		500	NTDOY	35.57	DB1B4F55		
855	03/18/2009	14:31:17	14:31:16	INTL	S	P	200	YARIY	22.10	1091	03/18/2009	NW	03/18/2009 07:25:01	INTL	SL	100	YARIY	22.00	DB1B4F04	NFSC	\$10.00
												EX	03/18/2009 14:31:40	INTL		100	YARIY	22.00	DB1B4F04		
856	03/18/2009	15:19:58	15:19:58	INTL	B	P	2,000	LUKOY	37.40	1092	03/18/2009	NW	03/18/2009 15:11:46	INTL	B	150	LUKOY	37.50	DB1B57E5	NFSC	\$15.00
												EX	03/18/2009 15:20:32	INTL		150	LUKOY	37.50	DB1B57E5		
857	03/18/2009	15:29:18	15:29:17	INTL	B	P	300	IMPUY	14.83	1093	03/18/2009	NW	03/18/2009 15:26:42	INTL	B	200	IMPUY	14.84	DB1B58DD	NFSC	\$2.00
												EX	03/18/2009 15:29:23	INTL		200	IMPUY	14.84	DB1B58DD		
858	03/18/2009	15:58:55	15:58:54	INTL	S	P	300	BACHF	0.32	1094	03/18/2009	NW	03/18/2009 07:25:03	INTL	SL	20,000	BACHF	0.31	DB1B4F14	NFSC	\$3.00
												EX	03/18/2009 15:59:19	INTL		1,000	BACHF	0.31	DB1B4F14		
												EX	03/18/2009 15:59:47	INTL		19,000	BACHF	0.31	DB1B4F14		
859	03/19/2009	09:32:35	09:32:21	INTL	S	P	125	VWSYF	46.10	1095	03/19/2009	NW	03/19/2009 08:00:01	INTL	SL	100	VWSYF	44.90	DB2A9190	DEAN	\$41.00
												EX	03/19/2009 09:33:52	INTL		100	VWSYF	45.69	DB2A9190		
860	03/19/2009	09:54:12	09:54:11	INTL	B	P	267	NSRGY	31.91	1096	03/19/2009	NW	03/19/2009 09:52:03	INTL	B	300	NSRGY	31.93	DB2A926D	NFSC	\$5.34
												EX	03/19/2009 09:54:16	INTL		300	NSRGY	31.93	DB2A926D		
861	03/19/2009	11:47:59	11:47:58	INTL	B	P	200	LUKOY	37.95	1097	03/19/2009	NW	03/19/2009 11:25:15	INTL	B	100	LUKOY	37.99	DB2A945F	FCCP	\$4.00
												EX	03/19/2009 11:48:21	INTL		100	LUKOY	37.99	DB2A945F		
862	03/19/2009	11:52:31	11:52:30	INTL	B	P	250	NSRGY	32.20	1098	03/19/2009	NW	03/19/2009 11:52:26	INTL	B	700	NSRGY	32.25	DB2A94F8	NFSC	\$12.50
												EX	03/19/2009 11:52:35	INTL		700	NSRGY	32.25	DB2A94F8		
863	03/19/2009	13:41:58	13:41:57	INTL	S	P	475	SUHYJ	8.67	1099	03/19/2009	NW	03/19/2009 11:29:54	INTL	SL	366	SUHYJ	8.65	DB2A9477	FCCP	\$7.32
												EX	03/19/2009 13:42:10	INTL		366	SUHYJ	8.65	DB2A9477		
864	03/19/2009	14:10:35	14:10:34	INTL	B	P	300	IMPUY	16.01	1100	03/19/2009	NW	03/19/2009 14:10:06	INTL	B	180	IMPUY	16.07	DB2A998E	NFSC	\$10.80
												EX	03/19/2009 14:10:40	INTL		180	IMPUY	16.07	DB2A998E		
865	03/19/2009	14:13:58	14:13:57	INTL	B	P	175	AIQUY	16.70	1101	03/19/2009	NW	03/19/2009 13:54:09	INTL	B	790	AIQUY	16.81	DB2A9938	NFSC	n/a
												EX	03/19/2009 14:24:29	INTL		790	AIQUY	16.81	DB2A9938		
										1102	03/19/2009	NW	03/19/2009 13:50:57	INTL	B	700	AIQUY	16.81	DB2A991F	NFSC	\$19.25
												EX	03/19/2009 14:14:05	INTL		700	AIQUY	16.81	DB2A991F		
866	03/19/2009	14:24:17	14:24:17	INTL	B	P	173	AIQUY	16.70	1103	03/19/2009	NW	03/19/2009 13:54:09	INTL	B	790	AIQUY	16.81	DB2A9938	NFSC	\$19.03
												EX	03/19/2009 14:24:29	INTL		790	AIQUY	16.81	DB2A9938		
867	03/19/2009	14:25:07	14:25:07	INTL	B	P	244	VOLVY	5.49	1104	03/19/2009	NW	03/19/2009 14:15:27	INTL	B	5,000	VOLVY	5.50	DB2A99AB	NFSC	\$2.44
												EX	03/19/2009 14:25:25	INTL		300	VOLVY	5.50	DB2A99AB		
												EX	03/19/2009 14:42:14	INTL		4,700	VOLVY	5.50	DB2A99AB		
868	03/19/2009	15:02:59	15:02:58	INTL	B	P	414	SGAMY	2.43	1105	03/19/2009	NW	03/19/2009 15:02:42	INTL	B	19,981	SGAMY	2.49	DB2A9826	MSCO	\$4.14
												EX	03/19/2009 15:04:02	INTL		19,981	SGAMY	2.44	DB2A9826		
869	03/19/2009	15:22:02	15:22:01	INTL	B	P	273	AIQUY	16.70	1106	03/19/2009	NW	03/19/2009 15:05:14	INTL	B	700	AIQUY	16.74	DB2A983D	NFSC	\$10.92
												EX	03/19/2009 15:22:45	INTL		700	AIQUY	16.74	DB2A983D		
870	03/19/2009	15:50:35	15:50:34	INTL	B	P	125	NSRGY	32.04	1107	03/19/2009	NW	03/19/2009 15:49:36	INTL	B	250	NSRGY	32.05	DB2A9D09	NFSC	\$1.25
												EX	03/19/2009 15:50:41	INTL		250	NSRGY	32.05	DB2A9D09		
871	03/19/2009	15:57:58	15:57:57	INTL	B	P	5,000	PALAF	2.44	1108	03/19/2009	NW	03/19/2009 15:53:42	INTL	B	500	PALAF	2.45	DB2A9D50	NFSC	\$5.00
												EX	03/19/2009 15:58:04	INTL		500	PALAF	2.45	DB2A9D50		
872	03/20/2009	09:59:15	09:59:15	INTL	B	P	500	SANYY	7.05	1109	03/20/2009	NW	03/20/2009 07:25:02	INTL	B	100	SANYY	7.10	DB39D39A	NFSC	\$5.00
												EX	03/20/2009 09:59:31	INTL		100	SANYY	7.10	DB39D39A		
873	03/20/2009	11:56:25	11:56:24	INTL	B	P	4,987	PALAF	2.39	1110	03/20/2009	NW	03/20/2009 11:18:21	INTL	B	1,000	PALAF	2.40	DB39D658	NFSC	\$10.00
												EX	03/20/2009 11:57:16	INTL		1,000	PALAF	2.40	DB39D658		
874	03/20/2009	12:08:31	12:08:31	INTL	S	P	200	BASFY	32.61	1111	03/20/2009	NW	03/20/2009 12:04:14	INTL	SL	100	BASFY	32.50	DB39D7C1	NFSC	\$11.00
												EX	03/20/2009 12:08:36	INTL		100	BASFY	32.50	DB39D7C1		
875	03/20/2009	12:34:30	12:34:30	INTL	B	P	188	BASFY	32.52	1112	03/20/2009	NW	03/20/2009 12:29:58	INTL	B	100	BASFY	32.64	DB39D847	MLEX	\$12.00
												EX	03/20/2009 12:34:41	INTL		100	BASFY	32.64	DB39D847		
876	03/20/2009	13:00:38	13:00:38	INTL	S	P	1,000	TOSBF	2.72	1113	03/20/2009	NW	03/20/2009 12:14:21	INTL	SL	10,000	TOSBF	2.70	DB39D7E8	NFSC	\$20.00
												EX	03/20/2009 13:01:17	INTL		1,000	TOSBF	2.70	DB39D7E8		
877	03/20/2009	13:14:38	13:14:37	INTL	S	P	100	NSRGY	31.35	1114	03/20/2009	NW	03/20/2009 13:14:08	INTL	SL	1,000	NSRGY	31.33	DB39DA80	NFSC	\$2.00
												EX	03/20/2009 13:14:58	INTL		500	NSRGY	31.33	DB39DA80		
												EX	03/20/2009 13:15:12	INTL		500	NSRGY	31.33	DB39DA80		
878	03/20/2009	13:59:23	13:59:22	INTL	B	P	171	NSRGY	31.12	1115	03/20/2009	NW	03/20/2009 13:59:00	INTL	B	500	NSRGY	31.15	DB39DB8A	NFSC	\$5.13
												EX	03/20/2009 13:59:54	INTL		500	NSRGY	31.15	DB39DB8A		
879	03/20/2009	14:40:51	14:40:49	INTL	B	P	749	IMPUY	16.70	1116	03/20/2009	NW	03/20/2009 14:13:45	INTL	B	500	IMPUY	16.75	DB39DC0A	FCCP	\$25.00
												EX	03/20/2009 14:41:16	INTL		500	IMPUY	16.75	DB39DC0A		
880	03/20/2009	14:45:09	14:45:08	INTL	B	P	200	NSRGY	31.05	1117	03/20/2009	NW	03/20/2009 14:32:34	INTL	B	200	NSRGY	31.07	DB39DCA1	NFSC	\$2.00
												EX	03/20/2009 14:45:27	INTL		200	NSRGY	31.06	DB39DCA1		
881	03/20/2009	14:50:45	14:50:44	INTL	B	P	214	SCBFF	12.77	1118	03/20/2009	NW	03/20/2009 11:46:58	INTL	B	100	SCBFF	12.80	DB39D71C	DEAN	\$3.00
												EX	03/20/2009 14:51:17	INTL		100	SCBFF	12.80	DB39D71C		
882	03/20/2009	15:13:13	15:13:13	INTL	B	P	1,571	SGAMY	2.31	1119	03/20/2009	NW	03/20/2009 15:12:40	INTL	B	1,192	SGAMY	2.39	DB39DDFD	MSCO	\$35.76
												EX	03/20/2009 15:13:31	INTL		1,192	SGAMY	2.34	DB39DDFD		
883	03/23/2009	09:31:30																			

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
888	03/23/2009	13:00:31	13:00:31	INTL	B	P	261	SSDOY	14.41	1125	03/23/2009	NW	03/23/2009 11:30:45	INTL	B	543	SSDOY	14.48	DB679D04	FCCP	\$18.27
												EX	03/23/2009 13:00:39	INTL		543	SSDOY	14.48	DB679D04		
889	03/23/2009	14:21:10	14:21:09	INTL	S	P	105	BNPQY	23.35	1126	03/23/2009	NW	03/23/2009 14:12:13	INTL	SL	225	BNPQY	23.28	DB67A1E8	NFSC	\$7.35
												EX	03/23/2009 14:21:32	INTL		225	BNPQY	23.28	DB67A1E8		
890	03/24/2009	10:01:48	10:01:47	INTL	S	P	150	NSRGY	33.63	1127	03/19/2009	NW	03/19/2009 07:30:03	INTL	SL	1,000	NSRGY	33.59	D82A9172	FCCP	\$1.50
												EX	03/24/2009 10:02:34	INTL		1,000	NSRGY	33.62	D82A9172		
891	03/24/2009	10:16:52	10:16:50	INTL	B	P	140	WMMVY	23.70	1128	03/24/2009	NW	03/24/2009 10:13:35	INTL	B	100	WMMVY	23.71	D876DDFC	NFSC	\$1.00
												EX	03/24/2009 10:17:14	INTL		100	WMMVY	23.71	D876DDFC		
892	03/24/2009	11:33:46	11:33:45	INTL	B	P	165	NILSY	6.68	1129	03/24/2009	NW	03/24/2009 11:26:53	INTL	B	750	NILSY	6.70	D876DFA9	NFSC	\$3.30
												EX	03/24/2009 11:34:01	INTL		250	NILSY	6.70	D876DFA9		
												EX	03/24/2009 11:36:20	INTL		500	NILSY	6.70	D876DFA9		
893	03/24/2009	11:45:22	11:45:21	INTL	B	P	263	CSCMY	2.60	1130	03/24/2009	NW	03/24/2009 09:04:58	INTL	B	3,000	CSCMY	2.61	D876DD2E	NFSC	\$2.63
												EX	03/24/2009 11:45:53	INTL		500	CSCMY	2.61	D876DD2E		
												EX	03/24/2009 15:23:01	INTL		500	CSCMY	2.61	D876DD2E		
												EX	03/30/2009 09:44:47	INTL		2,000	CSCMY	2.61	D876DD2E		
894	03/24/2009	13:05:31	13:05:30	INTL	B	P	156	NSRGY	33.31	1131	03/24/2009	NW	03/24/2009 13:02:05	INTL	B	100	NSRGY	33.33	D876E2A4	NFSC	\$2.00
												EX	03/24/2009 13:05:56	INTL		100	NSRGY	33.33	D876E2A4		
895	03/24/2009	15:22:49	15:22:48	INTL	B	P	265	CSCMY	2.60	1132	03/24/2009	NW	03/24/2009 09:04:58	INTL	B	3,000	CSCMY	2.61	D876DD2E	NFSC	\$2.65
												EX	03/24/2009 11:45:53	INTL		500	CSCMY	2.61	D876DD2E		
												EX	03/24/2009 15:23:01	INTL		500	CSCMY	2.61	D876DD2E		
												EX	03/30/2009 09:44:47	INTL		2,000	CSCMY	2.61	D876DD2E		
896	03/24/2009	15:34:36	15:34:36	INTL	B	P	182	IMPUY	17.70	1133	03/24/2009	NW	03/24/2009 13:08:21	INTL	B	500	IMPUY	17.75	D876E2C3	NFSC	\$9.10
												EX	03/24/2009 15:34:56	INTL		500	IMPUY	17.75	D876E2C3		
897	03/24/2009	15:43:58	15:43:58	INTL	B	P	683	NCMGY	22.80	1134	03/24/2009	NW	03/24/2009 15:36:58	INTL	B	100	NCMGY	22.84	DB76E75F	NFSC	\$4.00
												EX	03/24/2009 15:44:09	INTL		100	NCMGY	22.84	DB76E75F		
898	03/24/2009	15:44:30	15:44:30	INTL	B	P	118	NSRGY	33.20	1135	03/24/2009	NW	03/24/2009 15:43:48	INTL	B	100	NSRGY	33.21	DB76E798	NFSC	\$1.00
												EX	03/24/2009 15:45:00	INTL		100	NSRGY	33.21	DB76E798		
899	03/25/2009	10:19:37	10:19:37	INTL	B	P	325	NSRGY	34.36	1136	03/25/2009	NW	03/25/2009 10:19:34	INTL	B	140	NSRGY	34.40	DB862019	NFSC	\$5.60
												EX	03/25/2009 10:20:04	INTL		140	NSRGY	34.40	DB862019		
900	03/25/2009	12:40:58	12:40:58	INTL	B	P	127	SKHSY	8.06	1137	03/25/2009	NW	03/25/2009 11:20:18	INTL	B	370	SKHSY	8.22	DB8621BE	FCCP	\$20.32
												EX	03/25/2009 12:41:06	INTL		370	SKHSY	8.22	DB8621BE		
901	03/25/2009	13:02:04	13:02:04	INTL	B	P	100	IMPUY	18.48	1138	03/25/2009	NW	03/25/2009 12:50:12	INTL	B	270	IMPUY	18.65	DB86248C	NFSC	\$17.00
												EX	03/25/2009 13:02:20	INTL		270	IMPUY	18.65	DB86248C		
902	03/25/2009	13:36:55	13:36:55	INTL	B	P	200	LUKOY	41.45	1139	03/25/2009	NW	03/25/2009 13:36:34	INTL	B	1,000	LUKOY	41.50	DB8625AC	NFSC	\$10.00
												EX	03/25/2009 13:37:10	INTL		200	LUKOY	41.50	DB8625AC		
												EX	03/25/2009 13:37:26	INTL		800	LUKOY	41.50	DB8625AC		
903	03/25/2009	14:33:13	14:33:12	INTL	B	P	125	NSRGY	33.95	1140	03/25/2009	NW	03/25/2009 13:25:37	INTL	B	200	NSRGY	33.96	DB862580	NFSC	\$1.25
												EX	03/25/2009 14:33:37	INTL		200	NSRGY	33.96	DB862580		
										1141	03/25/2009	NW	03/25/2009 11:07:16	INTL	B	100	NSRGY	34.00	DB86217E	NFSC	n/a
												EX	03/25/2009 14:33:42	INTL		100	NSRGY	34.00	DB86217E		
904	03/25/2009	14:33:29	14:33:28	INTL	B	P	100	NSRGY	33.95	1142	03/25/2009	NW	03/25/2009 13:25:37	INTL	B	200	NSRGY	33.96	DB862580	NFSC	\$1.00
												EX	03/25/2009 14:33:37	INTL		200	NSRGY	33.96	DB862580		
										1143	03/25/2009	NW	03/25/2009 11:07:16	INTL	B	100	NSRGY	34.00	DB86217E	NFSC	n/a
												EX	03/25/2009 14:33:42	INTL		100	NSRGY	34.00	DB86217E		
905	03/25/2009	14:49:15	14:49:14	INTL	B	P	200	IMPUY	18.16	1144	03/25/2009	NW	03/25/2009 14:28:27	INTL	B	1,500	IMPUY	18.25	DB862708	FCCP	\$18.00
												EX	03/25/2009 14:51:19	INTL		1,500	IMPUY	18.25	DB862708		
906	03/25/2009	15:42:08	15:42:07	INTL	S	P	113	BASFY	32.66	1145	03/25/2009	NW	03/25/2009 14:36:25	INTL	SL	200	BASFY	32.60	DB862784	NFSC	\$1.13
												EX	03/25/2009 15:42:28	INTL		200	BASFY	32.65	DB862784		
907	03/25/2009	15:46:17	15:46:16	INTL	B	P	153	SGAMY	2.37	1146	03/25/2009	NW	03/25/2009 15:46:04	INTL	B	2,999	SGAMY	2.46	DB862A44	MSCO	\$4.59
												EX	03/25/2009 15:46:18	INTL		2,999	SGAMY	2.40	DB862A44		
908	03/26/2009	09:55:12	09:55:11	INTL	S	P	20,000	GELYF	0.10	1147	03/26/2009	NW	03/26/2009 08:23:20	INTL	SL	9,500	GELYF	0.09	DB956169	DEAN	\$95.00
												EX	03/26/2009 09:55:23	INTL		9,500	GELYF	0.09	DB956169		
909	03/26/2009	12:52:12	12:52:11	INTL	S	P	155	HINKY	14.95	1148	03/26/2009	NW	03/26/2009 12:48:20	INTL	SL	500	HINKY	14.90	DB9566ED	NFSC	\$7.75
												EX	03/26/2009 12:52:22	INTL		500	HINKY	14.90	DB9566ED		
910	03/26/2009	14:09:18	14:09:17	INTL	S	P	426	HLDCY	3.90	1149	03/25/2009	NW	03/25/2009 10:59:5								

Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount			
										1161	03/27/2009	NW	03/27/2009 07:25:02	INTL	B	100	NSRGY	34.00	DBA4A351	NFSC	n/a			
												EX	03/27/2009 09:32:21	INTL		100	NSRGY	33.51	DBA4A351					
										1162	03/27/2009	NW	03/27/2009 07:25:01	INTL	B	600	NSRGY	34.00	DBA4A34A	NFSC	n/a			
												EX	03/27/2009 09:32:24	INTL		600	NSRGY	33.51	DBA4A34A					
916	03/27/2009	09:59:11	09:59:11	INTL	B	P	335	NSRGY	33.36	1163	03/27/2009	NW	03/27/2009 09:54:01	INTL	B	300	NSRGY	33.42	DBA4A423	NFSC	\$18.00			
												EX	03/27/2009 10:00:23	INTL		300	NSRGY	33.42	DBA4A423					
917	03/27/2009	11:45:37	11:45:36	INTL	B	P	338	SGAMY	2.30	1164	03/27/2009	NW	03/27/2009 11:20:25	INTL	B	1,183	SGAMY	2.37	DBA4A588	MSCO	\$23.66			
												EX	03/27/2009 11:45:50	INTL		1,183	SGAMY	2.37	DBA4A588					
918	03/27/2009	12:34:36	12:34:35	INTL	B	P	200	NSRGY	32.90	1165	03/27/2009	NW	03/27/2009 12:26:57	INTL	B	400	NSRGY	32.95	DBA4A6B3	NFSC	n/a			
												EX	03/27/2009 12:38:01	INTL		400	NSRGY	32.95	DBA4A6B3					
										1166	03/27/2009	NW	03/27/2009 08:00:36	INTL	B	100	NSRGY	33.00	DBA4A38A	NFSC	\$5.00			
												EX	03/27/2009 12:37:55	INTL		100	NSRGY	32.95	DBA4A38A					
										1167	03/27/2009	NW	03/27/2009 12:08:25	INTL	B	100	NSRGY	33.00	DBA4A674	NFSC	\$5.00			
												EX	03/27/2009 12:37:59	INTL		100	NSRGY	32.95	DBA4A674					
919	03/27/2009	12:35:58	12:35:58	INTL	B	P	259	NSRGY	32.80	1168	03/27/2009	NW	03/27/2009 12:26:57	INTL	B	400	NSRGY	32.95	DBA4A6B3	NFSC	\$12.95			
												EX	03/27/2009 12:38:01	INTL		400	NSRGY	32.95	DBA4A6B3					
										1169	03/27/2009	NW	03/27/2009 08:00:36	INTL	B	100	NSRGY	33.00	DBA4A38A	NFSC	n/a			
												EX	03/27/2009 12:37:55	INTL		100	NSRGY	32.95	DBA4A38A					
										1170	03/27/2009	NW	03/27/2009 12:08:25	INTL	B	100	NSRGY	33.00	DBA4A674	NFSC	n/a			
												EX	03/27/2009 12:37:59	INTL		100	NSRGY	32.95	DBA4A674					
920	03/27/2009	13:49:31	13:49:31	INTL	B	P	150	WOPEY	27.92	1171	03/27/2009	NW	03/27/2009 12:44:38	INTL	B	100	WOPEY	28.00	DBA4A7F5	MSCO	\$8.00			
												EX	03/27/2009 13:49:41	INTL		100	WOPEY	28.00	DBA4A7F5					
921	03/30/2009	09:30:11	09:30:06	INTL	B	P	328	NSRGY	32.65	1172	03/30/2009	NW	03/30/2009 07:25:02	INTL	B	100	NSRGY	33.00	DBD26A11	NFSC	\$1.00			
												EX	03/30/2009 09:31:33	INTL		100	NSRGY	32.66	DBD26A11					
										1173	03/30/2009	NW	03/30/2009 07:25:05	INTL	B	100	NSRGY	33.00	DBD26A28	NFSC	\$1.00			
												EX	03/30/2009 09:31:22	INTL		100	NSRGY	32.66	DBD26A28					
										1174	03/30/2009	NW	03/30/2009 07:25:03	INTL	B	100	NSRGY	33.02	DBD26A16	NFSC	\$1.00			
												EX	03/30/2009 09:31:28	INTL		100	NSRGY	32.66	DBD26A16					
922	03/30/2009	09:30:51	09:30:34	INTL	B	P	1,010	IMPUY	14.95	1175	03/30/2009	NW	03/30/2009 07:25:04	INTL	B	400	IMPUY	15.00	DBD26A26	NFSC	\$20.00			
												EX	03/30/2009 09:33:00	INTL		400	IMPUY	15.00	DBD26A26					
										1176	03/30/2009	NW	03/30/2009 07:25:05	INTL	B	100	IMPUY	15.50	DBD26A2F	NFSC	\$5.00			
												EX	03/30/2009 09:32:48	INTL		100	IMPUY	15.05	DBD26A2F					
923	03/30/2009	09:31:21	09:31:20	INTL	B	P	850	BASFY	29.80	1177	03/27/2009	NW	03/27/2009 12:10:48	INTL	B	100	BASFY	30.01	DBA4A67B	NFSC	n/a			
												EX	03/30/2009 09:33:27	INTL		100	BASFY	29.78	DBA4A67B					
										1178	03/27/2009	NW	03/27/2009 14:37:45	INTL	B	100	BASFY	31.10	DBA4A4FA	NFSC	n/a			
												EX	03/30/2009 09:33:35	INTL		100	BASFY	29.78	DBA4A4FA					
										1179	03/30/2009	NW	03/30/2009 07:25:00	INTL	B	200	BASFY	31.60	DBD26A02	NFSC	n/a			
												EX	03/30/2009 09:33:40	INTL		200	BASFY	29.78	DBD26A02					
										1180	03/30/2009	NW	03/30/2009 07:25:05	INTL	B	100	BASFY	31.60	DBD26A28	NFSC	\$1.00			
												EX	03/30/2009 09:33:59	INTL		100	BASFY	29.81	DBD26A28					
924	03/30/2009	09:44:29	09:44:28	INTL	B	P	381	CSCMY	2.37	1181	03/24/2009	NW	03/24/2009 09:04:58	INTL	B	3,000	CSCMY	2.61	DB76DD2E	NFSC	\$91.44			
												EX	03/24/2009 11:45:53	INTL		500	CSCMY	2.61	DB76DD2E					
												EX	03/24/2009 15:23:01	INTL		500	CSCMY	2.61	DB76DD2E					
												EX	03/30/2009 09:44:47	INTL		2,000	CSCMY	2.61	DB76DD2E					
925	03/30/2009	09:47:28	09:47:26	INTL	B	P	200	LUKOY	37.75	1182	03/30/2009	NW	03/30/2009 09:05:56	INTL	B	130	LUKOY	38.00	DBD26A11	NFSC	\$32.50			
												EX	03/30/2009 09:48:15	INTL		130	LUKOY	38.00	DBD26A11					
926	03/30/2009	13:47:30	13:47:30	INTL	B	P	793	SGAMY	2.20	1183	03/30/2009	NW	03/30/2009 13:47:23	INTL	B	1,745	SGAMY	2.31	DBD270CC	MSCO	\$31.72			
												EX	03/30/2009 13:47:31	INTL		1,745	SGAMY	2.24	DBD270CC					
927	03/30/2009	13:47:40	13:47:39	INTL	B	P	134	IMPUY	15.40	1184	03/30/2009	NW	03/30/2009 13:47:22	INTL	B	2,447	IMPUY	15.60	DBD270CA	MSCO	\$6.70			
												EX	03/30/2009 13:48:19	INTL		2,447	IMPUY	15.45	DBD270CA					
928	03/30/2009	14:00:54	14:00:53	INTL	B	P	235	BAESY	18.22	1185	03/30/2009	NW	03/30/2009 08:57:50	INTL	B	1,000	BAESY	18.30	DBD26A8E	NFSC	\$18.80			
												EX	03/30/2009 14:01:16	INTL		1,000	BAESY	18.30	DBD26A8E					
929	03/30/2009	14:34:15	14:34:15	INTL	B	P	179	BASFY	29.10	1186	03/30/2009	NW	03/30/2009 12:40:40	INTL	B	300	BASFY	29.15	DBD26E70	NFSC	\$8.95			
												EX	03/30/2009 14:35:19	INTL		300	BASFY	29.15	DBD26E70					
930	03/30/2009	15:30:37	15:30:36	INTL	B	P	500	HYFXF	1.07	1187	03/30/2009	NW	03/30/2009 12:50:19	INTL	B	1,000	HYFXF	1.10	DBD26EC9	NFSC	\$15.00			
												EX	03/30/2009 15:30:42	INTL		1,000	HYFXF	1.10	DBD26EC9					
931	03/30/2009	15:42:02	15:42:01	INTL	B	P	2,500	NTDOY	35.31	1188	03/30/2009	NW	03/30/2009 15:40:06	INTL	B									

Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount		
938	03/31/2009	13:35:57	13:35:57	INTL	S	P	3,000	OGZPY	14.99	1196	03/31/2009	NW	03/31/2009 13:15:55	INTL	SL	30,000	OGZPY	14.96	DBE1B263		\$90.00		
												EX	03/31/2009 13:16:32	INTL		2,000	OGZPY	14.96	DBE1B263				
												EX	03/31/2009 13:17:19	INTL		8,000	OGZPY	14.96	DBE1B263				
												EX	03/31/2009 13:22:57	INTL		3,500	OGZPY	14.99	DBE1B263				
												EX	03/31/2009 13:23:26	INTL		6,500	OGZPY	14.96	DBE1B263				
												EX	03/31/2009 13:36:11	INTL		3,000	OGZPY	14.96	DBE1B263				
												EX	03/31/2009 13:36:28	INTL		7,000	OGZPY	14.96	DBE1B263				
939	03/31/2009	13:49:32	13:49:31	INTL	B	P	224	SCBFF	12.25	1197	03/31/2009	NW	03/31/2009 12:49:50	INTL	B	1,300	SCBFF	12.45	DBE1B10D	MOKE	\$44.80		
												EX	03/31/2009 13:19:28	INTL		300	SCBFF	12.45	DBE1B10D				
												EX	03/31/2009 13:49:43	INTL		1,000	SCBFF	12.45	DBE1B10D				
940	03/31/2009	15:33:49	15:33:49	INTL	B	P	105	NSRGY	33.69	1198	03/31/2009	NW	03/31/2009 15:01:03	INTL	B	100	NSRGY	33.75	DBE1B51E	NFSC	\$6.00		
												EX	03/31/2009 15:33:54	INTL		100	NSRGY	33.75	DBE1B51E				
941	04/01/2009	09:30:14	09:30:13	INTL	B	P	103	LUKOY	37.48	1199	03/31/2009	NW	03/31/2009 12:20:41	INTL	B	1,000	LUKOY	37.50	DBE1B068	NFSC	\$2.06		
												EX	04/01/2009 09:32:34	INTL		200	LUKOY	37.50	DBE1B068				
										1200	04/01/2009	NW	04/01/2009 07:30:05	INTL	B	130	LUKOY	37.70	DBFOEEAA	FCCP	n/a		
												EX	04/01/2009 09:33:06	INTL		130	LUKOY	37.64	DBFOEEAA				
										1201	04/01/2009	NW	04/01/2009 07:25:03	INTL	B	250	LUKOY	37.75	DBFOEEAA	NFSC	n/a		
												EX	04/01/2009 09:33:07	INTL		250	LUKOY	37.64	DBFOEEAA				
942	04/01/2009	09:30:33	09:30:32	INTL	B	P	445	BASFY	29.46	1202	04/01/2009	NW	04/01/2009 07:25:01	INTL	B	100	BASFY	30.00	DBFOEEBF	NFSC	\$2.00		
												EX	04/01/2009 09:32:34	INTL		100	BASFY	29.48	DBFOEEBF				
943	04/01/2009	09:50:22	09:50:20	INTL	B	P	101	NCMGY	22.45	1203	04/01/2009	NW	04/01/2009 09:47:17	INTL	B	225	NCMGY	22.50	DBFOEF45	NFSC	\$5.05		
												EX	04/01/2009 09:50:41	INTL		225	NCMGY	22.50	DBFOEF45				
944	04/01/2009	10:20:58	10:20:57	INTL	S	P	200	VWSYF	47.20	1204	04/01/2009	NW	04/01/2009 08:00:04	INTL	SL	100	VWSYF	46.90	DBFOEEC1	DEAN	\$25.00		
												EX	04/01/2009 10:21:32	INTL		100	VWSYF	46.95	DBFOEEC1				
945	04/01/2009	12:38:20	12:38:20	INTL	B	P	120	IMPUY	18.20	1205	04/01/2009	NW	04/01/2009 12:37:07	INTL	B	200	IMPUY	18.25	DBFOF27C	MSCO	\$6.00		
												EX	04/01/2009 12:40:32	INTL		200	IMPUY	18.25	DBFOF27C				
946	04/01/2009	12:39:33	12:39:33	INTL	B	P	178	ZFSVY	15.78	1206	04/01/2009	NW	04/01/2009 12:38:03	INTL	B	540	ZFSVY	15.95	DBFOF2B7	MSCO	\$12.46		
												EX	04/01/2009 12:39:37	INTL		540	ZFSVY	15.85	DBFOF2B7				
947	04/01/2009	12:40:05	12:40:05	INTL	B	P	449	NSRGY	34.04	1207	04/01/2009	NW	04/01/2009 12:39:54	INTL	B	580	NSRGY	34.10	DBFOF331	MSCO	\$17.96		
												EX	04/01/2009 12:40:08	INTL		580	NSRGY	34.08	DBFOF331				
948	04/01/2009	15:32:27	15:32:26	INTL	B	P	223	SKHSY	8.11	1208	04/01/2009	NW	04/01/2009 14:37:47	INTL	B	701	SKHSY	8.13	DBFOF60B	FCCP	\$4.46		
												EX	04/01/2009 15:32:36	INTL		701	SKHSY	8.13	DBFOF60B				
949	04/02/2009	09:41:32	09:41:31	INTL	S	P	300	NCMGY	23.60	1209	04/02/2009	NW	04/02/2009 09:37:52	INTL	SL	400	NCMGY	23.55	DC00317E	NFSC	\$15.00		
												EX	04/02/2009 09:41:46	INTL		400	NCMGY	23.55	DC00317E				
950	04/02/2009	11:21:47	11:21:46	INTL	B	P	200	IMPUY	17.95	1210	04/02/2009	NW	04/02/2009 11:20:30	INTL	B	2,820	IMPUY	17.98	DC0033B3	MSCO	\$4.00		
												EX	04/02/2009 11:22:08	INTL		220	IMPUY	17.98	DC0033B3				
												EX	04/02/2009 11:26:04	INTL		2,000	IMPUY	17.98	DC0033B3				
												EX	04/02/2009 11:26:28	INTL		600	IMPUY	17.95	DC0033B3				
951	04/02/2009	11:25:49	11:25:49	INTL	B	P	1,900	IMPUY	17.95	1211	04/02/2009	NW	04/02/2009 11:20:30	INTL	B	2,820	IMPUY	17.98	DC0033B3	MSCO	\$38.00		
												EX	04/02/2009 11:22:08	INTL		220	IMPUY	17.98	DC0033B3				
												EX	04/02/2009 11:26:04	INTL		2,000	IMPUY	17.98	DC0033B3				
												EX	04/02/2009 11:26:28	INTL		600	IMPUY	17.95	DC0033B3				
952	04/02/2009	12:17:01	12:17:01	INTL	B	P	174	NSRGY	34.56	1212	04/02/2009	NW	04/02/2009 12:00:50	INTL	B	125	NSRGY	34.60	DC00345C	NFSC	\$5.00		
												EX	04/02/2009 12:17:08	INTL		125	NSRGY	34.60	DC00345C				
953	04/02/2009	12:17:58	12:17:58	INTL	B	P	468	IMPUY	17.66	1213	04/02/2009	NW	04/02/2009 11:37:25	INTL	B	250	IMPUY	17.75	DC0033F8	NFSC	\$22.50		
												EX	04/02/2009 12:18:04	INTL		250	IMPUY	17.75	DC0033F8				
954	04/02/2009	12:47:09	12:47:08	INTL	B	P	204	NSRGY	34.85	1214	04/02/2009	NW	04/02/2009 12:46:59	INTL	B	170	NSRGY	34.87	DC0035F1	NFSC	\$3.40		
												EX	04/02/2009 12:50:57	INTL		170	NSRGY	34.87	DC0035F1				
955	04/02/2009	13:06:56	13:06:55	INTL	S	P	100	VWDRY	17.55	1215	03/16/2009	NW	03/16/2009 13:38:32	INTL	SL	1,000	VWDRY	17.50	DAFCD11C	FCCP	\$5.00		
												EX	04/02/2009 13:07:34	INTL		500	VWDRY	17.50	DAFCD11C				
												EX	04/02/2009 14:46:27	INTL		500	VWDRY	17.50	DAFCD11C				
956	04/02/2009	14:31:36	14:31:33	INTL	B	P	283	IMPUY	17.90	1216	04/02/2009	NW	04/02/2009 14:26:33	INTL	B	1,000	IMPUY	17.95	DC003926	FCCP	\$14.15		
												EX	04/02/2009 14:31:38	INTL		1,000	IMPUY	17.95	DC003926				
957	04/02/2009	14:46:02	14:46:01	INTL	S	P	1,000	VWDRY	17.54	1217	03/16/2009	NW	03/16/2009 13:38:32	INTL	SL	1,000	VWDRY	17.50	DAFCD11C	FCCP	\$25.00		
												EX	04/02/2009 13:07:34	INTL		500	VWDRY	17.50	DAFCD11C				
												EX	04/02/2009 14:46:27	INTL		500	VWDRY	1					

Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount		
965	04/06/2009	10:31:36	10:31:35	INTL	B	P	200	BASFY	34.40	1229	04/06/2009	NW	04/06/2009 10:28:38	INTL	B	100	BASFY	34.50	DC3D384F	NFSC	\$4.00		
												EX	04/06/2009 10:31:40	INTL		100	BASFY	34.44	DC3D384F				
966	04/06/2009	10:47:09	10:47:08	INTL	B	P	1,739	NILSY	6.83	1230	04/06/2009	NW	04/06/2009 07:25:04	INTL	B	175	NILSY	6.86	DC3D39F6	NFSC	\$5.25		
												EX	04/06/2009 10:47:16	INTL		175	NILSY	6.86	DC3D39F6				
967	04/06/2009	10:58:57	10:58:56	INTL	B	P	120	SGAMY	2.15	1231	04/06/2009	NW	04/06/2009 10:58:50	INTL	B	7,265	SGAMY	2.20	DC3D38DE	MSCO	\$6.00		
												EX	04/06/2009 10:59:10	INTL		7,265	SGAMY	2.20	DC3D38DE				
968	04/06/2009	14:21:25	14:21:25	INTL	B	P	337	BASFY	34.20	1232	04/06/2009	NW	04/06/2009 14:18:42	INTL	B	134	BASFY	34.25	DC3D4020	MLEX	\$6.70		
												EX	04/06/2009 14:21:31	INTL		134	BASFY	34.25	DC3D4020				
969	04/06/2009	15:38:19	15:38:18	INTL	B	P	170	SGAMY	2.18	1233	04/06/2009	NW	04/06/2009 15:34:44	INTL	B	1,865	SGAMY	2.20	DC3D42C9	MSCO	\$3.40		
												EX	04/06/2009 15:38:32	INTL		1,865	SGAMY	2.20	DC3D42C9				
970	04/06/2009	15:54:33	15:54:32	INTL	S	P	291	NCMGY	20.50	1234	04/06/2009	NW	04/06/2009 15:42:26	INTL	SL	200	NCMGY	20.40	DC3D4325	NFSC	\$20.00		
												EX	04/06/2009 15:54:47	INTL		200	NCMGY	20.40	DC3D4325				
971	04/07/2009	09:39:14	09:39:14	INTL	B	P	100	KPELY	7.15	1235	04/06/2009	NW	04/06/2009 07:25:02	INTL	B	100	KPELY	7.20	DC3D39D5	NFSC	\$5.00		
												EX	04/07/2009 09:40:34	INTL		100	KPELY	7.20	DC3D39D5				
972	04/07/2009	11:44:26	11:44:25	INTL	B	P	107	TSCDY	14.46	1236	04/07/2009	NW	04/07/2009 11:20:09	INTL	B	140	TSCDY	14.50	DC4C7EB4	NFSC	\$4.28		
												EX	04/07/2009 11:45:04	INTL		140	TSCDY	14.50	DC4C7EB4				
973	04/07/2009	14:06:44	14:06:43	INTL	B	P	242	NTDOY	36.40	1237	04/07/2009	NW	04/07/2009 14:04:32	INTL	B	250	NTDOY	36.60	DC4C82D1	NFSC	\$48.40		
												EX	04/07/2009 14:06:52	INTL		250	NTDOY	36.60	DC4C82D1				
974	04/09/2009	09:31:00	09:30:59	INTL	B	P	2,931	NSRGY	34.40	1238	04/09/2009	NW	04/09/2009 07:25:03	INTL	B	200	NSRGY	34.60	DC6B009D	NFSC	\$18.00		
												EX	04/09/2009 09:31:01	INTL		200	NSRGY	34.49	DC6B009D				
975	04/09/2009	11:29:07	11:29:06	INTL	S	P	100	LUKOY	49.20	1239	03/16/2009	NW	03/16/2009 07:25:02	INTL	SL	100	LUKOY	49.11	DAFCCA8F	NFSC	\$9.00		
												EX	04/09/2009 11:30:02	INTL		100	LUKOY	49.11	DAFCCA8F				
976	04/09/2009	11:33:41	11:33:41	INTL	B	P	200	NSRGY	34.16	1240	04/09/2009	NW	04/09/2009 11:33:29	INTL	B	300	NSRGY	34.20	DC6B03FE	NFSC	\$8.00		
												EX	04/09/2009 11:33:46	INTL		300	NSRGY	34.20	DC6B03FE				
977	04/09/2009	13:41:14	13:41:13	INTL	S	P	400	HKXCF	10.90	1241	04/09/2009	NW	04/09/2009 10:19:14	INTL	SL	1,500	HKXCF	10.80	DC6B0247	NFSC	\$40.00		
												EX	04/09/2009 10:21:56	INTL		200	HKXCF	10.80	DC6B0247				
												EX	04/09/2009 13:41:30	INTL		1,300	HKXCF	10.80	DC6B0247				
978	04/09/2009	13:42:19	13:42:18	INTL	S	P	1,000	IMPUY	19.41	1242	04/06/2009	NW	04/06/2009 08:55:26	INTL	SL	100	IMPUY	19.40	DC3D3A5D	NFSC	\$1.00		
												EX	04/09/2009 13:42:25	INTL		100	IMPUY	19.40	DC3D3A5D				
979	04/09/2009	15:59:24	15:59:24	INTL	B	P	200	NTDOY	35.24	1243	04/09/2009	NW	04/09/2009 15:55:47	INTL	B	300	NTDOY	35.25	DC6B0AD3	NFSC	\$2.00		
												EX	04/09/2009 15:59:34	INTL		300	NTDOY	35.25	DC6B0AD3				
980	04/13/2009	09:33:12	09:33:11	INTL	S	P	200	FIATY	9.15	1244	04/09/2009	NW	04/09/2009 11:24:50	INTL	SL	200	FIATY	9.12	DC6B03C9	NFSC	\$6.00		
												EX	04/13/2009 09:33:18	INTL		200	FIATY	9.12	DC6B03C9				
981	04/13/2009	09:33:17	09:33:16	INTL	B	P	200	NSRGY	34.20	1245	04/13/2009	NW	04/13/2009 07:25:02	INTL	B	140	NSRGY	35.50	DCA8098B	NFSC	\$5.60		
												EX	04/13/2009 09:34:40	INTL		140	NSRGY	34.24	DCA8098B				
982	04/13/2009	10:41:26	10:41:25	INTL	S	P	700	LUKOY	48.75	1246	04/13/2009	NW	04/13/2009 10:39:54	INTL	SL	500	LUKOY	48.35	DCA80844	FCCP	\$200.00		
												EX	04/13/2009 10:41:28	INTL		500	LUKOY	48.35	DCA80844				
983	04/13/2009	13:03:32	13:03:31	INTL	B	P	166	STBUY	4.22	1247	04/13/2009	NW	04/13/2009 13:01:25	INTL	B	172	STBUY	4.23	DCA80E2D	FCCP	\$1.66		
												EX	04/13/2009 13:03:38	INTL		172	STBUY	4.23	DCA80E2D				
984	04/13/2009	14:51:47	14:51:46	INTL	B	P	145	CHEUY	9.76	1248	04/13/2009	NW	04/13/2009 14:40:28	INTL	B	1,000	CHEUY	9.81	DCA81055	NFSC	\$7.25		
												EX	04/13/2009 14:52:05	INTL		1,000	CHEUY	9.81	DCA81055				
985	04/14/2009	09:30:41	09:30:40	INTL	S	P	200	BASFY	36.53	1249	04/14/2009	NW	04/14/2009 07:25:03	INTL	SL	100	BASFY	36.04	DCB74BE0	NFSC	\$8.00		
												EX	04/14/2009 09:31:07	INTL		100	BASFY	36.45	DCB74BE0				
986	04/14/2009	09:31:06	09:31:06	INTL	B	P	500	WMMVF	2.77	1250	04/14/2009	NW	04/14/2009 09:27:19	INTL	B	500	WMMVF	2.80	DCB74C64	NFSC	n/a		
												CL	04/14/2009 09:58:42	INTL		500	WMMVF		DCB74C64				
										1251	04/14/2009	NW	04/14/2009 07:25:02	INTL	B	500	WMMVF	3.00	DCB74BD1	NFSC	\$40.00		
												EX	04/14/2009 09:31:59	INTL		500	WMMVF	2.85	DCB74BD1				
987	04/14/2009	10:37:19	10:37:18	INTL	S	P	300	NTDOY	34.05	1252	04/14/2009	NW	04/14/2009 07:25:03	INTL	SL	1,000	NTDOY	34.00	DCB74BE3	NFSC	\$15.00		
												EX	04/14/2009 10:38:59	INTL		1,000	NTDOY	34.00	DCB74BE3				
988	04/14/2009	11:29:26	11:29:24	INTL	B	P	5,700	WMMVF	2.82	1253	04/14/2009	NW	04/14/2009 09:59:06	INTL	B	500	WMMVF	2.84	DCB74CC6	NFSC	\$10.00		
												EX	04/14/2009 11:29:38	INTL		500	WMMVF	2.84	DCB74CC6				
989	04/14/2009	13:15:02	13:15:02	INTL	B	P	1,000	SWCEY	22.46	1254	04/14/2009	NW	04/14/2009 08:02:21	INTL	B	100	SWCEY	22.50	DCB74C0C	NFSC	\$4.00		
												EX	04/14/2009 13:15:40	INTL		100	SWCEY	22.50	DCB74C0C				
990	04/14/2009	13:22:39	13:22:38	INTL	B	P	200	IMPUY	18.61	1255	04/1												

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Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount		
1055	05/01/2009	13:20:27	13:20:26	INTL	S	P	219	IMPVY	19.59	1344	05/01/2009	EX	05/01/2009 11:15:15	INTL		500	NMGY	22.10	DDAB8224				
												NW	05/01/2009 10:54:30	INTL	SL	4,000	IMPVY	19.50	DDAB83ED	NFSC	\$18.00		
												EX	05/01/2009 13:20:53	INTL		200	IMPVY	19.50	DDAB83ED				
												EX	05/01/2009 13:39:58	INTL		3,800	IMPVY	19.50	DDAB83ED				
1056	05/01/2009	14:12:20	14:12:20	INTL	B	P	166	NTDOY	33.53	1345	05/01/2009	NW	05/01/2009 14:02:00	INTL	B	300	NTDOY	33.55	DDAB8A0	NFSC	\$3.32		
												EX	05/01/2009 14:12:27	INTL		300	NTDOY	33.55	DDAB8A0				
1057	05/01/2009	14:22:38	14:22:38	INTL	B	P	1,156	SKHSY	8.61	1346	05/01/2009	NW	05/01/2009 13:19:51	INTL	B	737	SKHSY	8.70	DDAB8731	FCCP	\$66.33		
												EX	05/01/2009 14:23:17	INTL		737	SKHSY	8.70	DDAB8731				
1058	05/01/2009	15:43:30	15:43:29	INTL	S	P	400	NSRGY	32.55	1347	05/01/2009	NW	05/01/2009 15:35:27	INTL	SL	100	NSRGY	32.54	DDAB8B7	NFSC	\$1.00		
												EX	05/01/2009 15:43:43	INTL		100	NSRGY	32.54	DDAB8B7				
1059	05/01/2009	15:45:47	15:45:47	INTL	B	P	216	SKHSY	8.62	1348	05/01/2009	NW	05/01/2009 15:26:27	INTL	B	136	SKHSY	8.75	DDAB8B3	FCCP	\$17.68		
												EX	05/01/2009 15:46:07	INTL		136	SKHSY	8.75	DDAB8B3				
1060	05/04/2009	09:33:55	09:33:54	INTL	S	P	400	NSRGY	33.83	1349	05/04/2009	NW	05/04/2009 07:25:06	INTL	SL	154	NSRGY	32.00	DDE87904	NFSC	\$9.24		
												EX	05/04/2009 09:34:21	INTL		154	NSRGY	33.77	DDE87904				
1061	05/04/2009	09:58:39	09:58:38	INTL	S	P	117	NSRGY	34.02	1350	04/24/2009	NW	04/24/2009 12:33:26	INTL	SL	480	NSRGY	34.00	DD4FE6A0	NFSC	\$1.17		
												EX	05/04/2009 09:59:01	INTL		480	NSRGY	34.01	DD4FE6A0				
1062	05/04/2009	09:59:25	09:59:25	INTL	B	P	7,000	ZTCOF	3.42	1351	04/29/2009	NW	04/29/2009 12:11:32	INTL	B	2,000	ZTCOF	3.45	DD9C318B	FCCP	\$60.00		
												EX	04/29/2009 14:51:38	INTL		500	ZTCOF	3.45	DD9C318B				
												EX	05/04/2009 09:59:42	INTL		1,500	ZTCOF	3.45	DD9C318B				
1063	05/04/2009	12:07:10	12:07:10	INTL	B	P	600	NSRGY	34.40	1352	05/04/2009	NW	05/04/2009 12:05:49	INTL	B	100	NSRGY	34.60	DDE87D33	NFSC	\$20.00		
												EX	05/04/2009 12:08:01	INTL		100	NSRGY	34.60	DDE87D33				
1064	05/04/2009	12:51:41	12:51:40	INTL	B	P	200	IMPVY	20.65	1353	05/04/2009	NW	05/04/2009 12:50:02	INTL	B	518	IMPVY	20.71	DD87EAB	FCCP	\$12.00		
												EX	05/04/2009 12:51:46	INTL		518	IMPVY	20.71	DD87EAB				
1065	05/04/2009	13:17:37	13:17:36	INTL	B	P	184	SKHSY	8.76	1354	05/04/2009	NW	05/04/2009 13:10:53	INTL	B	410	SKHSY	8.80	DD87F06	FCCP	\$7.36		
												EX	05/04/2009 13:18:41	INTL		410	SKHSY	8.80	DD87F06				
1066	05/04/2009	15:05:15	15:05:14	INTL	B	P	250	NSRGY	34.40	1355	05/04/2009	NW	05/04/2009 15:04:44	INTL	B	1,000	NSRGY	34.42	DD88161	NFSC	\$5.00		
												EX	05/04/2009 15:05:34	INTL		1,000	NSRGY	34.42	DD88161				
1067	05/06/2009	09:30:15	09:30:13	INTL	B	P	158	NSRGY	34.22	1356	05/06/2009	NW	05/06/2009 07:25:04	INTL	B	100	NSRGY	34.25	DE06FD62	NFSC	\$3.00		
												EX	05/06/2009 09:32:07	INTL		100	NSRGY	34.25	DE06FD62				
1068	05/06/2009	09:32:28	09:32:28	INTL	S	P	585	SMFY	3.80	1357	05/05/2009	NW	05/05/2009 14:11:19	INTL	SL	1,350	SMFY	3.79	DD7C1BF	NFSC	\$5.85		
												EX	05/06/2009 09:33:13	INTL		1,350	SMFY	3.79	DD7C1BF				
1069	05/06/2009	09:34:39	09:34:39	INTL	S	P	500	VOLVY	7.25	1358	05/04/2009	NW	05/04/2009 09:00:08	INTL	SL	1,000	VOLVY	7.20	DD87983	NFSC	\$25.00		
												EX	05/06/2009 09:35:01	INTL		1,000	VOLVY	7.20	DD87983				
1070	05/06/2009	10:07:56	10:07:55	INTL	B	P	300	NSRGY	34.15	1359	05/06/2009	NW	05/06/2009 08:11:22	INTL	B	108	NSRGY	34.18	DE06FDA2	MSCO	\$3.24		
												EX	05/06/2009 10:07:59	INTL		108	NSRGY	34.18	DE06FDA2				
1071	05/06/2009	14:27:42	14:27:42	INTL	S	P	200	TLSYY	12.55	1360	05/06/2009	NW	05/06/2009 11:40:48	INTL	SL	200	TLSYY	12.50	DE0700C5	NFSC	\$10.00		
												EX	05/06/2009 14:27:50	INTL		200	TLSYY	12.50	DE0700C5				
1072	05/06/2009	14:49:42	14:49:41	INTL	S	P	100	EONGY	32.85	1361	05/06/2009	NW	05/06/2009 11:20:17	INTL	SL	195	EONGY	32.80	DE07005B	NFSC	\$5.00		
												EX	05/06/2009 14:51:03	INTL		195	EONGY	32.80	DE07005B				
1073	05/06/2009	15:33:49	15:33:48	INTL	B	P	196	SSDOY	17.43	1362	05/06/2009	NW	05/06/2009 15:31:48	INTL	B	3,008	SSDOY	17.50	DE070689	FCCP	\$13.72		
												EX	05/06/2009 15:34:21	INTL		200	SSDOY	17.50	DE070689				
1074	05/06/2009	15:35:58	15:35:58	INTL	B	P	2,500	NTDOY	34.85	1363	05/06/2009	NW	05/06/2009 13:58:37	INTL	B	400	NTDOY	34.90	DE0703CD	NFSC	\$20.00		
												EX	05/06/2009 15:36:09	INTL		400	NTDOY	34.90	DE0703CD				
1075	05/06/2009	15:36:29	15:36:28	INTL	B	P	1,705	STBUY	4.37	1364	05/06/2009	NW	05/06/2009 15:31:48	INTL	B	5,557	STBUY	4.40	DE07068A	FCCP	\$15.00		
												EX	05/06/2009 15:36:41	INTL		500	STBUY	4.40	DE07068A				
												EX	05/06/2009 15:54:18	INTL		5,057	STBUY	4.37	DE07068A				
										1365	05/06/2009	NW	05/06/2009 15:25:09	INTL	B	406	STBUY	4.40	DE070627	FCCP	\$12.18		
												EX	05/06/2009 15:36:45	INTL		406	STBUY	4.40	DE070627				
1076	05/07/2009	09:30:08	09:30:05	INTL	S	P	100	NSRGY	34.93	1366	05/07/2009	NW	05/07/2009 07:25:03	INTL	SL	200	NSRGY	34.50	DE163F9D	NFSC	\$3.00		
												EX	05/07/2009 09:33:56	INTL		200	NSRGY	34.90	DE163F9D				
										1367	05/07/2009	NW	05/07/2009 07:25:03	INTL	SL	250	NSRGY	34.75	DE163F9C	NFSC	n/a		
												EX	05/07/2009 09:34:09	INTL		250	NSRGY	34.90	DE163F9C				
1077	05/07/2009	10:03:12	10:03:11	INTL	S	P	503	OGFGF	12.85	1368	05/05/2009	NW	05/05/2009 09:29:20	INTL	SL	10,000	OGFGF	12.50	DD77BBAA	MLEX	\$176.05		
												EX	05/07/2009 10:03:37	INTL		503	OGFGF	12.50	DD77BBAA				
1078	05/07/2009	11:32:59	11:32:58	INTL	B																		

Part I: Trigger Trades										Part II: Customer Limit Orders												Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
1087	05/08/2009	15:38:07	15:38:07	INTL	B	P	250	STBUY	4.62	1381	05/08/2009	EX	05/08/2009 15:07:55	INTL		150	NSRGY	35.65	DE258A5E			
												NW	05/08/2009 15:36:08	INTL	B	289	STBUY	4.67	DE258B54	FCCP	\$7.80	
												EX	05/08/2009 15:38:16	INTL		289	STBUY	4.65	DE258B54			
1088	05/11/2009	09:32:14	09:31:39	INTL	S	P	100	LUKOY	49.48	1382	05/11/2009	NW	05/11/2009 07:30:06	INTL	SL	3,000	LUKOY	49.40	DE534881	FCCP	\$6.00	
												EX	05/11/2009 09:34:18	INTL		3,000	LUKOY	49.42	DE534881			
1089	05/11/2009	14:12:57	14:12:57	INTL	S	P	400	LUKOY	49.55	1383	05/11/2009	NW	05/11/2009 10:35:10	INTL	SL	5,000	LUKOY	49.40	DE534A80	FCCP	\$60.00	
												EX	05/11/2009 14:13:34	INTL		400	LUKOY	49.40	DE534A80			
												EX	05/11/2009 14:48:51	INTL		800	LUKOY	49.40	DE534A80			
												EX	05/11/2009 14:52:16	INTL		100	LUKOY	49.40	DE534A80			
												EX	05/11/2009 14:57:29	INTL		134	LUKOY	49.40	DE534A80			
												EX	05/11/2009 14:58:01	INTL		3,566	LUKOY	49.40	DE534A80			
1090	05/11/2009	15:24:26	15:24:26	INTL	B	P	200	NTDOY	32.75	1384	05/11/2009	NW	05/11/2009 13:25:19	INTL	B	335	NTDOY	32.76	DE534F3B	NFSC	\$2.00	
												EX	05/11/2009 15:25:08	INTL		335	NTDOY	32.76	DE534F3B			
										1385	05/11/2009	NW	05/11/2009 13:53:32	INTL	B	100	NTDOY	32.77	DE534F3B	NFSC	n/a	
												EX	05/11/2009 15:28:46	INTL		100	NTDOY	32.75	DE534F3B			
1091	05/12/2009	09:31:27	09:31:26	INTL	S	P	100	LUKOY	50.95	1386	05/11/2009	NW	05/11/2009 08:15:02	INTL	SL	100	LUKOY	50.75	DE534900	NFSC	\$10.00	
												EX	05/12/2009 09:31:55	INTL		100	LUKOY	50.85	DE534900			
1092	05/12/2009	09:59:35	09:59:35	INTL	S	P	108	OGZPY	22.27	1387	05/12/2009	NW	05/12/2009 09:59:22	INTL	SL	1,000	OGZPY	22.20	DE628C09	MOKE	\$7.56	
												EX	05/12/2009 09:59:22	INTL		500	OGZPY	22.23	DE628C09			
												EX	05/12/2009 09:59:40	INTL		500	OGZPY	22.20	DE628C09			
1093	05/12/2009	12:33:53	12:33:52	INTL	B	P	110	SKHSY	9.26	1388	05/12/2009	NW	05/12/2009 12:33:40	INTL	B	142	SKHSY	9.44	DE628FE0	FCCP	\$4.40	
												EX	05/12/2009 12:34:47	INTL		142	SKHSY	9.30	DE628FE0			
1094	05/12/2009	15:02:20	15:02:19	INTL	B	P	189	SGAMY	2.56	1389	05/12/2009	NW	05/12/2009 14:48:17	INTL	B	536	SGAMY	2.57	DE6292A6	MSCO	\$1.89	
												EX	05/12/2009 15:02:28	INTL		536	SGAMY	2.57	DE6292A6			
1095	05/13/2009	09:30:44	09:30:42	INTL	S	P	581	RHHBY	32.60	1390	05/13/2009	NW	05/13/2009 08:11:23	INTL	SL	2,900	RHHBY	32.25	DE71CD2E	MSCO	\$29.05	
												EX	05/13/2009 09:31:39	INTL		2,900	RHHBY	32.55	DE71CD2E			
										1391	05/13/2009	NW	05/13/2009 08:11:23	INTL	SL	4,000	RHHBY	32.25	DE71CD2F	MSCO	n/a	
												EX	05/13/2009 09:34:14	INTL		4,000	RHHBY	32.55	DE71CD2F			
										1392	05/13/2009	NW	05/13/2009 08:11:23	INTL	SL	2,000	RHHBY	32.25	DE71CD33	MSCO	n/a	
												EX	05/13/2009 09:34:11	INTL		2,000	RHHBY	32.55	DE71CD33			
										1393	05/13/2009	NW	05/13/2009 08:11:23	INTL	SL	1,500	RHHBY	32.40	DE71CD34	MSCO	n/a	
												EX	05/13/2009 09:34:17	INTL		1,500	RHHBY	32.55	DE71CD34			
1096	05/13/2009	10:04:11	10:04:11	INTL	B	P	200	TLSYY	11.76	1394	05/07/2009	NW	05/07/2009 15:23:27	INTL	B	100	TLSYY	11.80	DE16484B	NFSC	\$4.00	
												EX	05/13/2009 10:04:41	INTL		100	TLSYY	11.80	DE16484B			
1097	05/13/2009	13:00:32	13:00:31	INTL	B	P	256	SKHSY	9.07	1395	05/13/2009	NW	05/13/2009 13:00:27	INTL	B	408	SKHSY	9.40	DE71D246	FCCP	\$84.48	
												EX	05/13/2009 13:01:18	INTL		408	SKHSY	9.40	DE71D246			
1098	05/14/2009	09:48:41	09:48:41	INTL	B	P	129	SBMRV	18.40	1396	05/13/2009	NW	05/13/2009 12:29:27	INTL	B	1,000	SBMRV	18.45	DE71D0F6	NFSC	\$6.45	
												EX	05/14/2009 09:49:08	INTL		129	SBMRV	18.45	DE71D0F6			
												EX	05/14/2009 09:49:21	INTL		871	SBMRV	18.45	DE71D0F6			
1099	05/14/2009	11:32:34	11:32:32	INTL	B	P	373	STBUY	4.61	1397	05/14/2009	NW	05/14/2009 11:22:39	INTL	B	627	STBUY	4.63	DE811252	FCCP	\$7.46	
												EX	05/14/2009 11:32:41	INTL		627	STBUY	4.63	DE811252			
1100	05/14/2009	11:54:37	11:54:37	INTL	B	P	500	NSRGY	36.71	1398	05/14/2009	NW	05/14/2009 11:48:16	INTL	B	300	NSRGY	36.72	DE811290	NFSC	\$3.00	
												EX	05/14/2009 11:55:08	INTL		300	NSRGY	36.72	DE811290			
1101	05/15/2009	09:31:32	09:31:31	INTL	B	P	162	NSRGY	36.24	1399	05/13/2009	NW	05/13/2009 13:58:09	INTL	B	100	NSRGY	36.25	DE71D346	NFSC	\$1.00	
												EX	05/15/2009 09:33:21	INTL		100	NSRGY	36.25	DE71D346			
1102	05/15/2009	09:31:36	09:31:35	INTL	B	P	400	LUKOY	46.70	1400	05/07/2009	NW	05/07/2009 09:15:00	INTL	B	200	LUKOY	47.10	DE164040	NFSC	\$80.00	
												EX	05/15/2009 09:33:26	INTL		200	LUKOY	47.10	DE164040			
1103	05/15/2009	12:37:37	12:37:36	INTL	B	P	224	LUKOY	46.52	1401	05/15/2009	NW	05/15/2009 11:12:45	INTL	B	100	LUKOY	46.70	DE9053EE	NFSC	\$18.00	
												EX	05/15/2009 12:37:49	INTL		100	LUKOY	46.70	DE9053EE			
1104	05/15/2009	14:34:59	14:34:58	INTL	B	P	122	NSRGY	35.75	1402	05/15/2009	NW	05/15/2009 14:25:26	INTL	B	100	NSRGY	35.79	DE9058D0	NFSC	\$4.00	
												EX	05/15/2009 14:35:37	INTL		100	NSRGY	35.79	DE9058D0			
1105	05/15/2009	14:53:41	14:53:40	INTL	B	P	470	SGAMY	2.55	1403	05/15/2009	NW	05/15/2009 14:52:28	INTL	B	432	SGAMY	2.59	DE90598D	MSCO	\$17.28	
												EX	05/15/2009 14:53:57	INTL		432	SGAMY	2.59	DE90598D			
1106	05/15/2009	15:57:21	15:57:20	INTL	B	P	408	SGAMY	2.56	1404	05/15/2009	NW	05/15/2009 15:21:51	INTL	B	639	SGAMY	2.59	DE905ASD	MSCO	\$12.24	
												EX	05/15/2009 15:58:03	INTL		639	SGAMY	2.59	DE905ASD			
1107	05/18/2009	09:32:14	09:32:13	INTL	B	P	200	NSRGY	36.06													

Part I: Trigger Trades										Part II: Customer Limit Orders												Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
1118	05/19/2009	09:57:31	09:57:30	INTL	S	P	300	HLDCY	5.12	1416	05/14/2009	EX	05/18/2009 15:52:15	INTL	SL	330	BASFY	38.99	DEBE1F7F			
												NW	05/14/2009 14:38:58	INTL	SL	720	HLDCY	5.10	DE811784	NFSC	\$6.00	
												EX	05/19/2009 09:58:17	INTL		720	HLDCY	5.10	DE811784			
1119	05/19/2009	10:33:52	10:33:52	INTL	S	P	100	GZPFY	14.99	1417	05/18/2009	NW	05/18/2009 13:24:45	INTL	SL	200	GZPFY	14.75	DEBE1DA8	NFSC	\$24.00	
												EX	05/19/2009 10:33:59	INTL		200	GZPFY	14.75	DEBE1DA8			
1120	05/19/2009	11:32:07	11:32:07	INTL	B	P	1,000	HYFXF	1.22	1418	05/19/2009	NW	05/19/2009 10:18:24	INTL	B	1,000	HYFXF	1.23	DECD5C83	NFSC	\$10.00	
												EX	05/19/2009 11:33:19	INTL		1,000	HYFXF	1.23	DECD5C83			
1121	05/19/2009	11:45:54	11:45:53	INTL	B	P	173	SKHSY	9.42	1419	05/19/2009	NW	05/19/2009 11:45:33	INTL	B	440	SKHSY	9.48	DECD5E82	FCCP	\$10.38	
												EX	05/19/2009 11:46:01	INTL		440	SKHSY	9.48	DECD5E82			
1122	05/19/2009	13:29:46	13:29:45	INTL	S	P	500	HLPPY	15.59	1420	05/19/2009	NW	05/19/2009 13:19:34	INTL	SL	200	HLPPY	15.50	DECD6088	NFSC	\$18.00	
												EX	05/19/2009 13:31:12	INTL		200	HLPPY	15.50	DECD6088			
1123	05/19/2009	14:08:44	14:08:44	INTL	B	P	196	SKHSY	9.46	1421	05/19/2009	NW	05/19/2009 13:43:15	INTL	B	152	SKHSY	9.49	DECD6115	FCCP	\$4.56	
												EX	05/19/2009 14:09:01	INTL		152	SKHSY	9.49	DECD6115			
1124	05/19/2009	14:12:41	14:12:40	INTL	B	P	3,302	GDFZY	36.55	1422	05/19/2009	NW	05/19/2009 12:08:18	INTL	B	888	GDFZY	36.60	DECD5E8F	MSCO	\$44.40	
												EX	05/19/2009 14:12:52	INTL		888	GDFZY	36.60	DECD5E8F			
1125	05/19/2009	15:55:44	15:55:43	INTL	B	P	500	SMFJY	3.89	1423	05/19/2009	NW	05/19/2009 15:26:24	INTL	B	950	SMFJY	3.91	DECD63A3	NFSC	\$10.00	
												EX	05/19/2009 15:55:59	INTL		950	SMFJY	3.91	DECD63A3			
1126	05/20/2009	09:30:14	09:30:12	INTL	S	P	300	NSRGY	36.76	1424	05/18/2009	NW	05/18/2009 09:56:35	INTL	SL	1,000	NSRGY	36.75	DEBE197A	NFSC	\$3.00	
												EX	05/20/2009 09:31:43	INTL		1,000	NSRGY	36.75	DEBE197A			
1127	05/20/2009	09:31:36	09:31:36	INTL	S	P	100	NCMGY	24.40	1425	05/15/2009	NW	05/15/2009 15:08:59	INTL	SL	500	NCMGY	24.12	DE905A09	NFSC	\$28.00	
												EX	05/20/2009 09:32:27	INTL		500	NCMGY	24.12	DE905A09			
1128	05/20/2009	11:19:49	11:19:48	INTL	B	P	2,000	BKEAY	3.16	1426	05/20/2009	NW	05/20/2009 11:15:32	INTL	B	300	BKEAY	3.20	DEDC9F82	NFSC	\$12.00	
												EX	05/20/2009 11:19:51	INTL		300	BKEAY	3.20	DEDC9F82			
1129	05/20/2009	12:21:46	12:21:45	INTL	B	P	4,000	BYDDF	3.85	1427	05/20/2009	NW	05/20/2009 10:14:51	INTL	B	3,000	BYDDF	3.86	DEDC9E6C	NFSC	\$30.00	
												EX	05/20/2009 12:22:08	INTL		3,000	BYDDF	3.86	DEDC9E6C			
1130	05/20/2009	15:30:50	15:30:50	INTL	B	P	165	BAYRY	55.99	1428	05/20/2009	NW	05/20/2009 12:21:08	INTL	B	7,700	BAYRY	55.99	BR5040	SBSH	n/a	
												EX	05/20/2009 15:45:17	INTL		7,700	BAYRY	55.99	BR5040			
										1429	05/20/2009	NW	05/20/2009 10:29:43	INTL	B	200	BAYRY	56.00	DEDC9EBB	MLEX	\$1.65	
												EX	05/20/2009 15:31:07	INTL		200	BAYRY	56.00	DEDC9EBB			
1131	05/21/2009	12:48:03	12:48:03	INTL	B	P	162	IMPUY	19.26	1430	05/21/2009	NW	05/21/2009 11:53:18	INTL	B	150	IMPUY	19.35	DEEBE1CB	NFSC	\$13.50	
												EX	05/21/2009 12:48:42	INTL		150	IMPUY	19.35	DEEBE1CB			
1132	05/22/2009	14:15:56	14:15:56	INTL	B	P	1,456	IMPUY	20.81	1431	05/22/2009	NW	05/22/2009 13:57:59	INTL	B	900	IMPUY	20.95	DEFB2707	NFSC	\$126.00	
												EX	05/22/2009 14:16:06	INTL		900	IMPUY	20.95	DEFB2707			
1133	05/22/2009	15:45:50	15:45:49	INTL	B	P	723	SGAMY	2.68	1432	05/22/2009	NW	05/22/2009 15:45:32	INTL	B	1,283	SGAMY	2.73	DEFB2A30	MSCO	\$36.15	
												EX	05/22/2009 15:46:03	INTL		1,283	SGAMY	2.73	DEFB2A30			
1134	05/26/2009	11:56:46	11:56:46	INTL	B	P	112	SVNDY	50.50	1433	05/26/2009	NW	05/26/2009 11:32:47	INTL	B	404	SVNDY	50.68	DF382D49	FCCP	\$20.16	
												EX	05/26/2009 11:56:58	INTL		404	SVNDY	50.68	DF382D49			
1135	05/26/2009	13:03:39	13:03:38	INTL	B	P	100	NSRGY	37.14	1434	05/26/2009	NW	05/26/2009 12:56:33	INTL	B	750	NSRGY	37.25	DF382FB3	MSCO	\$6.00	
												EX	05/26/2009 13:03:57	INTL		750	NSRGY	37.20	DF382FB3			
1136	05/27/2009	12:42:30	12:42:29	INTL	B	P	860	CTXNY	1.15	1435	05/27/2009	NW	05/27/2009 12:24:31	INTL	B	500	CTXNY	1.16	DF477094	NFSC	\$5.00	
												EX	05/27/2009 12:43:44	INTL		500	CTXNY	1.16	DF477094			
1137	05/27/2009	13:53:07	13:53:05	INTL	B	P	500	LUKOY	49.00	1436	05/27/2009	NW	05/27/2009 13:18:27	INTL	B	500	LUKOY	49.25	DF477404	NFSC	\$125.00	
												EX	05/27/2009 13:53:55	INTL		500	LUKOY	49.25	DF477404			
1138	05/27/2009	14:00:07	14:00:06	INTL	S	P	21,810	PXMFF	0.17	1437	04/28/2009	NW	04/28/2009 14:02:31	INTL	SL	20,596	PXMFF	0.15	D08CF2C0	DADA	\$411.92	
												EX	05/27/2009 14:00:45	INTL		20,596	PXMFF	0.15	D08CF2C0			
1139	05/28/2009	09:43:18	09:43:17	INTL	B	P	200	NTDOY	33.10	1438	05/27/2009	NW	05/27/2009 08:15:01	INTL	B	700	NTDOY	33.20	DF476D06	NFSC	\$4.00	
												EX	05/28/2009 09:37:23	INTL		200	NTDOY	33.20	DF476D06			
												EX	05/28/2009 09:43:57	INTL		500	NTDOY	33.12	DF476D06			
										1439	05/28/2009	NW	05/28/2009 09:32:42	INTL	B	800	NTDOY	33.10	DF56AF80	NFSC	n/a	
												EX	05/28/2009 09:45:36	INTL		800	NTDOY	33.10	DF56AF80			
1140	05/28/2009	09:53:01	09:53:00	INTL	S	P	218	HINKY	17.81	1440	05/28/2009	NW	05/28/2009 09:50:37	INTL	SL	200	HINKY	17.50	DF56AFC9	NFSC	\$14.00	
												EX	05/28/2009 09:53:08	INTL		200	HINKY	17.74	DF56AFC9			
1141	05/28/2009	14:38:22	14:38:22	INTL	S	P	1,000	PALAF	4.04	1441	05/27/2009	NW	05/27/2009 12:42:13	INTL	SL	2,000	PALAF	4.03	DF4771A3	NFSC	\$10.00	
												EX	05/28/2009 14:38:26	INTL		2						

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Part I: Trigger Trades										Part II: Customer Limit Orders												Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
										1493	05/29/2009	NW	05/29/2009 09:13:48	INTL	B	5,000	BYDDF	4.50	DF65F1D6	DEAN	\$250.00	
												EX	05/29/2009 09:30:52	INTL		5,000	BYDDF	4.20	DF65F1D6			
										1494	05/29/2009	NW	05/29/2009 08:15:00	INTL	B	3,000	BYDDF	4.50	DF65F138	NFSC	n/a	
												EX	05/29/2009 09:31:55	INTL		3,000	BYDDF	4.20	DF65F138			
										1495	05/29/2009	NW	05/29/2009 08:15:00	INTL	B	1,500	BYDDF	4.50	DF65F136	NFSC	n/a	
												EX	05/29/2009 09:32:10	INTL		1,500	BYDDF	4.20	DF65F136			
										1496	05/29/2009	NW	05/29/2009 08:15:02	INTL	B	1,000	BYDDF	4.50	DF65F180	NFSC	n/a	
												EX	05/29/2009 09:33:48	INTL		1,000	BYDDF	4.20	DF65F180			
										1497	05/29/2009	NW	05/29/2009 08:15:01	INTL	B	1,000	BYDDF	4.50	DF65F13E	NFSC	n/a	
												EX	05/29/2009 09:32:51	INTL		1,000	BYDDF	4.20	DF65F13E			
										1498	05/29/2009	NW	05/29/2009 08:15:01	INTL	B	500	BYDDF	4.50	DF65F14D	NFSC	n/a	
												EX	05/29/2009 09:34:04	INTL		500	BYDDF	4.20	DF65F14D			
										1499	05/29/2009	NW	05/29/2009 08:15:00	INTL	B	1,000	BYDDF	4.50	DF65F132	NFSC	n/a	
												EX	05/29/2009 09:32:13	INTL		1,000	BYDDF	4.20	DF65F132			
										1500	05/29/2009	NW	05/29/2009 08:15:01	INTL	B	500	BYDDF	4.51	DF65F14A	NFSC	n/a	
												EX	05/29/2009 09:34:07	INTL		500	BYDDF	4.20	DF65F14A			
										1501	05/29/2009	NW	05/29/2009 08:28:42	INTL	B	500	BYDDF	4.51	DF65F188	NFSC	n/a	
												EX	05/29/2009 09:33:53	INTL		500	BYDDF	4.20	DF65F188			
										1502	05/29/2009	NW	05/29/2009 09:19:46	INTL	B	1,500	BYDDF	4.55	DF65F1E2	NFSC	n/a	
												EX	05/29/2009 09:32:31	INTL		1,500	BYDDF	4.20	DF65F1E2			
										1503	05/29/2009	NW	05/29/2009 08:15:02	INTL	B	1,000	BYDDF	4.55	DF65F16C	NFSC	n/a	
												EX	05/29/2009 09:34:42	INTL		1,000	BYDDF	4.20	DF65F16C			
										1504	05/29/2009	NW	05/29/2009 08:15:02	INTL	B	500	BYDDF	4.60	DF65F168	NFSC	n/a	
												EX	05/29/2009 09:33:53	INTL		500	BYDDF	4.20	DF65F168			
										1505	05/29/2009	NW	05/29/2009 08:45:22	INTL	B	2,000	BYDDF	4.60	DF65F192	NFSC	n/a	
												EX	05/29/2009 09:32:07	INTL		2,000	BYDDF	4.20	DF65F192			
										1506	05/29/2009	NW	05/29/2009 08:15:01	INTL	B	500	BYDDF	5.00	DF65F155	NFSC	n/a	
												EX	05/29/2009 09:33:00	INTL		500	BYDDF	4.20	DF65F155			
										1507	05/29/2009	NW	05/29/2009 08:15:01	INTL	B	500	BYDDF	5.00	DF65F15F	NFSC	n/a	
												EX	05/29/2009 09:32:57	INTL		500	BYDDF	4.20	DF65F15F			
										1508	05/29/2009	NW	05/29/2009 09:26:27	INTL	B	2,000	BYDDF	5.00	DF65F1E8	IAEX	\$100.00	
												EX	05/29/2009 09:31:05	INTL		2,000	BYDDF	4.20	DF65F1E8			
										1509	05/29/2009	NW	05/29/2009 08:33:11	INTL	B	3,000	BYDDF	6.00	DF65F18D	NFSC	n/a	
												CL	05/29/2009 09:32:22	INTL		3,000	BYDDF		DF65F18D			
										1510	05/29/2009	NW	05/29/2009 08:55:35	INTL	B	3,000	BYDDF	4.45	DF65F1A8	NFSC	\$150.00	
												EX	05/29/2009 09:30:56	INTL		3,000	BYDDF	4.20	DF65F1A8			
1148	05/29/2009	09:35:33	09:35:33	INTL	B	P	10,000	BYDDF	4.15	1511	05/29/2009	NW	05/29/2009 09:34:55	INTL	B	5,000	BYDDF	4.30	DF65F20C	NFSC	\$250.00	
												EX	05/29/2009 09:36:09	INTL		5,000	BYDDF	4.20	DF65F20C			
1149	05/29/2009	10:54:29	10:54:29	INTL	B	P	342	SSDOY	16.90	1512	05/29/2009	NW	05/29/2009 10:09:52	INTL	B	112	SSDOY	17.16	DF65F2FE	FCCP	\$29.12	
												EX	05/29/2009 10:54:54	INTL		112	SSDOY	17.16	DF65F2FE			
1150	05/29/2009	11:10:39	11:10:38	INTL	B	P	346	SGAMY	2.71	1513	05/29/2009	NW	05/29/2009 10:59:28	INTL	B	3,833	SGAMY	2.82	DF65F463	MSCO	\$38.06	
												EX	05/29/2009 11:11:43	INTL		3,833	SGAMY	2.82	DF65F463			
1151	05/29/2009	11:25:46	11:25:46	INTL	B	P	100	PBEGF	33.631	1514	05/29/2009	NW	05/29/2009 11:24:11	INTL	B	1,000	PBEGF	33.80	DF65F4F3	MLEX	\$0.90	
												EX	05/29/2009 11:25:48	INTL		1,000	PBEGF	33.64	DF65F4F3			
1152	05/29/2009	11:47:32	11:47:31	INTL	B	P	200	NTDOY	33.40	1515	05/29/2009	NW	05/29/2009 11:11:22	INTL	B	100	NTDOY	33.50	DF65F497	NFSC	\$10.00	
												EX	05/29/2009 11:48:17	INTL		100	NTDOY	33.50	DF65F497			
										1516	05/29/2009	NW	05/29/2009 10:59:24	INTL	B	100	NTDOY	33.50	DF65F461	NFSC	\$10.00	
												EX	05/29/2009 11:48:12	INTL		100	NTDOY	33.50	DF65F461			
1153	05/29/2009	14:00:23	14:00:22	INTL	S	P	2,000	BYDDF	5.05	1517	05/29/2009	NW	05/29/2009 14:00:08	INTL	SL	4,500	BYDDF	5.00	DF65F986	NFSC	\$100.00	
												EX	05/29/2009 14:00:46	INTL		2,000	BYDDF	5.00	DF65F986			
												EX	05/29/2009 14:00:52	INTL		2,500	BYDDF	5.00	DF65F986			
1154	05/29/2009	14:32:48	14:32:48	INTL	B	P	500	BYDDF	4.70	1518	05/29/2009	NW	05/29/2009 13:46:27	INTL	B	1,000	BYDDF	4.75	DF65F908	DEAN	\$25.00	
												EX	05/29/2009 14:32:56	INTL		1,000	BYDDF	4.75	DF65F908			
1155	05/29/2009	14:37:20	14:37:19	INTL	B	P	200	BYDDY	45.00	1519	05/29/2009	NW	05/29/2009 13:33:04	INTL	B	150	BYDDY	45.50	DF65F8A9	NFSC	\$75.00	
												EX	05/29/2009 14:37:24	INTL		150	BYDDY	45.50	DF65F8A9			
1156	06/01/2009	12:22:32	12:22:31	INTL	S	P	3,000	BYDDF	4.69	1520	06/01/2009	NW	06/01/2009 12:21:54	INTL	SL	3,000	BYDDF	4.65	DF93BC38	NFSC	\$120.00	
												EX	06/01/2009 12:22:40	INTL		3,000	BYDDF	4.65	DF93BC38			
1157	06/01/2009	14:10:19	14:10:18	INTL	S	P	200	OGZPY	25.15	1521	06/01/2009	NW	06/01/2009 12:50:31	INTL	SL	200	OGZPY	25.00	DF93BD31	FCCP	\$30.00	

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1167	06/03/2009	12:51:49	12:51:49	INTL	B	P	3,000	PALAF	3.98	1531	06/03/2009	NW	06/03/2009 12:23:05	INTL	B	500	NTDOY	33.27	DFB23D0F		
												EX	06/03/2009 12:39:24	INTL	B	100	PALAF	4.03	DFB240EB	NFSC	\$3.00
1168	06/03/2009	14:33:53	14:33:52	INTL	B	P	146	SKHSY	9.50	1532	06/03/2009	NW	06/03/2009 14:33:32	INTL	B	478	SKHSY	9.79	DFB24332	FCCP	\$27.74
												EX	06/03/2009 14:33:53	INTL		478	SKHSY	9.69	DFB24332		
1169	06/04/2009	10:38:42	10:38:42	INTL	S	P	700	SKHCF	9.85	1533	05/18/2009	NW	05/18/2009 13:19:53	INTL	SL	2,000	SKHCF	9.50	DEBE1D44	MLEX	\$245.00
												EX	06/04/2009 10:38:57	INTL		2,000	SKHCF	9.50	DEBE1D44		
1170	06/04/2009	12:22:00	12:22:00	INTL	B	P	1,000	EONGY	35.00	1534	06/04/2009	NW	06/04/2009 11:45:41	INTL	B	100	EONGY	35.10	DFC181EA	NFSC	\$10.00
												EX	06/04/2009 12:22:05	INTL		100	EONGY	35.10	DFC181EA		
1171	06/04/2009	13:47:50	13:47:49	INTL	S	P	500	NILSY	10.75	1535	06/04/2009	NW	06/04/2009 13:46:46	INTL	SL	610	NILSY	10.66	DFC1853D	NFSC	\$25.00
												EX	06/04/2009 13:48:04	INTL		610	NILSY	10.70	DFC1853D		
1172	06/04/2009	13:56:24	13:56:24	INTL	B	P	338	NTDOY	32.80	1536	06/04/2009	NW	06/04/2009 13:55:10	INTL	B	100	NTDOY	33.00	DFC185AC	NFSC	\$5.00
												EX	06/04/2009 13:57:04	INTL		100	NTDOY	32.85	DFC185AC		
1173	06/05/2009	09:30:38	09:30:38	INTL	S	P	3,450	LUKOY	56.22	1537	06/04/2009	NW	06/04/2009 09:35:37	INTL	SL	1,300	LUKOY	56.00	DFC17F58	NFSC	\$220.00
												EX	06/05/2009 09:32:34	INTL		1,000	LUKOY	56.00	DFC17F58		
										1538	06/05/2009	NW	06/05/2009 08:15:01	INTL	SL	500	LUKOY	56.00	DFDOC10E	NFSC	\$110.00
												EX	06/05/2009 09:31:49	INTL		500	LUKOY	56.00	DFDOC10E		
1174	06/05/2009	09:30:50	09:30:50	INTL	S	P	1,000	FSUMF	2.69	1539	06/03/2009	NW	06/03/2009 15:18:56	INTL	SL	2,500	FSUMF	2.40	DFB24474	NFSC	\$60.00
												EX	06/05/2009 09:31:07	INTL		2,500	FSUMF	2.63	DFB24474		
										1540	06/04/2009	NW	06/04/2009 10:11:12	INTL	SL	2,000	FSUMF	2.67	DFC17FFB	NFSC	n/a
												EX	06/05/2009 09:31:26	INTL		2,000	FSUMF	2.67	DFC17FFB		
1175	06/05/2009	09:30:50	09:30:50	INTL	S	P	250	FSUMF	2.69	1541	06/03/2009	NW	06/03/2009 15:18:56	INTL	SL	2,500	FSUMF	2.40	DFB24474	NFSC	\$15.00
												EX	06/05/2009 09:31:07	INTL		2,500	FSUMF	2.63	DFB24474		
										1542	06/04/2009	NW	06/04/2009 10:11:12	INTL	SL	2,000	FSUMF	2.67	DFC17FFB	NFSC	n/a
												EX	06/05/2009 09:31:26	INTL		2,000	FSUMF	2.67	DFC17FFB		
1176	06/05/2009	09:31:37	09:31:36	INTL	B	P	500	NTDOY	32.60	1543	05/11/2009	NW	05/11/2009 08:15:01	INTL	B	200	NTDOY	32.62	DE5348DA	NFSC	\$4.00
												EX	06/05/2009 09:32:22	INTL		200	NTDOY	32.62	DE5348DA		
1177	06/08/2009	11:16:49	11:16:49	INTL	S	P	800	NSRGY	35.95	1544	06/08/2009	NW	06/08/2009 11:16:20	INTL	SL	750	NSRGY	35.92	DFEBA3B	NFSC	\$22.50
												EX	06/08/2009 11:17:02	INTL		750	NSRGY	35.92	DFEBA3B		
1178	06/09/2009	15:08:01	15:08:01	INTL	B	P	222	IMPUY	23.23	1545	06/09/2009	NW	06/09/2009 14:09:43	INTL	B	200	IMPUY	23.28	E00DD100	NFSC	\$10.00
												EX	06/09/2009 15:08:20	INTL		200	IMPUY	23.28	E00DD100		
1179	06/10/2009	12:17:02	12:17:01	INTL	B	P	2,000	PALAF	4.07	1546	06/10/2009	NW	06/10/2009 12:12:32	INTL	B	500	PALAF	4.08	E01D0FC6	NFSC	\$5.00
												EX	06/10/2009 12:17:18	INTL		500	PALAF	4.08	E01D0FC6		
1180	06/10/2009	13:24:43	13:24:42	INTL	B	P	4,439	NSRGY	36.30	1547	06/10/2009	NW	06/10/2009 11:51:16	INTL	B	100	NSRGY	36.32	E01D0F69	NFSC	\$2.00
												EX	06/10/2009 13:24:57	INTL		100	NSRGY	36.32	E01D0F69		
1181	06/10/2009	14:29:19	14:29:18	INTL	B	P	105	BAYRY	56.75	1548	06/09/2009	NW	06/09/2009 15:34:44	INTL	B	100	BAYRY	57.00	E00DD345	MLEX	\$25.00
												EX	06/10/2009 14:31:30	INTL		100	BAYRY	57.00	E00DD345		
1182	06/10/2009	14:50:55	14:50:54	INTL	S	P	100	NSRGY	36.22	1549	06/10/2009	NW	06/10/2009 14:49:36	INTL	SL	150	NSRGY	36.18	E01D13A7	NFSC	\$4.00
												EX	06/10/2009 14:52:18	INTL		150	NSRGY	36.18	E01D13A7		
1183	06/10/2009	15:26:53	15:26:52	INTL	B	P	193	BAESY	20.97	1550	05/27/2009	NW	05/27/2009 13:14:05	INTL	B	250	BAESY	21.00	DF4773C0	NFSC	\$5.79
												EX	06/10/2009 15:27:16	INTL		250	BAESY	21.00	DF4773C0		
1184	06/11/2009	09:31:09	09:31:08	INTL	B	P	500	FSUMF	3.44	1551	06/11/2009	NW	06/11/2009 08:15:02	INTL	B	3,000	FSUMF	3.50	E02C4ECC	NFSC	\$30.00
												EX	06/11/2009 09:32:36	INTL		3,000	FSUMF	3.50	E02C4ECC		
1185	06/11/2009	11:08:31	11:08:30	INTL	B	P	153	TONPY	43.75	1552	06/11/2009	NW	06/11/2009 10:35:06	INTL	B	500	TONPY	44.94	E02C5049	MLEX	\$182.07
												EX	06/11/2009 11:08:48	INTL		500	TONPY	44.94	E02C5049		
1186	06/11/2009	12:01:15	12:01:15	INTL	S	P	200	LUKOY	53.85	1553	06/11/2009	NW	06/11/2009 11:20:01	INTL	SL	200	LUKOY	53.75	E02C5124	NFSC	\$8.00
												EX	06/11/2009 12:03:19	INTL		200	LUKOY	53.81	E02C5124		
1187	06/11/2009	12:19:25	12:19:24	INTL	B	P	300	TONPY	44.70	1554	06/11/2009	NW	06/11/2009 12:09:47	INTL	B	1,000	TONPY	44.94	E02C5227	MLEX	\$72.00
												EX	06/11/2009 12:19:45	INTL		1,000	TONPY	44.94	E02C5227		
1188	06/11/2009	12:38:01	12:38:01	INTL	B	P	144	TONPY	43.85	1555	06/11/2009	NW	06/11/2009 12:34:28	INTL	B	1,000	TONPY	44.94	E02C5282	MLEX	\$156.96
												EX	06/11/2009 12:38:06	INTL		1,000	TONPY	44.94	E02C5282		
1189	06/11/2009	12:49:15	12:49:14	INTL	B	P	103	SVNDY	46.89	1556	06/11/2009	NW	06/11/2009 12:42:05	INTL	B	534	SVNDY	46.96	E02C52DC	FCCP	\$7.21
												EX	06/11/2009 12:50:49	INTL		534	SVNDY	46.96	E02C52DC		
1190	06/11/2009	12:50:31	12:50:31	INTL	B	P	175	TONPY	44.00	1557	06/11/2009	NW	06/11/2009 12:50:21	INTL	B	1,000	TONPY	44.94	E02C5342	MLEX	\$164.50

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1200	06/15/2009	11:58:07	11:58:07	INTL	B	P	200	NSRGY	35.45	1568	06/15/2009	NW	06/15/2009 11:30:08	INTL	B	100	LUKOY	49.78	E06959AA		
												EX	06/15/2009 11:57:30	INTL	B	250	NSRGY	35.47	E0695844	NFSC	\$4.00
												EX	06/15/2009 11:58:55	INTL	B	250	NSRGY	35.47	E0695844		
1201	06/15/2009	12:16:12	12:16:11	INTL	B	P	260	SKHSY	10.06	1569	06/15/2009	NW	06/15/2009 12:15:50	INTL	B	429	SKHSY	10.39	E0695C29	FCCP	\$36.40
												EX	06/15/2009 12:16:17	INTL	B	429	SKHSY	10.20	E0695C29		
1202	06/16/2009	11:17:11	11:17:11	INTL	B	P	333	SKHSY	9.87	1570	06/16/2009	NW	06/16/2009 11:17:02	INTL	B	146	SKHSY	9.98	E0789C26	FCCP	\$14.60
												EX	06/16/2009 11:17:19	INTL	B	146	SKHSY	9.97	E0789C26		
1203	06/16/2009	12:54:55	12:54:55	INTL	B	P	100	BASFY	41.79	1571	06/11/2009	NW	06/11/2009 12:29:21	INTL	B	100	BASFY	41.80	E02C526C	NFSC	\$1.00
												EX	06/16/2009 12:55:07	INTL	B	100	BASFY	41.80	E02C526C		
1204	06/17/2009	09:30:20	09:30:17	INTL	B	P	106	ANZBY	12.90	1572	06/11/2009	NW	06/11/2009 10:45:48	INTL	B	100	ANZBY	13.00	E02C507B	FCCP	\$10.00
												EX	06/17/2009 09:30:23	INTL	B	100	ANZBY	13.00	E02C507B		
1205	06/17/2009	10:40:03	10:40:02	INTL	B	P	200	NSRGY	35.75	1573	06/17/2009	NW	06/17/2009 08:15:02	INTL	B	180	NSRGY	35.80	E087DC34	NFSC	\$5.00
												EX	06/17/2009 10:40:34	INTL	B	100	NSRGY	35.80	E087DC34		
												EX	06/17/2009 10:43:17	INTL	B	80	NSRGY	35.78	E087DC34		\$2.40
1206	06/17/2009	10:47:51	10:47:50	INTL	B	P	500	NILSY	9.70	1574	06/15/2009	NW	06/15/2009 14:35:46	INTL	B	100	NILSY	9.74	E0695E3B	NFSC	\$4.00
												EX	06/17/2009 10:47:58	INTL	B	100	NILSY	9.74	E0695E3B		
1207	06/17/2009	11:46:46	11:46:46	INTL	B	P	750	NSRGY	35.72	1575	06/17/2009	NW	06/17/2009 11:46:19	INTL	B	250	NSRGY	35.75	E087DF15	NFSC	\$7.50
												EX	06/17/2009 11:47:59	INTL	B	250	NSRGY	35.75	E087DF15		
1208	06/17/2009	12:10:21	12:10:21	INTL	B	P	110	NSRGY	35.75	1576	06/17/2009	NW	06/17/2009 12:09:49	INTL	B	1,000	NSRGY	36.00	E087DF9F	NFSC	\$8.80
												EX	06/17/2009 12:11:10	INTL	B	1,000	NSRGY	35.83	E087DF9F		
1209	06/17/2009	13:45:39	13:45:38	INTL	B	P	3,000	CCOHF	0.37	1577	06/17/2009	NW	06/17/2009 12:21:14	INTL	B	4,000	CCOHF	0.41	E087DFED	NFSC	\$75.00
												EX	06/17/2009 13:45:49	INTL	B	4,000	CCOHF	0.395	E087DFED		
1210	06/17/2009	15:18:02	15:18:02	INTL	S	P	232	NSRGY	36.13	1578	06/17/2009	NW	06/17/2009 15:16:58	INTL	SL	1,000	NSRGY	35.90	E087E436	FCCP	\$6.96
												EX	06/17/2009 15:16:58	INTL	B	500	NSRGY	36.10	E087E436		
												EX	06/17/2009 15:18:17	INTL	B	500	NSRGY	36.10	E087E436		
1211	06/18/2009	10:12:10	10:12:09	INTL	S	P	200	NSRGY	36.25	1579	06/18/2009	NW	06/18/2009 10:11:31	INTL	SL	525	NSRGY	36.15	E0971F6B	NFSC	\$14.00
												EX	06/18/2009 10:14:04	INTL	B	525	NSRGY	36.18	E0971F6B		
1212	06/18/2009	10:28:02	10:28:02	INTL	B	P	2,000	LNQGY	7.28	1580	06/17/2009	NW	06/17/2009 08:15:02	INTL	B	750	LNQGY	7.35	E087DC36	NFSC	\$52.50
												EX	06/18/2009 10:29:09	INTL	B	750	LNQGY	7.35	E087DC36		
1213	06/18/2009	10:39:33	10:39:30	INTL	B	P	377	STBUY	5.27	1581	06/18/2009	NW	06/18/2009 10:11:46	INTL	B	3,569	STBUY	5.33	E0971F71	FCCP	\$22.62
												EX	06/18/2009 10:40:52	INTL	B	500	STBUY	5.33	E0971F71		
												EX	06/18/2009 10:49:43	INTL	B	500	STBUY	5.28	E0971F71		
												EX	06/18/2009 10:53:20	INTL	B	500	STBUY	5.33	E0971F71		
												EX	06/18/2009 11:25:09	INTL	B	300	STBUY	5.28	E0971F71		
												EX	06/18/2009 11:43:52	INTL	B	900	STBUY	5.32	E0971F71		
												EX	06/18/2009 13:48:41	INTL	B	200	STBUY	5.28	E0971F71		
												EX	06/18/2009 13:49:14	INTL	B	669	STBUY	5.33	E0971F71		
1214	06/18/2009	11:21:48	11:21:48	INTL	S	P	2,000	NTDOY	33.69	1582	06/18/2009	NW	06/18/2009 11:21:30	INTL	SL	500	NTDOY	33.68	E09720A6	NFSC	\$5.00
												EX	06/18/2009 11:22:15	INTL	B	500	NTDOY	33.68	E09720A6		
1215	06/18/2009	14:59:51	14:59:50	INTL	B	P	500	SSDOY	16.35	1583	06/18/2009	NW	06/18/2009 13:24:40	INTL	B	519	SSDOY	16.45	E097238B	FCCP	\$45.00
												EX	06/18/2009 15:00:41	INTL	B	519	SSDOY	16.44	E097238B		
1216	06/18/2009	15:39:41	15:39:41	INTL	B	P	108	BASFY	40.13	1584	06/18/2009	NW	06/18/2009 15:07:20	INTL	B	1,000	BASFY	40.15	E0972607	NFSC	\$2.16
												EX	06/18/2009 15:40:35	INTL	B	200	BASFY	40.15	E0972607		
												EX	06/19/2009 10:15:56	INTL	B	800	BASFY	40.13	E0972607		
1217	06/19/2009	14:23:36	14:23:35	INTL	B	P	163	IMPUY	20.10	1585	06/19/2009	NW	06/19/2009 13:13:03	INTL	B	123	IMPUY	20.39	E0A65556	FCCP	\$35.67
												EX	06/19/2009 14:23:59	INTL	B	123	IMPUY	20.39	E0A65556		
1218	06/19/2009	14:25:16	14:25:15	INTL	B	P	200	CHEUY	11.00	1586	06/19/2009	NW	06/19/2009 09:59:17	INTL	B	330	CHEUY	11.03	E0A66158	NFSC	\$6.00
												EX	06/19/2009 14:25:28	INTL	B	330	CHEUY	11.03	E0A66158		
1219	06/19/2009	15:03:47	15:03:46	INTL	B	P	162	NSRGY	37.00	1587	06/19/2009	NW	06/19/2009 12:12:51	INTL	B	1,000	NSRGY	37.01	E0A66401	FCCP	\$1.62
												EX	06/19/2009 15:04:46	INTL	B	1,000	NSRGY	37.01	E0A66401		
1220	06/19/2009	15:32:28	15:32:28	INTL	S	P	129	NSRGY	37.05	1588	06/19/2009	NW	06/19/2009 15:32:01	INTL	SL	250	NSRGY	37.00	E0A667FB	NFSC	\$6.45
												EX	06/19/2009 15:32:39	INTL	B	250	NSRGY	37.00	E0A667FB		
1221	06/22/2009	11:00:12	11:00:11	INTL	S	P	500	FSUMF	3.10	1589	06/22/2009	NW	06/22/2009 10:59:44	INTL	SL	10,000	FSUMF	3.00	E0D42953	NFSC	\$25.00
												EX	06/22/2009 11:00:19	INTL	B	10,000	FSUMF	3.05	E0D42953		
1222	06/22/2009	11:39:29	11:39:28	INTL	B	P	3,400	BKEAY	3.00	1590											

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1231	06/25/2009	15:50:35	15:48:40	INTL	B	P	2,200	SDRLF	13.95	1602	06/25/2009	NW	06/25/2009 15:01:30	INTL	B	200	SDRLF	14.00	E101F4F9	MLEX	\$10.00
												EX	06/25/2009 15:49:07	INTL		200	SDRLF	14.00	E101F4F9		
1232	06/29/2009	15:11:37	15:11:37	INTL	B	P	100	CTXNY	1.26	1603	06/29/2009	NW	06/29/2009 08:15:02	INTL	B	500	CTXNY	1.28	E13EF71B	NFSC	\$2.00
												EX	06/29/2009 15:11:44	INTL		500	CTXNY	1.28	E13EF71B		
1233	06/29/2009	15:32:00	15:32:00	INTL	B	P	101	SSDOY	16.35	1604	06/29/2009	NW	06/29/2009 13:32:39	INTL	B	151	SSDOY	16.42	E13EFBA4	FCCP	\$7.07
												EX	06/29/2009 15:32:49	INTL		151	SSDOY	16.42	E13EFBA4		
1234	06/30/2009	11:51:58	11:51:57	INTL	B	P	450	BYDDF	3.99	1605	06/30/2009	NW	06/30/2009 11:51:45	INTL	B	4,000	BYDDF	4.03	E14E3BD4	NFSC	\$13.50
												EX	06/30/2009 11:52:09	INTL		4,000	BYDDF	4.02	E14E3BD4		
1235	07/01/2009	11:54:04	11:54:04	INTL	B	P	2,000	FSUMF	3.00	1606	07/01/2009	NW	07/01/2009 11:27:24	INTL	B	800	FSUMF	3.02	E15D7D50	NFSC	\$16.00
												EX	07/01/2009 11:54:17	INTL		800	FSUMF	3.02	E15D7D50		
1236	07/02/2009	12:56:11	12:56:10	INTL	B	P	103	SKHSY	9.75	1607	07/02/2009	NW	07/02/2009 12:55:08	INTL	B	864	SKHSY	10.06	E16CC262	FCCP	\$14.42
												EX	07/02/2009 12:56:56	INTL		864	SKHSY	9.89	E16CC262		
1237	07/02/2009	13:44:16	13:44:15	INTL	B	P	419	SHCAY	9.99	1608	06/22/2009	NW	06/22/2009 08:15:02	INTL	B	140	SHCAY	10.00	E0D42797	NFSC	\$1.40
												EX	07/02/2009 13:46:05	INTL		140	SHCAY	10.00	E0D42797		
										1609	07/02/2009	NW	07/02/2009 12:27:41	INTL	B	200	SHCAY	10.00	E16CC1D6	NFSC	\$2.00
												EX	07/02/2009 13:46:05	INTL		200	SHCAY	10.00	E16CC1D6		
1238	07/06/2009	11:11:48	11:11:47	INTL	B	P	200	BASFY	38.20	1610	07/06/2009	NW	07/06/2009 10:00:55	INTL	B	300	BASFY	38.25	E1A9C77F	NFSC	\$10.00
												EX	07/06/2009 11:11:59	INTL		300	BASFY	38.25	E1A9C77F		
1239	07/06/2009	12:40:59	12:40:59	INTL	S	P	7,000	CHWTF	0.075	1611	07/06/2009	NW	07/06/2009 10:55:02	INTL	SL	16,000	CHWTF	0.06	E1A9C853	NFSC	\$105.00
												EX	07/06/2009 12:41:09	INTL		16,000	CHWTF	0.06	E1A9C853		
1240	07/07/2009	11:27:14	11:27:11	INTL	B	P	1,729	SMFYJ	3.97	1612	07/07/2009	NW	07/07/2009 11:25:12	INTL	B	2,036	SMFYJ	3.98	E1B90ABE	MSCO	\$17.29
												EX	07/07/2009 11:27:15	INTL		2,036	SMFYJ	3.98	E1B90ABE		
1241	07/08/2009	09:30:21	09:30:19	INTL	B	P	7,000	LUKOY	40.95	1613	06/23/2009	NW	06/23/2009 11:13:32	INTL	B	300	LUKOY	41.00	E0E36C53	FCCP	\$15.00
												EX	07/08/2009 09:31:59	INTL		300	LUKOY	41.00	E0E36C53		
1242	07/08/2009	10:49:34	10:49:33	INTL	S	P	500	NTDOY	35.25	1614	07/08/2009	NW	07/08/2009 10:41:21	INTL	SL	100	NTDOY	35.20	E1C84CBA	NFSC	\$5.00
												EX	07/08/2009 10:49:37	INTL		100	NTDOY	35.20	E1C84CBA		
1243	07/08/2009	10:56:27	10:56:27	INTL	S	P	1,000	BYDDY	41.60	1615	07/08/2009	NW	07/08/2009 09:49:51	INTL	SL	150	BYDDY	41.40	E1C84C15	NFSC	\$30.00
												EX	07/08/2009 10:56:38	INTL		150	BYDDY	41.40	E1C84C15		
1244	07/08/2009	12:26:30	12:26:30	INTL	B	P	200	IMPUY	19.40	1616	07/08/2009	NW	07/08/2009 12:05:58	INTL	B	400	IMPUY	19.50	E1C84E10	NFSC	\$20.00
												EX	07/08/2009 12:26:49	INTL		400	IMPUY	19.50	E1C84E10		
1245	07/08/2009	12:29:12	12:29:12	INTL	S	P	200	NTDOY	35.39	1617	06/03/2009	NW	06/03/2009 11:42:23	INTL	SL	200	NTDOY	35.31	DFB23F84	NFSC	\$12.00
												EX	07/08/2009 12:30:50	INTL		200	NTDOY	35.33	DFB23F84		
1246	07/08/2009	13:04:04	13:04:03	INTL	B	P	1,000	VWDYR	21.95	1618	07/08/2009	NW	07/08/2009 12:46:23	INTL	B	300	VWDYR	22.00	E1C84EDB	BARD	\$15.00
												EX	07/08/2009 13:04:11	INTL		300	VWDYR	22.00	E1C84EDB		
1247	07/08/2009	13:48:15	13:48:15	INTL	B	P	200	MTNOY	14.20	1619	06/08/2009	NW	06/08/2009 08:15:02	INTL	B	100	MTNOY	14.25	DFFE8800	NFSC	\$5.00
												EX	07/08/2009 13:48:38	INTL		100	MTNOY	14.25	DFFE8800		
1248	07/08/2009	14:30:16	14:30:16	INTL	B	P	200	BAYRY	49.90	1620	07/08/2009	NW	07/08/2009 12:47:33	INTL	B	119	BAYRY	50.00	E1C84EDE	FCCP	\$11.90
												EX	07/08/2009 14:30:33	INTL		119	BAYRY	50.00	E1C84EDE		
1249	07/08/2009	15:32:20	15:32:19	INTL	B	P	1,500	GZPFY	13.95	1621	07/08/2009	NW	07/08/2009 10:42:14	INTL	B	1,000	GZPFY	14.00	E1C84CBF	NFSC	\$50.00
												EX	07/08/2009 15:32:48	INTL		200	GZPFY	14.00	E1C84CBF		
												EX	07/08/2009 15:50:59	INTL		800	GZPFY	14.00	E1C84CBF		
1250	07/08/2009	15:37:25	15:37:24	INTL	S	P	300	NSRGY	37.63	1622	07/08/2009	NW	07/08/2009 15:35:08	INTL	SL	125	NSRGY	37.60	E1C853D3	NFSC	\$3.75
												EX	07/08/2009 15:37:50	INTL		125	NSRGY	37.60	E1C853D3		
1251	07/09/2009	10:55:00	10:54:59	INTL	B	P	1,000	STBUY	4.95	1623	07/09/2009	NW	07/09/2009 10:07:06	INTL	B	155	STBUY	5.02	E1D78E50	FCCP	\$10.85
												EX	07/09/2009 10:55:06	INTL		155	STBUY	5.02	E1D78E50		
1252	07/09/2009	11:14:01	11:14:00	INTL	B	P	200	LUKOY	40.85	1624	07/09/2009	NW	07/09/2009 09:20:37	INTL	B	100	LUKOY	40.88	E1D78DC1	NFSC	\$3.00
												EX	07/09/2009 11:14:13	INTL		100	LUKOY	40.88	E1D78DC1		
1253	07/09/2009	12:23:15	12:23:14	INTL	S	P	200	RWEOY	74.75	1625	07/09/2009	NW	07/09/2009 10:31:28	INTL	SL	250	RWEOY	74.37	E1D78E84	NFSC	\$76.00
												EX	07/09/2009 12:23:57	INTL		250	RWEOY	74.37	E1D78E84		
1254	07/09/2009	15:25:21	15:25:19	INTL	B	P	5,000	SGAMY	3.25	1626	07/09/2009	NW	07/09/2009 15:24:56	INTL	B	24,830	SGAMY	3.28	E1D7944D	MSCO	\$150.00
												EX	07/09/2009 15:25:42	INTL		4,830	SGAMY	3.28	E1D7944D		
												EX	07/09/2009 15:25:47	INTL		20,000	SGAMY	3.28	E1D7944D		
1255	07/10/2009	10:53:45	10:53:44	INTL	B	P	500	NILSY	7.50	1627	06/22/2009	NW	06/22/2009 13:46:52	INTL	B	100	NILSY	7.54	E0D42D01	NFSC	\$4.00
												EX	07/10/2009 10:54:09	INTL		100	NILSY	7.54	E0D42D01		
1256	07/13/2009	10:11:41	10:11:41	INTL	S	P	500	BYDDF	4.34	1628	07/13/2009	NW	07/13/2009 10:07:03	INTL	SL	2,500	BYDDF	4.32	E214974C		\$10.00
												EX	07/13/2009 10:11:55	INTL		500	BYDDF	4.32	E214974C		
												CL	07/13/2009 10:39:23	INTL		2,000	BYDDF	4.32	E214974C		
1257	07/13/2009	11:29:51	11:29:51	INTL	S	P	500	BASFY	40.35	1629	07/13/2009	NW	07/13/2009 11:14:36	INTL	SL	850	BASFY	40.30	E2149810	NFSC	\$5.00
												EX	07/13/2009 11:30:00	INTL		850	BASFY	40.34	E2149810		
1258	07/13/2009	14:17:49	14:17:46	INTL	B	P	180	SKHSY	9.06	1630	07/13/2009	NW	07/13/2009 14:02:07	INTL	B	128	SKHSY	9.10	E2149897	FCCP	\$5.12
												EX	07/13/2009 14:17:56	INTL		128	SKHSY	9.10	E2149897		
1259	07/13/2009	15:45:03	15:45:02	INTL	S	P	125	NSRGY	38.46	1631	07/13/2009	NW	07/13/2009 15:37:54	INTL	SL	500	NSRGY	38.45	E2149DCC	NFSC	\$1.25
												EX	07/13/2009 15:45:10	INTL		500	NSRGY	38.45	E2149DCC		
1260	07/14/2009	13:15:13	13:15:12	INTL	S	P	500	BYDDF	4.82	1632	07/14/2009	NW	07/14/2009 13:02:03	INTL	SL	1,000	BYDDF	4.77	E223D037	NFSC	\$10.00
												EX	07/14/2009 13:15:50	INTL		1,000	BYDDF	4.80	E223D037		
1261	07/14/2009	14:52:28	14:52:27	INTL	B	P	174	IMPUY	20.30	1633	07/14/2009	NW	07/14/2009 14:51:53	INTL	B	100	IMPUY	20.69	E223E026	FCCP	\$39.00
												EX	07/14/2009 14:52:31	INTL		100	IMPUY	20.69	E223E026		
1262	07/14/2009	15:31:57	15:31:56	INTL	B	P	5,000	BYDDF	4.80	1634	07/14/2009	NW	07/14/2009 15:31:02	INTL	B	1,000	BYDDF	4.81	E223E1C7	NFSC	\$10.00
												EX	07/14/2009 15:32:05	INTL		1,000	BYDDF	4.81	E223E1C7		
1263	07/15/2009	09:30:48	09:30:48	INTL	S	P	200														

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1267	07/15/2009	15:43:16	15:43:15	INTL	S	P	480	NSRGY	39.57	1639	07/15/2009	NW	07/15/2009 15:23:07	INTL	SL	1,000	NSRGY	39.55	E23322D5	NFSC	\$9.60
												EX	07/15/2009 15:44:06	INTL		1,000	NSRGY	39.55	E23322D5		
1268	07/16/2009	10:34:51	10:34:50	INTL	S	P	961	VWSYF	72.35	1640	07/16/2009	NW	07/16/2009 10:24:48	INTL	SL	100	VWSYF	72.00	E2425E9A	NFSC	\$35.00
												EX	07/16/2009 10:35:16	INTL		100	VWSYF	72.00	E2425E9A		
1269	07/16/2009	14:15:10	14:15:09	INTL	S	P	200	VLKAY	72.20	1641	07/16/2009	NW	07/16/2009 08:11:22	INTL	SL	100	VLKAY	72.00	E2425D28	MSCO	\$20.00
												EX	07/16/2009 14:15:22	INTL		100	VLKAY	72.00	E2425D28		
1270	07/16/2009	14:17:55	14:17:55	INTL	S	P	1,352	PXMFF	0.175	1642	06/30/2009	NW	06/30/2009 15:07:23	INTL	SL	20,000	PXMFF	0.16	E14E4070	DADA	\$20.28
												EX	07/16/2009 14:18:24	INTL		20,000	PXMFF	0.16	E14E4070		
1271	07/16/2009	15:18:31	15:18:31	INTL	B	P	1,000	BYDDF	4.88	1643	07/16/2009	NW	07/16/2009 15:17:44	INTL	B	200	BYDDF	4.90	29189296	DEAN	\$2.00
												EX	07/16/2009 15:18:37	INTL		200	BYDDF	4.89	29189296		
1272	07/17/2009	10:19:05	10:19:05	INTL	S	P	1,000	BYDDF	5.45	1644	07/17/2009	NW	07/17/2009 10:15:10	INTL	SL	2,000	BYDDF	5.40	E251A0C5	NFSC	\$10.00
												EX	07/17/2009 10:19:28	INTL		2,000	BYDDF	5.44	E251A0C5		
1273	07/17/2009	10:21:42	10:21:41	INTL	S	P	20,000	BYDDF	5.45	1645	07/17/2009	NW	07/17/2009 10:21:35	INTL	SL	2,000	BYDDF	5.43	E251A0E9		\$40.00
												EX	07/17/2009 10:21:54	INTL		2,000	BYDDF	5.43	E251A0E9		
1274	07/17/2009	10:23:01	10:23:01	INTL	S	P	2,000	BYDDF	5.47	1646	07/17/2009	NW	07/17/2009 10:22:24	INTL	SL	2,400	BYDDF	5.45	E251A0ED		\$40.00
												EX	07/17/2009 10:23:07	INTL		2,400	BYDDF	5.45	E251A0ED		
1275	07/17/2009	10:25:07	10:25:06	INTL	S	P	500	BNPQY	35.05	1647	07/17/2009	NW	07/17/2009 10:18:00	INTL	SL	300	BNPQY	35.04	E251A0DF	NFSC	\$3.00
												EX	07/17/2009 10:25:12	INTL		300	BNPQY	35.04	E251A0DF		
1276	07/17/2009	10:26:01	10:25:59	INTL	B	P	5,000	BYDDF	5.44	1648	07/17/2009	NW	07/17/2009 10:25:05	INTL	B	500	BYDDF	5.45	E251A0F5	NFSC	\$5.00
												EX	07/17/2009 10:26:06	INTL		500	BYDDF	5.45	E251A0F5		
1277	07/17/2009	10:42:43	10:42:43	INTL	B	P	2,500	BYDDF	5.45	1649	07/17/2009	NW	07/17/2009 10:42:08	INTL	B	1,000	BYDDF	5.49	E251A147	NFSC	\$40.00
												EX	07/17/2009 10:42:47	INTL		1,000	BYDDF	5.49	E251A147		
1278	07/17/2009	12:09:34	12:09:33	INTL	S	P	3,000	BYDDF	5.54	1650	07/17/2009	NW	07/17/2009 12:08:35	INTL	SL	3,000	BYDDF	5.52	29206840	DEAN	\$60.00
												EX	07/17/2009 12:09:39	INTL		3,000	BYDDF	5.52	29206840		
1279	07/17/2009	14:08:04	14:08:03	INTL	S	P	1,000	BYDDF	5.59	1651	07/17/2009	NW	07/17/2009 14:06:46	INTL	SL	6,000	BYDDF	5.00	E251A558	NFSC	\$20.00
												EX	07/17/2009 14:08:18	INTL		6,000	BYDDF	5.57	E251A558		
1280	07/17/2009	15:10:08	15:10:07	INTL	S	P	500	KPELY	9.83	1652	07/17/2009	NW	07/17/2009 13:12:53	INTL	SL	500	KPELY	9.82	E251A48D	NFSC	\$5.00
												EX	07/17/2009 15:10:20	INTL		500	KPELY	9.82	E251A48D		
1281	07/20/2009	09:30:52	09:30:52	INTL	S	P	500	SMFYJ	3.97	1653	07/20/2009	NW	07/20/2009 08:15:01	INTL	SL	164	SMFYJ	3.94	E27F6669	NFSC	\$1.64
												EX	07/20/2009 09:31:55	INTL		164	SMFYJ	3.96	E27F6669		
1282	07/20/2009	11:28:20	11:28:19	INTL	B	P	100	NSRGY	39.92	1654	07/20/2009	NW	07/20/2009 11:02:34	INTL	B	200	NSRGY	40.00	E27F683E	NFSC	\$8.00
												EX	07/20/2009 11:28:57	INTL		200	NSRGY	40.00	E27F683E		
1283	07/20/2009	15:56:13	15:56:13	INTL	S	P	222	NSRGY	40.14	1655	07/20/2009	NW	07/20/2009 15:39:30	INTL	SL	375	NSRGY	40.06	E27F6E53	NFSC	\$17.76
												EX	07/20/2009 16:01:59	INTL		375	NSRGY	40.06	E27F6E53		
1284	07/21/2009	09:37:03	09:37:03	INTL	S	P	150	IMPUY	23.10	1656	06/17/2009	NW	06/17/2009 08:15:01	INTL	SL	100	IMPUY	23.00	E087DC1C	NFSC	\$5.00
												EX	07/21/2009 09:37:35	INTL		100	IMPUY	23.05	E087DC1C		
1285	07/21/2009	11:01:16	11:01:15	INTL	S	P	200	LUKOY	49.20	1657	07/21/2009	NW	07/21/2009 10:14:38	INTL	SL	140	LUKOY	49.09	E28EA98F	NFSC	\$7.00
												EX	07/21/2009 11:01:32	INTL		140	LUKOY	49.15	E28EA98F		
1286	07/21/2009	11:17:39	11:17:39	INTL	S	P	140	TYIDF	25.00	1658	07/09/2009	NW	07/09/2009 15:41:18	INTL	SL	9,410	TYIDF	24.90	E1D79487	MLEX	\$14.00
												EX	07/21/2009 11:17:52	INTL		200	TYIDF	24.90	E1D79487		
												EX	07/22/2009 12:40:13	INTL		300	TYIDF	24.90	E1D79487		
												EX	07/22/2009 12:40:19	INTL		8,910	TYIDF	24.90	E1D79487		
1287	07/21/2009	14:34:50	14:34:49	INTL	B	P	111	UUGRY	16.22	1659	07/21/2009	NW	07/21/2009 14:14:20	INTL	B	300	UUGRY	16.25	E28EAF69	NFSC	\$3.33
												EX	07/21/2009 14:35:17	INTL		300	UUGRY	16.25	E28EAF69		
1288	07/21/2009	15:01:03	15:01:03	INTL	S	P	200	NSRGY	40.15	1660	07/21/2009	NW	07/21/2009 13:06:23	INTL	SL	100	NSRGY	40.11	E28EADD0	NFSC	\$4.00
												EX	07/21/2009 15:01:16	INTL		100	NSRGY	40.11	E28EADD0		
1289	07/21/2009	15:41:11	15:41:11	INTL	B	P	5,700	BYDDF	5.34	1661	07/21/2009	NW	07/21/2009 15:39:56	INTL	B	25,000	BYDDF	5.38	E28EB1FC	NFSC	\$57.00
												EX	07/21/2009 15:41:26	INTL		25,000	BYDDF	5.35	E28EB1FC		
1290	07/22/2009	12:06:48	12:06:48	INTL	B	P	500	BYDDF	5.20	1662	07/22/2009	NW	07/22/2009 12:05:07	INTL	B	500	BYDDF	5.21	E29DEE9B	NFSC	\$5.00
												EX	07/22/2009 12:07:03	INTL		500	BYDDF	5.21	E29DEE9B		
1291	07/22/2009	13:25:41	13:25:40	INTL	B	P	1,300	BAYRY	56.35	1663	07/22/2009	NW	07/22/2009 13:15:29	INTL	B	2,500	BAYRY	56.40	E29DF0D3	MLEX	\$65.00
												EX	07/22/2009 13:25:44	INTL		2,500	BAYRY	56.40	E29DF0D3		
1292	07/22/2009	13:27:54	13:27:53	INTL	B	P	200	BAYRY	56.15	1664											

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Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1334	08/05/2009	11:59:19	11:59:19	INTL	B	P	1,000	RMNRF	0.725	1711	08/03/2009	EX	08/05/2009 11:34:46	INTL		100	VLKAY	68.41	E3273F07		
											08/03/2009	NW	08/03/2009 12:16:10	INTL	B	5,000	RMNRF	0.85	E3550A84	MLEX	\$125.00
1335	08/05/2009	12:04:14	12:04:13	INTL	B	P	106	IMPUY	23.75	1712	08/05/2009	EX	08/05/2009 11:59:36	INTL		5,000	RMNRF	0.85	E3550A84		
											08/05/2009	NW	08/05/2009 12:02:49	INTL	B	100	IMPUY	23.80	E3738D9C	NFSC	\$5.00
1336	08/05/2009	13:09:10	13:09:09	INTL	B	P	147	LNVGY	9.31	1713	08/05/2009	EX	08/05/2009 12:04:18	INTL		100	IMPUY	23.80	E3738D9C		
											08/05/2009	NW	08/05/2009 11:09:27	INTL	B	500	LNVGY	9.35	E3738C42	NFSC	\$5.88
1337	08/05/2009	14:52:11	14:52:10	INTL	B	P	690	SSDOY	16.20	1714	08/05/2009	EX	08/05/2009 13:09:50	INTL		500	LNVGY	9.35	E3738C42		
											08/05/2009	NW	08/05/2009 14:52:01	INTL	B	102	SSDOY	16.47	E37392AF	FCCP	\$8.16
1338	08/05/2009	15:39:24	15:39:24	INTL	S	P	500	BYDDF	6.09	1715	08/05/2009	EX	08/05/2009 14:52:16	INTL		102	SSDOY	16.28	E37392AF		
											08/05/2009	NW	08/05/2009 15:38:58	INTL	SL	500	BYDDF	6.00	E373944F	NFSC	\$5.00
											08/05/2009	EX	08/05/2009 15:40:01	INTL		500	BYDDF	6.08	E373944F		
1339	08/06/2009	10:21:07	10:21:07	INTL	B	P	3,500	BYDDF	5.70	1716	08/04/2009	NW	08/04/2009 13:23:59	INTL	B	3,000	BYDDF	5.75	E3644DB7	NFSC	\$150.00
											08/06/2009	EX	08/06/2009 10:21:14	INTL		3,000	BYDDF	5.75	E3644DB7		
										1717	08/04/2009	NW	08/04/2009 10:41:11	INTL	B	500	BYDDF	5.75	E36449A2	NFSC	\$25.00
											08/06/2009	EX	08/06/2009 10:21:14	INTL		500	BYDDF	5.75	E36449A2		
										1718	08/05/2009	NW	08/05/2009 14:19:17	INTL	B	1,000	BYDDF	5.71	E37391A8	NFSC	n/a
											08/06/2009	EX	08/06/2009 10:21:36	INTL		1,000	BYDDF	5.71	E37391A8		
										1719	08/05/2009	NW	08/05/2009 08:15:01	INTL	B	500	BYDDF	5.76	E3738A5D	NFSC	n/a
											08/06/2009	EX	08/06/2009 10:21:20	INTL		500	BYDDF	5.76	E3738A5D		
										1720	08/05/2009	NW	08/05/2009 08:15:00	INTL	B	2,000	BYDDF	5.75	E3738A58	NFSC	n/a
											08/06/2009	EX	08/06/2009 10:21:39	INTL		2,000	BYDDF	5.75	E3738A58		
1340	08/07/2009	09:30:29	09:30:21	INTL	B	P	105	SGAPY	22.03	1721	08/05/2009	NW	08/05/2009 13:46:35	INTL	B	200	SGAPY	22.09	E3739099	NFSC	\$6.30
											08/07/2009	EX	08/07/2009 09:30:46	INTL		200	SGAPY	22.09	E3739099		
1341	08/07/2009	09:30:30	09:30:22	INTL	B	P	100	CHEUY	12.30	1722	08/05/2009	NW	08/05/2009 08:15:01	INTL	B	100	CHEUY	12.40	E3738A64	NFSC	\$10.00
											08/07/2009	EX	08/07/2009 09:30:30	INTL		100	CHEUY	12.40	E3738A64		
1342	08/07/2009	11:17:45	11:17:45	INTL	S	P	500	HYFXF	1.86	1723	08/03/2009	NW	08/03/2009 08:15:02	INTL	SL	1,000	HYFXF	1.85	E3550604	NFSC	\$5.00
											08/07/2009	EX	08/07/2009 11:18:15	INTL		1,000	HYFXF	1.85	E3550604		
1343	08/07/2009	11:35:45	11:35:45	INTL	B	P	500	NSRGY	40.85	1724	08/07/2009	NW	08/07/2009 11:35:18	INTL	B	130	NSRGY	40.90	E3921221	NFSC	\$6.50
											08/07/2009	EX	08/07/2009 11:36:18	INTL		130	NSRGY	40.90	E3921221		
1344	08/07/2009	15:26:01	15:26:01	INTL	S	P	108	SMGKF	13.35	1725	08/07/2009	NW	08/07/2009 08:48:22	INTL	SL	500	SMGKF	13.25	E3920F29	FCCP	\$10.80
											08/07/2009	EX	08/07/2009 15:26:13	INTL		200	SMGKF	13.25	E3920F29		
											08/13/2009	EX	08/13/2009 10:04:12	INTL		300	SMGKF	13.25	E3920F29		
1345	08/07/2009	15:35:42	15:35:42	INTL	S	P	180	LNVGY	10.09	1726	08/07/2009	NW	08/07/2009 15:32:55	INTL	SL	500	LNVGY	10.08	E39218B8	NFSC	\$1.80
											08/07/2009	EX	08/07/2009 15:35:48	INTL		500	LNVGY	10.08	E39218B8		
1346	08/10/2009	09:30:54	09:30:49	INTL	S	P	3,000	HYFXF	1.85	1727	08/10/2009	NW	08/10/2009 08:15:02	INTL	SL	3,000	HYFXF	1.84	E38FD5B2	NFSC	\$30.00
											08/10/2009	EX	08/10/2009 09:30:59	INTL		3,000	HYFXF	1.84	E38FD5B2		
										1728	08/10/2009	NW	08/10/2009 08:15:02	INTL	SL	3,000	HYFXF	1.84	E38FD5B3	NFSC	n/a
											08/10/2009	EX	08/10/2009 09:31:15	INTL		1,000	HYFXF	1.84	E38FD5B3		
											08/10/2009	EX	08/10/2009 09:54:09	INTL		2,000	HYFXF	1.84	E38FD5B3		
1347	08/10/2009	10:22:54	10:22:53	INTL	S	P	1,000	BYDDF	5.83	1729	08/10/2009	NW	08/10/2009 10:08:18	INTL	SL	1,000	BYDDF	5.80	E38FD694	NFSC	\$30.00
											08/10/2009	EX	08/10/2009 10:23:10	INTL		1,000	BYDDF	5.80	E38FD694		
1348	08/11/2009	12:55:37	12:55:37	INTL	S	P	169	EXPGY	8.51	1730	08/11/2009	NW	08/11/2009 08:15:03	INTL	SL	1,112	EXPGY	8.50	E3CF1800	NFSC	\$1.69
											08/11/2009	EX	08/11/2009 12:55:46	INTL		1,112	EXPGY	8.50	E3CF1800		
										1731	08/11/2009	NW	08/11/2009 08:15:03	INTL	SL	1,112	EXPGY	8.50	E3CF1803	NFSC	n/a
											08/11/2009	EX	08/11/2009 14:10:52	INTL		1,112	EXPGY	8.50	E3CF1803		
1349	08/12/2009	09:30:28	09:30:27	INTL	B	P	100	NSRGY	39.05	1732	07/29/2009	NW	07/29/2009 15:49:42	INTL	B	140	NSRGY	40.00	E308C33C	NFSC	\$3.00
											08/12/2009	EX	08/12/2009 09:30:50	INTL		140	NSRGY	39.08	E308C33C		
										1733	07/30/2009	NW	07/30/2009 14:57:33	INTL	B	100	NSRGY	40.05	E31803C0	FCCP	n/a
											08/12/2009	EX	08/12/2009 09:30:32	INTL		100	NSRGY	39.08	E31803C0		
										1734	08/06/2009	NW	08/06/2009 14:12:23	INTL	B	100	NSRGY	40.00	E382D312	NFSC	n/a
											08/12/2009	EX	08/12/2009 09:30:46	INTL		100	NSRGY	39.08	E382D312		
										1735	08/10/2009	NW	08/10/2009 14:34:08	INTL	B	300	NSRGY	40.22	E38FDCB3	NFSC	n/a
											08/12/2009	EX	08/12/2009 09:31:01	INTL		300	NSRGY	39.08	E38FDCB3		
										1736	08/12/2009	NW	08/12/2009 08:15:01	INTL	B	100	NSRGY	40.75	E3DE5A20	NFSC	n/a
											08/12/2009										

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Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1370	08/17/2009	10:09:26	10:09:25	INTL	B	P	1,000	BYDDF	5.45	1787	08/17/2009	EX	08/17/2009 09:54:05	INTL		200	SGAPY	21.50	E3FCE17C		
												NW	08/17/2009 10:09:07	INTL	B	1,000	BYDDF	5.49	E42AA72F	NFSC	\$40.00
												EX	08/17/2009 10:09:38	INTL		1,000	BYDDF	5.49	E42AA72F		
1371	08/17/2009	11:00:39	11:00:38	INTL	S	P	1,000	ECGUF	1.55	1788	08/13/2009	NW	08/13/2009 14:52:52	INTL	SL	10,000	ECGUF	1.50	E3EDA51D	FCCP	\$50.00
												EX	08/17/2009 11:00:49	INTL		1,000	ECGUF	1.50	E3EDA51D		
												CL	09/03/2009 13:43:48	INTL		9,000	ECGUF		E3EDA51D		
1372	08/17/2009	11:14:04	11:14:03	INTL	B	P	300	NSRGY	38.68	1789	08/17/2009	NW	08/17/2009 09:56:23	INTL	B	250	NSRGY	38.70	E42AA6C9	NFSC	\$5.00
												EX	08/17/2009 11:15:23	INTL		250	NSRGY	38.70	E42AA6C9		
1373	08/17/2009	11:54:47	11:54:46	INTL	B	P	586	VLKAY	49.00	1790	08/17/2009	NW	08/17/2009 11:54:27	INTL	B	2,000	VLKAY	49.10	E42AA95E	NFSC	\$58.60
												EX	08/17/2009 11:55:01	INTL		2,000	VLKAY	49.10	E42AA95E		
1374	08/18/2009	09:31:01	09:31:00	INTL	B	P	500	BYDDF	5.42	1791	08/18/2009	NW	08/18/2009 09:30:52	INTL	B	5,300	BYDDF	5.50	E439E828	FCCP	\$40.00
												EX	08/18/2009 09:32:10	INTL		5,300	BYDDF	5.50	E439E828		
1375	08/18/2009	11:29:01	11:29:01	INTL	B	P	200	NSRGY	39.12	1792	08/18/2009	NW	08/18/2009 11:24:48	INTL	B	100	NSRGY	39.15	E439EAB6	NFSC	\$3.00
												EX	08/18/2009 11:29:09	INTL		100	NSRGY	39.15	E439EAB6		
1376	08/18/2009	11:30:12	11:30:12	INTL	S	P	580	PGSVY	7.12	1793	08/18/2009	NW	08/18/2009 09:34:32	INTL	SL	10,000	PGSVY	7.05	E439EB33	MSCO	\$40.60
												EX	08/18/2009 11:32:50	INTL		2,000	PGSVY	7.05	E439EB33		
												EX	08/18/2009 12:25:38	INTL		8,000	PGSVY	7.05	E439EB33		
1377	08/18/2009	13:41:08	13:41:08	INTL	B	P	505	IMPUY	25.05	1794	08/18/2009	NW	08/18/2009 13:10:14	INTL	B	149	IMPUY	25.10	E439ECF0	FCCP	\$7.45
												EX	08/18/2009 13:41:12	INTL		149	IMPUY	25.10	E439ECF0		
1378	08/18/2009	14:40:44	14:40:44	INTL	S	P	2,000	CBCGO	2.45	1795	08/18/2009	NW	08/18/2009 14:40:31	INTL	SL	4,000	CBCGO	2.25	E439F06D	FCCP	\$300.00
												EX	08/18/2009 14:40:58	INTL		4,000	CBCGO	2.30	E439F06D		
1379	08/18/2009	15:16:03	15:16:03	INTL	S	P	500	CBCGO	2.60	1796	08/18/2009	NW	08/18/2009 15:09:13	INTL	SL	400	CBCGO	2.55	E439F10C	FCCP	\$20.00
												EX	08/18/2009 15:16:25	INTL		400	CBCGO	2.55	E439F10C		
1380	08/19/2009	09:30:28	09:30:25	INTL	B	P	1,200	VCISY	12.24	1797	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	2,370	VCISY	12.60	E44929CC	MSCO	n/a
												EX	08/19/2009 09:32:00	INTL		2,370	VCISY	12.26	E44929CC		
										1798	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929D1	MSCO	n/a
												EX	08/19/2009 09:31:48	INTL		790	VCISY	12.26	E44929D1		
										1799	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	635	VCISY	12.60	E44929CD	MSCO	n/a
												EX	08/19/2009 09:31:33	INTL		635	VCISY	12.26	E44929CD		
										1800	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	950	VCISY	12.60	E44929D0	MSCO	n/a
												EX	08/19/2009 09:31:53	INTL		950	VCISY	12.26	E44929D0		
										1801	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	2,370	VCISY	12.60	E44929D2	MSCO	n/a
												EX	08/19/2009 09:31:45	INTL		2,370	VCISY	12.26	E44929D2		
										1802	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929CE	MSCO	n/a
												EX	08/19/2009 09:31:30	INTL		790	VCISY	12.26	E44929CE		
										1803	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	3,160	VCISY	12.60	E44929CA	MSCO	n/a
												EX	08/19/2009 09:31:37	INTL		3,160	VCISY	12.26	E44929CA		
										1804	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	555	VCISY	12.60	E44929D5	MSCO	\$11.10
												EX	08/19/2009 09:31:00	INTL		555	VCISY	12.26	E44929D5		
										1805	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	950	VCISY	12.60	E44929CB	MSCO	n/a
												EX	08/19/2009 09:31:33	INTL		950	VCISY	12.226	E44929CB		
										1806	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929D3	MSCO	\$12.90
												EX	08/19/2009 09:31:13	INTL		790	VCISY	12.26	E44929D3		
1381	08/19/2009	09:30:30	09:30:28	INTL	B	P	1,200	VCISY	12.24	1807	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	2,370	VCISY	12.60	E44929CC	MSCO	n/a
												EX	08/19/2009 09:32:00	INTL		2,370	VCISY	12.26	E44929CC		
										1808	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929D1	MSCO	n/a
												EX	08/19/2009 09:31:48	INTL		790	VCISY	12.26	E44929D1		
										1809	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	635	VCISY	12.60	E44929CD	MSCO	n/a
												EX	08/19/2009 09:31:33	INTL		635	VCISY	12.26	E44929CD		
										1810	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	950	VCISY	12.60	E44929D0	MSCO	n/a
												EX	08/19/2009 09:31:53	INTL		950	VCISY	12.26	E44929D0		
										1811	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	2,370	VCISY	12.60	E44929D2	MSCO	n/a
												EX	08/19/2009 09:31:45	INTL		2,370	VCISY	12.26	E44929D2		
										1812	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929CE	MSCO	\$15.80
												EX	08/19/2009 09:31:30	INTL		790	VCISY	12.26	E44929CE		
										1813	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	3,160	VCISY	12.60	E44929CA	MSCO	n/a
												EX	08/19/2009 09:31:37	INTL		3,160	VCISY	12.26	E44929CA		
										1814	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	555	VCISY	12.60	E44929D5	MSCO	n/a
												EX	08/19/2009 09:31:00	INTL		555	VCISY	12.26	E44929D5		
										1815	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	950	VCISY	12.60	E44929CB	MSCO	\$5.30
												EX	08/19/2009 09:31:33	INTL		950	VCISY	12.226	E44929CB		
										1816	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929D3	MSCO	\$2.90
												EX	08/19/2009 09:31:13	INTL		790	VCISY	12.26	E44929D3		
1382	08/19/2009	09:30:40	09:30:40	INTL	B	P	1,200	VCISY	12.25	1817	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	2,370	VCISY	12.60	E44929CC	MSCO	n/a
												EX	08/19/2009 09:32:00	INTL		2,370	VCISY	12.26	E44929CC		
										1818	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929D1	MSCO	n/a
												EX	08/19/2009 09:31:48	INTL		790	VCISY	12.26	E44929D1		
										1819	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	635	VCISY	12.60	E44929CD	MSCO	\$12.70
												EX	08/19/2009 09:31:33	INTL		635	VCISY	12.26	E44929CD		
										1820	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	950	VCISY	12.60	E44929D0	MSCO	n/a
												EX	08/19/2009 09:31:53	INTL		950	VCISY	12.26	E44929D0		
										1821	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	2,370	VCISY	12.60	E44929D2	MSCO	n/a
												EX	08/19/2009 09:31:45	INTL		2,370	VCISY	12.26	E44929D2		
1822	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929CE	MSCO	n/a										
		EX	08/19/2009 09:31:30	INTL		790	VCISY	12.26	E44929CE												
1823	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	3,160	VCISY	12.60	E44929CA	MSCO	\$11.30										
		EX	08/19/2009 09:31:37	INTL		3,160	VCISY	12.26	E44929CA												
1824	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	555	VCISY	12.60	E44929D5	MSCO	n/a										

Part I: Trigger Trades										Part II: Customer Limit Orders											Restitution								
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount								
1383	08/19/2009	09:31:01	09:31:00	INTL	B	P	5,000	VCISY	12.25	1825	08/19/2009	EX	08/19/2009 09:31:00	INTL	B	555	VCISY	12.26	E44929D5	MSCO	n/a								
												NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929D3										
										EX	08/19/2009 09:31:13	INTL		790	VCISY	12.26	E44929D3	1826	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	2,370	VCISY	12.60	E44929CC	MSCO	n/a
										EX	08/19/2009 09:32:00	INTL		2,370	VCISY	12.26	E44929CC												
										1827	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929D1	MSCO	\$0.70								
												EX	08/19/2009 09:31:48	INTL		790	VCISY	12.26	E44929D1										
										1828	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	635	VCISY	12.60	E44929CD	MSCO	n/a								
												EX	08/19/2009 09:31:33	INTL		635	VCISY	12.26	E44929CD										
										1829	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	950	VCISY	12.60	E44929D0	MSCO	n/a								
												EX	08/19/2009 09:31:53	INTL		950	VCISY	12.26	E44929D0										
1830	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	2,370	VCISY	12.60	E44929D2	MSCO	\$47.40																		
		EX	08/19/2009 09:31:45	INTL		2,370	VCISY	12.26	E44929D2																				
1831	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929CE	MSCO	n/a																		
		EX	08/19/2009 09:31:30	INTL		790	VCISY	12.26	E44929CE																				
1832	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	3,160	VCISY	12.60	E44929CA	MSCO	\$51.90																		
		EX	08/19/2009 09:31:37	INTL		3,160	VCISY	12.26	E44929CA																				
1833	08/19/2009	NW	08/19/2009 08:11:25	INTL	B	790	VCISY	12.60	E44929D3	MSCO	n/a																		
		EX	08/19/2009 09:31:13	INTL		790	VCISY	12.26	E44929D3																				
1384	08/19/2009	09:32:57	09:32:56	INTL	B	P	100	LUKOY	43.61	1834	08/19/2009	NW	08/19/2009 08:15:02	INTL	B	500	LUKOY	44.00	E44929FB	NFSC	\$11.00								
												EX	08/19/2009 09:33:11	INTL		500	LUKOY	43.72	E44929FB										
1385	08/19/2009	10:18:22	10:18:21	INTL	S	P	500	CBCGO	3.47	1835	08/19/2009	NW	08/19/2009 10:17:54	INTL	SL	3,000	CBCGO	3.25	E4492866	FCCP	\$5.00								
												EX	08/19/2009 10:18:32	INTL		3,000	CBCGO	3.46	E4492866										
1386	08/19/2009	12:22:31	12:22:30	INTL	B	P	187	NSRGY	40.31	1836	08/19/2009	NW	08/19/2009 12:21:59	INTL	B	300	NSRGY	40.32	E449289E	NFSC	\$1.87								
												EX	08/19/2009 12:22:42	INTL		300	NSRGY	40.32	E449289E										
1387	08/19/2009	14:33:06	14:33:05	INTL	S	P	214	EXPGY	8.70	1837	08/18/2009	NW	08/18/2009 08:15:01	INTL	SL	129	EXPGY	8.65	E439E7A1	NFSC	\$6.45								
												EX	08/19/2009 14:33:22	INTL		129	EXPGY	8.65	E439E7A1										
1838	08/19/2009									1838	08/19/2009	NW	08/19/2009 13:00:32	INTL	SL	1,112	EXPGY	8.70	E4493055	NFSC	n/a								
												EX	08/19/2009 14:35:07	INTL		300	EXPGY	8.70	E4493055										
1388	08/19/2009	15:06:15	15:06:15	INTL	B	P	200	HOWWY	3.01	1839	08/19/2009	NW	08/19/2009 13:37:42	INTL	B	656	HOWWY	3.03	E4493134	NFSC	\$4.00								
												EX	08/19/2009 15:06:32	INTL		656	HOWWY	3.03	E4493134										
1389	08/20/2009	09:51:05	09:51:04	INTL	S	P	189	BNPQY	39.58	1840	08/19/2009	NW	08/19/2009 15:04:04	INTL	SL	100	BNPQY	39.20	E44933DE	NFSC	\$38.00								
												EX	08/20/2009 09:51:16	INTL		100	BNPQY	39.20	E44933DE										
1390	08/20/2009	12:12:23	12:12:22	INTL	S	P	115	EXPGY	8.66	1841	08/20/2009	NW	08/20/2009 12:10:29	INTL	SS	6,000	EXPGY	8.50	E4586F97	NFSC	\$18.40								
												EX	08/20/2009 12:14:06	INTL		1,000	EXPGY	8.50	E4586F97										
												EX	08/20/2009 12:14:19	INTL		5,000	EXPGY	8.57	E4586F97										
1391	08/20/2009	13:55:42	13:55:41	INTL	S	P	500	BYDDF	5.61	1842	08/20/2009	NW	08/20/2009 08:20:04	INTL	SL	25,000	BYDDF	5.60	E4586C4A	DEAN	\$5.00								
												EX	08/20/2009 09:50:30	INTL		500	BYDDF	5.60	E4586C4A										
										EX	08/20/2009 13:56:21	INTL		4,500	BYDDF	5.60	E4586C4A	1843	08/20/2009	NW	08/20/2009 14:09:42	INTL	SL	100	IMPUY	25.80	E45872E2	NFSC	\$2.00
										EX	08/20/2009 14:25:51	INTL		500	BYDDF	5.60	E4586C4A												
										EX	08/20/2009 14:26:00	INTL		500	BYDDF	5.60	E4586C4A	1844	08/20/2009	NW	08/20/2009 08:20:04	INTL	SL	25,000	BYDDF	5.60	E4586C4A	DEAN	\$90.00
										EX	08/20/2009 15:32:49	INTL		1,000	BYDDF	5.60	E4586C4A												
										EX	08/20/2009 15:36:19	INTL		3,000	BYDDF	5.60	E4586C4A	1845	08/20/2009	NW	08/20/2009 11:08:19	INTL	SL	125	IBDRY	34.65	E4586E5C	FCCP	\$6.25
										EX	08/20/2009 15:44:02	INTL		500	BYDDF	5.62	E4586C4A												
										EX	08/20/2009 15:44:52	INTL		4,500	BYDDF	5.60	E4586C4A	1846	08/20/2009	NW	08/20/2009 13:58:22	INTL	B	1,000	FSUMF	3.77	E4587291	NFSC	\$2.00
										EX	08/20/2009 15:51:14	INTL		10,000	BYDDF	5.62	E4586C4A												
1392	08/20/2009	15:20:13	15:20:13	INTL	S	P	207	IMPUY	25.82	1843	08/20/2009	NW	08/20/2009 14:09:42	INTL	SL	100	IMPUY	25.80	E45872E2	NFSC	\$2.00								
												EX	08/20/2009 15:20:16	INTL		100	IMPUY	25.80	E45872E2										
1393	08/20/2009	15:44:30	15:44:29	INTL	S	P	4,500	BYDDF	5.62	1844	08/20/2009	NW	08/20/2009 08:20:04	INTL	SL	25,000	BYDDF	5.60	E4586C4A	DEAN	\$90.00								
												EX	08/20/2009 09:50:30	INTL		500	BYDDF	5.60	E4586C4A										
										EX	08/20/2009 13:56:21	INTL		4,500	BYDDF	5.60	E4586C4A	1845	08/20/2009	NW	08/20/2009 11:08:19	INTL	SL	125	IBDRY	34.65	E4586E5C	FCCP	\$6.25
										EX	08/20/2009 14:25:51	INTL		500	BYDDF	5.60	E4586C4A												
										EX	08/20/2009 14:26:00	INTL		500	BYDDF	5.60	E4586C4A	1846	08/20/2009	NW	08/20/2009 13:58:22	INTL	B	1,000	FSUMF	3.77	E4587291	NFSC	\$2.00
										EX	08/20/2009 15:32:49	INTL		1,000	BYDDF	5.60	E4586C4A												
										EX	08/20/2009 15:36:19	INTL		3,000	BYDDF	5.60	E4586C4A	1847	08/21/2009	NW	08/21/2009 10:53:33	INTL	SL	100	BNPQY	41.35	E46780CE	NFSC	\$3.00
										EX	08/20/2009 15:44:02	INTL		500	BYDDF	5.62	E4586C4A												
										EX	08/20/2009 15:44:52	INTL		4,500	BYDDF	5.60	E4586C4A	1848	08/10/2009	NW	08/10/2009 12:59:41	INTL	SL	700	IMPUY	27.00	E38FDAC8	FCCP	\$5.00
										EX	08/20/2009 15:51:14	INTL		10,000	BYDDF	5.62	E4586C4A												
1394	08/20/2009	15:53:22	15:53:22	INTL	S	P	347	IBDRY	34.70	1845	08/20/2009	NW	08/20/2009 11:08:19	INTL	SL	125	IBDRY	34.65	E4586E5C	FCCP	\$6.25								
												EX	08/20/2009 15:53:30	INTL		125	IBDRY	34.65	E4586E5C										
1395	08/21/2009	09:30:31	09:30:31	INTL	B	P	100	FSUMF	3.75	1846	08/20/2009	NW	08/20/2009 13:58:22	INTL	B	1,000	FSUMF	3.77	E4587291	NFSC	\$2.00								
												EX	08/21/2009 09:30:46	INTL		1,000	FSUMF	3.77	E4587291										

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Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1435	09/01/2009	12:38:11	12:38:11	INTL	B	P	430	IMPUY	22.56	1889	09/01/2009	NW	09/01/2009 08:15:02	INTL	B	100	IMPUY	22.75	E50F872C	NFSC	\$19.00
												EX	09/01/2009 12:38:22	INTL		100	IMPUY	22.75	E50F872C		
1436	09/01/2009	12:47:52	12:47:51	INTL	S	P	200	NTDOY	33.24	1890	09/01/2009	NW	09/01/2009 12:47:31	INTL	SL	1,000	NTDOY	33.20	E50F8C7A	NFSC	\$8.00
												EX	09/01/2009 12:48:04	INTL		1,000	NTDOY	33.20	E50F8C7A		
1437	09/01/2009	13:27:09	13:27:08	INTL	B	P	1,119	YARIY	26.31	1891	08/13/2009	NW	08/13/2009 08:15:01	INTL	B	100	YARIY	26.50	E3ED9C4E	NFSC	\$19.00
												EX	09/01/2009 13:27:40	INTL		100	YARIY	26.50	E3ED9C4E		
1438	09/01/2009	14:39:13	14:39:13	INTL	S	P	1,250	NSRGY	40.90	1892	09/01/2009	NW	09/01/2009 14:38:31	INTL	SL	325	NSRGY	40.88	E50F8F94	NFSC	\$6.50
												EX	09/01/2009 14:39:49	INTL		325	NSRGY	40.88	E50F8F94		
1439	09/02/2009	10:58:07	10:58:06	INTL	S	P	351	ZFSVY	21.37	1893	09/02/2009	NW	09/02/2009 08:55:35	INTL	SL	183	ZFSVY	21.35	E51EC99D	NFSC	\$3.66
												EX	09/02/2009 10:58:13	INTL		183	ZFSVY	21.35	E51EC99D		
1440	09/03/2009	11:21:28	11:21:27	INTL	B	P	200	NSRGY	40.75	1894	09/03/2009	NW	09/03/2009 10:17:31	INTL	B	100	NSRGY	40.77	E52E0D00	NFSC	\$2.00
												EX	09/03/2009 11:21:34	INTL		100	NSRGY	40.77	E52E0D00		
1441	09/03/2009	12:42:41	12:42:41	INTL	S	P	250	AAUKY	15.95	1895	09/03/2009	NW	09/03/2009 12:42:23	INTL	SL	2,500	AAUKY	15.94	E52E100D	FCCP	\$2.50
												EX	09/03/2009 12:42:49	INTL		2,500	AAUKY	15.94	E52E100D		
1442	09/04/2009	09:33:07	09:33:07	INTL	B	P	162	NSRGY	40.31	1896	08/31/2009	NW	08/31/2009 08:15:03	INTL	B	230	NSRGY	40.32	E500452E	NFSC	\$1.62
												EX	09/04/2009 09:33:28	INTL		230	NSRGY	40.32	E500452E		
1443	09/04/2009	14:15:57	14:15:57	INTL	B	P	411	IMPUY	24.26	1897	09/04/2009	NW	09/04/2009 13:35:27	INTL	B	100	IMPUY	24.50	E53D5376	NFSC	\$24.00
												EX	09/04/2009 14:16:29	INTL		100	IMPUY	24.50	E53D5376		
1444	09/04/2009	15:41:16	15:41:15	INTL	S	P	278	CCLAY	17.40	1898	09/04/2009	NW	09/04/2009 10:38:26	INTL	SL	200	CCLAY	17.25	E53D4F36	NFSC	\$30.00
												EX	09/04/2009 15:41:25	INTL		200	CCLAY	17.25	E53D4F36		
1445	09/08/2009	09:30:27	09:30:26	INTL	S	P	200	GDFZY	42.95	1899	09/08/2009	NW	09/08/2009 09:11:49	INTL	SL	500	GDFZY	42.70	E57A5707	MSCO	\$24.00
												EX	09/08/2009 09:31:32	INTL		500	GDFZY	42.83	E57A5707		
1446	09/08/2009	10:08:34	10:08:34	INTL	S	P	200	BYDDF	7.65	1900	09/08/2009	NW	09/08/2009 10:07:49	INTL	SL	3,000	BYDDF	7.60	E57A57B4	NFSC	\$10.00
												EX	09/08/2009 10:08:45	INTL		3,000	BYDDF	7.60	E57A57B4		
1447	09/08/2009	10:13:48	10:13:47	INTL	S	P	500	NSRGY	41.74	1901	09/08/2009	NW	09/08/2009 10:09:19	INTL	SL	216	NSRGY	41.70	E57A57B8	NFSC	\$6.48
												EX	09/08/2009 10:13:54	INTL		216	NSRGY	41.71	E57A57B8		
1448	09/08/2009	13:11:02	13:11:01	INTL	S	P	426	CHEUY	12.29	1902	09/08/2009	NW	09/08/2009 11:46:12	INTL	SL	475	CHEUY	12.28	E57A597C	NFSC	\$4.26
												EX	09/08/2009 13:11:11	INTL		475	CHEUY	12.28	E57A597C		
1449	09/09/2009	09:30:32	09:30:32	INTL	B	P	100	NSRGY	41.40	1903	09/09/2009	NW	09/09/2009 08:15:03	INTL	B	1,000	NSRGY	41.50	E5899957	NFSC	\$7.00
												EX	09/09/2009 09:31:11	INTL		1,000	NSRGY	41.47	E5899957		
1450	09/09/2009	09:51:32	09:51:31	INTL	S	P	1,000	VWSYF	77.45	1904	06/19/2009	NW	06/19/2009 15:02:41	INTL	SL	100	VWSYF	77.00	E0A6675C	NFSC	\$45.00
												EX	09/09/2009 09:51:52	INTL		100	VWSYF	77.00	E0A6675C		
										1905	09/09/2009	NW	09/09/2009 09:50:59	INTL	SL	200	VWSYF	76.65	E58999DB	NFSC	\$120.00
												EX	09/09/2009 09:51:37	INTL		200	VWSYF	76.85	E58999DB		
1451	09/09/2009	11:07:43	11:07:42	INTL	B	P	100	LVNGY	8.89	1906	09/09/2009	NW	09/09/2009 11:03:26	INTL	B	100	LVNGY	8.93	E5899BA2	NFSC	\$4.00
												EX	09/09/2009 11:07:50	INTL		100	LVNGY	8.93	E5899BA2		
1452	09/09/2009	11:13:31	11:13:30	INTL	S	P	4,000	BYDDF	7.29	1907	09/09/2009	NW	09/09/2009 11:10:57	INTL	SL	2,000	BYDDF	7.25	E5899BE0	NFSC	\$80.00
												EX	09/09/2009 11:13:36	INTL		2,000	BYDDF	7.25	E5899BE0		
1453	09/09/2009	11:13:36	11:13:36	INTL	B	P	2,000	BYDDF	7.25	1908	09/09/2009	NW	09/09/2009 08:15:03	INTL	B	1,500	BYDDF	7.25	E589994C	NFSC	n/a
												EX	09/09/2009 12:11:44	INTL		1,500	BYDDF	7.25	E589994C		
										1909	09/09/2009	NW	09/09/2009 08:15:01	INTL	B	1,000	BYDDF	7.25	E5899930	NFSC	n/a
												EX	09/09/2009 12:11:43	INTL		1,000	BYDDF	7.25	E5899930		
										1910	09/09/2009	NW	09/09/2009 09:56:12	INTL	B	2,000	BYDDF	7.26	E58999FE	NFSC	n/a
												EX	09/09/2009 11:52:42	INTL		2,000	BYDDF	7.26	E58999FE		
1454	09/09/2009	11:46:50	11:46:50	INTL	S	P	200	SKFRY	16.52	1911	09/02/2009	NW	09/02/2009 13:17:16	INTL	SL	300	SKFRY	16.50	E51ECF75	NFSC	\$4.00
												EX	09/09/2009 11:50:28	INTL		300	SKFRY	16.50	E51ECF75		
1455	09/09/2009	13:15:40	13:15:39	INTL	B	P	200	IMPUY	24.90	1912	09/09/2009	NW	09/09/2009 08:15:03	INTL	B	250	IMPUY	24.95	E5899949	NFSC	n/a
												EX	09/09/2009 13:42:30	INTL		250	IMPUY	24.95	E5899949		
										1913	09/09/2009	NW	09/09/2009 08:15:03	INTL	B	600	IMPUY	25.00	E589994E	NFSC	\$20.00
												EX	09/09/2009 13:15:52	INTL		200	IMPUY	25.00	E589994E		
												EX	09/09/2009 13:16:17	INTL		400	IMPUY	25.00	E589994E		
1456	09/09/2009	14:13:25	14:13:24	INTL	B	P	199	SVNDY	46.40	1914	09/09/2009	NW	09/09/2009 14:12:29	INTL	B	1,343	SVNDY	46.55	E589A09F	MSCO	\$29.85
												EX	09/09/2009 14:14:47	INTL		1,343	SVNDY	46.55	E589A09F		
1457	09/09/2009	15:49:06	15:49:06	INTL	B	P	200	LVNGY	8.90	1915	09/09/2009	NW	09/09/2009 15:22:04	INTL	B	800	LVNGY	8.91	E589A259	NFSC	\$2.00
												EX	09/09/2009 15:49:22	INTL		800	LVNGY	8.91	E589A259		
1458	09/10/2009	09:30:51	09:30:51	INTL	B	P	1,000	NSRGY	41.45	1916	09/10/2009	NW	09/10/2009 09:10:59	INTL	B	400	NSRGY	43.00	E598DBC7	MSCO	\$4.00
												EX	09/10/2009 09:31:13	INTL		400	NSRGY	41.46	E598DBC7		
1459	09/10/2009	09:47:26	09:47:26	INTL	B	P	200	IMPUY	24.10	1917	09/10/2009	NW	09/10/2009 08:15:01	INTL	B	500	IMPUY	24.20	E598DB65	NFSC	\$20.00
												EX	09/10/2009 09:47:41	INTL		200	IMPUY	24.20	E598DB65		
												EX	09/10/2009 09:47:49	INTL		300	IMPUY	24.15	E598DB65		
1460	09/10/2009	10:10:02	10:10:01	INTL	B	P	257	BNPQY	38.47	1918	09/10/2009	NW	09/10/2009 10:09:51	INTL	B	275	BNPQY	38.50	E598DCAA	NFSC	\$5.14
												EX	09/10/2009 10:10:11	INTL		275	BNPQY	38.49	E598DCAA		
1461	09/10/2009	10:24:52	10:24:52	INTL	B	P	204	ZFSVY	22.28	1919	09/10/2009	NW	09/10/2009 10:06:11	INTL	B	100	ZFSVY	22.30	E598DC92	NFSC	\$2.00
												EX	09/10/2009 10:25:03	INTL		100	ZFSVY	22.30	E598DC92		
1462	09/10/2009	12:01:12	12:01:11	INTL	B	P	141	NSRGY	41.57	1920	09/10/2009	NW	09/10/2009 11:52:19	INTL	B	1,000	NSRGY	41.59	E598DF12	NFSC	\$2.82
												EX	09/10/2009 11:56:38	INTL		300	NSRGY	41.57	E598DF12		
												EX	09/10/2009 12:04:13	INTL		700	NSRGY	41.59	E598DF12		
1463	09/10/2009	12:14:57	12:14:56	INTL	S	P	2,000	BYDDF	7.39	1921	09/10/2009	NW	09/10/2009 12:								

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Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1504	09/18/2009	15:58:06	15:58:06	INTL	B	P	387	IMPUY	24.90	1965	09/18/2009	NW	09/18/2009 15:27:00	INTL	B	338	STBUY	5.30	E612F1C4		
												EX	09/18/2009 15:57:48	INTL	B	200	IMPUY	25.00	E612F861	NFSC	\$20.00
												EX	09/18/2009 15:58:17	INTL	B	200	IMPUY	25.00	E612F861	NFSC	
1505	09/21/2009	11:40:52	11:40:52	INTL	B	P	13,000	BYDDF	8.58	1966	09/21/2009	NW	09/21/2009 11:35:17	INTL	B	500	BYDDF	8.59	E640B716	NFSC	\$5.00
												EX	09/21/2009 11:40:58	INTL	B	500	BYDDF	8.59	E640B716	NFSC	
1506	09/21/2009	12:04:48	12:04:47	INTL	B	P	115	NABZY	25.39	1967	09/21/2009	NW	09/21/2009 11:38:23	INTL	B	200	NABZY	25.40	E640B71C	NFSC	\$1.15
												EX	09/21/2009 12:04:59	INTL	B	200	NABZY	25.40	E640B71C	NFSC	
1507	09/21/2009	12:19:44	12:19:43	INTL	B	P	2,775	BYDDF	8.58	1968	09/21/2009	NW	09/21/2009 12:12:09	INTL	B	500	BYDDF	8.60	E640B808	NFSC	\$10.00
												EX	09/21/2009 12:20:20	INTL	B	500	BYDDF	8.60	E640B808	NFSC	
1508	09/21/2009	15:51:08	15:51:07	INTL	S	P	1,000	HEGIF	6.00	1969	08/28/2009	NW	08/28/2009 10:49:21	INTL	SL	1,001	HEGIF	5.95	E4D27FE9	MLEX	\$50.00
												EX	09/21/2009 15:51:14	INTL	SL	1,001	HEGIF	5.95	E4D27FE9	MLEX	
1509	09/22/2009	09:30:26	09:30:26	INTL	S	P	385	NSRGY	43.60	1970	08/04/2009	NW	08/04/2009 08:15:01	INTL	SL	100	NSRGY	43.50	E3644837	NFSC	\$8.00
												EX	09/22/2009 09:30:58	INTL	SL	100	NSRGY	43.52	E3644837	NFSC	
1510	09/22/2009	11:21:34	11:21:33	INTL	B	P	236	LNVGY	8.52	1971	09/22/2009	NW	09/22/2009 09:54:30	INTL	B	250	LNVGY	8.55	E64FF770	NFSC	\$7.08
												EX	09/22/2009 11:24:18	INTL	B	250	LNVGY	8.55	E64FF770	NFSC	
1511	09/22/2009	12:19:22	12:19:21	INTL	S	P	4,500	AGIBY	0.85	1972	09/18/2009	NW	09/18/2009 12:09:18	INTL	SL	1,000	AGIBY	0.81	E612F135	NFSC	\$40.00
												EX	09/22/2009 12:19:29	INTL	SL	1,000	AGIBY	0.81	E612F135	NFSC	
1512	09/22/2009	14:01:56	14:01:55	INTL	B	P	400	IMPUY	24.79	1973	09/22/2009	NW	09/22/2009 13:47:36	INTL	B	194	IMPUY	24.81	E64FFDD6	FCCP	\$3.88
												EX	09/22/2009 14:02:11	INTL	B	194	IMPUY	24.81	E64FFDD6	FCCP	
1513	09/22/2009	15:45:12	15:45:11	INTL	S	P	2,500	BYDDF	9.03	1974	09/22/2009	NW	09/22/2009 15:37:17	INTL	SL	500	BYDDF	9.00	30855255	DEAN	\$15.00
												EX	09/22/2009 15:45:40	INTL	SL	500	BYDDF	9.00	30855255	DEAN	
1514	09/23/2009	10:25:25	10:25:24	INTL	S	P	2,490	NSRGY	43.09	1975	09/23/2009	NW	09/23/2009 10:24:44	INTL	SL	275	NSRGY	43.00	E65F3A2A	NFSC	\$11.00
												EX	09/23/2009 10:25:37	INTL	SL	275	NSRGY	43.05	E65F3A2A	NFSC	
1515	09/23/2009	11:02:20	11:02:20	INTL	B	P	9,000	VPGLF	0.42	1976	09/23/2009	NW	09/23/2009 10:50:30	INTL	B	5,000	VPGLF	0.44	E65F3AA4	MLEX	\$100.00
												EX	09/23/2009 11:02:23	INTL	B	5,000	VPGLF	0.44	E65F3AA4	MLEX	
1516	09/23/2009	11:33:13	11:33:12	INTL	B	P	200	VLKAY	32.25	1977	09/22/2009	NW	09/22/2009 11:05:39	INTL	B	500	VLKAY	32.36	E64FF939	NFSC	\$22.00
												EX	09/23/2009 11:33:23	INTL	B	500	VLKAY	32.36	E64FF939	NFSC	
1517	09/23/2009	14:34:14	14:34:13	INTL	B	P	143	SGAMY	3.20	1978	09/23/2009	NW	09/23/2009 14:32:08	INTL	B	332	SGAMY	3.26	E65F4051	MSCO	\$8.58
												EX	09/23/2009 14:36:18	INTL	B	332	SGAMY	3.26	E65F4051	MSCO	
1518	09/23/2009	15:58:31	15:58:31	INTL	B	P	200	VLKAY	32.20	1979	09/23/2009	NW	09/23/2009 12:44:32	INTL	B	100	VLKAY	32.25	E65F3E31	NFSC	\$5.00
												EX	09/23/2009 15:58:37	INTL	B	100	VLKAY	32.25	E65F3E31	NFSC	
1519	09/24/2009	09:45:05	09:45:05	INTL	S	P	51,400	FBRWY	5.102	1980	09/17/2009	NW	09/17/2009 15:47:05	INTL	SL	1,500	FBRWY	5.05	E603B626	NFSC	\$78.00
												EX	09/24/2009 09:45:18	INTL	SL	1,500	FBRWY	5.05	E603B626	NFSC	
1520	09/24/2009	09:46:24	09:46:24	INTL	B	P	124	NTDOY	33.81	1981	09/24/2009	NW	09/24/2009 09:45:45	INTL	B	200	NTDOY	33.86	E66E78FE	NFSC	\$6.20
												EX	09/24/2009 09:46:48	INTL	B	200	NTDOY	33.86	E66E78FE	NFSC	
1521	09/24/2009	09:57:14	09:57:14	INTL	B	P	1,150	WMMVF	3.48	1982	09/18/2009	NW	09/18/2009 12:40:08	INTL	B	1,000	WMMVF	3.50	E612F281	NFSC	\$20.00
												EX	09/24/2009 09:57:23	INTL	B	1,000	WMMVF	3.50	E612F281	NFSC	
1522	09/24/2009	10:01:38	10:01:38	INTL	B	P	500	BYDDF	9.06	1983	09/24/2009	NW	09/24/2009 09:57:08	INTL	B	500	BYDDF	9.08	E66E7C38	NFSC	\$5.00
												EX	09/24/2009 10:01:46	INTL	B	500	BYDDF	9.07	E66E7C38	NFSC	
1523	09/24/2009	10:43:31	10:43:30	INTL	B	P	100	NSRGY	42.69	1984	09/23/2009	NW	09/23/2009 08:15:01	INTL	B	200	NSRGY	42.76	E65F38AF	NFSC	\$7.00
												EX	09/24/2009 10:44:07	INTL	B	200	NSRGY	42.76	E65F38AF	NFSC	
1524	09/24/2009	10:43:30	10:43:30	INTL	S	P	500	CRZBY	12.29	1985	09/24/2009	NW	09/24/2009 10:43:24	INTL	SL	3,000	CRZBY	12.28	E66E7D1A	NFSC	\$5.00
												EX	09/24/2009 10:43:39	INTL	SL	500	CRZBY	12.28	E66E7D1A	NFSC	
												EX	09/24/2009 10:43:47	INTL	SL	2,500	CRZBY	12.28	E66E7D1A	NFSC	
1525	09/24/2009	11:01:09	11:01:08	INTL	B	P	200	BYDDY	88.00	1986	09/22/2009	NW	09/22/2009 09:46:54	INTL	B	100	BYDDY	88.50	E64FF74B	NFSC	\$50.00
												EX	09/24/2009 11:01:22	INTL	B	100	BYDDY	88.50	E64FF74B	NFSC	
1526	09/24/2009	13:19:04	13:19:03	INTL	B	P	5,000	NTDOY	33.15	1987	09/24/2009	NW	09/24/2009 10:14:51	INTL	B	300	NTDOY	33.20	E66E7C98	NFSC	\$15.00
												EX	09/24/2009 13:20:21	INTL	B	300	NTDOY	33.20	E66E7C98	NFSC	
										1988	09/24/2009	NW	09/24/2009 13:01:30	INTL	B	200	NTDOY	33.25	E66E8155	NFSC	\$20.00
												EX	09/24/2009 13:20:21	INTL	B	200	NTDOY	33.25	E66E8155	NFSC	
1527	09/24/2009	13:22:24	13:22:23	INTL	B	P	100	NTDOY	32.96	1989	09/24/2009	NW	09/24/2009 13:21:48	INTL	B	400	NTDOY	33.00	E66E81C7	NFSC	\$4.00
												EX	09/24/2009 13:22:54	INTL	B	400	NTDOY	33.00	E66E81C7	NFSC	
1528	09/24/2009	13:30:07	13:30:07	INTL	B	P	1,000	FSUMF	3.41												

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Part I: Trigger Trades										Part II: Customer Limit Orders											Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1568	10/09/2009	14:45:55	14:45:55	INTL	B	P	1,000	BYDDF	8.97	2040	10/09/2009	NW	10/09/2009 14:36:26	INTL	B	1,500	BYDDF	8.99	E75363F6	NFSC	\$20.00
												EX	10/09/2009 14:46:47	INTL		1,500	BYDDF	8.99	E75363F6		
1569	10/09/2009	14:52:51	14:52:51	INTL	B	P	370	PMSEY	3.68	2041	10/09/2009	NW	10/09/2009 14:14:38	INTL	B	200	PMSEY	3.70	E75363B9	NFSC	\$4.00
												EX	10/09/2009 14:53:07	INTL		200	PMSEY	3.70	E75363B9		
1570	10/12/2009	09:49:37	09:49:36	INTL	B	P	200	VWSYF	63.31	2042	10/05/2009	NW	10/05/2009 08:15:01	INTL	B	500	VWSYF	63.50	E71653A2	NFSC	\$38.00
												EX	10/12/2009 09:51:01	INTL		200	VWSYF	63.50	E71653A2		
												EX	10/12/2009 09:55:35	INTL		300	VWSYF	63.50	E71653A2		
1571	10/12/2009	10:55:21	10:55:21	INTL	S	P	100	NSRGY	43.02	2043	10/08/2009	NW	10/08/2009 08:15:02	INTL	SL	250	NSRGY	43.00	E7441A64	NFSC	\$2.00
												EX	10/12/2009 10:55:24	INTL		250	NSRGY	43.00	E7441A64		
1572	10/12/2009	11:52:22	11:52:21	INTL	S	P	100	BNPQY	40.95	2044	10/09/2009	NW	10/09/2009 08:01:32	INTL	SL	190	BNPQY	40.90	E7535C5E	MLEX	\$5.00
												EX	10/12/2009 11:53:21	INTL		190	BNPQY	40.90	E7535C5E		
1573	10/13/2009	14:46:43	14:46:43	INTL	S	P	1,500	SCGLY	14.37	2045	10/13/2009	NW	10/13/2009 14:46:25	INTL	SL	210	SCGLY	14.30	E7906F31	NFSC	\$14.70
												EX	10/13/2009 14:46:54	INTL		210	SCGLY	14.30	E7906F31		
1574	10/14/2009	09:30:27	09:30:26	INTL	S	P	200	NABZY	29.30	2046	10/08/2009	NW	10/08/2009 13:50:12	INTL	SL	200	NABZY	29.00	E7442308	NFSC	\$60.00
												EX	10/14/2009 09:32:44	INTL		200	NABZY	29.00	E7442308		
1575	10/14/2009	10:21:04	10:21:03	INTL	B	P	115	NSRGY	42.70	2047	10/14/2009	NW	10/14/2009 10:18:33	INTL	B	100	NSRGY	42.73	E79FA966	NFSC	n/a
												EX	10/14/2009 10:21:20	INTL		100	NSRGY	42.73	E79FA966		
										2048	10/14/2009	NW	10/14/2009 10:20:15	INTL	B	200	NSRGY	42.75	E79FA974	NFSC	\$4.60
												EX	10/14/2009 10:21:09	INTL		200	NSRGY	42.74	E79FA974		
1576	10/14/2009	15:44:19	15:44:19	INTL	S	P	100	NSRGY	43.01	2049	10/14/2009	NW	10/14/2009 10:39:05	INTL	SL	200	NSRGY	42.99	E79FA9FD	NFSC	\$2.00
												EX	10/14/2009 15:46:21	INTL		200	NSRGY	42.99	E79FA9FD		
1577	10/15/2009	09:30:18	09:30:17	INTL	B	P	835	NSRGY	42.59	2050	10/15/2009	NW	10/15/2009 08:15:02	INTL	B	200	NSRGY	43.00	E7AEEA2F	NFSC	\$12.00
												EX	10/15/2009 09:32:11	INTL		200	NSRGY	42.65	E7AEEA2F		
										2051	10/15/2009	NW	10/15/2009 08:15:03	INTL	B	200	NSRGY	43.00	E7AEEA4E	NFSC	\$12.00
												EX	10/15/2009 09:32:03	INTL		200	NSRGY	42.65	E7AEEA4E		
1578	10/15/2009	09:30:20	09:30:19	INTL	B	P	450	SMFJY	3.55	2052	10/12/2009	NW	10/12/2009 08:15:02	INTL	B	100	SMFJY	3.60	E781237C	NFSC	\$5.00
												EX	10/15/2009 09:32:50	INTL		100	SMFJY	3.60	E781237C		
1579	10/15/2009	09:30:56	09:30:55	INTL	B	P	400	NSRGY	42.64	2053	10/15/2009	NW	10/15/2009 08:15:02	INTL	B	200	NSRGY	43.00	E7AEEA2F	NFSC	n/a
												EX	10/15/2009 09:32:11	INTL		200	NSRGY	42.65	E7AEEA2F		
										2054	10/15/2009	NW	10/15/2009 08:15:03	INTL	B	200	NSRGY	43.00	E7AEEA4E	NFSC	n/a
												EX	10/15/2009 09:32:03	INTL		200	NSRGY	42.65	E7AEEA4E		
1580	10/15/2009	11:13:01	11:13:00	INTL	S	P	200	AAUKY	18.24	2055	10/15/2009	NW	10/15/2009 08:38:39	INTL	SL	100	AAUKY	18.23	E7AEEA53	FCCP	\$1.00
												EX	10/15/2009 11:13:05	INTL		100	AAUKY	18.23	E7AEEA53		
1581	10/15/2009	13:08:41	13:08:41	INTL	B	P	100	NSRGY	42.90	2056	10/15/2009	NW	10/15/2009 13:07:30	INTL	B	1,000	NSRGY	42.94	E7AEF08B	NFSC	\$4.00
												EX	10/15/2009 13:08:55	INTL		200	NSRGY	42.94	E7AEF08B		
												EX	10/15/2009 13:14:57	INTL		800	NSRGY	42.90	E7AEF08B		
1582	10/15/2009	15:41:08	15:41:07	INTL	B	P	130	SVNDY	47.55	2057	10/15/2009	NW	10/15/2009 15:41:01	INTL	B	293	SVNDY	48.24	E7AEF543	MSCO	\$71.50
												EX	10/15/2009 15:41:59	INTL		293	SVNDY	48.10	E7AEF543		
1583	10/16/2009	09:33:56	09:33:55	INTL	B	P	224	WMMVY	36.80	2058	10/16/2009	NW	10/16/2009 08:15:02	INTL	B	110	WMMVY	36.88	E7BE2C71	NFSC	\$8.80
												EX	10/16/2009 09:34:00	INTL		110	WMMVY	36.88	E7BE2C71		
1584	10/16/2009	09:50:46	09:50:46	INTL	S	P	600	BYDDF	10.23	2059	10/16/2009	NW	10/16/2009 09:49:23	INTL	SL	10,000	BYDDF	10.20	E7BE2D8C	NFSC	\$18.00
												EX	10/16/2009 09:51:01	INTL		10,000	BYDDF	10.20	E7BE2D8C		
1585	10/16/2009	10:17:26	10:17:25	INTL	B	P	200	VLKPY	22.00	2060	10/15/2009	NW	10/15/2009 09:43:22	INTL	B	100	VLKPY	22.90	E7AEEA1E	NFSC	\$90.00
												EX	10/16/2009 10:17:48	INTL		100	VLKPY	22.90	E7AEEA1E		
										2061	10/16/2009	NW	10/16/2009 09:50:42	INTL	B	300	VLKPY	22.20	E7BE2D90	NFSC	\$20.00
												EX	10/16/2009 11:27:35	INTL		300	VLKPY	22.20	E7BE2D90		
1586	10/16/2009	10:21:29	10:21:28	INTL	B	P	120	SANYY	10.75	2062	10/16/2009	NW	10/16/2009 08:15:02	INTL	B	2,000	SANYY	10.79	E7BE2C7E	NFSC	\$4.80
												EX	10/16/2009 10:22:27	INTL		200	SANYY	10.79	E7BE2C7E		
												EX	10/16/2009 10:35:14	INTL		200	SANYY	10.79	E7BE2C7E		
1587	10/16/2009	10:39:54	10:39:54	INTL	S	P	500	BYDDF	10.07	2063	10/16/2009	NW	10/16/2009 10:38:55	INTL	SL	1,500	BYDDF	10.00	E7BE2EA7	NFSC	\$10.00
												EX	10/16/2009 10:40:03	INTL		1,500	BYDDF	10.05	E7BE2EA7		
1588	10/16/2009	10:45:45	10:45:45	INTL	B	P	1,800	NSRGY	42.37	2064	10/16/2009	NW	10/16/2009 10:28:03	INTL	B	232	NSRGY	42.40	E7BE2E82	FCCP	\$6.96
												EX	10/16/2009 10:46:09	INTL		232	NSRGY	42.40	E7BE2E82		
1589	10/16/2009	11:48:50	11:48:50	INTL	B	P	100	WEBNF	24.20	2065	10/16/2009	NW	10/16/2009 08:00:53	INTL	B	100	WEBNF	24.30	E7BE2C19	MLEX	\$10.00</

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
										2076	10/20/2009	NW	10/20/2009 08:15:02	INTL	SL	200	NTDOY	33.40	E7FB3576	NFSC	\$40.00
												EX	10/20/2009 09:31:16	INTL		200	NTDOY	33.40	E7FB3576		
1600	10/20/2009	09:59:10	09:59:10	INTL	S	P	185	NSRGY	45.10	2077	10/20/2009	NW	10/20/2009 09:52:04	INTL	SL	150	NSRGY	45.06	E7FB3648	NFSC	\$6.00
												EX	10/20/2009 09:59:24	INTL		150	NSRGY	45.06	E7FB3648		
1601	10/20/2009	10:12:23	10:12:22	INTL	S	P	2,000	PGSVY	11.27	2078	10/20/2009	NW	10/20/2009 10:11:16	INTL	SL	50,000	PGSVY	11.25	E7FB3695	MOKE	\$20.00
												EX	10/20/2009 10:11:16	INTL		500	PGSVY	11.26	E7FB3695		
												EX	10/20/2009 10:12:57	INTL		49,500	PGSVY	11.26	E7FB3695		
1602	10/20/2009	10:25:33	10:25:32	INTL	B	P	3,500	PALAF	4.60	2079	10/20/2009	NW	10/20/2009 10:25:11	INTL	B	2,500	PALAF	4.70	E7FB36C6	NFSC	\$250.00
												EX	10/20/2009 10:25:39	INTL		2,500	PALAF	4.70	E7FB36C6		
1603	10/20/2009	10:25:40	10:25:39	INTL	S	P	2,500	PALAF	4.70	2080	10/12/2009	NW	10/12/2009 11:59:33	INTL	SL	100	PALAF	4.62	E7B126D6	NFSC	\$7.00
												EX	10/20/2009 10:27:14	INTL		100	PALAF	4.63	E7B126D6		
										2081	10/19/2009	NW	10/19/2009 09:42:47	INTL	SL	1,800	PALAF	4.57	E7EBF3B9	FCCP	\$144.00
												EX	10/20/2009 10:27:18	INTL		1,800	PALAF	4.62	E7EBF3B9		
1604	10/20/2009	10:27:00	10:27:00	INTL	S	P	2,500	PALAF	4.63	2082	10/19/2009	NW	10/19/2009 09:42:47	INTL	SL	1,800	PALAF	4.57	E7EBF3B9	FCCP	n/a
												EX	10/20/2009 10:27:18	INTL		1,800	PALAF	4.62	E7EBF3B9		
1605	10/20/2009	11:41:39	11:41:39	INTL	B	P	300	BYDDY	108.05	2083	10/20/2009	NW	10/20/2009 10:58:45	INTL	B	230	BYDDY	108.45	E7FB3765	FCCP	\$92.00
												EX	10/20/2009 11:42:22	INTL		230	BYDDY	108.45	E7FB3765		
1606	10/20/2009	12:08:51	12:08:50	INTL	B	P	166	TLSYY	14.32	2084	10/20/2009	NW	10/20/2009 11:47:46	INTL	B	800	TLSYY	14.33	E7FB387B	NFSC	\$1.66
												EX	10/20/2009 12:09:06	INTL		500	TLSYY	14.33	E7FB387B		
												EX	10/20/2009 12:09:09	INTL		300	TLSYY	14.33	E7FB387B		
1607	10/20/2009	12:35:31	12:35:30	INTL	B	P	2,550	BYDDF	10.70	2085	10/20/2009	NW	10/20/2009 12:32:25	INTL	B	200	BYDDF	10.76	31515308	DEAN	\$10.00
												EX	10/20/2009 12:35:34	INTL		200	BYDDF	10.75	31515308		
1608	10/21/2009	09:30:42	09:30:42	INTL	S	P	106	NTDOY	33.50	2086	10/21/2009	NW	10/21/2009 08:34:45	INTL	SL	150	NTDOY	33.00	E80A77D2	NFSC	\$15.90
												EX	10/21/2009 09:31:29	INTL		150	NTDOY	33.35	E80A77D2		
										2087	10/21/2009	NW	10/21/2009 09:10:37	INTL	SL	400	NTDOY	33.05	E80A77D8	NFSC	n/a
												EX	10/21/2009 09:31:29	INTL		400	NTDOY	33.35	E80A77D8		
1609	10/21/2009	09:46:24	09:46:23	INTL	S	P	300	NTDOY	33.62	2088	10/21/2009	NW	10/21/2009 09:27:37	INTL	SL	400	NTDOY	33.60	E80A77F7	NFSC	\$6.00
												EX	10/21/2009 09:46:40	INTL		400	NTDOY	33.60	E80A77F7		
1610	10/21/2009	11:09:23	11:09:22	INTL	B	P	100	NSRGY	45.86	2089	10/21/2009	NW	10/21/2009 11:01:43	INTL	B	200	NSRGY	45.88	E80A79C2	NFSC	\$2.00
												EX	10/21/2009 11:09:49	INTL		200	NSRGY	45.88	E80A79C2		
1611	10/21/2009	11:15:44	11:15:43	INTL	B	P	500	OGZPY	26.90	2090	10/21/2009	NW	10/21/2009 11:15:07	INTL	B	18,500	OGZPY	26.95	E80A7A41	MOKE	\$20.00
												EX	10/21/2009 11:15:07	INTL		500	OGZPY	26.90	E80A7A41		
												EX	10/21/2009 11:16:53	INTL		5,000	OGZPY	26.94	E80A7A41		
												EX	10/21/2009 11:17:05	INTL		10,000	OGZPY	26.95	E80A7A41		
												EX	10/21/2009 11:17:14	INTL		3,000	OGZPY	26.95	E80A7A41		
1612	10/21/2009	14:43:05	14:43:05	INTL	B	P	500	NSRGY	45.49	2091	10/21/2009	NW	10/21/2009 12:05:30	INTL	B	250	NSRGY	45.50	E80A7B73	NFSC	\$2.50
												EX	10/21/2009 14:43:13	INTL		250	NSRGY	45.50	E80A7B73		
1613	10/21/2009	14:44:18	14:44:17	INTL	S	P	300	YARIY	38.70	2092	10/21/2009	NW	10/21/2009 11:07:25	INTL	SL	100	YARIY	38.59	E80A7A06	NFSC	\$11.00
												EX	10/21/2009 14:44:33	INTL		100	YARIY	38.59	E80A7A06		
1614	10/22/2009	14:00:17	14:00:16	INTL	B	P	200	TKPHF	39.75	2093	10/09/2009	NW	10/09/2009 13:14:36	INTL	B	100	TKPHF	40.50	E75362AC	MLEX	\$75.00
												EX	10/22/2009 14:00:20	INTL		100	TKPHF	40.50	E75362AC		
1615	10/22/2009	14:02:56	14:02:55	INTL	S	P	127	SCGLY	15.18	2094	10/22/2009	NW	10/22/2009 13:16:23	INTL	SL	400	SCGLY	15.15	E819C07B	NFSC	\$3.81
												EX	10/22/2009 14:02:59	INTL		400	SCGLY	15.15	E819C07B		
1616	10/23/2009	09:30:32	09:30:31	INTL	S	P	4,000	BACHF	0.61	2095	09/16/2009	NW	09/16/2009 15:01:05	INTL	SL	1,000	BACHF	0.60	E5F472F7	NFSC	\$10.00
												EX	10/23/2009 09:30:42	INTL		1,000	BACHF	0.60	E5F472F7		
1617	10/23/2009	11:20:39	11:20:38	INTL	B	P	500	FSUMF	3.70	2096	10/23/2009	NW	10/23/2009 11:20:10	INTL	B	150	FSUMF	3.71	E828FFA2	NFSC	\$1.50
												EX	10/23/2009 11:21:09	INTL		150	FSUMF	3.71	E828FFA2		
1618	10/26/2009	09:47:16	09:47:15	INTL	S	P	100	NSRGY	46.41	2097	10/26/2009	NW	10/26/2009 09:45:56	INTL	SL	2,216	NSRGY	46.24	E856C3BE	NFSC	\$3.00
												EX	10/26/2009 09:47:25	INTL		2,216	NSRGY	46.38	E856C3BE		
1619	10/26/2009	11:23:07	11:23:07	INTL	B	P	650	FSUMF	3.63	2098	10/26/2009	NW	10/26/2009 10:22:54	INTL	B	2,000	FSUMF	3.65	E856C4FC	FCCP	\$13.00
												EX	10/26/2009 11:23:15	INTL		2,000	FSUMF	3.65	E856C4FC		
										2099	10/26/2009	NW	10/26/2009 10:59:55	INTL	B	130	FSUMF	3.63	E856C5A3	NFSC	n/a
												EX	10/26/2009 11:39:14	INTL		130	FSUMF	3.62	E856C5A3		
1620	10/26/2009	12:03:07	12:03:06	INTL	B	P	2,000	BYDDF	11.06	2100	10/26/2009	NW	10/26/2009 09:53:30	INTL	B	300	BYDDF	11.10	31637473	DEAN	\$12.00
												EX	10/26/2009 12:03:14	INTL							

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Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
												CL	11/04/2009 13:18:30	INTL		250	SILXF	5.75	E6E89787		
1660	11/04/2009	13:22:48	13:22:47	INTL	B	P	200	NCMGY	31.45	2151	11/04/2009	NW	11/04/2009 12:38:04	INTL	B	100	NCMGY	31.50	E8E01C1B	NFSC	\$5.00
1661	11/04/2009	13:59:09	13:59:09	INTL	B	P	251	QBEIF	19.20	2152	11/04/2009	NW	11/04/2009 13:25:18	INTL	B	500	QBEIF	19.35	E8E01D2E	MLEX	\$37.65
1662	11/04/2009	14:38:03	14:38:03	INTL	S	P	500	BYDDF	9.50	2153	11/04/2009	NW	11/04/2009 14:34:42	INTL	SL	1,000	BYDDF	9.47	E8E01EDF	NFSC	\$15.00
1663	11/05/2009	10:49:18	10:49:18	INTL	S	P	200	VWSYF	75.10	2154	09/22/2009	NW	09/22/2009 11:22:47	INTL	SL	2,000	VWSYF	75.00	E64FF9C1	NFSC	n/a
												EX	11/05/2009 10:49:29	INTL		200	VWSYF	75.00	E64FF9C1		
												EX	11/05/2009 15:58:43	INTL		300	VWSYF	75.00	E64FF9C1		
												EX	11/06/2009 09:39:59	INTL		200	VWSYF	75.00	E64FF9C1		
												EX	11/06/2009 09:46:14	INTL		1,300	VWSYF	75.00	E64FF9C1		
										2155	11/05/2009	NW	11/05/2009 08:00:08	INTL	SL	200	VWSYF	75.00	E8EF592B	DEAN	\$20.00
												EX	11/05/2009 10:49:23	INTL		200	VWSYF	75.00	E8EF592B		
1664	11/05/2009	10:58:30	10:58:30	INTL	S	P	200	NTDOY	33.30	2156	11/03/2009	NW	11/03/2009 10:12:14	INTL	SL	250	NTDOY	33.25	E8D0D65B	NFSC	\$10.00
												EX	11/05/2009 11:01:16	INTL		250	NTDOY	33.25	E8D0D65B		
										2157	11/05/2009	NW	11/05/2009 08:11:27	INTL	SL	200	NTDOY	33.25	E8EF594E	MSCO	n/a
												CL	11/05/2009 16:00:02	INTL		200	NTDOY		E8EF594E		
1665	11/05/2009	11:14:30	11:14:30	INTL	B	P	118	IMPUY	22.31	2158	11/05/2009	NW	11/05/2009 11:11:17	INTL	B	374	IMPUY	22.54	E8EF5B79	FCCP	\$27.14
												EX	11/05/2009 11:14:36	INTL		374	IMPUY	22.54	E8EF5B79		
1666	11/05/2009	11:14:41	11:14:41	INTL	B	P	216	SVNDY	44.40	2159	11/05/2009	NW	11/05/2009 11:11:18	INTL	B	572	SVNDY	44.45	E8EF5B7D	FCCP	\$10.80
												EX	11/05/2009 11:14:50	INTL		572	SVNDY	44.45	E8EF5B7D		
1667	11/05/2009	11:25:43	11:25:43	INTL	B	P	178	IMPUY	22.32	2160	11/05/2009	NW	11/05/2009 11:15:53	INTL	B	226	IMPUY	22.80	E8EF5BAF	MSCO	\$69.42
												EX	11/05/2009 11:29:16	INTL		226	IMPUY	22.71	E8EF5BAF		
1668	11/05/2009	11:50:42	11:50:42	INTL	B	P	180	BASFY	56.11	2161	11/05/2009	NW	11/05/2009 11:25:36	INTL	B	100	BASFY	56.34	E8EF5BF5	NFSC	\$23.00
												EX	11/05/2009 11:50:59	INTL		100	BASFY	56.34	E8EF5BF5		
1669	11/05/2009	15:18:49	15:18:48	INTL	S	P	160	NSRGY	47.21	2162	11/05/2009	NW	11/05/2009 15:18:31	INTL	SL	250	NSRGY	47.20	E8EF63C4	NFSC	\$1.60
												EX	11/05/2009 15:19:04	INTL		250	NSRGY	47.20	E8EF63C4		
1670	11/06/2009	09:37:04	09:37:03	INTL	S	P	330	VWSYF	75.45	2163	09/22/2009	NW	09/22/2009 11:22:47	INTL	SL	2,000	VWSYF	75.00	E64FF9C1	NFSC	\$148.50
												EX	11/05/2009 10:49:29	INTL		200	VWSYF	75.00	E64FF9C1		
												EX	11/05/2009 15:58:43	INTL		300	VWSYF	75.00	E64FF9C1		
												EX	11/06/2009 09:39:59	INTL		200	VWSYF	75.00	E64FF9C1		
												EX	11/06/2009 09:46:14	INTL		1,300	VWSYF	75.00	E64FF9C1		
										2164	10/16/2009	NW	10/16/2009 09:28:56	INTL	SL	100	VWSYF	75.14	E7BE2CF1	NFSC	n/a
												EX	11/06/2009 09:53:03	INTL		100	VWSYF	75.18	E7BE2CF1		
										2165	11/06/2009	NW	11/06/2009 08:15:00	INTL	SL	1,000	VWSYF	74.85	E8FE9BBF	NFSC	n/a
												EX	11/06/2009 09:44:31	INTL		200	VWSYF	74.85	E8FE9BBF		
												EX	11/06/2009 09:46:03	INTL		800	VWSYF	74.85	E8FE9BBF		
										2166	11/06/2009	NW	11/06/2009 08:15:01	INTL	SL	100	VWSYF	74.98	E8FE9BCF	NFSC	n/a
												EX	11/06/2009 09:57:47	INTL		100	VWSYF	75.30	E8FE9BCF		
1671	11/06/2009	14:30:20	14:30:20	INTL	B	P	400	BYDDY	91.50	2167	11/06/2009	NW	11/06/2009 10:24:56	INTL	B	300	BYDDY	92.00	E8FE9D24	MLEX	\$150.00
												EX	11/06/2009 14:30:41	INTL		300	BYDDY	92.00	E8FE9D24		
1672	11/06/2009	15:17:55	15:17:55	INTL	B	P	1,560	SGAPY	20.70	2168	11/06/2009	NW	11/06/2009 10:12:16	INTL	B	100	SGAPY	20.88	E8FE9CFB	NFSC	\$18.00
												EX	11/06/2009 15:18:11	INTL		100	SGAPY	20.88	E8FE9CFB		
1673	11/09/2009	09:31:23	09:31:22	INTL	S	P	100	VWSYF	77.40	2169	11/09/2009	NW	11/09/2009 08:00:02	INTL	SL	100	VWSYF	76.25	E92C622A	DEAN	\$115.00
												EX	11/09/2009 09:31:26	INTL		100	VWSYF	76.25	E92C622A		
1674	11/09/2009	10:37:28	10:37:27	INTL	S	P	222	NSRGY	47.57	2170	11/04/2009	NW	11/04/2009 08:15:02	INTL	SL	1,500	NSRGY	47.50	E8E01755	NFSC	\$13.32
												EX	11/09/2009 10:38:38	INTL		1,500	NSRGY	47.51	E8E01755		
										2171	11/09/2009	NW	11/09/2009 10:28:11	INTL	SL	100	NSRGY	47.47	E92C641D	NFSC	n/a
												EX	11/09/2009 10:38:54	INTL		100	NSRGY	47.52	E92C641D		
										2172	11/09/2009	NW	11/09/2009 08:15:03	INTL	SL	125	NSRGY	47.50	E92C62A2	NFSC	n/a
												EX	11/09/2009 10:38:56	INTL		125	NSRGY	47.52	E92C62A2		
1675	11/09/2009	11:14:59	11:14:59	INTL	B	P	400	LNVGY	11.18	2173	11/06/2009	NW	11/06/2009 08:15:01	INTL	B	950	LNVGY	11.20	E8FE9BCB	NFSC	n/a
												EX	11/09/2009 11:15:09	INTL		950	LNVGY	11.20	E8FE9BCB		
1676	11/09/2009	12:50:34	12:50:33	INTL	B	P	4,291	SGAMY	3.25	2174	11/09/2009	NW	11/09/2009 12:49:14	INTL	B	64,579	SGAMY	3.36	E92C6761	MSCO	\$171.64
												EX	11/09/2009 12:49:51	INTL		4,579	SGAMY	3.25	E92C6761		
												EX	11/09/2009 12:51:00	INTL		4,500	SGAMY	3.29	E92C6761		
												EX	11/09/2009 12:52:49	INTL		5,500	SGAMY	3.30	E92C6761		
												EX	11/09/2009 12:53:54	INTL		24,100	SGAMY	3.30	E92C6761		
												EX									

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
												EX	11/10/2009 12:27:25	INTL		1,889	HUWHY	35.09	E93BA9C8		
												EX	11/10/2009 12:29:25	INTL		200	HUWHY	35.09	E93BA9C8		
												EX	11/10/2009 13:30:12	INTL		1,000	HUWHY	35.09	E93BA9C8		
												CL	11/10/2009 14:59:35	INTL		5,800	HUWHY		E93BA9C8		
1683	11/10/2009	14:43:32	14:43:31	INTL	S	P	500	BYDDF	9.14	2181	11/10/2009	NW	11/10/2009 14:43:12	INTL	SL	10,000	BYDDF	8.50	E93BAEBA	NFSC	\$20.00
												EX	11/10/2009 14:43:33	INTL		10,000	BYDDF	9.10	E93BAEBA		
1684	11/11/2009	09:58:17	09:58:16	INTL	B	P	115	NSRGY	47.92	2182	11/11/2009	NW	11/11/2009 09:54:22	INTL	B	385	NSRGY	47.96	E94AE82B	NFSC	\$4.60
												EX	11/11/2009 09:58:31	INTL		385	NSRGY	47.96	E94AE82B		
1685	11/11/2009	10:00:27	10:00:26	INTL	S	P	25,000	AZEF	0.35	2183	11/10/2009	NW	11/10/2009 10:39:00	INTL	SL	1,200	AZEF	0.33	E93BA745	FCCP	\$24.00
												EX	11/11/2009 10:00:36	INTL		1,200	AZEF	0.33	E93BA745		
1686	11/11/2009	10:07:12	10:07:12	INTL	B	P	700	BYDDF	9.02	2184	11/11/2009	NW	11/11/2009 10:06:06	INTL	B	1,000	BYDDF	9.04	E94AE863	NFSC	\$14.00
												EX	11/11/2009 10:07:19	INTL		1,000	BYDDF	9.04	E94AE863		
1687	11/11/2009	10:29:43	10:29:43	INTL	S	P	200	KPELY	12.01	2185	11/05/2009	NW	11/05/2009 08:15:01	INTL	SL	100	KPELY	12.00	E8EF5973	NFSC	\$1.00
												EX	11/11/2009 10:29:49	INTL		100	KPELY	12.00	E8EF5973		
1688	11/11/2009	10:56:15	10:56:15	INTL	B	P	500	BYDDF	9.02	2186	11/11/2009	NW	11/11/2009 10:33:35	INTL	B	1,000	BYDDF	9.03	E94AE91B	MSCO	\$5.00
												EX	11/11/2009 10:56:19	INTL		1,000	BYDDF	9.03	E94AE91B		
1689	11/11/2009	15:24:14	15:24:14	INTL	B	P	119	SGAMY	3.21	2187	11/11/2009	NW	11/11/2009 15:24:08	INTL	B	657	SGAMY	3.36	E94AF2B6	MSCO	\$1.19
												EX	11/11/2009 15:24:28	INTL		657	SGAMY	3.22	E94AF2B6		
1690	11/12/2009	09:30:19	09:30:18	INTL	S	P	600	AAUKY	20.70	2188	11/12/2009	NW	11/12/2009 08:11:29	INTL	SL	2,000	AAUKY	20.60	E95A2927	MSCO	\$6.00
												EX	11/12/2009 09:31:31	INTL		2,000	AAUKY	20.69	E95A2927		
1691	11/12/2009	09:31:49	09:31:48	INTL	S	P	300	TELNY	41.38	2189	11/12/2009	NW	11/12/2009 08:15:01	INTL	SL	400	TELNY	40.50	E95A2944	NFSC	\$264.00
												EX	11/12/2009 09:31:59	INTL		400	TELNY	40.50	E95A2944		
1692	11/12/2009	11:21:57	11:21:57	INTL	B	P	855	SMFYJ	3.52	2190	11/12/2009	NW	11/12/2009 11:15:35	INTL	B	1,000	SMFYJ	3.53	E95A28D6	NFSC	\$8.55
												EX	11/12/2009 11:22:02	INTL		1,000	SMFYJ	3.53	E95A28D6		
1693	11/13/2009	15:10:23	15:10:23	INTL	B	P	200	AHONY	13.59	2191	11/13/2009	NW	11/13/2009 14:24:07	INTL	B	250	AHONY	13.60	E969737B	FCCP	\$2.00
												EX	11/13/2009 15:10:45	INTL		250	AHONY	13.60	E969737B		
1694	11/16/2009	09:31:15	09:31:14	INTL	B	P	5,000	BYDDF	9.20	2192	11/16/2009	NW	11/16/2009 09:23:48	INTL	B	1,000	BYDDF	9.27	E99732E5	NFSC	\$70.00
												EX	11/16/2009 09:31:38	INTL		1,000	BYDDF	9.27	E99732E5		
										2193	11/16/2009	NW	11/16/2009 08:15:04	INTL	B	500	BYDDF	9.52	E997328E	NFSC	\$100.00
												EX	11/16/2009 09:31:29	INTL		500	BYDDF	9.40	E997328E		
										2194	11/16/2009	NW	11/16/2009 08:15:02	INTL	B	1,500	BYDDF	9.55	E9973269	NFSC	\$300.00
												EX	11/16/2009 09:31:29	INTL		1,500	BYDDF	9.40	E9973269		
										2195	11/16/2009	NW	11/16/2009 08:53:11	INTL	B	1,000	BYDDF	9.40	E99732A6	NFSC	\$200.00
												EX	11/16/2009 09:31:29	INTL		1,000	BYDDF	9.40	E99732A6		
1695	11/16/2009	09:32:46	09:32:45	INTL	S	P	200	TSCDY	21.58	2196	10/01/2009	NW	10/01/2009 08:15:01	INTL	SL	400	TSCDY	21.52	E6D94A87	NFSC	\$6.00
												EX	11/16/2009 09:32:50	INTL		400	TSCDY	21.55	E6D94A87		
1696	11/16/2009	11:23:09	11:23:08	INTL	B	P	230	DANOY	12.96	2197	11/16/2009	NW	11/16/2009 10:35:39	INTL	B	500	DANOY	12.97	E9973411	NFSC	\$2.30
												EX	11/16/2009 11:23:16	INTL		500	DANOY	12.97	E9973411		
1697	11/16/2009	12:35:10	12:35:10	INTL	S	P	139	SMFYJ	3.44	2198	11/16/2009	NW	11/16/2009 12:34:23	INTL	SL	975	SMFYJ	3.43	E9973803	NFSC	\$1.39
												EX	11/16/2009 12:35:15	INTL		975	SMFYJ	3.43	E9973803		
1698	11/16/2009	13:59:32	13:59:31	INTL	S	P	200	LNVGY	12.13	2199	11/16/2009	NW	11/16/2009 10:12:18	INTL	SL	100	LNVGY	12.12	E99733AD	NFSC	\$1.00
												EX	11/16/2009 13:59:40	INTL		100	LNVGY	12.12	E99733AD		
1699	11/16/2009	15:09:54	15:09:53	INTL	B	P	101	AZSEY	12.78	2200	11/16/2009	NW	11/16/2009 15:09:48	INTL	B	100	AZSEY	12.90	E9973D21	EDJO	\$1.00
												EX	11/16/2009 15:10:03	INTL		100	AZSEY	12.79	E9973D21		
1700	11/17/2009	09:30:39	09:30:38	INTL	S	P	1,800	IMPYU	23.20	2201	11/17/2009	NW	11/17/2009 09:14:35	INTL	SL	200	IMPYU	23.00	E9A674E3	NFSC	\$40.00
												EX	11/17/2009 09:31:13	INTL		200	IMPYU	23.00	E9A674E3		
1701	11/17/2009	12:54:47	12:54:46	INTL	B	P	148	NSRGY	47.41	2202	11/17/2009	NW	11/17/2009 12:49:55	INTL	B	200	NSRGY	47.43	E9A6799E	NFSC	\$2.96
												EX	11/17/2009 12:55:03	INTL		200	NSRGY	47.43	E9A6799E		
1702	11/17/2009	14:03:53	14:03:53	INTL	B	P	100	NSRGY	47.48	2203	11/17/2009	NW	11/17/2009 13:59:46	INTL	B	200	NSRGY	47.57	E9A67CF1	NFSC	\$6.00
												EX	11/17/2009 14:04:14	INTL		200	NSRGY	47.54	E9A67CF1		
1703	11/17/2009	15:17:21	15:17:20	INTL	B	P	215	NSRGY	47.50	2204	11/17/2009	NW	11/17/2009 15:17:12	INTL	B	420	NSRGY	47.54	E9A67FE1	MSCO	\$8.60
												EX	11/17/2009 15:17:31	INTL		420	NSRGY	47.54	E9A67FE1		
1704	11/17/2009	15:21:51	15:21:50	INTL	S	P	2,500	BYDDF	8.81	2205	11/17/2009	NW	11/17/2009 15:11:44	INTL	SL	20,000	BYDDF	8.80	E9A67F68	FCCP	\$25.00
												EX	11/17/2009 15:21:03	INTL		2,500	BYDDF	8.80	E9A67F68		
												EX	11/17								

Part I: Trigger Trades									Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
										2213	11/18/2009	NW	11/18/2009 09:54:59	INTL	B	500	BYDDF	8.10	32197198	DEAN	n/a	
1711	11/18/2009	10:10:05	10:10:04	INTL	S	P	147	ANZBY	20.69	2214	11/18/2009	EX	11/18/2009 09:56:04	INTL		500	BYDDF	8.10	32197198			
										NW	11/18/2009 07:30:03	INTL	SL	600	ANZBY	20.65	E9B5B642	FCCP	\$5.88			
										EX	11/18/2009 10:10:24	INTL		200	ANZBY	20.65	E9B5B642					
1712	11/18/2009	10:10:23	10:10:23	INTL	B	P	225	EONGY	40.71	2215	11/18/2009	EX	11/18/2009 10:21:15	INTL		400	ANZBY	20.65	E9B5B642			
1713	11/18/2009	10:30:18	10:30:18	INTL	B	P	700	BYDDF	7.99	2216	11/18/2009	NW	11/18/2009 10:29:39	INTL	B	1,000	BYDDF	8.00	E9B5B8F7	NFSC	\$7.00	
1714	11/18/2009	10:33:30	10:33:29	INTL	B	P	700	BYDDF	8.00	2217	11/18/2009	EX	11/18/2009 10:30:23	INTL		1,000	BYDDF	8.00	E9B5B8F7			
1715	11/18/2009	10:38:47	10:38:46	INTL	S	P	1,000	BYDDF	8.02	2218	11/18/2009	NW	11/18/2009 10:32:30	INTL	B	2,000	BYDDF	8.02	E9B5B90A	NFSC	\$14.00	
1716	11/18/2009	12:20:28	12:20:28	INTL	B	P	2,500	BYDDF	8.01	2219	11/18/2009	EX	11/18/2009 10:33:38	INTL		2,000	BYDDF	8.02	E9B5B90A			
										2220	11/18/2009	NW	11/18/2009 10:38:36	INTL	SL	1,000	BYDDF	8.00	E9B5B931	NFSC	\$20.00	
										2221	11/18/2009	EX	11/18/2009 10:38:55	INTL		1,000	BYDDF	8.00	E9B5B931			
										2219	11/18/2009	NW	11/18/2009 11:25:57	INTL	B	500	BYDDF	8.01	E9B5BAA6	NFSC	n/a	
										2220	11/18/2009	EX	11/18/2009 12:22:34	INTL		500	BYDDF	8.01	E9B5BAA6			
										2221	11/18/2009	NW	11/18/2009 10:42:10	INTL	B	5,000	BYDDF	8.02	E9B5B93E	NFSC	\$25.00	
										2222	11/18/2009	EX	11/18/2009 12:20:44	INTL		5,000	BYDDF	8.02	E9B5B93E			
										2221	11/18/2009	NW	11/18/2009 10:44:40	INTL	B	2,000	BYDDF	8.04	E9B5B966	NFSC	n/a	
										2222	11/18/2009	EX	11/18/2009 12:22:31	INTL		2,000	BYDDF	8.04	E9B5B966			
										2223	11/18/2009	NW	11/18/2009 10:44:40	INTL	B	2,000	BYDDF	8.04	E9B5B966	NFSC	\$60.00	
										2224	11/18/2009	EX	11/18/2009 12:22:31	INTL		2,000	BYDDF	8.04	E9B5B966			
										2223	11/18/2009	NW	11/18/2009 12:49:32	INTL	B	500	YARIY	38.95	E9B5BE3A	NFSC	\$140.00	
										2224	11/18/2009	EX	11/18/2009 13:06:08	INTL		500	YARIY	38.95	E9B5BE3A			
										2225	11/18/2009	NW	11/18/2009 13:11:39	INTL	B	360	SVNDY	44.53	E9B5BF3A	MSCO	\$158.40	
										2225	11/18/2009	EX	11/18/2009 13:11:59	INTL		360	SVNDY	44.25	E9B5BF3A			
										2226	11/18/2009	NW	11/18/2009 11:29:03	INTL	B	200	VLKPY	18.30	E9B5BAAB	NFSC	\$4.00	
										2227	11/18/2009	EX	11/18/2009 14:05:01	INTL		200	VLKPY	18.30	E9B5BAAB			
										2228	11/18/2009	NW	11/18/2009 08:15:01	INTL	B	100	NSRGY	47.40	E9B5B6B5	NFSC	n/a	
										2229	11/18/2009	EX	11/18/2009 09:31:03	INTL		100	NSRGY	47.18	E9B5B6B5			
										2230	11/18/2009	NW	11/18/2009 12:44:21	INTL	B	100	NSRGY	47.50	E9B5BE28	NFSC	n/a	

Part I: Trigger Trades										Part II: Customer Limit Orders												Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount	
												EX	11/19/2009 09:31:03	INTL		100	NSRGY	47.18	E9B5B6B5			
										2252	11/18/2009	NW	11/18/2009 12:44:21	INTL	B	100	NSRGY	47.50	E9B5B6E28	NFSC	n/a	
												EX	11/19/2009 09:31:14	INTL		100	NSRGY	47.18	E9B5B6E28			
										2253	11/18/2009	NW	11/18/2009 14:55:05	INTL	B	100	NSRGY	47.65	E9B5C24E	NFSC	n/a	
												EX	11/19/2009 09:31:36	INTL		100	NSRGY	47.18	E9B5C24E			
										2254	11/19/2009	NW	11/19/2009 08:55:13	INTL	B	100	NSRGY	47.25	E9C4F958	NFSC	n/a	
												EX	11/19/2009 09:30:19	INTL		100	NSRGY	47.18	E9C4F958			
										2255	11/19/2009	NW	11/19/2009 08:15:01	INTL	B	100	NSRGY	47.50	E9C4F90F	NFSC	n/a	
												EX	11/19/2009 09:31:19	INTL		100	NSRGY	47.18	E9C4F90F			
										2256	11/19/2009	NW	11/19/2009 08:15:02	INTL	B	700	NSRGY	47.50	E9C4F924	NFSC	\$4.11	
												EX	11/19/2009 09:30:25	INTL		700	NSRGY	47.18	E9C4F924			
										2257	11/19/2009	NW	11/19/2009 08:15:00	INTL	B	150	NSRGY	47.55	E9C4F8F6	NFSC	n/a	
												EX	11/19/2009 09:30:58	INTL		150	NSRGY	47.18	E9C4F8F6			
										2258	11/19/2009	NW	11/19/2009 08:15:01	INTL	B	100	NSRGY	47.70	E9C4F8F9	NFSC	n/a	
												EX	11/19/2009 09:30:53	INTL		100	NSRGY	47.18	E9C4F8F9			
1726	11/19/2009	09:30:17	09:30:16	INTL	B	P	100	NSRGY	47.15	2259	11/18/2009	NW	11/18/2009 08:15:01	INTL	B	100	NSRGY	47.40	E9B5B6B5	NFSC	n/a	
												EX	11/19/2009 09:31:03	INTL		100	NSRGY	47.18	E9B5B6B5			
										2260	11/18/2009	NW	11/18/2009 12:44:21	INTL	B	100	NSRGY	47.50	E9B5B6E28	NFSC	n/a	
												EX	11/19/2009 09:31:14	INTL		100	NSRGY	47.18	E9B5B6E28			
										2261	11/18/2009	NW	11/18/2009 14:55:05	INTL	B	100	NSRGY	47.65	E9B5C24E	NFSC	n/a	
												EX	11/19/2009 09:31:36	INTL		100	NSRGY	47.18	E9B5C24E			
										2262	11/19/2009	NW	11/19/2009 08:55:13	INTL	B	100	NSRGY	47.25	E9C4F958	NFSC	n/a	
												EX	11/19/2009 09:30:19	INTL		100	NSRGY	47.18	E9C4F958			
										2263	11/19/2009	NW	11/19/2009 08:15:01	INTL	B	100	NSRGY	47.50	E9C4F90F	NFSC	n/a	
												EX	11/19/2009 09:31:19	INTL		100	NSRGY	47.18	E9C4F90F			
										2264	11/19/2009	NW	11/19/2009 08:15:02	INTL	B	700	NSRGY	47.50	E9C4F924	NFSC	\$3.00	
												EX	11/19/2009 09:30:25	INTL		700	NSRGY	47.18	E9C4F924			
										2265	11/19/2009	NW	11/19/2009 08:15:00	INTL	B	150	NSRGY	47.55	E9C4F8F6	NFSC	n/a	
												EX	11/19/2009 09:30:58	INTL		150	NSRGY	47.18	E9C4F8F6			
										2266	11/19/2009	NW	11/19/2009 08:15:01	INTL	B	100	NSRGY	47.70	E9C4F8F9	NFSC	n/a	
												EX	11/19/2009 09:30:53	INTL		100	NSRGY	47.18	E9C4F8F9			
1727	11/19/2009	09:30:26	09:30:25	INTL	B	P	100	NSRGY	47.15	2267	11/18/2009	NW	11/18/2009 08:15:01	INTL	B	100	NSRGY	47.40	E9B5B6B5	NFSC	n/a	
												EX	11/19/2009 09:31:03	INTL		100	NSRGY	47.18	E9B5B6B5			
										2268	11/18/2009	NW	11/18/2009 12:44:21	INTL	B	100	NSRGY	47.50	E9B5B6E28	NFSC	n/a	
												EX	11/19/2009 09:31:14	INTL		100	NSRGY	47.18	E9B5B6E28			
										2269	11/18/2009	NW	11/18/2009 14:55:05	INTL	B	100	NSRGY	47.65	E9B5C24E	NFSC	n/a	
												EX	11/19/2009 09:31:36	INTL		100	NSRGY	47.18	E9B5C24E			
										2270	11/19/2009	NW	11/19/2009 08:15:01	INTL	B	100	NSRGY	47.50	E9C4F90F	NFSC	\$3.00	
												EX	11/19/2009 09:31:19	INTL		100	NSRGY	47.18	E9C4F90F			
										2271	11/19/2009	NW	11/19/2009 08:15:00	INTL	B	150	NSRGY	47.55	E9C4F8F6	NFSC	n/a	
												EX	11/19/2009 09:30:58	INTL		150	NSRGY	47.18	E9C4F8F6			
										2272	11/19/2009	NW	11/19/2009 08:15:01	INTL	B	100	NSRGY	47.70	E9C4F8F9	NFSC	n/a	
												EX	11/19/2009 09:30:53	INTL		100	NSRGY	47.18	E9C4F8F9			
1728	11/19/2009	09:40:16	09:40:15	INTL	B	P	300	FSUMF	3.87	2273	11/18/2009	NW	11/18/2009 11:45:05	INTL	B	2,000	FSUMF	3.90	E9B5B824	NFSC	\$9.00	
												EX	11/19/2009 09:40:32	INTL		2,000	FSUMF	3.90	E9B5B824			
1729	11/19/2009	09:43:39	09:43:38	INTL	B	P	300	MQBKY	44.45	2274	11/17/2009	NW	11/17/2009 14:28:19	INTL	B	200	MQBKY	45.00	E9A67DE0	NFSC	\$110.00	
												EX	11/19/2009 09:43:46	INTL		200	MQBKY	45.00	E9A67DE0			
1730	11/19/2009	10:19:35	10:19:34	INTL	B	P	2,000	NSRGY	46.95	2275	11/19/2009	NW	11/19/2009 10:18:03	INTL	B	100	NSRGY	46.96	E9C4FA67	NFSC	\$1.00	
												EX	11/19/2009 10:19:48	INTL		100	NSRGY	46.96	E9C4FA67			
1731	11/19/2009	10:29:08	10:29:08	INTL	S	P	1,000	BYDDF	7.77	2276	11/19/2009	NW	11/19/2009 10:28:27	INTL	SL	500	BYDDF	7.76	E9C4FAA0	NFSC	\$5.00	
												EX	11/19/2009 10:29:13	INTL		500	BYDDF	7.76	E9C4FAA0			
1732	11/19/2009	10:56:39	10:56:39	INTL	B	P	354	SVNDY	43.50	2277	11/19/2009	NW	11/19/2009 10:56:18	INTL	B	2,029	SVNDY	44.57	E9C4FB4B	MSCO	\$201.78	
												EX	11/19/2009 10:56:41	INTL		2,029	SVNDY	44.07	E9C4FB4B			
1733	11/19/2009	11:06:01	11:06:00	INTL	B	P	165	VOLVY	9.98	2278	11/10/2009	NW	11/10/2009 12:20:05	INTL	B	150	VOLVY	10.00	E93BA9A5	NFSC	\$3.00	
												EX	11/19/2009 11:06:59	INTL		150	VOLVY	10.00	E93BA9A5			
1734	11/19/2009	14:42:26	14:42:25	INTL	S	P	2,500	AZSEY	12.47	2279	11/19/2009	NW	11/19/2009 14:42:08	INTL	SL	10,000	AZSEY	12.45	E9C5028A	FCCP	\$50.00	
												EX	11/19/2009 14:42:39	INTL		10,000	AZSEY	12.45	E9C5028A			
1735	11/20/2009	09:30:08	09:30:08	INTL	S	P	1,000	NSRGY	46.87	2280	11/20/2009	NW	11/20/2009 08:15:01	INTL	SL	2,000	NSRGY	46.40	E9D43B36	NFSC	\$50.00	

Part I: Trigger Trades										Part II: Customer Limit Orders										Restitution	
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Time&Stamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1744	11/23/2009	15:58:41	15:58:40	INTL	B	P	6,386	OZMLF	1.14	2290	11/19/2009	NW	11/19/2009 14:42:29	INTL	B	3,000	OZMLF	1.15	E9C5028B	FCCP	\$30.00
												EX	11/23/2009 15:58:46	INTL		3,000	OZMLF	1.15	E9C5028B		
1745	11/25/2009	09:30:21	09:30:21	INTL	S	P	550	NSRGY	48.53	2291	11/09/2009	NW	11/09/2009 11:52:10	INTL	SL	100	NSRGY	48.27	E92C6614	NFSC	\$3.00
												EX	11/25/2009 09:32:38	INTL		100	NSRGY	48.50	E92C6614		
1746	11/25/2009	09:39:34	09:39:34	INTL	B	P	573	SMFYJ	3.01	2292	11/25/2009	NW	11/25/2009 09:37:16	INTL	B	500	SMFYJ	3.05	EA208787	NFSC	\$20.00
												EX	11/25/2009 09:39:41	INTL		500	SMFYJ	3.05	EA208787		
1747	11/25/2009	10:23:52	10:23:52	INTL	S	P	140	NSRGY	48.50	2293	11/25/2009	NW	11/25/2009 10:23:45	INTL	SL	212	NSRGY	48.40	EA208848	NFSC	\$1.40
												EX	11/25/2009 10:24:52	INTL		212	NSRGY	48.49	EA208848		
1748	11/25/2009	10:54:09	10:54:09	INTL	S	P	100	AGPPY	102.55	2294	11/25/2009	NW	11/25/2009 10:39:35	INTL	SL	200	AGPPY	102.50	EA208876	NFSC	\$3.00
												EX	11/25/2009 10:54:15	INTL		200	AGPPY	102.52	EA208876		
1749	11/25/2009	11:05:06	11:05:06	INTL	B	P	416	LUKOY	58.30	2295	11/25/2009	NW	11/25/2009 10:08:52	INTL	B	100	LUKOY	58.43	EA208819	FCCP	\$13.00
												EX	11/25/2009 11:06:00	INTL		100	LUKOY	58.43	EA208819		
1750	11/25/2009	15:18:06	15:18:06	INTL	S	P	201	NSRGY	48.93	2296	11/06/2009	NW	11/06/2009 10:32:22	INTL	SL	300	NSRGY	48.91	E8FE9D40		\$4.02
												EX	11/25/2009 15:19:32	INTL		300	NSRGY	48.91	E8FE9D40		
1751	11/27/2009	10:46:01	10:46:00	INTL	S	P	500	CTXNY	2.54	2297	11/17/2009	NW	11/17/2009 11:25:24	INTL	SL	481	CTXNY	2.52	E9A677D0	NFSC	\$9.62
												EX	11/27/2009 10:46:09	INTL		481	CTXNY	2.52	E9A677D0		
1752	11/27/2009	11:08:07	11:08:06	INTL	S	P	1,000	NSRGY	48.31	2298	11/27/2009	NW	11/27/2009 11:06:58	INTL	SL	400	NSRGY	48.25	EA3F0E4C	NFSC	\$8.00
												EX	11/27/2009 11:08:42	INTL		400	NSRGY	48.29	EA3F0E4C		
1753	11/27/2009	11:22:35	11:22:35	INTL	B	P	100	AAUKY	21.31	2299	11/27/2009	NW	11/27/2009 10:45:42	INTL	B	600	AAUKY	21.36	EA3F0D80	MSCO	\$1.00
												EX	11/27/2009 11:22:55	INTL		600	AAUKY	21.32	EA3F0D80		
1754	11/27/2009	12:36:26	12:36:25	INTL	B	P	3,303	IDCBF	0.80	2300	11/27/2009	NW	11/27/2009 11:04:23	INTL	B	1,000	IDCBF	0.83	EA3F0E37	NFSC	\$20.00
												EX	11/27/2009 12:36:47	INTL		1,000	IDCBF	0.82	EA3F0E37		
1755	11/30/2009	09:30:18	09:30:17	INTL	B	P	100	NCMGY	33.30	2301	11/30/2009	NW	11/30/2009 08:15:01	INTL	B	355	NCMGY	33.75	EA6CD1C7	NFSC	\$45.00
												EX	11/30/2009 09:30:31	INTL		355	NCMGY	33.75	EA6CD1C7		
1756	11/30/2009	11:40:51	11:40:50	INTL	B	P	2,500	BYDDF	8.61	2302	11/30/2009	NW	11/30/2009 11:28:05	INTL	B	1,000	BYDDF	8.63	EA6CD54F	MSCO	\$20.00
												EX	11/30/2009 11:40:54	INTL		1,000	BYDDF	8.63	EA6CD54F		
1757	11/30/2009	11:55:32	11:55:32	INTL	B	P	1,000	BYDDF	8.64	2303	11/30/2009	NW	11/30/2009 11:46:04	INTL	B	1,000	BYDDF	8.64	EA6CD5CA	MSCO	n/a
												EX	11/30/2009 12:03:03	INTL		1,000	BYDDF	8.64	EA6CD5CA		
										2304	11/30/2009	NW	11/30/2009 11:45:52	INTL	B	1,000	BYDDF	8.65	EA6CD5C8	MSCO	\$10.00
												EX	11/30/2009 11:55:39	INTL		1,000	BYDDF	8.65	EA6CD5C8		
1758	11/30/2009	12:16:30	12:16:29	INTL	B	P	206	STBUY	5.35	2305	11/30/2009	NW	11/30/2009 11:41:28	INTL	B	241	STBUY	5.40	EA6CD5B4	FCCP	\$10.30
												EX	11/30/2009 12:16:37	INTL		241	STBUY	5.40	EA6CD5B4		
1759	11/30/2009	13:43:25	13:43:24	INTL	S	P	500	RHHBY	40.86	2306	11/30/2009	NW	11/30/2009 13:40:47	INTL	SL	100	RHHBY	40.80	EA6CD981	MSCO	\$3.00
												EX	11/30/2009 13:43:55	INTL		100	RHHBY	40.83	EA6CD981		
1760	11/30/2009	14:03:20	14:03:20	INTL	B	P	200	VWSYF	69.65	2307	11/30/2009	NW	11/30/2009 08:15:02	INTL	B	157	VWSYF	70.00	EA6CD1E3	NFSC	\$54.95
												EX	11/30/2009 14:03:37	INTL		157	VWSYF	70.00	EA6CD1E3		
1761	11/30/2009	15:59:13	15:59:12	INTL	S	P	404	TLSSY	15.55	2308	11/30/2009	NW	11/30/2009 12:26:46	INTL	SL	500	TLSSY	15.53	EA6CD762	NFSC	\$8.08
												EX	11/30/2009 15:59:27	INTL		500	TLSSY	15.53	EA6CD762		
1762	12/01/2009	11:56:59	11:56:59	INTL	S	P	183	NTDOY	30.67	2309	12/01/2009	NW	12/01/2009 11:55:18	INTL	SL	150	NTDOY	30.65	EA7C1968	NFSC	\$3.00
												EX	12/01/2009 11:57:22	INTL		150	NTDOY	30.65	EA7C1968		
1763	12/01/2009	13:14:49	13:14:49	INTL	B	P	231	SGAPY	21.45	2310	12/01/2009	NW	12/01/2009 13:11:42	INTL	B	500	SGAPY	21.50	EA7C1C80	NFSC	\$11.55
												EX	12/01/2009 13:15:05	INTL		500	SGAPY	21.50	EA7C1C80		
1764	12/02/2009	09:51:23	09:51:22	INTL	S	P	100	NSRGY	49.12	2311	12/02/2009	NW	12/02/2009 09:48:10	INTL	SL	175	NSRGY	49.10	EA8B56F4	NFSC	\$2.00
												EX	12/02/2009 09:52:37	INTL		175	NSRGY	49.10	EA8B56F4		
										2312	12/02/2009	NW	12/02/2009 09:44:05	INTL	SL	300	NSRGY	49.11	EA8B56E3	NFSC	n/a
												EX	12/02/2009 09:52:39	INTL		300	NSRGY	49.11	EA8B56E3		
1765	12/02/2009	12:21:22	12:21:22	INTL	B	P	200	NTDOY	29.38	2313	12/02/2009	NW	12/02/2009 12:01:49	INTL	B	100	NTDOY	29.40	EA8B5A80	NFSC	\$2.00
												EX	12/02/2009 12:22:52	INTL		100	NTDOY	29.40	EA8B5A80		
1766	12/02/2009	12:46:33	12:46:33	INTL	S	P	118	AIQUY	24.35	2314	12/02/2009	NW	12/02/2009 11:05:54	INTL	SL	1,863	AIQUY	24.29	EA8B5916	MSCO	\$7.08
												EX	12/02/2009 12:47:59	INTL		563	AIQUY	24.29	EA8B5916		
1767	12/02/2009	13:13:33	13:13:33	INTL	S	P	100	AGPPY	102.75	2315	12/02/2009	NW	12/02/2009 13:11:48	INTL	SL	1,000	AGPPY	102.70	EA8B5D5F	MSCO	\$5.00
												EX	12/02/2009 13:13:44	INTL		100	AGPPY	102.70	EA8B5D5F		
												CL	12/02/2009 13:34:04	INTL		900	AGPPY		EA8B5D5F		
1768	12/02/2009	14:25:06	14:25:05	INTL	B	B															

Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Time&Camp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount		
1779	12/04/2009	10:38:44	10:38:44	INTL	S	P	100	BYDDF	9.92	2328	12/04/2009	NW	12/04/2009 10:00:51	INTL	SL	105	NTDOY	29.05	EAA9DBBF				
												EX	12/04/2009 10:38:40	INTL		2,000	BYDDF	9.89	EAA9DCA0	NFSC	\$2.00		
1780	12/04/2009	10:59:48	10:59:47	INTL	B	P	1,600	NSRGY	49.32	2329	12/04/2009	NW	12/04/2009 10:38:47	INTL	B	100	NSRGY	49.90	EAA9DCA0				
												EX	12/04/2009 10:44:55	INTL		100	NSRGY	49.39	EAA9DCC3	NFSC	\$3.00		
												EX	12/04/2009 11:00:22	INTL		100	NSRGY	49.35	EAA9DCC3				
1781	12/04/2009	12:03:26	12:03:25	INTL	B	P	164	STBUY	5.24	2330	12/04/2009	NW	12/04/2009 12:03:22	INTL	B	128	STBUY	5.36	EAA9DF36	FCCP	\$7.68		
												EX	12/04/2009 12:03:30	INTL		128	STBUY	5.30	EAA9DF36				
1782	12/07/2009	11:02:11	11:02:10	INTL	B	P	2,000	GDLNF	0.525	2331	12/07/2009	NW	12/07/2009 11:01:27	INTL	B	2,000	GDLNF	0.58	EAD7A39F	NFSC	\$50.00		
												EX	12/07/2009 11:02:20	INTL		2,000	GDLNF	0.55	EAD7A39F				
										2332	12/07/2009	NW	12/07/2009 09:29:29	INTL	B	1,000	GDLNF	0.65	EAD7A20D	NFSC	n/a		
												EX	12/07/2009 11:02:23	INTL		1,000	GDLNF	0.55	EAD7A20D				
1783	12/07/2009	12:35:16	12:35:15	INTL	B	P	500	NTDOY	29.80	2333	12/07/2009	NW	12/07/2009 12:33:43	INTL	B	600	NTDOY	30.00	EAD7A579	NFSC	\$20.00		
												EX	12/07/2009 12:36:27	INTL		600	NTDOY	29.84	EAD7A579				
1784	12/07/2009	14:56:02	14:56:01	INTL	B	P	110	NSRGY	48.78	2334	12/07/2009	NW	12/07/2009 14:42:22	INTL	B	500	NSRGY	48.80	EAD7AA0B	NFSC	\$2.20		
												EX	12/07/2009 14:56:36	INTL		500	NSRGY	48.80	EAD7AA0B				
1785	12/08/2009	09:30:47	09:30:46	INTL	B	P	170	WMMVY	45.40	2335	12/08/2009	NW	12/08/2009 09:29:00	INTL	B	200	WMMVY	45.60	EAE6E427	NFSC	\$8.50		
												EX	12/08/2009 09:30:58	INTL		200	WMMVY	45.45	EAE6E427				
1786	12/08/2009	10:15:47	10:15:46	INTL	B	P	1,500	BYDDF	9.33	2336	12/08/2009	NW	12/08/2009 10:15:38	INTL	B	1,000	BYDDF	9.35	EAE6E501	NFSC	\$20.00		
												EX	12/08/2009 10:15:53	INTL		1,000	BYDDF	9.35	EAE6E501				
1787	12/08/2009	10:40:44	10:40:44	INTL	S	P	100	SKHCF	13.35	2337	11/30/2009	NW	11/30/2009 11:35:25	INTL	SL	10,000	SKHCF	13.15	EACD56E	MLEX	\$20.00		
												EX	12/08/2009 10:41:13	INTL		500	SKHCF	13.15	EACD56E				
												EX	12/14/2009 11:07:44	INTL		9,500	SKHCF	13.15	EACD56E				
1788	12/08/2009	14:31:02	14:31:01	INTL	B	P	389	NSRGY	47.36	2338	11/30/2009	NW	11/30/2009 15:41:52	INTL	B	200	NSRGY	47.40	EACDD25	NFSC	\$8.00		
												EX	12/08/2009 14:31:23	INTL		200	NSRGY	47.40	EACDD25				
1789	12/08/2009	14:36:20	14:36:19	INTL	B	P	1,000	NCMGY	31.70	2339	12/07/2009	NW	12/07/2009 11:32:50	INTL	B	100	NCMGY	31.75	EAD7A41A	NFSC	\$5.00		
												EX	12/08/2009 14:36:25	INTL		100	NCMGY	31.75	EAD7A41A				
1790	12/08/2009	14:56:37	14:56:37	INTL	B	P	160	DANOY	11.85	2340	11/27/2009	NW	11/27/2009 10:38:53	INTL	B	1,335	DANOY	11.86	EAF3F0D65	NFSC	\$1.60		
												EX	12/08/2009 14:56:47	INTL		1,335	DANOY	11.86	EAF3F0D65				
1791	12/09/2009	10:43:40	10:43:39	INTL	B	P	1,000	BYDDF	9.03	2341	12/09/2009	NW	12/09/2009 10:42:13	INTL	B	500	BYDDF	9.05	EAF627DD	NFSC	\$10.00		
												EX	12/09/2009 10:43:51	INTL		500	BYDDF	9.05	EAF627DD				
1792	12/09/2009	10:45:28	10:45:27	INTL	S	P	1,000	FSUMF	3.77	2342	12/09/2009	NW	12/09/2009 10:36:10	INTL	SL	1,500	FSUMF	3.75	EAF627B3	NFSC	\$20.00		
												EX	12/09/2009 10:48:34	INTL		1,500	FSUMF	3.79	EAF627B3				
1793	12/09/2009	10:54:17	10:54:16	INTL	B	P	300	NSRGY	47.50	2343	12/09/2009	NW	12/09/2009 10:21:47	INTL	B	300	NSRGY	47.50	EAF62754	NFSC	n/a		
												EX	12/09/2009 11:37:40	INTL		300	NSRGY	47.50	EAF62754				
1794	12/09/2009	11:36:45	11:36:44	INTL	B	P	1,000	NTDOY	30.21	2344	12/09/2009	NW	12/09/2009 11:30:08	INTL	B	160	NTDOY	30.22	EAF62916	NFSC	\$1.60		
												EX	12/09/2009 11:36:53	INTL		160	NTDOY	30.22	EAF62916				
1795	12/09/2009	12:47:34	12:47:33	INTL	B	P	400	VWSYF	64.90	2345	12/09/2009	NW	12/09/2009 11:29:20	INTL	B	100	VWSYF	65.00	EAF62914	NFSC	\$10.00		
												EX	12/09/2009 12:47:45	INTL		100	VWSYF	65.00	EAF62914				
1796	12/09/2009	13:17:03	13:17:02	INTL	S	P	139	YARIY	44.05	2346	12/09/2009	NW	12/09/2009 12:59:58	INTL	SL	200	YARIY	43.99	EAF62D49	NFSC	\$8.34		
												EX	12/09/2009 13:20:52	INTL		200	YARIY	43.99	EAF62D49				
1797	12/10/2009	09:32:19	09:32:18	INTL	S	P	500	IMPUY	24.08	2347	12/09/2009	NW	12/09/2009 11:13:25	INTL	SL	500	IMPUY	23.95	EAF628C3	NFSC	\$40.00		
												EX	12/10/2009 09:32:31	INTL		500	IMPUY	24.00	EAF628C3				
1798	12/10/2009	09:34:36	09:34:36	INTL	S	P	2,546	LNVGY	11.95	2348	12/10/2009	NW	12/10/2009 08:15:01	INTL	SL	1,000	LNVGY	11.61	EB056838	NFSC	\$20.00		
												EX	12/10/2009 09:34:56	INTL		1,000	LNVGY	11.93	EB056838				
1799	12/10/2009	09:48:10	09:48:10	INTL	B	P	750	BYDDF	8.90	2349	12/10/2009	NW	12/10/2009 09:46:56	INTL	B	1,000	BYDDF	8.95	EB0568E8	NFSC	\$37.50		
												EX	12/10/2009 09:48:16	INTL		1,000	BYDDF	8.95	EB0568E8				
1800	12/11/2009	09:52:59	09:52:59	INTL	B	P	500	PALAF	3.47	2350	09/17/2009	NW	09/17/2009 13:48:27	INTL	B	570	PALAF	3.50	E603B226	NFSC	\$15.00		
												EX	12/11/2009 09:53:11	INTL		570	PALAF	3.50	E603B226				
										2351	11/20/2009	NW	11/20/2009 10:20:37	INTL	B	10,000	PALAF	3.50	E9D43CC1	NFSC	n/a		
												EX	12/11/2009 09:53:07	INTL		10,000	PALAF	3.50	E9D43CC1				
										2352	11/27/2009	NW	11/27/2009 08:15:01	INTL	B	200	PALAF	3.50	EAF3F0B18	NFSC	n/a		
												EX	12/11/2009 09:53:19	INTL		200	PALAF	3.49	EAF3F0B18				
										2353	11/30/2009	NW	11/30/2009 11:49:08	INTL	B	300	PALAF	3.50	EACD5D1	NFSC	n/a		
												EX	12/11/2009 09:53:19	INTL		300	PALAF	3.49	EACD5D1		</		

Part I: Trigger Trades										Part II: Customer Limit Orders													Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount		
												EX	12/15/2009 09:31:08	INTL		500	BYDDF	8.85	EB427134				
										2365	12/14/2009	NW	12/14/2009 10:33:47	INTL	B	500	BYDDF	8.90	EB42729D	NFSC	n/a		
												EX	12/15/2009 09:50:47	INTL		500	BYDDF	8.90	EB42729D				
										2366	12/15/2009	NW	12/15/2009 08:15:01	INTL	B	1,000	BYDDF	9.00	EB518367	NFSC	n/a		
												EX	12/15/2009 09:30:43	INTL		1,000	BYDDF	8.95	EB518367				
1812	12/15/2009	09:30:57	09:30:56	INTL	B	P	440	SMFYJ	2.90	2367	12/14/2009	NW	12/14/2009 13:39:38	INTL	B	1,000	SMFYJ	2.98	EB427957	NFSC	\$8.80		
												EX	12/15/2009 09:31:00	INTL		1,000	SMFYJ	2.92	EB427957				
1813	12/15/2009	09:39:41	09:39:41	INTL	B	P	2,000	KMSWF	0.11	2368	11/30/2009	NW	11/30/2009 09:31:08	INTL	B	20,000	KMSWF	0.12	EA6CD243	FCCP	\$20.00		
												EX	12/15/2009 09:39:56	INTL		2,000	KMSWF	0.12	EA6CD243				
												CL	12/16/2009 10:42:39	INTL		18,000	KMSWF		EA6CD243				
										2369	12/14/2009	NW	12/14/2009 14:30:55	INTL	B	10,000	KMSWF	0.115	EB42784D	FCCP	n/a		
												CL	02/12/2010 11:51:39	INTL		10,000	KMSWF		EB42784D				
										2370	12/14/2009	NW	12/14/2009 14:31:57	INTL	B	5,000	KMSWF	0.12	EB427855	FCCP	n/a		
												EX	12/18/2009 15:36:42	INTL		5,000	KMSWF	0.12	EB427855				
										2371	12/14/2009	NW	12/14/2009 14:30:55	INTL	B	10,000	KMSWF	0.12	EB42784E	FCCP	n/a		
												EX	12/16/2009 10:48:22	INTL		2,000	KMSWF	0.12	EB42784E				
												EX	12/16/2009 11:15:00	INTL		8,000	KMSWF	0.12	EB42784E				
1814	12/15/2009	11:25:47	11:25:46	INTL	B	P	189	STBUY	4.90	2372	12/15/2009	NW	12/15/2009 11:25:31	INTL	B	735	STBUY	4.95	EB5186AD	FCCP	\$5.67		
												EX	12/15/2009 11:25:51	INTL		735	STBUY	4.93	EB5186AD				
1815	12/15/2009	11:25:47	11:25:46	INTL	B	P	155	SKHSY	8.91	2373	12/15/2009	NW	12/15/2009 11:25:31	INTL	B	564	SKHSY	8.97	EB5186AC	FCCP	\$9.30		
												EX	12/15/2009 11:25:55	INTL		564	SKHSY	8.97	EB5186AC				
1816	12/15/2009	12:46:55	12:46:55	INTL	S	P	188	NSANY	16.31	2374	12/15/2009	NW	12/15/2009 12:46:19	INTL	SL	308	NSANY	16.30	EB5188DD	FCCP	\$1.88		
												EX	12/15/2009 12:48:31	INTL		308	NSANY	16.30	EB5188DD				
1817	12/15/2009	13:26:54	13:26:54	INTL	B	P	200	NTDOY	29.27	2375	12/15/2009	NW	12/15/2009 11:15:56	INTL	B	100	NTDOY	29.28	EB51868A	NFSC	\$1.00		
												EX	12/15/2009 13:27:07	INTL		100	NTDOY	29.28	EB51868A				
1818	12/15/2009	13:45:38	13:45:38	INTL	B	P	206	SCGLY	13.84	2376	12/15/2009	NW	12/15/2009 12:35:42	INTL	B	100	SCGLY	13.85	EB51885D	NFSC	\$1.00		
												EX	12/15/2009 13:46:09	INTL		100	SCGLY	13.85	EB51885D				
1819	12/15/2009	15:54:38	15:54:38	INTL	B	P	365	IMPUY	25.10	2377	12/15/2009	NW	12/15/2009 15:53:06	INTL	B	107	IMPUY	25.44	EB51C06E	MSCO	\$20.33		
												EX	12/15/2009 15:54:43	INTL		107	IMPUY	25.29	EB51C06E				
1820	12/16/2009	09:30:08	09:30:07	INTL	S	P	200	TRYTY	55.50	2378	12/16/2009	NW	12/16/2009 08:15:02	INTL	SL	200	TRYTY	55.10	EB60F5C9	NFSC	\$80.00		
												EX	12/16/2009 09:31:38	INTL		200	TRYTY	55.10	EB60F5C9				
1821	12/16/2009	10:11:31	10:11:30	INTL	S	P	2,500	BYDDF	8.97	2379	12/16/2009	NW	12/16/2009 09:51:33	INTL	SL	1,000	BYDDF	8.95	EB60F72D	NFSC	\$20.00		
												EX	12/16/2009 10:11:40	INTL		1,000	BYDDF	8.95	EB60F72D				
1822	12/16/2009	10:45:49	10:45:49	INTL	B	P	500	JMHLY	28.68	2380	11/16/2009	NW	11/16/2009 08:15:04	INTL	B	120	JMHLY	28.75	E997328A	NFSC	\$8.40		
												EX	12/16/2009 10:45:54	INTL		120	JMHLY	28.75	E997328A				
1823	12/16/2009	10:47:04	10:47:03	INTL	B	P	2,000	KMSWF	0.11	2381	12/14/2009	NW	12/14/2009 14:31:57	INTL	B	5,000	KMSWF	0.12	EB427855	FCCP	n/a		
												EX	12/18/2009 15:36:42	INTL		5,000	KMSWF	0.12	EB427855				
										2382	12/14/2009	NW	12/14/2009 14:30:55	INTL	B	10,000	KMSWF	0.12	EB42784E	FCCP	\$20.00		
												EX	12/16/2009 10:48:22	INTL		2,000	KMSWF	0.12	EB42784E				
												EX	12/16/2009 11:15:00	INTL		8,000	KMSWF	0.12	EB42784E				
1824	12/16/2009	14:51:14	14:51:14	INTL	B	P	500	IMPUY	25.40	2383	12/16/2009	NW	12/16/2009 14:05:17	INTL	B	543	IMPUY	25.45	EB60FF34	FCCP	\$25.00		
												EX	12/16/2009 14:52:13	INTL		543	IMPUY	25.45	EB60FF34				
1825	12/17/2009	09:30:05	09:30:04	INTL	S	P	200	WMMVY	44.75	2384	12/17/2009	NW	12/17/2009 08:15:01	INTL	SL	150	WMMVY	44.55	EB7037F3	NFSC	\$30.00		
												EX	12/17/2009 09:30:14	INTL		150	WMMVY	44.55	EB7037F3				
1826	12/17/2009	10:09:48	10:09:47	INTL	B	P	200	NCMGY	30.60	2385	11/17/2009	NW	11/17/2009 12:12:16	INTL	B	200	NCMGY	30.65	E9A678CD	NFSC	\$10.00		
												EX	12/17/2009 10:09:59	INTL		200	NCMGY	30.65	E9A678CD				
1827	12/17/2009	10:18:00	10:17:59	INTL	B	P	500	NSRGY	47.22	2386	12/04/2009	NW	12/04/2009 08:15:01	INTL	B	950	NSRGY	47.25	EAA9DAAB	NFSC	\$15.00		
												EX	12/17/2009 10:18:07	INTL		950	NSRGY	47.25	EAA9DAAB				
1828	12/17/2009	14:14:17	14:14:17	INTL	S	P	217	EXPGY	10.07	2387	12/17/2009	NW	12/17/2009 12:45:33	INTL	SL	101	EXPGY	10.05	EB703E63	NFSC	\$2.02		
												EX	12/17/2009 14:14:38	INTL		101	EXPGY	10.05	EB703E63				
1829	12/17/2009	14:18:55	14:18:54	INTL	B	P	182	AVIFY	2.45	2388	12/17/2009	NW	12/17/2009 14:14:45	INTL	B	494	AVIFY	2.55	BR5052	PWJC	\$18.20		
												EX	12/17/2009 14:19:38	INTL		494	AVIFY	2.55	BR5052				
1830	12/17/2009	14:19:56	14:19:55	INTL	S	P	656	STOSF	12.35	2389	12/17/2009	NW	12/17/2009 14:19:09	INTL	SL	117	STOSF	12.10	BR5079	PWJC	\$29.25		
												EX	12/17/2009 14:20:22	INTL		117	STOSF	12.10	BR5079				
1831	12/17/2009	15:53:00	15:52:59	INTL	B	P	600	IMPUY	25.65	2390	12/17/2009	NW	12/17/2009 15:23:45	INTL	B	100	IMPUY	25.75	EB704490	NFSC	\$10.00		

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Part I: Trigger Trades										Part II: Customer Limit Orders											Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
										2472	01/06/2010	NW	01/06/2010 08:15:01	INTL	B	100	NSRGY	48.50	004C4BA1	NFSC	\$1.00
												EX	01/06/2010 09:33:49	INTL		100	NSRGY	47.56	004C4BA1		
1909	01/06/2010	10:04:52	10:04:52	INTL	S	P	200	BASFY	63.90	2473	01/06/2010	NW	01/06/2010 10:01:56	INTL	SL	160	BASFY	63.85	004C4CE5	NFSC	\$8.00
												EX	01/06/2010 10:06:09	INTL		160	BASFY	63.85	004C4CE5		
1910	01/06/2010	11:16:21	11:16:21	INTL	S	P	500	NCMGY	33.95	2474	01/04/2010	NW	01/04/2010 10:58:58	INTL	SL	350	NCMGY	33.90	002DC9AE	NFSC	\$17.50
												EX	01/06/2010 11:16:37	INTL		350	NCMGY	33.90	002DC9AE		
1911	01/06/2010	12:02:58	12:02:57	INTL	B	P	600	NSRGY	47.58	2475	01/06/2010	NW	01/06/2010 12:02:26	INTL	B	300	NSRGY	47.60	004C4FBD	NFSC	\$6.00
												EX	01/06/2010 12:03:11	INTL		300	NSRGY	47.60	004C4FBD		
1912	01/06/2010	12:53:34	12:53:33	INTL	B	P	875	NIPNF	2.40	2476	01/06/2010	NW	01/06/2010 09:09:47	INTL	B	2,000	NIPNF	2.55	004C4C0D	SBSH	\$131.25
												EX	01/06/2010 12:54:05	INTL		1,000	NIPNF	2.55	004C4C0D		
1913	01/06/2010	14:32:43	14:32:42	INTL	B	P	163	IMPUY	29.55	2477	01/06/2010	NW	01/06/2010 14:14:32	INTL	B	200	IMPUY	29.60	004C54A1	NFSC	\$8.15
												EX	01/06/2010 14:33:18	INTL		200	IMPUY	29.60	004C54A1		
1914	01/06/2010	15:00:49	15:00:47	INTL	B	P	500	SGAMY	3.09	2478	01/06/2010	NW	01/06/2010 14:59:06	INTL	B	18,108	SGAMY	3.19	004C561E	MSCO	\$5.00
												EX	01/06/2010 14:59:42	INTL		1,108	SGAMY	3.08	004C561E		
												EX	01/06/2010 15:01:41	INTL		2,500	SGAMY	3.10	004C561E		
												EX	01/06/2010 15:01:45	INTL		14,500	SGAMY	3.12	004C561E		
1915	01/06/2010	15:04:30	15:04:30	INTL	S	P	440	BYDDF	8.83	2479	01/06/2010	NW	01/06/2010 15:04:08	INTL	SL	1,000	BYDDF	8.80	004C5645	NFSC	\$13.20
												EX	01/06/2010 15:05:05	INTL		1,000	BYDDF	8.80	004C5645		
1916	01/06/2010	15:57:02	15:57:01	INTL	B	P	2,500	IMPUY	29.72	2480	01/06/2010	NW	01/06/2010 15:56:53	INTL	B	400	IMPUY	30.20	004C5808	NFSC	\$16.00
												EX	01/06/2010 15:57:07	INTL		400	IMPUY	29.76	004C5808		
1917	01/07/2010	10:31:29	10:31:28	INTL	B	P	250	NSRGY	46.95	2481	11/24/2009	NW	11/24/2009 08:15:01	INTL	B	250	NSRGY	47.00	EA114452	NFSC	\$12.50
												EX	01/07/2010 10:31:33	INTL		250	NSRGY	47.00	EA114452		
										2482	11/30/2009	NW	11/30/2009 08:15:03	INTL	B	100	NSRGY	47.00	EA6CD1F8	NFSC	n/a
												EX	01/07/2010 10:33:30	INTL		100	NSRGY	46.96	EA6CD1F8		
										2483	11/30/2009	NW	11/30/2009 08:15:02	INTL	B	100	NSRGY	47.00	EA6CD1D0	NFSC	n/a
												EX	01/07/2010 10:33:27	INTL		100	NSRGY	46.96	EA6CD1D0		
										2484	12/03/2009	NW	12/03/2009 07:30:02	INTL	B	500	NSRGY	47.00	EA9A9801	FCCP	n/a
												EX	01/07/2010 10:33:30	INTL		500	NSRGY	46.96	EA9A9801		
										2485	01/07/2010	NW	01/07/2010 08:15:03	INTL	B	100	NSRGY	46.95	005B8E24	NFSC	n/a
												EX	01/07/2010 10:38:36	INTL		100	NSRGY	46.95	005B8E24		
										2486	01/07/2010	NW	01/07/2010 08:15:01	INTL	B	100	NSRGY	47.00	005B8E02	NFSC	n/a
												EX	01/07/2010 10:33:27	INTL		100	NSRGY	46.96	005B8E02		
1918	01/07/2010	10:33:40	10:33:40	INTL	B	P	37,500	GDLNF	0.75	2487	01/07/2010	NW	01/07/2010 08:15:03	INTL	B	5,000	GDLNF	0.77	005B8E1C	NFSC	\$100.00
												EX	01/07/2010 10:33:54	INTL		5,000	GDLNF	0.77	005B8E1C		
										2488	01/07/2010	NW	01/07/2010 09:48:25	INTL	B	10,000	GDLNF	0.78	005B8F13	NFSC	\$300.00
												EX	01/07/2010 10:33:49	INTL		10,000	GDLNF	0.78	005B8F13		
1919	01/07/2010	13:06:44	13:06:43	INTL	B	P	325	NTDOY	34.20	2489	01/07/2010	NW	01/07/2010 12:53:24	INTL	B	1,000	NTDOY	34.22	005B94E2	NFSC	\$6.50
												EX	01/07/2010 13:06:50	INTL		1,000	NTDOY	34.22	005B94E2		
1920	01/07/2010	13:11:28	13:11:27	INTL	S	P	1,200	CLPHY	6.81	2490	12/28/2009	NW	12/28/2009 08:15:02	INTL	SL	150	CLPHY	6.80	EC1810B0	NFSC	\$1.50
												EX	01/07/2010 13:11:36	INTL		150	CLPHY	6.80	EC1810B0		
1921	01/08/2010	10:00:58	10:00:58	INTL	B	P	1,500	BYDDF	8.55	2491	01/08/2010	NW	01/08/2010 10:00:14	INTL	B	500	BYDDF	8.57	006AD197	NFSC	\$10.00
												EX	01/08/2010 10:01:02	INTL		500	BYDDF	8.57	006AD197		
1922	01/08/2010	13:09:50	13:09:49	INTL	B	P	127	NSRGY	47.80	2492	01/08/2010	NW	01/08/2010 13:02:10	INTL	B	100	NSRGY	47.82	006AD781	NFSC	\$2.00
												EX	01/08/2010 13:10:15	INTL		100	NSRGY	47.82	006AD781		
1923	01/08/2010	14:28:15	14:28:15	INTL	B	P	100	HOWWY	3.26	2493	01/08/2010	NW	01/08/2010 10:23:31	INTL	B	500	HOWWY	3.28	006AD1E0	NFSC	\$2.00
												EX	01/08/2010 14:30:34	INTL		500	HOWWY	3.28	006AD1E0		
1924	01/08/2010	15:53:07	15:53:07	INTL	B	P	175	NSRGY	48.09	2494	01/08/2010	NW	01/08/2010 15:49:02	INTL	B	200	NSRGY	48.10	006ADC7D	NFSC	\$1.75
												EX	01/08/2010 15:53:21	INTL		200	NSRGY	48.10	006ADC7D		
1925	01/11/2010	09:40:47	09:40:47	INTL	B	P	500	BYDDF	8.71	2495	01/11/2010	NW	01/11/2010 09:39:05	INTL	B	500	BYDDF	8.75	009897F8	NFSC	\$20.00
												EX	01/11/2010 09:40:51	INTL		500	BYDDF	8.75	009897F8		
1926	01/11/2010	10:23:51	10:23:50	INTL	B	P	150	BASFY	63.04	2496	01/11/2010	NW	01/11/2010 08:15:05	INTL	B	100	BASFY	63.25	0098976C	NFSC	\$14.00
												EX	01/11/2010 10:29:12	INTL		100	BASFY	63.18	0098976C		
1927	01/11/2010	12:04:20	12:04:20	INTL	S	P	100	PTPIY	3.00	2497	11/24/2009	NW	11/24/2009 10:11:36	INTL	SL	1,000	PTPIY	2.98	EA114576	NFSC	\$2.00
												EX	01/11/2010 12:04:38	INTL		100	PTPIY	2.98	EA114576		
										2498	12/02/2009	NW	12/02/2009 09:47:38	INTL	SL	750	PTPIY	2.90	EAB856F1	NFSC	n/a

Part I: Trigger Trades										Part II: Customer Limit Orders											Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1938	01/13/2010	14:56:29	14:56:29	INTL	S	P	500	SFTBF	24.02	2510	01/07/2010	NW	01/07/2010 11:55:05	INTL	SL	900	SFTBF	24.00	005B9207	NFSC	\$10.00
												EX	01/13/2010 14:56:51	INTL		900	SFTBF	24.00	005B9207		
1939	01/14/2010	09:30:27	09:30:27	INTL	B	P	100	WMMVY	47.90	2511	01/14/2010	NW	01/14/2010 08:15:03	INTL	B	100	WMMVY	48.04	00C65DC6	NFSC	\$10.00
												EX	01/14/2010 09:30:43	INTL		100	WMMVY	48.00	00C65DC6		
1940	01/14/2010	09:32:51	09:32:51	INTL	B	P	170	BYDDY	82.75	2512	01/14/2010	NW	01/14/2010 08:19:50	INTL	B	100	BYDDY	83.00	00C65DE0	NFSC	\$25.00
												EX	01/14/2010 09:33:28	INTL		100	BYDDY	83.00	00C65DE0		
1941	01/14/2010	10:46:52	10:46:52	INTL	S	P	300	FSUMF	4.97	2513	01/14/2010	NW	01/14/2010 10:24:52	INTL	SL	550	FSUMF	4.96	00C65F26	NFSC	\$3.00
												EX	01/14/2010 10:47:00	INTL		550	FSUMF	4.96	00C65F26		
1942	01/15/2010	09:30:24	09:30:24	INTL	B	P	207	HINKY	26.01	2514	01/15/2010	NW	01/15/2010 09:29:30	INTL	B	2,000	HINKY	26.10	00D5A0A8	NFSC	\$12.42
												EX	01/15/2010 09:32:52	INTL		2,000	HINKY	26.07	00D5A0A8		
1943	01/15/2010	10:06:07	10:06:07	INTL	S	P	500	NSRGY	47.92	2515	01/15/2010	NW	01/15/2010 10:06:04	INTL	SL	300	NSRGY	47.90	00D5A148	NFSC	\$6.00
												EX	01/15/2010 10:06:12	INTL		300	NSRGY	47.90	00D5A148		
1944	01/15/2010	10:09:07	10:09:06	INTL	B	P	200	BASFY	59.90	2516	01/12/2010	NW	01/12/2010 14:23:44	INTL	B	250	BASFY	60.00	00A7E300	NFSC	\$20.00
												EX	01/15/2010 10:09:24	INTL		250	BASFY	60.00	00A7E300		
1945	01/15/2010	11:31:27	11:31:26	INTL	B	P	500	IMPUY	28.91	2517	01/11/2010	NW	01/11/2010 08:15:04	INTL	B	170	IMPUY	29.00	00989755	NFSC	\$15.30
												EX	01/15/2010 11:31:46	INTL		170	IMPUY	29.00	00989755		
1946	01/15/2010	13:07:45	13:07:44	INTL	B	P	500	LVNGY	15.20	2518	01/15/2010	NW	01/15/2010 12:58:07	INTL	B	1,000	LVNGY	15.30	00D5A64C	MSCO	\$25.00
												EX	01/15/2010 13:07:58	INTL		1,000	LVNGY	15.25	00D5A64C		
1947	01/15/2010	13:24:42	13:24:41	INTL	B	P	189	BNPQY	40.45	2519	01/15/2010	NW	01/15/2010 10:03:21	INTL	B	300	BNPQY	40.50	00D5A140	NFSC	\$9.45
												EX	01/15/2010 13:24:51	INTL		300	BNPQY	40.50	00D5A140		
1948	01/19/2010	09:32:09	09:32:08	INTL	S	P	200	NSRGY	47.94	2520	01/19/2010	NW	01/19/2010 08:15:04	INTL	SL	200	NSRGY	47.00	0112A943	NFSC	\$8.00
												EX	01/19/2010 09:32:28	INTL		200	NSRGY	47.90	0112A943		
1949	01/19/2010	11:36:17	11:36:17	INTL	S	P	1,165	NILSY	17.20	2521	01/19/2010	NW	01/19/2010 11:09:38	INTL	SL	2,500	NILSY	17.15	0112AB83	NFSC	\$58.25
												EX	01/19/2010 11:10:14	INTL		500	NILSY	17.15	0112AB83		
												EX	01/19/2010 11:39:57	INTL		2,000	NILSY	17.15	0112AB83		
1950	01/19/2010	12:01:25	12:01:25	INTL	S	P	200	OGZPY	25.68	2522	01/19/2010	NW	01/19/2010 12:00:49	INTL	SL	21,618	OGZPY	25.50	0112ACC2	FCCP	\$2.00
												EX	01/19/2010 12:00:49	INTL		200	OGZPY	25.68	0112ACC2		
												EX	01/19/2010 12:03:14	INTL		5,000	OGZPY	25.67	0112ACC2		
												EX	01/19/2010 12:03:56	INTL		10,000	OGZPY	25.66	0112ACC2		
												EX	01/19/2010 12:04:06	INTL		6,418	OGZPY	25.66	0112ACC2		
1951	01/19/2010	13:43:47	13:43:46	INTL	S	P	500	JMHLV	31.75	2523	01/19/2010	NW	01/19/2010 13:38:13	INTL	SL	700	JMHLV	31.20	0112AF50	MSCO	\$150.00
												EX	01/19/2010 13:44:01	INTL		700	JMHLV	31.45	0112AF50		
1952	01/19/2010	15:41:23	15:41:22	INTL	S	P	200	KKPNY	17.42	2524	01/19/2010	NW	01/19/2010 15:41:19	INTL	SL	1,250	KKPNY	17.00	0112B4F6	MSCO	\$4.00
												EX	01/19/2010 15:41:41	INTL		1,250	KKPNY	17.40	0112B4F6		
1953	01/20/2010	09:32:00	09:32:00	INTL	B	P	478	SMFJY	3.15	2525	01/15/2010	NW	01/15/2010 15:57:16	INTL	B	500	SMFJY	3.18	00D5AC16	NFSC	\$9.56
												EX	01/20/2010 09:32:28	INTL		500	SMFJY	3.17	00D5AC16		
1954	01/20/2010	09:34:44	09:34:43	INTL	B	P	350	PTPIY	1.75	2526	01/11/2010	NW	01/11/2010 14:34:02	INTL	B	100	PTPIY	2.36	0098A0B5	NFSC	\$61.00
												EX	01/20/2010 09:35:09	INTL		100	PTPIY	2.36	0098A0B5		
1955	01/20/2010	10:29:58	10:29:57	INTL	B	P	285	EONGY	39.97	2527	01/19/2010	NW	01/19/2010 08:15:03	INTL	B	200	EONGY	40.00	0112A919	NFSC	\$6.00
												EX	01/20/2010 10:30:20	INTL		200	EONGY	40.00	0112A919		
1956	01/20/2010	10:54:24	10:54:23	INTL	B	P	583	NCMGY	31.35	2528	01/12/2010	NW	01/12/2010 08:15:03	INTL	B	100	NCMGY	31.50	00A7D949	NFSC	\$15.00
												EX	01/20/2010 10:54:35	INTL		100	NCMGY	31.50	00A7D949		
1957	01/20/2010	11:12:53	11:12:53	INTL	B	P	100	SGAPY	21.20	2529	01/19/2010	NW	01/19/2010 14:28:28	INTL	B	200	SGAPY	21.35	0112B0A4	NFSC	\$15.00
												EX	01/20/2010 11:13:35	INTL		200	SGAPY	21.35	0112B0A4		
1958	01/20/2010	11:32:12	11:32:11	INTL	B	P	500	FUJTY	31.41	2530	01/20/2010	NW	01/20/2010 11:30:58	INTL	B	4,178	FUJTY	32.24	0121EEE6	MSCO	\$5.00
												EX	01/20/2010 11:32:05	INTL		200	FUJTY	31.35	0121EEE6		
												EX	01/20/2010 11:32:36	INTL		3,978	FUJTY	31.42	0121EEE6		
1959	01/20/2010	13:30:07	13:30:06	INTL	S	P	300	SSEZY	19.90	2531	01/19/2010	NW	01/19/2010 08:15:02	INTL	SL	300	SSEZY	19.55	0112A907	NFSC	\$105.00
												EX	01/20/2010 13:30:57	INTL		300	SSEZY	19.55	0112A907		
1960	01/21/2010	09:30:14	09:30:13	INTL	B	P	700	FSUMF	4.48	2532	01/12/2010	NW	01/12/2010 12:56:03	INTL	B	200	FSUMF	4.50	00A7E03F	NFSC	\$4.00
												EX	01/21/2010 09:30:30	INTL		200	FSUMF	4.50	00A7E03F		
1961	01/21/2010	10:10:53	10:10:53	INTL	S	P	1,000	BYDDF	7.60	2533	01/21/2010	NW	01/21/2010 10:08:27	INTL	SL	1,000	BYDDF	7.59	01312EC8	NFSC	\$10.00
												EX	01/21/2010 10:10:58	INTL		1,000	BYDDF	7.59	01312EC8		
1962																					

Part I: Trigger Trades										Part II: Customer Limit Orders											Restitution
Number	Trade Report Date	Trade Report Time	Execution Time	MPID	Side	Capacity	Trade Vol	Issue Symbol	Trade Price	Number	Order Received Date	Event Cd	Event Timestamp	MPID	Order to Buy/Sell	Quantity	Issue Symbol	Order Price	Firm Order ID	Routing Firm MPID	Restitution Amount
1974	01/21/2010	12:30:56	12:30:55	INTL	B	P	3,200	HKXCF	17.85	2547	01/06/2010	NW	01/21/2010 12:10:55	INTL	B	300	BYDDF	7.38	33667392		
												EX	01/06/2010 08:15:02	INTL	B	100	HKXCF	17.95	004C4BAD	NFSC	\$10.00
												EX	01/21/2010 12:31:23	INTL		100	HKXCF	17.95	004C4BAD		
1975	01/21/2010	15:09:55	15:09:55	INTL	B	P	5,000	FSUMF	4.37	2548	01/21/2010	NW	01/21/2010 12:14:42	INTL	B	300	FSUMF	4.38	013131A9	NFSC	\$3.00
												EX	01/21/2010 15:11:44	INTL		300	FSUMF	4.38	013131A9		
1976	01/21/2010	15:17:29	15:17:29	INTL	B	P	10,000	PALAF	3.48	2549	01/21/2010	NW	01/21/2010 14:15:21	INTL	B	100	PALAF	3.50	013136B1	NFSC	\$2.00
												EX	01/21/2010 15:17:36	INTL		100	PALAF	3.50	013136B1		
1977	01/21/2010	15:24:13	15:24:13	INTL	B	P	1,000	BYDDF	7.36	2550	01/21/2010	NW	01/21/2010 15:23:56	INTL	B	500	BYDDF	7.38	0131391A	NFSC	\$10.00
												EX	01/21/2010 15:24:20	INTL		500	BYDDF	7.38	0131391A		
1978	01/21/2010	15:34:20	15:34:20	INTL	B	P	200	KCRPY	24.72	2551	01/21/2010	NW	01/21/2010 15:34:13	INTL	B	120	KCRPY	25.14	0131396A	MSCO	\$3.60
												EX	01/21/2010 15:34:54	INTL		120	KCRPY	24.75	0131396A		
1979	01/22/2010	09:30:05	09:30:04	INTL	B	P	500	BYDDF	7.20	2552	11/19/2009	NW	11/19/2009 15:29:22	INTL	B	1,000	BYDDF	7.25	E9C503D1	NFSC	n/a
												EX	01/22/2010 09:30:23	INTL		1,000	BYDDF	7.22	E9C503D1		
										2553	12/14/2009	NW	12/14/2009 14:18:32	INTL	B	1,000	BYDDF	7.25	EB427AFE	FCCP	\$10.00
												EX	01/22/2010 09:30:21	INTL		1,000	BYDDF	7.22	EB427AFE		
1980	01/22/2010	09:30:12	09:30:11	INTL	S	P	200	LUKOY	55.40	2554	01/22/2010	NW	01/22/2010 09:03:23	INTL	SL	5,000	LUKOY	55.00	0140701F	NFSC	\$22.00
												EX	01/22/2010 09:30:58	INTL		2,500	LUKOY	55.29	0140701F		
												EX	01/22/2010 09:31:04	INTL		2,500	LUKOY	55.19	0140701F		
1981	01/22/2010	09:31:05	09:31:04	INTL	B	P	2,500	LUKOY	55.19	2555	12/24/2009	NW	12/24/2009 10:31:26	INTL	B	100	LUKOY	55.25	EBDB09FA	NFSC	\$6.00
												EX	01/22/2010 09:31:10	INTL		100	LUKOY	55.25	EBDB09FA		
1982	01/22/2010	15:56:24	15:56:24	INTL	B	P	300	BYDDY	71.05	2556	01/22/2010	NW	01/22/2010 15:56:14	INTL	B	100	BYDDY	72.00	01407091	NFSC	\$95.00
												EX	01/22/2010 15:56:32	INTL		100	BYDDY	72.00	01407091		
1983	01/25/2010	15:36:39	15:36:38	INTL	B	P	1,000	NSRGY	48.35	2557	01/25/2010	NW	01/25/2010 15:34:16	INTL	B	207	NSRGY	48.38	016E4345	NFSC	\$6.21
												EX	01/25/2010 15:36:48	INTL		207	NSRGY	48.38	016E4345		
1984	01/26/2010	09:30:45	09:30:44	INTL	B	P	1,000	NILSY	15.22	2558	01/25/2010	NW	01/25/2010 14:12:08	INTL	B	500	NILSY	15.55	016E4004	NFSC	\$10.00
												EX	01/26/2010 09:30:57	INTL		500	NILSY	15.24	016E4004		
1985	01/26/2010	10:17:23	10:17:22	INTL	S	P	3,000	BYDDF	6.99	2559	01/26/2010	NW	01/26/2010 10:17:14	INTL	SL	1,000	BYDDF	6.97	017D7A51	MSCO	\$10.00
												EX	01/26/2010 10:18:27	INTL		1,000	BYDDF	6.98	017D7A51		
1986	01/26/2010	10:18:49	10:18:49	INTL	S	P	1,000	BYDDF	7.00	2560	01/26/2010	NW	01/26/2010 10:18:13	INTL	SL	1,000	BYDDF	6.99	017D7A5F	MSCO	\$10.00
												EX	01/26/2010 10:18:54	INTL		1,000	BYDDF	6.99	017D7A5F		
1987	01/26/2010	10:51:11	10:51:10	INTL	B	P	500	BYDDF	6.96	2561	01/26/2010	NW	01/26/2010 10:50:44	INTL	B	500	BYDDF	6.98	017D7B85	NFSC	\$10.00
												EX	01/26/2010 10:51:16	INTL		500	BYDDF	6.98	017D7B85		
1988	01/26/2010	15:43:17	15:43:16	INTL	B	P	2,000	IMPUY	27.05	2562	01/26/2010	NW	01/26/2010 13:30:15	INTL	B	127	IMPUY	27.08	017D81F9	MSCO	\$3.81
												EX	01/26/2010 15:43:24	INTL		127	IMPUY	27.08	017D81F9		
1989	01/26/2010	15:49:02	15:49:01	INTL	B	P	359	FBRWY	4.75	2563	01/26/2010	NW	01/26/2010 15:48:26	INTL	B	6,000	FBRWY	4.84	017D864B		\$32.31
												EX	01/26/2010 15:49:11	INTL		6,000	FBRWY	4.84	017D864B		
Total Restitution																				\$62,297.13	