

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
LETTER OF ACCEPTANCE, WAIVER AND CONSENT
NO. 2011025431801**

TO: Department of Enforcement
Financial Industry Regulatory Authority ("FINRA")

RE: Cantella & Co., Inc. (BD No. 13905),
Respondent

Pursuant to FINRA Rule 9216 of FINRA's Code of Procedure, Cantella & Co., Inc. ("Respondent," "Cantella," or the "Firm") submits this Letter of Acceptance, Waiver and Consent ("AWC") for the purpose of proposing a settlement of the alleged rule violations described below. This AWC is submitted on the condition that, if accepted, FINRA will not bring any future actions against the Firm alleging violations based on the same factual findings described herein.

I.

ACCEPTANCE AND CONSENT

- A. Respondent hereby accepts and consents, without admitting or denying the findings, and solely for the purposes of this proceeding and any other proceeding brought by or on behalf of FINRA, or to which FINRA is a party, prior to a hearing and without an adjudication of any issue of law or fact, to the entry of the following findings by FINRA:

BACKGROUND

Respondent has been a member of FINRA since about August 1983. The Firm's principal office is located in Boston, MA. The Firm currently employs approximately 210 registered representatives, working out of the principal office and 136 branch offices. The Firm conducts a retail brokerage business.

RELEVANT DISCIPLINARY HISTORY

Respondent has no relevant disciplinary history with the Securities and Exchange Commission, any self-regulatory organization, or any state securities regulator.

OVERVIEW

During the period from approximately January 2006 to September 2011 (the "Relevant Period"), the Firm charged customers excessive commissions on approximately 1,270 equity transactions and 99 options transactions. Cantella also failed to establish, maintain and enforce an adequate supervisory system for

the review of commissions charged, as it failed to consider, for each specific transaction, the factors delineated in its own procedures and those listed in NASD IM-2440-1(b).

FACTS AND VIOLATIVE CONDUCT

1. NASD Conduct Rule 2440 provides that:

[i]f a member buys for his own account from his customer, or sells for his own account to his customer, he shall buy or sell at a price which is fair, taking into consideration all relevant circumstances... If he acts as agent for his customer, the member shall not charge his customer more than a fair commission or service charge, taking into consideration all relevant circumstances...

The NASD established a policy in 1943 that mark-ups of five percent may be deemed unreasonable.¹ This policy applies equally to commissions on agency trades, and to mark-ups or mark-downs on principal transactions.² In addition to the commission percentage, NASD IM-2440-1 sets forth other factors to be considered in determining fairness, including: (i) the type of security involved; (ii) the availability of the security; (iii) the price of the security; (iv) the size of the transaction; (v) whether disclosure of the transaction cost was made to the customer prior to the trade; (vi) any pattern of mark-ups; and (vii) the nature of the member's business.³

During the Relevant Period, the Firm relied on automatic commission schedules, pursuant to which the Firm charged commissions. In connection with certain purchases and sales of primarily low priced securities, the commissions charged by the Firm were not fair and reasonable. The Firm charged customers excessive commissions on approximately 1,270 equity transactions, ranging up to as much as 67.57% of the principal amount of the transactions. The transactions resulted in approximately \$120,000 in excessive charges. The firm has already repaid customers approximately \$42,000 of these excessive commissions related to approximately 198 transactions.

By reason of the foregoing, the Firm violated NASD Conduct Rules 2440 and 2110 (for conduct before December 15, 2008), and NASD IM-2440-1, and FINRA Rule 2010 (for the period after December 14, 2008).

¹ See NASD IM-2440-1.

² See NTM 93-81 and NASD IM-2440-1(c)(4).

³ See NASD IM-2440-1(a)(5).

2. During the Relevant Period, the Firm also charged excessive commissions on 99 options transactions. These commissions ranged from over 15% to 74.99% of the principal amount of the transactions. The options were on shares issued by major U.S. corporations. They were listed, frequently-traded, liquid securities. During the Relevant Period, Cantella charged \$4,658.22 in excessive commissions in connection with these 99 options transactions.

By reason of the foregoing, Cantella violated NASD Conduct Rule 2110 (for conduct before December 15, 2008) and FINRA Rule 2010 (for the period after December 14, 2008).

3. During the Relevant Period, the Firm failed to establish, maintain and enforce an adequate supervisory system for the review of commissions charged. The Firm's procedures required monitoring commission charges to ensure that they comported with the requirements of NASD IM-2440-1(b). The Firm, however, relied exclusively on the automated commission schedule, rather than an independent supervisory review of the fairness of the commissions.

By reason of the foregoing, Cantella violated NASD Conduct Rules 2110 (for conduct before December 15, 2008) and 3010 and FINRA Rule 2010 (for conduct after December 14, 2008).

B. Respondent also consents to the imposition of the following sanctions:

- a fine of \$50,000;
- a requirement that it pay restitution of \$81,973.65,⁴ plus interest, as detailed below; and
- a censure

Restitution is ordered to be paid to the customers listed on Attachment A hereto in the total amount of \$81,973.65, plus interest at the rate set forth in Section 6621(a)(2) of the Internal Revenue Code, 26 U.S.C. 6621(a)(2), from the trade date until the date this AWC is accepted by the NAC.

A registered principal on behalf of Respondent firm shall submit satisfactory proof of payment of restitution or of reasonable and documented efforts undertaken to effect restitution. Such proof shall be submitted to Bonnie S. McGuire, FINRA Department of Enforcement, 99 High Street, Suite 900, Boston, MA 02110, either by letter that identifies the Respondent and case number 2011025431801 or by e-mail from a work-related account of the registered principal of Respondent firm to EnforcementNotice@FINRA.org. This proof shall be provided to the FINRA staff member listed above no later than 120 days after acceptance of the AWC.

⁴ Cantella has already repaid to customers approximately \$42,000 in reimbursement for excessive commissions related to 198 transactions.

If for any reason Respondent cannot locate any customer identified in Attachment A after reasonable and documented efforts within 120 days from the date the AWC is accepted, or such additional period agreed to by a FINRA staff member in writing, Respondent shall forward any undistributed restitution and interest to the appropriate escheat, unclaimed property or abandoned property fund for the state in which the customer is last known to have resided. Respondent shall provide satisfactory proof of such action to the FINRA staff member identified above and in the manner described above, within 14 days of forwarding the undistributed restitution and interest to the appropriate state authority.

The imposition of a restitution order or any other monetary sanction herein, and the timing of such ordered payments, does not preclude customers from pursuing their own actions to obtain restitution or other remedies.

Respondent agrees to pay the monetary sanctions upon notice that this AWC has been accepted and that such payments are due and payable. Respondent has submitted an Election of Payment form showing the method by which it proposes to pay the fine imposed.

Respondent has specifically and voluntarily waived any right to claim an inability to pay at any time hereafter the monetary sanction imposed in this matter.

The sanctions imposed herein shall be effective on a date set by FINRA staff.

II.

WAIVER OF PROCEDURAL RIGHTS

Respondent specifically and voluntarily waives the following rights granted under FINRA's Code of Procedure:

- A. To have a Complaint issued specifying the allegations against it;
- B. To be notified of the Complaint and have the opportunity to answer the allegations in writing;
- C. To defend against the allegations in a disciplinary hearing before a hearing panel, to have a written record of the hearing made and to have a written decision issued; and
- D. To appeal any such decision to the National Adjudicatory Council ("NAC") and then to the U.S. Securities and Exchange Commission and a U.S. Court of Appeals.

Further, Respondent specifically and voluntarily waives any right to claim bias or prejudgment

of the Chief Legal Officer, the NAC, or any member of the NAC, in connection with such person's or body's participation in discussions regarding the terms and conditions of this AWC, or other consideration of this AWC, including acceptance or rejection of this AWC.

Respondent further specifically and voluntarily waives any right to claim that a person violated the ex parte prohibitions of FINRA Rule 9143 or the separation of functions prohibitions of FINRA Rule 9144, in connection with such person's or body's participation in discussions regarding the terms and conditions of this AWC, or other consideration of this AWC, including its acceptance or rejection.

III.

OTHER MATTERS

Respondent understands that:

- A. Submission of this AWC is voluntary and will not resolve this matter unless and until it has been reviewed and accepted by the NAC, a Review Subcommittee of the NAC, or the Office of Disciplinary Affairs ("ODA"), pursuant to FINRA Rule 9216;
- B. If this AWC is not accepted, its submission will not be used as evidence to prove any of the allegations against Respondent;
- C. If accepted:
 - 1. this AWC will become part of Respondent's permanent disciplinary record and may be considered in any future actions brought by FINRA or any other regulator against Respondent;
 - 2. this AWC will be made available through FINRA's public disclosure program in response to public inquiries about Respondent's disciplinary record;
 - 3. FINRA may make a public announcement concerning this agreement and the subject matter thereof in accordance with FINRA Rule 8313; and
 - 4. Respondent may not take any action or make or permit to be made any public statement, including in regulatory filings or otherwise, denying, directly or indirectly, any finding in this AWC or create the impression that the AWC is without factual basis. Respondent may not take any position in any proceeding brought by or on behalf of FINRA, or to which FINRA is a party, that is inconsistent with any part of this AWC. Nothing in this provision affects Respondent's: (i) testimonial obligations; or (ii) right to take legal or factual positions in litigation or other legal

proceedings in which FINRA is not a party; and

- D. Respondent may attach a Corrective Action Statement to this AWC that is a statement of demonstrable corrective steps taken to prevent future misconduct. Respondent understands that it may not deny the charges or make any statement that is inconsistent with the AWC in this Statement. This Statement does not constitute factual or legal findings by FINRA, nor does it reflect the views of FINRA or its staff.

The undersigned, on behalf of the Firm, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that Respondent has agreed to its provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth herein and the prospect of avoiding the issuance of a Complaint, has been made to induce Respondent to submit it.

Cantella & Co., Inc., Respondent

6/19/2014
Date (mm/dd/yyyy)

By: 

Name: Jonathan W. Lanstein
Title: Chief Executive Officer

Reviewed by:



Robert L. Herskovits, Esq.
Nicholas Hirst, Esq.
Joseph P. Allgor, Esq.
Counsel for Respondent
Herskovits PLLC
1065 Avenue of the Americas, 27th Floor
New York, NY 10018
(212) 897-5410

Accepted by FINRA:

9/22/14
Date

Signed on behalf of the
Director of ODA, by delegated authority



Bonnie S. McGuire
Senior Regional Counsel
FINRA Department of Enforcement
99 High Street
Suite 900
Boston, MA 02110
Tel: (617) 532-3424
Fax: (202) 721-6531

SCHEDULE A

Clearing Firm 1

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commission %	Restitution
1	29383V107	S	-10,000	\$0.342800	-\$3,428.00	\$347.00	10.12%	\$175.60
2	75382K105	B	3,000	\$0.539000	\$1,617.00	\$161.78	10.00%	\$11.78
3	90343P101	B	28,500	\$0.346000	\$9,861.00	\$986.10	10.00%	\$493.05
4	98401A106	B	6,000	\$0.380000	\$2,280.00	\$228.00	10.00%	\$78.00
5	03077G106	B	5,000	\$0.343300	\$1,716.50	\$171.65	10.00%	\$21.65
6	58502U104	B	10,000	\$0.160000	\$1,600.00	\$160.00	10.00%	\$10.00
7	23283X107	B	15,000	\$0.187300	\$2,809.50	\$280.95	10.00%	\$130.95
8	02563R105	S	-10,000	\$0.677500	-\$6,775.00	\$652.40	9.63%	\$313.65
9	02906T107	B	2,000	\$1.010000	\$2,020.00	\$185.26	9.17%	\$35.26
10	42328A104	S	-2,300	\$1.061000	-\$2,440.30	\$205.72	8.43%	\$55.72
11	98912M201	S	-2,000	\$1.130000	-\$2,260.00	\$188.00	8.32%	\$38.00
12	50126T100	B	2,000	\$1.040000	\$2,080.00	\$166.20	7.99%	\$16.20
13	42327W107	S	-2,300	\$1.230500	-\$2,830.15	\$210.79	7.45%	\$60.79
14	50126T100	B	2,000	\$1.320000	\$2,640.00	\$193.32	7.32%	\$43.32
15	131910101	S	-5,000	\$1.280000	-\$6,400.00	\$450.00	7.03%	\$130.00
16	767754104	B	7,500	\$0.426800	\$3,201.00	\$224.07	7.00%	\$64.02
17	282253103	S	-11,500	\$0.250600	-\$2,881.90	\$200.00	6.94%	\$50.00
18	344437405	B	2,000	\$1.290000	\$2,580.00	\$175.00	6.78%	\$25.00
19	16943F102	B	6,300	\$0.650200	\$4,096.26	\$274.43	6.70%	\$69.62
20	02906T107	B	2,500	\$1.390000	\$3,475.00	\$229.18	6.60%	\$55.43
21	02906T107	S	-2,500	\$1.450000	-\$3,625.00	\$231.13	6.38%	\$49.88
22	760112102	B	2,000	\$1.207600	\$2,415.20	\$152.32	6.31%	\$2.32
23	02906T107	S	-2,000	\$1.610200	-\$3,220.40	\$200.87	6.24%	\$39.85
24	16943F102	B	4,700	\$0.700000	\$3,290.00	\$203.98	6.20%	\$39.48
25	29383V107	B	8,700	\$0.380000	\$3,306.00	\$199.00	6.02%	\$33.70
26	760112102	S	-2,500	\$1.020000	-\$2,550.00	\$152.00	5.96%	\$2.00
27	760112102	S	-2,700	\$1.000000	-\$2,700.00	\$160.37	5.94%	\$10.37
28	767754104	S	-5,600	\$0.842500	-\$4,718.00	\$268.22	5.69%	\$32.32
29	186904108	S	-15,000	\$0.210000	-\$3,150.00	\$175.00	5.56%	\$17.50
30	313586109	S	-4,000	\$1.610000	-\$6,440.00	\$347.04	5.39%	\$25.04
31	88043P108	B	2,500	\$4.330000	\$10,825.00	\$573.00	5.29%	\$31.75
32	87161C105	B	1,842	\$3.090000	\$5,691.78	\$299.00	5.25%	\$14.41
33	87161C105	B	6,000	\$1.428335	\$8,570.01	\$450.00	5.25%	\$21.50
34	82967N108	S	-3,680	\$1.702900	-\$6,266.67	\$328.27	5.24%	\$14.94
35	16941J106	S	-5,400	\$0.990000	-\$5,346.00	\$279.67	5.23%	\$12.37
36	Y2187A101	B	3,000	\$1.803005	\$5,409.02	\$281.00	5.20%	\$10.55
37	16943F102	B	4,500	\$1.047777	\$4,715.00	\$241.71	5.13%	\$5.96
38	52729N100	B	5,000	\$0.978300	\$4,891.50	\$250.00	5.11%	\$5.42
39	52729N100	B	10,000	\$0.872400	\$8,724.00	\$439.00	5.03%	\$2.80
40	87161C105	B	3,900	\$1.987655	\$7,751.85	\$390.00	5.03%	\$2.41
41	090911108	B	4,000	\$1.619500	\$6,478.00	\$325.00	5.02%	\$1.10

Total**\$2,253.71**

Clearing Firm 2

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commission %	Restitution
1	53634X100	S	-500.000	.74	-370.00	250.00	67.57%	\$100.00
2	021060108	B	325.000	6.13	1991.08	304.36	15.29%	\$154.36
3	879604106	B	10000.000	.17	1686.32	249.00	14.77%	\$99.00
4	172967101	B	1000.000	1.50	1500.00	169.57	11.30%	\$19.57
5	550372106	S	-2000.000	.81	-1626.00	175.00	10.76%	\$25.00
6	87651B104	B	500.000	7.01	3505.00	375.00	10.70%	\$199.75
7	36466X108	S	-300000.000	.01	-2700.00	273.00	10.11%	\$123.00
8	141607101	S	-16791.000	.17	-2857.83	285.86	10.00%	\$135.86
9	00253U107	B	4100.000	.39	1597.36	159.74	10.00%	\$9.74
10	038188306	B	2000.000	.84	1676.97	167.70	10.00%	\$17.70
11	44984F104	S	-35027.000	.08	-2928.26	292.83	10.00%	\$142.83
12	44984F104	S	-33571.000	.07	-2349.97	235.00	10.00%	\$85.00
13	206710204	S	-5700.000	.61	-3491.76	349.18	10.00%	\$174.59
14	141607101	S	-36800.000	.14	-5288.16	528.82	10.00%	\$264.41
15	141607101	S	-33750.000	.40	-13331.25	1333.13	10.00%	\$666.57
16	89360A104	B	15000.000	.13	1965.00	196.50	10.00%	\$46.50
17	737397109	S	-40000.000	.05	-1800.00	180.00	10.00%	\$30.00
18	98385L102	B	10000.000	.45	4500.00	450.00	10.00%	\$225.00
19	16942K102	B	15500.000	.23	3515.00	351.50	10.00%	\$175.75
20	038188306	B	2000.000	.78	1556.20	155.62	10.00%	\$5.62
21	81222P106	B	35000.000	.07	2450.00	245.00	10.00%	\$95.00
22	98950P108	S	-8000.000	.26	-2080.70	208.07	10.00%	\$58.07
23	054546106	B	4000.000	.42	1680.00	168.00	10.00%	\$18.00
24	25383A200	B	2000.000	.88	1757.40	175.74	10.00%	\$25.74
25	141607101	S	-10000.000	.30	-3000.00	300.00	10.00%	\$150.00
26	141607101	S	-7880.000	.29	-2285.20	228.52	10.00%	\$78.52
27	141607101	S	-19836.000	.20	-3967.20	396.72	10.00%	\$198.36
28	141607101	S	-50000.000	.15	-7500.00	750.00	10.00%	\$375.00
29	141607101	S	-45500.000	.15	-6825.00	682.50	10.00%	\$341.25
30	141607101	S	-44600.000	.15	-6467.00	646.70	10.00%	\$323.35
31	44984F104	S	-37700.000	.10	-3770.00	377.00	10.00%	\$188.50
32	141607101	S	-40000.000	.15	-6000.00	600.00	10.00%	\$300.00
33	141607101	S	-50000.000	.15	-7250.00	725.00	10.00%	\$362.50
34	141607101	S	-20000.000	.15	-3000.00	300.00	10.00%	\$150.00
35	141607101	S	-21900.000	.15	-3285.00	328.50	10.00%	\$164.25
36	141607101	S	-50000.000	.19	-9670.00	967.00	10.00%	\$483.50
37	141607101	S	-50000.000	.20	-9750.00	975.00	10.00%	\$487.50
38	141607101	S	-10000.000	.26	-2600.00	260.00	10.00%	\$110.00
39	141607101	S	-5000.000	.46	-2300.00	230.00	10.00%	\$80.00
40	00830E105	B	5000.000	.35	1750.00	175.00	10.00%	\$25.00
41	25383A200	B	5000.000	.37	1845.00	184.50	10.00%	\$34.50
42	174420109	B	2000.000	.82	1640.00	164.00	10.00%	\$14.00
43	090174103	S	-3850.000	.40	-1540.00	154.00	10.00%	\$4.00
44	36867G100	S	-3000.000	.55	-1641.00	164.10	10.00%	\$14.10
45	451724108	S	-6200.000	.64	-3937.00	393.70	10.00%	\$196.85
46	743406100	S	-80000.000	.02	-1771.00	177.10	10.00%	\$27.10
47	25383A101	B	2000.000	.80	1594.50	159.45	10.00%	\$9.45
48	74765E109	S	-2000.000	.80	-1606.00	160.60	10.00%	\$10.60
49	741929103	S	-5500.000	.66	-3607.22	360.72	10.00%	\$180.36
50	207142100	S	-3800.000	.46	-1731.51	173.15	10.00%	\$23.15
51	141607101	S	-33209.000	.16	-5456.24	545.62	10.00%	\$272.81
52	038188306	B	3000.000	.76	2277.04	227.70	10.00%	\$77.70
53	98950P108	S	-3500.000	.46	-1611.33	161.13	10.00%	\$11.13
54	096227103	B	1680.000	.95	1592.64	159.26	10.00%	\$9.26
55	096227103	B	1800.000	.95	1706.40	169.13	9.91%	\$19.13
56	25383A101	B	4000.000	.76	3038.00	298.49	9.83%	\$146.59
57	82967N108	B	5000.000	.56	2812.70	275.00	9.78%	\$125.00
58	545715104	B	1600.000	1.01	1616.00	157.32	9.74%	\$7.32
59	61745P874	B	374.000	16.02	5991.48	581.50	9.71%	\$281.93
60	74765E109	S	-1500.000	1.05	-1575.06	151.50	9.62%	\$1.50

Clearing Firm 2

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commission %	Restitution
61	096227103	B	2000.000	.98	1956.20	184.12	9.41%	\$34.12
62	82967N108	B	2877.000	.87	2510.76	235.51	9.38%	\$85.51
63	25383A101	B	5500.000	.76	4179.16	388.33	9.29%	\$179.37
64	247126105	S	-2000.000	1.00	-1990.00	184.80	9.29%	\$34.80
65	398163105	B	20000.000	.26	5200.00	476.00	9.15%	\$216.00
66	096227103	S	-2400.000	.95	-2280.00	208.64	9.15%	\$58.64
67	399849108	B	10000.000	.55	5500.00	500.00	9.09%	\$225.00
68	14067D102	S	-1500.000	1.13	-1698.45	153.97	9.07%	\$3.97
69	40963P105	B	1500.000	1.14	1709.85	154.20	9.02%	\$4.20
70	44984F104	S	-192884.000	.07	-13984.09	1250.00	8.94%	\$550.80
71	G67543101	B	1600.000	1.15	1837.12	161.74	8.80%	\$11.74
72	16117M107	B	1500.000	1.18	1770.00	155.40	8.78%	\$5.40
73	G67543101	B	1550.000	1.18	1826.21	159.02	8.71%	\$9.02
74	038188306	B	5500.000	.82	4510.00	392.63	8.71%	\$167.13
75	141607101	B	46000.000	.25	11500.00	1000.00	8.70%	\$425.00
76	72581M107	B	2000.000	1.09	2180.00	187.34	8.59%	\$37.34
77	174420109	B	5000.000	.86	4287.26	364.73	8.51%	\$150.37
78	16943A103	S	-1600.000	1.21	-1928.00	163.56	8.48%	\$13.56
79	25383A200	B	2000.000	1.11	2216.00	187.81	8.48%	\$37.81
80	125750109	S	-2000.000	1.11	-2220.00	187.86	8.46%	\$37.86
81	72581M107	B	1650.000	1.20	1976.70	167.03	8.45%	\$17.03
82	25383A200	B	2000.000	1.12	2236.00	188.07	8.41%	\$38.07
83	60975U108	S	-1500.000	1.25	-1875.00	157.50	8.40%	\$7.50
84	16117M107	B	1500.000	1.26	1890.00	157.80	8.35%	\$7.80
85	16117M107	S	-1500.000	1.26	-1890.00	157.80	8.35%	\$7.80
86	096227103	B	1450.000	1.28	1853.83	154.58	8.34%	\$4.58
87	25383A200	B	2000.000	1.14	2276.00	188.59	8.29%	\$38.59
88	892000100	B	2000.000	1.01	2017.90	166.71	8.26%	\$16.71
89	00253U107	B	1800.000	1.19	2142.00	176.85	8.26%	\$26.85
90	026874107	B	1000.000	1.95	1948.20	159.65	8.19%	\$9.65
91	88343A108	S	-1500.000	1.30	-1950.00	159.00	8.15%	\$9.00
92	16117M107	B	1400.000	1.34	1872.08	152.44	8.14%	\$2.44
93	G67543101	B	1750.000	1.23	2149.35	174.44	8.12%	\$24.44
94	01860F103	S	-4000.000	.95	-3800.00	308.40	8.12%	\$118.40
95	038188306	B	10000.000	.84	8388.74	678.22	8.08%	\$258.78
96	00754E107	S	-1500.000	1.32	-1980.51	159.61	8.06%	\$9.61
97	89360A104	B	45000.000	.20	8865.00	709.00	8.00%	\$265.75
98	34629U103	S	-2000.000	1.19	-2380.00	189.94	7.98%	\$39.94
99	174420109	S	-2000.000	1.19	-2380.00	189.94	7.98%	\$39.94
100	G67543101	B	1500.000	1.34	2007.30	160.09	7.98%	\$10.09
101	98950P108	S	-2000.000	1.20	-2400.00	190.20	7.93%	\$40.20
102	457685105	B	50000.000	.32	15800.00	1250.00	7.91%	\$460.00
103	82967N108	S	-4000.000	.75	-2993.48	236.65	7.91%	\$86.65
104	548910108	B	2000.000	1.22	2440.00	190.72	7.82%	\$40.72
105	16117M107	B	1700.000	1.30	2210.00	172.73	7.82%	\$22.73
106	00202J203	B	5000.000	.84	4200.00	327.24	7.79%	\$117.24
107	630075109	B	1450.000	1.40	2030.00	157.89	7.78%	\$7.89
108	G67543101	B	2100.000	1.21	2539.08	197.01	7.76%	\$47.01
109	G67543101	B	1800.000	1.29	2322.00	179.19	7.72%	\$29.19
110	85815M107	B	20000.000	.51	10200.00	784.00	7.69%	\$274.00
111	16117M107	B	1500.000	1.41	2110.80	161.44	7.65%	\$11.44
112	267924108	B	3000.000	1.09	3270.00	250.00	7.65%	\$86.50
113	453838104	B	5000.000	.98	4893.00	372.61	7.62%	\$127.96
114	00253U107	B	1500.000	1.42	2129.50	161.68	7.59%	\$11.68
115	16117M107	B	1600.000	1.39	2219.52	167.85	7.56%	\$17.85
116	25383A200	B	2000.000	1.27	2540.00	192.02	7.56%	\$42.02
117	206710204	B	1400.000	1.50	2100.00	156.30	7.44%	\$6.30
118	G67543101	B	2000.000	1.30	2598.40	192.78	7.42%	\$42.78
119	85815M107	B	9000.000	.56	5040.00	370.80	7.36%	\$118.80
120	16117M107	B	1750.000	1.39	2427.60	178.06	7.33%	\$28.06

Clearing Firm 2

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restitution
121	16943A103	B	1600.000	1.44	2304.00	168.95	7.33%	\$18.95
122	211653100	B	2000.000	1.32	2640.00	193.32	7.32%	\$43.32
123	72581M107	B	2100.000	1.30	2725.00	199.43	7.32%	\$49.43
124	740921101	S	-9700.000	.41	-4001.18	291.00	7.27%	\$90.94
125	740921101	S	-10000.000	.41	-4130.41	300.00	7.26%	\$93.48
126	88343A108	B	1500.000	1.50	2250.00	163.25	7.26%	\$13.25
127	85815M107	B	5600.000	.62	3472.00	249.69	7.19%	\$76.09
128	313400301	B	2000.000	1.35	2699.80	194.10	7.19%	\$44.10
129	G67543101	B	2000.000	1.35	2700.00	194.10	7.19%	\$44.10
130	211653100	B	2000.000	1.35	2700.00	194.10	7.19%	\$44.10
131	82967N108	S	-2000.000	1.35	-2701.40	194.12	7.19%	\$44.12
132	740921101	B	20000.000	.42	8360.99	600.00	7.18%	\$181.95
133	285106100	S	-2150.000	1.32	-2840.51	203.43	7.16%	\$53.43
134	52729N100	B	3000.000	1.19	3570.00	255.41	7.15%	\$76.91
135	25383A200	B	2000.000	1.36	2723.18	194.40	7.14%	\$44.40
136	740921101	S	-10000.000	.42	-4215.45	300.00	7.12%	\$89.23
137	25383A200	B	2000.000	1.37	2736.00	194.57	7.11%	\$44.57
138	72581M107	B	1850.000	1.41	2607.00	185.39	7.11%	\$35.39
139	60975U108	S	-1400.000	1.59	-2226.00	157.94	7.10%	\$7.94
140	740921101	S	-10000.000	.42	-4230.10	300.00	7.09%	\$88.50
141	00253U107	B	1900.000	1.41	2679.00	188.83	7.05%	\$38.83
142	25383A200	B	4000.000	1.13	4512.00	317.66	7.04%	\$92.06
143	25383A200	B	2000.000	1.39	2784.60	195.20	7.01%	\$45.20
144	82967N108	S	-1300.000	1.67	-2172.43	152.24	7.01%	\$2.24
145	453838104	B	2000.000	1.40	2798.80	195.38	6.98%	\$45.38
146	053491106	S	-2000.000	1.40	-2802.00	195.43	6.97%	\$45.43
147	282914100	S	-1400.000	1.63	-2282.00	158.67	6.95%	\$8.67
148	554225102	B	1300.000	1.69	2193.99	152.52	6.95%	\$2.52
149	747399103	B	1500.000	1.59	2385.00	165.01	6.92%	\$15.01
150	096227103	B	4500.000	1.13	5085.00	350.36	6.89%	\$96.11
151	767754104	B	3000.000	1.25	3746.10	257.70	6.88%	\$70.40
152	096227103	B	2400.000	1.35	3240.00	221.12	6.82%	\$59.12
153	82967N108	B	2000.000	1.44	2880.00	196.44	6.82%	\$46.44
154	747399103	B	1500.000	1.62	2430.00	165.59	6.81%	\$15.59
155	98974P100	B	1440.000	1.65	2376.00	161.89	6.81%	\$11.89
156	85815M107	B	10000.000	.61	6100.00	414.91	6.80%	\$109.91
157	85815M107	B	10000.000	.62	6158.00	417.64	6.78%	\$109.74
158	125750109	S	-1900.000	1.48	-2812.00	190.56	6.78%	\$40.56
159	892000100	B	1600.000	1.40	2240.00	151.31	6.75%	\$1.31
160	85815M107	B	10000.000	.62	6199.00	418.07	6.74%	\$108.12
161	85815M107	B	10000.000	.62	6200.00	418.08	6.74%	\$108.08
162	60975U108	S	-2500.000	1.11	-2775.00	187.07	6.74%	\$37.07
163	10807Q205	S	-10000.000	1.05	-10513.00	708.34	6.74%	\$182.69
164	60975U108	B	1450.000	1.67	2421.50	162.98	6.73%	\$12.98
165	98974P100	B	1900.000	1.50	2850.00	191.05	6.70%	\$41.05
166	64115A204	B	1800.000	1.54	2771.82	185.03	6.68%	\$35.03
167	98974P100	B	1800.000	1.54	2780.22	185.14	6.66%	\$35.14
168	85815M107	B	10000.000	.63	6300.00	419.00	6.65%	\$104.00
169	25383A200	B	5550.000	1.15	6382.50	423.62	6.64%	\$104.50
170	60975U108	B	1725.000	1.59	2741.33	180.89	6.60%	\$30.89
171	34629U103	B	10000.000	.47	4700.00	310.05	6.60%	\$75.05
172	85815M107	B	4349.000	.72	3130.57	206.00	6.58%	\$49.47
173	16117M107	B	2000.000	1.24	2474.00	162.49	6.57%	\$12.49
174	98974P100	B	1400.000	1.75	2449.86	160.85	6.57%	\$10.85
175	141743104	B	2000.000	1.51	3019.80	198.26	6.57%	\$47.27
176	72581M107	B	2500.000	1.40	3497.00	229.46	6.56%	\$54.61
177	316773100	B	2000.000	1.52	3037.20	198.48	6.53%	\$46.62
178	67106S100	B	1600.000	1.66	2656.00	173.53	6.53%	\$23.53
179	744674201	B	2000.000	1.53	3052.50	198.68	6.51%	\$46.06
180	G67543101	S	-2100.000	1.50	-3152.10	204.98	6.50%	\$47.38

Clearing Firm 2

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commission %	Restitution
181	099469504	B	1800.000	1.71	3076.05	200.01	6.50%	\$46.21
182	85815M107	B	2500.000	1.00	2500.00	162.38	6.50%	\$12.38
183	803893106	B	1500.000	1.72	2580.00	167.54	6.49%	\$17.54
184	98974P100	B	1250.000	1.88	2350.00	152.05	6.47%	\$2.05
185	98974P100	B	1500.000	1.73	2595.00	167.74	6.46%	\$17.74
186	60975U108	B	1825.000	1.60	2920.00	188.21	6.45%	\$38.21
187	630075109	B	1800.000	1.32	2376.00	152.91	6.44%	\$2.91
188	313586109	S	-1500.000	1.74	-2610.00	167.93	6.43%	\$17.93
189	345370860	B	2000.000	1.55	3097.60	199.27	6.43%	\$44.39
190	85815M107	S	-3800.000	.90	-3427.50	220.17	6.42%	\$48.80
191	85815M107	B	5000.000	.72	3599.50	231.00	6.42%	\$51.03
192	125750109	B	1250.000	1.90	2375.00	152.38	6.42%	\$2.38
193	72581M107	B	1450.000	1.78	2580.50	165.05	6.40%	\$15.05
194	60975U108	B	1550.000	1.73	2679.00	171.33	6.40%	\$21.33
195	98974P100	B	1400.000	1.81	2533.90	161.94	6.39%	\$11.94
196	282914100	B	1275.000	1.90	2422.50	154.24	6.37%	\$4.24
197	98974P100	B	1500.000	1.77	2655.00	168.52	6.35%	\$18.52
198	98974P100	B	1500.000	1.78	2669.86	168.71	6.32%	\$18.71
199	98974P100	B	1500.000	1.78	2670.00	168.71	6.32%	\$18.71
200	131745101	B	2000.000	1.59	3180.00	200.34	6.30%	\$41.34
201	72581M107	B	1500.000	1.79	2685.00	168.91	6.29%	\$18.91
202	98974P100	S	-1500.000	1.80	-2700.15	169.10	6.26%	\$19.10
203	60975U108	B	1825.000	1.67	3044.70	189.83	6.23%	\$37.60
204	60975U108	B	1750.000	1.70	2975.00	185.18	6.22%	\$35.18
205	88343A108	B	2500.000	1.50	3739.00	232.61	6.22%	\$45.66
206	98974P100	B	1250.000	1.98	2474.88	153.67	6.21%	\$3.67
207	096227103	B	1900.000	1.35	2565.00	159.25	6.21%	\$9.25
208	313400301	S	-1500.000	1.82	-2730.00	169.49	6.21%	\$19.49
209	370442105	S	-2000.000	1.62	-3241.60	201.14	6.20%	\$39.06
210	045346103	S	-3500.000	.74	-2591.20	160.61	6.20%	\$10.61
211	98950P108	B	3000.000	1.25	3750.00	231.98	6.19%	\$44.48
212	345370860	B	1600.000	1.78	2846.24	176.00	6.18%	\$26.00
213	767754104	B	5000.000	1.02	5100.00	315.00	6.18%	\$60.00
214	98974P100	B	1575.000	1.80	2835.00	174.61	6.16%	\$24.61
215	40963P105	B	4000.000	1.02	4066.96	249.50	6.13%	\$46.15
216	25383A200	B	4000.000	1.35	5399.83	330.40	6.12%	\$60.41
217	60975U108	S	-4000.000	1.10	-4400.24	268.77	6.11%	\$48.76
218	98974P100	B	1500.000	1.86	2789.98	170.27	6.10%	\$20.27
219	282914100	B	1325.000	1.97	2610.25	159.18	6.10%	\$9.18
220	60975U108	B	2000.000	1.66	3316.60	202.12	6.09%	\$36.29
221	60975U108	B	1750.000	1.75	3059.60	186.27	6.09%	\$33.29
222	60975U108	B	1500.000	1.87	2805.00	170.47	6.08%	\$20.47
223	98974P100	B	1600.000	1.82	2912.00	176.86	6.07%	\$26.86
224	60975U108	B	2000.000	1.67	3336.60	202.38	6.07%	\$35.55
225	054546106	S	-2000.000	1.68	-3365.00	202.75	6.03%	\$34.50
226	282914100	B	1400.000	1.96	2744.00	164.67	6.00%	\$14.67
227	172967101	B	3000.000	1.66	4976.40	298.35	6.00%	\$49.53
228	98974P100	B	1775.000	1.78	3152.50	188.73	5.99%	\$31.11
229	909214108	B	2000.000	1.70	3398.42	203.18	5.98%	\$33.26
230	60975U108	B	1725.000	1.80	3105.00	185.62	5.98%	\$30.37
231	918914102	S	-1800.000	1.77	-3186.00	190.42	5.98%	\$31.12
232	559738102	B	5000.000	.67	3349.11	200.00	5.97%	\$32.54
233	559738102	B	5000.000	.67	3349.50	200.00	5.97%	\$32.53
234	98974P100	B	1950.000	1.72	3354.00	200.10	5.97%	\$32.40
235	98974P100	B	1900.000	1.74	3306.00	196.98	5.96%	\$31.68
236	98974P100	B	1800.000	1.78	3203.82	190.65	5.95%	\$30.46
237	224069104	B	3000.000	1.50	4500.00	267.50	5.94%	\$42.50
238	40963P105	S	-5000.000	1.01	-5050.50	299.85	5.94%	\$47.33
239	125750109	B	1200.000	2.14	2568.00	152.38	5.93%	\$2.38
240	211653100	B	1400.000	1.99	2786.84	165.23	5.93%	\$15.23

Clearing Firm 2

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restitution
241	G67543101	S	-3600.000	1.17	-4213.06	249.70	5.93%	\$39.05
242	007924103	B	1000.000	3.14	3136.40	185.69	5.92%	\$28.87
243	282914100	B	1400.000	2.00	2800.00	165.40	5.91%	\$15.40
244	60975U108	B	1750.000	1.82	3182.10	187.87	5.90%	\$28.77
245	98974P100	B	2000.000	1.73	3459.80	203.98	5.90%	\$30.99
246	125750109	B	1600.000	1.90	3040.00	178.52	5.87%	\$26.52
247	00253U107	B	2000.000	1.42	2840.00	166.53	5.86%	\$16.53
248	98974P100	B	1500.000	1.96	2939.85	172.22	5.86%	\$22.22
249	918914102	S	-1500.000	1.96	-2940.00	172.22	5.86%	\$22.22
250	211653100	B	1500.000	1.96	2941.50	172.24	5.86%	\$22.24
251	125750109	B	1750.000	1.84	3220.00	188.36	5.85%	\$27.36
252	370442105	S	-2000.000	1.75	-3500.00	204.50	5.84%	\$29.50
253	211653100	S	-1400.000	2.03	-2840.60	165.93	5.84%	\$15.93
254	282914100	B	1400.000	2.03	2842.00	165.95	5.84%	\$15.95
255	25383A200	B	2500.000	1.62	4054.60	236.71	5.84%	\$33.98
256	60975U108	B	1500.000	1.97	2955.00	172.42	5.83%	\$22.42
257	453838104	S	-2000.000	1.75	-3507.16	204.59	5.83%	\$29.23
258	671065100	B	2300.000	1.67	3840.77	223.93	5.83%	\$31.89
259	60975U108	B	1800.000	1.83	3294.00	191.82	5.82%	\$27.12
260	282914100	B	1450.000	2.01	2914.50	169.39	5.81%	\$19.39
261	60975U108	B	2200.000	1.71	3762.00	217.91	5.79%	\$29.81
262	98974P100	B	1250.000	2.19	2737.50	157.09	5.74%	\$7.09
263	904753100	S	-2100.000	1.76	-3696.00	212.05	5.74%	\$27.25
264	370442105	S	-1600.000	1.96	-3136.00	179.77	5.73%	\$22.97
265	98974P100	B	2000.000	1.80	3600.00	205.80	5.72%	\$25.80
266	073677106	S	-2000.000	1.80	-3602.00	205.83	5.71%	\$25.73
267	876289109	S	-2700.000	1.28	-3448.00	197.00	5.71%	\$24.60
268	64115A204	B	1200.000	2.25	2699.88	154.10	5.71%	\$4.10
269	313400301	S	-2000.000	1.81	-3620.00	206.06	5.69%	\$25.06
270	98974P100	S	-2000.000	1.81	-3620.02	206.06	5.69%	\$25.06
271	206710204	B	2200.000	1.75	3850.00	219.05	5.69%	\$26.55
272	98974P100	B	1500.000	1.78	2669.85	151.84	5.69%	\$1.84
273	60975U108	B	1775.000	1.90	3372.50	191.59	5.68%	\$22.97
274	285106100	S	-1500.000	2.04	-3065.00	173.85	5.67%	\$20.60
275	918914102	B	1200.000	2.27	2724.00	154.41	5.67%	\$4.41
276	60975U108	B	1500.000	2.05	3075.00	173.98	5.66%	\$20.23
277	211653100	S	-1800.000	1.90	-3420.00	193.46	5.66%	\$22.46
278	554225102	B	2500.000	1.69	4225.00	238.93	5.66%	\$27.68
279	767754104	S	-1250.000	2.23	-2790.25	157.77	5.65%	\$7.77
280	98974P100	B	1200.000	2.28	2737.00	154.58	5.65%	\$4.58
281	98974P100	B	2000.000	1.83	3659.80	206.58	5.64%	\$23.59
282	72581M107	B	2000.000	1.60	3198.40	180.52	5.64%	\$20.60
283	803893106	B	1750.000	1.93	3377.50	190.41	5.64%	\$21.54
284	131745101	B	1500.000	2.06	3090.00	174.17	5.64%	\$19.67
285	125750109	B	1600.000	2.01	3216.00	180.81	5.62%	\$20.01
286	125750109	B	1200.000	2.30	2760.00	154.88	5.61%	\$4.88
287	60975U108	B	2250.000	1.77	3982.50	223.27	5.61%	\$24.15
288	60975U108	B	1900.000	1.89	3591.00	200.68	5.59%	\$21.13
289	174420109	B	2000.000	1.86	3716.00	207.31	5.58%	\$21.51
290	98974P100	B	1425.000	2.14	3044.50	169.83	5.58%	\$17.61
291	30033R108	S	-1500.000	2.09	-3135.00	174.76	5.57%	\$18.01
292	98974P100	B	1800.000	1.94	3492.00	194.40	5.57%	\$19.80
293	98974P100	B	1350.000	2.20	2969.87	165.11	5.56%	\$15.11
294	60852T109	B	5000.000	.63	3150.00	175.00	5.56%	\$17.50
295	282914100	B	1500.000	2.10	3150.00	174.95	5.55%	\$17.45
296	60975U108	B	2000.000	1.87	3740.00	207.62	5.55%	\$20.62
297	211653100	S	-2000.000	1.87	-3740.00	207.62	5.55%	\$20.62
298	60975U108	B	1300.000	2.25	2925.00	162.03	5.54%	\$12.03
299	125750109	B	1450.000	2.14	3103.00	171.84	5.54%	\$16.69
300	345370860	B	2000.000	1.88	3760.00	207.88	5.53%	\$19.88

Clearing Firm 2

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commission %	Restitution
301	98974P100	B	1500.000	2.12	3179.85	175.34	5.51%	\$16.35
302	82967N108	B	4430.000	1.13	5005.90	275.99	5.51%	\$25.70
303	34629U103	S	-2500.000	1.22	-3050.00	167.74	5.50%	\$15.24
304	64115A204	B	1400.000	2.20	3077.94	169.01	5.49%	\$15.11
305	922390208	B	5000.000	.82	4100.00	225.00	5.49%	\$20.00
306	98974P100	B	2100.000	1.87	3927.00	215.05	5.48%	\$18.70
307	282914100	B	1700.000	2.03	3451.00	188.86	5.47%	\$16.31
308	00202J203	B	5000.000	.95	4741.06	259.44	5.47%	\$22.39
309	00202J203	B	5000.000	.95	4749.70	259.53	5.46%	\$22.05
310	82966U103	S	-2000.000	1.91	-3820.00	208.66	5.46%	\$17.66
311	125750109	B	1500.000	2.15	3225.00	175.93	5.46%	\$14.68
312	125750109	B	1500.000	2.15	3225.00	175.93	5.46%	\$14.68
313	125750109	B	1500.000	2.15	3224.97	175.92	5.45%	\$14.67
314	630075109	B	2000.000	1.92	3834.00	208.84	5.45%	\$17.14
315	186904108	S	-12000.000	.38	-4530.00	246.00	5.43%	\$19.50
316	453838104	B	1500.000	2.16	3244.20	176.17	5.43%	\$13.96
317	282914100	B	1800.000	2.01	3618.00	196.03	5.42%	\$15.13
318	671065100	B	1800.000	2.02	3636.00	196.27	5.40%	\$14.47
319	16117M107	B	1350.000	2.30	3105.00	166.87	5.37%	\$11.62
320	09255T109	S	-1445.000	2.23	-3225.35	173.18	5.37%	\$11.91
321	125750109	B	1250.000	2.39	2987.50	160.34	5.37%	\$10.34
322	30033R108	B	2000.000	1.95	3900.00	209.00	5.36%	\$14.00
323	85815M107	B	10000.000	.82	8200.00	438.88	5.35%	\$28.88
324	345370860	B	3000.000	1.56	4676.40	250.00	5.35%	\$16.18
325	125750109	B	1500.000	2.21	3315.00	177.10	5.34%	\$11.35
326	16117M107	B	1400.000	2.28	3192.00	170.50	5.34%	\$10.90
327	72581M107	B	1350.000	2.32	3132.00	167.22	5.34%	\$10.62
328	60975U108	B	1400.000	2.29	3206.00	170.68	5.32%	\$10.38
329	635405103	B	1500.000	2.23	3342.30	177.45	5.31%	\$10.34
330	16117M107	B	1100.000	2.59	2849.00	151.04	5.30%	\$1.04
331	60975U108	B	1400.000	2.31	3228.00	170.96	5.30%	\$9.56
332	918914102	B	1350.000	2.35	3172.50	167.74	5.29%	\$9.12
333	85815M107	B	2282.000	1.32	3012.24	159.20	5.29%	\$8.59
334	282914100	B	2050.000	1.98	4059.00	214.27	5.28%	\$11.32
335	671065100	B	3000.000	1.76	5280.00	278.48	5.27%	\$14.48
336	345370860	S	-3000.000	1.76	-5280.00	278.48	5.27%	\$14.48
337	98974P100	B	1770.000	2.10	3717.00	195.82	5.27%	\$9.97
338	453838104	S	-3000.000	1.77	-5295.25	278.72	5.26%	\$13.96
339	174420109	B	2500.000	1.86	4645.00	244.39	5.26%	\$12.14
340	60975U108	B	2500.000	1.86	4650.00	244.45	5.26%	\$11.95
341	72581M107	B	1300.000	2.42	3144.00	164.87	5.24%	\$7.67
342	60975U108	B	1750.000	1.72	3010.00	157.79	5.24%	\$7.29
343	16117M107	B	1325.000	2.40	3180.00	166.59	5.24%	\$7.59
344	125750109	B	1300.000	2.43	3159.00	165.07	5.23%	\$7.12
345	98974P100	B	1700.000	1.88	3196.00	167.00	5.23%	\$7.20
346	125750109	B	1700.000	1.88	3196.00	167.00	5.23%	\$7.20
347	82967N108	S	-3200.000	1.76	-5635.52	294.17	5.22%	\$12.39
348	656568102	B	1500.000	2.28	3420.00	178.46	5.22%	\$7.46
349	268157104	S	-2793.000	1.06	-2960.58	154.14	5.21%	\$4.14
350	125750109	B	1600.000	2.23	3568.00	185.38	5.20%	\$6.98
351	131745101	B	3000.000	1.80	5399.79	280.40	5.19%	\$10.41
352	767754104	S	-3000.000	1.56	-4683.90	242.90	5.19%	\$8.71
353	16117M107	B	1700.000	2.18	3706.00	192.18	5.19%	\$6.88
354	451724108	S	-30000.000	.57	-17149.00	888.68	5.18%	\$31.23
355	98974P100	B	1350.000	2.43	3280.37	169.14	5.16%	\$5.12
356	69344M101	S	-2000.000	2.06	-4122.00	212.00	5.14%	\$5.90
357	60975U108	B	2500.000	1.92	4798.00	246.37	5.13%	\$6.47
358	125750109	S	-1500.000	2.33	-3495.00	179.44	5.13%	\$4.69
359	211653100	S	-2800.000	1.86	-5208.00	267.33	5.13%	\$6.93
360	125750109	B	1400.000	2.41	3374.00	172.86	5.12%	\$4.16

Clearing Firm 2

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commission %	Restitution
361	918914102	B	1500.000	2.34	3508.50	179.61	5.12%	\$4.19
362	98974P100	B	3000.000	1.84	5520.00	282.32	5.11%	\$6.32
363	16117M107	B	1100.000	2.72	2992.00	152.90	5.11%	\$2.90
364	16117M107	B	1300.000	2.52	3274.70	166.57	5.09%	\$2.83
365	98974P100	B	2000.000	2.10	4200.00	213.60	5.09%	\$3.60
366	98974P100	B	2000.000	2.10	4200.00	213.60	5.09%	\$3.60
367	60975U108	B	1500.000	2.36	3540.00	180.02	5.09%	\$3.02
368	548910108	S	-2000.000	1.70	-3400.00	172.72	5.08%	\$2.72
369	60975U108	B	1800.000	2.19	3942.00	200.25	5.08%	\$3.15
370	85815M107	B	7500.000	.90	6748.09	342.53	5.08%	\$5.13
371	207142100	S	-2700.000	1.66	-4482.00	227.04	5.07%	\$2.94
372	98420A103	S	-1500.000	2.37	-3560.40	180.29	5.06%	\$2.27
373	630075109	B	2500.000	1.96	4900.00	247.70	5.06%	\$2.70
374	16117M107	B	1150.000	2.70	3103.85	156.85	5.05%	\$1.66
375	211653100	B	2000.000	2.12	4239.00	214.11	5.05%	\$2.16
376	131745101	B	3500.000	1.82	6369.95	320.92	5.04%	\$2.42
377	371485103	B	5000.000	1.15	5750.00	289.50	5.03%	\$2.00
378	16117M107	B	1350.000	2.51	3388.50	170.55	5.03%	\$1.13

Total \$20,019.83

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restitution
1	649445103	Buy	200.0000	12.4899	1248.99	499.6000	40.00%	\$349.60
2	74347E106	Sell	-3500.0000	0.1300	-455	165.0000	36.26%	\$15.00
3	285835104	Sell	-18000.0000	0.0600	-1080	250.0000	23.15%	\$100.00
4	745867101	Buy	200.0000	9.2984	1859.68	375.0000	20.16%	\$225.00
5	163072101	Sell	-100.0000	28.1101	-2811.01	562.2000	20.00%	\$412.20
6	58404X107	Buy	20000.0000	0.0750	1500	200.0000	13.33%	\$50.00
7	887133106	Buy	1325.0000	1.0199	1351.37	179.8800	13.31%	\$29.88
8	841338106	Buy	10000.0000	0.1500	1500	195.0000	13.00%	\$45.00
9	887133106	Buy	1250.0000	1.1160	1395	174.4500	12.51%	\$24.45
10	23283X107	Buy	20000.0000	0.0800	1600	200.0000	12.50%	\$50.00
11	887133106	Buy	1500.0000	1.0299	1544.85	191.2600	12.38%	\$41.26
12	98950P108	Sell	-1600.0000	1.0300	-1648	200.9200	12.19%	\$50.92
13	98950P108	Sell	-1600.0000	1.0300	-1648	200.9200	12.19%	\$50.92
14	887133106	Buy	1500.0000	1.0900	1635	193.2500	11.82%	\$43.25
15	887133106	Buy	2000.0000	1.0099	2019.8	238.5500	11.81%	\$88.55
16	887133106	Buy	1500.0000	1.1199	1679.85	194.2400	11.56%	\$44.24
17	82967N108	Sell	-2000.0000	1.0500	-2100	240.0900	11.43%	\$90.09
18	887133106	Sell	-2500.0000	1.0001	-2500.25	284.6800	11.39%	\$134.68
19	887133106	Buy	2000.0000	1.0699	2139.8	240.8600	11.26%	\$90.86
20	887133106	Buy	1500.0000	1.1699	1754.85	195.8900	11.16%	\$45.89
21	23283X107	Buy	20000.0000	0.0900	1800	200.0000	11.11%	\$50.00
22	887133106	Buy	1500.0000	1.2000	1800	196.8900	10.94%	\$46.89
23	902549500	Sell	-2500.0000	1.0570	-2642.5	287.4300	10.88%	\$137.43
24	780911103	Sell	-1500.0000	1.2110	-1816.5	197.2500	10.86%	\$47.25
25	749227104	Sell	-1000.0000	1.4000	-1400	151.2000	10.80%	\$1.20
26	50015Q100	Sell	-1900.0000	1.1404	-2166.76	234.0100	10.80%	\$84.01
27	753009307	Sell	-1000.0000	1.5300	-1530	165.0000	10.78%	\$15.00
28	23283X107	Buy	20000.0000	0.0930	1860	200.0000	10.75%	\$50.00
29	887133106	Buy	1700.0000	1.1899	2022.83	216.4800	10.70%	\$66.48
30	047439104	Sell	-1000.0000	1.4201	-1420.1	151.6400	10.68%	\$1.64
31	125750109	Sell	-1000.0000	1.4300	-1430	151.8600	10.62%	\$1.86
32	457669208	Sell	-3500.0000	1.0200	-3570	377.4900	10.57%	\$198.99
33	887133106	Buy	1900.0000	1.1799	2241.81	235.4600	10.50%	\$85.46
34	596087106	Sell	-3000.0000	1.0633	-3189.9	334.3400	10.48%	\$174.85
35	887133106	Buy	2000.0000	1.0300	2060	215.3900	10.46%	\$65.39
36	85857R105	Buy	1050.0000	1.5286	1605.03	164.7800	10.27%	\$14.78
37	313586752	Buy	10000.0000	0.9800	9800	1001.1200	10.22%	\$336.12
38	666416102	Sell	-2250.0000	1.2004	-2700.9	274.9600	10.18%	\$124.96
39	313400301	Buy	2000.0000	1.2100	2420	246.2700	10.18%	\$96.27
40	780911103	Sell	-2000.0000	1.2100	-2420	246.2700	10.18%	\$96.27
41	290846104	Sell	-1500.0000	1.3300	-1995	201.1900	10.08%	\$51.19
42	67575P108	Sell	-1500.0000	1.3301	-1995.15	201.1900	10.08%	\$51.19
43	887133106	Buy	1500.0000	1.1649	1747.35	176.1600	10.08%	\$26.16
44	58606R403	Buy	2000.0000	1.2300	2460	247.0400	10.04%	\$97.04
45	30063P105	Sell	-4450.0000	0.4000	-1780	178.0000	10.00%	\$28.00
46	313586109	Sell	-2000.0000	1.2400	-2480	247.4200	9.98%	\$97.42
47	85857R105	Buy	950.0000	1.6500	1567.5	156.1200	9.96%	\$6.12
48	744285107	Sell	-1900.0000	0.8100	-1539	151.8300	9.87%	\$1.83
49	887133106	Buy	1500.0000	1.3699	2054.85	202.3500	9.85%	\$52.35
50	69913R309	Sell	-4000.0000	1.0856	-4342.4	427.1300	9.84%	\$210.01
51	684023104	Buy	20000.0000	0.0916	1832	180.0000	9.83%	\$30.00
52	887133106	Buy	1500.0000	1.3799	2069.85	202.6400	9.79%	\$52.64
53	313400301	Buy	2000.0000	0.8099	1619.8	158.0700	9.76%	\$8.07
54	13722D101	Sell	-3000.0000	0.5400	-1620	158.0800	9.76%	\$8.08
55	684023104	Buy	31600.0000	0.0923	2916.68	284.4000	9.75%	\$134.40
56	313586109	Sell	-2000.0000	0.8179	-1635.8	159.3000	9.74%	\$9.30
57	22942F101	Sell	-1000.0000	1.6000	-1600	155.6100	9.73%	\$5.61
58	014485106	Sell	-2000.0000	0.8402	-1680.4	162.7400	9.68%	\$12.74
59	014485106	Sell	-2000.0000	0.8402	-1680.4	162.7400	9.68%	\$12.74
60	780911103	Buy	2000.0000	1.2900	2580	249.3500	9.66%	\$99.35

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commission %	Restitution
61	82967N108	Buy	2500.0000	0.6820	1705	164.6400	9.66%	\$14.64
62	82967N108	Sell	-5000.0000	1.0702	-5351	515.8400	9.64%	\$248.29
63	43741N103	Buy	250000.0000	0.0070	1750	168.1100	9.61%	\$18.11
64	82967N108	Buy	10000.0000	1.0000	10000	953.0600	9.53%	\$453.06
65	82967N108	Buy	10000.0000	1.0000	10000	953.0600	9.53%	\$453.06
66	82967N108	Sell	-3500.0000	1.1600	-4060	385.6000	9.50%	\$182.60
67	131347106	Buy	5000.0000	0.3750	1875	177.7600	9.48%	\$27.76
68	887133106	Buy	2000.0000	1.1599	2319.8	219.9000	9.48%	\$69.90
69	16946H105	Buy	9900.0000	1.0075	9974.25	945.3100	9.48%	\$446.60
70	98950P108	Sell	-3800.0000	0.4945	-1879.1	178.0800	9.48%	\$28.08
71	313400301	Buy	2000.0000	1.3250	2650	250.7000	9.46%	\$100.70
72	82967N108	Sell	-10000.0000	1.0100	-10100	954.5300	9.45%	\$449.53
73	172967101	Buy	1300.0000	1.5200	1976	186.0300	9.41%	\$36.03
74	82967N108	Buy	5000.0000	0.3900	1950	183.5500	9.41%	\$33.55
75	42013J105	Buy	15000.0000	0.1300	1950	183.5500	9.41%	\$33.55
76	42013J105	Buy	15000.0000	0.1300	1950	183.5500	9.41%	\$33.55
77	42013J105	Buy	15000.0000	0.1300	1950	183.5500	9.41%	\$33.55
78	82967N108	Buy	2000.0000	0.9761	1952.2	183.7200	9.41%	\$33.72
79	285716106	Buy	1000.0000	1.6700	1670	157.1500	9.41%	\$7.15
80	82967N108	Buy	6000.0000	0.3311	1986.6	186.3700	9.38%	\$36.37
81	43741N103	Buy	285000.0000	0.0070	1995	187.0200	9.37%	\$37.02
82	125581108	Buy	10000.0000	1.0200	10200	956.0100	9.37%	\$446.01
83	887133106	Sell	-4500.0000	1.0001	-4500.45	419.9500	9.33%	\$194.93
84	82967N108	Sell	-1000.0000	1.6900	-1690	157.5900	9.32%	\$7.59
85	85857R105	Buy	2000.0000	1.3499	2699.8	251.6600	9.32%	\$101.66
86	03761E102	Buy	4000.0000	0.5200	2080	193.5800	9.31%	\$43.58
87	683707103	Sell	-5000.0000	0.4200	-2100	195.1200	9.29%	\$45.12
88	157085101	Sell	-3000.0000	1.2300	-3690	342.6100	9.28%	\$158.11
89	887133106	Buy	2000.0000	1.1899	2379.8	220.9400	9.28%	\$70.94
90	422704106	Buy	1000.0000	1.7100	1710	158.0300	9.24%	\$8.03
91	313586109	Buy	10000.0000	1.0400	10400	958.9600	9.22%	\$438.96
92	282253103	Buy	11000.0000	0.2000	2200	202.8400	9.22%	\$52.84
93	313586109	Buy	1818.0000	1.1000	1999.8	184.3800	9.22%	\$34.38
94	42013J105	Buy	15000.0000	0.1500	2250	206.7000	9.19%	\$56.70
95	42013J105	Buy	15000.0000	0.1500	2250	206.7000	9.19%	\$56.70
96	42013J105	Buy	15000.0000	0.1500	2250	206.7000	9.19%	\$56.70
97	42013J105	Buy	15000.0000	0.1500	2250	206.7000	9.19%	\$56.70
98	74621T100	Buy	10000.0000	1.0500	10500	960.4300	9.15%	\$435.43
99	780911103	Sell	-3500.0000	1.2143	-4250.05	388.7400	9.15%	\$176.24
100	40330W106	Sell	-2500.0000	0.9301	-2325.25	212.5000	9.14%	\$62.50
101	192108108	Buy	3000.0000	0.7976	2392.8	217.7200	9.10%	\$67.72
102	58404X107	Buy	20000.0000	0.1100	2200	200.0000	9.09%	\$50.00
103	69913R309	Sell	-3900.0000	1.2037	-4694.43	425.5800	9.07%	\$190.86
104	749227104	Sell	-2000.0000	1.4000	-2800	253.6000	9.06%	\$103.60
105	666416102	Buy	2000.0000	1.4000	2800	253.6000	9.06%	\$103.60
106	85857R105	Sell	-2000.0000	1.4001	-2800.2	253.6000	9.06%	\$103.60
107	141614107	Buy	4000.0000	0.6200	2480	224.4500	9.05%	\$74.45
108	82967N108	Buy	10000.0000	1.0700	10700	963.3800	9.00%	\$428.38
109	82967N108	Sell	-5000.0000	0.5227	-2613.5	234.7500	8.98%	\$84.75
110	15117N107	Buy	10000.0000	0.2800	2800	250.0000	8.93%	\$100.00
111	780911103	Buy	1500.0000	1.5500	2325	207.5600	8.93%	\$57.56
112	918076100	Sell	-1000.0000	1.7920	-1792	159.8400	8.92%	\$9.84
113	82967N108	Buy	8000.0000	0.3459	2767.2	246.6100	8.91%	\$96.61
114	192108108	Buy	3500.0000	0.7939	2778.65	247.4900	8.91%	\$97.49
115	139893101	Buy	1000.0000	1.8000	1800	160.0200	8.89%	\$10.02
116	422704106	Buy	1000.0000	1.8099	1809.9	160.2300	8.85%	\$10.23
117	404160103	Buy	6000.0000	0.5000	3000	264.5700	8.82%	\$114.57
118	82967N108	Sell	-5000.0000	1.1900	-5950	524.6700	8.82%	\$227.17
119	82967N108	Buy	8500.0000	0.3545	3013.25	265.6000	8.81%	\$114.94
120	82967N108	Buy	8500.0000	0.3546	3014.1	265.6600	8.81%	\$114.96

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restitution
121	106902307	Sell	-1250.0000	1.7395	-2174.38	191.6000	8.81%	\$41.60
122	192108108	Buy	2000.0000	1.4500	2900	255.5300	8.81%	\$105.53
123	151313103	Sell	-950.0000	1.9401	-1843.1	162.3600	8.81%	\$12.36
124	82967N108	Buy	10000.0000	1.1000	11000	967.8000	8.80%	\$417.80
125	82967N108	Sell	-10000.0000	1.1000	-11000	967.8000	8.80%	\$417.80
126	345370860	Buy	1000.0000	1.8285	1828.5	160.6400	8.79%	\$10.64
127	45972C151	Sell	-35400.0000	0.0900	-3186	278.9300	8.75%	\$119.63
128	887133106	Buy	2200.0000	1.2500	2750	240.6400	8.75%	\$90.64
129	036642106	Buy	3300.0000	0.9700	3201	280.0800	8.75%	\$120.03
130	154760102	Sell	-1750.0000	1.5623	-2734.03	239.0800	8.74%	\$89.08
131	82967N108	Sell	-10000.0000	1.0100	-10100	882.0800	8.73%	\$377.08
132	82967N108	Buy	5000.0000	0.6581	3290.5	286.9900	8.72%	\$122.47
133	235811106	Buy	1000.0000	1.8500	1850	161.1200	8.71%	\$11.12
134	229393301	Sell	-1000.0000	1.8500	-1850	161.1200	8.71%	\$11.12
135	014485106	Sell	-4000.0000	0.8417	-3366.8	292.8800	8.70%	\$124.54
136	20010A103	Buy	2300.0000	1.2500	2875	250.0000	8.70%	\$100.00
137	780911103	Buy	1300.0000	1.6423	2134.99	185.6100	8.69%	\$35.61
138	42013J105	Buy	35000.0000	0.0975	3412.5	296.4100	8.69%	\$125.79
139	82967N108	Buy	10000.0000	0.3446	3446	298.9900	8.68%	\$126.69
140	82967N108	Buy	10000.0000	0.3456	3456	299.7600	8.67%	\$126.96
141	82967N108	Buy	10000.0000	0.3459	3459	299.9900	8.67%	\$127.04
142	82967N108	Buy	10000.0000	0.3470	3470	300.8400	8.67%	\$127.34
143	125581108	Buy	10000.0000	1.1200	11200	970.7500	8.67%	\$410.75
144	313400301	Sell	-4000.0000	0.8736	-3494.4	302.7300	8.66%	\$128.01
145	82967N108	Buy	10000.0000	0.3590	3590	310.1000	8.64%	\$130.60
146	248231102	Buy	100000.0000	0.0360	3600	310.8700	8.64%	\$130.87
147	45972C102	Sell	-25000.0000	0.1444	-3610	311.6500	8.63%	\$131.15
148	82967N108	Sell	-7500.0000	1.1600	-8700	749.5600	8.62%	\$314.56
149	422704106	Buy	1000.0000	1.8785	1878.5	161.7500	8.61%	\$11.75
150	779528108	Buy	1000.0000	1.8800	1880	161.7800	8.61%	\$11.78
151	887133106	Sell	-2000.0000	0.9018	-1803.6	155.0300	8.60%	\$5.03
152	282253103	Buy	19000.0000	0.2000	3800	326.3100	8.59%	\$136.31
153	82967N108	Buy	10000.0000	0.3808	3808	326.9300	8.59%	\$136.53
154	457660108	Sell	-2200.0000	1.4601	-3212.22	275.7200	8.58%	\$115.11
155	02152E101	Buy	5000.0000	0.6350	3175	272.5200	8.58%	\$113.77
156	767754104	Sell	-12000.0000	0.3500	-4200	360.0000	8.57%	\$150.00
157	82967N108	Buy	10000.0000	0.3905	3905	334.4100	8.56%	\$139.16
158	746218106	Sell	-1000.0000	1.9000	-1900	162.2200	8.54%	\$12.22
159	82967N108	Buy	10000.0000	0.4151	4151	353.3900	8.51%	\$145.84
160	422704106	Buy	1000.0000	1.9085	1908.5	162.4100	8.51%	\$12.41
161	82967N108	Buy	10000.0000	0.4182	4182	355.7900	8.51%	\$146.69
162	82967N108	Sell	-10000.0000	0.4300	-4300	364.8900	8.49%	\$149.89
163	25383A200	Buy	5000.0000	0.8900	4450	376.4700	8.46%	\$153.97
164	684023104	Buy	20000.0000	0.2400	4800	406.0000	8.46%	\$166.00
165	82967N108	Sell	-5000.0000	0.9187	-4593.5	387.5400	8.44%	\$157.87
166	090621103	Sell	-10000.0000	0.4600	-4600	388.0400	8.44%	\$158.04
167	82967N108	Sell	-10000.0000	0.4612	-4612	388.9700	8.43%	\$158.37
168	82967N108	Buy	10000.0000	0.4642	4642	391.2800	8.43%	\$159.18
169	43741N103	Sell	-155000.0000	0.0300	-4650	391.9000	8.43%	\$159.40
170	82967N108	Buy	10000.0000	0.4653	4653	392.1300	8.43%	\$159.48
171	684023104	Buy	4700.0000	0.4300	2021	170.0000	8.41%	\$20.00
172	69371Y101	Sell	-5000.0000	0.9537	-4768.5	401.0500	8.41%	\$162.63
173	69371Y101	Sell	-6000.0000	0.7953	-4771.8	401.3000	8.41%	\$162.71
174	313400301	Buy	1538.0000	1.3000	1999.4	168.1000	8.41%	\$18.10
175	82967N108	Buy	10000.0000	0.4790	4790	402.7000	8.41%	\$163.20
176	233384106	Buy	4000.0000	1.3100	5240	440.4700	8.41%	\$178.47
177	192108108	Buy	2500.0000	1.4500	3625	304.6600	8.40%	\$123.41
178	82967N108	Buy	10000.0000	0.4950	4950	415.0500	8.38%	\$167.55
179	82967N108	Buy	10000.0000	0.4962	4962	415.9800	8.38%	\$167.88
180	139893101	Buy	1000.0000	1.9500	1950	163.3200	8.38%	\$13.32

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restitution
181	282253103	Buy	3000.0000	0.7000	2100	175.6100	8.36%	\$25.61
182	40330W106	Buy	10000.0000	1.1700	11700	978.1200	8.36%	\$393.12
183	40330W106	Buy	10000.0000	1.1700	11700	978.1200	8.36%	\$393.12
184	82967N108	Sell	-10000.0000	1.1700	-11700	978.1200	8.36%	\$393.12
185	313400301	Sell	-4000.0000	1.3200	-5280	441.0600	8.35%	\$177.06
186	40330W106	Buy	10000.0000	1.1768	11768	979.1200	8.32%	\$390.72
187	82967N108	Sell	-5000.0000	1.2800	-6400	531.3100	8.30%	\$211.31
188	82967N108	Sell	-10000.0000	1.1800	-11800	979.6000	8.30%	\$389.60
189	82967N108	Buy	10000.0000	0.5000	5000	414.9600	8.30%	\$164.96
190	82967N108	Sell	-10000.0000	1.0100	-10100	834.5500	8.26%	\$329.55
191	82967N108	Sell	-10000.0000	0.5121	-5121	422.8900	8.26%	\$166.84
192	453838104	Buy	3021.0000	1.4500	4380.45	361.5900	8.25%	\$142.57
193	82967N108	Sell	-10000.0000	0.5151	-5151	424.8500	8.25%	\$167.30
194	82967N108	Sell	-10000.0000	1.1900	-11900	981.0700	8.24%	\$386.07
195	98950P108	Sell	-4200.0000	1.3300	-5586	460.3200	8.24%	\$181.02
196	892000100	Buy	2000.0000	1.5799	3159.8	260.1000	8.23%	\$102.11
197	82967N108	Sell	-10000.0000	0.5227	-5227	429.8300	8.22%	\$168.48
198	666416102	Buy	1000.0000	1.9996	1999.6	164.4200	8.22%	\$14.42
199	82967N108	Sell	-10000.0000	0.5232	-5232	430.1600	8.22%	\$168.56
200	82966U103	Sell	-4140.0000	1.3600	-5630.4	462.1800	8.21%	\$180.66
201	82967N108	Buy	10000.0000	0.5310	5310	435.2700	8.20%	\$169.77
202	82967N108	Buy	10000.0000	0.5319	5319	435.8600	8.19%	\$169.91
203	82967N108	Buy	10000.0000	0.5319	5319	435.8600	8.19%	\$169.91
204	82967N108	Buy	10000.0000	0.5326	5326	436.3200	8.19%	\$170.02
205	656568102	Sell	-1000.0000	2.0100	-2010	164.6200	8.19%	\$14.62
206	44924Y106	Buy	2000.0000	1.1100	2220	181.8100	8.19%	\$31.81
207	453838104	Buy	2979.0000	1.4500	4319.55	353.5200	8.18%	\$137.54
208	82967N108	Sell	-2500.0000	1.5000	-3750	306.7300	8.18%	\$119.23
209	456607100	Buy	6500.0000	1.2600	8190	668.3000	8.16%	\$258.80
210	422704106	Buy	1000.0000	2.0199	2019.9	164.8100	8.16%	\$14.81
211	82967N108	Sell	-10000.0000	0.5522	-5522	449.1600	8.13%	\$173.06
212	30063P105	Sell	-14995.0000	0.3700	-5548.15	449.8500	8.11%	\$172.44
213	282253103	Sell	-3000.0000	0.8800	-2640	213.1100	8.07%	\$63.11
214	82967N108	Buy	10000.0000	1.1000	11000	886.3400	8.06%	\$336.34
215	03761U106	Sell	-1000.0000	2.0601	-2060.1	165.5800	8.04%	\$15.58
216	82967N108	Sell	-2500.0000	1.5400	-3850	308.3800	8.01%	\$115.88
217	69924P102	Buy	5000.0000	1.3400	6700	535.7300	8.00%	\$200.73
218	82967N108	Buy	10000.0000	0.6051	6051	483.8200	8.00%	\$181.27
219	82967N108	Sell	-10000.0000	0.6230	-6230	495.5500	7.95%	\$184.05
220	780911103	Buy	2000.0000	1.6499	3299.8	262.4200	7.95%	\$97.43
221	34637L103	Sell	-20000.0000	0.3120	-6240	496.2000	7.95%	\$184.20
222	684023104	Buy	20000.0000	0.3125	6250	496.8600	7.95%	\$184.36
223	131347114	Buy	2000.0000	1.2500	2500	198.2500	7.93%	\$48.25
224	313400301	Buy	1500.0000	1.8177	2726.55	215.3100	7.90%	\$65.31
225	82967N108	Sell	-10000.0000	0.6500	-6500	513.2400	7.90%	\$188.24
226	248231102	Buy	20000.0000	0.0327	6540	515.8600	7.89%	\$188.86
227	47231X109	Buy	50000.0000	0.1311	6555	516.8400	7.88%	\$189.09
228	82967N108	Buy	10000.0000	0.6584	6584	518.7400	7.88%	\$189.54
229	40330W106	Sell	-10000.0000	1.2600	-12600	991.3900	7.87%	\$361.39
230	82967N108	Buy	10000.0000	0.6805	6805	533.2200	7.84%	\$192.97
231	422704106	Buy	1500.0000	1.8399	2759.85	215.9500	7.82%	\$65.95
232	82967N108	Sell	-10000.0000	0.6869	-6869	537.4200	7.82%	\$193.97
233	82967N108	Buy	10000.0000	0.6870	6870	537.4800	7.82%	\$193.98
234	00436A200	Buy	10000.0000	0.3200	3200	250.0000	7.81%	\$90.00
235	29246J101	Buy	10000.0000	0.4484	4484	350.0000	7.81%	\$125.80
236	090621103	Sell	-20000.0000	0.3500	-7000	546.0000	7.80%	\$196.00
237	82967N108	Sell	-10000.0000	0.7030	-7030	547.9700	7.79%	\$196.47
238	34637L103	Buy	20000.0000	0.3580	7160	556.4800	7.77%	\$198.48
239	192108108	Sell	-3000.0000	0.7100	-2130	165.0000	7.75%	\$15.00
240	229393301	Sell	-1500.0000	1.8660	-2799	216.7100	7.74%	\$66.71

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restitution
241	82967N108	Sell	-10000.0000	0.7355	-7355	569.2600	7.74%	\$201.51
242	887133106	Sell	-2000.0000	1.5000	-3000	231.7100	7.72%	\$81.71
243	42013J105	Buy	15000.0000	0.1500	2250	173.6300	7.72%	\$23.63
244	42013J105	Buy	15000.0000	0.1500	2250	173.6300	7.72%	\$23.63
245	42013J105	Buy	15000.0000	0.1500	2250	173.6300	7.72%	\$23.63
246	42013J105	Buy	13500.0000	0.1470	1984.5	153.1400	7.72%	\$3.14
247	82967N108	Sell	-10000.0000	0.7500	-7500	578.7600	7.72%	\$203.76
248	82967N108	Sell	-10000.0000	0.7500	-7500	578.7600	7.72%	\$203.76
249	82967N108	Sell	-10000.0000	0.7500	-7500	578.7600	7.72%	\$203.76
250	82967N108	Sell	-10000.0000	0.7500	-7500	578.7600	7.72%	\$203.76
251	82967N108	Sell	-10000.0000	0.7500	-7500	578.7600	7.72%	\$203.76
252	453838104	Sell	-2003.0000	1.7639	-3533.09	272.0900	7.70%	\$95.44
253	927926303	Buy	1500.0000	1.8799	2819.85	217.1100	7.70%	\$67.11
254	746218106	Sell	-1000.0000	2.1900	-2190	168.0900	7.68%	\$18.09
255	40330W106	Buy	10000.0000	0.7785	7785	597.4300	7.67%	\$208.18
256	453838104	Buy	10000.0000	1.3000	13000	997.2900	7.67%	\$347.29
257	927926303	Buy	1500.0000	1.8899	2834.85	217.4000	7.67%	\$67.40
258	00202J203	Buy	10000.0000	0.5099	5099	390.0000	7.65%	\$135.05
259	666416102	Sell	-1900.0000	1.7606	-3345.14	255.8000	7.65%	\$88.54
260	09058V103	Sell	-2300.0000	1.6685	-3837.55	293.4300	7.65%	\$101.55
261	40330W106	Buy	10000.0000	0.7992	7992	611.0000	7.65%	\$211.40
262	106902307	Sell	-2000.0000	1.7395	-3479	265.3800	7.63%	\$91.43
263	422704106	Buy	1000.0000	2.2200	2220	168.6700	7.60%	\$18.67
264	206710204	Buy	2000.0000	1.7500	3500	265.7300	7.59%	\$90.73
265	780911103	Buy	2500.0000	1.6499	4124.75	312.9300	7.59%	\$106.69
266	M0861T100	Sell	-900.0000	2.2756	-2048.04	154.9700	7.57%	\$4.97
267	74837P108	Buy	3000.0000	1.5881	4764.3	360.3700	7.56%	\$122.16
268	666416102	Buy	2000.0000	1.7596	3519.2	266.0400	7.56%	\$90.08
269	313586109	Buy	5000.0000	1.3200	6600	498.0000	7.55%	\$168.00
270	40330W106	Buy	10000.0000	1.1800	11800	890.2800	7.54%	\$300.28
271	82967N108	Sell	-10000.0000	1.1800	-11800	890.1100	7.54%	\$300.11
272	192108108	Buy	3000.0000	0.7300	2190	165.0000	7.53%	\$15.00
273	125581108	Sell	-10000.0000	1.3300	-13300	1001.7100	7.53%	\$336.71
274	422704106	Buy	975.0000	2.2200	2164.5	162.5100	7.51%	\$12.51
275	82967N108	Sell	-10000.0000	1.1900	-11900	890.5900	7.48%	\$295.59
276	82967N108	Sell	-10000.0000	0.9596	-9596	716.0900	7.46%	\$236.29
277	40330W106	Buy	10000.0000	0.9679	9679	721.5300	7.45%	\$237.58
278	42013J105	Buy	100000.0000	0.0968	9680	721.5900	7.45%	\$237.59
279	82967N108	Sell	-10000.0000	0.9740	-9740	725.5200	7.45%	\$238.52
280	82967N108	Buy	10000.0000	0.9791	9791	728.8700	7.44%	\$239.32
281	82967N108	Sell	-10000.0000	0.9809	-9809	730.0500	7.44%	\$239.60
282	422704106	Buy	1500.0000	1.9700	2955	219.7200	7.44%	\$69.72
283	16946H105	Buy	12000.0000	1.3327	15992.4	1188.8700	7.43%	\$389.25
284	422704106	Buy	1000.0000	2.2890	2289	170.0000	7.43%	\$20.00
285	80862K104	Buy	1200.0000	2.1499	2579.88	190.3600	7.38%	\$40.36
286	541095105	Buy	10000.0000	0.4070	4070	300.0000	7.37%	\$96.50
287	90338S102	Sell	-11000.0000	0.9805	-10785.5	789.7400	7.32%	\$250.47
288	313400301	Buy	10000.0000	1.3800	13800	1009.0800	7.31%	\$319.08
289	684023104	Buy	4000.0000	0.5900	2360	172.1500	7.29%	\$22.15
290	746218106	Sell	-5000.0000	1.5182	-7591	548.8700	7.23%	\$169.32
291	46612J101	Sell	-1744.0000	2.0424	-3561.95	257.4100	7.23%	\$79.31
292	46488N103	Buy	1100.0000	2.2881	2516.91	181.7800	7.22%	\$31.78
293	741618102	Sell	-29000.0000	0.4034	-11698.6	844.5800	7.22%	\$259.65
294	82966U103	Buy	1125.0000	2.3799	2677.39	193.0400	7.21%	\$43.04
295	106902307	Sell	-2500.0000	1.7620	-4405	317.5600	7.21%	\$97.31
296	206710204	Buy	2000.0000	1.4100	2820	203.1800	7.20%	\$53.18
297	746218106	Buy	10000.0000	1.4100	14100	1013.5000	7.19%	\$308.50
298	746218106	Buy	10000.0000	1.4100	14100	1013.5000	7.19%	\$308.50
299	918076100	Sell	-2000.0000	1.8801	-3760.2	270.0300	7.18%	\$82.02
300	466090107	Buy	1000.0000	2.3999	2399.9	172.1400	7.17%	\$22.14

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restitution
301	466090107	Buy	1000.0000	2.3999	2399.9	172.1400	7.17%	\$22.14
302	422704106	Buy	2000.0000	1.8892	3778.4	270.3300	7.15%	\$81.41
303	927926303	Buy	2000.0000	1.8899	3779.8	270.3500	7.15%	\$81.36
304	640919106	Sell	-2000.0000	1.8900	-3780	270.3600	7.15%	\$81.36
305	654798503	Sell	-1000.0000	2.4201	-2420.1	172.5300	7.13%	\$22.53
306	654798503	Sell	1000.0000	2.4201	2420.1	172.5300	7.13%	\$22.53
307	422704106	Buy	1200.0000	2.2585	2710.2	192.8800	7.12%	\$42.88
308	635405103	Buy	5000.0000	1.5500	7750	551.2100	7.11%	\$163.71
309	876511106	Sell	-2400.0000	1.1600	-2784	198.0000	7.11%	\$48.00
310	666416102	Buy	1350.0000	2.2496	3036.96	215.6900	7.10%	\$63.84
311	98950P108	Buy	1200.0000	2.2700	2724	193.1400	7.09%	\$43.14
312	82967N108	Sell	-10000.0000	1.0100	-10100	715.9000	7.09%	\$210.90
313	746218106	Buy	1000.0000	2.4399	2439.9	172.9100	7.09%	\$22.91
314	58606R403	Sell	-1000.0000	2.4400	-2440	172.9100	7.09%	\$22.91
315	391523107	Buy	1500.0000	2.0988	3148.2	223.0400	7.08%	\$65.63
316	317928109	Sell	-30000.0000	0.1000	-3000	211.6600	7.06%	\$61.66
317	82967N108	Sell	-10000.0000	1.1800	-11800	831.7900	7.05%	\$241.79
318	40330W106	Buy	10000.0000	1.1900	11900	832.5000	7.00%	\$237.50
319	43738R109	Buy	4000.0000	1.6500	6600	460.5200	6.98%	\$130.52
320	590049102	Sell	-1000.0000	2.5000	-2500	174.0700	6.96%	\$24.07
321	206710204	Buy	2000.0000	1.3599	2719.8	189.0400	6.95%	\$39.04
322	052666104	Buy	4000.0000	1.1523	4609.2	320.0000	6.94%	\$89.54
323	746218106	Sell	-1000.0000	2.5100	-2510	174.2600	6.94%	\$24.26
324	388689101	Buy	5000.0000	1.6000	8000	554.9000	6.94%	\$154.90
325	391523107	Buy	1500.0000	2.1599	3239.85	224.5500	6.93%	\$62.56
326	422704106	Sell	-1000.0000	2.5210	-2521	174.4800	6.92%	\$24.48
327	69371Y101	Sell	-4000.0000	0.8901	-3560.4	246.2600	6.92%	\$68.24
328	460588106	Sell	-1200.0000	1.8701	-2244.12	155.0000	6.91%	\$5.00
329	50015Q100	Buy	1000.0000	2.4596	2459.6	169.8200	6.90%	\$19.82
330	927926303	Buy	1500.0000	1.8899	2834.85	195.6600	6.90%	\$45.66
331	45972C102	Sell	-116400.0000	0.1400	-16296	1120.7000	6.88%	\$305.90
332	206710204	Buy	2500.0000	1.4100	3525	242.4100	6.88%	\$66.16
333	141614107	Buy	6000.0000	0.3833	2299.8	157.9100	6.87%	\$7.91
334	248231102	Buy	476600.0000	0.0352	16776.32	1149.5500	6.85%	\$310.73
335	666416102	Buy	2000.0000	1.9997	3999.4	273.9800	6.85%	\$74.01
336	453838104	Buy	9000.0000	1.5191	13671.9	933.4500	6.83%	\$249.86
337	549463107	Sell	-1000.0000	2.5700	-2570	175.4200	6.83%	\$25.42
338	892000100	Buy	4000.0000	1.7000	6800	463.4700	6.82%	\$123.47
339	596087106	Buy	3000.0000	1.8099	5429.7	369.5300	6.81%	\$98.05
340	404160103	Buy	5000.0000	0.8500	4250	288.8200	6.80%	\$76.32
341	141614107	Buy	9500.0000	0.2592	2462.4	167.3200	6.79%	\$17.32
342	40330W106	Buy	10000.0000	1.1900	11900	808.1500	6.79%	\$213.15
343	058586108	Sell	-1200.0000	2.4101	-2892.12	196.3800	6.79%	\$46.38
344	549463107	Sell	-1000.0000	2.5900	-2590	175.8100	6.79%	\$25.81
345	18482P103	Sell	-1500.0000	2.2240	-3336	226.1400	6.78%	\$59.34
346	82967N108	Sell	-2500.0000	1.9110	-4777.5	323.7200	6.78%	\$84.85
347	141614107	Buy	3000.0000	0.8400	2520	170.6500	6.77%	\$20.65
348	43738R109	Buy	5000.0000	1.6500	8250	558.5800	6.77%	\$146.08
349	18451E105	Sell	-4000.0000	0.6500	-2600	175.2800	6.74%	\$25.28
350	042384107	Sell	-5000.0000	0.9500	-4750	319.7000	6.73%	\$82.20
351	026874107	Buy	2000.0000	2.0500	4100	275.6500	6.72%	\$70.65
352	150934107	Sell	-1500.0000	2.2500	-3375	226.7900	6.72%	\$58.04
353	74837P108	Buy	5000.0000	1.6662	8331	559.7800	6.72%	\$143.23
354	46488N103	Buy	2300.0000	1.9770	4547.1	305.1600	6.71%	\$77.81
355	82967N108	Sell	-5000.0000	1.6700	-8350	560.0600	6.71%	\$142.56
356	780911103	Sell	-1300.0000	2.3814	-3095.82	207.4300	6.70%	\$52.64
357	422704106	Buy	1000.0000	2.6399	2639.9	176.7700	6.70%	\$26.77
358	390124105	Buy	1000.0000	2.5690	2569	171.8900	6.69%	\$21.89
359	746218106	Buy	5000.0000	1.6761	8380.5	560.5100	6.69%	\$141.49
360	45810J103	Buy	1200.0000	2.4700	2964	197.7700	6.67%	\$47.77

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commission %	Restitution
361	903385102	Buy	10000.0000	1.5565	15565	1035.1000	6.65%	\$256.85
362	313400301	Buy	5000.0000	1.5000	7500	498.0000	6.64%	\$123.00
363	549463107	Sell	-1000.0000	2.6800	-2680	177.5400	6.62%	\$27.54
364	466121101	Sell	-2400.0000	2.0165	-4839.6	320.4000	6.62%	\$78.42
365	85857R105	Buy	5000.0000	1.6999	8499.5	562.2600	6.62%	\$137.29
366	82967N108	Sell	-10000.0000	1.0400	-10400	687.9100	6.61%	\$167.91
367	746218106	Buy	5000.0000	1.7030	8515	562.4900	6.61%	\$136.74
368	09255R103	Sell	-821.0000	2.9612	-2431.15	160.5300	6.60%	\$10.53
369	82705T102	Sell	-1350.0000	2.4800	-3348	221.0200	6.60%	\$53.62
370	232475103	Buy	100000.0000	0.0308	3080	203.0600	6.59%	\$49.06
371	M0861T100	Sell	-1500.0000	2.3101	-3465.15	228.2800	6.59%	\$55.02
372	780911103	Sell	-2000.0000	2.1206	-4241.2	277.9800	6.55%	\$65.92
373	390124105	Buy	1000.0000	2.6099	2609.9	170.9000	6.55%	\$20.90
374	390124105	Buy	1000.0000	2.6490	2649	173.4000	6.55%	\$23.40
375	44924Y106	Sell	-3500.0000	0.9500	-3325	217.2400	6.53%	\$50.99
376	172967101	Buy	1000.0000	2.7400	2740	178.7000	6.52%	\$28.70
377	879604106	Sell	-1000.0000	2.7401	-2740.1	178.7000	6.52%	\$28.70
378	91688T104	Buy	963.0000	2.9000	2792.7	181.7900	6.51%	\$31.79
379	666416102	Buy	1500.0000	2.3496	3524.4	229.2600	6.50%	\$53.04
380	313586752	Sell	-2500.0000	2.0100	-5025	326.7000	6.50%	\$75.45
381	918076100	Buy	1000.0000	2.5393	2539.3	165.0000	6.50%	\$15.00
382	666416102	Buy	1500.0000	2.3596	3539.4	229.5100	6.48%	\$52.54
383	22942F101	Buy	1000.0000	2.7695	2769.5	179.2700	6.47%	\$29.27
384	106902307	Buy	1250.0000	2.6300	3287.5	212.7800	6.47%	\$48.41
385	82967N108	Sell	-10000.0000	1.6200	-16200	1044.4600	6.45%	\$234.46
386	285716106	Buy	15000.0000	1.1000	16500	1063.1700	6.44%	\$238.17
387	67575P108	Sell	-1800.0000	2.2401	-4032.18	259.7800	6.44%	\$58.17
388	141614107	Buy	5000.0000	0.7780	3890	249.9400	6.43%	\$55.44
389	666416102	Buy	1800.0000	2.2496	4049.28	260.0600	6.42%	\$57.60
390	232475103	Buy	100000.0000	0.0400	4000	256.3100	6.41%	\$56.31
391	22942F101	Buy	1000.0000	2.8100	2810	180.0500	6.41%	\$30.05
392	666416102	Sell	-1000.0000	2.8300	-2830	180.4400	6.38%	\$30.44
393	98950P108	Buy	1400.0000	2.4800	3472	221.0200	6.37%	\$47.42
394	666416102	Buy	1000.0000	2.8400	2840	180.6300	6.36%	\$30.63
395	666416102	Buy	1000.0000	2.8400	2840	180.6300	6.36%	\$30.63
396	746218106	Buy	10000.0000	1.6500	16500	1048.8800	6.36%	\$223.88
397	82967N108	Buy	5000.0000	1.7899	8949.5	568.8900	6.36%	\$121.42
398	026874107	Buy	2000.0000	2.2100	4420	280.9400	6.36%	\$59.94
399	26915M100	Sell	-180000.0000	0.0250	-4500	285.2500	6.34%	\$60.25
400	767754104	Sell	-3500.0000	0.8800	-3080	195.0000	6.33%	\$41.00
401	746218106	Sell	-5000.0000	1.5200	-7600	480.7200	6.33%	\$100.72
402	422704106	Buy	1000.0000	2.4700	2470	156.1400	6.32%	\$6.14
403	062128103	Sell	-3505.0000	0.7204	-2525	159.5400	6.32%	\$9.54
404	82967N108	Buy	5000.0000	1.8100	9050	570.3800	6.30%	\$117.88
405	313586737	Sell	-1000.0000	2.8800	-2880	181.4000	6.30%	\$31.40
406	67575P108	Sell	-1000.0000	2.8800	-2880	181.4000	6.30%	\$31.40
407	666416102	Sell	-1000.0000	2.8806	-2880.6	181.4100	6.30%	\$31.41
408	666416102	Buy	2000.0000	2.2397	4479.4	281.9200	6.29%	\$57.95
409	666416102	Buy	2000.0000	2.2397	4479.4	281.9200	6.29%	\$57.95
410	55277R100	Sell	-850.0000	3.1801	-2703.09	170.1200	6.29%	\$20.12
411	746218106	Buy	10000.0000	1.6797	16797	1053.2600	6.27%	\$213.41
412	69913R309	Sell	-2800.0000	1.0837	-3034.36	190.2100	6.27%	\$38.49
413	345370860	Sell	-1000.0000	2.9015	-2901.5	181.8200	6.27%	\$31.82
414	64190A103	Sell	-879.0000	3.0657	-2694.75	168.6900	6.26%	\$18.69
415	666416102	Buy	1000.0000	2.9100	2910	181.9800	6.25%	\$31.98
416	88077B108	Sell	-2000.0000	2.2600	-4520	282.5900	6.25%	\$56.59
417	549463107	Sell	-1088.0000	2.8401	-3090.03	193.1500	6.25%	\$38.65
418	85207J100	Buy	4300.0000	1.8792	8080.56	504.4600	6.24%	\$100.43
419	026874107	Buy	4500.0000	1.7000	7650	476.7500	6.23%	\$94.25
420	666416102	Buy	1900.0000	2.3099	4388.81	273.0500	6.22%	\$53.61

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restitution
421	40330W106	Sell	-1000.0000	2.9400	-2940	182.5600	6.21%	\$32.56
422	759916109	Sell	-1000.0000	2.9501	-2950.1	182.7500	6.19%	\$32.75
423	285716106	Buy	2000.0000	1.5800	3160	195.0800	6.17%	\$37.08
424	746218106	Buy	10000.0000	1.7170	17170	1058.7600	6.17%	\$200.26
425	00765G109	Buy	4000.0000	1.1996	4798.4	294.8700	6.15%	\$54.95
426	40330W106	Buy	10000.0000	0.8034	8034	493.4800	6.14%	\$91.78
427	373678101	Sell	-10100.0000	0.3100	-3131	192.2800	6.14%	\$35.73
428	666416102	Buy	1000.0000	2.9996	2999.6	183.7100	6.12%	\$33.71
429	313586109	Sell	-5000.0000	0.6500	-3250	198.0000	6.09%	\$35.50
430	313400301	Sell	-5000.0000	0.6502	-3251	198.0000	6.09%	\$35.45
431	666416102	Sell	-2000.0000	2.3500	-4700	285.5700	6.08%	\$50.57
432	291345106	Buy	5000.0000	1.6400	8200	498.0000	6.07%	\$88.00
433	422704106	Sell	-1500.0000	2.5810	-3871.5	235.0000	6.07%	\$41.43
434	666416102	Buy	1000.0000	3.0400	3040	184.3800	6.07%	\$32.38
435	220524300	Buy	1000.0000	3.0400	3040	184.3800	6.07%	\$32.38
436	746218106	Buy	10000.0000	1.7581	17581	1064.8200	6.06%	\$185.77
437	91688T104	Buy	896.0000	3.1400	2813.44	169.9800	6.04%	\$19.98
438	666416102	Sell	-2000.0000	2.3703	-4740.6	286.2400	6.04%	\$49.21
439	82967N108	Buy	10000.0000	0.9560	9560	575.3100	6.02%	\$97.31
440	282914100	Sell	-4000.0000	2.0000	-8000	481.1600	6.01%	\$81.16
441	367220100	Sell	-1600.0000	2.5601	-4096.16	246.0900	6.01%	\$41.28
442	172967101	Sell	-1000.0000	3.0800	-3080	185.0400	6.01%	\$31.04
443	40330W106	Buy	10000.0000	0.8084	8084	485.5300	6.01%	\$81.33
444	388689101	Buy	5000.0000	0.7700	3850	231.0000	6.00%	\$38.50
445	248231102	Buy	215000.0000	0.0359	7718.5	462.8800	6.00%	\$76.96
446	780911103	Buy	1000.0000	3.0896	3089.6	185.2000	5.99%	\$30.72
447	G88915106	Buy	10000.0000	0.6100	6100	365.2700	5.99%	\$60.27
448	192108108	Sell	-2000.0000	2.4000	-4800	287.2200	5.98%	\$47.22
449	927926303	Sell	-2000.0000	2.4000	-4800	287.2200	5.98%	\$47.22
450	82705T102	Sell	-1800.0000	2.4800	-4464	266.9200	5.98%	\$43.72
451	192108108	Sell	-2000.0000	2.4100	-4820	287.5500	5.97%	\$46.55
452	746218106	Buy	10000.0000	1.8000	18000	1071.0000	5.95%	\$171.00
453	767754104	Sell	-14000.0000	0.6734	-9427.6	560.0000	5.94%	\$88.62
454	313400301	Buy	18600	1.8624	34640	1856.39	5.36%	\$124.39
455	357288109	Buy	1000.0000	3.1299	3129.9	185.8700	5.94%	\$29.38
456	313586109	Buy	8600.0000	1.8500	15910	944.7300	5.94%	\$149.23
457	058586108	Buy	1200.0000	2.8999	3479.88	206.4000	5.93%	\$32.41
458	50015Q100	Sell	-3000.0000	1.1403	-3420.9	202.9000	5.93%	\$31.86
459	82967N108	Sell	-3800.0000	1.4000	-5320	315.0000	5.92%	\$49.00
460	168910206	Buy	1000.0000	2.9620	2962	175.0000	5.91%	\$25.00
461	87929J103	Sell	-3000.0000	2.2193	-6657.9	393.0000	5.90%	\$60.11
462	055639108	Buy	800.0000	3.3093	2647.44	156.1500	5.90%	\$6.15
463	30161Q104	Buy	1000.0000	3.1599	3159.9	186.3600	5.90%	\$28.37
464	422704106	Buy	1000.0000	3.1699	3169.9	186.5300	5.88%	\$28.04
465	666416102	Buy	1000.0000	3.1799	3179.9	186.6900	5.87%	\$27.70
466	88077B108	Buy	1000.0000	3.1799	3179.9	186.6900	5.87%	\$27.70
467	666416102	Buy	1500.0000	2.7190	4078.5	238.4200	5.85%	\$34.50
468	00253U305	Buy	3000.0000	2.2099	6629.7	387.2300	5.84%	\$55.75
469	422704106	Sell	-2000.0000	2.4901	-4980.2	290.2000	5.83%	\$41.19
470	026874107	Sell	-5000.0000	2.0100	-10050	585.1200	5.82%	\$82.62
471	313400301	Buy	8600.0000	1.8808	16174.88	940.8600	5.82%	\$132.12
472	75866F101	Buy	2000.0000	1.8500	3700	215.2200	5.82%	\$30.22
473	172967101	Buy	875.0000	3.2900	2878.75	167.4000	5.82%	\$17.40
474	042384107	Buy	5000.0000	1.5100	7550	438.6100	5.81%	\$61.11
475	746218106	Sell	-870.0000	3.4700	-3018.9	175.3700	5.81%	\$24.43
476	370442105	Buy	1000.0000	3.2385	3238.5	187.6600	5.79%	\$25.74
477	678046103	Buy	2000.0000	1.5700	3140	181.8400	5.79%	\$24.84
478	746218106	Buy	10000.0000	1.8762	18762	1082.2300	5.77%	\$144.13
479	422704106	Sell	-1545.0000	2.8500	-4403.25	253.7600	5.76%	\$33.60
480	233384106	Sell	-4000.0000	2.1202	-8480.8	488.2400	5.76%	\$64.20

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restitution
481	422704106	Sell	-2000.0000	2.5201	-5040.2	290.0500	5.75%	\$38.04
482	466090107	Sell	-1000.0000	3.2801	-3280.1	188.3500	5.74%	\$24.35
483	466090107	Sell	-1000.0000	3.2818	-3281.8	188.3800	5.74%	\$24.29
484	172967101	Sell	-2500.0000	2.3700	-5925	339.9700	5.74%	\$43.72
485	702126101	Buy	1000.0000	3.2930	3293	188.5600	5.73%	\$23.91
486	549463107	Sell	-2000.0000	2.5401	-5080.2	290.6400	5.72%	\$36.63
487	22942F101	Sell	-1650.0000	2.8110	-4638.15	264.7500	5.71%	\$32.84
488	666416102	Buy	2000.0000	2.5490	5098	290.9000	5.71%	\$36.00
489	00436A200	Sell	-17500.0000	0.2986	-5225.5	297.5000	5.69%	\$36.23
490	50015Q100	Buy	2000.0000	2.4697	4939.4	280.8400	5.69%	\$33.87
491	313400301	Buy	3500.0000	1.1650	4077.5	231.5300	5.68%	\$27.66
492	74386K104	Buy	900.0000	3.4170	3075.3	174.5800	5.68%	\$20.82
493	98950P108	Buy	2100.0000	2.3100	4851	275.0000	5.67%	\$32.45
494	422704106	Sell	-1000.0000	3.3400	-3340	189.3400	5.67%	\$22.34
495	656568102	Sell	-1000.0000	3.3500	-3350	189.5100	5.66%	\$22.01
496	003830106	Sell	-1450.0000	2.9900	-4335.5	245.1400	5.65%	\$28.37
497	82966U103	Buy	1500.0000	2.8500	4275	241.6700	5.65%	\$27.92
498	927926303	Sell	-1500.0000	2.8501	-4275.15	241.6700	5.65%	\$27.91
499	684023104	Buy	4400.0000	0.7036	3095.84	175.0000	5.65%	\$20.21
500	150934107	Sell	-3300.0000	2.2600	-7458	421.5600	5.65%	\$48.66
501	626248101	Sell	-1700.0000	1.7000	-2890	163.2500	5.65%	\$13.25
502	422704106	Buy	1000.0000	3.3600	3360	189.6700	5.64%	\$21.67
503	29246J101	Buy	5000.0000	0.8858	4429	250.0000	5.64%	\$28.55
504	345370860	Buy	2000.0000	2.5899	5179.8	292.1100	5.64%	\$33.12
505	58606R403	Sell	-2000.0000	2.5900	-5180	292.1100	5.64%	\$33.11
506	042682203	Sell	-1142.0000	2.4518	-2799.96	157.8200	5.64%	\$7.82
507	746218106	Sell	-5000.0000	2.1001	-10500.5	591.7600	5.64%	\$66.74
508	927926303	Sell	-1000.0000	3.3701	-3370.1	189.8400	5.63%	\$21.34
509	172967101	Buy	725.0000	3.8800	2813	158.4100	5.63%	\$8.41
510	055639108	Buy	1000.0000	3.3800	3380	190.0000	5.62%	\$21.00
511	192108108	Buy	12500.0000	0.4275	5343.75	300.0000	5.61%	\$32.81
512	678046103	Sell	-1000.0000	3.3901	-3390.1	190.1700	5.61%	\$20.67
513	746218106	Sell	-9275.0000	1.9700	-18271.75	1024.1100	5.60%	\$110.52
514	172967101	Buy	1000.0000	3.4000	3400	190.3300	5.60%	\$20.33
515	82967N108	Buy	10000.0000	0.3345	3345	187.0000	5.59%	\$19.75
516	916901309	Buy	2500.0000	1.3600	3400	190.0000	5.59%	\$20.00
517	172967101	Buy	1000.0000	3.4200	3420	190.6600	5.57%	\$19.66
518	666416102	Buy	1100.0000	3.1800	3498	195.0000	5.57%	\$20.10
519	G88915106	Buy	15000.0000	0.6700	10050	559.1700	5.56%	\$56.67
520	46488N103	Sell	-1100.0000	3.2901	-3619.11	201.3400	5.56%	\$20.38
521	58606R403	Buy	1700.0000	2.8024	4764.08	264.5100	5.55%	\$26.31
522	925602104	Buy	1000.0000	3.4400	3440	190.9900	5.55%	\$18.99
523	666416102	Sell	-1400.0000	3.0010	-4201.4	233.0800	5.55%	\$23.01
524	746218106	Sell	-5000.0000	2.1504	-10752	595.4700	5.54%	\$57.87
525	666416102	Buy	1500.0000	2.9390	4408.5	243.8800	5.53%	\$23.46
526	20010A103	Buy	4800.0000	1.1400	5472	301.7300	5.51%	\$28.13
527	313586737	Buy	5000.0000	1.1330	5665	312.2800	5.51%	\$29.03
528	86768K106	Buy	3000.0000	1.5100	4530	249.5500	5.51%	\$23.05
529	66986W108	Buy	1500.0000	2.9599	4439.85	244.4000	5.50%	\$22.41
530	684023104	Buy	9000.0000	0.4900	4410	242.7000	5.50%	\$22.20
531	457660108	Sell	-3700.0000	2.2900	-8473	466.0100	5.50%	\$42.36
532	927926303	Buy	2000.0000	2.6799	5359.8	294.7600	5.50%	\$26.77
533	060505104	Buy	1450.0000	3.1200	4524	248.3700	5.49%	\$22.17
534	666416102	Buy	1400.0000	3.0490	4268.6	234.2000	5.49%	\$20.77
535	060505104	Buy	1000.0000	3.5000	3500	191.9900	5.49%	\$16.99
536	780911103	Buy	1000.0000	3.4999	3499.9	191.9800	5.49%	\$16.99
537	654798503	Sell	-1000.0000	3.5001	-3500.1	191.9900	5.49%	\$16.99
538	684023104	Buy	10000.0000	0.4600	4600	252.2300	5.48%	\$22.23
539	05460X109	Buy	7000.0000	1.0900	7630	418.1500	5.48%	\$36.65
540	767754104	Sell	-14000.0000	0.7300	-10220	560.0000	5.48%	\$49.00

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restitution
541	04269E107	Sell	-1000.0000	3.5081	-3508.1	192.1200	5.48%	\$16.72
542	780911103	Buy	1000.0000	3.5090	3509	192.1300	5.48%	\$16.68
543	22942F101	Buy	1500.0000	2.9880	4482	245.0900	5.47%	\$20.99
544	457660108	Sell	-3400.0000	2.3501	-7990.34	436.7700	5.47%	\$37.25
545	Y2109Q101	Buy	1000.0000	3.5199	3519.9	192.3200	5.46%	\$16.33
546	82966U103	Sell	-980.0000	3.6400	-3567.2	194.3000	5.45%	\$15.94
547	05155P106	Sell	-1000.0000	3.5400	-3540	192.6500	5.44%	\$15.65
548	666416102	Buy	2000.0000	2.7190	5438	295.9200	5.44%	\$24.02
549	749227104	Sell	-2000.0000	1.4006	-2801.2	152.1700	5.43%	\$2.17
550	98950P108	Buy	3000.0000	2.4400	7320	397.4000	5.43%	\$31.40
551	82967N108	Sell	-5000.0000	2.2100	-11050	599.8600	5.43%	\$47.36
552	666416102	Buy	2000.0000	2.7290	5458	296.2100	5.43%	\$23.31
553	666416102	Buy	2000.0000	2.7297	5459.4	296.2300	5.43%	\$23.26
554	313586737	Buy	5000.0000	1.1600	5800	313.4800	5.40%	\$23.48
555	666416102	Buy	1700.0000	2.9200	4964	267.8100	5.40%	\$19.61
556	746218106	Sell	-10000.0000	2.0467	-20467	1101.8500	5.38%	\$78.50
557	83171N108	Sell	-3333.0000	1.0875	-3624.64	195.0000	5.38%	\$13.77
558	313586109	Buy	2000.0000	1.4200	2840	152.6200	5.37%	\$2.62
559	206710204	Buy	20000.0000	1.6274	32548	1748.0000	5.37%	\$120.60
560	666416102	Buy	1000.0000	3.6100	3610	193.8000	5.37%	\$13.30
561	939322103	Buy	10000.0000	2.0550	20550	1102.6600	5.37%	\$75.16
562	25475V104	Sell	-800.0000	3.8200	-3056	163.8800	5.36%	\$11.08
563	666416102	Buy	2000.0000	2.7797	5559.4	297.7100	5.36%	\$19.74
564	666416102	Buy	2000.0000	2.7800	5560	297.7200	5.35%	\$19.72
565	526057104	Buy	200.0000	15.4325	3086.5	165.0000	5.35%	\$10.68
566	422704106	Buy	1500.0000	3.0900	4635	247.6200	5.34%	\$15.87
567	74837P108	Sell	-5000.0000	2.2594	-11297	603.5000	5.34%	\$38.65
568	247907207	Buy	800.0000	3.7400	2992	159.5400	5.33%	\$9.54
569	927926303	Buy	3000.0000	2.1699	6509.7	346.9100	5.33%	\$21.43
570	666416102	Buy	2000.0000	2.7997	5599.4	298.3000	5.33%	\$18.33
571	666416102	Sell	-2000.0000	2.8003	-5600.6	298.3100	5.33%	\$18.28
572	537590101	Sell	-3800.0000	0.7500	-2850	151.8000	5.33%	\$1.80
573	82808P106	Buy	5500.0000	0.5360	2948	156.3400	5.30%	\$6.34
574	29246J101	Buy	13200.0000	0.5000	6600	350.0000	5.30%	\$20.00
575	172967101	Buy	725.0000	4.1350	2997.88	158.8200	5.30%	\$8.82
576	457660108	Sell	-5000.0000	2.2901	-11450.5	605.7600	5.29%	\$33.24
577	929903102	Sell	-800.0000	3.9100	-3128	165.0700	5.28%	\$8.67
578	554225102	Sell	-1539.0000	3.2368	-4981.44	262.6100	5.27%	\$13.54
579	46488N103	Buy	5000.0000	2.3028	11514	606.7000	5.27%	\$31.00
580	74386K104	Buy	1000.0000	3.7170	3717	195.5700	5.26%	\$9.72
581	74386K104	Buy	1000.0000	3.7180	3718	195.5900	5.26%	\$9.69
582	666416102	Sell	-1800.0000	2.9504	-5310.72	279.2900	5.26%	\$13.75
583	715455101	Buy	800.0000	3.9300	3144	165.3400	5.26%	\$8.14
584	46612J101	Sell	-1000.0000	3.8194	-3819.4	200.6000	5.25%	\$9.63
585	666416102	Sell	-2000.0000	2.8601	-5720.2	300.0800	5.25%	\$14.07
586	927926303	Sell	-2000.0000	2.8620	-5724	300.1300	5.24%	\$13.93
587	85857R105	Buy	3000.0000	1.0500	3150	165.0000	5.24%	\$7.50
588	666416102	Sell	-2000.0000	2.8700	-5740	300.3700	5.23%	\$13.37
589	666416102	Buy	1900.0000	2.9192	5546.48	290.1500	5.23%	\$12.83
590	666416102	Buy	1000.0000	3.2294	3229.4	168.7600	5.23%	\$7.29
591	62936P103	Sell	-1000.0000	3.7600	-3760	196.2900	5.22%	\$8.29
592	594960106	Sell	-1000.0000	3.7600	-3760	196.2900	5.22%	\$8.29
593	16946H105	Buy	3000.0000	2.5800	7740	403.5900	5.21%	\$16.59
594	35952H106	Sell	-1000.0000	10.0701	-10070.1	525.0000	5.21%	\$21.49
595	65370F101	Sell	-1000.0000	3.7702	-3770.2	196.4500	5.21%	\$7.94
596	22942F101	Sell	-2000.0000	2.8900	-5780	300.9600	5.21%	\$11.96
597	903213106	Sell	-3300.0000	2.5301	-8349.33	434.7000	5.21%	\$17.23
598	46612J101	Sell	-1000.0000	3.7800	-3780	196.6200	5.20%	\$7.62
599	666416102	Sell	-1400.0000	3.3008	-4621.12	240.0200	5.19%	\$8.96
600	666416102	Sell	-1900.0000	2.9500	5605	291.0100	5.19%	\$10.76

Clearing Firm 3

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commision %	Restituton
601	40330W106	Buy	4000.0000	2.4468	9787.2	507.5000	5.19%	\$18.14
602	45885E203	Sell	-1000.0000	3.8001	-3800.1	196.9500	5.18%	\$6.94
603	879604106	Sell	-2000.0000	2.9100	-5820	301.5500	5.18%	\$10.55
604	22942F101	Buy	1000.0000	3.2700	3270	169.3600	5.18%	\$5.86
605	043635101	Sell	-800.0000	4.0201	-3216.08	166.5300	5.18%	\$5.73
606	666416102	Buy	2500.0000	2.7297	6824.25	353.2200	5.18%	\$12.01
607	666416102	Buy	2000.0000	2.9191	5838.2	301.8200	5.17%	\$9.91
608	666416102	Buy	2000.0000	2.9192	5838.4	301.8200	5.17%	\$9.90
609	82967N108	Sell	-10000.0000	1.4900	-14900	768.9800	5.16%	\$23.98
610	686275108	Sell	-1000.0000	3.8300	-3830	197.4400	5.16%	\$5.94
611	19247X100	Buy	1000.0000	3.8300	3830	197.4400	5.16%	\$5.94
612	75866F101	Sell	-2000.0000	1.5000	-3000	154.4800	5.15%	\$4.48
613	666416102	Sell	-2000.0000	2.9403	-5880.6	302.4400	5.14%	\$8.41
614	040047102	Buy	850.0000	4.2999	3654.92	187.7200	5.14%	\$4.97
615	422704106	Sell	-4000.0000	2.4801	-9920.4	509.4700	5.14%	\$13.45
616	780911103	Sell	-1000.0000	3.3110	-3311	169.9700	5.13%	\$4.42
617	666416102	Sell	-2000.0000	2.9603	-5920.6	303.0300	5.12%	\$7.00
618	666416102	Sell	-2000.0000	2.9610	-5922	303.0500	5.12%	\$6.95
619	666416102	Sell	-2000.0000	2.9610	-5922	303.0500	5.12%	\$6.95
620	37183T107	Sell	-1000.0000	3.0301	-3030.1	155.0000	5.12%	\$3.50
621	422704106	Buy	1800.0000	3.0700	5526	282.4600	5.11%	\$6.16
622	885535104	Buy	1000.0000	3.6300	3630	185.0000	5.10%	\$3.50
623	666416102	Sell	-2850.0000	2.7603	-7866.86	400.1200	5.09%	\$6.78
624	573083102	Buy	2000.0000	2.9890	5978	303.8800	5.08%	\$4.98
625	666416102	Sell	-2000.0000	2.9910	-5982	303.9400	5.08%	\$4.84
626	927926303	Sell	-1500.0000	2.8601	-4290.15	217.7300	5.08%	\$3.22
627	715455101	Buy	1000.0000	3.9200	3920	198.9300	5.07%	\$2.93
628	666416102	Buy	1900.0000	3.0500	5795	293.8100	5.07%	\$4.06
629	549463107	Sell	-2000.0000	2.2201	-4440.2	225.0200	5.07%	\$3.01
630	313586737	Buy	5000.0000	0.9100	4550	230.5100	5.07%	\$3.01
631	666416102	Sell	-2300.0000	2.8801	-6624.23	335.5200	5.07%	\$4.31
632	45810J103	Buy	4500.0000	2.4771	11146.95	564.4200	5.06%	\$7.07
633	67575P108	Buy	1000.0000	3.9399	3939.9	199.2600	5.06%	\$2.26
634	666416102	Sell	-2500.0000	2.8204	-7051	356.5700	5.06%	\$4.02
635	666416102	Buy	2000.0000	3.0115	6023	304.5400	5.06%	\$3.39
636	192108108	Buy	700.0000	4.3288	3030.16	153.0800	5.05%	\$1.57
637	05366Y102	Buy	976.0000	4.0999	4001.5	201.9100	5.05%	\$1.83
638	666416102	Sell	-2000.0000	3.0210	-6042	304.8200	5.05%	\$2.72
639	746218106	Sell	-10000.0000	2.2183	-22183	1118.5600	5.04%	\$9.41
640	746218106	Buy	5000.0000	2.4499	12249.5	617.5400	5.04%	\$5.06
641	736126301	Buy	1400.0000	3.4500	4830	243.4800	5.04%	\$1.98
642	666416102	Sell	-2100.0000	2.9803	-6258.63	315.3900	5.04%	\$2.46
643	670002104	Buy	4000.0000	2.5496	10198.4	513.5600	5.04%	\$3.64
644	666416102	Buy	2000.0000	3.0290	6058	305.0600	5.04%	\$2.16
							Total	\$55,041.89

Options Transactions

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commission %	Restitution
1	9123189GL	Buy	87	\$0.04	\$348.00	\$260.98	74.99%	\$110.98
2	3696049IS	Buy	45	\$0.03	\$294.50	\$153.85	52.24%	\$3.85
3	59491819V	Buy	110.0000	\$0.04	\$440.00	\$192.50	43.75%	\$42.50
4	8816242HR	Sell	-70.0000	\$0.08	\$580.30	\$245.00	42.22%	\$95.00
5	92343VMFP	Buy	80.0000	\$0.05	\$400.00	\$160.00	40.00%	\$10.00
6	74348FJPC	Sell	-100.0000	\$0.05	\$500.00	\$200.00	40.00%	\$50.00
7	369605RFP	Buy	100.0000	\$0.05	\$500.00	\$195.00	39.00%	\$45.00
8	369605LDC	Buy	90.0000	\$0.08	\$720.00	\$270.00	37.50%	\$120.00
9	261570XVP	Buy	50.0000	\$0.10	\$500.00	\$176.38	35.28%	\$26.38
10	478160NKP	Sell	-80.0000	\$0.08	\$640.00	\$195.00	30.47%	\$45.00
11	369605XFP	Sell	-100.0000	\$0.10	\$1,000.00	\$290.00	29.00%	\$140.00
12	1512919GF	Buy	44	\$0.10	\$611.60	\$171.60	28.06%	\$21.60
13	261570XVP	Buy	100.0000	\$0.10	\$1,000.00	\$275.00	27.50%	\$125.00
14	783792MLP	Sell	-60.0000	\$0.10	\$600.00	\$165.00	27.50%	\$15.00
15	369605OIP	Sell	-120.0000	\$0.09	\$1,080.00	\$425.00	27.31%	\$133.00
16	38141GPTP	Sell	-50.0000	\$0.14	\$700.00	\$185.00	26.43%	\$35.00
17	92343VMYP	Sell	-100.0000	\$0.11	\$1,100.00	\$290.00	26.36%	\$125.00
18	1512919JI	Buy	47	\$0.15	\$959.65	\$249.00	25.95%	\$99.00
19	2841319KE	Buy	50	\$0.10	\$675.65	\$170.00	25.16%	\$20.00
20	459200MLP	Sell	-75.0000	\$0.10	\$750.00	\$187.50	25.00%	\$37.50
21	65163AUXP	Sell	-50.0000	\$0.14	\$700.00	\$175.00	25.00%	\$25.00
22	881625MHP	Sell	-60.0000	\$0.11	\$660.00	\$165.00	25.00%	\$15.00
23	990AAJYYT	Buy	50	\$0.14	\$700.00	\$172.00	24.57%	\$22.00
24	0605052JJ	Sell	-45.0000	\$0.25	\$1,125.00	\$275.00	24.44%	\$106.25
25	46428Q14S	Sell	-80.0000	\$0.10	\$800.00	\$195.00	24.38%	\$45.00
26	92343VUAP	Sell	-90.0000	\$0.11	\$1,019.70	\$247.50	24.27%	\$94.55
27	6516391GF	Sell	-50.0000	\$0.15	\$750.00	\$175.00	23.33%	\$25.00
28	4282361CU	Sell	-60.0000	\$0.13	\$780.00	\$180.00	23.08%	\$30.00
29	369605RFP	Sell	-100.0000	\$0.12	\$1,200.00	\$275.00	22.92%	\$95.00
30	3696042XU	Sell	-100.0000	\$0.12	\$1,200.00	\$275.00	22.92%	\$95.00
31	Y2109RWJP	Buy	100.0000	\$0.11	\$1,100.00	\$250.00	22.73%	\$85.00
32	36960411L	Buy	70.0000	\$0.11	\$770.00	\$175.00	22.73%	\$25.00
33	345370A9X	Sell	-100.0000	\$0.13	\$1,300.00	\$290.00	22.31%	\$95.00
34	2605439IH	Buy	41	\$0.15	\$795.65	\$175.00	21.99%	\$25.00
35	47816018P	Sell	-50.0000	\$0.16	\$800.00	\$175.00	21.88%	\$25.00
36	369605PZP	Sell	-100.0000	\$0.09	\$900.00	\$195.00	21.67%	\$45.00
37	172968AUC	Buy	90.0000	\$0.10	\$900.00	\$195.00	21.67%	\$45.00
38	6516391CO	Sell	-60.0000	\$0.15	\$900.00	\$195.00	21.67%	\$45.00
39	369604LTC	Buy	51.0000	\$0.17	\$867.00	\$179.35	20.69%	\$29.35
40	59491819V	Sell	-50.0000	\$0.17	\$850.00	\$175.00	20.59%	\$25.00
41	G4388N13Q	Sell	-120.0000	\$0.16	\$1,899.60	\$390.00	20.53%	\$105.06
42	369605ITC	Buy	80.0000	\$0.12	\$960.00	\$195.00	20.31%	\$45.00
43	741437HWC	Sell	-75.0000	\$0.15	\$1,125.00	\$225.00	20.00%	\$56.25
44	887317SCP	Sell	-100.0000	\$0.15	\$1,500.00	\$300.00	20.00%	\$75.00
45	458140DXC	Sell	-100.0000	\$0.15	\$1,500.00	\$300.00	20.00%	\$75.00
46	651639XGP	Sell	-80.0000	\$0.10	\$800.00	\$160.00	20.00%	\$10.00
47	651639MGP	Buy	80.0000	\$0.10	\$800.00	\$160.00	20.00%	\$10.00
48	650204MBP	Sell	-60.0000	\$0.15	\$900.00	\$180.00	20.00%	\$30.00
49	651639LLC	Buy	70.0000	\$0.15	\$1,050.00	\$210.00	20.00%	\$52.50
50	313587FCC	Buy	150.0000	\$0.15	\$2,250.00	\$450.00	20.00%	\$112.50
51	38141GVPP	Sell	-60.0000	\$0.15	\$900.00	\$180.00	20.00%	\$30.00
52	71270410Q	Buy	63.0000	\$0.15	\$945.00	\$189.00	20.00%	\$39.00
53	369604XZP	Buy	120.0000	\$0.08	\$960.00	\$190.00	19.79%	\$40.00
54	3696041CR	Sell	-200.0000	\$0.15	\$3,000.00	\$590.00	19.67%	\$140.00
55	984333UCP	Sell	-80.0000	\$0.13	\$1,040.00	\$200.00	19.23%	\$44.00
56	651639LIC	Buy	70.0000	\$0.13	\$910.00	\$175.00	19.23%	\$25.00
57	36960417O	Buy	100.0000	\$0.13	\$1,300.00	\$250.00	19.23%	\$55.00
58	74386TSWP	Sell	-80.0000	\$0.20	\$1,600.00	\$300.00	18.75%	\$60.00

Options Transactions

Trans. No.	CUSIP	Buy/Sell	Share Quantity	Share Price	Principal amount	Commission	Commission %	Restitution
59	783790120	Sell	-60.0000	\$0.20	\$1,200.00	\$225.00	18.75%	\$45.00
60	369605CTC	Buy	80.0000	\$0.15	\$1,176.00	\$220.00	18.71%	\$43.60
61	7837908NC	Sell	-70.0000	\$0.15	\$1,050.00	\$196.00	18.67%	\$38.50
62	261570RBP	Buy	105.0000	\$0.10	\$1,050.00	\$195.00	18.57%	\$37.50
63	369604UAP	Sell	-100.0000	\$0.16	\$1,600.00	\$295.00	18.44%	\$55.00
64	6516391GF	Sell	-50.0000	\$0.19	\$950.00	\$175.00	18.42%	\$25.00
65	887317VCP	Sell	-100.0000	\$0.15	\$1,500.00	\$275.00	18.33%	\$50.00
66	92343VMFP	Sell	-100.0000	\$0.15	\$1,500.00	\$275.00	18.33%	\$50.00
67	620076VCP	Sell	-100.0000	\$0.15	\$1,500.00	\$275.00	18.33%	\$50.00
68	17275UMWP	Sell	-70.0000	\$0.15	\$1,050.00	\$192.50	18.33%	\$35.00
69	2615701GR	Sell	-100.0000	\$0.15	\$1,500.00	\$275.00	18.33%	\$50.00
70	261570CVC	Buy	320.0000	\$0.15	\$4,825.60	\$880.00	18.24%	\$156.16
71	741438ADC	Sell	-70.0000	\$0.28	\$1,950.20	\$350.00	17.95%	\$57.47
72	17296AAUC		70.0000	\$0.14	\$980.00	\$175.00	17.86%	\$25.00
73	369604NFP		-100.0000	\$0.11	\$1,100.00	\$195.00	17.73%	\$30.00
74	6516391GC		-100.0000	\$0.20	\$2,000.00	\$350.00	17.50%	\$50.00
75	45814018V		-80.0000	\$0.14	\$1,120.00	\$195.00	17.41%	\$27.00
76	3696042XU		-100.0000	\$0.23	\$2,300.00	\$397.97	17.30%	\$52.97
77	369605XAP		-100.0000	\$0.16	\$1,600.00	\$275.00	17.19%	\$35.00
78	172967CUC		-70.0000	\$0.18	\$1,239.70	\$210.00	16.94%	\$24.05
79	04351G10R		95.0000	\$0.11	\$1,045.00	\$175.00	16.75%	\$18.25
80	74386TSWP		-40.0000	\$0.30	\$1,200.00	\$200.00	16.67%	\$20.00
81	783790XTP		90.0000	\$0.15	\$1,350.00	\$225.00	16.67%	\$22.50
82	92343VNGP		-150.0000	\$0.15	\$2,250.00	\$375.00	16.67%	\$37.50
83	369604XZP		-60.0000	\$0.18	\$1,080.00	\$180.00	16.67%	\$18.00
84	17275R16L		-100.0000	\$0.12	\$1,200.00	\$200.00	16.67%	\$20.00
85	06050517E		200.0000	\$0.15	\$3,000.00	\$500.00	16.67%	\$50.00
86	369605FTC		-60.0000	\$0.25	\$1,500.00	\$248.04	16.54%	\$23.04
87	369608MBP		-200.0000	\$0.18	\$3,600.00	\$590.00	16.39%	\$50.00
88	2615701UO		-70.0000	\$0.21	\$1,500.10	\$245.00	16.33%	\$19.99
89	92343W9AK		-18	\$0.66	\$1,017.31	\$165.00	16.22%	\$12.40
90	783792ORP		-50.0000	\$0.25	\$1,250.00	\$200.00	16.00%	\$12.50
91	741437HWC		-50.0000	\$0.25	\$1,250.00	\$200.00	16.00%	\$12.50
92	36960411R		50.0000	\$0.22	\$1,095.00	\$175.00	15.98%	\$10.75
93	369605LYC		170.0000	\$0.16	\$2,660.50	\$425.00	15.97%	\$25.93
94	369605FNC	Sell	-150.0000	\$0.16	\$2,350.50	\$375.00	15.95%	\$22.43
95	447011SAP	Sell	-50.0000	\$0.22	\$1,100.00	\$175.00	15.91%	\$10.00
96	594918MXP	Sell	-70.0000	\$0.22	\$1,549.80	\$245.00	15.81%	\$12.53
97	369604UAP	Sell	-100.0000	\$0.19	\$1,900.00	\$300.00	15.79%	\$15.00
98	36960433X	Sell	-100.0000	\$0.18	\$1,800.00	\$275.00	15.28%	\$5.00
99	172969AAC	Sell	-72.0000	\$0.17	\$1,224.00	\$185.00	15.11%	\$1.40

Total \$4,658.22