

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
LETTER OF ACCEPTANCE, WAIVER AND CONSENT
NO. 2011028605901**

TO: Department of Enforcement
Financial Industry Regulatory Authority ("FINRA")

RE: Raymond James Financial Services, Inc., Respondent
Member Firm
CRD No. 6694

Pursuant to FINRA Rule 9216 of FINRA's Code of Procedure, Raymond James Financial Services, Inc., ("RJFS") submits this Letter of Acceptance, Waiver and Consent ("AWC") for the purpose of proposing a settlement of the alleged rule violations described below. This AWC is submitted on the condition that, if accepted, FINRA will not bring any future actions against the firm alleging violations based on the same factual findings described herein.

I.

ACCEPTANCE AND CONSENT

- A. RJFS hereby accepts and consents, without admitting or denying the findings, and solely for the purposes of this proceeding and any other proceeding brought by or on behalf of FINRA, or to which FINRA is a party, prior to a hearing and without an adjudication of any issue of law or fact, to the entry of the following findings by FINRA:

BACKGROUND

RJFS is a FINRA member and has been such since May 1974. The firm maintains its principal place of business in St. Petersburg, Florida. RJFS is an independent contractor firm that introduces its business to Raymond James & Associates, Inc., an affiliated company. RJFS currently employs approximately 4,753 registered persons.

RELEVANT DISCIPLINARY HISTORY

RJFS has had, among others, the following disciplinary history in the last five years:

1. On June 29, 2011, RJFS was censured and ordered to cease and desist from further violations by the Securities and Exchange Commission ("SEC") for violations of §17(a)(2) of the Securities Act of 1933 in connection with the firm's

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sales of Auction Rate Securities (“ARS”). The firm also agreed to various undertakings including, among other things, offering to purchase eligible ARS from its eligible current and former customers; and

2. On June 1, 2010, RJFS was censured and fined \$150,000 by FINRA for failing to enforce written supervisory procedures to achieve compliance with suitability requirements as they relate to the sale of 529 college savings plans and for permitting eight individuals to function as municipal securities principals, reviewing and approving 529 plan transactions, while failing to have them registered and/or qualified in such capacities.

OVERVIEW

RJFS failed to establish and maintain a supervisory system reasonably designed to achieve compliance with NASD Conduct Rule 2440 (Fair Prices and Commissions), resulting in customers being charged unfair and unreasonable commissions on equity transactions, in violation of NASD Conduct Rules 2440, 3010 and 2110, FINRA Rule 2010 and NASD IM-2440-1.

FACTS AND VIOLATIVE CONDUCT

NASD Conduct Rule 2440 provides that:

[i]f a member buys for his own account from his customer, or sells for his own account to his customer, he shall buy or sell at a price which is fair, taking into consideration all relevant circumstances... If he acts as agent for his customer, the member shall not charge his customer more than a fair commission or service charge, taking into consideration all relevant circumstances...

According to NASD IM-2440-1, in 1943, FINRA adopted a policy setting a guideline of five percent for determining whether a mark-up is unfair or unreasonable. This policy applies equally to commissions on agency trades, and to mark-ups or mark-downs on principal transactions.¹ However, the “5% Policy” is a guideline, not a rule.² A mark-up pattern of five percent or even less may be considered unfair or unreasonable under the policy set forth in IM-2440-1.³

¹ See NTM 93-81 and IM-2440-1(c)(4).

² See IM-2440-1(a)(1).

³ See IM-2440-1(a)(4).

The percentage of the mark-up is only one of the factors to be considered in determining fairness.⁴ IM-2440-1(b) identifies seven other factors that members should take into consideration in determining the fairness of a mark-up/commission including: (i) the type of security involved; (ii) the availability of the security; (iii) the price of the security; (iv) the size of the transaction; (v) whether disclosure of the transaction cost was made to the customer prior to the trade; (vi) any pattern of mark-ups; and (vii) the nature of the member's business.

From January 1, 2006 through at least October 31, 2010, RJFS utilized an automated commission schedule, pursuant to which the firm charged commissions on certain purchases and sales of primarily low priced securities that were not fair and reasonable, taking into consideration the factors set forth in IM-2440-1(b). As a result, RJFS charged \$795,568.02 in excessive commissions, in a total of 13,519 transactions effected on behalf of approximately 8,310 customers.⁵

In addition, RJFS's supervisory system was inadequate because in establishing its commission schedule and in setting commissions on transactions, the firm failed to consider, for each specific transaction, the factors delineated in IM-2440-1(b).

As a result of the foregoing, RJFS violated NASD Conduct Rules 2440, 3010 and 2110, FINRA Rule 2010 and NASD IM-2440-1.

B. RJFS also consents to the imposition of the following sanctions:

A censure;

A \$200,000 fine; and

Restitution of \$795,568.02, plus interest.

Specifically, RJFS shall pay restitution to the customers listed in Attachment A hereto in the total amount of \$795,568.02, plus interest at the rate set forth in Section 6621(a)(2) of the Internal Revenue Code, 26 U.S.C. 6621(a)(2), from the date of each transaction set forth in Attachment A, until the date this AWC is accepted by the NAC.

RJFS shall also pay restitution to customers who were charged, and will be charged, unfair and unreasonable commissions from November 1, 2010 through the date that the firm revises its automated commission schedule to conform to the requirements of NASD Conduct Rule 2440 (Fair Prices and Commissions) and to comply with the undertaking immediately below, plus interest at the rate set forth in Section 6621(a)(2) of the Internal Revenue Code, 26 U.S.C. 6621(a)(2), from the date of each transaction, until the date this AWC is accepted by the NAC.

⁴ See IM-2440-1(a)(5).

⁵ During this period of time, RJFS effected approximately 19 million equity transactions for all of its customers.

RJFS further undertakes to complete the following within 120 days of acceptance of this AWC: provide FINRA staff with a certification by a registered principal on behalf of RJFS that the firm has revised its automated commission schedule.

A registered principal on behalf of RJFS shall submit satisfactory proof of payment of restitution or of reasonable and documented efforts undertaken to effect restitution. Such proof shall be submitted to David B. Klafter, Esq., FINRA – Department of Enforcement, 2500 N. Military Trail, Suite 302, Boca Raton, FL, 33431-6324, either by letter that identifies RJFS and this AWC number or by e-mail from a work-related account of the registered principal of RJFS to EnforcementNotice@FINRA.org. This proof shall be provided to the FINRA staff member listed above no later than 120 days after acceptance of the AWC.

If for any reason RJFS cannot locate any customer to whom it must pay restitution after reasonable and documented efforts within 120 days from the date the AWC is accepted, or such additional period agreed to by a FINRA staff member in writing, RJFS shall forward any undistributed restitution and interest to the appropriate escheat, unclaimed property or abandoned property fund for the state in which the customer is last known to have resided. RJFS shall provide satisfactory proof of such action to the FINRA staff member identified above and in the manner described above, within 14 days of forwarding the undistributed restitution and interest to the appropriate state authority.

The imposition of a restitution order or any other monetary sanction herein, and the timing of such ordered payments, does not preclude customers from pursuing their own actions to obtain restitution or other remedies.

RJFS agrees to pay the monetary sanction upon notice that this AWC has been accepted and that such payment is due and payable. RJFS has submitted an Election of Payment form showing the method by which it proposes to pay the fine imposed.

RJFS specifically and voluntarily waives any right to claim that it is unable to pay, now or at any time hereafter, the monetary sanctions imposed in this matter.

The sanctions imposed herein shall be effective on a date set by FINRA staff.

II.

WAIVER OF PROCEDURAL RIGHTS

RJFS specifically and voluntarily waives the following rights granted under FINRA's Code of Procedure:

- A. To have a Complaint issued specifying the allegations against it;
- B. To be notified of the Complaint and have the opportunity to answer the allegations in writing;
- C. To defend against the allegations in a disciplinary hearing before a hearing panel, to have a written record of the hearing made and to have a written decision issued; and
- D. To appeal any such decision to the National Adjudicatory Council ("NAC") and then to the U.S. Securities and Exchange Commission and a U.S. Court of Appeals.

Further, RJFS specifically and voluntarily waives any right to claim bias or prejudgment of the General Counsel, the NAC, or any member of the NAC, in connection with such person's or body's participation in discussions regarding the terms and conditions of this AWC, or other consideration of this AWC, including acceptance or rejection of this AWC.

RJFS further specifically and voluntarily waives any right to claim that a person violated the ex parte prohibitions of FINRA Rule 9143 or the separation of functions prohibitions of FINRA Rule 9144, in connection with such person's or body's participation in discussions regarding the terms and conditions of this AWC, or other consideration of this AWC, including its acceptance or rejection.

III.

OTHER MATTERS

RJFS understands that:

- A. Submission of this AWC is voluntary and will not resolve this matter unless and until it has been reviewed and accepted by the NAC, a Review Subcommittee of the NAC, or the Office of Disciplinary Affairs ("ODA"), pursuant to FINRA Rule 9216;
- B. If this AWC is not accepted, its submission will not be used as evidence to prove any of the allegations against it; and

C. If accepted:

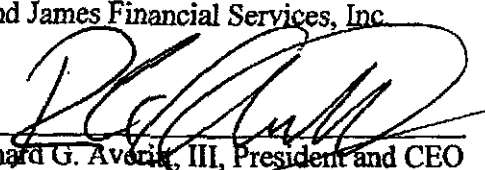
1. this AWC will become part of RJFS's permanent disciplinary record and may be considered in any future actions brought by FINRA or any other regulator against it;
2. this AWC will be made available through FINRA's public disclosure program in response to public inquiries about RJFS's disciplinary record;
3. FINRA may make a public announcement concerning this agreement and the subject matter thereof in accordance with FINRA Rule 8313; and
4. RJFS may not take any action or make or permit to be made any public statement, including in regulatory filings or otherwise, denying, directly or indirectly, any finding in this AWC or create the impression that the AWC is without factual basis. RJFS may not take any position in any proceeding brought by or on behalf of FINRA, or to which FINRA is a party, that is inconsistent with any part of this AWC. Nothing in this provision affects RJFS's right to take legal or factual positions in litigation or other legal proceedings in which FINRA is not a party.

- D. RJFS may attach a Corrective Action Statement to this AWC that is a statement of demonstrable corrective steps taken to prevent future misconduct. RJFS understands that it may not deny the charges or make any statement that is inconsistent with the AWC in this Statement. This Statement does not constitute factual or legal findings by FINRA, nor does it reflect the views of FINRA or its staff.

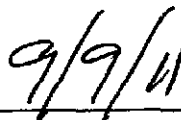
The undersigned, on behalf of RJFS, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that RJFS has agreed to its provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth herein and the prospect of avoiding the issuance of a Complaint, has been made to induce the firm to submit it.

Raymond James Financial Services, Inc.

By:


Richard G. Averitt, III, President and CEO

Date



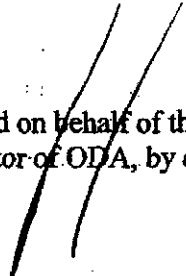
Reviewed by:


Mike D. Wolk, Esq.
Bingham McCutchen LLP
2020 K Street NW
Washington, DC 20006-1806
Phone: 202.373.6249

Counsel for Respondent

Accepted by FINRA:

SEPTEMBER 29, 2011
Date


Signed on behalf of the
Director of ODA, by delegated authority

David B. Klafter, Esq.
Deputy Regional Chief Counsel
FINRA Department of Enforcement
2500 N. Military Trail, Suite 302
Boca Raton, FL 33431-6324
Phone: (561) 443-8110
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david.klafter@finra.org

Authorized House Counsel
Admitted in NY and NJ Only

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1	04/28/2009	05/01/2009	783332109	RUTH	-900.0000	2.9500	(2,655.00)	0.01
2	04/28/2009	05/01/2009	783332109	RUTH	-900.0000	2.9500	(2,655.00)	0.01
3	03/20/2009	03/25/2009	721467108	PGPDQ	900.0000	2.0200	1,818.00	0.03
4	08/02/2007	08/07/2007	05348W109	AVNX	1175.0000	1.8177	2,135.80	0.04
5	06/08/2010	06/11/2010	530158104	USA	700.0000	4.0985	2,868.95	0.05
6	11/04/2008	11/07/2008	923366106	VSUNQ	20000.0000	0.0758	1,516.00	0.06
7	10/03/2007	10/09/2007	00253U107	ASTM	1000.0000	1.3485	1,348.50	0.06
8	10/03/2007	10/09/2007	00253U107	ASTM	1000.0000	1.3485	1,348.50	0.06
9	10/28/2009	11/02/2009	172967101	C	700.0000	4.0999	2,869.93	0.07
10	11/10/2008	11/14/2008	337930101	FBC	1000.0000	1.3488	1,348.80	0.07
11	12/13/2006	12/18/2006	30226D106	EXTR	-700.0000	4.1007	(2,870.49)	0.08
12	12/31/2008	01/06/2009	595112103	MU	-1000.0000	2.6301	(2,630.10)	0.09
13	10/13/2008	10/16/2008	345370860	F	1000.0000	2.6300	2,630.00	0.09
14	03/20/2008	03/26/2008	65488W103	NBF	1000.0000	1.3500	1,350.00	0.09
15	06/01/2007	06/06/2007	03062Y104	AWNE	-1000.0000	1.3500	(1,350.00)	0.09
16	10/20/2009	10/23/2009	52729N100	LVL	-1000.0000	1.3500	(1,350.00)	0.09
17	08/06/2008	08/11/2008	001930205	ARIS	-1000.0000	1.3500	(1,350.00)	0.09
18	05/16/2006	05/19/2006	45768R305	INFN	-1000.0000	1.3500	(1,350.00)	0.09
19	01/29/2009	02/03/2009	419131107	HAUP	-1000.0000	1.3500	(1,350.00)	0.09
20	04/09/2009	04/15/2009	749227104	RAS	1000.0000	1.3499	1,349.90	0.09
21	04/21/2008	04/24/2008	28224N100	EFSE	7398.0000	0.2050	1,516.59	0.10
22	03/14/2008	03/19/2008	027568104	AMC	-1000.0000	1.3501	(1,350.10)	0.10
23	05/24/2006	05/30/2006	021489109	ATGN	-1000.0000	1.3510	(1,351.00)	0.12
24	12/19/2008	12/24/2008	30033R108	ESLR	-750.0000	2.9510	(2,213.25)	0.13
25	03/03/2010	03/08/2010	53222K106	LFVN	4100.0000	0.3700	1,517.00	0.13
26	03/05/2008	03/10/2008	383485109	GOT	1100.0000	2.0464	2,251.04	0.15
27	09/17/2008	09/22/2008	82967N108	SIRI	-2250.0000	0.8514	(1,915.65)	0.15
28	03/13/2009	03/18/2009	172967101	C	1500.0000	1.7900	2,685.00	0.16
29	01/09/2009	01/14/2009	345370860	F	-1000.0000	2.6340	(2,634.00)	0.16
30	06/05/2006	06/08/2006	46612J101	JDSU	-800.0000	2.7323	(2,185.84)	0.16
31	03/23/2009	03/26/2009	025676206	AEL	700.0000	4.1100	2,877.00	0.20
32	05/15/2006	05/18/2006	45768R305	INFN	-1000.0000	1.3550	(1,355.00)	0.21
33	05/08/2006	05/11/2006	69423U107	PEIX	71.0000	36.9500	2,623.45	0.23
34	11/07/2008	11/13/2008	989834106	ZF	800.0000	2.9270	2,341.60	0.25
35	06/01/2009	06/04/2009	720279108	PIR	-1200.0000	2.0401	(2,448.12)	0.26
36	10/25/2006	10/30/2006	957669104	ZIF	750.0000	2.9600	2,220.00	0.26
37	10/07/2008	10/10/2008	313586109	FNM	1000.0000	1.3585	1,358.50	0.28
38	11/18/2008	11/21/2008	781182100	RT	1000.0000	1.3594	1,359.40	0.30
39	02/28/2006	03/03/2006	576818108	MZT	3500.0000	0.8051	2,817.85	0.31
40	12/16/2009	12/21/2009	739363109	PWAV	2000.0000	1.3200	2,640.00	0.31
41	06/16/2008	06/19/2008	89376N108	TMY	3000.0000	0.6688	2,006.40	0.32
42	07/23/2007	07/26/2007	516548104	LTRX	-1000.0000	1.3600	(1,360.00)	0.32
43	09/26/2008	10/01/2008	172737108	CC	-1000.0000	1.3600	(1,360.00)	0.32
44	12/06/2006	12/11/2006	14067D102	CPST	-1000.0000	1.3600	(1,360.00)	0.32
45	07/18/2008	07/23/2008	767754104	RAD	-1000.0000	1.3600	(1,360.00)	0.32
46	07/06/2006	07/11/2006	20461S108	CPTC	1000.0000	1.3600	1,360.00	0.32
47	06/21/2010	06/24/2010	78645Y102	SFES	1000.0000	1.3600	1,360.00	0.32
48	08/04/2008	08/07/2008	82966U103	SIRI	1000.0000	1.3600	1,360.00	0.32
49	12/19/2008	12/24/2008	370442105	GM	700.0000	4.1192	2,883.44	0.33
50	02/10/2006	02/15/2006	125750109	CMGI	-1000.0000	1.3610	(1,361.00)	0.34
51	02/11/2009	02/17/2009	345370860	F	1500.0000	1.8000	2,700.00	0.36
52	07/29/2010	08/03/2010	74267T109	PRWP	-2641.0000	0.7600	(2,007.16)	0.37
53	03/20/2009	03/25/2009	929566107	WNC	2000.0000	0.7600	1,520.00	0.37
54	03/30/2007	04/04/2007	313549107	FOMLQ	-2000.0000	0.7600	(1,520.00)	0.37
55	10/14/2010	10/19/2010	91357A105	UGDM	2000.0000	0.7600	1,520.00	0.37
56	07/25/2006	07/28/2006	45768R305	INFN	-2000.0000	0.7600	(1,520.00)	0.37
57	04/03/2008	04/08/2008	87609C105	TRGD	-7000.0000	0.3100	(2,170.00)	0.39

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
58	12/05/2008	12/10/2008	552848103	MTG	1000.0000	2.1385	2,138.50	0.39
59	08/05/2010	08/10/2010	37990W103	GHLV	10000.0000	0.2000	2,000.00	0.39
60	01/30/2009	02/04/2009	26817G102	DYN	-1000.0000	2.1400	(2,140.00)	0.41
61	04/02/2009	04/07/2009	370442105	GM	1000.0000	2.1400	2,140.00	0.41
62	02/13/2006	02/16/2006	888314101	TTP	1000.0000	2.1400	2,140.00	0.41
63	10/10/2008	10/16/2008	345370860	F	1000.0000	2.1399	2,139.90	0.41
64	05/07/2009	05/12/2009	26153R100	DCS	900.0000	2.0388	1,834.92	0.41
65	11/05/2008	11/10/2008	865378103	SUF	900.0000	2.0400	1,836.00	0.43
66	08/26/2008	08/29/2008	269246104	ETFC	-800.0000	2.9400	(2,352.00)	0.45
67	04/28/2009	05/01/2009	529043101	LXP	-692.0000	4.1301	(2,858.03)	0.47
68	10/11/2010	10/14/2010	82967N108	SIRI	2000.0000	1.3285	2,657.00	0.50
69	08/06/2008	08/11/2008	246911101	DLIA	-1254.0000	1.6015	(2,008.28)	0.50
70	02/16/2007	02/22/2007	206710204	CCUR	1000.0000	1.5205	1,520.50	0.50
71	11/21/2008	11/26/2008	345370860	F	1000.0000	1.3685	1,368.50	0.50
72	09/05/2006	09/08/2006	70558E108	PGWC	500.0000	1.2000	600.00	0.50
73	06/06/2006	06/09/2006	25454R207	DIO	1000.0000	1.3699	1,369.90	0.53
74	06/05/2009	06/10/2009	767754104	RAD	1500.0000	1.8088	2,713.20	0.54
75	12/04/2009	12/09/2009	52729N100	LVL	1000.0000	1.3700	1,370.00	0.54
76	10/15/2009	10/20/2009	559091301	MPET	1000.0000	1.3700	1,370.00	0.54
77	03/17/2009	03/20/2009	74963F106	RMR	-1000.0000	1.3700	(1,370.00)	0.54
78	08/24/2009	08/27/2009	313586109	FNM	1000.0000	1.3700	1,370.00	0.54
79	01/22/2009	01/27/2009	195493309	CNB	1500.0000	1.8100	2,715.00	0.56
80	10/23/2008	10/28/2008	026874107	AIG	840.0000	2.1799	1,831.12	0.56
81	01/21/2009	01/26/2009	74347R743	UYG	750.0000	2.9800	2,235.00	0.57
82	04/28/2009	05/01/2009	37250U201	GMET	2500.0000	0.8692	2,173.00	0.57
83	04/09/2010	04/14/2010	313400301	FRE	-1000.0000	1.3715	(1,371.50)	0.57
84	05/11/2006	05/16/2006	549463107	LU	-1000.0000	2.6601	(2,660.10)	0.59
85	01/06/2006	01/11/2006	46612J101	JDSU	-1000.0000	2.5094	(2,509.40)	0.60
86	09/20/2006	09/25/2006	265338202	DNE	-1000.0000	1.3730	(1,373.00)	0.60
87	02/10/2010	02/16/2010	633643408	NBG	700.0000	4.1399	2,897.93	0.61
88	12/22/2006	12/28/2006	749547105	RFDL	-3000.0000	0.5800	(1,740.00)	0.61
89	11/12/2007	11/15/2007	52729N100	LVL	800.0000	3.1383	2,510.64	0.64
90	04/11/2006	04/17/2006	549463107	LU	-1000.0000	3.0000	(3,000.00)	0.65
91	11/24/2009	11/30/2009	87161C105	SNV	1270.0000	1.6092	2,043.68	0.66
92	05/15/2009	05/20/2009	150921104	CEGE	-4000.0000	0.5030	(2,012.00)	0.66
93	03/16/2009	03/19/2009	19247L106	RQI	-1138.0000	2.0835	(2,371.02)	0.69
94	06/02/2009	06/05/2009	370442105	GMGMQ	2000.0000	0.7621	1,524.20	0.69
95	04/09/2009	04/15/2009	345370860	F	-700.0000	4.1500	(2,905.00)	0.74
96	10/10/2008	10/16/2008	345370860	F	1000.0000	2.1590	2,159.00	0.74
97	01/29/2008	02/01/2008	973146103	WNEA	2600.0000	1.1500	2,990.00	0.75
98	04/27/2006	05/02/2006	171779101	CIEN	-625.0000	3.9088	(2,443.00)	0.75
99	03/15/2006	03/20/2006	05348W109	AVNX	750.0000	3.1610	2,370.75	0.75
100	09/12/2006	09/15/2006	007928104	ADVR	-25000.0000	0.0610	(1,525.00)	0.75
101	04/26/2006	05/01/2006	45885A102	IIP	1000.0000	1.3799	1,379.90	0.75
102	06/05/2009	06/10/2009	767754104	RAD	1500.0000	1.8196	2,729.40	0.76
103	02/20/2009	02/25/2009	502161102	LSI	-1000.0000	2.6710	(2,671.00)	0.76
104	11/19/2007	11/23/2007	85375C101	SPF	-800.0000	2.9600	(2,368.00)	0.76
105	06/26/2007	06/29/2007	82966U103	SIRI	-800.0000	2.9600	(2,368.00)	0.76
106	09/30/2008	10/03/2008	929903102	WB	800.0000	2.9600	2,368.00	0.76
107	10/19/2009	10/22/2009	313400301	FRE	-1000.0000	1.3801	(1,380.10)	0.76
108	02/23/2010	02/26/2010	266898105	DUSA	1000.0000	1.3800	1,380.00	0.76
109	05/08/2006	05/11/2006	88579F102	TDCP	2000.0000	1.3400	2,680.00	0.77
110	02/13/2006	02/16/2006	59139P104	MSLV	792.0000	3.1504	2,495.12	0.79
111	09/24/2007	09/27/2007	279160105	ECPL	45000.0000	0.0339	1,525.50	0.79
112	01/27/2006	02/01/2006	457642205	INOD	900.0000	3.0000	2,700.00	0.80
113	02/16/2007	02/22/2007	206710204	CCUR	1000.0000	1.5378	1,537.80	0.80
114	07/21/2009	07/24/2009	238108203	DRAM	-1000.0000	1.3820	(1,382.00)	0.80

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
115	10/10/2008	10/16/2008	52729N100	LVL	-1000.0000	1.3818	(1,381.80)	0.80
116	12/16/2009	12/21/2009	69313P101	PABK	1000.0000	1.3825	1,382.50	0.81
117	07/31/2008	08/05/2008	92933E108	WSFG	1000.0000	1.3840	1,384.00	0.84
118	05/02/2007	05/07/2007	87929J103	TSYS	-700.0000	3.9445	(2,761.15)	0.85
119	04/08/2009	04/14/2009	517834107	LVS	700.0000	4.1590	2,911.30	0.86
120	04/20/2007	04/25/2007	82966U103	SIRI	-750.0000	3.0000	(2,250.00)	0.87
121	08/10/2006	08/15/2006	37945F106	GMUS	750.0000	3.0000	2,250.00	0.87
122	12/15/2008	12/18/2008	466090107	JASO	750.0000	2.9999	2,249.93	0.87
123	01/08/2010	01/13/2010	446150104	HBAN	-670.0000	4.1600	(2,787.20)	0.88
124	06/17/2009	06/22/2009	44977W106	PPR	-667.0000	4.1604	(2,774.99)	0.88
125	01/04/2006	01/09/2006	038188306	ADSX	-1000.0000	2.6800	(2,680.00)	0.91
126	07/06/2006	07/11/2006	23321E103	DHBT	-2400.0000	0.8400	(2,016.00)	0.91
127	06/01/2009	06/04/2009	749941100	RFMD	794.0000	2.9700	2,358.18	0.92
128	09/18/2008	09/23/2008	026874107	AIG	1000.0000	2.1699	2,169.90	0.93
129	08/04/2008	08/07/2008	030145205	ATCO	-1500.0000	1.3320	(1,998.00)	0.94
130	11/19/2008	11/24/2008	345370860	F	1000.0000	1.3885	1,388.50	0.94
131	11/15/2006	11/20/2006	26200S101	DHF	-644.0000	4.3400	(2,794.96)	0.97
132	10/16/2009	10/21/2009	023139108	ABK	1000.0000	1.3899	1,389.90	0.97
133	07/07/2006	07/12/2006	927451104	VNBL	30425.0000	0.0663	2,017.18	0.98
134	02/09/2006	02/14/2006	125750109	CMGI	-1000.0000	1.3900	(1,390.00)	0.98
135	12/13/2006	12/18/2006	125750109	CMGI	-1000.0000	1.3900	(1,390.00)	0.98
136	08/05/2009	08/10/2009	23126R101	CRGN	1000.0000	1.3900	1,390.00	0.98
137	04/29/2009	05/04/2009	026874107	AIG	1000.0000	1.3900	1,390.00	0.98
138	11/10/2008	11/14/2008	14067D102	CPST	1000.0000	1.3900	1,390.00	0.98
139	03/02/2009	03/05/2009	023139108	ABK	4500.0000	0.6398	2,879.10	1.00
140	12/19/2008	12/24/2008	370442105	GM	675.0000	4.1692	2,814.21	1.00
141	11/03/2008	11/06/2008	831756101	SWHC	1000.0000	2.3790	2,379.00	1.00
142	10/11/2007	10/16/2007	67060N204	NTRZ	1000.0000	1.6900	1,690.00	1.00
143	08/24/2007	08/29/2007	206710204	CCUR	1000.0000	1.5410	1,541.00	1.00
144	03/23/2010	03/26/2010	002083103	ATSI	1000.0000	2.4859	2,485.90	1.03
145	07/24/2009	07/29/2009	24869P104	DENN	-1300.0000	2.1300	(2,769.00)	1.04
146	04/19/2006	04/24/2006	549463107	LU	-800.0000	2.9800	(2,384.00)	1.07
147	12/05/2008	12/10/2008	193068103	CWTR	1000.0000	2.1800	2,180.00	1.11
148	05/07/2009	05/12/2009	37247D106	GNW	640.0000	4.4298	2,835.07	1.13
149	12/10/2009	12/15/2009	31567R100	FTWR	-3000.0000	0.5100	(1,530.00)	1.14
150	10/28/2008	10/31/2008	103304101	BYD	-700.0000	4.1801	(2,926.07)	1.15
151	05/02/2008	05/07/2008	87929J103	TSYS	-700.0000	4.1800	(2,926.00)	1.15
152	06/20/2007	06/25/2007	903213106	UQM	-660.0000	4.1801	(2,758.87)	1.15
153	02/24/2009	02/27/2009	316773100	FITB	1000.0000	1.3980	1,398.00	1.15
154	11/19/2008	11/24/2008	345370860	F	1000.0000	1.3985	1,398.50	1.16
155	04/01/2009	04/06/2009	370442105	GM	-1000.0000	1.7600	(1,760.00)	1.17
156	06/09/2009	06/12/2009	16942H109	SHZ	1000.0000	1.3989	1,398.90	1.17
157	03/12/2007	03/15/2007	009253105	ABEW	10000.0000	0.2500	2,500.00	1.18
158	05/26/2009	05/29/2009	192108108	CDE	1000.0000	1.3998	1,399.80	1.19
159	04/24/2009	04/29/2009	026874107	AIG	939.0000	1.5000	1,408.50	1.20
160	02/04/2010	02/09/2010	30033R108	ESLR	-1000.0000	1.4000	(1,400.00)	1.20
161	05/20/2008	05/23/2008	75061P102	RAE	1000.0000	1.4000	1,400.00	1.20
162	05/01/2009	05/06/2009	026874107	AIG	1000.0000	1.4000	1,400.00	1.20
163	12/28/2006	01/03/2007	222814204	DVW	-1000.0000	1.4000	(1,400.00)	1.20
164	03/10/2006	03/15/2006	928628106	VOII	1000.0000	1.4000	1,400.00	1.20
165	01/09/2009	01/14/2009	52729N100	LVL	-1000.0000	1.4000	(1,400.00)	1.20
166	04/01/2010	04/07/2010	723839106	PRRR	1000.0000	2.7000	2,700.00	1.24
167	12/19/2008	12/24/2008	30033R108	ESLR	-800.0000	2.9910	(2,392.80)	1.24
168	10/14/2008	10/17/2008	345370860	F	900.0000	2.5190	2,267.10	1.24
169	06/22/2006	06/27/2006	866810104	SUNW	-650.0000	4.2500	(2,762.50)	1.25
170	12/04/2009	12/09/2009	920961109	VVR	700.0000	4.1890	2,932.30	1.27
171	11/24/2006	11/29/2006	583538103	MKTY	1000.0000	2.1899	2,189.90	1.28

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
172	03/08/2010	03/11/2010	920961109	VVR	-620.0000	4.8515	(3,007.93)	0.89
173	02/07/2006	02/10/2006	55274R103	MEMS	-1500.0000	1.5500	(2,325.00)	1.33
174	04/12/2007	04/17/2007	33841N103	FGHH	2000.0000	1.3650	2,730.00	1.35
175	01/02/2008	01/07/2008	590479101	MESA	-750.0000	2.7982	(2,098.65)	1.35
176	03/30/2010	04/05/2010	457669208	INSM	-1900.0000	1.1101	(2,109.19)	1.39
177	04/20/2009	04/23/2009	254668106	DSCO	-3000.0000	0.7914	(2,374.20)	1.40
178	08/10/2007	08/15/2007	206710204	CCUR	1000.0000	1.5614	1,561.40	1.40
179	09/30/2009	10/05/2009	125581108	CIT	1000.0000	1.4090	1,409.00	1.40
180	11/20/2009	11/25/2009	172967101	C	-700.0000	4.2001	(2,940.07)	1.42
181	01/19/2006	01/24/2006	89141B108	TREN	1300.0000	2.1500	2,795.00	1.42
182	02/19/2009	02/24/2009	30033R108	ESLR	-1000.0000	1.4100	(1,410.00)	1.42
183	01/21/2009	01/26/2009	501014104	KKD	-1000.0000	1.4100	(1,410.00)	1.42
184	11/21/2006	11/27/2006	247361108	DALRQ	1000.0000	1.4100	1,410.00	1.42
185	02/01/2008	02/06/2008	03073V107	AMTY	1000.0000	2.1988	2,198.80	1.43
186	04/27/2007	05/02/2007	00253U107	ASTM	-1000.0000	1.4105	(1,410.50)	1.43
187	03/06/2009	03/11/2009	529043101	LXP	-1000.0000	2.2001	(2,200.10)	1.45
188	04/16/2007	04/19/2007	33841N103	FGHH	2000.0000	1.3700	2,740.00	1.46
189	01/08/2010	01/13/2010	754055101	RZ	2000.0000	1.3699	2,739.80	1.46
190	03/02/2007	03/07/2007	29382F103	ENMD	1600.0000	1.5000	2,400.00	1.47
191	01/22/2009	01/27/2009	74347R743	UYG	750.0000	3.0400	2,280.00	1.48
192	12/11/2009	12/16/2009	50575Q102	LTS	-2249.0000	0.6823	(1,534.49)	1.48
193	05/11/2006	05/16/2006	46612J101	JDSU	-1000.0000	2.9085	(2,908.50)	1.50
194	07/12/2006	07/17/2006	87929J103	TSYS	1000.0000	2.3515	2,351.50	1.50
195	02/23/2006	02/28/2006	651360109	NWGN	-1000.0000	1.4150	(1,415.00)	1.53
196	03/07/2008	03/12/2008	902636109	UCNN	800.0000	3.0100	2,408.00	1.54
197	05/31/2007	06/05/2007	367220100	GSX	-838.0000	2.2500	(1,885.50)	1.54
198	03/05/2008	03/10/2008	83545M109	SNCI	-700.0000	4.2100	(2,947.00)	1.55
199	06/25/2009	06/30/2009	172967101	C	925.0000	3.0100	2,784.25	1.56
200	02/22/2006	02/27/2006	038188306	ADSX	-1000.0000	2.7200	(2,720.00)	1.56
201	11/19/2007	11/23/2007	60443P103	BUF	1000.0000	2.7199	2,719.90	1.56
202	06/30/2009	07/06/2009	427825104	HT	900.0000	2.5400	2,286.00	1.58
203	03/13/2007	03/16/2007	206710204	CCUR	1000.0000	1.5716	1,571.60	1.60
204	01/12/2010	01/15/2010	313400301	FRE	1000.0000	1.4185	1,418.50	1.61
205	07/19/2006	07/24/2006	553477100	MRVC	1000.0000	2.7240	2,724.00	1.63
206	10/10/2008	10/16/2008	71902E109	PNX	750.0000	3.0500	2,287.50	1.63
207	03/08/2007	03/13/2007	15118V108	CSUH	750.0000	3.0500	2,287.50	1.63
208	10/11/2006	10/16/2006	46612J101	JDSU	-850.0000	1.9316	(1,641.86)	1.64
209	10/27/2008	10/30/2008	026874107	AIG	1000.0000	1.4200	1,420.00	1.64
210	04/26/2010	04/29/2010	453838104	IBCP	1000.0000	1.4200	1,420.00	1.64
211	04/27/2009	04/30/2009	026874107	AIG	1000.0000	1.4200	1,420.00	1.64
212	09/21/2009	09/24/2009	52729N100	LVL	1000.0000	1.4200	1,420.00	1.64
213	05/30/2007	06/04/2007	039209101	KAD	1000.0000	1.4199	1,419.90	1.64
214	06/25/2007	06/28/2007	223574104	CVST	-2000.0000	0.8200	(1,640.00)	1.65
215	09/30/2009	10/05/2009	125581108	CIT	-1000.0000	1.4205	(1,420.50)	1.65
216	10/15/2010	10/20/2010	82967N108	SIRI	2000.0000	1.3785	2,757.00	1.66
217	09/16/2009	09/21/2009	29271Q103	ESA	-799.0000	3.0190	(2,412.18)	1.67
218	03/11/2009	03/16/2009	150602209	CDR	-750.0000	3.0527	(2,289.53)	1.67
219	03/03/2010	03/08/2010	172967101	C	-725.0000	3.4115	(2,473.34)	1.69
220	07/31/2009	08/05/2009	172967101	C	-800.0000	3.0201	(2,416.08)	1.69
221	12/23/2008	12/29/2008	370442105	GM	-785.0000	3.0200	(2,370.70)	1.69
222	03/30/2010	04/05/2010	03077G106	AMEL	2000.0000	1.3800	2,760.00	1.70
223	09/06/2007	09/11/2007	26884J104	ERHE	-8250.0000	0.2550	(2,103.75)	1.71
224	02/03/2006	02/08/2006	038188306	ADSX	-1000.0000	2.7300	(2,730.00)	1.73
225	09/26/2008	10/01/2008	026874107	AIG	-749.0000	3.0700	(2,299.43)	1.74
226	05/13/2009	05/18/2009	747906204	QTM	3000.0000	0.9496	2,848.80	1.75
227	07/14/2009	07/17/2009	82967N108	SIRI	-4000.0000	0.3845	(1,538.00)	1.75
228	01/08/2009	01/13/2009	98974P100	ZIXI	1000.0000	1.4270	1,427.00	1.79

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
229	12/18/2009	12/23/2009	225646108	CSNT	5000.0000	0.5700	2,850.00	1.80
230	05/18/2010	05/21/2010	74624D102	PSRU	100000.0000	0.0285	2,850.00	1.80
231	09/18/2006	09/21/2006	150934107	CTIC	1000.0000	2.2200	2,220.00	1.80
232	11/24/2009	11/30/2009	172967101	C	-660.0000	4.2301	(2,791.87)	1.82
233	07/14/2009	07/17/2009	45031U101	SFI	-1000.0000	2.2210	(2,221.00)	1.82
234	10/16/2008	10/21/2008	345370860	F	-976.0000	2.2210	(2,167.70)	1.82
235	11/17/2006	11/22/2006	667280101	NWACQ	-880.0000	2.1100	(1,856.80)	1.82
236	10/28/2008	10/31/2008	760112102	RTK	-6000.0000	0.4751	(2,850.60)	1.83
237	05/31/2006	06/05/2006	871562203	SYGR	-700.0000	4.0131	(2,809.17)	1.83
238	02/05/2010	02/10/2010	911549103	UAMY	-4050.0000	0.3800	(1,539.00)	1.83
239	09/18/2009	09/23/2009	76028H100	RPRX	1000.0000	1.4288	1,428.80	1.83
240	03/24/2009	03/27/2009	676220106	ODP	1000.0000	1.4286	1,428.60	1.83
241	03/24/2009	03/27/2009	471894105	JAV	1000.0000	1.4300	1,430.00	1.86
242	10/11/2010	10/14/2010	16942H109	SHZ	1000.0000	1.4300	1,430.00	1.86
243	10/09/2009	10/15/2009	799560107	SNWT	1000.0000	1.4300	1,430.00	1.86
244	06/20/2006	06/23/2006	37245M207	GNTA	-1000.0000	1.4300	(1,430.00)	1.86
245	03/25/2008	03/28/2008	65488W103	NBF	1000.0000	1.4299	1,429.90	1.86
246	03/06/2008	03/11/2008	885218107	TMA	-1000.0000	1.4306	(1,430.60)	1.87
247	09/30/2009	10/05/2009	125581108	CIT	-1000.0000	1.4303	(1,430.30)	1.87
248	12/15/2009	12/18/2009	866264203	SSBI	-694.0000	4.3572	(3,023.90)	0.68
249	12/22/2006	12/28/2006	904622206	UCOI	183300.0000	0.0084	1,539.72	1.89
250	12/01/2009	12/04/2009	739363109	PWAV	2000.0000	1.3887	2,777.40	1.90
251	12/01/2008	12/04/2008	345370860	F	1000.0000	2.7400	2,740.00	1.90
252	10/13/2009	10/16/2009	090627100	BMOD	-10000.0000	0.2600	(2,600.00)	1.91
253	05/30/2007	06/04/2007	009213109	ATPN	-5500.0000	0.2800	(1,540.00)	1.91
254	07/20/2009	07/23/2009	929566107	WNC	2000.0000	0.7700	1,540.00	1.91
255	10/07/2010	10/13/2010	04012E107	AGXM	-2000.0000	0.7700	(1,540.00)	1.91
256	02/10/2010	02/16/2010	984249102	YRCW	2200.0000	0.7000	1,540.00	1.91
257	02/19/2010	02/24/2010	338487101	FLMP	-4400.0000	0.3500	(1,540.00)	1.91
258	05/01/2009	05/06/2009	313400301	FRE	-2000.0000	0.7700	(1,540.00)	1.91
259	09/16/2008	09/19/2008	82967N108	SIRI	2000.0000	0.7700	1,540.00	1.91
260	04/18/2006	04/21/2006	45768R305	INFN	-2000.0000	0.7700	(1,540.00)	1.91
261	11/19/2008	11/24/2008	345370860	F	1370.0000	1.2985	1,778.95	1.93
262	09/21/2009	09/24/2009	35907K105	FTBK	-2424.0000	0.9836	(2,384.25)	1.94
263	06/12/2008	06/17/2008	68338A107	ONT	-2000.0000	0.7702	(1,540.40)	1.94
264	05/12/2009	05/15/2009	872449103	TIBB	740.0000	3.2100	2,375.40	1.96
265	02/04/2009	02/09/2009	52729N100	LVL	-1079.0000	1.0000	(1,079.00)	1.96
266	09/19/2006	09/22/2006	247361108	DALRQ	-1500.0000	1.3800	(2,070.00)	1.98
267	01/22/2007	01/25/2007	00253U107	ASTM	1500.0000	1.3800	2,070.00	1.98
268	04/27/2006	05/02/2006	05348W109	AVNX	1000.0000	2.3820	2,382.00	2.00
269	02/20/2009	02/25/2009	224916106	CIK	-880.0000	2.1200	(1,865.60)	2.02
270	08/06/2008	08/11/2008	82967N108	SIRI	1000.0000	1.4382	1,438.20	2.04
271	05/05/2009	05/08/2009	26884J104	ERHE	-7500.0000	0.3950	(2,962.50)	2.05
272	08/13/2010	08/18/2010	652903204	NEXM	-1094.0000	2.6800	(2,931.92)	2.07
273	04/22/2009	04/27/2009	026874107	AIG	1000.0000	1.4398	1,439.80	2.07
274	09/21/2009	09/24/2009	530158104	USA	659.0000	4.2490	2,800.09	2.08
275	10/02/2007	10/05/2007	318465101	BPSG	-1250.0000	1.6800	(2,100.00)	2.08
276	08/11/2008	08/14/2008	82967N108	SIRI	1000.0000	1.4400	1,440.00	2.08
277	06/26/2009	07/01/2009	026874107	AIG	1000.0000	1.4400	1,440.00	2.08
278	03/10/2009	03/13/2009	172967101	C	1000.0000	1.4400	1,440.00	2.08
279	05/16/2007	05/21/2007	206710204	CCUR	1000.0000	1.5921	1,592.10	2.10
280	02/22/2007	02/27/2007	206710204	CCUR	1000.0000	1.5921	1,592.10	2.10
281	02/26/2009	03/03/2009	02503Y103	ACAS	-1000.0000	1.4408	(1,440.80)	2.10
282	08/10/2009	08/13/2009	82967N108	SIRI	-4600.0000	0.5190	(2,387.40)	2.11
283	04/19/2010	04/22/2010	313400301	FRE	-1000.0000	1.4415	(1,441.50)	2.11
284	05/07/2008	05/12/2008	74439M107	PSDV	1100.0000	1.0060	1,106.60	2.11
285	10/26/2006	10/31/2006	74340R104	PLI	-672.0000	4.2524	(2,857.61)	2.13

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
286	03/11/2009	03/16/2009	150602209	CDR	-900.0000	3.0851	(2,776.59)	2.13
287	01/28/2009	02/02/2009	456607100	IDMCQ	20000.0000	0.1100	2,200.00	2.13
288	11/05/2007	11/08/2007	27885K108	ESFS	-10000.0000	0.2200	(2,200.00)	2.13
289	01/13/2010	01/19/2010	88650T104	TBV	780.0000	3.0491	2,378.30	2.14
290	09/02/2010	09/08/2010	095333100	BLU	800.0000	3.0500	2,440.00	2.15
291	04/10/2006	04/13/2006	549463107	LU	-800.0000	3.0500	(2,440.00)	2.15
292	06/18/2009	06/23/2009	172967101	C	795.0000	3.0500	2,424.75	2.15
293	03/03/2008	03/06/2008	09856E105	BKHM	-1000.0000	1.4440	(1,444.00)	2.17
294	02/12/2007	02/15/2007	206710204	CCUR	2000.0000	1.4861	2,972.20	2.20
295	08/25/2008	08/28/2008	989837109	ZTR	700.0000	4.2594	2,981.58	2.22
296	03/31/2010	04/06/2010	472100106	JYHW	-1500.0000	1.0000	(1,500.00)	2.22
297	08/06/2009	08/11/2009	224916106	CIK	750.0000	3.0896	2,317.20	2.23
298	08/04/2009	08/07/2009	64049M209	NGNM	1000.0000	1.8100	1,810.00	2.23
299	07/09/2010	07/14/2010	68557K109	OWW	-700.0000	4.2609	(2,982.63)	2.24
300	12/15/2009	12/18/2009	427093109	HERO	-628.0000	4.7615	(2,990.22)	2.28
301	02/05/2009	02/10/2009	060505104	BAC	640.0000	4.5190	2,892.16	2.28
302	04/26/2010	04/29/2010	767754104	RAD	1000.0000	1.4490	1,449.00	2.28
303	03/19/2009	03/24/2009	82967N108	SIRI	5000.0000	0.3090	1,545.00	2.29
304	02/23/2009	02/26/2009	345370860	F	1400.0000	1.7670	2,473.80	2.30
305	03/12/2007	03/15/2007	206710204	CCUR	1000.0000	1.6023	1,602.30	2.30
306	03/12/2007	03/15/2007	206710204	CCUR	1000.0000	1.6023	1,602.30	2.30
307	03/12/2007	03/15/2007	206710204	CCUR	1000.0000	1.6023	1,602.30	2.30
308	06/30/2008	07/03/2008	905399101	UBSH	100.0000	15.2300	1,523.00	2.30
309	05/21/2010	05/26/2010	269246104	ETFCOLD	1000.0000	1.4500	1,450.00	2.30
310	01/13/2006	01/19/2006	378970107	GBVS	1000.0000	1.4500	1,450.00	2.30
311	10/10/2008	10/16/2008	780097721	RBS	1000.0000	1.4500	1,450.00	2.30
312	12/30/2008	01/05/2009	598251106	MBHI	-1000.0000	1.4500	(1,450.00)	2.30
313	09/18/2009	09/23/2009	150934503	CTIC	1000.0000	1.4500	1,450.00	2.30
314	04/01/2008	04/04/2008	885218107	TMA	1000.0000	1.4498	1,449.80	2.30
315	05/22/2009	05/28/2009	370442105	GM	-1000.0000	1.4510	(1,451.00)	2.32
316	12/18/2008	12/23/2008	00846L101	FEED	1000.0000	2.2500	2,250.00	2.33
317	08/29/2006	09/01/2006	222814204	DVW	-1000.0000	1.4512	(1,451.20)	2.33
318	12/05/2006	12/08/2006	368689105	GLGC	-1000.0000	1.4518	(1,451.80)	2.34
319	12/15/2008	12/18/2008	22765U102	XTEX	-696.0000	4.2700	(2,971.92)	2.36
320	04/05/2010	04/08/2010	172967101	C	-682.0000	4.2700	(2,912.14)	2.36
321	04/13/2009	04/16/2009	95766L107	MHY	665.0000	4.2699	2,839.48	2.36
322	01/03/2008	01/08/2008	60477P102	MCGL	3000.0000	0.6800	2,040.00	2.39
323	02/07/2008	02/12/2008	351465109	FXPE	3000.0000	0.6800	2,040.00	2.39
324	05/22/2009	05/28/2009	38500T101	GTE	1100.0000	2.6990	2,968.90	2.40
325	02/11/2009	02/17/2009	14067D102	CPST	-2000.0000	0.7732	(1,546.40)	2.40
326	10/10/2008	10/16/2008	026874107	AIG	900.0000	2.1399	1,925.91	2.41
327	10/22/2010	10/27/2010	98912M201	ZAAP	-4500.0000	0.4900	(2,205.00)	2.42
328	06/07/2010	06/10/2010	528872104	LXRX	1500.0000	1.3998	2,099.70	2.42
329	12/26/2008	12/31/2008	75734R105	FRZ	-1000.0000	1.8201	(1,820.10)	2.44
330	11/21/2008	11/26/2008	64190A103	NRO	1000.0000	1.4570	1,457.00	2.45
331	08/21/2009	08/26/2009	422704106	HL	-800.0000	3.0701	(2,456.08)	2.46
332	07/11/2006	07/14/2006	46612J101	JDSU	-900.0000	1.9706	(1,773.54)	2.46
333	02/17/2006	02/23/2006	46612J101	JDSU	750.0000	3.2733	2,454.98	2.47
334	05/16/2006	05/19/2006	82966U103	SIRI	660.0000	4.2800	2,824.80	2.50
335	12/08/2008	12/11/2008	74963F106	RMR	-1200.0000	2.1613	(2,593.56)	2.50
336	11/07/2006	11/10/2006	624581104	MOVI	1000.0000	2.2600	2,260.00	2.50
337	09/14/2006	09/19/2006	14160C100	CTE	-1000.0000	1.4601	(1,460.10)	2.52
338	05/01/2006	05/04/2006	125750109	CMGI	-1000.0000	1.4600	(1,460.00)	2.52
339	01/22/2008	01/25/2008	786449108	SFE	1000.0000	1.4600	1,460.00	2.52
340	09/08/2009	09/11/2009	913459202	UNDT	-450000.0000	0.0043	(1,935.00)	2.54
341	09/16/2008	09/19/2008	026874107	AIG	1000.0000	2.7800	2,780.00	2.55
342	12/15/2008	12/18/2008	81662W108	SGLP	-800.0000	3.0760	(2,460.80)	2.55

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
343	10/03/2008	10/08/2008	82967N108	SIRI	2500.0000	0.6193	1,548.25	2.55
344	05/07/2008	05/12/2008	441339108	HOTT	625.0000	4.8500	3,031.25	1.02
345	01/06/2009	01/09/2009	64190A103	NRO	835.0000	2.3339	1,948.81	2.58
346	10/13/2010	10/18/2010	82967N108	SIRI	2000.0000	1.4185	2,837.00	2.59
347	10/24/2008	10/29/2008	460593106	INPH	900.0000	2.1500	1,935.00	2.61
348	10/07/2008	10/10/2008	09255M104	CYE	700.0000	4.2897	3,002.79	2.48
349	04/10/2006	04/13/2006	13738Y107	CNVX	-800.0000	3.0800	(2,464.00)	2.62
350	12/21/2009	12/24/2009	749121109	Q	700.0000	4.2900	3,003.00	2.48
351	02/23/2009	02/26/2009	32054K103	FR	-800.0000	3.0810	(2,464.80)	2.63
352	02/23/2009	02/26/2009	172967101	C	1000.0000	2.2692	2,269.20	2.66
353	12/30/2008	01/05/2009	345370860	F	1000.0000	2.2700	2,270.00	2.67
354	05/29/2009	06/03/2009	163893100	CEMJQ	5000.0000	0.3140	1,570.00	2.68
355	01/25/2010	01/28/2010	26841Y103	EDIG	10000.0000	0.1550	1,550.00	2.68
356	09/02/2009	09/08/2009	82967N108	SIRI	-2500.0000	0.6200	(1,550.00)	2.68
357	08/10/2010	08/13/2010	58405Y104	MCLN	100000.0000	0.0155	1,550.00	2.68
358	07/11/2007	07/16/2007	831702105	SMKM	-50000.0000	0.0310	(1,550.00)	2.68
359	12/28/2006	01/03/2007	105022206	BRHI	5000.0000	0.3100	1,550.00	2.68
360	04/20/2007	04/25/2007	29259F103	ENDE	50000.0000	0.0310	1,550.00	2.68
361	07/07/2009	07/10/2009	45810J103	ITKG	5000.0000	0.3100	1,550.00	2.68
362	02/18/2010	02/23/2010	16934Q109	CIM	710.0000	3.7191	2,640.56	2.70
363	03/23/2010	03/26/2010	150934503	CTIC	2500.0000	0.6201	1,550.25	2.70
364	12/18/2008	12/23/2008	464119106	IFC	2000.0000	1.4240	2,848.00	2.71
365	02/09/2009	02/12/2009	147448104	CWST	1000.0000	2.7900	2,790.00	2.71
366	03/03/2008	03/06/2008	82966U103	SIRI	-1000.0000	2.7900	(2,790.00)	2.71
367	12/12/2007	12/17/2007	89108P108	TOGI	-17000.0000	0.1300	(2,210.00)	2.71
368	11/20/2008	11/25/2008	345370860	F	1000.0000	1.4685	1,468.50	2.71
369	03/04/2010	03/09/2010	29404F107	EVOMY	3500.0000	0.8200	2,870.00	2.72
370	06/29/2006	07/05/2006	871562203	SYGR	-700.0000	4.3000	(3,010.00)	2.24
371	02/21/2006	02/24/2006	866810104	SUNW	-700.0000	4.3000	(3,010.00)	2.24
372	12/30/2008	01/05/2009	65370F101	NCV	-700.0000	4.3000	(3,010.00)	2.24
373	12/18/2008	12/23/2008	305560104	FRP	800.0000	3.0880	2,470.40	2.74
374	05/16/2006	05/19/2006	69073F103	OWENQ	-1000.0000	1.4700	(1,470.00)	2.74
375	04/24/2009	04/29/2009	026874107	AIG	1000.0000	1.4700	1,470.00	2.74
376	04/19/2007	04/24/2007	98950P108	ZHNE	1000.0000	1.4700	1,470.00	2.74
377	03/24/2009	03/27/2009	026874107	AIG	-1000.0000	1.4700	(1,470.00)	2.74
378	09/15/2006	09/20/2006	98950P108	ZHNE	-1000.0000	1.4700	(1,470.00)	2.74
379	01/15/2009	01/21/2009	026874107	AIG	-1000.0000	1.4700	(1,470.00)	2.74
380	01/22/2010	01/27/2010	65106G100	NWCI	1000.0000	1.4700	1,470.00	2.74
381	11/16/2007	11/21/2007	928497106	VTSS	-2900.0000	0.9900	(2,871.00)	2.77
382	08/26/2010	08/31/2010	591176102	MEA	-800.0000	3.0900	(2,472.00)	2.77
383	06/05/2009	06/10/2009	767754104	RAD	1500.0000	1.9196	2,879.40	2.78
384	03/07/2006	03/10/2006	92644A106	VTYC	40000.0000	0.0660	2,640.00	2.79
385	07/20/2010	07/23/2010	92532R203	VCSY	80000.0000	0.0300	2,400.00	2.79
386	10/08/2008	10/14/2008	345370860	F	1000.0000	2.7960	2,796.00	2.81
387	06/17/2009	06/22/2009	29271Q103	ESA	799.0000	3.0931	2,471.39	2.82
388	12/23/2008	12/29/2008	345370860	F	-856.0000	2.1603	(1,849.22)	2.82
389	02/21/2006	02/24/2006	79586Q108	LIV	-7500.0000	0.3201	(2,400.75)	2.83
390	02/24/2009	02/27/2009	87311M102	TXCO	-1200.0000	1.3125	(1,575.00)	2.83
391	05/27/2009	06/01/2009	466090107	JASO	-650.0000	4.3700	(2,840.50)	2.84
392	06/14/2006	06/19/2006	549463107	LU	-1000.0000	2.2801	(2,280.10)	2.85
393	07/13/2006	07/18/2006	03822W109	AMCC	-1000.0000	2.2800	(2,280.00)	2.85
394	04/11/2007	04/16/2007	902636109	UCNN	-700.0000	4.3100	(3,017.00)	2.01
395	02/14/2007	02/20/2007	761525500	REV	2000.0000	1.4300	2,860.00	2.86
396	10/07/2009	10/13/2009	37934Y108	GIFD	715.0000	3.6500	2,609.75	2.87
397	10/29/2008	11/03/2008	391523107	WOLF	1000.0000	1.4768	1,476.80	2.89
398	08/28/2009	09/02/2009	007903107	AMD	-647.0000	4.4310	(2,866.86)	2.90
399	03/25/2009	03/30/2009	92932Q102	WSCl	-1000.0000	1.4790	(1,479.00)	2.94

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
400	01/09/2008	01/14/2008	40227P107	GFET	1000.0000	1.4800	1,480.00	2.96
401	04/22/2009	04/27/2009	026874107	AIG	1000.0000	1.4800	1,480.00	2.96
402	03/07/2008	03/12/2008	885218107	TMA	1000.0000	1.4800	1,480.00	2.96
403	02/22/2007	02/27/2007	591695101	MTRM	-1000.0000	1.4800	(1,480.00)	2.96
404	03/16/2007	03/21/2007	051526200	AUSI	1000.0000	1.4800	1,480.00	2.96
405	06/09/2009	06/12/2009	370442105	GMGMQ	-975.0000	1.4800	(1,443.00)	2.96
406	04/13/2006	04/19/2006	171871106	CBB	700.0000	4.3199	3,023.93	1.77
407	08/30/2006	09/05/2006	767754104	RAD	-685.0000	4.3201	(2,959.27)	2.98
408	10/04/2006	10/10/2006	834156101	SOEN	-2400.0000	1.1300	(2,712.00)	3.00
409	03/09/2007	03/14/2007	206710204	CCUR	1000.0000	1.6330	1,633.00	3.00
410	02/23/2007	02/28/2007	206710204	CCUR	1000.0000	1.6330	1,633.00	3.00
411	01/17/2006	01/20/2006	57774W103	MVOG	1300.0000	1.2538	1,629.94	3.00
412	06/14/2007	06/19/2007	902636109	UCNN	-700.0000	4.3229	(3,026.03)	1.71
413	10/24/2007	10/29/2007	95083L103	WSCE	-5000.0000	0.4100	(2,050.00)	3.01
414	04/17/2009	04/22/2009	14055X102	CSE	900.0000	2.1700	1,953.00	3.01
415	09/15/2006	09/20/2006	549463107	LU	-1000.0000	2.2901	(2,290.10)	3.02
416	05/26/2009	05/29/2009	337930101	FBC	-1500.0000	1.0304	(1,545.60)	3.02
417	05/11/2009	05/14/2009	767754104	RAD	-1500.0000	1.0301	(1,545.15)	3.02
418	06/27/2007	07/02/2007	871630109	SYNM	1000.0000	2.8094	2,809.40	3.03
419	06/26/2009	07/01/2009	457669208	INSM	-1500.0000	1.0310	(1,546.50)	3.04
420	10/28/2010	11/02/2010	82967N108	SIRI	2000.0000	1.4385	2,877.00	3.05
421	12/15/2009	12/18/2009	749121109	Q	661.0000	4.3285	2,861.14	3.07
422	11/27/2006	11/30/2006	29405D101	EVCC	1500.0000	1.4300	2,145.00	3.07
423	07/27/2006	08/01/2006	983895301	XKEM	50000.0000	0.0311	1,555.00	3.07
424	04/26/2006	05/01/2006	45768R305	INFN	-2000.0000	0.7775	(1,555.00)	3.07
425	06/10/2009	06/15/2009	52729N100	LVLTL	2000.0000	1.4400	2,880.00	3.08
426	03/09/2007	03/14/2007	65488W103	NVBF	800.0000	3.1100	2,488.00	3.08
427	01/26/2010	01/29/2010	500233101	KCAP	-680.0000	4.3308	(2,944.94)	3.10
428	10/13/2006	10/18/2006	247126105	DPHIQ	850.0000	2.2200	1,887.00	3.10
429	05/18/2007	05/23/2007	038188306	ADSX	-2000.0000	1.2600	(2,520.00)	3.13
430	11/19/2008	11/24/2008	345370860	F	1000.0000	1.4885	1,488.50	3.15
431	03/11/2009	03/16/2009	172967101	C	1000.0000	1.4900	1,490.00	3.18
432	11/26/2007	11/29/2007	89834W104	TCHH	1000.0000	1.4900	1,490.00	3.18
433	04/13/2007	04/18/2007	607500105	MDVX	-1000.0000	1.4900	(1,490.00)	3.18
434	08/15/2006	08/18/2006	232824300	CYTO	1000.0000	2.3000	2,300.00	3.19
435	01/05/2006	01/10/2006	59515H106	MIOK	1000.0000	2.3000	2,300.00	3.19
436	11/28/2008	12/03/2008	422704106	HL	900.0000	2.1790	1,961.10	3.19
437	03/09/2007	03/14/2007	206710204	CCUR	1000.0000	1.6432	1,643.20	3.20
438	10/27/2008	10/30/2008	026874107	AIG	-1000.0000	1.4908	(1,490.80)	3.20
439	10/23/2008	10/28/2008	195493309	CNB	650.0000	4.3994	2,859.61	3.22
440	10/23/2008	10/28/2008	52729N100	LVLTL	2200.0000	0.7077	1,556.94	3.22
441	04/26/2007	05/01/2007	207142100	CNXT	-1000.0000	1.4918	(1,491.80)	3.22
442	02/27/2009	03/04/2009	124830100	CBL	-800.0000	3.1201	(2,496.08)	3.23
443	12/10/2008	12/15/2008	345370860	F	800.0000	3.1200	2,496.00	3.23
444	07/20/2009	07/23/2009	73179P106	POL	-800.0000	3.1200	(2,496.00)	3.23
445	02/01/2007	02/06/2007	131347106	CPNLQ	-1500.0000	1.4400	(2,160.00)	3.29
446	04/22/2008	04/25/2008	45320R108	IMX	-1100.0000	1.0555	(1,161.05)	3.31
447	08/05/2009	08/10/2009	86737U102	SSY	-848.0000	2.2501	(1,908.08)	3.32
448	03/18/2008	03/24/2008	749360400	RCMT	-690.0000	4.3507	(3,001.98)	3.23
449	08/02/2010	08/05/2010	530158104	USA	-668.0000	4.3515	(2,906.80)	3.34
450	02/26/2009	03/03/2009	42330P107	HLX	1000.0000	2.9400	2,940.00	3.35
451	12/04/2008	12/09/2008	345370860	F	1000.0000	2.8285	2,828.50	3.35
452	01/15/2009	01/21/2009	345370860	F	1000.0000	2.3090	2,309.00	3.35
453	05/01/2009	05/06/2009	172967101	C	800.0000	3.1300	2,504.00	3.39
454	07/11/2006	07/14/2006	805147105	ZERO	-925.0000	2.1000	(1,942.50)	3.39
455	03/16/2006	03/21/2006	746218106	PURE	-1200.0000	2.2100	(2,652.00)	3.40
456	03/27/2007	03/30/2007	206710204	CCUR	1000.0000	1.6534	1,653.40	3.40

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
457	03/31/2009	04/03/2009	01903Q108	ALD	-1000.0000	1.5001	(1,500.10)	3.40
458	10/02/2006	10/05/2006	28027T106	EGIL	1000.0000	1.5000	1,500.00	3.40
459	02/27/2009	03/04/2009	65105M306	NCT.PRC	1000.0000	1.5000	1,500.00	3.40
460	11/19/2008	11/24/2008	345370860	F	1000.0000	1.5000	1,500.00	3.40
461	05/07/2009	05/12/2009	72940R102	PSTI	1000.0000	1.5000	1,500.00	3.40
462	02/27/2009	03/04/2009	172967101	C	1000.0000	1.5000	1,500.00	3.40
463	09/08/2009	09/11/2009	125581108	CIT	-1000.0000	1.5000	(1,500.00)	3.40
464	08/01/2008	08/06/2008	82966U103	SIRI	1000.0000	1.5000	1,500.00	3.40
465	03/19/2009	03/24/2009	026874107	AIG	-1000.0000	1.5000	(1,500.00)	3.40
466	10/28/2008	10/31/2008	721467108	PPC	-1000.0000	1.5000	(1,500.00)	3.40
467	06/03/2009	06/08/2009	026874107	AIG	-1000.0000	1.5000	(1,500.00)	3.40
468	08/10/2009	08/13/2009	313400301	FRE	-1000.0000	1.5000	(1,500.00)	3.40
469	09/10/2008	09/15/2008	352451405	FBK.PR	-1000.0000	1.5000	(1,500.00)	3.40
470	03/16/2009	03/19/2009	74963F106	RMR	-1000.0000	1.5000	(1,500.00)	3.40
471	12/11/2006	12/14/2006	247361108	DALRQ	1000.0000	1.5000	1,500.00	3.40
472	04/27/2006	05/02/2006	25454R207	DIO	1000.0000	1.5000	1,500.00	3.40
473	11/19/2008	11/24/2008	345370860	F	1000.0000	1.5000	1,500.00	3.40
474	10/22/2009	10/27/2009	678046103	BQI	1000.0000	1.5000	1,500.00	3.40
475	03/26/2010	03/31/2010	29206E100	ERSO	-1000.0000	1.5000	(1,500.00)	3.40
476	09/26/2008	10/01/2008	313400301	FRE	-1000.0000	1.5000	(1,500.00)	3.40
477	07/11/2007	07/16/2007	302311105	EZEN	-1000.0000	1.5000	(1,500.00)	3.40
478	03/15/2007	03/20/2007	197779101	CBRX	1000.0000	1.5000	1,500.00	3.40
479	03/07/2008	03/12/2008	885218107	TMA	1000.0000	1.5000	1,500.00	3.40
480	02/04/2009	02/09/2009	446150104	HBAN	1000.0000	1.5000	1,500.00	3.40
481	11/02/2007	11/07/2007	82966U103	SIRI	-800.0000	3.1318	(2,505.44)	3.41
482	08/25/2006	08/30/2006	549463107	LU	-900.0000	2.1900	(1,971.00)	3.41
483	06/19/2009	06/24/2009	037023108	AHR	-2000.0000	0.7800	(1,560.00)	3.45
484	03/11/2008	03/14/2008	922281100	VSTY	8000.0000	0.1950	1,560.00	3.45
485	02/27/2008	03/03/2008	45972C102	INIS	-2000.0000	0.7800	(1,560.00)	3.45
486	11/20/2007	11/26/2007	404369100	HTRE	20000.0000	0.0780	1,560.00	3.45
487	02/17/2009	02/20/2009	026874107	AIG	-2000.0000	0.7800	(1,560.00)	3.45
488	09/30/2010	10/05/2010	013904305	ALU	-839.0000	3.3415	(2,803.52)	3.47
489	11/11/2008	11/14/2008	345370860	F	1300.0000	1.7500	2,275.00	3.49
490	03/06/2006	03/09/2006	22544F103	DHY	-650.0000	4.4200	(2,873.00)	3.50
491	06/08/2007	06/13/2007	72919P103	PLUG	1000.0000	2.9500	2,950.00	3.51
492	08/07/2009	08/12/2009	044103109	AHT	800.0000	3.1398	2,511.84	3.54
493	02/02/2006	02/07/2006	266605104	DRRX	700.0000	4.3700	3,059.00	0.60
494	07/06/2010	07/09/2010	23317C103	DCW	-659.0000	4.3709	(2,880.42)	3.56
495	04/14/2010	04/19/2010	36293V200	GOIG	45000.0000	0.0347	1,561.50	3.57
496	05/11/2010	05/14/2010	811707306	SBCF	1200.0000	2.2200	2,664.00	3.58
497	11/19/2008	11/24/2008	345370860	F	1000.0000	1.5085	1,508.50	3.59
498	05/18/2007	05/23/2007	206710204	CCUR	2000.0000	1.5268	3,053.60	0.92
499	11/10/2008	11/14/2008	345370860	F	1500.0000	1.9600	2,940.00	3.60
500	04/20/2009	04/23/2009	720279108	PIR	1000.0000	1.5090	1,509.00	3.60
501	09/10/2008	09/15/2008	313586109	FNM	1087.0000	1.0675	1,160.37	3.60
502	11/26/2008	12/02/2008	345370860	F	900.0000	2.2000	1,980.00	3.61
503	11/06/2007	11/09/2007	19247V104	RPF	-100.0000	19.0401	(1,904.01)	3.61
504	01/21/2010	01/26/2010	45408X100	IGC	1000.0000	1.5100	1,510.00	3.62
505	06/09/2009	06/12/2009	370442105	GMGMQ	-1000.0000	1.5100	(1,510.00)	3.62
506	04/20/2009	04/23/2009	026874107	AIG	1000.0000	1.5100	1,510.00	3.62
507	06/19/2009	06/24/2009	026874107	AIG	1000.0000	1.5100	1,510.00	3.62
508	12/29/2009	01/04/2010	52729N100	LVL	-1000.0000	1.5100	(1,510.00)	3.62
509	09/01/2009	09/04/2009	767754104	RAD	-1000.0000	1.5104	(1,510.40)	3.63
510	07/22/2009	07/27/2009	04289L107	ARTG	-700.0000	4.3800	(3,066.00)	0.37
511	03/06/2008	03/11/2008	193068103	CWTR	-655.0000	4.3820	(2,870.21)	3.69
512	04/29/2009	05/04/2009	19247V104	RPF	800.0000	3.1499	2,519.92	3.69
513	01/07/2009	01/12/2009	193068103	CWTR	-1000.0000	2.8500	(2,850.00)	3.70

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
514	02/20/2009	02/25/2009	060505104	BAC	800.0000	3.1500	2,520.00	3.70
515	01/02/2009	01/07/2009	3623E0209	SOLR	800.0000	3.1500	2,520.00	3.70
516	11/18/2008	11/21/2008	427825104	HT	1000.0000	2.3310	2,331.00	3.73
517	02/11/2010	02/17/2010	172967101	C	892.0000	3.1885	2,844.14	3.74
518	04/29/2009	05/04/2009	268841104	ERHE	-7500.0000	0.4000	(3,000.00)	3.78
519	09/18/2009	09/23/2009	172967101	C	675.0000	4.3899	2,963.18	3.78
520	03/11/2009	03/16/2009	172967101	C	2000.0000	1.4700	2,940.00	3.78
521	11/20/2006	11/24/2006	371485103	GNBT	900.0000	2.2100	1,989.00	3.80
522	11/14/2008	11/19/2008	920961109	VVR	-750.0000	3.1935	(2,395.13)	3.81
523	12/06/2006	12/11/2006	978093102	WLV	-1500.0000	1.0600	(1,590.00)	3.81
524	11/13/2007	11/16/2007	683718308	OPWV	-750.0000	2.9549	(2,216.18)	3.82
525	07/07/2010	07/12/2010	14019A107	CGSY	-25791.0000	0.0800	(2,063.28)	3.83
526	12/08/2008	12/11/2008	16139P104	CWF	-825.0000	2.5001	(2,062.58)	3.84
527	08/21/2006	08/24/2006	007928104	ADVR	-25000.0000	0.0626	(1,565.00)	3.84
528	05/21/2009	05/27/2009	370442105	GM	-1000.0000	1.5200	(1,520.00)	3.84
529	02/10/2009	02/13/2009	561911108	HYF	1000.0000	1.5200	1,520.00	3.84
530	06/22/2010	06/25/2010	10526A101	BRNZ	1000.0000	1.5200	1,520.00	3.84
531	01/08/2007	01/11/2007	29405D101	EVCC	1000.0000	1.5200	1,520.00	3.84
532	03/12/2009	03/17/2009	517834107	LVS	1000.0000	1.5199	1,519.90	3.84
533	11/21/2008	11/26/2008	746853100	PPT	-797.0000	3.1600	(2,518.52)	3.85
534	08/09/2010	08/12/2010	87161C105	SNV	-1000.0000	2.5605	(2,560.50)	3.86
535	05/15/2009	05/20/2009	225646108	CSNT	851.0000	2.2500	1,914.75	3.86
536	10/14/2010	10/19/2010	37945C202	GBRC	-11000.0000	0.2200	(2,420.00)	3.87
537	08/10/2010	08/13/2010	11161T108	BWEN	-830.0000	2.4519	(2,035.08)	3.87
538	09/12/2007	09/17/2007	452907108	IMMU	850.0000	2.2600	1,921.00	3.87
539	04/20/2009	04/23/2009	720279108	PIR	1000.0000	1.5213	1,521.30	3.87
540	07/09/2009	07/14/2009	716748108	PQ	-1000.0000	2.8610	(2,861.00)	3.88
541	09/24/2010	09/29/2010	989834106	ZF	750.0000	3.1985	2,398.88	3.88
542	06/14/2006	06/19/2006	466121101	JDSU	-1000.0000	2.1861	(2,186.10)	3.90
543	06/20/2007	06/25/2007	236277109	DANKY	-1050.0000	1.0800	(1,134.00)	3.90
544	08/04/2009	08/07/2009	19247V104	RPF	-692.0000	4.4040	(3,047.57)	1.57
545	09/16/2008	09/19/2008	524908100	LEH	8000.0000	0.2235	1,788.00	3.95
546	09/16/2008	09/19/2008	524908100	LEH	8000.0000	0.2235	1,788.00	3.95
547	03/01/2006	03/06/2006	983851102	XSNX	1000.0000	1.5250	1,525.00	3.95
548	09/18/2006	09/21/2006	247361108	DALRQ	1000.0000	1.5250	1,525.00	3.95
549	09/20/2006	09/25/2006	222814204	DVW	-1500.0000	1.4712	(2,206.80)	3.96
550	09/17/2010	09/22/2010	095333100	BLU	800.0000	3.1691	2,535.28	3.99
551	04/10/2007	04/13/2007	786449108	SFE	800.0000	3.1700	2,536.00	4.00
552	02/22/2007	02/27/2007	125750109	CMGI	2000.0000	1.4800	2,960.00	4.01
553	10/25/2006	10/30/2006	30049A107	EPM	-1000.0000	2.8700	(2,870.00)	4.03
554	09/02/2009	09/08/2009	64190A103	NRO	-825.0000	2.5127	(2,072.98)	4.04
555	09/28/2010	10/01/2010	549333201	LEI	-1000.0000	1.5300	(1,530.00)	4.06
556	03/09/2009	03/12/2009	370442105	GM	1000.0000	1.5300	1,530.00	4.06
557	01/03/2007	01/08/2007	29405D101	EVCC	1000.0000	1.5300	1,530.00	4.06
558	06/16/2006	06/21/2006	880775101	TERN	-1000.0000	1.5300	(1,530.00)	4.06
559	09/09/2010	09/14/2010	749227104	RAS	1000.0000	1.5299	1,529.90	4.06
560	04/30/2010	05/05/2010	062128103	BOFL	-1500.0000	1.0700	(1,605.00)	4.07
561	11/01/2006	11/06/2006	767754104	RAD	-645.0000	4.5601	(2,941.26)	4.08
562	11/26/2008	12/02/2008	345370860	F	1000.0000	1.8985	1,898.50	4.08
563	07/11/2008	07/16/2008	00949P108	AAI	-1240.0000	1.7800	(2,207.20)	4.09
564	03/09/2009	03/12/2009	42327Y103	HMH	-2800.0000	0.5601	(1,568.28)	4.09
565	01/16/2008	01/22/2008	05348W109	AVNX	-2498.0000	0.9114	(2,276.68)	4.12
566	03/13/2009	03/18/2009	345370860	F	900.0000	2.2270	2,004.30	4.13
567	07/31/2009	08/05/2009	767754104	RAD	1500.0000	1.4796	2,219.40	4.15
568	12/14/2007	12/19/2007	29382F103	ENMD	-1000.0000	1.5340	(1,534.00)	4.15
569	08/01/2006	08/04/2006	918914102	VLNC	1500.0000	1.4800	2,220.00	4.16
570	04/01/2008	04/04/2008	14067D102	CPST	900.0000	2.2300	2,007.00	4.18

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571	09/02/2009	09/08/2009	693864100	PFAP	1000.0000	2.8800	2,880.00	4.19
572	03/23/2009	03/26/2009	783332109	RUTH	1700.0000	1.3067	2,221.39	4.22
573	02/22/2006	02/27/2006	69562E104	PRZ	-890.0000	3.2200	(2,865.80)	4.23
574	03/11/2008	03/14/2008	885218107	TMA	2000.0000	1.4900	2,980.00	4.24
575	01/21/2010	01/26/2010	02503Y103	ACAS	-679.0000	4.4300	(3,007.97)	3.85
576	02/12/2009	02/18/2009	749121109	Q	-750.0000	3.2240	(2,418.00)	4.27
577	02/12/2009	02/18/2009	749121109	Q	-750.0000	3.2240	(2,418.00)	4.27
578	10/30/2008	11/04/2008	477143101	JBLU	630.0000	4.8800	3,074.40	0.56
579	01/29/2007	02/01/2007	26205U101	DKAM	1040.0000	2.8400	2,953.60	4.28
580	04/24/2008	04/29/2008	89600B102	TPLM	1000.0000	1.5400	1,540.00	4.28
581	01/15/2008	01/18/2008	031539109	AMNT	1000.0000	1.5400	1,540.00	4.28
582	03/24/2009	03/27/2009	427825104	HT	1000.0000	1.5400	1,540.00	4.28
583	02/20/2009	02/25/2009	345370860	F	-1000.0000	1.5400	(1,540.00)	4.28
584	08/05/2009	08/10/2009	14052H506	CT	1000.0000	1.5399	1,539.90	4.28
585	04/11/2006	04/17/2006	235811106	DCNAQ	-1933.0000	1.5100	(2,918.83)	4.29
586	09/24/2010	09/29/2010	989834106	ZF	780.0000	3.1885	2,487.03	4.29
587	10/23/2006	10/26/2006	23321E103	DHBT	-1200.0000	2.2600	(2,712.00)	4.33
588	03/01/2010	03/04/2010	30211M103	EXOU	-10000.0000	0.2000	(2,000.00)	4.33
589	09/06/2006	09/11/2006	46130J104	IVAY	10000.0000	0.2000	2,000.00	4.33
590	02/03/2009	02/06/2009	7591EP100	RF	1000.0000	2.8899	2,889.90	4.35
591	10/28/2010	11/02/2010	87161C105	SNV	-900.0000	2.2401	(2,016.09)	4.35
592	10/09/2008	10/15/2008	920961109	VVR	-765.0000	3.1935	(2,443.03)	4.37
593	10/23/2008	10/28/2008	989834106	ZF	-1064.0000	2.8301	(3,011.23)	3.82
594	05/04/2007	05/09/2007	81282T105	SWRI	1000.0000	1.5450	1,545.00	4.39
595	10/15/2008	10/20/2008	345370860	F	1000.0000	2.3699	2,369.90	4.40
596	11/12/2007	11/15/2007	70212G101	PDRT	-731.0000	3.5100	(2,565.81)	4.43
597	04/24/2009	04/29/2009	172967101	C	800.0000	3.2000	2,560.00	4.47
598	02/09/2009	02/12/2009	345370860	F	1000.0000	1.9170	1,917.00	4.47
599	08/15/2008	08/20/2008	532275104	LWLG	2000.0000	1.5000	3,000.00	4.48
600	11/13/2008	11/18/2008	319424107	FCEN	2000.0000	1.5000	3,000.00	4.48
601	02/03/2009	02/06/2009	739363109	PWAV	5000.0000	0.4482	2,241.00	4.50
602	10/07/2008	10/10/2008	313400301	FRE	1000.0000	1.5500	1,550.00	4.50
603	07/30/2007	08/02/2007	91349R208	UVSE	1000.0000	1.5500	1,550.00	4.50
604	02/06/2006	02/09/2006	131910101	CAMH	-1000.0000	1.5500	(1,550.00)	4.50
605	09/26/2006	09/29/2006	981533102	WWEG	1000.0000	1.5500	1,550.00	4.50
606	12/31/2009	01/06/2010	G4209G108	GUKYF	1000.0000	1.5500	1,550.00	4.50
607	03/19/2009	03/24/2009	74963F106	RMR	-1000.0000	1.5500	(1,550.00)	4.50
608	09/15/2006	09/20/2006	53634X100	LQMT	-1000.0000	1.5500	(1,550.00)	4.50
609	08/12/2009	08/17/2009	313400301	FRE	1000.0000	1.5500	1,550.00	4.50
610	06/13/2008	06/18/2008	358434108	FBR	-1000.0000	1.5500	(1,550.00)	4.50
611	12/06/2006	12/11/2006	880775101	TERN	-1000.0000	1.5500	(1,550.00)	4.50
612	11/24/2008	11/28/2008	345370860	F	1000.0000	1.5500	1,550.00	4.50
613	01/24/2006	01/27/2006	184690105	CLRI	-1000.0000	1.5500	(1,550.00)	4.50
614	03/08/2010	03/11/2010	52729N100	LVL	-1000.0000	1.5500	(1,550.00)	4.50
615	01/09/2006	01/12/2006	86880T100	SRGG	-1000.0000	1.5500	(1,550.00)	4.50
616	10/23/2006	10/26/2006	23321E103	DHBT	-1200.0000	2.2700	(2,724.00)	4.51
617	12/11/2008	12/16/2008	345370860	F	1000.0000	2.9000	2,900.00	4.52
618	07/27/2007	08/01/2007	74269U104	PRNW	1000.0000	2.9000	2,900.00	4.52
619	07/15/2009	07/20/2009	169424108	CNTF	900.0000	2.2500	2,025.00	4.53
620	07/16/2009	07/21/2009	783332109	RUTH	-900.0000	3.2400	(2,916.00)	4.55
621	09/01/2009	09/04/2009	21750M109	CPRK	238493.0000	0.0094	2,241.83	4.55
622	03/01/2006	03/06/2006	682505102	ONEI	-3000.0000	0.5600	(1,680.00)	4.57
623	01/26/2006	01/31/2006	238164206	DMLL	1125.0000	1.5000	1,687.50	4.59
624	03/05/2009	03/10/2009	151290889	CX	-676.0000	4.4600	(3,014.96)	3.85
625	04/24/2007	04/27/2007	49689D205	KNGS	-25000.0000	0.0630	(1,575.00)	4.61
626	10/18/2007	10/23/2007	55306V106	MIVTOLDD	2500.0000	0.6300	1,575.00	4.61
627	12/04/2009	12/09/2009	125581108	CITGQ	10000.0000	0.1575	1,575.00	4.61

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628	03/10/2009	03/13/2009	683718308	OPWV	-2100.0000	0.7500	(1,575.00)	4.61
629	01/05/2006	01/10/2006	44043J105	HOFF	2500.0000	0.6300	1,575.00	4.61
630	05/02/2007	05/07/2007	87929J103	TSYS	-700.0000	4.2391	(2,967.37)	4.63
631	02/02/2009	02/05/2009	35907K105	FTBK	2000.0000	1.5099	3,019.80	3.68
632	07/01/2008	07/07/2008	210502100	CPSS	1000.0000	1.5575	1,557.50	4.67
633	09/30/2008	10/03/2008	929903102	WB	1000.0000	2.9100	2,910.00	4.68
634	06/19/2009	06/24/2009	74347R743	UYG	-706.0000	3.9304	(2,774.86)	4.69
635	12/30/2009	01/05/2010	683718308	OPWV	-1184.0000	2.2796	(2,699.05)	4.69
636	11/24/2008	11/28/2008	345370860	F	1000.0000	1.5585	1,558.50	4.69
637	10/14/2008	10/17/2008	52729N100	LVL	1000.0000	1.5582	1,558.20	4.69
638	03/07/2007	03/12/2007	206710204	CCUR	1000.0000	1.7147	1,714.70	4.70
639	06/10/2009	06/15/2009	370442105	GMGMQ	-1000.0000	1.5600	(1,560.00)	4.72
640	06/10/2009	06/15/2009	370442105	GMGMQ	-1000.0000	1.5600	(1,560.00)	4.72
641	04/07/2006	04/12/2006	235811106	DCNAQ	1000.0000	1.5600	1,560.00	4.72
642	09/18/2009	09/23/2009	559091301	MPET	1000.0000	1.5600	1,560.00	4.72
643	07/30/2009	08/04/2009	14052H506	CT	-1000.0000	1.5607	(1,560.70)	4.74
644	09/09/2009	09/14/2009	68555P100	ORBC	1200.0000	2.2830	2,739.60	4.75
645	10/22/2010	10/27/2010	989834106	ZF	773.0000	3.2185	2,487.90	4.75
646	01/28/2009	02/02/2009	345370860	F	1500.0000	2.0200	3,030.00	3.26
647	02/27/2006	03/02/2006	834458101	SMRA	-5300.0000	0.5208	(2,760.24)	4.76
648	11/10/2008	11/14/2008	026874107	AIG	1000.0000	2.3900	2,390.00	4.76
649	11/15/2006	11/20/2006	922281100	VSTY	-1000.0000	2.3900	(2,390.00)	4.76
650	11/20/2009	11/25/2009	670712108	NYFX	-940.0000	1.6603	(1,560.68)	4.76
651	03/20/2009	03/25/2009	43005Q107	HCF	650.0000	4.5160	2,935.40	4.77
652	03/20/2006	03/23/2006	62472C102	XDSL	-5000.0000	0.3600	(1,800.00)	4.77
653	07/02/2007	07/06/2007	269437208	EAGB	-20000.0000	0.0900	(1,800.00)	4.77
654	03/23/2009	03/26/2009	64190A103	NRO	-1030.0000	1.1600	(1,194.80)	4.78
655	03/05/2009	03/10/2009	172967101	C	2000.0000	1.0000	2,000.00	4.80
656	05/01/2009	05/06/2009	71902E109	PNX	-1000.0000	1.5633	(1,563.30)	4.80
657	05/24/2010	05/27/2010	74765E109	QTTWW	4000.0000	0.6094	2,437.60	4.82
658	12/28/2006	01/03/2007	131347106	CPNLQ	-2500.0000	1.1300	(2,825.00)	4.83
659	07/29/2009	08/03/2009	832727101	SSCCQ	-9800.0000	0.1610	(1,577.80)	4.83
660	05/02/2007	05/07/2007	87929J103	TSYS	-700.0000	4.2588	(2,981.16)	4.84
661	10/23/2008	10/28/2008	749121109	Q	1000.0000	2.3960	2,396.00	4.85
662	02/06/2006	02/09/2006	206710204	CCUR	-875.0000	2.0930	(1,831.38)	4.87
663	04/16/2009	04/21/2009	678046103	BQI	-1100.0000	1.1200	(1,232.00)	4.87
664	03/18/2009	03/23/2009	37247D106	GNW	1000.0000	1.5670	1,567.00	4.88
665	09/15/2006	09/20/2006	549463107	LU	-1200.0000	2.2901	(2,748.12)	4.89
666	12/28/2006	01/03/2007	032681108	ANLY	-1250.0000	1.8201	(2,275.13)	4.90
667	09/13/2007	09/18/2007	92829M107	VSYS	-4133.0000	0.3820	(1,578.81)	4.90
668	06/12/2009	06/17/2009	370442105	GMGMQ	-1400.0000	1.0500	(1,470.00)	4.90
669	02/06/2009	02/11/2009	530158104	USA	750.0000	3.2680	2,451.00	4.93
670	06/26/2008	07/01/2008	767754104	RAD	1000.0000	1.5700	1,570.00	4.95
671	07/01/2009	07/07/2009	767754104	RAD	-1000.0000	1.5700	(1,570.00)	4.95
672	07/15/2010	07/20/2010	46611B109	JBIE	1000.0000	1.5700	1,570.00	4.95
673	12/15/2009	12/18/2009	03074A102	ASRV	-1000.0000	1.5700	(1,570.00)	4.95
674	01/06/2010	01/11/2010	472100106	JYHW	1000.0000	1.5700	1,570.00	4.95
675	03/12/2009	03/17/2009	172967101	C	1000.0000	1.5700	1,570.00	4.95
676	12/19/2008	12/24/2008	466090107	JASO	750.0000	3.2700	2,452.50	4.97
677	05/02/2007	05/07/2007	87929J103	TSYS	-700.0000	4.2686	(2,988.02)	4.98
678	06/04/2010	06/09/2010	427093109	HERO	1000.0000	2.9285	2,928.50	4.99
679	07/06/2007	07/11/2007	67060N204	NTRZ	1000.0000	3.0200	3,020.00	4.00
680	10/25/2006	10/30/2006	197779101	CBRX	800.0000	3.7400	2,992.00	5.00
681	12/02/2009	12/07/2009	651718504	NR	1000.0000	2.8600	2,860.00	5.00
682	03/23/2006	03/28/2006	549463107	LU	-900.0000	2.8401	(2,556.09)	5.00
683	12/31/2008	01/06/2009	195493309	CNB	-1200.0000	2.1006	(2,520.72)	5.00
684	01/18/2006	01/23/2006	37249H105	GOCM	10000.0000	0.2300	2,300.00	5.00

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
685	01/12/2010	01/15/2010	09060S106	BEHL	150000.0000	0.0150	2,250.00	5.00
686	07/20/2007	07/25/2007	235811106	DCNAQ	-2000.0000	1.0900	(2,180.00)	5.00
687	08/02/2006	08/07/2006	549463107	LU	-1000.0000	2.1001	(2,100.10)	5.00
688	03/10/2006	03/15/2006	235811106	DCNAQ	1850.0000	1.0900	2,016.50	5.00
689	02/15/2007	02/21/2007	235811106	DCNAQ	1920.0000	1.0300	1,977.60	5.00
690	05/12/2009	05/15/2009	195493309	CNB	1000.0000	1.9286	1,928.60	5.00
691	03/15/2006	03/20/2006	44043J105	HOFF	2000.0000	0.7900	1,580.00	5.00
692	04/03/2006	04/06/2006	45768R305	INFN	-2000.0000	0.7900	(1,580.00)	5.00
693	09/17/2010	09/22/2010	11161T108	BWEN	-1000.0000	1.5730	(1,573.00)	5.01
694	05/09/2006	05/12/2006	00253U107	ASTM	-1500.0000	1.5200	(2,280.00)	5.03
695	02/24/2009	02/27/2009	837841105	TSFG	3000.0000	0.7500	2,250.00	5.03
696	12/08/2008	12/11/2008	749941100	RFMD	3000.0000	0.7500	2,250.00	5.03
697	07/31/2006	08/03/2006	378970107	GBVS	3000.0000	0.7500	2,250.00	5.03
698	02/22/2007	02/27/2007	00504W100	ACPW	-1200.0000	2.1925	(2,631.00)	5.04
699	10/04/2010	10/07/2010	989834106	ZF	780.0000	3.2385	2,526.03	5.06
700	03/25/2008	03/28/2008	91688T104	URZ	1290.0000	2.3499	3,031.37	3.50
701	06/02/2010	06/07/2010	62010A105	MTLQQ	-3000.0000	0.5270	(1,581.00)	5.07
702	05/19/2006	05/24/2006	493144109	KTCC	734.0000	3.5100	2,576.34	5.09
703	11/15/2007	11/20/2007	74833W206	QPSA	-900.0000	2.2830	(2,054.70)	5.10
704	12/07/2007	12/12/2007	957669104	ZIF	-1000.0000	2.4101	(2,410.10)	5.11
705	05/29/2009	06/03/2009	208464883	CNO	-1000.0000	2.4110	(2,411.00)	5.12
706	05/12/2006	05/17/2006	138869300	CAU	-1050.0000	1.1300	(1,186.50)	5.12
707	11/20/2008	11/25/2008	345370860	F	1000.0000	1.5785	1,578.50	5.13
708	08/17/2007	08/22/2007	77311B107	RCTY	-1000.0000	1.9500	(1,950.00)	5.15
709	09/03/2009	09/09/2009	313586109	FNM	1000.0000	1.5799	1,579.90	5.16
710	10/02/2008	10/07/2008	313586109	FNM	1000.0000	1.5800	1,580.00	5.17
711	02/01/2008	02/06/2008	31787A101	FNSR	1000.0000	1.5800	1,580.00	5.17
712	02/10/2010	02/16/2010	26817G102	DYN	-1000.0000	1.5800	(1,580.00)	5.17
713	03/12/2009	03/17/2009	172967101	C	1000.0000	1.5800	1,580.00	5.17
714	04/13/2006	04/19/2006	59139P104	MSLV	1000.0000	3.0985	3,098.50	0.27
715	11/21/2008	11/26/2008	635405103	NCC	-1230.0000	1.4502	(1,783.75)	5.22
716	05/21/2009	05/27/2009	749941100	RFMD	1253.0000	2.3600	2,957.08	5.23
717	06/23/2009	06/26/2009	859319105	STSA	1000.0000	2.9440	2,944.00	5.24
718	10/18/2010	10/21/2010	871655106	SYPR	-800.0000	3.2500	(2,600.00)	5.24
719	08/29/2008	09/04/2008	53224G301	LSCG	800.0000	3.2500	2,600.00	5.24
720	10/16/2006	10/19/2006	553477100	MRVC	800.0000	3.2500	2,600.00	5.24
721	01/03/2008	01/08/2008	767754104	RAD	1000.0000	2.4190	2,419.00	5.26
722	01/16/2009	01/22/2009	111621306	BRCD	-750.0000	3.2900	(2,467.50)	5.27
723	06/26/2007	06/29/2007	68215T104	ORHI	-1500.0000	2.0500	(3,075.00)	1.53
724	05/12/2008	05/15/2008	05348W109	AVNX	2000.0000	1.0194	2,038.80	5.29
725	01/26/2009	01/29/2009	85857R105	STEM	1000.0000	2.9480	2,948.00	5.30
726	06/29/2007	07/05/2007	749547105	RFDL	-3600.0000	0.4400	(1,584.00)	5.30
727	12/03/2009	12/08/2009	739727105	PRAN	2000.0000	1.5427	3,085.40	1.05
728	03/11/2009	03/16/2009	42330P107	HLX	650.0000	4.5600	2,964.00	5.35
729	11/20/2008	11/25/2008	345370860	F	1000.0000	1.5885	1,588.50	5.35
730	11/26/2008	12/02/2008	885218800	TMA	5000.0000	0.4895	2,447.50	5.36
731	04/03/2006	04/06/2006	25386R101	DIGF	-800.0000	3.2600	(2,608.00)	5.39
732	09/03/2009	09/09/2009	09255R103	DSU	790.0000	3.2598	2,575.24	5.39
733	03/13/2006	03/16/2006	98974P100	ZIXI	-1000.0000	1.5902	(1,590.20)	5.39
734	05/08/2009	05/13/2009	37044Z105	GM	-1000.0000	1.5900	(1,590.00)	5.39
735	09/20/2006	09/25/2006	247361108	DALRQ	-1000.0000	1.5900	(1,590.00)	5.39
736	10/19/2009	10/22/2009	313400301	FRE	-1000.0000	1.5900	(1,590.00)	5.39
737	05/21/2009	05/27/2009	37044Z105	GM	1000.0000	1.5900	1,590.00	5.39
738	05/15/2009	05/20/2009	09746Y105	BZ	1000.0000	1.5900	1,590.00	5.39
739	10/06/2010	10/12/2010	00253U305	ASTM	1000.0000	1.5900	1,590.00	5.39
740	08/26/2009	08/31/2009	767754104	RAD	-986.0000	1.5900	(1,567.74)	5.39
741	05/02/2007	05/07/2007	87929J103	TSYS	-700.0000	4.3080	(3,015.60)	4.62

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742	01/21/2010	01/26/2010	446150104	HBAN	-658.0000	4.5300	(2,980.74)	5.41
743	03/13/2006	03/16/2006	222814204	DVW	-1000.0000	1.5910	(1,591.00)	5.41
744	02/13/2009	02/19/2009	82967N108	SIRI	15000.0000	0.1057	1,585.50	5.42
745	07/10/2009	07/15/2009	637138108	NPBC	704.0000	4.0194	2,829.66	5.44
746	02/24/2009	02/27/2009	172967101	C	1000.0000	2.4300	2,430.00	5.45
747	08/07/2006	08/10/2006	36298M106	GSCT	29850.0000	0.0700	2,089.50	5.45
748	02/20/2009	02/25/2009	316773100	FITB	-1500.0000	1.1220	(1,683.00)	5.45
749	06/19/2009	06/24/2009	91688W107	UPTR	-8725.0000	0.2800	(2,443.00)	5.46
750	04/19/2006	04/24/2006	378970107	GBVS	2000.0000	1.5500	3,100.00	0.47
751	12/06/2006	12/11/2006	282914100	EGHT	-2000.0000	1.5500	(3,100.00)	0.47
752	04/29/2009	05/04/2009	427093109	HERO	1000.0000	2.9580	2,958.00	5.47
753	01/26/2009	01/29/2009	85857R105	STEM	1000.0000	2.9580	2,958.00	5.47
754	05/02/2007	05/07/2007	131347106	CPNLQ	-1000.0000	2.9600	(2,960.00)	5.50
755	02/15/2006	02/21/2006	989837109	ZTR	640.0000	4.7700	3,052.80	2.87
756	05/06/2009	05/11/2009	26884J104	ERHE	-7500.0000	0.4050	(3,037.50)	3.65
757	05/04/2009	05/07/2009	26884J104	ERHE	-7500.0000	0.4050	(3,037.50)	3.65
758	12/13/2006	12/18/2006	743586406	PHY	800.0000	3.2700	2,616.00	5.55
759	07/09/2007	07/12/2007	98155N106	WWAT	1500.0000	1.5450	2,317.50	5.57
760	06/01/2009	06/04/2009	370442105	GM	-1620.0000	0.9800	(1,587.60)	5.58
761	07/30/2007	08/02/2007	036642106	AIS	1000.0000	1.5999	1,599.90	5.60
762	03/11/2009	03/16/2009	172967101	C	1000.0000	1.5999	1,599.90	5.60
763	11/13/2008	11/18/2008	345370860	F	931.0000	1.7700	1,647.87	5.61
764	05/07/2009	05/12/2009	370442105	GM	1000.0000	1.6000	1,600.00	5.61
765	02/20/2009	02/25/2009	345370860	F	1000.0000	1.6000	1,600.00	5.61
766	05/07/2009	05/12/2009	370442105	GM	-1000.0000	1.6000	(1,600.00)	5.61
767	09/05/2006	09/08/2006	235811106	DCNAQ	1000.0000	1.6000	1,600.00	5.61
768	10/08/2008	10/14/2008	156492100	CNTY	-1000.0000	1.6000	(1,600.00)	5.61
769	03/11/2009	03/16/2009	172967101	C	1000.0000	1.6000	1,600.00	5.61
770	05/21/2009	05/27/2009	370442105	GM	-1000.0000	1.6000	(1,600.00)	5.61
771	03/12/2009	03/17/2009	172967101	C	1000.0000	1.6000	1,600.00	5.61
772	06/10/2009	06/15/2009	370442105	GMGMQ	1000.0000	1.6000	1,600.00	5.61
773	05/13/2009	05/18/2009	026874107	AIG	-1000.0000	1.6000	(1,600.00)	5.61
774	05/13/2009	05/18/2009	026874107	AIG	-1000.0000	1.6000	(1,600.00)	5.61
775	03/12/2009	03/17/2009	172967101	C	1000.0000	1.6000	1,600.00	5.61
776	02/22/2006	02/27/2006	651360109	NWGN	-1000.0000	1.6000	(1,600.00)	5.61
777	01/18/2006	01/23/2006	651360109	NWGN	-1000.0000	1.6000	(1,600.00)	5.61
778	02/24/2006	03/01/2006	651360109	NWGN	-1000.0000	1.6000	(1,600.00)	5.61
779	06/04/2009	06/09/2009	767754104	RAD	1000.0000	1.6000	1,600.00	5.61
780	08/06/2009	08/11/2009	125581108	CIT	1000.0000	1.6000	1,600.00	5.61
781	08/03/2006	08/08/2006	86681V106	SNMO	-1000.0000	1.6000	(1,600.00)	5.61
782	05/21/2009	05/27/2009	370442105	GM	1000.0000	1.6000	1,600.00	5.61
783	03/02/2009	03/05/2009	716748108	PQ	-825.0000	2.6101	(2,153.33)	5.62
784	09/12/2007	09/17/2007	69366L205	PSPJ	-10496.0000	0.2500	(2,624.00)	5.63
785	02/16/2006	02/22/2006	42366C103	HEB	1000.0000	2.9700	2,970.00	5.67
786	10/02/2009	10/07/2009	233384106	SRQ	-1000.0000	2.9709	(2,970.90)	5.68
787	08/13/2009	08/18/2009	01903Q108	ALD	-800.0000	3.2800	(2,624.00)	5.70
788	01/16/2009	01/22/2009	111621306	BRCD	-772.0000	3.2800	(2,532.16)	5.70
789	01/26/2006	01/31/2006	26152H103	DROOY	-1275.0000	1.8600	(2,371.50)	5.70
790	08/02/2006	08/07/2006	706552106	PMTR	720.0000	3.7731	2,716.63	5.74
791	03/20/2008	03/26/2008	13126N101	CALL	900.0000	2.3200	2,088.00	5.74
792	11/28/2008	12/03/2008	86768K106	SRZ	3000.0000	0.7542	2,262.60	5.75
793	11/21/2008	11/26/2008	76120W302	RSO	1000.0000	1.8999	1,899.90	5.76
794	12/23/2008	12/29/2008	037023108	AHR	-829.0000	2.5721	(2,132.27)	5.77
795	12/31/2007	01/04/2008	00253U107	ASTM	-3000.0000	0.5300	(1,590.00)	5.77
796	05/28/2009	06/02/2009	37245M504	GNTA	100000.0000	0.0159	1,590.00	5.77
797	05/11/2006	05/16/2006	549463107	LU	-825.0000	2.6201	(2,161.58)	5.79
798	08/06/2010	08/11/2010	172967101	C	705.0000	4.0285	2,840.09	5.80

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799	06/18/2009	06/23/2009	370442105	GMGMQ	-1027.0000	1.2200	(1,252.94)	5.82
800	07/31/2008	08/05/2008	82966U103	SIRI	1000.0000	1.6100	1,610.00	5.83
801	11/20/2008	11/25/2008	345370860	F	1000.0000	1.6100	1,610.00	5.83
802	05/13/2009	05/18/2009	026874107	AIG	-1000.0000	1.6100	(1,610.00)	5.83
803	10/24/2007	10/29/2007	92886T201	VG	-1000.0000	1.6106	(1,610.60)	5.84
804	12/22/2008	12/26/2008	345370860	F	-821.0000	2.6708	(2,192.73)	5.85
805	04/26/2006	05/01/2006	141597104	CDIC	-1000.0000	1.6118	(1,611.80)	5.87
806	09/27/2010	09/30/2010	82967N108	SIRI	-1050.0000	1.1615	(1,219.58)	5.88
807	09/30/2010	10/05/2010	172967101	C	-707.0000	4.0000	(2,828.00)	5.89
808	04/27/2006	05/02/2006	266605104	DRRX	645.0000	4.7000	3,031.50	4.32
809	04/27/2006	05/02/2006	266605104	DRRX	645.0000	4.7000	3,031.50	4.32
810	11/27/2007	11/30/2007	00247X100	AAAE	-4000.0000	0.6700	(2,680.00)	5.92
811	12/02/2008	12/05/2008	117043109	BC	1000.0000	2.4590	2,459.00	5.95
812	10/15/2008	10/20/2008	345370860	F	1000.0000	2.4588	2,458.80	5.95
813	05/03/2006	05/08/2006	363188106	GAX	-3000.0000	0.9800	(2,940.00)	5.96
814	07/23/2007	07/26/2007	85857R105	STEM	-900.0000	2.3325	(2,099.25)	5.96
815	10/08/2008	10/14/2008	50047P104	KONG	-1000.0000	2.4600	(2,460.00)	5.97
816	10/29/2008	11/03/2008	062401104	GRAN	1000.0000	2.9900	2,990.00	5.99
817	07/03/2007	07/09/2007	82966U103	SIRI	-1000.0000	2.9900	(2,990.00)	5.99
818	02/01/2010	02/04/2010	427825104	HT	-734.0000	3.5715	(2,621.48)	5.99
819	05/06/2008	05/09/2008	47023A101	JMBA	-833.0000	2.5400	(2,115.82)	5.99
820	08/28/2006	08/31/2006	835916107	SONS	-650.0000	4.3400	(2,821.00)	6.00
821	08/12/2008	08/15/2008	404450108	HABE	-29300.0000	0.0700	(2,051.00)	6.00
822	11/11/2008	11/14/2008	03460L100	ANSV	-8437.0000	0.0646	(545.03)	6.00
823	06/17/2008	06/20/2008	337930101	FBC	719.0000	3.8100	2,739.39	6.02
824	09/25/2009	09/30/2009	313586109	FNM	-1000.0000	1.6200	(1,620.00)	6.05
825	10/07/2008	10/10/2008	780097721	RBS	1000.0000	1.6200	1,620.00	6.05
826	11/15/2006	11/20/2006	247361108	DALRQ	1000.0000	1.6200	1,620.00	6.05
827	12/03/2009	12/08/2009	39530A104	GRH	1000.0000	1.6199	1,619.90	6.05
828	10/31/2006	11/03/2006	45248F103	IMGG	14000.0000	0.1500	2,100.00	6.10
829	02/06/2006	02/09/2006	003695103	ABZT	14000.0000	0.1500	2,100.00	6.10
830	10/08/2008	10/14/2008	635405103	NCC	1000.0000	2.4690	2,469.00	6.12
831	12/04/2007	12/07/2007	268841104	ERHE	-12375.0000	0.2050	(2,536.88)	6.14
832	02/24/2006	03/01/2006	29382F103	ENMD	1000.0000	2.4700	2,470.00	6.14
833	06/23/2009	06/26/2009	19247V104	RPF	800.0000	3.3099	2,647.92	6.16
834	02/10/2009	02/13/2009	928298108	VSH	-787.0000	3.3100	(2,604.97)	6.17
835	06/05/2009	06/10/2009	370442105	GMGMQ	3000.0000	0.8950	2,685.00	6.18
836	10/01/2008	10/06/2008	929903102	WB	750.0000	3.3500	2,512.50	6.18
837	11/25/2008	12/01/2008	55307U107	NNUT	-1000.0000	2.0000	(2,000.00)	6.20
838	10/31/2008	11/05/2008	865378103	SUF	1000.0000	1.6275	1,627.50	6.21
839	05/21/2010	05/26/2010	67060U109	NPHC	-5149.0000	0.3100	(1,596.19)	6.24
840	05/21/2007	05/24/2007	33938W206	FLXT	-2500.0000	1.1840	(2,960.00)	6.25
841	09/09/2010	09/14/2010	802817304	SNTS	-875.0000	2.3500	(2,056.25)	6.26
842	09/01/2010	09/07/2010	378973408	GSAT	1000.0000	1.6297	1,629.70	6.26
843	06/19/2006	06/22/2006	584070106	MDFI	-1000.0000	1.6300	(1,630.00)	6.27
844	02/19/2008	02/22/2008	553477100	MRVC	-1000.0000	1.6300	(1,630.00)	6.27
845	06/03/2008	06/06/2008	25278S100	DHCC	-1000.0000	1.6300	(1,630.00)	6.27
846	05/31/2006	06/05/2006	46612J101	JDSU	-1000.0000	2.8537	(2,853.70)	6.30
847	12/05/2006	12/08/2006	594960106	MVIS	-1000.0000	2.4800	(2,480.00)	6.31
848	02/10/2006	02/15/2006	33938T104	FSI	1000.0000	2.4800	2,480.00	6.31
849	11/05/2008	11/10/2008	004329108	AIXD	2000.0000	1.0600	2,120.00	6.31
850	06/28/2007	07/03/2007	28224N100	EFSF	4991.0000	0.3200	1,597.12	6.32
851	07/24/2008	07/29/2008	266605104	DRRX	-645.0000	4.7330	(3,052.79)	3.70
852	01/06/2010	01/11/2010	172967101	C	-732.0000	3.6301	(2,657.23)	6.39
853	06/18/2010	06/23/2010	11161T108	BWEN	830.0000	2.5989	2,157.09	6.39
854	06/09/2009	06/12/2009	030145205	ATCO	-1000.0000	2.0101	(2,010.10)	6.39
855	05/08/2009	05/13/2009	026874107	AIG	1000.0000	2.0100	2,010.00	6.39

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
856	12/31/2007	01/04/2008	52729N100	LVL	-1000.0000	2.8636	(2,863.60)	6.40
857	02/12/2009	02/18/2009	457669208	INSM	-3000.0000	0.7018	(2,105.40)	6.43
858	03/17/2009	03/20/2009	85857R105	STEM	1000.0000	1.6380	1,638.00	6.44
859	08/31/2010	09/03/2010	052660107	AUTH	-1000.0000	1.6380	(1,638.00)	6.44
860	02/05/2009	02/10/2009	85857R105	STEM	1000.0000	2.4880	2,488.00	6.46
861	10/26/2007	10/31/2007	75040P405	ROI	-800.0000	3.3300	(2,664.00)	6.47
862	02/24/2009	02/27/2009	049513104	ATML	-800.0000	3.3300	(2,664.00)	6.47
863	12/18/2009	12/23/2009	172967101	C	800.0000	3.3299	2,663.92	6.47
864	08/05/2009	08/10/2009	172967101	C	-750.0000	3.3701	(2,527.58)	6.48
865	04/20/2010	04/23/2010	52729N100	LVL	-1000.0000	1.6401	(1,640.10)	6.49
866	01/09/2009	01/14/2009	026874107	AIG	-1000.0000	1.6401	(1,640.10)	6.49
867	04/26/2006	05/01/2006	62473L309	MPE	-1000.0000	1.6400	(1,640.00)	6.49
868	03/16/2009	03/19/2009	00846L101	FEED	1000.0000	1.6400	1,640.00	6.49
869	04/15/2008	04/18/2008	20450U106	CKNO	-1000.0000	1.6400	(1,640.00)	6.49
870	08/24/2009	08/27/2009	19105E103	CGCA	2000.0000	0.8100	1,620.00	6.50
871	06/23/2006	06/28/2006	584070106	MDFI	1000.0000	3.0250	3,025.00	5.26
872	11/20/2009	11/25/2009	67000M100	NVLT	2000.0000	0.8000	1,600.00	6.54
873	06/08/2006	06/13/2006	904622107	UNCN	1000000.0000	0.0016	1,600.00	6.54
874	08/15/2008	08/20/2008	83414A301	SLTN	2000.0000	0.8000	1,600.00	6.54
875	03/31/2009	04/03/2009	92336G106	VSUNQ	100000.0000	0.0160	1,600.00	6.54
876	11/02/2009	11/05/2009	338487101	FLMP	-4000.0000	0.4000	(1,600.00)	6.54
877	01/12/2010	01/15/2010	97381X102	WNDT	2000.0000	0.8000	1,600.00	6.54
878	01/23/2009	01/28/2009	007942204	ADVNB	2000.0000	0.8000	1,600.00	6.54
879	02/05/2010	02/10/2010	345196109	FORC	5000.0000	0.3200	1,600.00	6.54
880	03/16/2010	03/19/2010	939322103	WAMUQ	10000.0000	0.1600	1,600.00	6.54
881	10/10/2006	10/13/2006	46130U104	IVAY	10000.0000	0.1600	1,600.00	6.54
882	01/27/2006	02/01/2006	45244W100	IMDS	-10000.0000	0.1600	(1,600.00)	6.54
883	08/24/2006	08/29/2006	05350J103	AVSY	2000.0000	0.8000	1,600.00	6.54
884	08/03/2006	08/08/2006	45768R305	INFN	-2000.0000	0.8000	(1,600.00)	6.54
885	12/05/2007	12/10/2007	45840F105	ISWI	2000.0000	0.8000	1,600.00	6.54
886	02/09/2009	02/12/2009	294113105	EESO	50000.0000	0.0320	1,600.00	6.54
887	09/24/2007	09/27/2007	25400D104	DGLP	2000.0000	0.8000	1,600.00	6.54
888	05/07/2010	05/12/2010	172967101	C	-711.0000	3.9800	(2,829.78)	6.55
889	10/06/2008	10/09/2008	409735107	BTO	-673.0000	4.6304	(3,116.26)	0.76
890	02/24/2009	02/27/2009	74347R677	URE	1000.0000	3.0294	3,029.40	5.10
891	04/27/2006	05/02/2006	65715D100	NASI	1200.0000	2.3808	2,856.96	6.57
892	03/20/2009	03/25/2009	37247D106	GNW	990.0000	1.7170	1,699.83	6.61
893	04/30/2007	05/03/2007	03761M104	APIO	800.0000	3.3400	2,672.00	6.63
894	03/21/2007	03/26/2007	82966U103	SIRI	800.0000	3.3400	2,672.00	6.63
895	07/31/2008	08/05/2008	023139108	ABK	1000.0000	2.4980	2,498.00	6.63
896	08/03/2010	08/06/2010	37990W103	GHLV	10000.0000	0.2400	2,400.00	6.63
897	03/18/2008	03/24/2008	552617102	MACC	-1183.0000	2.3862	(2,822.87)	6.66
898	06/19/2009	06/24/2009	422704106	HL	1000.0000	2.5000	2,500.00	6.66
899	09/22/2009	09/25/2009	172967101	C	650.0000	4.6599	3,028.94	5.23
900	09/01/2009	09/04/2009	172967101	C	650.0000	4.6598	3,028.87	5.24
901	11/25/2008	12/01/2008	345370860	F	1000.0000	1.6485	1,648.50	6.68
902	10/25/2007	10/30/2007	05348W109	AVNX	1000.0000	1.8067	1,806.70	6.70
903	08/06/2009	08/11/2009	125581108	CIT	1000.0000	1.6500	1,650.00	6.71
904	12/13/2006	12/18/2006	235811106	DCNAQ	-1000.0000	1.6500	(1,650.00)	6.71
905	12/18/2006	12/21/2006	38068T105	GORO	1000.0000	1.6500	1,650.00	6.71
906	05/06/2009	05/11/2009	370442105	GM	-1000.0000	1.6500	(1,650.00)	6.71
907	05/14/2009	05/19/2009	026874107	AIG	-1000.0000	1.6500	(1,650.00)	6.71
908	02/01/2010	02/04/2010	90328S500	USAT	-1000.0000	1.6500	(1,650.00)	6.71
909	01/06/2010	01/11/2010	767754104	RAD	-1000.0000	1.6500	(1,650.00)	6.71
910	07/29/2008	08/01/2008	82966U103	SIRI	1000.0000	1.6500	1,650.00	6.71
911	02/10/2006	02/15/2006	45953X208	IFUE	-1000.0000	1.6500	(1,650.00)	6.71
912	10/16/2008	10/21/2008	370373102	GMO	1000.0000	1.6500	1,650.00	6.71

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913	04/01/2009	04/06/2009	74963F106	RMR	-1000.0000	1.6500	(1,650.00)	6.71
914	05/06/2009	05/11/2009	370442105	GM	-1000.0000	1.6500	(1,650.00)	6.71
915	12/22/2008	12/26/2008	026874107	AIG	-1000.0000	1.6500	(1,650.00)	6.71
916	11/30/2006	12/05/2006	761525500	REV	-1000.0000	1.6500	(1,650.00)	6.71
917	12/28/2007	01/03/2008	14067D102	CPST	-1000.0000	1.6500	(1,650.00)	6.71
918	03/03/2006	03/08/2006	054774104	AZMN	1000.0000	1.6500	1,650.00	6.71
919	09/19/2006	09/22/2006	984170100	XYTS	-1000.0000	1.6500	(1,650.00)	6.71
920	02/24/2009	02/27/2009	03074A102	ASRV	-1000.0000	1.6500	(1,650.00)	6.71
921	12/17/2009	12/22/2009	493312201	KEYO	1000.0000	1.6500	1,650.00	6.71
922	07/02/2007	07/06/2007	82966U103	SIRI	-1000.0000	3.0400	(3,040.00)	4.72
923	07/03/2007	07/09/2007	89376N108	TMY	-1000.0000	1.6506	(1,650.60)	6.72
924	06/12/2008	06/17/2008	82966U103	SIRI	850.0000	2.4082	2,046.97	6.73
925	10/23/2008	10/28/2008	749121109	Q	1000.0000	2.5060	2,506.00	6.77
926	11/15/2006	11/20/2006	922281100	VSTY	-900.0000	2.3800	(2,142.00)	6.78
927	09/19/2008	09/24/2008	026874107	AIG	875.0000	3.3925	2,968.44	6.80
928	10/13/2008	10/16/2008	345370860	F	1127.0000	2.4999	2,817.39	6.80
929	05/08/2008	05/13/2008	00949P108	AAI	-800.0000	3.3510	(2,680.80)	6.80
930	07/25/2007	07/30/2007	26884J104	ERHE	-8250.0000	0.2650	(2,186.25)	6.80
931	10/08/2009	10/14/2009	172967101	C	-650.0000	4.6701	(3,035.57)	5.03
932	05/14/2009	05/19/2009	464119106	IFC	2000.0000	1.0800	2,160.00	6.81
933	09/29/2006	10/04/2006	989513205	ZILA	1000.0000	2.5090	2,509.00	6.82
934	03/09/2006	03/14/2006	70336N107	PTSC	1000.0000	1.6550	1,655.00	6.82
935	08/11/2006	08/16/2006	469783104	JACO	-750.0000	3.3940	(2,545.50)	6.84
936	11/25/2008	12/01/2008	003687100	ABH	-2614.0000	0.7910	(2,067.67)	6.84
937	01/30/2006	02/02/2006	784429102	SLS	-1030.0000	1.2500	(1,287.50)	6.85
938	09/30/2008	10/03/2008	929903102	WB	1000.0000	3.0500	3,050.00	4.36
939	12/08/2008	12/11/2008	345370860	F	1000.0000	3.0500	3,050.00	4.36
940	02/18/2009	02/23/2009	345370860	F	1000.0000	1.6570	1,657.00	6.86
941	09/25/2009	09/30/2009	457669208	INSM	-2000.0000	0.8021	(1,604.20)	6.86
942	03/05/2009	03/10/2009	85517P101	STSI	836.0000	2.5590	2,139.32	6.87
943	09/09/2010	09/14/2010	74971X107	RRI	-729.0000	3.7100	(2,704.59)	6.88
944	09/25/2007	09/28/2007	313549107	FDMLQ	3700.0000	0.8000	2,960.00	6.89
945	10/25/2006	10/30/2006	371485103	GNBT	-1200.0000	1.9000	(2,280.00)	6.89
946	07/01/2008	07/07/2008	82966U103	SIRI	-1200.0000	1.9000	(2,280.00)	6.89
947	07/05/2006	07/10/2006	549463107	LU	-1152.0000	2.4001	(2,764.92)	6.92
948	04/26/2006	05/01/2006	412552309	HEC	3000.0000	0.9100	2,730.00	6.92
949	07/29/2008	08/01/2008	82966U103	SIRI	1000.0000	1.6600	1,660.00	6.93
950	08/08/2007	08/13/2007	45254P102	IMH	1000.0000	1.6600	1,660.00	6.93
951	10/03/2008	10/08/2008	313586109	FNM	1000.0000	1.6599	1,659.90	6.93
952	02/20/2009	02/25/2009	852061100	S	-1000.0000	3.0600	(3,060.00)	4.00
953	10/14/2008	10/17/2008	345370860	F	1000.0000	2.5194	2,519.40	7.00
954	07/12/2010	07/15/2010	760911107	REFR	590.0000	3.8168	2,251.91	7.00
955	11/08/2006	11/13/2006	38500T101	GTRE	2000.0000	1.2700	2,540.00	7.01
956	01/14/2009	01/20/2009	427825104	HT	1000.0000	2.5200	2,520.00	7.01
957	01/26/2007	01/31/2007	87929J103	TSYS	-800.0000	3.5637	(2,850.96)	7.04
958	04/21/2010	04/26/2010	17285T106	CTDBQ	-10000.0000	0.3000	(3,000.00)	7.06
959	04/16/2010	04/21/2010	150837409	CVM	-2500.0000	0.6427	(1,606.75)	7.06
960	09/17/2010	09/22/2010	573083102	MSO	650.0000	4.6900	3,048.50	4.66
961	10/09/2008	10/15/2008	989834106	ZF	1000.0000	2.7300	2,730.00	7.09
962	04/03/2007	04/09/2007	131347106	CPNLQ	-1000.0000	2.0500	(2,050.00)	7.12
963	05/01/2009	05/06/2009	716748108	PQ	1000.0000	3.0690	3,069.00	3.68
964	04/20/2009	04/23/2009	172967101	C	-920.0000	2.8602	(2,631.38)	7.14
965	11/20/2008	11/25/2008	345370860	F	1000.0000	1.6700	1,670.00	7.15
966	05/18/2009	05/21/2009	43129M107	HPGP	-1000.0000	1.6700	(1,670.00)	7.15
967	05/29/2009	06/03/2009	026874107	AIG	1000.0000	1.6700	1,670.00	7.15
968	08/23/2006	08/28/2006	00752K105	ACTC	1000.0000	1.6700	1,670.00	7.15
969	05/22/2009	05/28/2009	026874107	AIG	-1000.0000	1.6700	(1,670.00)	7.15

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970	05/26/2009	05/29/2009	026874107	AIG	-1000.0000	1.6700	(1,670.00)	7.15
971	11/05/2007	11/08/2007	29382F103	ENMD	1000.0000	1.6700	1,670.00	7.15
972	06/30/2009	07/06/2009	75734R105	FRZ	1000.0000	1.6699	1,669.90	7.15
973	02/11/2009	02/17/2009	82967N108	SIRI	-35000.0000	0.0605	(2,117.50)	7.18
974	04/27/2006	05/02/2006	266605104	DRRX	650.0000	4.7000	3,055.00	4.45
975	06/15/2007	06/20/2007	15118V108	CSUH	1600.0000	1.2800	2,048.00	7.20
976	01/18/2007	01/23/2007	206710204	CCUR	1000.0000	1.8272	1,827.20	7.20
977	09/15/2006	09/20/2006	05348W109	AVNX	-1000.0000	1.5128	(1,512.80)	7.20
978	09/25/2008	09/30/2008	313586109	FNM	1225.0000	2.0265	2,482.46	7.22
979	08/03/2010	08/06/2010	37990W103	GHLV	10000.0000	0.2400	2,400.00	7.24
980	12/01/2009	12/04/2009	023139108	ABK	-2004.0000	0.8031	(1,609.41)	7.26
981	06/17/2008	06/20/2008	555903301	MACM	9951.0000	0.2300	2,288.73	7.27
982	04/20/2009	04/23/2009	172967101	C	-1000.0000	3.0800	(3,080.00)	3.28
983	02/17/2009	02/20/2009	517834107	LVS	1000.0000	3.0797	3,079.70	3.29
984	12/31/2007	01/04/2008	269246104	ETFC	-800.0000	3.1834	(2,546.72)	7.28
985	02/06/2009	02/11/2009	039209101	KAD	-3500.0000	0.4600	(1,610.00)	7.31
986	10/01/2009	10/06/2009	389465204	GRMH	-806.0000	2.9512	(2,378.67)	7.35
987	05/02/2007	05/07/2007	87929J103	TSYS	-700.0000	4.4752	(3,132.64)	0.73
988	06/12/2007	06/15/2007	65488W103	NBF	1000.0000	2.5400	2,540.00	7.36
989	01/25/2006	01/30/2006	549463107	LU	-975.0000	2.5400	(2,476.50)	7.36
990	12/19/2008	12/24/2008	293306106	ENG	1000.0000	3.0860	3,086.00	3.07
991	02/27/2009	03/04/2009	172967101	C	1000.0000	1.6800	1,680.00	7.37
992	08/03/2009	08/06/2009	492515101	KERX	1000.0000	1.6800	1,680.00	7.37
993	10/15/2007	10/18/2007	92886T201	VG	-1000.0000	1.6800	(1,680.00)	7.37
994	04/16/2009	04/21/2009	026874107	AIG	1000.0000	1.6800	1,680.00	7.37
995	02/19/2009	02/24/2009	345370860	F	1000.0000	1.6800	1,680.00	7.37
996	04/28/2009	05/01/2009	020772109	APT	1000.0000	1.6800	1,680.00	7.37
997	03/11/2009	03/16/2009	172967101	C	1000.0000	1.6800	1,680.00	7.37
998	02/23/2006	02/28/2006	282914100	EGHT	-1000.0000	1.6800	(1,680.00)	7.37
999	02/18/2009	02/23/2009	345370860	F	1000.0000	1.6800	1,680.00	7.37
1000	01/17/2006	01/20/2006	382859106	GRIC	-1000.0000	1.6800	(1,680.00)	7.37
1001	03/24/2006	03/29/2006	69073F103	OWENQ	1000.0000	1.6800	1,680.00	7.37
1002	05/14/2010	05/19/2010	163893100	CEMIQ	-969.0000	1.6800	(1,627.92)	7.37
1003	05/19/2009	05/22/2009	587376104	MBWM	800.0000	3.3888	2,711.04	7.38
1004	08/04/2009	08/07/2009	82967N108	SIRI	-3500.0000	0.5250	(1,837.50)	7.38
1005	02/04/2009	02/09/2009	052660107	AUTH	-1000.0000	1.6805	(1,680.50)	7.38
1006	07/25/2008	07/30/2008	04033A100	ARIA	1000.0000	3.0882	3,088.20	2.99
1007	02/12/2007	02/15/2007	23804C103	DJSW	-10200.0000	0.2000	(2,040.00)	7.42
1008	03/25/2009	03/30/2009	721467108	PGPDQ	1113.0000	1.6500	1,836.45	7.42
1009	11/13/2008	11/18/2008	370442105	GM	809.0000	2.9185	2,361.07	7.43
1010	12/30/2008	01/05/2009	920961109	VVR	-1200.0000	2.5440	(3,052.80)	4.84
1011	03/20/2009	03/25/2009	37247D106	GNW	1000.0000	1.6850	1,685.00	7.48
1012	07/14/2009	07/17/2009	91688T104	URZ	-1000.0000	1.6850	(1,685.00)	7.48
1013	04/01/2010	04/07/2010	37246C109	GNVC	-2280.0000	0.7071	(1,612.19)	7.48
1014	03/02/2009	03/05/2009	037023108	AHR	-3592.0000	0.6924	(2,487.10)	7.49
1015	11/12/2008	11/17/2008	559775101	MPG	-1000.0000	2.5490	(2,549.00)	7.52
1016	05/19/2006	05/24/2006	000919100	AMF	-800.0000	3.4000	(2,720.00)	7.55
1017	01/11/2006	01/17/2006	83306M108	SNFX	800.0000	3.4000	2,720.00	7.55
1018	02/20/2009	02/25/2009	872340104	TSI	-760.0000	3.4000	(2,584.00)	7.55
1019	01/04/2008	01/09/2008	75845Y106	RLTR	40000.0000	0.0460	1,840.00	7.55
1020	09/09/2009	09/14/2009	865378103	SUF	1000.0000	1.6880	1,688.00	7.55
1021	10/24/2008	10/29/2008	831756101	SWHC	1000.0000	1.6880	1,688.00	7.55
1022	05/18/2010	05/21/2010	769397100	RVS	-800.0000	3.4001	(2,720.08)	7.56
1023	05/02/2008	05/07/2008	885218107	TMA	1800.0000	1.1600	2,088.00	7.58
1024	05/02/2008	05/07/2008	885218107	TMA	1800.0000	1.1600	2,088.00	7.58
1025	08/14/2009	08/19/2009	767754104	RAD	1000.0000	1.6896	1,689.60	7.58
1026	03/25/2008	03/28/2008	885218107	TMA	1000.0000	1.6894	1,689.40	7.58

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1027	04/20/2009	04/23/2009	370442105	GM	-1000.0000	1.6901	(1,690.10)	7.59
1028	03/11/2009	03/16/2009	172967101	C	1000.0000	1.6900	1,690.00	7.59
1029	02/27/2009	03/04/2009	172967101	C	-1000.0000	1.6900	(1,690.00)	7.59
1030	06/30/2009	07/06/2009	150934503	CTIC	1000.0000	1.6900	1,690.00	7.59
1031	05/14/2009	05/19/2009	026874107	AIG	1000.0000	1.6900	1,690.00	7.59
1032	05/08/2009	05/13/2009	247907207	DPTR	1000.0000	1.6900	1,690.00	7.59
1033	03/16/2009	03/19/2009	446150104	HBAN	1000.0000	1.6899	1,689.90	7.59
1034	01/26/2007	01/31/2007	971889100	WOC	-658.0000	4.7201	(3,105.83)	2.32
1035	12/02/2009	12/07/2009	147154108	CACB	3000.0000	0.9045	2,713.50	7.61
1036	08/29/2006	09/01/2006	683450100	OTES	-2500.0000	0.8500	(2,125.00)	7.64
1037	06/22/2007	06/27/2007	72766F109	PLTG	5000.0000	0.4250	2,125.00	7.64
1038	06/20/2007	06/25/2007	69901V106	PDHO	-2500.0000	0.8500	(2,125.00)	7.64
1039	05/29/2007	06/01/2007	69901V106	PDHO	-2500.0000	0.8500	(2,125.00)	7.64
1040	03/30/2006	04/04/2006	524636107	LRCI	-2500.0000	0.8500	(2,125.00)	7.64
1041	08/11/2010	08/16/2010	87161C105	SNV	1000.0000	2.3790	2,379.00	7.66
1042	08/07/2006	08/10/2006	83426W101	SOLM	1500.0000	1.2067	1,810.05	7.69
1043	04/07/2006	04/12/2006	549463107	LU	-1000.0000	3.1100	(3,110.00)	2.21
1044	11/25/2008	12/01/2008	984249102	YRCW	800.0000	3.4099	2,727.92	7.71
1045	04/22/2010	04/27/2010	313586109	FNM	-1500.0000	1.2100	(1,815.00)	7.78
1046	01/04/2006	01/09/2006	171779101	CIEN	-1000.0000	2.9622	(2,962.20)	7.80
1047	01/04/2006	01/09/2006	171779101	CIEN	-1000.0000	2.9622	(2,962.20)	7.80
1048	09/04/2007	09/07/2007	05348W109	AVNX	1000.0000	1.8578	1,857.80	7.80
1049	06/01/2007	06/06/2007	05348W109	AVNX	1000.0000	1.8578	1,857.80	7.80
1050	08/18/2009	08/21/2009	760112102	RTK	1000.0000	1.6996	1,699.60	7.80
1051	01/23/2009	01/28/2009	607500105	MDVX	1000.0000	1.7000	1,700.00	7.81
1052	02/18/2010	02/23/2010	37941P207	GLPW	-1000.0000	1.7000	(1,700.00)	7.81
1053	10/21/2009	10/26/2009	269246104	ETFC	1000.0000	1.7000	1,700.00	7.81
1054	06/04/2010	06/09/2010	07384R408	BRCO	1000.0000	1.7000	1,700.00	7.81
1055	02/25/2009	03/02/2009	780097739	RBS.PRS	-1000.0000	3.1180	(3,118.00)	1.92
1056	01/31/2006	02/03/2006	243723103	DPFD	10000.0000	0.2980	2,980.00	7.82
1057	01/27/2009	01/30/2009	739363109	PWAV	5000.0000	0.4597	2,298.50	7.83
1058	05/23/2007	05/29/2007	26884J104	ERHE	-9000.0000	0.3270	(2,943.00)	7.84
1059	07/15/2009	07/20/2009	62010A105	MTLQQ	-3000.0000	0.5750	(1,725.00)	7.87
1060	08/19/2008	08/22/2008	27922X105	ESPH	5000.0000	0.4600	2,300.00	7.92
1061	08/12/2008	08/15/2008	27922X105	ESPH	5000.0000	0.4600	2,300.00	7.92
1062	12/16/2009	12/21/2009	27887F107	ECOB	5000.0000	0.4600	2,300.00	7.92
1063	11/11/2008	11/14/2008	217721109	COPY	-5000.0000	0.4600	(2,300.00)	7.92
1064	02/27/2009	03/04/2009	172967101	C	-1306.0000	1.7200	(2,246.32)	7.92
1065	09/22/2009	09/25/2009	73174C100	PYMX	3400.0000	0.8000	2,720.00	7.93
1066	04/01/2010	04/07/2010	16411R208	LNG	800.0000	3.4250	2,740.00	7.94
1067	02/20/2009	02/25/2009	370442105	GM	1000.0000	1.7060	1,706.00	7.94
1068	04/30/2009	05/05/2009	747906204	QTM	-2500.0000	0.9982	(2,495.50)	7.95
1069	04/24/2009	04/29/2009	676220106	ODP	900.0000	2.4486	2,203.74	7.97
1070	02/12/2007	02/15/2007	879604106	TKO	-1000.0000	3.1300	(3,130.00)	1.49
1071	05/11/2006	05/16/2006	37945F106	GMUS	-1000.0000	3.1300	(3,130.00)	1.49
1072	06/16/2009	06/19/2009	373200203	GGC	-4000.0000	0.7603	(3,041.20)	5.94
1073	08/16/2010	08/19/2010	46122W105	INUV	-7900.0000	0.3200	(2,528.00)	8.00
1074	08/21/2007	08/24/2007	67060N204	NTRZ	1000.0000	2.0000	2,000.00	8.00
1075	11/02/2009	11/05/2009	984249102	YRCW	1000.0000	1.7085	1,708.50	8.00
1076	01/06/2009	01/09/2009	336453105	FSNM	1000.0000	1.7090	1,709.00	8.01
1077	05/21/2009	05/27/2009	676118102	OMEX	754.0000	3.4300	2,586.22	8.02
1078	03/13/2008	03/18/2008	457669208	INSM	-3000.0000	0.7104	(2,131.20)	8.02
1079	09/03/2008	09/08/2008	192108108	CDE	1000.0000	1.7094	1,709.40	8.02
1080	12/05/2006	12/08/2006	89784T101	TNEN	-1000.0000	1.7100	(1,710.00)	8.03
1081	12/22/2008	12/26/2008	852061100	S	1000.0000	1.7100	1,710.00	8.03
1082	06/02/2010	06/07/2010	313400301	FMCC	1500.0000	1.2200	1,830.00	8.04
1083	04/02/2008	04/07/2008	87162Q103	SYBD	-2000.0000	0.8098	(1,619.60)	8.05

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1084	11/17/2008	11/20/2008	137225108	CNR	-27000.0000	0.0925	(2,497.50)	8.06
1085	10/26/2007	10/31/2007	00247X100	AAAE	2000.0000	1.1300	2,260.00	8.07
1086	11/20/2006	11/24/2006	53123Q103	LBTS	-2700.0000	0.6000	(1,620.00)	8.08
1087	04/19/2006	04/24/2006	66728Q101	NWACQ	3000.0000	0.5400	1,620.00	8.08
1088	02/16/2007	02/22/2007	247361108	DALRQ	-3000.0000	0.5400	(1,620.00)	8.08
1089	05/10/2006	05/15/2006	91349P103	USXP	300000.0000	0.0054	1,620.00	8.08
1090	11/06/2009	11/12/2009	125581108	CITGQ	10000.0000	0.1620	1,620.00	8.08
1091	12/20/2007	12/26/2007	037271103	ANTS	-2000.0000	0.8100	(1,620.00)	8.08
1092	02/23/2007	02/28/2007	68283P109	ONSC	-6000.0000	0.2700	(1,620.00)	8.08
1093	07/24/2006	07/27/2006	45768R305	INFN	-2000.0000	0.8100	(1,620.00)	8.08
1094	12/10/2008	12/15/2008	31308T100	FCSX	1000.0000	3.1390	3,139.00	1.17
1095	10/13/2010	10/18/2010	03832V109	APRI	1000.0000	1.7155	1,715.50	8.15
1096	01/06/2009	01/09/2009	336453105	FSNM	1000.0000	1.7154	1,715.40	8.15
1097	11/12/2007	11/15/2007	87163L103	BRLC	-725.0000	3.8630	(2,800.68)	8.16
1098	11/05/2009	11/10/2009	14055X102	CSE	-785.0000	3.4400	(2,700.40)	8.17
1099	02/09/2009	02/12/2009	03824B103	APNT	-10000.0000	0.2500	(2,500.00)	8.19
1100	01/08/2009	01/13/2009	949902100	WLSI	1000000.0000	0.0025	2,500.00	8.19
1101	07/19/2010	07/22/2010	37945C202	GBRC	5000.0000	0.5000	2,500.00	8.19
1102	12/24/2009	12/30/2009	362447104	GWLK	-10000.0000	0.2500	(2,500.00)	8.19
1103	11/10/2006	11/15/2006	89784T101	TNEN	1000.0000	3.1450	3,145.00	0.95
1104	11/19/2008	11/24/2008	31308T100	FCSX	1000.0000	2.5890	2,589.00	8.21
1105	05/27/2008	05/30/2008	46564T107	ITI	950.0000	2.6100	2,479.50	8.22
1106	04/05/2010	04/08/2010	747906204	QTM	-1000.0000	2.5900	(2,590.00)	8.23
1107	01/15/2010	01/21/2010	48238M104	KATX	33100.0000	0.0490	1,621.90	8.23
1108	11/12/2008	11/17/2008	370442105	GM	1000.0000	3.1485	3,148.50	0.81
1109	03/13/2009	03/18/2009	172967101	C	1000.0000	1.7200	1,720.00	8.25
1110	07/27/2007	08/01/2007	435902101	HEPH	-1000.0000	1.7200	(1,720.00)	8.25
1111	03/26/2007	03/29/2007	302311105	EZEN	-1000.0000	1.7200	(1,720.00)	8.25
1112	04/25/2006	04/28/2006	989837109	ZTR	-650.0000	4.7800	(3,107.00)	2.91
1113	02/03/2006	02/08/2006	06769P201	BBSE	1200.0000	1.9700	2,364.00	8.27
1114	04/30/2009	05/05/2009	26817G102	DYN	-1000.0000	1.7214	(1,721.40)	8.28
1115	02/18/2010	02/23/2010	172967101	C	760.0000	3.4485	2,620.86	8.30
1116	03/07/2007	03/12/2007	16939E101	CCGY	1000.0000	2.5950	2,595.00	8.31
1117	10/26/2009	10/29/2009	554094102	MBCI	2000.0000	1.1400	2,280.00	8.32
1118	01/27/2006	02/01/2006	549463107	LU	-828.0000	2.7400	(2,268.72)	8.33
1119	08/17/2006	08/22/2006	097924104	BBA	-1000.0000	1.7250	(1,725.00)	8.36
1120	12/01/2008	12/04/2008	345370860	F	825.0000	2.7800	2,293.50	8.39
1121	11/16/2006	11/21/2006	549463107	LU	-1000.0000	2.6000	(2,600.00)	8.40
1122	10/14/2008	10/17/2008	026874107	AIG	967.0000	2.6000	2,514.20	8.40
1123	10/02/2008	10/07/2008	313400301	FRE	1000.0000	1.7265	1,726.50	8.40
1124	12/15/2008	12/18/2008	73932B105	PWSV	-3000.0000	0.9100	(2,730.00)	8.43
1125	06/29/2009	07/02/2009	984249102	YRCW	1000.0000	1.7287	1,728.70	8.44
1126	08/10/2009	08/13/2009	19247V104	RPF	-660.0000	4.7940	(3,164.04)	0.26
1127	12/13/2006	12/18/2006	38068T105	GORO	1000.0000	1.7300	1,730.00	8.47
1128	07/24/2007	07/27/2007	125750109	CMGI	1000.0000	1.7300	1,730.00	8.47
1129	08/07/2009	08/12/2009	125581108	CIT	1000.0000	1.7300	1,730.00	8.47
1130	01/31/2007	02/05/2007	45768R305	IMEN	-2500.0000	0.6500	(1,625.00)	8.47
1131	01/30/2007	02/02/2007	45768R305	IMEN	-2500.0000	0.6500	(1,625.00)	8.47
1132	11/10/2009	11/16/2009	591556105	MTIZ	-250000.0000	0.0065	(1,625.00)	8.47
1133	09/12/2007	09/17/2007	82966U103	SIRI	-770.0000	3.4600	(2,664.20)	8.48
1134	04/10/2007	04/13/2007	218352102	CORT	1500.0000	1.6803	2,520.45	8.50
1135	08/13/2009	08/18/2009	217721109	COPY	-7000.0000	0.3300	(2,310.00)	8.50
1136	03/22/2006	03/27/2006	247126105	DPHIQ	-2258.0000	0.7200	(1,625.76)	8.53
1137	09/08/2008	09/11/2008	052660107	AUTH	1000.0000	2.6096	2,609.60	8.56
1138	04/27/2009	04/30/2009	370442105	GM	1000.0000	2.1300	2,130.00	8.58
1139	05/07/2009	05/12/2009	150837409	CVM	10000.0000	0.2997	2,997.00	8.60
1140	07/14/2010	07/19/2010	909839102	UCFC	-976.0000	1.7361	(1,694.43)	8.61

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1141	03/02/2006	03/07/2006	466121101	JDSU	-750.0000	3.2585	(2,443.88)	8.62
1142	04/21/2010	04/26/2010	095333100	BLU	-785.0000	3.4701	(2,724.03)	8.64
1143	10/10/2008	10/16/2008	82967N108	SIRI	4000.0000	0.4068	1,627.20	8.64
1144	11/17/2008	11/20/2008	345370860	F	1000.0000	1.7385	1,738.50	8.66
1145	01/16/2009	01/22/2009	370442105	GM	725.0000	3.9000	2,827.50	8.69
1146	09/20/2007	09/25/2007	073677106	BCON	1000.0000	1.7400	1,740.00	8.69
1147	01/05/2009	01/08/2009	026874107	AIG	1000.0000	1.7400	1,740.00	8.69
1148	02/06/2006	02/09/2006	713661106	PPHM	1000.0000	1.7400	1,740.00	8.69
1149	09/18/2006	09/21/2006	247126105	DPHIQ	1000.0000	1.7400	1,740.00	8.69
1150	03/16/2010	03/19/2010	492515101	KERX	1000.0000	2.7199	2,719.90	8.70
1151	06/14/2007	06/19/2007	206710204	CCUR	1000.0000	1.8987	1,898.70	8.70
1152	12/17/2008	12/22/2008	026874107	AIG	-1000.0000	1.7401	(1,740.10)	8.70
1153	10/13/2008	10/16/2008	82967N108	SIRI	-3075.0000	0.5295	(1,628.21)	8.72
1154	02/20/2007	02/23/2007	03062Y104	AWNE	1000.0000	2.4200	2,420.00	8.73
1155	03/10/2006	03/15/2006	029569100	ARSC	12500.0000	0.2400	3,000.00	8.74
1156	10/09/2007	10/12/2007	88579F102	TDCP	-6000.0000	0.5000	(3,000.00)	8.74
1157	12/11/2008	12/16/2008	04349Y107	ASDS	-10000.0000	0.3000	(3,000.00)	8.74
1158	12/12/2008	12/17/2008	04349Y107	ASDS	-10000.0000	0.3000	(3,000.00)	8.74
1159	09/17/2010	09/22/2010	313400301	FMCC	10000.0000	0.3000	3,000.00	8.74
1160	01/13/2006	01/19/2006	45248F103	IMGG	10000.0000	0.3000	3,000.00	8.74
1161	09/11/2007	09/14/2007	351465109	FXPE	1000.0000	2.6200	2,620.00	8.75
1162	09/21/2007	09/26/2007	207142100	CNXT	-1071.0000	1.2800	(1,370.88)	8.75
1163	02/20/2009	02/25/2009	00372Q201	ABN.PRQ	-800.0000	3.4780	(2,782.40)	8.76
1164	06/08/2009	06/11/2009	370442105	GMGMQ	1700.0000	1.0600	1,802.00	8.76
1165	05/28/2009	06/02/2009	370442105	GM	-1083.0000	1.2802	(1,386.46)	8.76
1166	05/16/2007	05/21/2007	68338A107	ONT	-750.0000	3.5212	(2,640.90)	8.77
1167	11/23/2007	11/28/2007	87959M109	TELK	-810.0000	2.9900	(2,421.90)	8.77
1168	03/09/2006	03/14/2006	70336N107	PTSC	1000.0000	1.7450	1,745.00	8.80
1169	09/22/2008	09/25/2008	749121109	Q	-759.0000	3.4815	(2,642.46)	8.81
1170	06/09/2010	06/14/2010	231269101	CRIS	-1000.0000	2.8330	(2,833.00)	8.83
1171	11/30/2009	12/03/2009	82967N108	SIRI	-3000.0000	0.6195	(1,858.50)	8.84
1172	06/25/2010	06/30/2010	172967101	C	-725.0000	3.9112	(2,835.62)	8.85
1173	06/23/2008	06/26/2008	92933E108	WSFG	1000.0000	1.7473	1,747.30	8.85
1174	05/05/2009	05/08/2009	313586109	FNM	2000.0000	0.8150	1,630.00	8.85
1175	03/06/2009	03/11/2009	001765106	AMR	1000.0000	2.6270	2,627.00	8.87
1176	05/05/2006	05/10/2006	989414107	ZIF	825.0000	2.8100	2,318.25	8.87
1177	08/20/2010	08/25/2010	98884U108	ZAGG	-900.0000	2.5000	(2,250.00)	8.87
1178	01/27/2009	01/30/2009	845905108	SOV	-1000.0000	2.3330	(2,333.00)	8.89
1179	04/24/2007	04/27/2007	05348W109	AVNX	1000.0000	1.9089	1,908.90	8.90
1180	11/13/2008	11/18/2008	345370860	F	1000.0000	1.7500	1,750.00	8.91
1181	05/01/2006	05/04/2006	24778R209	DOIG	1000.0000	1.7500	1,750.00	8.91
1182	02/16/2007	02/22/2007	460588106	IPA	1000.0000	1.7500	1,750.00	8.91
1183	11/26/2008	12/02/2008	026874107	AIG	1000.0000	1.7500	1,750.00	8.91
1184	03/14/2007	03/19/2007	458168101	ITLI	1000.0000	1.7500	1,750.00	8.91
1185	03/06/2009	03/11/2009	345370860	F	1000.0000	1.7500	1,750.00	8.91
1186	04/20/2009	04/23/2009	370442105	GM	-1000.0000	1.7500	(1,750.00)	8.91
1187	04/20/2009	04/23/2009	370442105	GM	-1000.0000	1.7500	(1,750.00)	8.91
1188	04/20/2009	04/23/2009	370442105	GM	-1000.0000	1.7500	(1,750.00)	8.91
1189	06/27/2008	07/02/2008	92933E108	WSFG	1000.0000	1.7500	1,750.00	8.91
1190	03/10/2009	03/13/2009	74347R743	UYG	1000.0000	1.7500	1,750.00	8.91
1191	12/30/2008	01/05/2009	59318E102	CMU	1000.0000	2.6299	2,629.90	8.92
1192	04/21/2009	04/24/2009	783332109	RUTH	1000.0000	1.7501	1,750.10	8.92
1193	10/28/2008	10/31/2008	345370860	F	1000.0000	2.1499	2,149.90	8.95
1194	04/30/2009	05/05/2009	88630M104	TPI	-1000.0000	1.7525	(1,752.50)	8.97
1195	06/19/2006	06/22/2006	37246C109	GNVC	-1750.0000	1.7300	(3,027.50)	7.60
1196	09/17/2010	09/22/2010	043635101	ASTI	1000.0000	3.0230	3,023.00	7.85
1197	03/16/2009	03/19/2009	023139108	ABK	-4500.0000	0.6600	(2,970.00)	9.00

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1198	09/15/2008	09/18/2008	780097721	RBS	-727.0000	3.8900	(2,828.03)	9.01
1199	04/27/2009	04/30/2009	16947Y107	CHYU	-13600.0000	0.1200	(1,632.00)	9.01
1200	05/02/2007	05/07/2007	629248105	NMSS	-1000.0000	1.7550	(1,755.00)	9.02
1201	06/15/2009	06/18/2009	739363109	PWAV	1275.0000	1.5600	1,989.00	9.03
1202	12/31/2008	01/06/2009	19247L106	RQI	-854.0000	3.5600	(3,040.24)	7.03
1203	11/21/2008	11/26/2008	125581108	CIT	1000.0000	1.7570	1,757.00	9.07
1204	01/11/2010	01/14/2010	87161C105	SNV	1000.0000	2.6399	2,639.90	9.09
1205	12/17/2008	12/22/2008	302519103	FNBN	-800.0000	3.5000	(2,800.00)	9.10
1206	01/19/2010	01/22/2010	172967101	C	800.0000	3.4999	2,799.92	9.10
1207	11/26/2007	11/29/2007	82966U103	SIRI	765.0000	3.5000	2,677.50	9.10
1208	10/18/2006	10/23/2006	74765E109	QTWW	-1250.0000	2.0300	(2,537.50)	9.10
1209	12/06/2006	12/11/2006	318465101	FACT	-1250.0000	2.0300	(2,537.50)	9.10
1210	11/13/2008	11/18/2008	345370860	F	1000.0000	1.7585	1,758.50	9.10
1211	10/28/2008	10/31/2008	345370860	F	1000.0000	2.1600	2,160.00	9.13
1212	12/16/2008	12/19/2008	026874107	AIG	-1000.0000	1.7600	(1,760.00)	9.13
1213	07/16/2007	07/19/2007	649604105	NTR	-1000.0000	1.7601	(1,760.10)	9.14
1214	06/10/2009	06/15/2009	26884J104	ERHE	-3750.0000	0.7000	(2,625.00)	9.16
1215	02/27/2006	03/02/2006	45665U104	IFLB	-50000.0000	0.0430	(2,150.00)	9.18
1216	11/12/2009	11/17/2009	353487200	FCMC	2500.0000	0.8600	2,150.00	9.18
1217	10/23/2008	10/28/2008	754730109	RJF	45.0000	20.3100	913.95	9.18
1218	07/09/2010	07/14/2010	88630M104	TPI	1000.0000	2.6473	2,647.30	9.22
1219	05/08/2009	05/13/2009	595112103	MU	650.0000	4.8600	3,159.00	1.28
1220	05/14/2009	05/19/2009	235825205	DAN	-1100.0000	1.3000	(1,430.00)	9.24
1221	05/13/2009	05/18/2009	172967101	C	-800.0000	3.5100	(2,808.00)	9.25
1222	03/06/2006	03/09/2006	928497106	VTSS	-800.0000	3.5100	(2,808.00)	9.25
1223	07/13/2010	07/16/2010	989834106	ZF	-810.0000	3.0210	(2,447.01)	9.25
1224	10/10/2008	10/16/2008	339099103	FLE	4000.0000	0.5000	2,000.00	9.30
1225	12/31/2008	01/06/2009	19247L106	RQI	-854.0000	3.5800	(3,057.32)	6.44
1226	01/13/2009	01/16/2009	749941100	RFMD	2000.0000	1.1800	2,360.00	9.32
1227	11/13/2008	11/18/2008	345370860	F	1000.0000	1.7685	1,768.50	9.32
1228	03/17/2006	03/22/2006	82966U103	SIRI	650.0000	4.8700	3,165.50	1.07
1229	10/24/2008	10/29/2008	026874107	AIG	1000.0000	1.7700	1,770.00	9.35
1230	05/22/2009	05/28/2009	720279108	PIR	-1000.0000	1.7700	(1,770.00)	9.35
1231	03/23/2006	03/28/2006	549463107	LU	-825.0000	2.8401	(2,343.08)	9.36
1232	10/28/2009	11/02/2009	37245M603	GETA	2453.0000	0.6672	1,636.64	9.37
1233	08/30/2006	09/05/2006	007928104	ADVR	-26400.0000	0.0620	(1,636.80)	9.38
1234	01/17/2006	01/20/2006	816074405	SELA	-877.0000	2.5300	(2,218.81)	9.39
1235	03/24/2009	03/27/2009	918866104	VCI	-1000.0000	1.7720	(1,772.00)	9.40
1236	03/18/2010	03/23/2010	172967101	C	721.0000	4.0185	2,897.34	9.45
1237	12/20/2007	12/26/2007	92886T201	VG	-1000.0000	1.7750	(1,775.00)	9.47
1238	12/01/2008	12/04/2008	64190A103	NRO	-1400.0000	1.6300	(2,282.00)	9.49
1239	08/04/2009	08/07/2009	02503Y103	ACAS	-750.0000	3.5700	(2,677.50)	9.51
1240	12/16/2009	12/21/2009	172967101	C	800.0000	3.5299	2,823.92	9.56
1241	10/14/2008	10/17/2008	345370860	F	900.0000	2.5399	2,285.91	9.56
1242	02/16/2007	02/22/2007	49435K107	KRXR	1200.0000	2.5500	3,060.00	6.57
1243	04/17/2006	04/20/2006	09065H303	BPUR	2000.0000	1.1900	2,380.00	9.57
1244	12/23/2009	12/29/2009	232828301	CYTR	-2000.0000	1.1901	(2,380.20)	9.58
1245	05/18/2006	05/23/2006	222814204	DVW	-1000.0000	1.7801	(1,780.10)	9.58
1246	05/22/2009	05/28/2009	370442105	GM	-1000.0000	1.7800	(1,780.00)	9.58
1247	12/31/2008	01/06/2009	64190A103	NRO	-1000.0000	1.7800	(1,780.00)	9.58
1248	09/12/2006	09/15/2006	254668106	DSCO	-1000.0000	1.7800	(1,780.00)	9.58
1249	03/12/2007	03/15/2007	448954107	HDY	1000.0000	1.7800	1,780.00	9.58
1250	04/14/2009	04/17/2009	370442105	GM	1000.0000	1.7800	1,780.00	9.58
1251	04/21/2009	04/24/2009	370442105	GM	-1000.0000	1.7800	(1,780.00)	9.58
1252	06/01/2007	06/06/2007	367626108	GTW	-1000.0000	1.7805	(1,780.50)	9.59
1253	11/24/2006	11/29/2006	667280101	NWACQ	-800.0000	3.5325	(2,826.00)	9.60
1254	11/17/2006	11/22/2006	231269101	CRIS	1000.0000	1.7810	1,781.00	9.60

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1255	03/20/2007	03/23/2007	80862K104	SCLN	-1000.0000	2.6700	(2,670.00)	9.62
1256	05/05/2009	05/08/2009	125581108	CIT	1000.0000	2.6698	2,669.80	9.62
1257	07/29/2009	08/03/2009	449575109	IG	-1500.0000	1.2800	(1,920.00)	9.62
1258	05/15/2006	05/18/2006	831698105	SMVD	1500.0000	1.2800	1,920.00	9.62
1259	08/17/2007	08/22/2007	42366C103	HEB	1500.0000	1.2800	1,920.00	9.62
1260	04/30/2007	05/03/2007	09065H303	BPUR	-5500.0000	0.5007	(2,753.85)	9.63
1261	05/15/2008	05/20/2008	05348W109	AVNX	-2400.0000	0.9711	(2,330.64)	9.69
1262	06/10/2009	06/15/2009	172967101	C	-800.0000	3.5400	(2,832.00)	9.71
1263	04/14/2009	04/17/2009	19247V104	RPF	-1155.0000	2.5601	(2,956.92)	9.73
1264	10/09/2008	10/15/2008	00949P108	AAI	1000.0000	1.7880	1,788.00	9.75
1265	10/09/2008	10/15/2008	345370860	F	1000.0000	2.6790	2,679.00	9.77
1266	03/17/2006	03/22/2006	29382F103	ENMD	1000.0000	2.6790	2,679.00	9.77
1267	02/13/2009	02/19/2009	345370860	F	1000.0000	1.7890	1,789.00	9.77
1268	06/29/2010	07/02/2010	021060108	AOD	650.0000	4.9100	3,191.50	0.22
1269	08/02/2007	08/07/2007	37931N204	GBCS	-3000.0000	0.7200	(2,160.00)	9.80
1270	12/22/2009	12/28/2009	269246104	ETFC	-1000.0000	1.7900	(1,790.00)	9.80
1271	03/13/2009	03/18/2009	172967101	C	1000.0000	1.7900	1,790.00	9.80
1272	04/24/2007	04/27/2007	74373F100	PVCT	1000.0000	1.7900	1,790.00	9.80
1273	03/13/2009	03/18/2009	172967101	C	1000.0000	1.7900	1,790.00	9.80
1274	03/23/2009	03/26/2009	74963F106	RMR	-1000.0000	1.7900	(1,790.00)	9.80
1275	09/12/2006	09/15/2006	14067D102	CPST	-1000.0000	1.7900	(1,790.00)	9.80
1276	09/22/2008	09/25/2008	313400301	FRE	2200.0000	0.7465	1,642.30	9.80
1277	02/12/2009	02/18/2009	055639108	BPZ	750.0000	3.5900	2,692.50	9.82
1278	06/15/2010	06/18/2010	337347108	FHI	900.0000	3.0400	2,736.00	9.83
1279	02/16/2010	02/19/2010	150934503	CTIC	-2500.0000	0.6573	(1,643.25)	9.88
1280	05/29/2007	06/01/2007	60783C100	MODT	-1200.0000	2.5700	(3,084.00)	5.69
1281	03/11/2009	03/16/2009	620076109	MOT	-800.0000	3.5514	(2,841.12)	9.89
1282	06/14/2007	06/19/2007	002083103	ATSI	-1250.0000	1.6020	(2,002.50)	9.93
1283	06/14/2007	06/19/2007	002083103	ATSI	-1250.0000	1.6020	(2,002.50)	9.93
1284	12/12/2008	12/17/2008	345370860	F	-1000.0000	2.6904	(2,690.40)	9.97
1285	05/03/2006	05/08/2006	98849X205	YUKR	1600.0000	1.4000	2,240.00	9.98
1286	10/02/2006	10/05/2006	247361108	DALRQ	-1400.0000	1.2900	(1,806.00)	9.98
1287	02/01/2008	02/06/2008	22122P101	COSI	1000.0000	3.1399	3,139.90	3.01
1288	02/07/2006	02/10/2006	910873207	UMC	1000.0000	3.1200	3,120.00	4.00
1289	07/06/2007	07/11/2007	67060N204	NTRZ	1000.0000	3.0500	3,050.00	7.50
1290	12/13/2007	12/18/2007	45254P102	IMH	-5000.0000	0.6000	(3,000.00)	10.00
1291	12/13/2007	12/18/2007	45254P102	IMH	-5000.0000	0.6000	(3,000.00)	10.00
1292	06/29/2007	07/05/2007	67060N204	NTRZ	900.0000	3.3150	2,983.50	10.00
1293	09/02/2010	09/08/2010	172967101	C	750.0000	3.8785	2,908.88	10.00
1294	06/08/2009	06/11/2009	137801106	CFW	2000.0000	1.3799	2,759.80	10.00
1295	04/07/2008	04/10/2008	781748108	RURL	1300.0000	2.1200	2,756.00	10.00
1296	02/27/2009	03/04/2009	760911107	REFR	-1000.0000	2.5583	(2,558.30)	10.00
1297	01/24/2008	01/29/2008	22122P101	COSI	1000.0000	2.4799	2,479.90	10.00
1298	11/27/2006	11/30/2006	367626108	GTW	-1275.0000	1.9200	(2,448.00)	10.00
1299	01/23/2006	01/26/2006	180489106	CLRT	2000.0000	1.2199	2,439.80	10.00
1300	10/13/2008	10/16/2008	313586109	FNM	2000.0000	1.1600	2,320.00	10.00
1301	01/02/2008	01/07/2008	22122P101	COSI	1000.0000	2.2599	2,259.90	10.00
1302	12/31/2008	01/06/2009	760911107	REFR	1000.0000	2.2350	2,235.00	10.00
1303	03/16/2009	03/19/2009	720279108	PIR	16700.0000	0.1335	2,229.45	10.00
1304	10/13/2009	10/16/2009	313400301	FRE	-1227.0000	1.6700	(2,049.09)	10.00
1305	09/04/2008	09/09/2008	781748108	RURL	1000.0000	2.0000	2,000.00	10.00
1306	05/21/2009	05/27/2009	06652B103	BKUNA	4000.0000	0.5000	2,000.00	10.00
1307	04/13/2009	04/16/2009	867931305	SCON	2000.0000	0.9722	1,944.40	10.00
1308	09/23/2009	09/28/2009	439104100	HH	-1600.0000	1.1600	(1,856.00)	10.00
1309	02/20/2009	02/25/2009	19248J100	RWF	-1325.0000	2.2400	(2,968.00)	10.01
1310	12/19/2008	12/24/2008	345370860	F	825.0000	2.8800	2,376.00	10.01
1311	05/04/2010	05/07/2010	598251106	MBHIQ	-5000.0000	0.3290	(1,645.00)	10.01

Acct. Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1312	11/12/2008	11/17/2008	464119106	IFC	1000.0000	1.8000	1,800.00	10.02
1313	11/19/2008	11/24/2008	026874107	AIG	1000.0000	1.8000	1,800.00	10.02
1314	04/12/2007	04/17/2007	002083103	ATSI	1000.0000	1.8000	1,800.00	10.02
1315	11/24/2008	11/28/2008	559775101	MPG	-1000.0000	1.8000	(1,800.00)	10.02
1316	04/13/2009	04/16/2009	109178103	BEXP	1000.0000	1.8000	1,800.00	10.02
1317	05/12/2009	05/15/2009	026874107	AIG	-1000.0000	1.8000	(1,800.00)	10.02
1318	11/03/2008	11/06/2008	345370860	F	1350.0000	2.1500	2,902.50	10.04
1319	05/27/2009	06/01/2009	14141A108	CSCX	850.0000	3.6390	3,093.15	5.44
1320	12/30/2009	01/05/2010	82967N108	SIRI	-5000.0000	0.5912	(2,956.00)	10.10
1321	08/02/2006	08/07/2006	04289L107	ARTG	1000.0000	2.8601	2,860.10	10.10
1322	07/19/2010	07/22/2010	74765E109	QTWW	3000.0000	0.5487	1,646.10	10.10
1323	11/21/2008	11/26/2008	370442105	GM	1000.0000	2.6985	2,698.50	10.11
1324	08/13/2010	08/18/2010	224916106	CIK	-850.0000	3.6410	(3,094.85)	5.39
1325	09/05/2008	09/10/2008	352451405	FBK.PR	-1000.0000	1.8051	(1,805.10)	10.13
1326	04/17/2007	04/20/2007	855906103	STHK	1000.0000	2.7000	2,700.00	10.14
1327	02/17/2006	02/23/2006	64439S204	NFEI	1000.0000	2.7000	2,700.00	10.14
1328	02/20/2009	02/25/2009	370442105	GM	1000.0000	1.8060	1,806.00	10.15
1329	03/06/2007	03/09/2007	05348W109	AVNX	1000.0000	1.9702	1,970.20	10.20
1330	11/14/2008	11/19/2008	345370860	F	1000.0000	1.8085	1,808.50	10.20
1331	03/13/2009	03/18/2009	172967101	C	-1500.0000	1.7600	(2,640.00)	10.23
1332	10/10/2006	10/13/2006	92554W106	VSPC	3000.0000	0.7800	2,340.00	10.23
1333	04/29/2009	05/04/2009	370442105	GM	1000.0000	1.8099	1,809.90	10.23
1334	08/11/2009	08/14/2009	313400301	FRE	1000.0000	1.8099	1,809.90	10.23
1335	10/01/2008	10/06/2008	885218800	TMA	1000.0000	2.2200	2,220.00	10.24
1336	04/28/2006	05/03/2006	74373F100	PVCT	1000.0000	1.8100	1,810.00	10.24
1337	03/30/2007	04/04/2007	156661100	CYPE	-1000.0000	1.8100	(1,810.00)	10.24
1338	04/24/2007	04/27/2007	009253105	ABEW	10000.0000	0.2700	2,700.00	10.25
1339	06/12/2006	06/15/2006	640518106	NEOP	10000.0000	0.2889	2,889.00	10.26
1340	05/19/2009	05/22/2009	64190A103	NRO	-1000.0000	1.8112	(1,811.20)	10.26
1341	01/08/2007	01/11/2007	602682106	MSPD	-1000.0000	1.8110	(1,811.00)	10.26
1342	09/08/2009	09/11/2009	739363109	PWAV	2000.0000	1.2180	2,436.00	10.28
1343	05/12/2009	05/15/2009	464119106	IFC	2000.0000	1.2200	2,440.00	10.32
1344	10/15/2009	10/20/2009	029616109	AMNP	2000.0000	1.2200	2,440.00	10.32
1345	03/16/2010	03/19/2010	458738101	ILI	1700.0000	0.9700	1,649.00	10.32
1346	05/01/2009	05/06/2009	93564A100	WRES	1400.0000	1.6700	2,338.00	10.35
1347	07/19/2006	07/24/2006	23321E103	DHBT	1175.0000	2.6000	3,055.00	7.61
1348	05/21/2007	05/24/2007	009213109	ATPN	-5000.0000	0.3300	(1,650.00)	10.40
1349	02/26/2009	03/03/2009	026874107	AIG	3000.0000	0.5500	1,650.00	10.40
1350	10/11/2006	10/16/2006	378970107	GBVS	3000.0000	0.5500	1,650.00	10.40
1351	01/18/2008	01/24/2008	862773108	SGCR	15000.0000	0.1100	1,650.00	10.40
1352	09/16/2009	09/21/2009	488810102	KNDR	-5000.0000	0.3300	(1,650.00)	10.40
1353	12/23/2008	12/29/2008	72346Y107	PENC	-3000.0000	0.5500	(1,650.00)	10.40
1354	03/19/2009	03/24/2009	87311M102	TXCO	3642.0000	0.5166	1,881.46	10.43
1355	12/01/2008	12/04/2008	026874107	AIG	1000.0000	1.8192	1,819.20	10.44
1356	10/17/2006	10/20/2006	98156L307	WGAT	1100.0000	1.3495	1,484.45	10.44
1357	04/02/2008	04/07/2008	802817304	SNTS	1000.0000	2.7180	2,718.00	10.45
1358	04/26/2007	05/01/2007	458168101	ITLI	1500.0000	1.7700	2,655.00	10.45
1359	08/16/2007	08/21/2007	05367P100	AVID	-17.0000	31.4600	(534.82)	10.45
1360	03/13/2009	03/18/2009	172967101	C	1000.0000	1.8200	1,820.00	10.46
1361	12/17/2008	12/22/2008	336453105	FSNM	-1000.0000	1.8200	(1,820.00)	10.46
1362	08/24/2006	08/29/2006	00752K105	ACTC	1000.0000	1.8200	1,820.00	10.46
1363	03/16/2007	03/21/2007	89784T101	TNEN	1000.0000	1.8200	1,820.00	10.46
1364	04/29/2009	05/04/2009	370442105	GM	1000.0000	1.8199	1,819.90	10.46
1365	09/18/2009	09/23/2009	269246104	ETFC	1000.0000	1.8199	1,819.90	10.46
1366	07/12/2006	07/17/2006	222814204	DVW	1000.0000	1.8199	1,819.90	10.46
1367	09/20/2006	09/25/2006	452907108	IMMU	-1000.0000	1.8207	(1,820.70)	10.47
1368	01/22/2009	01/27/2009	316773100	FITB	1000.0000	2.7200	2,720.00	10.48

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1369	01/02/2009	01/07/2009	3623E0209	SOLR	800.0000	3.5900	2,872.00	10.49
1370	12/10/2007	12/13/2007	82966U103	SIRI	800.0000	3.5900	2,872.00	10.49
1371	03/27/2007	03/30/2007	05348W109	AVNX	1000.0000	1.9805	1,980.50	10.50
1372	09/06/2007	09/11/2007	452907108	IMMU	1300.0000	2.1000	2,730.00	10.51
1373	09/06/2007	09/11/2007	74838L304	QGLY	-750.0000	3.6400	(2,730.00)	10.58
1374	09/01/2009	09/04/2009	640518106	NEOP	-2000.0000	1.2300	(2,460.00)	10.58
1375	06/06/2006	06/09/2006	247361108	DALRQ	3800.0000	0.8000	3,040.00	8.60
1376	02/02/2009	02/05/2009	30208Q109	EXBX	-43428.0000	0.0700	(3,039.96)	8.60
1377	10/24/2008	10/29/2008	345370860	F	1000.0000	1.8999	1,899.90	10.60
1378	08/05/2010	08/10/2010	232674507	CYPB	800.0000	3.5995	2,879.60	10.63
1379	04/10/2006	04/13/2006	69562E104	PRZ	1000.0000	1.8280	1,828.00	10.63
1380	05/29/2009	06/03/2009	24661P104	DCTH	800.0000	3.6000	2,880.00	10.64
1381	02/06/2009	02/11/2009	345370860	F	941.0000	1.9300	1,816.13	10.64
1382	09/30/2010	10/05/2010	174420109	CRBC	-2500.0000	0.8695	(2,173.75)	10.65
1383	10/21/2010	10/26/2010	460378102	ISCO	1000.0000	1.8290	1,829.00	10.66
1384	05/06/2009	05/11/2009	026874107	AIG	996.0000	1.8298	1,822.48	10.67
1385	10/31/2008	11/05/2008	04289L107	ARTG	1000.0000	1.8300	1,830.00	10.68
1386	05/05/2009	05/08/2009	370442105	GM	1000.0000	1.8300	1,830.00	10.68
1387	02/13/2007	02/16/2007	880775101	TERN	1000.0000	1.8300	1,830.00	10.68
1388	09/12/2006	09/15/2006	59507Q106	MII	-1000.0000	1.8300	(1,830.00)	10.68
1389	03/13/2009	03/18/2009	172967101	C	1000.0000	1.8299	1,829.90	10.68
1390	02/08/2010	02/11/2010	92923K106	WGNB	-16292.0000	0.1015	(1,653.64)	10.68
1391	02/09/2007	02/14/2007	125750109	CMGI	-1500.0000	1.3200	(1,980.00)	10.69
1392	09/20/2010	09/23/2010	09065V203	BPAX	-1048.0000	1.3600	(1,425.28)	10.69
1393	08/06/2009	08/11/2009	984249102	YRCW	-1000.0000	1.8315	(1,831.50)	10.71
1394	10/15/2010	10/20/2010	76120U108	RXAC	3000.0000	0.5117	1,535.10	10.76
1395	08/16/2010	08/19/2010	052660107	AUTH	1000.0000	1.8340	1,834.00	10.77
1396	07/14/2008	07/17/2008	80412D105	HJS	-800.0000	3.6089	(2,887.12)	10.78
1397	10/21/2008	10/24/2008	313586109	FNM	-2800.0000	0.9100	(2,548.00)	10.78
1398	10/01/2007	10/04/2007	786449108	SFE	1000.0000	2.2500	2,250.00	10.79
1399	05/19/2009	05/22/2009	45814P105	IBNK	-1000.0000	2.2500	(2,250.00)	10.79
1400	03/03/2006	03/08/2006	80862K104	SCLN	1000.0000	2.7400	2,740.00	10.83
1401	02/24/2009	02/27/2009	345370860	F	1000.0000	1.8370	1,837.00	10.83
1402	10/28/2008	10/31/2008	871630109	SYNM	-3500.0000	0.8701	(3,045.35)	8.57
1403	03/03/2009	03/06/2009	74347R743	UYG	1000.0000	1.8393	1,839.30	10.88
1404	02/18/2009	02/23/2009	446150104	HBAN	1100.0000	1.3682	1,505.02	10.89
1405	05/15/2006	05/18/2006	131347106	CPNLQ	10000.0000	0.2550	2,550.00	10.90
1406	05/12/2006	05/17/2006	131347106	CPNLQ	10000.0000	0.2550	2,550.00	10.90
1407	09/04/2009	09/10/2009	754055101	RZ	-1000.0000	1.8401	(1,840.10)	10.90
1408	09/26/2008	10/01/2008	313586109	FNM	1000.0000	1.8400	1,840.00	10.90
1409	03/04/2009	03/09/2009	74347R743	UYG	1000.0000	1.8400	1,840.00	10.90
1410	02/21/2008	02/26/2008	31787A101	FNSR	-1000.0000	1.8400	(1,840.00)	10.90
1411	04/24/2009	04/29/2009	362447104	GWLK	-6100.0000	0.2716	(1,656.76)	10.92
1412	04/17/2009	04/22/2009	370442105	GM	-1000.0000	1.8420	(1,842.00)	10.94
1413	05/04/2010	05/07/2010	598251106	MBHIQ	-5000.0000	0.3314	(1,657.00)	10.94
1414	05/15/2009	05/20/2009	172967101	C	-800.0000	3.6200	(2,896.00)	10.95
1415	06/24/2009	06/29/2009	872340104	TSI	-800.0000	3.6220	(2,897.60)	10.98
1416	12/23/2008	12/29/2008	345370860	F	-1000.0000	2.2608	(2,260.80)	10.99
1417	03/19/2009	03/24/2009	345370860	F	1000.0000	2.7500	2,750.00	11.00
1418	04/03/2009	04/08/2009	313400301	FRE	3000.0000	0.7265	2,179.50	11.01
1419	09/29/2009	10/02/2009	21750M109	CPRK	200000.0000	0.0109	2,180.00	11.04
1420	12/23/2009	12/29/2009	147154108	CACB	-2125.0000	0.7804	(1,658.35)	11.04
1421	12/11/2008	12/16/2008	421933102	HMA	1000.0000	1.8485	1,848.50	11.09
1422	02/10/2006	02/15/2006	360912109	FMDAY	-7000.0000	0.2370	(1,659.00)	11.09
1423	11/27/2009	12/02/2009	87161C105	SNV	1500.0000	1.7999	2,699.85	11.10
1424	11/21/2008	11/26/2008	466090107	JASO	1000.0000	1.8490	1,849.00	11.10
1425	12/16/2009	12/21/2009	233066109	DCA	-1326.0000	2.2910	(3,037.87)	9.23

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1426	05/07/2009	05/12/2009	197231103	CBBO	-1000.0000	1.8501	(1,850.10)	11.12
1427	11/07/2008	11/13/2008	345370860	F	-1000.0000	1.8500	(1,850.00)	11.12
1428	03/03/2009	03/06/2009	74347R743	UYG	1000.0000	1.8500	1,850.00	11.12
1429	09/29/2008	10/02/2008	929903102	WB	1000.0000	1.8500	1,850.00	11.12
1430	05/01/2009	05/06/2009	370442105	GM	1000.0000	1.8500	1,850.00	11.12
1431	05/01/2009	05/06/2009	370442105	GM	1000.0000	1.8500	1,850.00	11.12
1432	03/18/2009	03/23/2009	37247D106	GNW	1000.0000	1.8500	1,850.00	11.12
1433	03/08/2007	03/13/2007	458168101	ITLI	1000.0000	1.8500	1,850.00	11.12
1434	08/18/2010	08/23/2010	741113104	PRST	-1000.0000	1.8500	(1,850.00)	11.12
1435	02/27/2009	03/04/2009	172967101	C	1000.0000	1.8500	1,850.00	11.12
1436	03/10/2006	03/15/2006	70336N107	PTSC	1000.0000	1.8500	1,850.00	11.12
1437	07/20/2006	07/25/2006	86681V106	SNMO	-1000.0000	1.8500	(1,850.00)	11.12
1438	03/13/2009	03/18/2009	172967101	C	1000.0000	1.8500	1,850.00	11.12
1439	05/23/2008	05/29/2008	516548104	LTRX	-2000.0000	0.8300	(1,660.00)	11.17
1440	04/12/2006	04/18/2006	45768R305	INFN	-2000.0000	0.8300	(1,660.00)	11.17
1441	05/04/2006	05/09/2006	549463107	LU	-1000.0000	2.7600	(2,760.00)	11.18
1442	06/13/2006	06/16/2006	125750109	CMGI	2900.0000	1.0100	2,929.00	11.23
1443	09/24/2009	09/29/2009	749121109	Q	800.0000	3.6390	2,911.20	11.24
1444	08/29/2007	09/04/2007	206710204	CCUR	1500.0000	1.4775	2,216.25	11.25
1445	03/04/2009	03/09/2009	345370860	F	1000.0000	1.8560	1,856.00	11.25
1446	03/05/2009	03/10/2009	345370860	F	1000.0000	1.8560	1,856.00	11.25
1447	10/07/2009	10/13/2009	749121109	Q	-745.0000	3.7610	(2,801.95)	11.27
1448	04/07/2006	04/12/2006	549463107	LU	-813.0000	3.1100	(2,528.43)	11.28
1449	08/10/2009	08/13/2009	35907K105	FTBK	-3942.0000	0.7750	(3,055.05)	8.54
1450	06/06/2006	06/09/2006	05348W109	AVNX	-1000.0000	1.6987	(1,698.70)	11.30
1451	03/07/2008	03/12/2008	855906103	STHK	-1600.0000	1.0500	(1,680.00)	11.30
1452	08/09/2006	08/14/2006	82966U103	SIRI	-735.0000	3.9200	(2,881.20)	11.32
1453	01/30/2009	02/04/2009	345370860	F	1000.0000	1.8590	1,859.00	11.32
1454	03/06/2009	03/11/2009	195493309	CNB	-5000.0000	0.3324	(1,662.00)	11.32
1455	08/01/2008	08/06/2008	29268T102	EFOI	1600.0000	1.9699	3,151.84	3.75
1456	08/25/2009	08/28/2009	313586109	FNM	-1000.0000	1.8601	(1,860.10)	11.34
1457	05/01/2009	05/06/2009	370442105	GM	1000.0000	1.8600	1,860.00	11.34
1458	04/14/2009	04/17/2009	370442105	GM	1000.0000	1.8600	1,860.00	11.34
1459	04/16/2008	04/21/2008	112502109	BXXX	1000.0000	1.8600	1,860.00	11.34
1460	04/28/2009	05/01/2009	370442105	GM	1000.0000	1.8600	1,860.00	11.34
1461	01/24/2006	01/27/2006	26152H103	DROOY	-1000.0000	1.8600	(1,860.00)	11.34
1462	03/02/2009	03/05/2009	345370860	F	1000.0000	1.8600	1,860.00	11.34
1463	05/03/2010	05/06/2010	378973408	GSAT	1000.0000	1.8599	1,859.90	11.34
1464	12/30/2009	01/05/2010	26817G102	DYN	-1000.0000	1.8610	(1,861.00)	11.36
1465	07/03/2008	07/09/2008	82966U103	SIRI	-1000.0000	1.8618	(1,861.80)	11.38
1466	06/09/2010	06/14/2010	90136Q100	TCHC	-779.0000	3.6500	(2,843.35)	11.41
1467	03/20/2009	03/25/2009	345370860	F	1150.0000	2.6687	3,069.01	8.01
1468	03/17/2009	03/20/2009	172967101	C	900.0000	2.6500	2,385.00	11.47
1469	01/27/2006	02/01/2006	549463107	LU	-900.0000	2.6500	(2,385.00)	11.47
1470	03/19/2008	03/25/2008	13126N101	CALL	-900.0000	2.6500	(2,385.00)	11.47
1471	03/10/2009	03/13/2009	370442105	GM	1000.0000	1.8670	1,867.00	11.49
1472	09/25/2008	09/30/2008	516548104	LTRX	6000.0000	0.5100	3,060.00	8.52
1473	09/29/2009	10/02/2009	002083103	ATSI	1000.0000	2.7800	2,780.00	11.52
1474	01/06/2009	01/09/2009	345370860	F	1000.0000	2.7800	2,780.00	11.52
1475	10/13/2010	10/18/2010	78453M208	FUEL	1500.0000	1.3543	2,031.45	11.52
1476	02/20/2009	02/25/2009	370442105	GM	1000.0000	1.8680	1,868.00	11.52
1477	02/23/2009	02/26/2009	370442105	GM	1000.0000	1.8680	1,868.00	11.52
1478	05/18/2009	05/21/2009	026874107	AIG	1500.0000	1.8200	2,730.00	11.54
1479	11/20/2008	11/25/2008	345370860	F	2000.0000	1.2685	2,537.00	11.54
1480	03/03/2009	03/06/2009	74347R743	UYG	1000.0000	1.8693	1,869.30	11.54
1481	12/28/2009	12/31/2009	009728106	AKRX	-1000.0000	1.8690	(1,869.00)	11.54
1482	09/29/2008	10/02/2008	929903102	WB	1000.0000	1.8690	1,869.00	11.54

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1483	02/20/2009	02/25/2009	370442105	GM	1000.0000	1.8700	1,870.00	11.56
1484	12/11/2009	12/16/2009	867328502	SNSS	1000.0000	1.8700	1,870.00	11.56
1485	09/20/2007	09/25/2007	367626108	GTW	-1000.0000	1.8700	(1,870.00)	11.56
1486	02/23/2009	02/26/2009	345370860	F	1000.0000	1.8700	1,870.00	11.56
1487	03/05/2009	03/10/2009	345370860	F	1000.0000	1.8700	1,870.00	11.56
1488	07/13/2009	07/16/2009	720279108	PIR	-1000.0000	1.8700	(1,870.00)	11.56
1489	08/08/2006	08/11/2006	27944M103	EDNE	-1000.0000	1.8700	(1,870.00)	11.56
1490	04/17/2009	04/22/2009	370442105	GM	-1000.0000	1.8700	(1,870.00)	11.56
1491	05/13/2008	05/16/2008	24661P104	DCTH	1000.0000	1.8700	1,870.00	11.56
1492	01/17/2006	01/20/2006	37245M207	GNTA	-1000.0000	1.8700	(1,870.00)	11.56
1493	08/25/2009	08/28/2009	313586109	FNM	1000.0000	1.8699	1,869.90	11.56
1494	01/30/2009	02/04/2009	35907K105	FTBK	1000.0000	1.8699	1,869.90	11.56
1495	11/26/2008	12/02/2008	345370860	F	-976.0000	1.8700	(1,825.12)	11.56
1496	08/17/2006	08/22/2006	82966U103	SIRI	-800.0000	3.6600	(2,928.00)	11.57
1497	03/20/2009	03/25/2009	19247V104	RPF	-1000.0000	1.8708	(1,870.80)	11.58
1498	05/12/2006	05/17/2006	05348W109	AVNX	1000.0000	2.0316	2,031.60	11.60
1499	10/09/2007	10/12/2007	05348W109	AVNX	-1000.0000	1.7084	(1,708.40)	11.60
1500	03/04/2009	03/09/2009	060505104	BAC	-800.0000	3.6630	(2,930.40)	11.61
1501	06/06/2006	06/09/2006	989414107	ZIF	845.0000	2.7400	2,315.30	11.63
1502	03/18/2008	03/24/2008	88409N101	THK	-1700.0000	0.9800	(1,666.00)	11.63
1503	12/02/2008	12/05/2008	345370860	F	1000.0000	2.7900	2,790.00	11.69
1504	09/06/2007	09/11/2007	351465109	FXPE	1000.0000	2.7900	2,790.00	11.69
1505	05/01/2006	05/04/2006	549463107	LU	-1000.0000	2.7900	(2,790.00)	11.69
1506	12/12/2008	12/17/2008	880349105	TEN	1000.0000	2.3000	2,300.00	11.70
1507	03/18/2009	03/23/2009	316773100	FITB	1000.0000	2.1180	2,118.00	11.70
1508	06/25/2010	06/30/2010	29270J100	ERII	800.0000	3.6691	2,935.28	11.71
1509	02/10/2009	02/13/2009	345370860	F	1000.0000	1.8770	1,877.00	11.71
1510	03/02/2006	03/07/2006	39303P106	GRGR	2500.0000	0.7600	1,900.00	11.72
1511	05/01/2009	05/06/2009	26985R104	EROC	-769.0000	3.6706	(2,822.69)	11.73
1512	11/18/2009	11/23/2009	02503Y103	ACAS	-828.0000	2.9500	(2,442.60)	11.76
1513	03/11/2009	03/16/2009	124830100	CBL	900.0000	2.6680	2,401.20	11.78
1514	09/30/2008	10/03/2008	635405103	NCC	1000.0000	2.1981	2,198.10	11.78
1515	12/30/2009	01/05/2010	09250E107	HIS	-1000.0000	1.8801	(1,880.10)	11.78
1516	02/20/2009	02/25/2009	370442105	GM	1000.0000	1.8800	1,880.00	11.78
1517	11/07/2007	11/13/2007	448954107	HDY	1000.0000	1.8800	1,880.00	11.78
1518	02/13/2009	02/19/2009	233384106	SRQ	-1000.0000	1.8800	(1,880.00)	11.78
1519	03/19/2009	03/24/2009	172967101	C	800.0000	3.6750	2,940.00	11.80
1520	03/09/2007	03/14/2007	131347106	CPNLQ	-1250.0000	1.6900	(2,112.50)	11.80
1521	12/22/2008	12/26/2008	345370860	F	900.0000	2.6693	2,402.37	11.81
1522	02/01/2006	02/06/2006	449575109	IG	-1458.0000	1.3686	(1,995.42)	11.85
1523	06/15/2009	06/18/2009	00850H103	GRO	1000.0000	2.7990	2,799.00	11.86
1524	02/20/2009	02/25/2009	370442105	GM	-1000.0000	1.8840	(1,884.00)	11.87
1525	04/17/2009	04/22/2009	370442105	GM	-1000.0000	1.8840	(1,884.00)	11.87
1526	08/04/2009	08/07/2009	989837109	ZTR	-778.0000	3.6804	(2,863.35)	11.88
1527	04/05/2010	04/08/2010	458738101	ILI	3800.0000	0.7093	2,695.34	11.89
1528	10/14/2008	10/17/2008	345370860	F	1000.0000	2.5000	2,500.00	11.89
1529	03/20/2006	03/23/2006	222814204	DVW	1600.0000	2.0000	3,200.00	1.90
1530	10/19/2009	10/22/2009	313400301	FRE	-1100.0000	1.4101	(1,551.11)	11.91
1531	03/18/2009	03/23/2009	446150104	HBAN	1000.0000	1.8863	1,886.30	11.92
1532	12/05/2006	12/08/2006	29382F103	ENMD	-950.0000	1.9200	(1,824.00)	11.92
1533	04/16/2009	04/21/2009	82967N108	SIRI	4000.0000	0.4175	1,670.00	11.94
1534	03/04/2009	03/09/2009	060505104	BAC	-737.0000	3.9320	(2,897.88)	11.96
1535	04/03/2007	04/09/2007	69325N102	PCSV	2200.0000	1.3100	2,882.00	11.96
1536	01/06/2009	01/09/2009	345370860	F	845.0000	2.7596	2,331.86	11.96
1537	10/29/2010	11/03/2010	488360108	KEM	-750.0000	3.7320	(2,799.00)	11.97
1538	10/14/2010	10/19/2010	78453M208	FUEL	1500.0000	1.3737	2,060.55	11.97
1539	10/24/2008	10/29/2008	345370860	F	949.0000	1.9300	1,831.57	11.98

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1540	03/31/2009	04/03/2009	227046109	CROX	-1700.0000	1.1750	(1,997.50)	11.99
1541	12/27/2006	01/02/2007	769648106	RTC	-8100.0000	0.3001	(2,430.81)	12.00
1542	06/01/2007	06/06/2007	206710204	CCUR	1000.0000	2.0520	2,052.00	12.00
1543	03/16/2009	03/19/2009	349588106	FIG	-1000.0000	1.8901	(1,890.10)	12.00
1544	09/17/2009	09/22/2009	313400301	FRE	1000.0000	1.8900	1,890.00	12.00
1545	03/06/2006	03/09/2006	70336N107	PTSC	1000.0000	1.8900	1,890.00	12.00
1546	08/26/2009	08/31/2009	313586109	FNM	1000.0000	1.8899	1,889.90	12.00
1547	02/07/2008	02/12/2008	206710204	CCUR	2000.0000	0.9195	1,839.00	12.00
1548	04/24/2007	04/27/2007	26884J104	ERHE	-9000.0000	0.3350	(3,015.00)	11.26
1549	08/14/2008	08/19/2008	192108108	CDE	-1000.0000	1.8906	(1,890.60)	12.01
1550	08/14/2008	08/19/2008	192108108	CDE	-1000.0000	1.8906	(1,890.60)	12.01
1551	11/20/2008	11/25/2008	750236101	RDN	-1000.0000	1.8911	(1,891.10)	12.02
1552	03/25/2008	03/28/2008	63905A101	NTZ	793.0000	3.6900	2,926.17	12.03
1553	02/24/2009	02/27/2009	46267Q103	IRE	1400.0000	1.7480	2,447.20	12.04
1554	02/01/2010	02/04/2010	14067D102	CPST	-1500.0000	1.1500	(1,725.00)	12.04
1555	01/12/2007	01/18/2007	630797108	NAPS	720.0000	4.2200	3,038.40	10.15
1556	06/28/2010	07/01/2010	989837109	ZTR	750.0000	3.7390	2,804.25	12.07
1557	11/03/2006	11/08/2006	922281100	VSTY	-750.0000	3.7400	(2,805.00)	12.09
1558	08/20/2010	08/25/2010	172967101	C	750.0000	3.7400	2,805.00	12.09
1559	02/23/2009	02/26/2009	370442105	GM	1500.0000	1.8470	2,770.50	12.12
1560	03/27/2009	04/01/2009	442487203	HOV	1000.0000	1.8970	1,897.00	12.16
1561	03/30/2009	04/02/2009	37247D106	GNW	1000.0000	1.8970	1,897.00	12.16
1562	09/29/2008	10/02/2008	781748108	RURL	-1050.0000	2.2133	(2,323.97)	12.17
1563	02/05/2010	02/10/2010	01903Q108	ALD	-800.0000	3.7000	(2,960.00)	12.18
1564	08/02/2010	08/05/2010	232674507	CYPB	800.0000	3.6996	2,959.68	12.18
1565	06/30/2008	07/03/2008	64049M209	NGNM	-1690.0000	0.9900	(1,673.10)	12.18
1566	11/27/2006	11/30/2006	206710204	CCUR	1000.0000	2.0622	2,062.20	12.20
1567	03/07/2007	03/12/2007	05348W109	AVNX	1000.0000	1.9822	1,982.20	12.20
1568	10/10/2008	10/16/2008	345370860	F	1000.0000	1.8990	1,899.00	12.20
1569	09/30/2008	10/03/2008	635405103	NCC	1000.0000	1.8990	1,899.00	12.20
1570	04/17/2008	04/22/2008	359059102	FRNT	5000.0000	0.4398	2,199.00	12.21
1571	08/04/2010	08/09/2010	984249102	YRCW	5000.0000	0.3347	1,673.50	12.21
1572	03/18/2009	03/23/2009	595112103	MU	-800.0000	3.7020	(2,961.60)	12.22
1573	12/27/2006	01/02/2007	131910101	CAMH	-1000.0000	2.8200	(2,820.00)	12.22
1574	11/06/2008	11/12/2008	345370860	F	1000.0000	1.9000	1,900.00	12.22
1575	05/07/2009	05/12/2009	026874107	AIG	-1000.0000	1.9000	(1,900.00)	12.22
1576	12/28/2007	01/03/2008	302633102	FSII	-1000.0000	1.9000	(1,900.00)	12.22
1577	06/14/2010	06/17/2010	68555P100	ORBC	-1000.0000	1.9000	(1,900.00)	12.22
1578	02/09/2009	02/12/2009	345370860	F	1000.0000	1.9000	1,900.00	12.22
1579	04/04/2006	04/07/2006	98385L102	XSNX	-1000.0000	1.9000	(1,900.00)	12.22
1580	03/04/2009	03/09/2009	345370860	F	1000.0000	1.9000	1,900.00	12.22
1581	03/18/2009	03/23/2009	37247D106	GNW	1000.0000	1.9000	1,900.00	12.22
1582	07/14/2006	07/19/2006	786449108	SFE	1000.0000	1.9000	1,900.00	12.22
1583	04/13/2009	04/16/2009	48131M102	JUHL	1000.0000	1.9000	1,900.00	12.22
1584	03/21/2006	03/24/2006	53221T108	LFLT	1000.0000	1.9000	1,900.00	12.22
1585	02/05/2009	02/10/2009	345370860	F	1000.0000	1.9000	1,900.00	12.22
1586	10/24/2008	10/29/2008	345370860	F	1000.0000	1.8999	1,899.90	12.22
1587	10/24/2008	10/29/2008	345370860	F	1000.0000	1.8999	1,899.90	12.22
1588	12/17/2009	12/22/2009	852061100	S	-750.0000	3.7501	(2,812.58)	12.24
1589	03/15/2006	03/20/2006	68382T101	OCPI	750.0000	3.7500	2,812.50	12.24
1590	09/15/2009	09/18/2009	150921104	CEGE	5000.0000	0.3348	1,674.00	12.25
1591	11/07/2006	11/10/2006	42236H100	HTLJ	-4000.0000	0.5500	(2,200.00)	12.27
1592	09/12/2007	09/17/2007	922515200	VGPM	44000.0000	0.0500	2,200.00	12.27
1593	10/28/2009	11/02/2009	585013105	MZEI	20000.0000	0.1100	2,200.00	12.27
1594	03/19/2009	03/24/2009	172967101	C	1100.0000	2.7200	2,992.00	12.30
1595	03/20/2009	03/25/2009	693864100	PFAP	-425.0000	0.5800	(246.50)	12.30
1596	11/24/2008	11/28/2008	598251106	MBHI	-1000.0000	1.9040	(1,904.00)	12.31

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1597	06/14/2006	06/19/2006	549463107	LU	-1031.0000	2.3001	(2,371.40)	12.33
1598	09/13/2007	09/18/2007	862773108	SGCR	-2500.0000	0.6700	(1,675.00)	12.33
1599	10/27/2006	11/01/2006	85771Q100	STCA	2500.0000	0.6700	1,675.00	12.33
1600	01/23/2006	01/26/2006	902549500	UALAQ	5000.0000	0.3350	1,675.00	12.33
1601	02/26/2009	03/03/2009	345370860	F	1477.0000	1.8570	2,742.79	12.34
1602	06/17/2009	06/22/2009	74963F106	RMR	-900.0000	2.7000	(2,430.00)	12.34
1603	08/07/2007	08/10/2007	30208Q109	EXBX	-8100.0000	0.3800	(3,078.00)	8.45
1604	11/26/2008	12/02/2008	345370860	F	1180.0000	2.1785	2,570.63	12.37
1605	11/21/2008	11/26/2008	635405103	NCC	1100.0000	1.4300	1,573.00	12.39
1606	12/29/2009	01/04/2010	74975N105	RTIX	-800.0000	3.7138	(2,971.04)	12.40
1607	03/16/2009	03/19/2009	316773100	FITB	1000.0000	1.9080	1,908.00	12.40
1608	11/12/2008	11/17/2008	345370860	F	1000.0000	1.9085	1,908.50	12.41
1609	12/02/2008	12/05/2008	345370860	F	-1000.0000	2.8315	(2,831.50)	12.42
1610	08/17/2009	08/20/2009	221485105	CPWM	1000.0000	1.9100	1,910.00	12.44
1611	12/03/2007	12/06/2007	71646K106	PRC	1000.0000	1.9099	1,909.90	12.44
1612	10/24/2008	10/29/2008	345370860	F	1000.0000	1.9099	1,909.90	12.44
1613	05/29/2009	06/03/2009	64190A103	NRO	-965.0000	1.9101	(1,843.25)	12.44
1614	01/10/2006	01/13/2006	48122A109	JYSR	-4533.0000	0.6200	(2,810.46)	12.47
1615	06/08/2010	06/11/2010	172967101	C	780.0000	3.7189	2,900.74	12.48
1616	01/10/2006	01/13/2006	549463107	LU	-900.0000	2.7100	(2,439.00)	12.51
1617	06/16/2006	06/21/2006	918914102	VLNC	-1000.0000	1.9130	(1,913.00)	12.51
1618	09/14/2009	09/17/2009	554225102	MCBC	1300.0000	2.2000	2,860.00	12.52
1619	06/11/2009	06/16/2009	370442105	GMGMQ	-1244.0000	1.3300	(1,654.52)	12.52
1620	02/04/2009	02/09/2009	009720103	AKNS	-1000.0000	1.9140	(1,914.00)	12.53
1621	02/25/2010	03/02/2010	50575Q102	LTS	1171.0000	1.0390	1,216.67	12.57
1622	10/07/2009	10/13/2009	64190A103	NRO	-1000.0000	2.8410	(2,841.00)	12.59
1623	10/20/2008	10/23/2008	983982109	XFML	1700.0000	1.2000	2,040.00	12.62
1624	02/01/2010	02/04/2010	872449103	TIBB	2000.0000	0.8500	1,700.00	12.62
1625	06/01/2009	06/04/2009	370442105	GM	2000.0000	0.8395	1,679.00	12.64
1626	02/05/2010	02/10/2010	62010A105	MTLQQ	-5300.0000	0.5820	(3,084.60)	8.43
1627	09/18/2009	09/23/2009	62010A105	MTLQQ	-3176.0000	0.7500	(2,382.00)	12.66
1628	04/08/2009	04/14/2009	370442105	GM	1000.0000	1.9200	1,920.00	12.66
1629	04/27/2006	05/02/2006	928497106	VTSS	-1000.0000	1.9200	(1,920.00)	12.66
1630	11/20/2008	11/25/2008	370442105	GM	1000.0000	1.9200	1,920.00	12.66
1631	04/15/2009	04/20/2009	370442105	GM	-1000.0000	1.9200	(1,920.00)	12.66
1632	11/06/2008	11/12/2008	052660107	AUTH	-1000.0000	1.9200	(1,920.00)	12.66
1633	12/29/2006	01/04/2007	27944M103	EDNE	-1040.0000	1.4500	(1,508.00)	12.68
1634	06/28/2010	07/01/2010	86562M100	SMFJY	-841.0000	2.8500	(2,396.85)	12.70
1635	11/14/2006	11/17/2006	206710204	CCUR	-1000.0000	1.7573	(1,757.30)	12.70
1636	04/27/2010	04/30/2010	458738101	ILI	-3300.0000	0.6900	(2,277.00)	12.71
1637	09/13/2007	09/18/2007	89108P108	TOGI	-7000.0000	0.2400	(1,680.00)	12.71
1638	04/28/2010	05/03/2010	028857100	AAPH	2000.0000	0.8400	1,680.00	12.71
1639	10/05/2007	10/11/2007	29405D101	EVCC	-3000.0000	0.5600	(1,680.00)	12.71
1640	09/17/2008	09/22/2008	82967N108	SIRI	2000.0000	0.8400	1,680.00	12.71
1641	08/14/2007	08/17/2007	217721109	COPY	-2000.0000	0.8400	(1,680.00)	12.71
1642	06/11/2009	06/16/2009	830695102	SKNY	-14000.0000	0.1200	(1,680.00)	12.71
1643	10/15/2010	10/20/2010	82967N108	SIRI	-1747.0000	1.3715	(2,396.01)	12.72
1644	08/02/2007	08/07/2007	826917106	SIGA	1000.0000	2.8500	2,850.00	12.74
1645	10/20/2009	10/23/2009	64190A103	NRO	1000.0000	2.8499	2,849.90	12.74
1646	11/21/2008	11/26/2008	920961109	VVR	1000.0000	2.3565	2,356.50	12.74
1647	01/20/2009	01/23/2009	039209101	KAD	-3500.0000	0.4801	(1,680.35)	12.74
1648	02/04/2009	02/09/2009	01903Q108	ALD	-1106.0000	1.1900	(1,316.14)	12.76
1649	04/06/2009	04/09/2009	172967101	C	850.0000	2.7500	2,337.50	12.77
1650	03/29/2007	04/03/2007	834156101	SOEN	1000.0000	1.9250	1,925.00	12.77
1651	08/28/2009	09/02/2009	313400301	FRE	-1000.0000	2.3610	(2,361.00)	12.82
1652	12/31/2008	01/06/2009	095333100	BLU	-989.0000	2.3620	(2,336.02)	12.84
1653	04/14/2009	04/17/2009	825549108	SHFL	-800.0000	3.7440	(2,995.20)	12.86

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1654	01/15/2009	01/21/2009	802016105	SFHD	-1200.0000	1.0500	(1,260.00)	12.86
1655	01/13/2009	01/16/2009	195493309	CNB	1000.0000	1.9294	1,929.40	12.87
1656	10/10/2008	10/16/2008	026874107	AIG	1000.0000	1.9300	1,930.00	12.88
1657	10/24/2008	10/29/2008	026874107	AIG	1000.0000	1.9300	1,930.00	12.88
1658	01/08/2008	01/11/2008	159765106	CTHR	1000.0000	1.9300	1,930.00	12.88
1659	05/28/2008	06/02/2008	358434108	FBR	-1000.0000	1.9300	(1,930.00)	12.88
1660	11/13/2008	11/18/2008	370442105	GM	1000.0000	2.8585	2,858.50	12.89
1661	02/23/2009	02/26/2009	88033G100	THC	-1500.0000	1.0410	(1,561.50)	12.89
1662	10/20/2009	10/23/2009	64190A103	NRO	1000.0000	2.8591	2,859.10	12.90
1663	05/16/2007	05/21/2007	206710204	CCUR	1500.0000	1.5486	2,322.90	12.90
1664	09/22/2010	09/27/2010	553769100	MNTG	-1000.0000	1.9309	(1,930.90)	12.90
1665	06/25/2008	06/30/2008	337930101	FBC	1000.0000	2.8599	2,859.90	12.91
1666	10/20/2009	10/23/2009	64190A103	NRO	1000.0000	2.8599	2,859.90	12.91
1667	07/14/2009	07/17/2009	044103109	AHT	-1000.0000	2.8604	(2,860.40)	12.92
1668	12/29/2006	01/04/2007	42366C103	HEB	1270.0000	2.2200	2,819.40	12.92
1669	03/06/2008	03/11/2008	88409N101	THK	-1700.0000	0.9900	(1,683.00)	12.94
1670	06/17/2009	06/22/2009	607500105	MDVX	800.0000	3.7500	3,000.00	12.96
1671	10/17/2007	10/22/2007	192108108	CDE	-800.0000	3.7500	(3,000.00)	12.96
1672	03/05/2010	03/10/2010	910710102	UIHC	-799.0000	3.7500	(2,996.25)	12.96
1673	03/06/2009	03/11/2009	28140H104	EDR	-1000.0000	2.8636	(2,863.60)	12.97
1674	01/02/2009	01/07/2009	59318E102	CMU	1000.0000	2.8640	2,864.00	12.98
1675	10/26/2010	10/29/2010	42970V109	NXPND	3000.0000	1.0000	3,000.00	13.01
1676	01/18/2007	01/23/2007	65715D100	NASI	1200.0000	1.0558	1,266.96	13.01
1677	04/26/2010	04/29/2010	45814P105	IBNK	1500.0000	1.8900	2,835.00	13.05
1678	02/21/2007	02/26/2007	206710204	CCUR	1500.0000	1.5587	2,338.05	13.05
1679	10/10/2008	10/16/2008	345370860	F	1000.0000	1.9390	1,939.00	13.08
1680	09/30/2008	10/03/2008	929903102	WB	1000.0000	2.8700	2,870.00	13.09
1681	02/24/2006	03/01/2006	549463107	LU	-1000.0000	2.8700	(2,870.00)	13.09
1682	02/24/2009	02/27/2009	345370860	F	1000.0000	1.9400	1,940.00	13.10
1683	10/31/2008	11/05/2008	749941100	RFMD	1000.0000	1.9400	1,940.00	13.10
1684	10/10/2008	10/16/2008	026874107	AIG	1000.0000	1.9400	1,940.00	13.10
1685	05/12/2009	05/15/2009	026874107	AIG	1000.0000	1.9400	1,940.00	13.10
1686	02/24/2009	02/27/2009	345370860	F	1000.0000	1.9400	1,940.00	13.10
1687	02/17/2006	02/23/2006	69073F103	OWENQ	-1000.0000	1.9400	(1,940.00)	13.10
1688	01/16/2009	01/22/2009	64190A103	NRO	1000.0000	1.9399	1,939.90	13.10
1689	09/21/2009	09/24/2009	150837409	CVM	-1000.0000	1.9401	(1,940.10)	13.11
1690	05/24/2006	05/30/2006	98385L102	XSNX	1200.0000	1.0600	1,272.00	13.13
1691	07/24/2009	07/29/2009	607500105	MDVX	-750.0000	3.8100	(2,857.50)	13.15
1692	10/11/2007	10/16/2007	037271103	ANTS	1337.0000	1.3800	1,845.06	13.15
1693	03/07/2006	03/10/2006	98385L102	XSNX	1400.0000	1.8000	2,520.00	13.17
1694	01/16/2009	01/22/2009	64190A103	NRO	1000.0000	1.9437	1,943.70	13.18
1695	08/09/2006	08/14/2006	885807107	THLD	1900.0000	1.6100	3,059.00	10.24
1696	01/05/2006	01/10/2006	549463107	LU	-900.0000	2.7500	(2,475.00)	13.21
1697	12/12/2006	12/15/2006	380961102	MYNG	-217500.0000	0.0110	(2,392.50)	13.27
1698	04/20/2007	04/25/2007	00253U107	ASTM	-1500.0000	1.4300	(2,145.00)	13.27
1699	09/22/2009	09/25/2009	749227104	RAS	-818.0000	3.1720	(2,594.70)	13.31
1700	10/23/2008	10/28/2008	345370860	F	1000.0000	1.9495	1,949.50	13.31
1701	01/09/2008	01/14/2008	800907107	SANM	-1015.0000	1.3391	(1,359.19)	13.31
1702	08/07/2009	08/12/2009	852061100	S	-750.0000	3.8210	(2,865.75)	13.32
1703	11/10/2008	11/14/2008	345370860	F	1000.0000	1.9500	1,950.00	13.32
1704	11/07/2008	11/13/2008	345370860	F	1000.0000	1.9500	1,950.00	13.32
1705	02/14/2006	02/17/2006	456039106	IBTY	1000.0000	1.9500	1,950.00	13.32
1706	03/09/2009	03/12/2009	449788108	IIA	-1000.0000	1.9500	(1,950.00)	13.32
1707	12/03/2007	12/06/2007	85857R105	STEM	-1000.0000	1.9500	(1,950.00)	13.32
1708	06/11/2007	06/14/2007	149646101	CVCP	1000.0000	1.9500	1,950.00	13.32
1709	10/09/2007	10/12/2007	00509L109	ACUOLD	-1000.0000	1.9500	(1,950.00)	13.32
1710	09/28/2006	10/03/2006	00504W100	ACPW	1000.0000	1.9500	1,950.00	13.32

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1711	05/30/2006	06/02/2006	222814204	DVW	1000.0000	1.9499	1,949.90	13.32
1712	09/14/2007	09/19/2007	206710204	CCUR	2000.0000	1.3400	2,680.00	13.33
1713	10/25/2006	10/30/2006	50575Q102	LTS	2000.0000	1.3400	2,680.00	13.33
1714	02/17/2009	02/20/2009	45814P105	IBNK	2000.0000	1.3400	2,680.00	13.33
1715	11/12/2009	11/17/2009	172967101	C	-730.0000	4.1400	(3,022.20)	12.23
1716	12/28/2009	12/31/2009	628852105	NCS	-975.0000	1.9506	(1,901.84)	13.34
1717	04/27/2007	05/02/2007	207142100	CNXT	-1100.0000	1.4700	(1,617.00)	13.36
1718	05/22/2006	05/25/2006	98155N106	WWAT	-10000.0000	0.3100	(3,100.00)	8.37
1719	10/04/2007	10/10/2007	45254P102	IMH	-1040.0000	1.5501	(1,612.10)	13.37
1720	05/28/2009	06/02/2009	943526301	WAVX	-1200.0000	1.0700	(1,284.00)	13.39
1721	12/02/2008	12/05/2008	749121109	Q	1000.0000	2.8885	2,888.50	13.40
1722	06/30/2010	07/06/2010	172967101	C	750.0000	3.8287	2,871.53	13.43
1723	02/27/2009	03/04/2009	05508R106	BGS	-750.0000	3.8300	(2,872.50)	13.45
1724	08/26/2010	08/31/2010	867931305	SCON	1600.0000	1.5500	2,480.00	13.45
1725	01/16/2009	01/22/2009	64190A103	NRO	1000.0000	1.9564	1,956.40	13.46
1726	04/05/2010	04/08/2010	458738101	ILI	3500.0000	0.7096	2,483.60	13.49
1727	02/14/2007	02/20/2007	522132109	LEAT	-6000.0000	0.3700	(2,220.00)	13.50
1728	01/03/2007	01/08/2007	05348W109	AVNX	1000.0000	2.1235	2,123.50	13.50
1729	10/24/2008	10/29/2008	345370860	F	1000.0000	1.9592	1,959.20	13.53
1730	05/27/2010	06/02/2010	92535A108	VTKT	1000.0000	1.9600	1,960.00	13.54
1731	07/11/2006	07/14/2006	47189R104	JAVO	1000.0000	1.9600	1,960.00	13.54
1732	05/07/2009	05/12/2009	69336V101	PGTI	1000.0000	1.9600	1,960.00	13.54
1733	05/11/2009	05/14/2009	026874107	AIG	1000.0000	1.9600	1,960.00	13.54
1734	08/04/2010	08/09/2010	052660107	AUTH	1000.0000	1.9599	1,959.90	13.54
1735	10/25/2010	10/28/2010	460378102	ISCO	1100.0000	1.8350	2,018.50	13.55
1736	09/22/2009	09/25/2009	767754104	RAD	-1000.0000	1.9609	(1,960.90)	13.56
1737	06/30/2009	07/06/2009	64190A103	NRO	-1000.0000	1.9610	(1,961.00)	13.57
1738	11/13/2008	11/18/2008	370442105	GM	1000.0000	2.8985	2,898.50	13.58
1739	02/06/2009	02/11/2009	195493309	CNB	-3000.0000	0.7993	(2,397.90)	13.58
1740	12/31/2008	01/06/2009	023139108	ABK	-1600.0000	1.1311	(1,809.76)	13.58
1741	04/17/2009	04/22/2009	501242101	KLIC	800.0000	3.7980	3,038.40	11.67
1742	08/09/2006	08/14/2006	549463107	LU	-1000.0000	2.1301	(2,130.10)	13.59
1743	11/11/2008	11/14/2008	721467108	PPC	3400.0000	0.4975	1,691.50	13.60
1744	06/26/2009	07/01/2009	64190A103	NRO	-1000.0000	1.9630	(1,963.00)	13.61
1745	05/18/2006	05/23/2006	222814204	DVW	-1200.0000	1.7800	(2,136.00)	13.62
1746	04/07/2009	04/13/2009	370442105	GM	1000.0000	1.9660	1,966.00	13.68
1747	02/09/2006	02/14/2006	388688103	GPK	1000.0000	2.7000	2,700.00	13.70
1748	04/21/2010	04/26/2010	26700M109	DUSS	-80000.0000	0.0300	(2,400.00)	13.70
1749	03/11/2009	03/16/2009	01903Q108	ALD	3000.0000	0.8000	2,400.00	13.70
1750	09/04/2009	09/10/2009	02503Y103	ACAS	-932.0000	2.1500	(2,003.80)	13.72
1751	09/30/2008	10/03/2008	635405103	NCC	1000.0000	1.9690	1,969.00	13.74
1752	10/02/2006	10/05/2006	207142100	CNXT	-1000.0000	1.9700	(1,970.00)	13.76
1753	10/10/2008	10/16/2008	04269E107	ARQL	1000.0000	1.9700	1,970.00	13.76
1754	01/11/2006	01/17/2006	282914100	EGHT	-1000.0000	1.9700	(1,970.00)	13.76
1755	08/07/2009	08/12/2009	172967101	C	-730.0000	4.1701	(3,044.17)	11.56
1756	05/03/2006	05/08/2006	85512C105	SGU	1000.0000	2.9100	2,910.00	13.78
1757	09/08/2010	09/13/2010	172967101	C	-750.0000	3.8515	(2,888.63)	13.78
1758	10/08/2008	10/14/2008	52729N100	LVL	-1000.0000	1.9718	(1,971.80)	13.80
1759	03/17/2008	03/20/2008	027568104	AMC	-1111.0000	1.2100	(1,344.31)	13.82
1760	05/25/2007	05/31/2007	237690102	DAIO	-725.0000	4.2597	(3,088.28)	9.43
1761	04/02/2009	04/07/2009	345370860	F	-1000.0000	2.9130	(2,913.00)	13.84
1762	11/24/2009	11/30/2009	172967101	C	725.0000	4.2599	3,088.43	9.43
1763	09/07/2007	09/12/2007	109178103	BEXP	-625.0000	4.9978	(3,123.63)	7.69
1764	04/13/2009	04/16/2009	37247D106	GNW	-1000.0000	1.9750	(1,975.00)	13.87
1765	12/04/2008	12/09/2008	82967N108	SIRI	10000.0000	0.1695	1,695.00	13.87
1766	06/11/2009	06/16/2009	30033R108	ESLR	900.0000	2.7890	2,510.10	13.88
1767	04/08/2010	04/13/2010	74765E109	QTWW	3000.0000	0.6900	2,070.00	13.89

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1768	10/24/2006	10/27/2006	549463107	LU	-1000.0000	2.4200	(2,420.00)	13.90
1769	03/11/2009	03/16/2009	345370860	F	1000.0000	1.9760	1,976.00	13.90
1770	10/23/2008	10/28/2008	598251106	MBHI	-1250.0000	2.2701	(2,837.63)	13.92
1771	02/06/2009	02/11/2009	345370860	F	1000.0000	1.9770	1,977.00	13.92
1772	06/23/2006	06/28/2006	549463107	LU	-908.0000	2.3901	(2,170.21)	13.93
1773	04/02/2009	04/07/2009	72764Y100	TUTR	1000.0000	1.9783	1,978.30	13.95
1774	03/12/2008	03/17/2008	038188306	DIGA	2200.0000	0.7709	1,695.98	13.95
1775	01/29/2009	02/03/2009	345370860	F	1000.0000	1.9790	1,979.00	13.96
1776	09/26/2008	10/01/2008	313400301	FRE	1000.0000	1.9799	1,979.90	13.98
1777	10/10/2008	10/16/2008	026874107	AIG	1000.0000	1.9800	1,980.00	13.99
1778	08/01/2008	08/06/2008	65333R200	NXTY	1000.0000	1.9800	1,980.00	13.99
1779	04/04/2006	04/07/2006	69562E104	PRZ	-1000.0000	1.9800	(1,980.00)	13.99
1780	02/11/2010	02/17/2010	472100106	JYHW	1000.0000	1.9800	1,980.00	13.99
1781	02/20/2009	02/25/2009	172967101	C	1000.0000	1.9800	1,980.00	13.99
1782	05/11/2009	05/14/2009	026874107	AIG	1000.0000	1.9800	1,980.00	13.99
1783	02/27/2007	03/02/2007	05348W109	AVNX	1000.0000	2.1440	2,144.00	14.00
1784	07/23/2007	07/26/2007	05348W109	AVNX	1000.0000	2.1440	2,144.00	14.00
1785	01/03/2007	01/08/2007	05348W109	AVNX	1000.0000	2.1440	2,144.00	14.00
1786	01/03/2007	01/08/2007	05348W109	AVNX	1000.0000	2.1440	2,144.00	14.00
1787	02/01/2008	02/06/2008	05348W109	AVNX	2000.0000	0.9308	1,861.60	14.00
1788	10/31/2008	11/05/2008	502161102	LSI	-800.0000	3.8305	(3,064.40)	10.80
1789	05/08/2006	05/11/2006	019777101	ALTH	1000.0000	2.9247	2,924.70	14.03
1790	10/10/2008	10/16/2008	434712105	HOKU	-750.0000	3.8676	(2,900.70)	14.03
1791	05/28/2009	06/02/2009	82967N108	SIRI	-8000.0000	0.3007	(2,405.60)	14.03
1792	05/13/2009	05/18/2009	74347R743	UYG	-750.0000	3.8699	(2,902.43)	14.06
1793	03/19/2009	03/24/2009	920961109	VVR	915.0000	2.3260	2,128.29	14.07
1794	04/13/2007	04/18/2007	85857R105	STEM	900.0000	2.8000	2,520.00	14.08
1795	02/17/2009	02/20/2009	34958B106	FIG	-1100.0000	1.5001	(1,650.11)	14.09
1796	01/17/2008	01/23/2008	207142100	CNXT	-3500.0000	0.6886	(2,410.10)	14.10
1797	07/22/2010	07/27/2010	750491102	RDNT	-1000.0000	1.9863	(1,986.30)	14.12
1798	11/22/2006	11/28/2006	82966U103	SIRI	725.0000	4.2800	3,103.00	8.99
1799	02/09/2006	02/14/2006	469783104	JACO	-800.0000	3.8401	(3,072.08)	10.55
1800	06/19/2006	06/22/2006	868587106	SPRT	-800.0000	3.8400	(3,072.00)	10.55
1801	05/06/2009	05/11/2009	29254Q104	ECIA	-1024.0000	1.6000	(1,638.40)	14.16
1802	03/17/2009	03/20/2009	37247D106	GNW	-1086.0000	1.5030	(1,632.26)	14.16
1803	11/17/2009	11/20/2009	837841105	TSFG	-3000.0000	0.6451	(1,935.30)	14.17
1804	01/26/2009	01/29/2009	345370860	F	1000.0000	1.9890	1,989.00	14.18
1805	01/09/2008	01/14/2008	767754104	RAD	1000.0000	1.9890	1,989.00	14.18
1806	01/10/2008	01/15/2008	767754104	RAD	1000.0000	1.9890	1,989.00	14.18
1807	12/04/2008	12/09/2008	82967N108	SIRI	10000.0000	0.1699	1,699.00	14.18
1808	08/12/2010	08/17/2010	172967101	C	750.0000	3.8785	2,908.88	14.19
1809	03/02/2009	03/05/2009	74347R743	UYG	1000.0000	1.9893	1,989.30	14.19
1810	05/13/2008	05/16/2008	83001P109	SIX	-1000.0000	1.9900	(1,990.00)	14.21
1811	05/20/2009	05/26/2009	169424108	CNTF	1000.0000	1.9900	1,990.00	14.21
1812	04/18/2006	04/21/2006	74157K101	PRM	1000.0000	1.9900	1,990.00	14.21
1813	05/08/2006	05/11/2006	14067D102	CPST	-750.0000	3.8800	(2,910.00)	14.22
1814	10/13/2009	10/16/2009	82967N108	SIRI	-4000.0000	0.5579	(2,231.60)	14.22
1815	04/22/2010	04/27/2010	313400301	FRE	-1500.0000	1.4715	(2,207.25)	14.23
1816	03/25/2009	03/30/2009	45772B106	IVDN	3695.0000	0.4600	1,699.70	14.23
1817	05/13/2009	05/18/2009	87161C105	SNV	800.0000	3.8486	3,078.88	10.32
1818	07/16/2007	07/19/2007	904622206	UCOI	1000000.0000	0.0017	1,700.00	14.26
1819	01/29/2008	02/01/2008	744674201	PUDC	2500.0000	0.6800	1,700.00	14.26
1820	03/17/2009	03/20/2009	70212G101	PDRT	-10000.0000	0.1700	(1,700.00)	14.26
1821	08/04/2006	08/09/2006	75382A107	RPTN	5000.0000	0.3400	1,700.00	14.26
1822	08/04/2006	08/09/2006	75382A107	RPTN	5000.0000	0.3400	1,700.00	14.26
1823	08/06/2009	08/11/2009	88289Q109	TEXC	10000.0000	0.1700	1,700.00	14.26
1824	08/07/2007	08/10/2007	000875104	ACGJ	10000.0000	0.1700	1,700.00	14.26

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1825	07/21/2006	07/26/2006	45768R305	INFN	-2000.0000	0.8500	(1,700.00)	14.26
1826	12/17/2007	12/20/2007	013904305	ALU	-276.0000	7.3368	(2,024.96)	14.29
1827	01/23/2007	01/26/2007	031539109	AMNT	1000.0000	2.9400	2,940.00	14.30
1828	05/11/2010	05/14/2010	87161C105	SNV	1000.0000	2.9399	2,939.90	14.30
1829	03/19/2009	03/24/2009	420781304	HAYZ	13126.0000	0.1476	1,937.40	14.32
1830	03/12/2008	03/17/2008	087866109	BHBC	739.0000	4.0600	3,000.34	14.31
1831	09/06/2007	09/11/2007	053491106	AVAN	-2700.0000	0.6300	(1,701.00)	14.33
1832	08/30/2006	09/05/2006	019777101	ALTH	800.0000	3.8547	3,083.76	10.15
1833	07/28/2008	07/31/2008	939322103	WM	750.0000	3.8900	2,917.50	14.36
1834	01/14/2009	01/20/2009	909214108	UIS	2000.0000	0.9690	1,938.00	14.36
1835	03/08/2010	03/11/2010	536808405	LTHU	-53000.0000	0.0321	(1,701.30)	14.36
1836	12/05/2007	12/10/2007	266898105	DUSA	1000.0000	1.9984	1,998.40	14.39
1837	02/05/2008	02/08/2008	06830X100	BARX	-1700.0000	1.7500	(2,975.00)	14.40
1838	07/20/2007	07/25/2007	05348W109	AVNX	1000.0000	2.1644	2,164.40	14.40
1839	03/12/2008	03/17/2008	52729N100	LVLTL	1000.0000	2.1344	2,134.40	14.40
1840	07/08/2008	07/11/2008	023139108	ABK	1000.0000	1.9990	1,999.00	14.40
1841	08/23/2007	08/28/2007	800907107	SANM	-1000.0000	1.8356	(1,835.60)	14.40
1842	12/19/2007	12/24/2007	266898105	DUSA	1000.0000	1.9994	1,999.40	14.41
1843	05/06/2009	05/11/2009	26153R100	DCS	1000.0000	1.9994	1,999.40	14.41
1844	12/15/2008	12/18/2008	501014104	KKD	1000.0000	2.0000	2,000.00	14.42
1845	07/21/2008	07/24/2008	065908501	BBX	1000.0000	2.0000	2,000.00	14.42
1846	06/25/2009	06/30/2009	64190A103	NRO	-1000.0000	2.0000	(2,000.00)	14.42
1847	06/30/2009	07/06/2009	233384106	SRQ	-1000.0000	2.0000	(2,000.00)	14.42
1848	03/12/2009	03/17/2009	345370860	F	1000.0000	2.0000	2,000.00	14.42
1849	11/07/2008	11/13/2008	345370860	F	1000.0000	2.0000	2,000.00	14.42
1850	03/12/2009	03/17/2009	345370860	F	1000.0000	2.0000	2,000.00	14.42
1851	09/03/2010	09/09/2010	62458M108	MOVE	-1000.0000	2.0000	(2,000.00)	14.42
1852	04/18/2006	04/21/2006	74157K101	PRM	1000.0000	2.0000	2,000.00	14.42
1853	05/07/2007	05/10/2007	903385102	UGTH	1000.0000	2.0000	2,000.00	14.42
1854	12/29/2009	01/04/2010	741777304	PRAQ	1000.0000	2.0000	2,000.00	14.42
1855	09/11/2009	09/16/2009	803893106	SATC	1000.0000	2.0000	2,000.00	14.42
1856	03/12/2009	03/17/2009	062128103	BOFL	1000.0000	2.0000	2,000.00	14.42
1857	08/11/2006	08/16/2006	584070106	MDFI	-1000.0000	2.0000	(2,000.00)	14.42
1858	10/10/2008	10/16/2008	345370860	F	1000.0000	2.0000	2,000.00	14.42
1859	07/21/2006	07/26/2006	549463107	LU	1000.0000	2.0000	2,000.00	14.42
1860	10/06/2008	10/09/2008	52729N100	LVLTL	1000.0000	2.0000	2,000.00	14.42
1861	10/10/2008	10/16/2008	345370860	F	1000.0000	1.9999	1,999.90	14.42
1862	03/18/2009	03/23/2009	446150104	HBAN	1000.0000	1.9997	1,999.70	14.42
1863	08/16/2010	08/19/2010	172967101	C	-800.0000	3.8615	(3,089.20)	9.97
1864	10/14/2009	10/19/2009	26745T101	DYAI	1000.0000	2.0010	2,001.00	14.44
1865	11/13/2008	11/18/2008	370442105	GM	1000.0000	2.9485	2,948.50	14.45
1866	06/30/2006	07/06/2006	583538103	MKTY	1000.0000	2.4500	2,450.00	14.45
1867	01/16/2007	01/19/2007	98420A103	XNL	-1000.0000	2.0012	(2,001.20)	14.45
1868	06/03/2010	06/08/2010	50213V109	LRAD	1500.0000	1.4812	2,221.80	14.46
1869	12/23/2008	12/29/2008	19247V104	RPF	-942.0000	3.0632	(2,885.53)	14.49
1870	09/16/2010	09/21/2010	82967N108	SIRI	-1200.0000	1.1115	(1,333.80)	14.49
1871	10/12/2009	10/15/2009	693864100	PFAP	750.0000	3.9000	2,925.00	14.52
1872	09/22/2006	09/27/2006	82966U103	SIRI	750.0000	3.9000	2,925.00	14.52
1873	11/21/2008	11/26/2008	189873102	COA	1350.0000	1.0680	1,441.80	14.52
1874	02/17/2009	02/20/2009	026874107	AIG	-2181.0000	0.7811	(1,703.58)	14.53
1875	01/10/2006	01/13/2006	74269U104	PRNW	4000.0000	0.5200	2,080.00	14.54
1876	06/13/2006	06/16/2006	247126105	DPHIQ	-2200.0000	1.4200	(3,124.00)	8.36
1877	02/04/2009	02/09/2009	345370860	F	1000.0000	2.0070	2,007.00	14.56
1878	06/10/2009	06/15/2009	588056101	MERC	-2000.0000	0.8520	(1,704.00)	14.56
1879	02/13/2007	02/16/2007	05348W109	AVNX	1000.0000	2.0645	2,064.58	14.58
1880	03/26/2009	03/31/2009	247907207	DPTR	2000.0000	1.3900	2,780.00	14.59
1881	11/20/2008	11/25/2008	918076100	UTSI	2000.0000	1.3900	2,780.00	14.59

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1882	02/17/2010	02/22/2010	628852105	NCS	1000.0000	2.0090	2,009.00	14.60
1883	10/23/2008	10/28/2008	345370860	F	1000.0000	2.0099	2,009.90	14.61
1884	12/19/2007	12/24/2007	266898105	DUSA	1000.0000	2.0096	2,009.60	14.61
1885	02/04/2009	02/09/2009	7591EP100	RF	-1219.0000	2.4505	(2,987.16)	14.62
1886	02/20/2009	02/25/2009	172967101	C	1000.0000	2.0100	2,010.00	14.62
1887	10/10/2008	10/16/2008	345370860	F	1000.0000	2.0100	2,010.00	14.62
1888	09/29/2008	10/02/2008	635405103	NCC	-1000.0000	2.0100	(2,010.00)	14.62
1889	07/16/2009	07/21/2009	64190A103	NRO	-1000.0000	2.0100	(2,010.00)	14.62
1890	07/16/2009	07/21/2009	64190A103	NRO	-1000.0000	2.0100	(2,010.00)	14.62
1891	12/22/2009	12/28/2009	320744105	FMFC	-1000.0000	2.0100	(2,010.00)	14.62
1892	04/28/2009	05/01/2009	82706C108	SIMO	1000.0000	2.9590	2,959.00	14.64
1893	02/27/2008	03/03/2008	80862K104	SCLN	-1000.0000	2.0113	(2,011.30)	14.64
1894	01/04/2010	01/07/2010	561911108	HYF	-1000.0000	2.0110	(2,011.00)	14.64
1895	05/08/2008	05/13/2008	961840105	WTSIA	800.0000	3.8780	3,102.40	9.53
1896	09/21/2010	09/24/2010	00101B103	ADPT	1000.0000	2.9600	2,960.00	14.65
1897	10/10/2008	10/16/2008	345370860	F	1000.0000	2.0120	2,012.00	14.66
1898	09/23/2010	09/28/2010	172967101	C	800.0000	3.8800	3,104.00	9.48
1899	06/23/2008	06/26/2008	749121109	Q	-800.0000	3.8800	(3,104.00)	9.48
1900	03/20/2009	03/25/2009	025676206	AEL	785.0000	3.8800	3,045.80	12.39
1901	01/16/2009	01/22/2009	584404107	MEG	850.0000	2.8620	2,432.70	14.68
1902	03/20/2009	03/25/2009	37183T107	GLS	1000.0000	2.9660	2,966.00	14.75
1903	12/14/2009	12/17/2009	554225102	MCBC	-1305.0000	2.0641	(2,693.65)	14.77
1904	03/17/2008	03/20/2008	903213106	UQM	-1500.0000	1.9701	(2,955.15)	14.79
1905	08/14/2009	08/19/2009	14052H506	CT	1000.0000	2.0190	2,019.00	14.79
1906	06/09/2010	06/14/2010	172967101	C	800.0000	3.8892	3,111.36	9.23
1907	12/11/2008	12/16/2008	345370860	F	1000.0000	2.9685	2,968.50	14.80
1908	01/04/2007	01/09/2007	05348W109	AVNX	1000.0000	2.1848	2,184.80	14.80
1909	07/21/2006	07/26/2006	866810104	SUNW	-800.0000	3.8900	(3,112.00)	9.21
1910	07/21/2006	07/26/2006	866810104	SUNW	-800.0000	3.8900	(3,112.00)	9.21
1911	09/22/2009	09/25/2009	767754104	RAD	-1500.0000	1.9709	(2,956.35)	14.81
1912	10/10/2008	10/16/2008	345370860	F	1000.0000	2.0199	2,019.90	14.81
1913	08/09/2006	08/14/2006	82966U103	SIRI	-750.0000	3.9200	(2,940.00)	14.82
1914	11/25/2008	12/01/2008	879868107	TIN	1000.0000	2.9700	2,970.00	14.83
1915	08/17/2006	08/22/2006	093440105	BESV	-2000.0000	1.1850	(2,370.00)	14.83
1916	04/27/2006	05/02/2006	150837409	CVM	-1675.0000	1.2900	(2,160.75)	14.83
1917	11/17/2008	11/20/2008	026874107	AIG	-1000.0000	2.0208	(2,020.80)	14.83
1918	05/10/2006	05/15/2006	05348W109	AVNX	-1200.0000	2.2026	(2,643.12)	14.88
1919	08/23/2006	08/28/2006	817268105	SENS	-49000.0000	0.0600	(2,940.00)	14.90
1920	01/28/2009	02/02/2009	345370860	F	1000.0000	2.0260	2,026.00	14.93
1921	08/21/2009	08/26/2009	852061100	S	800.0000	3.9000	3,120.00	8.94
1922	01/12/2010	01/15/2010	910710102	UIHC	-769.0000	3.9000	(2,999.10)	14.94
1923	04/17/2009	04/22/2009	172967101	C	769.0000	3.9000	2,999.10	14.94
1924	08/25/2009	08/28/2009	82967N108	SIRI	-2500.0000	0.6836	(1,709.00)	14.95
1925	10/16/2008	10/21/2008	56400P201	MNKD	1000.0000	2.9781	2,978.10	14.96
1926	08/18/2009	08/21/2009	26817G102	DYN	1000.0000	2.0290	2,029.00	14.98
1927	11/21/2008	11/26/2008	466090107	JASO	1000.0000	2.0290	2,029.00	14.98
1928	04/15/2009	04/20/2009	26817G102	DYN	1000.0000	2.0286	2,028.60	14.98
1929	03/29/2006	04/03/2006	549463107	LU	-1000.0000	3.0500	(3,050.00)	12.50
1930	03/24/2010	03/29/2010	16934Q109	CIM	750.0000	4.0592	3,044.40	12.78
1931	02/09/2007	02/14/2007	37229P507	GTOP	760.0000	3.9300	2,986.80	15.00
1932	04/20/2009	04/23/2009	172967101	C	1000.0000	2.9800	2,980.00	15.00
1933	01/02/2008	01/07/2008	05348W109	AVNX	3000.0000	0.9825	2,947.50	15.00
1934	05/21/2007	05/24/2007	020524104	AGMB	1500.0000	1.9500	2,925.00	15.00
1935	03/30/2010	04/05/2010	62010A105	MTLQQ	-5000.0000	0.5710	(2,855.00)	15.00
1936	09/12/2006	09/15/2006	197779101	CBRX	1000.0000	2.7698	2,769.80	15.00
1937	12/15/2008	12/18/2008	45814P105	IBNK	1500.0000	1.6300	2,445.00	15.00
1938	04/16/2008	04/21/2008	82966U103	SIRI	-1000.0000	2.4000	(2,400.00)	15.00

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1939	08/28/2009	09/02/2009	313586109	FNM	-1000.0000	2.0301	(2,030.10)	15.00
1940	09/04/2008	09/09/2008	957669104	ZIF	-1000.0000	2.0300	(2,030.00)	15.00
1941	09/22/2006	09/27/2006	88409N101	THK	1000.0000	2.0300	2,030.00	15.00
1942	12/28/2007	01/03/2008	404160103	HEII	-3000.0000	0.5700	(1,710.00)	15.03
1943	10/23/2009	10/28/2009	643848104	NENE	-2000.0000	0.8550	(1,710.00)	15.03
1944	08/25/2009	08/28/2009	82967N108	SIRI	-2500.0000	0.6840	(1,710.00)	15.03
1945	02/24/2006	03/01/2006	37364Q104	GETC	9500.0000	0.1800	1,710.00	15.03
1946	04/28/2009	05/01/2009	591556105	MTIZ	-171000.0000	0.0100	(1,710.00)	15.03
1947	08/10/2010	08/13/2010	561911108	HYF	1300.0000	2.3299	3,028.87	13.62
1948	12/29/2008	01/02/2009	026874107	AIG	-1069.0000	1.5400	(1,646.26)	15.06
1949	09/22/2006	09/27/2006	82966U103	SIRI	800.0000	3.9100	3,128.00	8.67
1950	10/01/2009	10/06/2009	090627100	BMOD	-5000.0000	0.2600	(1,300.00)	15.08
1951	10/01/2010	10/06/2010	12738A101	CADE	1000.0000	2.0350	2,035.00	15.10
1952	09/22/2010	09/27/2010	286202205	ETAK	1000.0000	2.0350	2,035.00	15.10
1953	11/09/2006	11/14/2006	150934107	CTIC	-1500.0000	1.5100	(2,265.00)	15.13
1954	04/08/2009	04/14/2009	776338204	RONC	-14504.0000	0.1672	(2,425.07)	15.16
1955	02/26/2008	02/29/2008	91688T104	URZ	1000.0000	2.9899	2,989.90	15.17
1956	03/03/2009	03/06/2009	370442105	GM	1000.0000	2.0392	2,039.20	15.18
1957	09/17/2008	09/22/2008	026874107	AIG	1000.0000	2.0399	2,039.90	15.19
1958	07/24/2009	07/29/2009	233384106	SRQ	-1000.0000	2.0404	(2,040.40)	15.20
1959	02/02/2006	02/07/2006	591257100	MMGG	1000.0000	2.0400	2,040.00	15.20
1960	04/18/2006	04/21/2006	232828301	CYTR	-1000.0000	2.0400	(2,040.00)	15.20
1961	11/05/2008	11/10/2008	865378103	SUF	1000.0000	2.0400	2,040.00	15.20
1962	08/10/2010	08/13/2010	252726203	DRFO	1000.0000	2.0400	2,040.00	15.20
1963	01/17/2006	01/20/2006	565011103	MANU	-1000.0000	2.0400	(2,040.00)	15.20
1964	10/08/2008	10/14/2008	339099103	FLE	3000.0000	0.6500	1,950.00	15.20
1965	08/17/2010	08/20/2010	16934Q109	CIM	800.0000	3.9200	3,136.00	8.41
1966	03/30/2010	04/05/2010	92839U107	VSTNQ	-1200.0000	1.1400	(1,368.00)	15.24
1967	05/20/2009	05/26/2009	169424108	CNTF	1000.0000	2.0434	2,043.40	15.26
1968	04/06/2010	04/09/2010	254668106	DSCO	5000.0000	0.5262	2,631.00	15.27
1969	05/30/2008	06/04/2008	56400P201	MNKD	1000.0000	2.9970	2,997.00	15.29
1970	02/25/2009	03/02/2009	345370860	F	1000.0000	2.0460	2,046.00	15.31
1971	09/20/2010	09/23/2010	665141107	NXP	376000.0000	0.0070	2,632.00	15.33
1972	11/09/2009	11/13/2009	641208103	NWK	-800.0000	3.9300	(3,144.00)	8.14
1973	04/16/2009	04/21/2009	193068103	CWTR	1000.0000	3.0000	3,000.00	15.35
1974	11/26/2008	12/02/2008	30161Q104	EXEL	-1000.0000	3.0000	(3,000.00)	15.35
1975	09/19/2006	09/22/2006	871562203	SYGR	-800.0000	3.7233	(2,978.64)	15.36
1976	03/15/2010	03/18/2010	82967N108	SIRI	2500.0000	0.9000	2,250.00	15.36
1977	11/13/2008	11/18/2008	92933E108	WSFG	4500.0000	0.5000	2,250.00	15.36
1978	10/24/2008	10/29/2008	345370860	F	1000.0000	2.0492	2,049.20	15.37
1979	05/29/2009	06/03/2009	247907207	DPTR	1000.0000	2.0500	2,050.00	15.39
1980	05/08/2007	05/11/2007	86664Q108	SCEY	-1000.0000	2.0500	(2,050.00)	15.39
1981	10/10/2008	10/16/2008	026874107	AIG	1000.0000	2.0500	2,050.00	15.39
1982	12/11/2006	12/14/2006	03062Y104	AWNE	1000.0000	2.0500	2,050.00	15.39
1983	02/02/2006	02/07/2006	651360109	NWGN	-1000.0000	2.0500	(2,050.00)	15.39
1984	05/19/2006	05/24/2006	45840F105	ISWI	-1000.0000	2.0500	(2,050.00)	15.39
1985	09/05/2008	09/10/2008	065908501	BBX	1000.0000	2.0500	2,050.00	15.39
1986	04/27/2009	04/30/2009	370442105	GM	1000.0000	2.0500	2,050.00	15.39
1987	03/19/2009	03/24/2009	422704106	HL	1000.0000	2.0499	2,049.90	15.39
1988	01/06/2010	01/11/2010	950587105	WEN	-720.0000	4.4817	(3,226.82)	4.06
1989	01/17/2006	01/20/2006	90331S109	CLEC	-1000.0000	1.9346	(1,934.60)	15.40
1990	12/31/2009	01/06/2010	87161C105	SNV	-1000.0000	2.0510	(2,051.00)	15.41
1991	04/19/2006	04/24/2006	45840F105	ISWI	-1000.0000	2.0520	(2,052.00)	15.43
1992	01/20/2006	01/25/2006	464278209	ISEC	-1500.0000	2.0000	(3,000.00)	15.44
1993	10/01/2008	10/06/2008	635405103	NCC	1500.0000	2.0000	3,000.00	15.44
1994	02/26/2009	03/03/2009	345370860	F	-1500.0000	2.0000	(3,000.00)	15.44
1995	09/22/2010	09/27/2010	172967101	C	800.0000	3.9400	3,152.00	7.87

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
1996	05/04/2010	05/07/2010	69506E103	PWEB	17000.0000	0.1550	2,635.00	15.49
1997	03/24/2006	03/29/2006	46018A100	IPWG	1650.0000	1.1700	1,930.50	15.50
1998	06/23/2008	06/26/2008	449575109	IG	-1231.0000	2.4200	(2,979.02)	15.51
1999	02/29/2008	03/05/2008	255531105	DLII	1200.0000	1.1500	1,380.00	15.51
2000	12/30/2008	01/05/2009	81662W108	SGLP	-1500.0000	2.0047	(3,007.05)	15.18
2001	11/18/2008	11/21/2008	313400301	FRE	5000.0000	0.6294	3,147.00	8.20
2002	05/17/2010	05/20/2010	88630M104	TPI	1000.0000	3.2673	3,267.30	2.19
2003	03/24/2009	03/27/2009	19247L106	RQI	-1000.0000	2.0601	(2,060.10)	15.58
2004	03/12/2009	03/17/2009	345370860	F	-1000.0000	2.0600	(2,060.00)	15.58
2005	02/26/2009	03/03/2009	345370860	F	1000.0000	2.0600	2,060.00	15.58
2006	11/13/2008	11/18/2008	026874107	AIG	1000.0000	2.0600	2,060.00	15.58
2007	11/20/2008	11/25/2008	370442105	GM	1000.0000	2.0600	2,060.00	15.58
2008	09/24/2007	09/27/2007	85857R105	STEM	-1000.0000	2.0600	(2,060.00)	15.58
2009	04/19/2006	04/24/2006	45840F105	ISWI	-1000.0000	2.0600	(2,060.00)	15.58
2010	09/21/2006	09/26/2006	88409N101	THK	1000.0000	2.0600	2,060.00	15.58
2011	10/23/2008	10/28/2008	026874107	AIG	1000.0000	2.0599	2,059.90	15.58
2012	09/25/2008	09/30/2008	939322103	WM	1000.0000	2.0599	2,059.90	15.58
2013	01/02/2008	01/07/2008	349862300	FORD	-1250.0000	2.3608	(2,951.00)	15.59
2014	03/28/2006	03/31/2006	05348W109	AVNX	1000.0000	3.1856	3,185.60	6.32
2015	04/30/2009	05/05/2009	049513104	ATML	-800.0000	3.9500	(3,160.00)	7.60
2016	03/25/2009	03/30/2009	74833W206	QPSA	-3000.0000	1.0000	(3,000.00)	15.60
2017	01/26/2006	01/31/2006	879604106	TKO	-750.0000	3.9710	(2,978.25)	15.60
2018	10/10/2006	10/13/2006	04289L107	ARTG	1000.0000	2.2256	2,225.60	15.60
2019	07/30/2008	08/04/2008	888314101	TTP	5000.0000	0.6300	3,150.00	8.19
2020	04/09/2010	04/14/2010	684023104	ORNI	5000.0000	0.6300	3,150.00	8.19
2021	04/09/2010	04/14/2010	684023104	ORNI	5000.0000	0.6300	3,150.00	8.19
2022	07/13/2010	07/16/2010	989834106	ZF	-840.0000	3.0410	(2,554.44)	15.69
2023	07/13/2010	07/16/2010	989834106	ZF	-840.0000	3.0410	(2,554.44)	15.69
2024	01/21/2009	01/26/2009	345370860	F	1000.0000	2.0660	2,066.00	15.70
2025	08/02/2006	08/07/2006	344437108	FONR	4000.0000	0.4297	1,718.80	15.71
2026	02/26/2009	03/03/2009	345370860	F	1000.0000	2.0670	2,067.00	15.72
2027	11/21/2008	11/26/2008	359678109	LL	-3000.0000	1.0050	(3,015.00)	14.98
2028	04/20/2007	04/25/2007	42222N103	HSTM	750.0000	3.9800	2,985.00	15.73
2029	08/26/2010	08/31/2010	86806M205	SUPR	2300.0000	1.2400	2,852.00	15.73
2030	06/04/2008	06/09/2008	52729N100	LVL	-720.0000	3.7298	(2,685.46)	15.74
2031	10/10/2008	10/16/2008	50208C108	RIT	-720.0000	4.5101	(3,247.27)	3.39
2032	11/09/2006	11/14/2006	247126105	DPHIQ	1250.0000	2.3700	2,962.50	15.75
2033	05/06/2009	05/11/2009	370442105	GM	-1600.0000	1.6500	(2,640.00)	15.77
2034	12/21/2009	12/24/2009	835916107	SONS	1000.0000	2.0700	2,070.00	15.77
2035	06/19/2008	06/24/2008	912023207	UXG	-1000.0000	2.0700	(2,070.00)	15.77
2036	04/17/2007	04/20/2007	313549107	FDMLQ	-1200.0000	1.1600	(1,392.00)	15.77
2037	03/25/2009	03/30/2009	172967101	C	1000.0000	3.0299	3,029.90	14.30
2038	08/06/2007	08/09/2007	49689D205	KNGS	-27000.0000	0.0637	(1,719.90)	15.79
2039	01/19/2006	01/24/2006	46612J101	JDSU	1000.0000	3.1958	3,195.80	6.01
2040	07/21/2010	07/26/2010	172967101	C	-800.0000	3.9650	(3,172.00)	7.20
2041	03/11/2009	03/16/2009	026874107	AIG	4000.0000	0.4300	1,720.00	15.80
2042	06/24/2008	06/27/2008	584070106	MDFI	4000.0000	0.4300	1,720.00	15.80
2043	09/21/2006	09/26/2006	739191104	PWAC	2000.0000	0.8600	1,720.00	15.80
2044	08/25/2008	08/28/2008	71903M100	FXG	-3000.0000	1.0083	(3,024.90)	14.57
2045	12/16/2008	12/19/2008	19247V104	RPF	-900.0000	2.9000	(2,610.00)	15.81
2046	03/23/2006	03/28/2006	989414107	ZIF	900.0000	2.9000	2,610.00	15.81
2047	04/01/2008	04/04/2008	78402X107	DDD	1596.0000	1.2100	1,931.16	15.81
2048	10/23/2009	10/28/2009	453838104	IBCP	-1100.0000	1.5712	(1,728.32)	15.82
2049	03/26/2009	03/31/2009	37247D106	GNW	-1000.0000	2.0730	(2,073.00)	15.83
2050	01/14/2010	01/20/2010	16944J103	CHTL	2000.0000	1.4400	2,880.00	15.84
2051	09/07/2010	09/10/2010	82967N108	SIRI	3000.0000	1.0100	3,030.00	14.35
2052	02/22/2006	02/27/2006	59163F105	INFO	3000.0000	1.0100	3,030.00	14.35

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2053	09/21/2010	09/24/2010	172967101	C	750.0000	3.9882	2,991.15	15.85
2054	05/04/2009	05/07/2009	593180104	CXE	750.0000	3.9880	2,991.00	15.85
2055	02/09/2010	02/12/2010	12562M106	CKXE	750.0000	3.9880	2,991.00	15.85
2056	03/20/2006	03/23/2006	45840F105	ISWI	1000.0000	2.0740	2,074.00	15.85
2057	05/28/2010	06/03/2010	172967101	C	800.0000	3.9700	3,176.00	7.07
2058	12/26/2008	12/31/2008	007903107	AMD	1000.0000	2.0760	2,076.00	15.89
2059	05/30/2008	06/04/2008	56400P201	MNKD	1000.0000	3.0400	3,040.00	13.94
2060	08/25/2009	08/28/2009	760112102	RTK	1000.0000	2.0796	2,079.60	15.96
2061	04/18/2008	04/23/2008	09250E107	HIS	1000.0000	2.0794	2,079.40	15.96
2062	02/24/2006	03/01/2006	888314101	TTP	-1000.0000	3.0420	(3,042.00)	13.87
2063	04/27/2009	04/30/2009	370442105	GM	-1000.0000	2.0800	(2,080.00)	15.97
2064	11/20/2008	11/25/2008	370442105	GM	-1000.0000	2.0800	(2,080.00)	15.97
2065	03/12/2008	03/17/2008	885218107	TMA	1000.0000	2.0800	2,080.00	15.97
2066	09/26/2006	09/29/2006	00504W100	ACPW	1000.0000	2.0800	2,080.00	15.97
2067	02/13/2006	02/16/2006	243798105	DWOG	1000.0000	2.0800	2,080.00	15.97
2068	01/20/2006	01/25/2006	565011103	MANU	-1000.0000	2.0800	(2,080.00)	15.97
2069	01/20/2006	01/25/2006	565011103	MANU	-1000.0000	2.0800	(2,080.00)	15.97
2070	02/15/2006	02/21/2006	699071106	PDSE	100000.0000	0.0226	2,260.00	15.98
2071	10/04/2006	10/10/2006	82966U103	SIRI	-800.0000	3.9800	(3,184.00)	6.80
2072	01/20/2010	01/25/2010	74344F106	PBTH	1200.0000	2.6200	3,144.00	8.80
2073	04/07/2009	04/13/2009	749121109	Q	-750.0000	4.0000	(3,000.00)	16.03
2074	08/13/2010	08/18/2010	87651B104	TASR	-750.0000	4.0000	(3,000.00)	16.03
2075	12/22/2006	12/28/2006	885535104	COMS	-750.0000	4.0000	(3,000.00)	16.03
2076	09/08/2008	09/11/2008	629410309	NTN	7561.0000	0.2279	1,723.15	16.04
2077	09/12/2007	09/17/2007	69366L205	PSPJ	-10000.0000	0.2800	(2,800.00)	16.06
2078	02/26/2009	03/03/2009	345370860	F	1000.0000	2.0850	2,085.00	16.06
2079	01/05/2009	01/08/2009	30254M101	FPBI	1408.0000	2.2092	3,110.55	10.54
2080	04/17/2006	04/20/2006	59139P104	MSLV	1000.0000	3.0661	3,066.10	12.80
2081	10/17/2008	10/22/2008	85857R105	STEM	-3000.0000	1.0200	(3,060.00)	13.10
2082	11/20/2009	11/25/2009	313586109	FNM	3000.0000	1.0199	3,059.70	13.12
2083	10/29/2008	11/03/2008	802016105	SFHD	-1450.0000	1.5000	(2,175.00)	16.12
2084	06/19/2008	06/24/2008	82966U103	SIRI	1000.0000	2.0882	2,088.20	16.13
2085	07/11/2006	07/14/2006	369730106	JOB	-1265.0000	1.4700	(1,859.55)	16.13
2086	01/20/2009	01/23/2009	345370860	F	1000.0000	2.0890	2,089.00	16.14
2087	11/19/2008	11/24/2008	55608B105	MIC	950.0000	3.0740	2,920.30	16.15
2088	07/26/2006	07/31/2006	549463107	LU	-1000.0000	2.0901	(2,090.10)	16.16
2089	10/10/2008	10/16/2008	026874107	AIG	1000.0000	2.0900	2,090.00	16.16
2090	07/23/2009	07/28/2009	75734R105	FRZ	1000.0000	2.0900	2,090.00	16.16
2091	07/23/2009	07/28/2009	75734R105	FRZ	1000.0000	2.0900	2,090.00	16.16
2092	07/23/2009	07/28/2009	75734R105	FRZ	1000.0000	2.0900	2,090.00	16.16
2093	04/02/2009	04/07/2009	37247D106	GNW	-1000.0000	2.0900	(2,090.00)	16.16
2094	10/27/2008	10/30/2008	345370860	F	1000.0000	2.0900	2,090.00	16.16
2095	03/29/2007	04/03/2007	131347106	CPNLQ	1000.0000	2.0900	2,090.00	16.16
2096	05/21/2008	05/27/2008	29413C202	ENVI	1000.0000	2.0900	2,090.00	16.16
2097	03/08/2006	03/13/2006	371485103	GNBT	1000.0000	2.0898	2,089.80	16.16
2098	07/14/2010	07/19/2010	87161C105	SNV	-1012.0000	2.6610	(2,692.93)	16.17
2099	08/25/2009	08/28/2009	313400301	FRE	-1000.0000	2.0910	(2,091.00)	16.18
2100	08/16/2006	08/21/2006	380709105	GDRHA	15000.0000	0.1150	1,725.00	16.18
2101	01/06/2006	01/11/2006	69073F103	OWENQ	1000.0000	3.0600	3,060.00	13.24
2102	12/12/2008	12/17/2008	56400P201	MNKD	1000.0000	3.0599	3,059.90	13.25
2103	08/08/2006	08/11/2006	871562203	SYGR	-756.0000	3.7801	(2,857.76)	16.24
2104	03/15/2006	03/20/2006	767754104	RAD	800.0000	3.9999	3,199.92	6.26
2105	09/20/2010	09/23/2010	916896103	UEC	-1000.0000	3.0609	(3,060.90)	13.22
2106	10/10/2008	10/16/2008	345370860	F	1000.0000	2.0950	2,095.00	16.26
2107	08/21/2009	08/26/2009	446150104	HBAN	-800.0000	4.0000	(3,200.00)	6.27
2108	06/16/2009	06/19/2009	804098101	SAY	800.0000	4.0000	3,200.00	6.27
2109	11/06/2006	11/09/2006	82966U103	SIRI	-789.0000	4.0000	(3,156.00)	8.47

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2110	03/05/2009	03/10/2009	33733G109	FGB	-1000.0000	2.0961	(2,096.10)	16.28
2111	02/19/2008	02/22/2008	52729N100	LVLTL	1000.0000	2.7163	2,716.30	16.30
2112	10/03/2007	10/09/2007	631084209	NCTW	5000.0000	0.5300	2,650.00	16.30
2113	07/02/2010	07/08/2010	37945C202	GBRC	5000.0000	0.5300	2,650.00	16.30
2114	11/06/2008	11/12/2008	464119106	IFC	1000.0000	2.0979	2,097.90	16.31
2115	11/24/2008	11/28/2008	595112103	MU	1000.0000	2.0985	2,098.50	16.32
2116	06/19/2008	06/24/2008	82966U103	SIRI	1000.0000	2.0982	2,098.20	16.32
2117	10/10/2008	10/16/2008	345370860	F	1000.0000	2.0990	2,099.00	16.33
2118	10/26/2007	10/31/2007	92886T201	VG	1000.0000	2.0994	2,099.40	16.34
2119	06/25/2008	06/30/2008	56400P201	MNKD	1000.0000	3.0670	3,067.00	13.00
2120	05/29/2009	06/03/2009	247907207	DPTR	1000.0000	2.1000	2,100.00	16.35
2121	04/13/2006	04/19/2006	74727M306	QMM	-1000.0000	2.1000	(2,100.00)	16.35
2122	05/25/2006	05/31/2006	85857R105	STEM	1000.0000	2.1000	2,100.00	16.35
2123	07/24/2009	07/29/2009	64190A103	NRO	-1000.0000	2.1000	(2,100.00)	16.35
2124	11/10/2008	11/14/2008	345370860	F	1000.0000	2.1000	2,100.00	16.35
2125	10/10/2008	10/16/2008	345370860	F	1000.0000	2.1000	2,100.00	16.35
2126	07/19/2006	07/24/2006	549463107	LU	-1000.0000	2.1000	(2,100.00)	16.35
2127	02/05/2010	02/10/2010	55973B102	MHR	1000.0000	2.1000	2,100.00	16.35
2128	09/17/2009	09/22/2009	767754104	RAD	-1000.0000	2.1000	(2,100.00)	16.35
2129	01/25/2007	01/30/2007	03062Y104	AWNE	1000.0000	2.1000	2,100.00	16.35
2130	04/07/2006	04/12/2006	222814204	DVW	1000.0000	2.0999	2,099.90	16.35
2131	10/03/2008	10/08/2008	049513104	ATML	-750.0000	4.0216	(3,016.20)	15.55
2132	09/29/2008	10/02/2008	635405103	NCC	1500.0000	2.0497	3,074.55	12.64
2133	12/20/2007	12/26/2007	82966U103	SIRI	1000.0000	3.0682	3,068.20	12.96
2134	09/11/2009	09/16/2009	90341W108	LCC	800.0000	4.0096	3,207.68	6.01
2135	06/17/2009	06/22/2009	172967101	C	-1000.0000	3.0700	(3,070.00)	12.89
2136	11/10/2006	11/15/2006	920913100	VLT	-800.0000	4.0100	(3,208.00)	6.00
2137	06/24/2008	06/27/2008	56400P201	MNKD	1000.0000	3.0750	3,075.00	12.71
2138	05/28/2010	06/03/2010	172967101	C	750.0000	4.0300	3,022.50	15.36
2139	05/06/2009	05/11/2009	172967101	C	810.0000	3.4800	2,818.80	16.49
2140	03/23/2010	03/26/2010	989834106	ZF	810.0000	3.4800	2,818.80	16.49
2141	01/19/2006	01/24/2006	46612J101	JDSU	1000.0000	3.2465	3,246.50	4.17
2142	02/05/2007	02/08/2007	05348W109	AVNX	1000.0000	2.2665	2,266.50	16.50
2143	08/24/2007	08/29/2007	800907107	SANM	-1000.0000	1.9435	(1,943.50)	16.50
2144	05/02/2006	05/05/2006	05348W109	AVNX	-1275.0000	2.3194	(2,957.24)	16.51
2145	06/14/2006	06/19/2006	25278S100	DHCC	900.0000	2.9400	2,646.00	16.51
2146	07/08/2008	07/11/2008	92933E108	WSFG	1100.0000	1.6000	1,760.00	16.51
2147	11/18/2008	11/21/2008	971889100	WOC	-1132.0000	1.2225	(1,383.87)	16.52
2148	11/05/2009	11/10/2009	172967101	C	-780.0000	4.0201	(3,135.68)	9.75
2149	05/07/2009	05/12/2009	026874107	AIG	1000.0000	2.1099	2,109.90	16.54
2150	01/23/2008	01/28/2008	916896103	UEC	1000.0000	2.1099	2,109.90	16.54
2151	09/19/2008	09/24/2008	065908501	BBX	1000.0000	2.1099	2,109.90	16.54
2152	02/10/2010	02/16/2010	58447E108	MEDG	3904.0000	0.6800	2,654.72	16.55
2153	09/05/2007	09/10/2007	452907108	IMMU	1000.0000	2.1100	2,110.00	16.55
2154	08/08/2007	08/13/2007	353487200	FCMC	-1000.0000	2.1100	(2,110.00)	16.55
2155	07/06/2007	07/11/2007	82934C102	SOBM	1000.0000	2.1100	2,110.00	16.55
2156	01/20/2009	01/23/2009	345370860	F	1000.0000	2.1100	2,110.00	16.55
2157	10/22/2008	10/27/2008	345370860	F	1000.0000	2.1100	2,110.00	16.55
2158	05/07/2009	05/12/2009	444903108	HGSI	1000.0000	2.1100	2,110.00	16.55
2159	05/15/2008	05/20/2008	74269U104	PKT	1500.0000	2.0600	3,090.00	12.06
2160	02/17/2009	02/20/2009	85916J102	STXS	-875.0000	2.9430	(2,575.13)	16.56
2161	07/21/2009	07/24/2009	720279108	PIR	-1000.0000	2.1110	(2,111.00)	16.57
2162	03/16/2009	03/19/2009	026874107	AIG	2000.0000	0.8650	1,730.00	16.57
2163	01/28/2010	02/02/2010	73174C100	PYMX	3000.0000	1.0400	3,120.00	10.59
2164	03/27/2009	04/01/2009	026874107	AIG	3000.0000	1.0400	3,120.00	10.59
2165	01/25/2010	01/28/2010	16934Q109	CIM	775.0000	4.0290	3,122.48	10.53
2166	11/20/2008	11/25/2008	337930101	FBC	3000.0000	0.5770	1,731.00	16.65

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
2167	06/02/2009	06/05/2009	169424108	CNTF	1000.0000	2.1160	2,116.00	16.66
2168	08/31/2009	09/03/2009	313586737	FNM.PRT	1000.0000	1.9930	1,993.00	16.67
2169	05/13/2009	05/18/2009	125581108	CIT	1000.0000	3.0898	3,089.80	12.19
2170	04/25/2007	04/30/2007	009253105	ABEW	10000.0000	0.2700	2,700.00	16.68
2171	10/09/2009	10/15/2009	389465204	GRMH	-894.0000	2.9500	(2,637.30)	16.68
2172	02/05/2009	02/10/2009	652919200	NXCO	-120000.0000	0.0180	(2,160.00)	16.68
2173	03/30/2006	04/04/2006	549463107	LU	-1000.0000	3.0900	(3,090.00)	12.19
2174	07/11/2007	07/16/2007	67060N204	NTRZ	-1000.0000	3.0900	(3,090.00)	12.19
2175	11/07/2008	11/13/2008	38500T101	GTE	1000.0000	2.3849	2,384.90	16.69
2176	07/14/2008	07/17/2008	767754104	RAD	-2000.0000	1.0000	(2,000.00)	16.71
2177	07/14/2008	07/17/2008	767754104	RAD	-2000.0000	1.0000	(2,000.00)	16.71
2178	04/16/2009	04/21/2009	384871109	GKK	1000.0000	2.1188	2,118.80	16.72
2179	09/10/2007	09/13/2007	452907108	IMMU	1000.0000	2.1200	2,120.00	16.74
2180	09/05/2007	09/10/2007	452907108	IMMU	1000.0000	2.1200	2,120.00	16.74
2181	05/22/2009	05/28/2009	804098101	SAY	1000.0000	2.1200	2,120.00	16.74
2182	02/24/2006	03/01/2006	927624106	VION	1000.0000	2.1200	2,120.00	16.74
2183	10/04/2007	10/10/2007	83545R108	SOFO	-1000.0000	2.1200	(2,120.00)	16.74
2184	03/03/2006	03/08/2006	565011103	MANU	1000.0000	2.1200	2,120.00	16.74
2185	11/12/2008	11/17/2008	026874107	AIG	1000.0000	2.1199	2,119.90	16.74
2186	03/30/2010	04/05/2010	871630109	SYNM	-1000.0000	2.1210	(2,121.00)	16.76
2187	07/29/2010	08/03/2010	82967N108	SIRI	-2000.0000	1.0020	(2,004.00)	16.77
2188	03/19/2009	03/24/2009	313586109	FNM	3000.0000	1.0483	3,144.90	9.56
2189	02/23/2009	02/26/2009	78080N108	FUND	-760.0000	4.0401	(3,070.48)	13.28
2190	11/19/2009	11/24/2009	319383105	BUSE	1000.0000	3.1000	3,100.00	11.83
2191	06/09/2009	06/12/2009	370442105	GMGMQ	-2000.0000	1.4800	(2,960.00)	16.84
2192	07/16/2010	07/21/2010	11161T108	BWEN	880.0000	2.9591	2,604.01	16.84
2193	08/14/2006	08/17/2006	05873K108	BFT	900.0000	2.9599	2,663.91	16.85
2194	07/17/2006	07/20/2006	834182107	SLR	-860.0000	2.9600	(2,545.60)	16.85
2195	07/15/2010	07/20/2010	630076107	NNLX	2100.0000	0.9400	1,974.00	16.86
2196	10/13/2006	10/18/2006	46612J101	JDSU	-1000.0000	1.9631	(1,963.10)	16.90
2197	11/04/2008	11/07/2008	00253U107	ASTM	4000.0000	0.5688	2,275.20	16.91
2198	09/14/2006	09/19/2006	82966U103	SIRI	-800.0000	4.0500	(3,240.00)	4.93
2199	01/27/2006	02/01/2006	691828107	OXGN	-800.0000	4.0500	(3,240.00)	4.93
2200	10/23/2008	10/28/2008	026874107	AIG	-1000.0000	2.1300	(2,130.00)	16.93
2201	10/30/2008	11/04/2008	345370860	F	1000.0000	2.1300	2,130.00	16.93
2202	12/17/2008	12/22/2008	46612J507	JDSU	-750.0000	4.0600	(3,045.00)	14.69
2203	04/02/2009	04/07/2009	370442105	GM	-1000.0000	2.1302	(2,130.20)	16.94
2204	10/21/2010	10/26/2010	172967101	C	-750.0000	4.0620	(3,046.50)	14.65
2205	10/13/2008	10/16/2008	168615102	CHS	-800.0000	4.0540	(3,243.20)	4.82
2206	03/18/2009	03/23/2009	073334104	BFNB	-1560.0000	1.7025	(2,655.90)	16.98
2207	09/30/2008	10/03/2008	920961109	VVR	-800.0000	4.0545	(3,243.60)	4.81
2208	12/28/2006	01/03/2007	313135501	FJCC	-2000.0000	1.0100	(2,020.00)	16.99
2209	03/17/2008	03/20/2008	073902108	BSC	900.0000	2.9689	2,672.01	17.01
2210	11/03/2006	11/08/2006	29382F103	ENMD	950.0000	2.1600	2,052.00	17.08
2211	04/07/2006	04/12/2006	16117M107	CHTR	-3000.0000	1.0600	(3,180.00)	8.09
2212	07/23/2009	07/28/2009	75734R105	FRZ	1000.0000	2.1380	2,138.00	17.09
2213	03/19/2008	03/25/2008	422360305	EAR	-1200.0000	1.2100	(1,452.00)	17.09
2214	04/16/2009	04/21/2009	14055X102	CSE	1500.0000	2.0890	3,133.50	10.43
2215	10/01/2008	10/06/2008	98876R204	ZBB	1000.0000	2.1389	2,138.90	17.10
2216	09/28/2006	10/03/2006	549463107	LU	-932.0000	2.3250	(2,166.90)	17.12
2217	03/22/2007	03/27/2007	125750109	CMGI	1000.0000	2.1400	2,140.00	17.12
2218	02/24/2009	02/27/2009	74347R743	UYG	1000.0000	2.1400	2,140.00	17.12
2219	10/23/2009	10/28/2009	492515101	KERX	1000.0000	2.1400	2,140.00	17.12
2220	10/29/2008	11/03/2008	345370860	F	1000.0000	2.1400	2,140.00	17.12
2221	02/13/2007	02/16/2007	03062Y104	AWNE	1000.0000	2.1400	2,140.00	17.12
2222	03/24/2006	03/29/2006	565011103	MANU	-1000.0000	2.1400	(2,140.00)	17.12
2223	12/14/2009	12/17/2009	073677106	BCON	3700.0000	0.4696	1,737.52	17.15

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2224	12/30/2009	01/05/2010	292052107	NYNY	-1000.0000	2.1430	(2,143.00)	17.18
2225	12/16/2008	12/19/2008	292963105	EVDVF	-1000.0000	2.1430	(2,143.00)	17.18
2226	01/18/2008	01/24/2008	31787A101	FNSR	-1050.0000	1.6276	(1,708.98)	17.18
2227	09/25/2009	09/30/2009	30208Q109	EXBX	-63648.0000	0.0500	(3,182.40)	8.07
2228	09/08/2008	09/11/2008	349862300	FORD	-1250.0000	2.4545	(3,068.13)	13.79
2229	03/07/2006	03/10/2006	232828301	CYTR	1500.0000	1.6000	2,400.00	17.21
2230	07/20/2010	07/23/2010	989834106	ZF	-880.0000	2.9815	(2,623.72)	17.23
2231	03/03/2006	03/08/2006	922281100	VSTY	750.0000	4.0800	3,060.00	14.25
2232	06/30/2008	07/03/2008	320771108	FMD	-1000.0000	2.6030	(2,603.00)	17.26
2233	08/16/2007	08/21/2007	247126105	DPHIQ	4000.0000	0.5419	2,167.60	17.27
2234	08/04/2009	08/07/2009	172967101	C	1000.0000	3.1299	3,129.90	10.79
2235	03/07/2007	03/12/2007	24823Q107	DNDN	800.0000	4.0780	3,262.40	4.18
2236	01/30/2006	02/02/2006	46612J101	JDSU	-1000.0000	2.9627	(2,962.70)	17.30
2237	09/15/2006	09/20/2006	46612J101	JDSU	-1000.0000	1.9827	(1,982.70)	17.30
2238	05/01/2008	05/06/2008	456607100	JMB	800.0000	4.0800	3,264.00	4.12
2239	07/05/2007	07/10/2007	691828107	OXGN	-790.0000	4.0800	(3,223.20)	6.16
2240	05/12/2008	05/15/2008	83001P109	SIX	1000.0000	2.1500	2,150.00	17.32
2241	04/19/2006	04/24/2006	367626108	GTW	1000.0000	2.1500	2,150.00	17.32
2242	11/04/2008	11/07/2008	345370860	F	1000.0000	2.1500	2,150.00	17.32
2243	09/18/2008	09/23/2008	026874107	AIG	1000.0000	2.1500	2,150.00	17.32
2244	11/08/2007	11/14/2007	459458105	IENI	1000.0000	2.1500	2,150.00	17.32
2245	11/05/2008	11/10/2008	345370860	F	-1000.0000	2.1500	(2,150.00)	17.32
2246	06/02/2006	06/07/2006	739191104	PWAC	1000.0000	2.1500	2,150.00	17.32
2247	05/22/2009	05/28/2009	804098101	SAY	1000.0000	2.1500	2,150.00	17.32
2248	07/21/2010	07/26/2010	746218106	PURE	-1000.0000	2.1500	(2,150.00)	17.32
2249	04/02/2009	04/07/2009	19247V104	RPF	1000.0000	2.1499	2,149.90	17.32
2250	01/13/2009	01/16/2009	695459107	PAET	2000.0000	1.4999	2,999.80	17.34
2251	04/24/2006	04/27/2006	92908D101	VEII	12000.0000	0.1450	1,740.00	17.34
2252	01/30/2008	02/04/2008	049513104	ATML	-860.0000	2.7954	(2,404.04)	17.35
2253	06/02/2009	06/05/2009	172967101	C	-810.0000	3.5350	(2,863.35)	17.36
2254	11/06/2008	11/12/2008	536252109	LIOX	1200.0000	1.9600	2,352.00	17.36
2255	12/07/2007	12/12/2007	744674201	PUOC	1200.0000	1.2200	1,464.00	17.36
2256	04/11/2008	04/16/2008	885218107	TMA	-1195.0000	1.2200	(1,457.90)	17.36
2257	03/02/2010	03/05/2010	16934Q109	CIM	800.0000	4.0890	3,271.20	3.88
2258	09/25/2009	09/30/2009	909839102	UCFC	-1028.0000	1.7222	(1,770.42)	17.46
2259	09/26/2008	10/01/2008	885218107	TMA	6200.0000	0.2809	1,741.58	17.46
2260	07/30/2008	08/04/2008	82966U103	SIRI	1090.0000	1.6400	1,787.60	17.48
2261	06/13/2006	06/16/2006	746218106	PURE	-1400.0000	2.0000	(2,800.00)	17.49
2262	01/31/2007	02/05/2007	05348W109	AVNX	1000.0000	2.3275	2,327.50	17.50
2263	11/23/2009	11/27/2009	125581108	CITGQ	10000.0000	0.1742	1,742.00	17.50
2264	07/27/2006	08/01/2006	974280109	WNDXQ	14200.0000	0.2050	2,911.00	17.51
2265	09/10/2007	09/13/2007	452907108	IMMU	1000.0000	2.1600	2,160.00	17.51
2266	08/24/2010	08/27/2010	943526301	WAVX	-1000.0000	2.1600	(2,160.00)	17.51
2267	03/21/2007	03/26/2007	602682106	MSPD	-1000.0000	2.1600	(2,160.00)	17.51
2268	10/17/2008	10/22/2008	026874107	AIG	-1000.0000	2.1600	(2,160.00)	17.51
2269	10/10/2008	10/16/2008	345370860	F	1000.0000	2.1599	2,159.90	17.51
2270	10/21/2008	10/24/2008	345370860	F	1000.0000	2.1599	2,159.90	17.51
2271	12/11/2009	12/16/2009	472100106	JYHW	3873.0000	0.5900	2,285.07	17.52
2272	08/28/2009	09/02/2009	464119106	IFC	-2000.0000	1.0300	(2,060.00)	17.52
2273	06/12/2008	06/17/2008	74373F100	PVCT	2000.0000	1.0300	2,060.00	17.52
2274	04/10/2006	04/13/2006	14067D102	CPST	-750.0000	4.1000	(3,075.00)	13.80
2275	08/05/2010	08/10/2010	172967101	C	750.0000	4.1000	3,075.00	13.80
2276	03/04/2010	03/09/2010	709754105	PPCO	-900.0000	3.0000	(2,700.00)	17.55
2277	07/22/2010	07/27/2010	670002104	NVAX	-1000.0000	2.1620	(2,162.00)	17.55
2278	06/12/2006	06/15/2006	52729N100	LVLTL	800.0000	4.0990	3,279.20	3.61
2279	08/07/2009	08/12/2009	172967101	C	800.0000	4.0987	3,278.96	3.62
2280	12/17/2009	12/22/2009	172967101	C	1000.0000	3.1500	3,150.00	10.08

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2281	12/17/2009	12/22/2009	172967101	C	1000.0000	3.1500	3,150.00	10.08
2282	12/17/2009	12/22/2009	172967101	C	1000.0000	3.1500	3,150.00	10.08
2283	12/17/2009	12/22/2009	172967101	C	1000.0000	3.1500	3,150.00	10.08
2284	12/17/2009	12/22/2009	172967101	C	1000.0000	3.1500	3,150.00	10.08
2285	11/03/2008	11/06/2008	760112102	RTK	3020.0000	0.7570	2,286.14	17.59
2286	03/20/2009	03/25/2009	521865105	LEA	1200.0000	1.2294	1,475.28	17.61
2287	02/01/2007	02/06/2007	806732103	SCTN	-26826.0000	0.0650	(1,743.69)	17.63
2288	11/27/2006	11/30/2006	12804A100	CCAA	-95000.0000	0.0256	(2,432.00)	17.65
2289	05/27/2008	05/30/2008	767754104	RAD	1000.0000	2.1670	2,167.00	17.65
2290	07/13/2009	07/16/2009	867328502	SNSS	8360.0000	0.3486	2,914.30	17.67
2291	08/24/2006	08/29/2006	150934107	CTIC	1500.0000	1.6200	2,430.00	17.67
2292	12/29/2008	01/02/2009	345370860	F	1000.0000	2.1700	2,170.00	17.70
2293	03/13/2007	03/16/2007	367626108	GTW	1000.0000	2.1700	2,170.00	17.70
2294	01/08/2007	01/11/2007	207142100	CNXT	-1000.0000	2.1700	(2,170.00)	17.70
2295	03/18/2009	03/23/2009	172967101	C	1000.0000	3.1600	3,160.00	9.72
2296	10/06/2010	10/12/2010	172967101	C	-800.0000	4.1116	(3,289.28)	3.28
2297	10/19/2009	10/22/2009	172967101	C	725.0000	4.5699	3,313.18	2.09
2298	12/02/2008	12/05/2008	635405103	NCC	-1070.0000	1.6508	(1,766.36)	17.75
2299	04/05/2007	04/11/2007	667280101	NWACQ	-4500.0000	0.7100	(3,195.00)	8.02
2300	09/22/2008	09/25/2008	503459307	LIPC	-1126.0000	1.3000	(1,463.80)	17.79
2301	12/15/2006	12/20/2006	318465101	FACT	-1350.0000	2.0146	(2,719.71)	17.80
2302	03/30/2010	04/05/2010	045346103	APPY	1203.0000	2.2000	2,646.60	17.85
2303	12/12/2008	12/17/2008	370442105	GM	-800.0000	4.1208	(3,296.64)	3.03
2304	12/16/2008	12/19/2008	345370860	F	1000.0000	3.1700	3,170.00	9.38
2305	05/05/2006	05/10/2006	222814204	DVW	1000.0000	2.1799	2,179.90	17.89
2306	11/03/2008	11/06/2008	026874107	AIG	1000.0000	2.1798	2,179.80	17.89
2307	04/04/2006	04/07/2006	549463107	LU	-900.0000	3.0200	(2,718.00)	17.90
2308	02/23/2009	02/26/2009	74347R743	UYG	1000.0000	2.1800	2,180.00	17.90
2309	10/21/2008	10/24/2008	561911108	HYF	1000.0000	2.1800	2,180.00	17.90
2310	03/16/2009	03/19/2009	172967101	C	1000.0000	2.1800	2,180.00	17.90
2311	02/27/2006	03/02/2006	918914102	VLNC	1000.0000	2.1800	2,180.00	17.90
2312	05/29/2007	06/01/2007	786449108	SFE	1000.0000	2.6400	2,640.00	17.93
2313	04/19/2006	04/24/2006	928497106	VTSS	-925.0000	2.4400	(2,257.00)	17.95
2314	07/02/2008	07/08/2008	871630109	SYNM	1100.0000	1.6590	1,824.90	17.95
2315	08/20/2010	08/25/2010	055639108	BPZ	775.0000	4.1293	3,200.21	7.97
2316	11/30/2006	12/05/2006	43738R109	HMB	-770.0000	4.1300	(3,180.10)	8.97
2317	06/11/2008	06/16/2008	388689101	GPK	-1250.0000	2.5000	(3,125.00)	11.73
2318	03/11/2010	03/16/2010	172967101	C	750.0000	4.1300	3,097.50	13.11
2319	12/10/2009	12/15/2009	049513104	ATML	-750.0000	4.1303	(3,097.73)	13.10
2320	09/15/2006	09/20/2006	549463107	LU	-1000.0000	2.2901	(2,290.10)	18.00
2321	04/17/2006	04/20/2006	052194107	ACKOE	10000.0000	0.1750	1,750.00	18.00
2322	03/11/2008	03/14/2008	206710204	CCUR	-2500.0000	0.6326	(1,581.50)	18.00
2323	06/09/2008	06/12/2008	74765E109	QTWW	-1000.0000	2.1860	(2,186.00)	18.01
2324	08/02/2010	08/05/2010	952145100	WCBO	-910.0000	2.6009	(2,366.82)	18.03
2325	03/13/2009	03/18/2009	345370860	F	1000.0000	2.1870	2,187.00	18.03
2326	05/01/2007	05/04/2007	45820C106	IMFD	3000.0000	1.0983	3,294.90	3.29
2327	08/20/2010	08/25/2010	890088107	TOMO	-1000.0000	3.1809	(3,180.90)	8.99
2328	12/18/2008	12/23/2008	40426W101	HRP	-900.0000	3.0301	(2,727.09)	18.07
2329	03/23/2009	03/26/2009	172967101	C	-900.0000	3.0300	(2,727.00)	18.07
2330	04/28/2010	05/03/2010	872449103	TIBB	3000.0000	1.1000	3,300.00	3.08
2331	02/20/2009	02/25/2009	023139108	ABK	-3000.0000	1.1000	(3,300.00)	3.08
2332	03/10/2006	03/15/2006	451725105	IGPG	3000.0000	1.1000	3,300.00	3.08
2333	10/30/2008	11/04/2008	345370860	F	1000.0000	2.1900	2,190.00	18.09
2334	09/19/2007	09/24/2007	031539109	AMNT	-1000.0000	2.1900	(2,190.00)	18.09
2335	07/12/2007	07/17/2007	82934C102	SOBM	1000.0000	2.1900	2,190.00	18.09
2336	10/29/2008	11/03/2008	345370860	F	1000.0000	2.1900	2,190.00	18.09
2337	03/29/2006	04/03/2006	33938W206	FLXT	1000.0000	2.1900	2,190.00	18.09

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
2338	05/21/2007	05/24/2007	247126105	DPHIQ	-1000.0000	2.1900	(2,190.00)	18.09
2339	10/26/2007	10/31/2007	472100106	JYHW	1000.0000	2.1900	2,190.00	18.09
2340	02/17/2009	02/20/2009	195493309	CNB	3500.0000	0.4999	1,749.65	18.09
2341	11/15/2006	11/20/2006	247361108	DALRQ	2000.0000	1.5350	3,070.00	14.60
2342	10/23/2006	10/26/2006	042891107	ARTG	-1000.0000	2.0219	(2,021.90)	18.10
2343	09/13/2007	09/18/2007	53123T107	LBSU	-5000.0000	0.3500	(1,750.00)	18.11
2344	05/18/2009	05/21/2009	367600301	GNRG	-5000.0000	0.3500	(1,750.00)	18.11
2345	04/20/2006	04/25/2006	04914U100	ATIG	25000.0000	0.0700	1,750.00	18.11
2346	04/17/2007	04/20/2007	65478E204	NTRO	2500.0000	0.7000	1,750.00	18.11
2347	05/15/2008	05/20/2008	984170100	XYTS	-5000.0000	0.3500	(1,750.00)	18.11
2348	07/19/2006	07/24/2006	45768R305	INFN	-2000.0000	0.8750	(1,750.00)	18.11
2349	07/27/2007	08/01/2007	85857R105	STEM	-1000.0000	2.1925	(2,192.50)	18.14
2350	02/01/2010	02/04/2010	00434A103	AEMI	-4269.0000	0.4100	(1,750.29)	18.14
2351	02/17/2006	02/23/2006	549463107	LU	-1003.0000	2.8500	(2,858.55)	18.15
2352	02/14/2008	02/20/2008	943526301	WAVX	-1500.0000	1.6407	(2,461.05)	18.15
2353	09/15/2006	09/20/2006	926706102	VYST	-25000.0000	0.0854	(2,135.00)	18.15
2354	10/03/2008	10/08/2008	313586109	FNM	1100.0000	1.6685	1,835.35	18.18
2355	02/09/2010	02/12/2010	172967101	C	-1000.0000	3.1915	(3,191.50)	8.61
2356	05/15/2009	05/20/2009	14055X102	CSE	-817.0000	3.4910	(2,852.15)	18.19
2357	01/18/2008	01/24/2008	85857R105	STEM	-1150.0000	1.2520	(1,439.80)	18.20
2358	04/25/2008	04/30/2008	045346103	APPY	800.0000	4.1480	3,318.40	2.30
2359	03/18/2009	03/23/2009	517834107	LVS	1000.0000	2.1980	2,198.00	18.24
2360	04/22/2009	04/27/2009	37247D106	GNW	1000.0000	2.1980	2,198.00	18.24
2361	07/20/2007	07/25/2007	678046103	BQI	800.0000	4.1499	3,319.92	2.25
2362	06/29/2009	07/02/2009	44977W106	PPR	-750.0000	4.1504	(3,112.80)	12.61
2363	04/26/2010	04/29/2010	458738101	ILI	2500.0000	0.7007	1,751.75	18.25
2364	07/01/2008	07/07/2008	865378103	SUF	1000.0000	2.1990	2,199.00	18.26
2365	10/10/2008	10/16/2008	345370860	F	1000.0000	2.1990	2,199.00	18.26
2366	11/03/2006	11/08/2006	371485103	GNBT	1000.0000	2.1986	2,198.60	18.26
2367	01/16/2009	01/22/2009	23338X102	SRO	-2400.0000	0.7300	(1,752.00)	18.27
2368	09/29/2008	10/02/2008	313586109	FNM	1000.0000	2.2000	2,200.00	18.28
2369	04/07/2006	04/12/2006	74727M306	QMM	-1000.0000	2.2000	(2,200.00)	18.28
2370	01/18/2007	01/23/2007	34630A104	FVRG	1000.0000	2.2000	2,200.00	18.28
2371	10/13/2008	10/16/2008	635405103	NCC	1000.0000	2.2000	2,200.00	18.28
2372	08/22/2007	08/27/2007	131347106	CPNLQ	1000.0000	2.2000	2,200.00	18.28
2373	01/24/2006	01/27/2006	86880T100	SRGG	-1000.0000	2.2000	(2,200.00)	18.28
2374	08/17/2007	08/22/2007	76129W105	RVP	1000.0000	2.2000	2,200.00	18.28
2375	04/21/2008	04/24/2008	802817304	SNTS	1000.0000	3.1980	3,198.00	8.39
2376	09/17/2009	09/22/2009	767754104	RAD	-1000.0000	2.2005	(2,200.50)	18.29
2377	01/18/2007	01/23/2007	05348W109	AVNX	1000.0000	2.3683	2,368.30	18.30
2378	01/18/2007	01/23/2007	05348W109	AVNX	1000.0000	2.3683	2,368.30	18.30
2379	01/25/2008	01/30/2008	678046103	BQI	1000.0000	3.2000	3,200.00	8.33
2380	09/26/2008	10/01/2008	454072109	IDEV	1000.0000	3.2000	3,200.00	8.33
2381	07/18/2006	07/21/2006	30049A107	EPM	1000.0000	3.2000	3,200.00	8.33
2382	02/17/2010	02/22/2010	82967N108	SIRI	2000.0000	1.0600	2,120.00	18.34
2383	02/17/2009	02/20/2009	82967N108	SIRI	10000.0000	0.1753	1,753.00	18.35
2384	03/30/2010	04/05/2010	172967101	C	800.0000	4.1585	3,326.80	2.02
2385	07/15/2010	07/20/2010	172967101	C	750.0000	4.1585	3,118.88	12.42
2386	10/28/2008	10/31/2008	464119106	IFC	1000.0000	2.2040	2,204.00	18.36
2387	03/12/2009	03/17/2009	82967N108	SIRI	9000.0000	0.1948	1,753.20	18.36
2388	11/02/2006	11/07/2006	871562203	SYGR	750.0000	4.3845	3,288.38	3.95
2389	03/27/2007	03/30/2007	192108108	CDE	-800.0000	4.1600	(3,328.00)	1.98
2390	05/09/2008	05/14/2008	678046103	BQI	750.0000	4.1600	3,120.00	12.38
2391	09/02/2009	09/08/2009	01903Q108	ALD	-900.0000	3.0500	(2,745.00)	18.42
2392	07/13/2010	07/16/2010	989834106	ZF	-900.0000	3.0500	(2,745.00)	18.42
2393	06/28/2007	07/03/2007	82966U103	SIRI	875.0000	3.0500	2,668.75	18.42
2394	06/19/2007	06/22/2007	207142100	CNXT	-1190.0000	1.2600	(1,499.40)	18.42

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2395	01/29/2010	02/03/2010	92823T108	VHC	1000.0000	3.2089	3,208.90	8.00
2396	02/06/2007	02/09/2007	08659K102	BESC	5000.0000	0.4600	2,300.00	18.45
2397	02/14/2006	02/17/2006	17887P106	CKRH	10000.0000	0.2300	2,300.00	18.45
2398	09/21/2006	09/26/2006	739191104	PWAC	2630.0000	0.8300	2,182.90	18.45
2399	10/14/2009	10/19/2009	98974P100	ZIXI	1000.0000	2.2090	2,209.00	18.46
2400	10/14/2009	10/19/2009	98974P100	ZIXI	1000.0000	2.2090	2,209.00	18.46
2401	12/11/2008	12/16/2008	56400P201	MNKD	1000.0000	3.2100	3,210.00	7.97
2402	08/12/2008	08/15/2008	383870102	GPSN	-85600.0000	0.0290	(2,482.40)	18.47
2403	01/27/2006	02/01/2006	957541105	WSTL	-800.0000	3.9594	(3,167.52)	10.10
2404	12/29/2008	01/02/2009	345370860	F	1000.0000	2.2100	2,210.00	18.48
2405	05/12/2006	05/17/2006	918914102	VLNC	1000.0000	2.2100	2,210.00	18.48
2406	05/02/2007	05/07/2007	367626108	GTW	1000.0000	2.2100	2,210.00	18.48
2407	05/21/2009	05/27/2009	404160103	HEII	-5000.0000	0.3510	(1,755.00)	18.50
2408	01/09/2008	01/14/2008	913586103	UGHO	-30000.0000	0.0585	(1,755.00)	18.50
2409	08/13/2009	08/18/2009	125581108	CIT	1650.0000	1.4399	2,375.84	18.51
2410	12/17/2009	12/22/2009	952145100	WCBO	-963.0000	2.2116	(2,129.77)	18.51
2411	02/20/2009	02/25/2009	3623E0209	SOLR	-750.0000	4.1701	(3,127.58)	12.14
2412	02/04/2008	02/07/2008	749941100	RFMD	873.0000	3.2531	2,839.96	18.57
2413	08/28/2009	09/02/2009	313586109	FNM	-1350.0000	2.0501	(2,767.64)	18.57
2414	06/24/2010	06/29/2010	337347108	FHI	900.0000	3.0592	2,753.28	18.58
2415	09/25/2009	09/30/2009	767754104	RAD	-1500.0000	1.6600	(2,490.00)	18.60
2416	02/01/2007	02/06/2007	03760F100	ATA	-2000.0000	1.0701	(2,140.20)	18.61
2417	08/25/2010	08/30/2010	502161102	LSI	800.0000	4.1785	3,342.80	1.49
2418	05/13/2008	05/16/2008	536195209	LCHL	-29280.0000	0.0600	(1,756.80)	18.64
2419	07/21/2006	07/26/2006	767754104	RAD	-800.0000	4.1801	(3,344.08)	1.45
2420	07/09/2008	07/14/2008	229675103	QBIK	800.0000	4.1800	3,344.00	1.45
2421	06/30/2009	07/06/2009	446150104	HBAN	800.0000	4.1800	3,344.00	1.45
2422	03/19/2009	03/24/2009	025676206	AEL	790.0000	4.1800	3,302.20	3.54
2423	11/09/2009	11/13/2009	172967101	C	-750.0000	4.1801	(3,135.08)	11.90
2424	11/25/2008	12/01/2008	345370860	F	1100.0000	1.6885	1,857.35	18.66
2425	01/06/2009	01/09/2009	233384106	SRQ	-1000.0000	2.2202	(2,220.20)	18.67
2426	09/18/2008	09/23/2008	026874107	AIG	1000.0000	2.2199	2,219.90	18.67
2427	04/17/2006	04/20/2006	00506R109	AVWI	20000.0000	0.1000	2,000.00	18.67
2428	09/17/2008	09/22/2008	939322103	WM	1100.0000	2.0897	2,298.67	18.69
2429	12/17/2009	12/22/2009	952145100	WCBO	-962.0000	2.2218	(2,137.37)	18.70
2430	03/09/2009	03/12/2009	172967101	C	-1403.0000	1.0300	(1,445.09)	18.71
2431	07/31/2006	08/03/2006	053467106	AOGS	-35000.0000	0.0770	(2,695.00)	18.73
2432	03/21/2007	03/26/2007	72940P106	PLRS	23000.0000	0.0870	2,001.00	18.73
2433	01/24/2007	01/29/2007	247361108	DALRQ	2000.0000	1.0750	2,150.00	18.74
2434	03/18/2009	03/23/2009	7591EP100	RF	450.0000	4.0967	1,843.52	18.75
2435	01/18/2007	01/23/2007	47189R104	JAVO	1500.0000	1.2500	1,875.00	18.76
2436	09/17/2009	09/22/2009	169424108	CNTF	1000.0000	3.2300	3,230.00	7.27
2437	12/17/2009	12/22/2009	172967101	C	1000.0000	3.2299	3,229.90	7.28
2438	03/20/2009	03/25/2009	563571108	MTW	-750.0000	4.1905	(3,142.88)	11.65
2439	08/22/2006	08/25/2006	549463107	LU	-1000.0000	2.2301	(2,230.10)	18.86
2440	09/11/2007	09/14/2007	452907108	IMMU	1000.0000	2.2300	2,230.00	18.86
2441	09/11/2007	09/14/2007	452907108	IMMU	1000.0000	2.2300	2,230.00	18.86
2442	11/05/2008	11/10/2008	345370860	F	1000.0000	2.2300	2,230.00	18.86
2443	09/11/2007	09/14/2007	452907108	IMMU	1000.0000	2.2300	2,230.00	18.86
2444	10/31/2008	11/05/2008	345370860	F	-1000.0000	2.2300	(2,230.00)	18.86
2445	01/04/2008	01/09/2008	232828301	CYTR	1000.0000	2.2299	2,229.90	18.86
2446	01/04/2008	01/09/2008	232828301	CYTR	1000.0000	2.2299	2,229.90	18.86
2447	06/11/2009	06/16/2009	849109103	SPNG	8900.0000	0.2411	2,145.79	18.86
2448	08/11/2009	08/14/2009	313586109	FNM	2000.0000	1.0799	2,159.80	18.88
2449	07/18/2008	07/23/2008	023139108	ABK	1500.0000	2.1850	3,277.50	5.01
2450	05/17/2010	05/20/2010	91232R109	USOG	200000.0000	0.0088	1,760.00	18.89
2451	08/26/2009	08/31/2009	26884J104	ERHE	2000.0000	0.8800	1,760.00	18.89

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2452	10/06/2006	10/12/2006	69365V105	PTHO	-4000.0000	0.4400	(1,760.00)	18.89
2453	07/12/2007	07/17/2007	707573101	POCC	2000.0000	0.8800	1,760.00	18.89
2454	10/23/2007	10/26/2007	456277102	INTK	8000.0000	0.2200	1,760.00	18.89
2455	11/21/2008	11/26/2008	46614H301	JRT	-2000.0000	0.8800	(1,760.00)	18.89
2456	10/02/2007	10/05/2007	09064J201	BMRX	2000.0000	0.8800	1,760.00	18.89
2457	12/01/2009	12/04/2009	916896103	UEC	1000.0000	3.2390	3,239.00	6.95
2458	07/16/2009	07/21/2009	204018105	CPBC	-800.0000	4.2000	(3,360.00)	0.91
2459	08/16/2010	08/19/2010	055639108	BPZ	765.0000	4.2000	3,213.00	8.26
2460	08/01/2006	08/04/2006	866810104	SUNW	-750.0000	4.2000	(3,150.00)	11.41
2461	12/24/2007	12/28/2007	767754104	RAD	900.0000	3.0800	2,772.00	18.94
2462	03/23/2009	03/26/2009	22765U102	XTEX	-1000.0000	2.2340	(2,234.00)	18.94
2463	06/02/2006	06/07/2006	70336N107	PTSC	1136.0000	1.3000	1,476.80	18.95
2464	05/15/2006	05/18/2006	549463107	LU	-915.0000	2.6001	(2,379.09)	18.97
2465	03/16/2009	03/19/2009	517834107	LVS	1000.0000	2.2355	2,235.50	18.97
2466	08/05/2008	08/08/2008	180489106	CLRT	-1500.0000	2.1900	(3,285.00)	4.73
2467	02/23/2007	02/28/2007	815677109	SDNA	-15000.0000	0.1800	(2,700.00)	18.99
2468	05/03/2006	05/08/2006	04634R106	ATTG	1500.0000	2.1800	3,270.00	5.50
2469	05/03/2006	05/08/2006	04634R106	ATTG	1500.0000	2.1100	3,165.00	10.75
2470	12/02/2008	12/05/2008	767754104	RAD	6000.0000	0.4347	2,608.20	19.00
2471	12/21/2006	12/27/2006	846241107	SPDV	-3000.0000	0.7600	(2,280.00)	19.00
2472	04/07/2009	04/13/2009	345370860	F	826.0000	3.4200	2,824.92	19.01
2473	11/20/2008	11/25/2008	87311M102	TXCO	1000.0000	2.2380	2,238.00	19.02
2474	11/03/2009	11/06/2009	500600101	KOPN	-800.0000	4.2100	(3,368.00)	0.64
2475	04/20/2010	04/23/2010	852061100	S	-750.0000	4.2100	(3,157.50)	11.17
2476	07/29/2009	08/03/2009	87161C105	SNV	-1000.0000	3.2610	(3,261.00)	6.00
2477	10/30/2008	11/04/2008	345370860	F	1000.0000	2.2400	2,240.00	19.05
2478	04/16/2008	04/21/2008	98425R200	YTBIA	1000.0000	2.2400	2,240.00	19.05
2479	10/10/2006	10/13/2006	21254V100	CSHD	1000.0000	2.2400	2,240.00	19.05
2480	04/03/2007	04/09/2007	125750109	CMGI	1000.0000	2.2400	2,240.00	19.05
2481	03/02/2009	03/05/2009	19247L106	RQI	-1333.0000	2.1175	(2,822.63)	19.06
2482	08/22/2006	08/25/2006	549463107	LU	-1000.0000	2.2401	(2,240.10)	19.06
2483	02/18/2009	02/23/2009	42210P102	HW	1000.0000	3.2500	3,250.00	6.57
2484	09/15/2006	09/20/2006	80862K104	SCLN	-1000.0000	2.2410	(2,241.00)	19.07
2485	02/20/2009	02/25/2009	852061100	S	900.0000	3.0900	2,781.00	19.11
2486	12/28/2009	12/31/2009	64190A103	NRO	-880.0000	3.0906	(2,719.73)	19.12
2487	03/06/2009	03/11/2009	229050109	CYRX	5000.0000	0.4300	2,150.00	19.13
2488	09/04/2008	09/09/2008	15188T108	CHC	-956.0000	2.7060	(2,586.94)	19.14
2489	03/11/2009	03/16/2009	30033R108	ESLR	-2000.0000	1.0901	(2,180.20)	19.15
2490	08/26/2009	08/31/2009	68555P100	ORBC	1500.0000	2.2000	3,300.00	4.16
2491	01/05/2010	01/08/2010	27887F107	ECOB	5300.0000	0.5100	2,703.00	19.16
2492	10/06/2009	10/09/2009	169424108	CNTF	1000.0000	3.2580	3,258.00	6.28
2493	05/04/2009	05/07/2009	749121109	Q	-750.0000	4.2200	(3,165.00)	10.93
2494	06/25/2008	06/30/2008	00949P108	AAI	1000.0000	2.2480	2,248.00	19.21
2495	10/24/2006	10/27/2006	74765E109	QTWW	1400.0000	2.0800	2,912.00	19.22
2496	02/05/2010	02/10/2010	989834106	ZF	850.0000	3.1290	2,659.65	19.24
2497	04/24/2009	04/29/2009	422704106	HL	1000.0000	2.2497	2,249.70	19.24
2498	03/09/2009	03/12/2009	195493309	CNB	7710.0000	0.3000	2,313.00	19.25
2499	09/17/2008	09/22/2008	026874107	AIG	1000.0000	2.2500	2,250.00	19.25
2500	10/30/2008	11/04/2008	345370860	F	1000.0000	2.2500	2,250.00	19.25
2501	09/17/2008	09/22/2008	026874107	AIG	1000.0000	2.2500	2,250.00	19.25
2502	02/15/2006	02/21/2006	651360109	NWGN	-1000.0000	2.2500	(2,250.00)	19.25
2503	02/16/2006	02/22/2006	651360109	NWGN	-1000.0000	2.2500	(2,250.00)	19.25
2504	02/17/2006	02/23/2006	651360109	NWGN	-1000.0000	2.2500	(2,250.00)	19.25
2505	02/07/2006	02/10/2006	651360109	NWGN	-1000.0000	2.2500	(2,250.00)	19.25
2506	03/04/2008	03/07/2008	741777304	PRAQ	1000.0000	2.2500	2,250.00	19.25
2507	04/16/2009	04/21/2009	422704106	HL	1000.0000	2.2500	2,250.00	19.25
2508	04/01/2008	04/04/2008	14067D102	CPST	1000.0000	2.2500	2,250.00	19.25

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
2509	06/14/2006	06/19/2006	549463107	LU	-1000.0000	2.2500	(2,250.00)	19.25
2510	04/05/2007	04/11/2007	131347106	CPNLQ	-1000.0000	2.2500	(2,250.00)	19.25
2511	09/17/2008	09/22/2008	026874107	AIG	1000.0000	2.2499	2,249.90	19.25
2512	06/05/2009	06/10/2009	59318D104	CXE	750.0000	4.2270	3,170.25	10.76
2513	03/18/2009	03/23/2009	038248103	APNT	-10000.0000	0.2705	(2,705.00)	19.27
2514	10/21/2008	10/24/2008	15133V208	CYCL	850.0000	3.1300	2,660.50	19.27
2515	08/16/2006	08/21/2006	670002104	NVAX	-850.0000	3.1300	(2,660.50)	19.27
2516	01/23/2006	01/26/2006	24778R209	DOIG	2000.0000	1.5900	3,180.00	10.28
2517	07/06/2007	07/11/2007	63007X108	NNPP	-1730.0000	1.2000	(2,076.00)	19.30
2518	02/06/2008	02/11/2008	749941100	RFMD	863.0000	3.2962	2,844.62	19.32
2519	12/30/2008	01/05/2009	800677106	SGMO	-900.0000	3.1020	(2,791.80)	19.32
2520	06/22/2007	06/27/2007	131347106	CPNLQ	-1000.0000	3.2700	(3,270.00)	5.86
2521	08/19/2008	08/22/2008	16117M107	CHTR	-2000.0000	1.1000	(2,200.00)	19.41
2522	10/29/2008	11/03/2008	026874107	AIG	1060.0000	1.7200	1,823.20	19.42
2523	03/30/2006	04/04/2006	922281100	VSTY	750.0000	4.2399	3,179.93	10.44
2524	12/12/2008	12/17/2008	362397101	GAB	-825.0000	3.4600	(2,854.50)	19.44
2525	05/26/2006	06/01/2006	463664508	IRSN	-1000.0000	2.2600	(2,260.00)	19.44
2526	12/26/2008	12/31/2008	345370860	F	1000.0000	2.2600	2,260.00	19.44
2527	03/24/2009	03/27/2009	952145100	WCBO	1000.0000	2.2600	2,260.00	19.44
2528	07/21/2006	07/26/2006	88409N101	THK	1000.0000	2.2600	2,260.00	19.44
2529	03/13/2009	03/18/2009	517834107	LVS	1000.0000	2.2598	2,259.80	19.44
2530	01/21/2009	01/26/2009	554225102	MCBC	-1256.0000	2.5860	(3,248.02)	7.06
2531	12/12/2008	12/17/2008	573083102	MSO	900.0000	3.1100	2,799.00	19.46
2532	12/19/2008	12/24/2008	74963F106	RMR	-1000.0000	2.2610	(2,261.00)	19.46
2533	04/10/2006	04/13/2006	204914402	CMPD	-5000.0000	0.5900	(2,950.00)	19.47
2534	09/16/2008	09/19/2008	026874107	AIG	1000.0000	2.7252	2,725.20	19.49
2535	04/13/2009	04/16/2009	313586786	FNM.PR	5000.0000	0.5000	2,500.00	19.49
2536	10/23/2009	10/28/2009	590479101	MESA	10000.0000	0.2500	2,500.00	19.49
2537	03/24/2006	03/29/2006	380961102	MYNG	-100000.0000	0.0250	(2,500.00)	19.49
2538	09/07/2006	09/12/2006	285835104	ESNR	10000.0000	0.2500	2,500.00	19.49
2539	01/29/2007	02/01/2007	29268C109	EGYF	10000.0000	0.2500	2,500.00	19.49
2540	06/11/2009	06/16/2009	125581108	CIT	1000.0000	3.2790	3,279.00	5.55
2541	07/26/2007	07/31/2007	689890101	OPCO	-2000.0000	1.6000	(3,200.00)	9.50
2542	08/22/2006	08/25/2006	549463107	LU	-1500.0000	2.2201	(3,330.15)	3.03
2543	03/26/2010	03/31/2010	336312103	FSGI	1450.0000	2.2209	3,220.31	8.53
2544	04/17/2007	04/20/2007	869327106	SSTP	10719.0000	0.1650	1,768.64	19.55
2545	03/09/2006	03/14/2006	404076101	HAPS	3800.0000	0.6100	2,318.00	19.56
2546	10/30/2006	11/02/2006	45770X100	IFUL	-1000.0000	2.2680	(2,268.00)	19.59
2547	10/17/2008	10/22/2008	56400P201	MNKD	1000.0000	3.2870	3,287.00	5.26
2548	07/10/2007	07/13/2007	04349Y107	ASDS	-4000.0000	0.6000	(2,400.00)	19.61
2549	09/16/2010	09/21/2010	87161C105	SNV	-1000.0000	2.2701	(2,270.10)	19.63
2550	08/21/2006	08/24/2006	549463107	LU	-1000.0000	2.2701	(2,270.10)	19.63
2551	10/09/2008	10/15/2008	345370860	F	1000.0000	2.2700	2,270.00	19.63
2552	04/05/2010	04/08/2010	68555P100	ORBC	-1000.0000	2.2700	(2,270.00)	19.63
2553	12/04/2007	12/07/2007	961840105	WTSIA	1000.0000	2.2700	2,270.00	19.63
2554	09/25/2008	09/30/2008	313586109	FNM	1000.0000	2.2700	2,270.00	19.63
2555	10/16/2008	10/21/2008	026874107	AIG	1000.0000	2.2700	2,270.00	19.63
2556	05/07/2007	05/10/2007	743429102	PRPM	20000.0000	0.0885	1,770.00	19.66
2557	11/20/2008	11/25/2008	95766L107	MHY	-884.0000	3.1223	(2,760.11)	19.67
2558	04/05/2010	04/08/2010	154760102	CPF	-1050.0000	1.7310	(1,817.55)	19.69
2559	02/28/2006	03/03/2006	866810104	SUNW	-750.0000	4.2600	(3,195.00)	9.95
2560	09/09/2008	09/12/2008	939322103	WM	-841.0000	3.2700	(2,750.07)	19.75
2561	04/08/2009	04/14/2009	701081101	PKD	1000.0000	2.2760	2,276.00	19.75
2562	06/25/2009	06/30/2009	91688W107	UPTR	-10000.0000	0.2200	(2,200.00)	19.77
2563	11/02/2006	11/07/2006	83426W101	SOLM	1800.0000	1.0000	1,800.00	19.79
2564	09/18/2006	09/21/2006	74082G209	PSVI	14017.0000	0.1264	1,771.75	19.79
2565	03/16/2009	03/19/2009	345370860	F	1000.0000	2.2788	2,278.80	19.80

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2566	10/02/2008	10/07/2008	721467108	PPC	1000.0000	3.3000	3,300.00	4.81
2567	12/29/2008	01/02/2009	345370860	F	1000.0000	2.2792	2,279.20	19.81
2568	03/23/2009	03/26/2009	03761U106	AINV	1000.0000	2.2799	2,279.90	19.82
2569	10/31/2008	11/05/2008	345370860	F	1000.0000	2.2800	2,280.00	19.83
2570	11/07/2006	11/10/2006	038188306	ADSX	1000.0000	2.2800	2,280.00	19.83
2571	03/01/2010	03/04/2010	563420108	MNTX	1000.0000	2.2800	2,280.00	19.83
2572	10/15/2010	10/20/2010	68555P100	ORBC	1000.0000	2.2800	2,280.00	19.83
2573	12/30/2008	01/05/2009	345370860	F	1000.0000	2.2800	2,280.00	19.83
2574	07/19/2007	07/24/2007	020524104	AGMB	1000.0000	2.2800	2,280.00	19.83
2575	05/06/2010	05/11/2010	984249102	YRCW	5000.0000	0.5012	2,506.00	19.84
2576	07/21/2006	07/26/2006	91729G301	UDW	3000.0000	0.6723	2,016.90	19.84
2577	02/02/2007	02/07/2007	131722605	CBMC	-4000.0000	0.0679	(2,716.00)	19.86
2578	04/16/2009	04/21/2009	37247D106	GNW	1000.0000	2.2830	2,283.00	19.88
2579	04/16/2007	04/19/2007	869327106	SSTP	9850.0000	0.1800	1,773.00	19.89
2580	12/31/2007	01/04/2008	71903M100	PXG	-2000.0000	1.6191	(3,238.20)	8.00
2581	02/05/2010	02/10/2010	172967101	C	850.0000	3.1685	2,693.23	19.92
2582	01/14/2009	01/20/2009	852061100	S	1000.0000	2.2860	2,286.00	19.94
2583	07/19/2010	07/22/2010	055639108	BPZ	1000.0000	3.3092	3,309.20	4.49
2584	03/13/2007	03/16/2007	82966U103	SIRI	-1000.0000	3.3100	(3,310.00)	4.46
2585	12/18/2009	12/23/2009	172967101	C	1000.0000	3.3097	3,309.70	4.47
2586	12/16/2009	12/21/2009	749121109	Q	750.0000	4.2800	3,210.00	9.47
2587	10/12/2010	10/15/2010	758790109	RGLG	4000.0000	0.7400	2,960.00	19.97
2588	03/09/2009	03/12/2009	44982G104	IGR	1500.0000	2.2597	3,389.55	0.52
2589	08/08/2006	08/11/2006	235811106	DCNAQ	-1700.0000	1.9500	(3,315.00)	4.25
2590	04/09/2008	04/14/2008	872340104	TSI	-900.0000	3.6711	(3,303.99)	4.80
2591	12/31/2008	01/06/2009	598251106	MBHI	-2153.0000	1.5300	(3,294.09)	5.30
2592	10/24/2006	10/27/2006	37229P507	GTOP	-900.0000	3.5201	(3,168.09)	11.60
2593	02/01/2008	02/06/2008	22122P101	COSI	1000.0000	3.0650	3,065.00	16.75
2594	02/01/2008	02/06/2008	22122P101	COSI	1000.0000	3.0499	3,049.90	17.51
2595	06/02/2010	06/07/2010	313586109	FNMA	-3200.0000	0.9499	(3,039.68)	18.02
2596	07/16/2007	07/19/2007	67060N204	NTRZ	1000.0000	3.0100	3,010.00	19.50
2597	08/09/2007	08/14/2007	67060N204	NTRZ	1000.0000	2.8500	2,850.00	20.00
2598	08/06/2007	08/09/2007	67060N204	NTRZ	1000.0000	2.8200	2,820.00	20.00
2599	02/25/2009	03/02/2009	760911107	REFR	-1000.0000	2.6230	(2,623.00)	20.00
2600	01/05/2007	01/10/2007	04289L107	ARTG	1000.0000	2.4700	2,470.00	20.00
2601	02/18/2009	02/23/2009	74347R743	UYG	-1000.0000	2.3800	(2,380.00)	20.00
2602	01/26/2010	01/29/2010	865378103	SUF	4900.0000	0.4854	2,378.46	20.00
2603	05/26/2010	06/01/2010	099709107	BGP	1000.0000	2.2891	2,289.10	20.00
2604	12/17/2007	12/20/2007	89235L103	TYHR	-3400.0000	0.5800	(1,972.00)	20.00
2605	09/30/2008	10/03/2008	885535104	COMS	1000.0000	2.2900	2,290.00	20.02
2606	09/30/2008	10/03/2008	885535104	COMS	1000.0000	2.2900	2,290.00	20.02
2607	09/30/2008	10/03/2008	885535104	COMS	1000.0000	2.2900	2,290.00	20.02
2608	01/07/2009	01/12/2009	34958B106	FIG	1000.0000	2.2900	2,290.00	20.02
2609	05/10/2006	05/15/2006	63948P107	NPWS	1000.0000	2.2900	2,290.00	20.02
2610	07/05/2006	07/10/2006	78069M105	RSPG	1000.0000	2.2900	2,290.00	20.02
2611	06/29/2007	07/05/2007	307260208	FELI	13500.0000	0.2000	2,700.00	20.04
2612	01/21/2010	01/26/2010	30211M103	EXOU	-5000.0000	0.3550	(1,775.00)	20.04
2613	12/28/2009	12/31/2009	027263102	AMLM	-2500.0000	0.7100	(1,775.00)	20.04
2614	03/05/2008	03/10/2008	55306V106	MIVT	5000.0000	0.3550	1,775.00	20.04
2615	12/28/2009	12/31/2009	027263102	AMLM	-2500.0000	0.7100	(1,775.00)	20.04
2616	10/30/2006	11/02/2006	85771Q100	STCA	2500.0000	0.7100	1,775.00	20.04
2617	10/19/2007	10/24/2007	20338K106	CICI	5000.0000	0.3550	1,775.00	20.04
2618	08/10/2010	08/13/2010	09250E107	HIS	1400.0000	2.1190	2,966.60	20.06
2619	02/20/2008	02/25/2008	29256X107	ENCY	-1000.0000	2.2920	(2,292.00)	20.06
2620	04/01/2009	04/06/2009	19247V104	RPF	-1001.0000	2.0000	(2,002.00)	20.07
2621	10/10/2008	10/16/2008	026874107	AIG	-1500.0000	2.2500	(3,375.00)	1.34
2622	12/27/2007	01/02/2008	206710204	CCUR	-3000.0000	0.7337	(2,201.10)	20.10

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2623	06/14/2006	06/19/2006	00817R103	ATRM	-750.0000	4.2910	(3,218.25)	9.20
2624	10/22/2008	10/27/2008	530158104	USA	-813.0000	3.6675	(2,981.68)	20.12
2625	04/05/2007	04/11/2007	885807107	THLD	-2000.0000	1.6300	(3,260.00)	7.14
2626	04/18/2007	04/23/2007	98974P100	ZIXI	1000.0000	2.2964	2,296.40	20.14
2627	09/16/2008	09/19/2008	026874107	AIG	-900.0000	3.1500	(2,835.00)	20.15
2628	03/02/2006	03/07/2006	207142100	CNXT	-900.0000	3.1500	(2,835.00)	20.15
2629	11/16/2009	11/19/2009	266605104	DRRX	1000.0000	2.2970	2,297.00	20.15
2630	11/23/2009	11/27/2009	554225102	MCBC	1000.0000	2.2980	2,298.00	20.17
2631	10/17/2008	10/22/2008	767754104	RAD	3000.0000	0.7760	2,328.00	20.18
2632	03/20/2009	03/25/2009	449788108	IIA	-1000.0000	2.2991	(2,299.10)	20.19
2633	10/06/2008	10/09/2008	635405103	NCC	1000.0000	2.3000	2,300.00	20.21
2634	03/20/2009	03/25/2009	517834107	LVS	1000.0000	2.3000	2,300.00	20.21
2635	02/10/2009	02/13/2009	04289L107	ARTG	1000.0000	2.3000	2,300.00	20.21
2636	07/15/2008	07/18/2008	351465208	FXPT	-1000.0000	2.3000	(2,300.00)	20.21
2637	03/31/2010	04/06/2010	045346103	APPY	1000.0000	2.3000	2,300.00	20.21
2638	12/29/2006	01/04/2007	72581M107	PXLW	-1000.0000	2.3000	(2,300.00)	20.21
2639	01/11/2007	01/17/2007	367626108	GTW	1000.0000	2.3000	2,300.00	20.21
2640	09/25/2008	09/30/2008	939322103	WM	1000.0000	2.2999	2,299.90	20.21
2641	08/30/2006	09/05/2006	741618102	PMXX	4795.0000	0.3800	1,822.10	20.21
2642	03/09/2009	03/12/2009	44982G104	IGR	-1000.0000	2.3006	(2,300.60)	20.22
2643	05/20/2009	05/26/2009	74963F106	RMR	-1000.0000	2.3004	(2,300.40)	20.22
2644	02/09/2007	02/14/2007	247361108	DALRQ	-2000.0000	1.1300	(2,260.00)	20.23
2645	05/28/2009	06/02/2009	370442105	GM	2000.0000	1.1300	2,260.00	20.23
2646	05/12/2009	05/15/2009	42366C103	HEB	2000.0000	1.1299	2,259.80	20.23
2647	12/31/2008	01/06/2009	003009107	FAX	-750.0000	4.3006	(3,225.45)	8.97
2648	02/05/2010	02/10/2010	02503Y103	ACAS	-1000.0000	3.3300	(3,330.00)	3.75
2649	03/27/2006	03/30/2006	207142100	CNXT	1000.0000	3.3300	3,330.00	3.75
2650	03/31/2010	04/06/2010	457669208	INSM	-1951.0000	1.1310	(2,206.58)	20.25
2651	10/12/2010	10/15/2010	989834106	ZF	850.0000	3.1885	2,710.23	20.26
2652	10/08/2008	10/14/2008	635405103	NCC	1500.0000	2.2600	3,390.00	0.78
2653	07/17/2008	07/22/2008	174420109	CRBC	1000.0000	2.3042	2,304.20	20.29
2654	09/09/2010	09/14/2010	989837109	ZTR	-863.0000	3.7310	(3,219.85)	9.31
2655	08/02/2007	08/07/2007	555903301	MACM	5000.0000	0.6500	3,250.00	7.82
2656	04/01/2009	04/06/2009	31308T100	FCSX	1000.0000	2.3060	2,306.00	20.33
2657	01/20/2009	01/23/2009	37247D106	GNW	1000.0000	2.3070	2,307.00	20.35
2658	10/10/2006	10/13/2006	37958F209	GTE	-10000.0000	0.2600	(2,600.00)	20.36
2659	04/02/2007	04/05/2007	04289L107	ARTG	1000.0000	2.4841	2,484.10	20.40
2660	12/21/2009	12/24/2009	24869P104	DENN	-1000.0000	2.3100	(2,310.00)	20.40
2661	11/03/2006	11/08/2006	247126105	DPHIQ	-1000.0000	2.3100	(2,310.00)	20.40
2662	09/11/2008	09/16/2008	82967N108	SIRI	2000.0000	0.8899	1,779.80	20.41
2663	06/08/2009	06/11/2009	370442105	GMGMQ	2000.0000	0.8900	1,780.00	20.43
2664	11/06/2007	11/09/2007	58606R403	MEMY	2000.0000	0.8900	1,780.00	20.43
2665	09/18/2008	09/23/2008	82967N108	SIRI	2000.0000	0.8900	1,780.00	20.43
2666	03/09/2006	03/14/2006	378964100	GACF	1500.0000	1.7400	2,610.00	20.45
2667	08/05/2009	08/10/2009	835916107	SONS	-1020.0000	1.9000	(1,938.00)	20.46
2668	03/04/2008	03/07/2008	269246104	ETFC	-900.0000	3.7450	(3,370.50)	1.97
2669	10/13/2008	10/16/2008	345370860	F	930.0000	2.5290	2,351.97	20.50
2670	05/11/2006	05/16/2006	549463107	LU	-1100.0000	2.6501	(2,915.11)	20.51
2671	12/06/2006	12/11/2006	032681108	ANLY	-1500.0000	1.7427	(2,614.05)	20.51
2672	01/25/2010	01/28/2010	749121109	Q	-759.0000	4.3215	(3,280.02)	6.52
2673	04/28/2009	05/01/2009	305560104	FRP	-3017.0000	1.0500	(3,167.85)	12.13
2674	03/05/2009	03/10/2009	294113105	EESO	-127236.0000	0.0140	(1,781.30)	20.53
2675	05/31/2007	06/05/2007	206710204	CCUR	-1500.0000	1.6563	(2,484.45)	20.55
2676	10/03/2008	10/08/2008	635405103	NCC	1000.0000	3.3500	3,350.00	3.06
2677	12/09/2008	12/12/2008	195493309	CNB	1000.0000	2.3188	2,318.80	20.57
2678	10/09/2008	10/15/2008	635405103	NCC	1000.0000	2.3190	2,319.00	20.58
2679	04/03/2009	04/08/2009	19247V104	RPF	1000.0000	2.3190	2,319.00	20.58

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
2680	07/30/2008	08/04/2008	82966U103	SIRI	1800.0000	1.7000	3,060.00	17.59
2681	09/14/2006	09/19/2006	549463107	LU	-1000.0000	2.3201	(2,320.10)	20.60
2682	09/01/2006	09/07/2006	549463107	LU	-1000.0000	2.3201	(2,320.10)	20.60
2683	10/19/2010	10/22/2010	868059106	SUPG	-1000.0000	2.3200	(2,320.00)	20.60
2684	01/11/2007	01/17/2007	367626108	GTW	1000.0000	2.3200	2,320.00	20.60
2685	04/03/2009	04/08/2009	19247V104	RPF	1000.0000	2.3199	2,319.90	20.60
2686	09/15/2010	09/20/2010	055639108	BPZ	766.0000	4.3293	3,316.24	4.81
2687	03/04/2008	03/07/2008	97550R100	WDMG	-13000.0000	0.2100	(2,730.00)	20.62
2688	05/01/2008	05/06/2008	60443P103	BUF	-1000.0000	2.3212	(2,321.20)	20.62
2689	08/16/2006	08/21/2006	380709105	GDRHA	15500.0000	0.1150	1,782.50	20.62
2690	10/18/2006	10/23/2006	377899109	GEMS	-1000.0000	2.3218	(2,321.80)	20.63
2691	06/27/2008	07/02/2008	83001P109	SIX	-1600.0000	1.4000	(2,240.00)	20.64
2692	06/03/2010	06/08/2010	427093109	HERO	930.0000	3.0285	2,816.51	20.68
2693	03/05/2008	03/10/2008	749941100	RFMD	825.0000	3.3869	2,794.19	20.69
2694	02/23/2010	02/26/2010	172967101	C	-1000.0000	3.3600	(3,360.00)	2.70
2695	05/15/2006	05/18/2006	344159108	FCSE	-1800.0000	0.9911	(1,783.98)	20.74
2696	08/09/2006	08/14/2006	461194102	IESV	35000.0000	0.0580	2,030.00	20.75
2697	06/16/2006	06/21/2006	866810104	SUNW	-750.0000	4.3400	(3,255.00)	8.01
2698	08/28/2009	09/02/2009	313400301	FRE	-1000.0000	2.3301	(2,330.10)	20.79
2699	02/03/2006	02/08/2006	225223106	CRAY	1000.0000	2.3300	2,330.00	20.79
2700	10/20/2008	10/23/2008	345370860	F	1000.0000	2.3300	2,330.00	20.79
2701	10/13/2006	10/18/2006	549463107	LU	-1000.0000	2.3300	(2,330.00)	20.79
2702	10/13/2006	10/18/2006	549463107	LU	-1000.0000	2.3300	(2,330.00)	20.79
2703	03/11/2010	03/16/2010	02311T106	AMOK	1000.0000	2.3300	2,330.00	20.79
2704	04/03/2009	04/08/2009	19247V104	RPF	1000.0000	2.3299	2,329.90	20.79
2705	03/27/2007	03/30/2007	042891107	ARTG	1000.0000	2.5208	2,520.80	20.80
2706	04/26/2006	05/01/2006	05348W109	AVNX	1000.0000	2.5008	2,500.80	20.80
2707	10/13/2006	10/18/2006	549463107	LU	-1000.0000	2.3310	(2,331.00)	20.81
2708	07/29/2008	08/01/2008	960568103	WSTN	-255000.0000	0.0070	(1,785.00)	20.81
2709	06/12/2008	06/17/2008	830695102	SKNY	5000.0000	0.3570	1,785.00	20.81
2710	04/01/2009	04/06/2009	517834107	LVS	1000.0000	3.3690	3,369.00	2.39
2711	10/01/2009	10/06/2009	989834106	ZF	875.0000	3.1900	2,791.25	20.85
2712	04/18/2006	04/21/2006	805147105	ZERO	925.0000	2.6000	2,405.00	20.85
2713	11/10/2008	11/14/2008	370442105	GM	1000.0000	3.3700	3,370.00	2.36
2714	03/01/2006	03/06/2006	549463107	LU	-1000.0000	2.8000	(2,800.00)	20.87
2715	09/30/2010	10/05/2010	203612106	BTC	-1804.0000	0.9900	(1,785.96)	20.89
2716	03/28/2006	03/31/2006	22544F103	DHY	750.0000	4.3500	3,262.50	7.78
2717	10/26/2010	10/29/2010	554094102	MBCI	3000.0000	0.7800	2,340.00	20.91
2718	01/15/2009	01/21/2009	760112102	RTK	-4000.0000	0.6312	(2,524.80)	20.93
2719	03/09/2009	03/12/2009	00080V203	ABN.PR	-1000.0000	2.3370	(2,337.00)	20.93
2720	03/16/2009	03/19/2009	172967101	C	1000.0000	2.3400	2,340.00	20.98
2721	01/09/2006	01/12/2006	928497106	VTSS	1000.0000	2.3400	2,340.00	20.98
2722	02/08/2007	02/13/2007	G72688107	PRISF	1000.0000	2.3400	2,340.00	20.98
2723	11/07/2007	11/13/2007	44860H109	HYBT	1000.0000	2.3400	2,340.00	20.98
2724	05/24/2007	05/30/2007	86664Q108	SCEY	1000.0000	2.3400	2,340.00	20.98
2725	04/08/2009	04/14/2009	37247D106	GNW	1000.0000	2.3400	2,340.00	20.98
2726	02/06/2006	02/09/2006	169419108	CHMD	4300.0000	0.4156	1,787.08	20.98
2727	09/21/2007	09/26/2007	82966U103	SIRI	-1000.0000	3.3800	(3,380.00)	2.00
2728	01/04/2010	01/07/2010	172967101	C	1000.0000	3.3799	3,379.90	2.01
2729	12/21/2009	12/24/2009	172967101	C	1000.0000	3.3799	3,379.90	2.01
2730	11/05/2009	11/10/2009	09255T109	ARK	1000.0000	3.3799	3,379.90	2.01
2731	11/05/2009	11/10/2009	09255T109	ARK	1000.0000	3.3799	3,379.90	2.01
2732	03/25/2010	03/30/2010	172967101	C	750.0000	4.3585	3,268.88	7.57
2733	02/06/2008	02/11/2008	82966U103	SIRI	-887.0000	3.2000	(2,838.40)	21.02
2734	08/03/2009	08/06/2009	172967101	C	-883.0000	3.2001	(2,825.69)	21.02
2735	09/10/2009	09/15/2009	814785309	SNFCA	-881.0000	3.2001	(2,819.29)	21.02
2736	12/18/2007	12/21/2007	82966U103	SIRI	-875.0000	3.2000	(2,800.00)	21.02

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
2737	12/14/2009	12/17/2009	82967N108	SIRI	-2980.0000	0.6000	(1,788.00)	21.05
2738	02/10/2009	02/13/2009	192108108	CDE	-2400.0000	0.7450	(1,788.00)	21.05
2739	06/30/2008	07/03/2008	197779101	CBRX	-1000.0000	3.3840	(3,384.00)	1.86
2740	08/10/2010	08/13/2010	749941100	RFMD	-750.0000	4.3621	(3,271.58)	7.48
2741	03/22/2007	03/27/2007	39167H109	GCHC	4585.0000	0.3900	1,788.15	21.06
2742	03/19/2009	03/24/2009	313400301	FRE	1600.0000	1.0395	1,663.20	21.06
2743	03/11/2009	03/16/2009	37247D106	GNW	2300.0000	1.4499	3,334.77	4.33
2744	01/18/2006	01/23/2006	902549500	UALAQ	3300.0000	0.7100	2,343.00	21.10
2745	07/22/2008	07/25/2008	00504W100	ACPW	-2100.0000	0.8518	(1,788.78)	21.11
2746	01/11/2008	01/16/2008	767754104	RAD	1000.0000	2.3470	2,347.00	21.12
2747	07/21/2006	07/26/2006	549463107	LU	-1003.0000	2.0401	(2,046.22)	21.13
2748	01/27/2010	02/01/2010	416906105	HBIO	-1000.0000	3.3890	(3,389.00)	1.69
2749	09/17/2008	09/22/2008	58047P107	MCGC	1000.0000	2.3480	2,348.00	21.14
2750	05/08/2009	05/13/2009	26884J104	ERHE	-7500.0000	0.4500	(3,375.00)	2.40
2751	02/16/2010	02/19/2010	989834106	ZF	900.0000	3.2082	2,887.38	21.16
2752	02/25/2010	03/02/2010	989834106	ZF	880.0000	3.2085	2,823.48	21.17
2753	06/03/2010	06/08/2010	11161T108	BWEN	1000.0000	2.3498	2,349.80	21.17
2754	08/29/2008	09/04/2008	00949P108	AAI	-1000.0000	2.3500	(2,350.00)	21.18
2755	08/28/2009	09/02/2009	313400301	FRE	-1000.0000	2.3500	(2,350.00)	21.18
2756	03/28/2007	04/02/2007	125750109	CMGI	1000.0000	2.3500	2,350.00	21.18
2757	01/22/2007	01/25/2007	03062Y104	AWNE	1000.0000	2.3500	2,350.00	21.18
2758	06/06/2007	06/11/2007	25382P109	DGLY	-1000.0000	2.3500	(2,350.00)	21.18
2759	09/21/2006	09/26/2006	74157A103	PMDX	1000.0000	2.3500	2,350.00	21.18
2760	08/06/2008	08/11/2008	46488N103	ILE	-1000.0000	2.3500	(2,350.00)	21.18
2761	11/13/2007	11/16/2007	74833W206	QPSA	-1000.0000	2.3530	(2,353.00)	21.23
2762	11/19/2009	11/24/2009	062128103	BOFL	-1500.0000	1.0000	(1,500.00)	21.24
2763	06/20/2006	06/23/2006	549463107	LU	-1424.0000	2.4001	(3,417.74)	0.37
2764	01/13/2009	01/16/2009	345370860	F	940.0000	2.4700	2,321.80	21.28
2765	04/22/2009	04/27/2009	345370860	F	750.0000	4.3799	3,284.93	7.04
2766	12/23/2008	12/29/2008	55262C100	MBI	750.0000	4.3798	3,284.85	7.05
2767	11/13/2009	11/18/2009	319383105	BUSE	1000.0000	3.4000	3,400.00	1.30
2768	10/01/2007	10/04/2007	14067D102	CPST	-2000.0000	1.1701	(2,340.20)	21.31
2769	10/27/2008	10/30/2008	64190A103	NRO	-944.0000	2.4333	(2,297.04)	21.33
2770	03/18/2009	03/23/2009	43129M107	HPGP	-1000.0000	2.3590	(2,359.00)	21.35
2771	05/20/2008	05/23/2008	666135108	NFLD	2000.0000	0.8960	1,792.00	21.36
2772	08/04/2008	08/07/2008	97750V109	WZE	-2000.0000	1.6872	(3,374.40)	2.65
2773	01/08/2007	01/11/2007	03062Y104	AWNE	1000.0000	2.3600	2,360.00	21.37
2774	11/10/2008	11/14/2008	21924B104	CLM	1000.0000	2.3600	2,360.00	21.37
2775	04/09/2007	04/12/2007	131347106	CPNLQ	1000.0000	2.3600	2,360.00	21.37
2776	03/23/2009	03/26/2009	316773100	FITB	1000.0000	2.3600	2,360.00	21.37
2777	11/15/2006	11/20/2006	922281100	VSTY	-1000.0000	2.3600	(2,360.00)	21.37
2778	10/15/2008	10/20/2008	345370860	F	1000.0000	2.3599	2,359.90	21.37
2779	09/18/2008	09/23/2008	026874107	AIG	1000.0000	2.3599	2,359.90	21.37
2780	09/21/2009	09/24/2009	83490A100	SOPW	1100.0000	1.8000	1,980.00	21.37
2781	04/17/2008	04/22/2008	82966U103	SIRI	1000.0000	2.4500	2,450.00	21.38
2782	04/17/2008	04/22/2008	82966U103	SIRI	1000.0000	2.4500	2,450.00	21.38
2783	07/06/2009	07/09/2009	628852105	NCS	-1000.0000	2.3613	(2,361.30)	21.39
2784	04/27/2006	05/02/2006	05348W109	AVNX	-1000.0000	2.1886	(2,188.60)	21.40
2785	08/24/2009	08/27/2009	68555P100	ORBC	1400.0000	2.1886	3,064.04	18.22
2786	05/23/2007	05/29/2007	266605104	DRRX	-750.0000	4.3901	(3,292.58)	6.80
2787	12/27/2006	01/02/2007	231269101	CRIS	-1763.0000	1.2600	(2,221.38)	21.44
2788	08/20/2010	08/25/2010	865378103	SUF	5000.0000	0.3587	1,793.50	21.47
2789	11/27/2006	11/30/2006	549463107	LU	-966.0000	2.5475	(2,460.89)	21.49
2790	02/15/2007	02/21/2007	68283P109	ONSC	6000.0000	0.2990	1,794.00	21.51
2791	08/25/2009	08/28/2009	89989X105	TTEG	2300.0000	0.7800	1,794.00	21.51
2792	09/28/2009	10/01/2009	989834106	ZF	875.0000	3.2290	2,825.38	21.52
2793	05/31/2006	06/05/2006	62474G101	MTMC	-901.0000	2.9000	(2,612.90)	21.52

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
2794	05/23/2006	05/26/2006	27031F102	EBOF	-900.0000	3.2300	(2,907.00)	21.54
2795	03/16/2009	03/19/2009	989834106	ZF	1000.0000	2.3695	2,369.50	21.55
2796	03/30/2009	04/02/2009	172967101	C	-1000.0000	2.3700	(2,370.00)	21.56
2797	04/12/2007	04/17/2007	458738101	ILI	1000.0000	2.3699	2,369.90	21.56
2798	05/18/2009	05/21/2009	370442105	GM	2000.0000	1.1800	2,360.00	21.58
2799	01/06/2010	01/11/2010	269246104	ETFC	1500.0000	1.7895	2,684.25	21.59
2800	02/18/2010	02/23/2010	172967101	C	-1000.0000	3.4215	(3,421.50)	0.54
2801	02/24/2010	03/01/2010	172967101	C	-969.0000	3.4215	(3,315.43)	5.85
2802	09/13/2010	09/16/2010	141743104	CRVW	2000.0000	1.7000	3,400.00	1.65
2803	02/10/2006	02/15/2006	44043J105	HOFF	2000.0000	0.8980	1,796.00	21.66
2804	12/20/2007	12/26/2007	049513104	ATML	-800.0000	4.1954	(3,356.32)	3.86
2805	09/28/2009	10/01/2009	554225102	MCBC	1200.0000	2.6771	3,212.52	11.05
2806	11/16/2006	11/21/2006	43738R109	HMB	-750.0000	4.4100	(3,307.50)	6.32
2807	03/05/2008	03/10/2008	04289L107	ARTG	850.0000	3.4420	2,925.70	21.70
2808	03/09/2010	03/12/2010	169424108	CNTF	-900.0000	3.2400	(2,916.00)	21.72
2809	03/16/2009	03/19/2009	517834107	LVS	1000.0000	2.3780	2,378.00	21.72
2810	03/02/2010	03/05/2010	073334104	BFNB	-1840.0000	1.0087	(1,856.01)	21.72
2811	04/23/2009	04/28/2009	87161C105	SNV	1000.0000	3.4286	3,428.60	0.30
2812	07/29/2009	08/03/2009	32111B104	FNSC	3000.0000	0.5990	1,797.00	21.74
2813	01/15/2010	01/21/2010	172967101	C	1000.0000	3.4299	3,429.90	0.25
2814	11/11/2008	11/14/2008	749227104	RAS	1000.0000	2.3800	2,380.00	21.75
2815	07/02/2007	07/06/2007	247126105	DPHIQ	-1000.0000	2.3800	(2,380.00)	21.75
2816	09/19/2008	09/24/2008	59318T109	CIF	1000.0000	2.3800	2,380.00	21.75
2817	09/12/2007	09/17/2007	031539109	AMNT	1000.0000	2.3800	2,380.00	21.75
2818	11/03/2006	11/08/2006	247126105	DPHIQ	-1000.0000	2.3800	(2,380.00)	21.75
2819	09/18/2009	09/23/2009	749121109	Q	-1000.0000	3.4310	(3,431.00)	0.22
2820	04/29/2010	05/04/2010	44930M203	ICOP	10000.0000	0.2996	2,996.00	21.78
2821	04/17/2009	04/22/2009	49803T102	KRG	-1000.0000	3.4330	(3,433.00)	0.14
2822	06/30/2010	07/06/2010	46131H107	VVR	-750.0000	4.4215	(3,316.13)	6.03
2823	03/27/2009	04/01/2009	651718504	NR	1100.0000	2.7194	2,991.34	21.84
2824	07/10/2009	07/15/2009	370373102	GMO	-1050.0000	1.8201	(1,911.11)	21.84
2825	05/02/2007	05/07/2007	16117M107	CHTR	-854.0000	3.2500	(2,775.50)	21.89
2826	12/28/2006	01/03/2007	04289L107	ARTG	1000.0000	2.5619	2,561.90	21.90
2827	11/03/2006	11/08/2006	549463107	LU	-1000.0000	2.3875	(2,387.50)	21.90
2828	06/22/2009	06/25/2009	500747100	KOW	10000.0000	0.2999	2,999.00	21.93
2829	10/10/2008	10/16/2008	345370860	F	1000.0000	2.3890	2,389.00	21.93
2830	08/08/2008	08/13/2008	760112102	RTK	1000.0000	2.3898	2,389.80	21.94
2831	03/20/2007	03/23/2007	367626108	GTW	1000.0000	2.3900	2,390.00	21.95
2832	03/30/2009	04/02/2009	172967101	C	1000.0000	2.3900	2,390.00	21.95
2833	11/13/2008	11/18/2008	64190A103	NRO	-986.0000	2.3900	(2,356.54)	21.95
2834	03/31/2010	04/06/2010	52729N100	LVLTL	-1641.0000	1.5800	(2,592.78)	21.96
2835	06/05/2006	06/08/2006	46426P103	ISO	-9500.0000	0.2900	(2,755.00)	21.97
2836	12/01/2008	12/04/2008	82967N108	SIRI	10000.0000	0.1800	1,800.00	21.97
2837	06/03/2009	06/08/2009	457660108	INSV	4000.0000	0.4500	1,800.00	21.97
2838	06/04/2007	06/07/2007	522132109	LEAT	4000.0000	0.4500	1,800.00	21.97
2839	10/09/2007	10/12/2007	04517L101	ASPZ	-3000.0000	0.6000	(1,800.00)	21.97
2840	04/23/2008	04/28/2008	738754100	POWN	10000.0000	0.1800	1,800.00	21.97
2841	01/16/2007	01/19/2007	68618G105	OGTX	-20000.0000	0.0900	(1,800.00)	21.97
2842	04/29/2008	05/02/2008	69412U100	PPFP	6000.0000	0.3000	1,800.00	21.97
2843	10/02/2006	10/05/2006	80603Q105	SCNI	-2500.0000	0.7200	(1,800.00)	21.97
2844	12/31/2009	01/06/2010	88289Q109	TEXC	15000.0000	0.1200	1,800.00	21.97
2845	03/27/2006	03/30/2006	651360109	NWGN	-2000.0000	0.9000	(1,800.00)	21.97
2846	03/29/2006	04/03/2006	651360109	NWGN	-2000.0000	0.9000	(1,800.00)	21.97
2847	01/10/2008	01/15/2008	23254W104	CYPW	15000.0000	0.1200	1,800.00	21.97
2848	10/23/2006	10/26/2006	45768R305	INFN	-4000.0000	0.4500	(1,800.00)	21.97
2849	06/01/2006	06/06/2006	247361108	DALRQ	2500.0000	0.7200	1,800.00	21.97
2850	01/04/2010	01/07/2010	865378103	SUF	-2500.0000	0.7201	(1,800.25)	21.99

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
2851	03/31/2010	04/06/2010	585013105	MZEI	17200.0000	0.1900	3,268.00	8.60
2852	09/22/2008	09/25/2008	895919108	TRID	-1300.0000	2.4600	(3,198.00)	12.10
2853	10/26/2006	10/31/2006	98950P108	ZHNE	-1832.0000	1.0400	(1,905.28)	22.00
2854	07/09/2009	07/14/2009	720279108	PIR	1500.0000	1.8090	2,713.50	22.05
2855	02/03/2009	02/06/2009	345370860	F	1100.0000	1.8299	2,012.89	22.05
2856	11/13/2008	11/18/2008	195493309	CNB	1500.0000	1.8100	2,715.00	22.07
2857	10/24/2008	10/29/2008	720279108	PIR	2000.0000	1.1985	2,397.00	22.07
2858	07/01/2009	07/07/2009	920961109	VVR	-900.0000	3.2610	(2,934.90)	22.08
2859	01/07/2009	01/12/2009	062401104	GRAN	-855.0000	3.2616	(2,788.67)	22.09
2860	10/10/2008	10/16/2008	989834106	ZF	1000.0000	2.3980	2,398.00	22.10
2861	01/09/2008	01/14/2008	269246104	ETFC	-1000.0000	2.2279	(2,227.90)	22.10
2862	05/20/2009	05/26/2009	30033R108	ESLR	1000.0000	2.3990	2,399.00	22.12
2863	03/10/2010	03/15/2010	796043107	SSN	4400.0000	0.5363	2,359.72	22.13
2864	02/26/2009	03/03/2009	316773100	FITB	1000.0000	2.4000	2,400.00	22.14
2865	02/26/2009	03/03/2009	316773100	FITB	1000.0000	2.4000	2,400.00	22.14
2866	09/04/2007	09/07/2007	351465109	FXPE	1000.0000	2.4000	2,400.00	22.14
2867	10/10/2008	10/16/2008	989834106	ZF	1000.0000	2.4000	2,400.00	22.14
2868	05/24/2006	05/30/2006	739191104	PWAC	1000.0000	2.4000	2,400.00	22.14
2869	10/01/2008	10/06/2008	023139108	ABK	1000.0000	2.4000	2,400.00	22.14
2870	05/27/2009	06/01/2009	74963F106	RMR	-1000.0000	2.4000	(2,400.00)	22.14
2871	12/01/2008	12/04/2008	345370860	F	1000.0000	2.8700	2,870.00	22.15
2872	10/08/2010	10/14/2010	422246306	HEME	20000.0000	0.1180	2,360.00	22.15
2873	06/04/2010	06/09/2010	11161T108	BWEN	935.0000	2.5699	2,402.86	22.19
2874	01/02/2009	01/07/2009	739363109	PWAV	5000.0000	0.4722	2,361.00	22.21
2875	11/05/2009	11/10/2009	35952H106	FCEL	-950.0000	3.4615	(3,288.43)	7.80
2876	02/11/2009	02/17/2009	345370860	F	1500.0000	1.8170	2,725.50	22.23
2877	10/14/2009	10/19/2009	561911108	HYF	-1100.0000	1.9202	(2,112.22)	22.23
2878	10/14/2009	10/19/2009	232828301	CYTR	2500.0000	1.0500	2,625.00	22.25
2879	08/10/2009	08/13/2009	739363109	PWAV	2000.0000	1.2052	2,410.40	22.26
2880	06/11/2008	06/16/2008	05211H106	AUSE	1000.0000	2.4100	2,410.00	22.33
2881	02/12/2007	02/15/2007	667280101	NWACQ	-983.0000	2.4100	(2,369.03)	22.33
2882	06/19/2007	06/22/2007	265338202	DNE	-1000.0000	2.4101	(2,410.10)	22.34
2883	04/15/2009	04/20/2009	29382F103	ENMD	-4000.0000	0.4512	(1,804.80)	22.34
2884	09/02/2009	09/08/2009	172967101	C	-750.0000	4.4601	(3,345.08)	5.10
2885	11/20/2006	11/24/2006	207142100	CNXT	-1198.0000	2.2000	(2,635.60)	22.37
2886	05/05/2009	05/08/2009	81724Q107	SNMX	-950.0000	2.4300	(2,308.50)	22.37
2887	10/08/2007	10/11/2007	243798105	DWOG	-5000.0000	0.5100	(2,550.00)	22.39
2888	10/21/2009	10/26/2009	037032109	AGEN	-2000.0000	1.2100	(2,420.00)	22.39
2889	10/21/2009	10/26/2009	037032109	AGEN	-2000.0000	1.2100	(2,420.00)	22.39
2890	04/10/2008	04/15/2008	180489106	CLRT	-1200.0000	1.4100	(1,692.00)	22.39
2891	10/15/2009	10/20/2009	02503Y103	ACAS	900.0000	3.2800	2,952.00	22.41
2892	07/16/2010	07/21/2010	82967N108	SIRI	1881.0000	0.9600	1,805.76	22.42
2893	05/29/2008	06/03/2008	137225108	CNR	10000.0000	0.3296	3,296.00	7.65
2894	01/22/2009	01/27/2009	125581108	CIT	1000.0000	2.4170	2,417.00	22.47
2895	05/02/2007	05/07/2007	87929J103	TSYS	-800.0000	4.2544	(3,403.52)	2.30
2896	01/09/2008	01/14/2008	269246104	ETFC	-1000.0000	2.2475	(2,247.50)	22.50
2897	06/08/2007	06/13/2007	131347106	CPNLQ	850.0000	3.3200	2,822.00	22.51
2898	11/12/2008	11/17/2008	466090107	JASO	1000.0000	2.4190	2,419.00	22.51
2899	04/07/2010	04/12/2010	989834106	ZF	840.0000	3.4485	2,896.74	22.52
2900	10/13/2008	10/16/2008	345370860	F	1000.0000	2.4199	2,419.90	22.52
2901	06/15/2010	06/18/2010	019228402	AIB	1000.0000	2.4199	2,419.90	22.52
2902	03/17/2009	03/20/2009	172967101	C	1000.0000	2.4200	2,420.00	22.53
2903	07/05/2006	07/10/2006	00950H102	AIRN	1000.0000	2.4200	2,420.00	22.53
2904	04/06/2006	04/11/2006	099469504	WEL	1000.0000	2.4200	2,420.00	22.53
2905	09/17/2008	09/22/2008	561911108	HYF	-1048.0000	2.2801	(2,389.54)	22.53
2906	08/07/2006	08/10/2006	630075109	NGEN	-1500.0000	1.8300	(2,745.00)	22.54
2907	06/13/2007	06/18/2007	85857R105	STEM	-1000.0000	2.4216	(2,421.60)	22.56

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
2908	08/20/2009	08/25/2009	64190A103	NRO	-1000.0000	2.4220	(2,422.00)	22.57
2909	07/15/2009	07/20/2009	336453105	FSNM	-1600.0000	1.4800	(2,368.00)	22.62
2910	02/15/2006	02/21/2006	682505102	ONEI	6000.0000	0.5500	3,300.00	7.63
2911	12/28/2007	01/03/2008	16117M107	CHTR	-2000.0000	1.2200	(2,440.00)	22.66
2912	08/28/2009	09/02/2009	739363109	PWAV	2000.0000	1.2199	2,439.80	22.66
2913	11/01/2006	11/06/2006	549463107	LU	-1000.0000	2.4275	(2,427.50)	22.67
2914	05/19/2008	05/22/2008	09070W105	BSHF	1000.0000	2.9000	2,900.00	22.70
2915	05/19/2008	05/22/2008	09070W105	BSHF	1000.0000	2.9000	2,900.00	22.70
2916	06/09/2006	06/14/2006	549463107	LU	-1000.0000	2.4301	(2,430.10)	22.72
2917	02/09/2010	02/12/2010	943526301	WAVX	1000.0000	2.4300	2,430.00	22.72
2918	03/17/2009	03/20/2009	370442105	GM	1000.0000	2.4300	2,430.00	22.72
2919	10/17/2008	10/22/2008	345370860	F	1000.0000	2.4300	2,430.00	22.72
2920	10/20/2008	10/23/2008	721467108	PPC	1000.0000	2.4300	2,430.00	22.72
2921	07/02/2010	07/08/2010	690027206	OUTD	-743.0000	4.6000	(3,417.80)	1.84
2922	04/24/2006	04/27/2006	371485103	GNBT	1200.0000	2.7394	3,287.28	8.38
2923	11/06/2008	11/12/2008	598251106	MBHI	-900.0000	3.2989	(2,969.01)	22.74
2924	03/27/2009	04/01/2009	68338T403	ONVI	-850.0000	3.3337	(2,833.65)	22.74
2925	11/20/2008	11/25/2008	846819100	SPAR	-1000.0000	2.4310	(2,431.00)	22.74
2926	06/30/2008	07/03/2008	72919P103	PLUG	-1000.0000	2.4310	(2,431.00)	22.74
2927	11/05/2008	11/10/2008	749121109	Q	-843.0000	3.4230	(2,885.59)	22.75
2928	03/27/2009	04/01/2009	860370105	STEI	-900.0000	3.3000	(2,970.00)	22.76
2929	10/30/2009	11/04/2009	125581108	CIT	-3000.0000	0.7900	(2,370.00)	22.77
2930	12/28/2007	01/03/2008	55307U107	NUT	-950.0000	3.5000	(3,325.00)	6.54
2931	10/10/2008	10/16/2008	989834106	ZF	-1000.0000	2.4355	(2,435.50)	22.83
2932	12/30/2009	01/05/2010	00764X103	ANX	7500.0000	0.3695	2,771.25	22.84
2933	09/25/2007	09/28/2007	910873207	UMC	-836.0000	3.5200	(2,942.72)	22.85
2934	10/08/2008	10/14/2008	345370860	F	1000.0000	2.4370	2,437.00	22.85
2935	04/11/2007	04/16/2007	007947104	AERT	-1600.0000	1.4900	(2,384.00)	22.86
2936	11/10/2009	11/16/2009	500233101	KCAP	-750.0000	4.5000	(3,375.00)	4.13
2937	03/23/2010	03/26/2010	989834106	ZF	840.0000	3.4700	2,914.80	22.88
2938	10/09/2008	10/15/2008	345370860	F	1000.0000	2.4390	2,439.00	22.89
2939	10/08/2008	10/14/2008	345370860	F	1000.0000	2.4390	2,439.00	22.89
2940	01/09/2008	01/14/2008	269246104	ETFC	-1000.0000	2.2671	(2,267.10)	22.90
2941	12/07/2006	12/12/2006	871562203	SYGR	-725.0000	4.3215	(3,133.09)	16.26
2942	10/30/2008	11/04/2008	379336100	GLBL	1000.0000	2.4400	2,440.00	22.91
2943	01/20/2010	01/25/2010	68555P100	ORBC	1000.0000	2.4400	2,440.00	22.91
2944	04/09/2009	04/15/2009	19247V104	RPF	1000.0000	2.4399	2,439.90	22.91
2945	01/23/2006	01/26/2006	917277204	UPI	1200.0000	2.7500	3,300.00	7.92
2946	02/06/2009	02/11/2009	920961109	VVR	-1174.0000	2.7500	(3,228.50)	11.50
2947	12/04/2007	12/07/2007	159765106	CTHR	-1400.0000	2.2700	(3,178.00)	14.03
2948	02/18/2010	02/23/2010	58734P105	MBR	1000.0000	2.4427	2,442.70	22.96
2949	08/23/2006	08/28/2006	05350J103	AVSY	4000.0000	0.6400	2,560.00	22.97
2950	08/31/2010	09/03/2010	47777N101	JOEZ	-1500.0000	1.8500	(2,775.00)	23.00
2951	10/10/2008	10/16/2008	26817G102	DYN	1000.0000	2.4486	2,448.60	23.08
2952	05/08/2009	05/13/2009	19247V104	RPF	-900.0000	3.3200	(2,988.00)	23.10
2953	06/11/2008	06/16/2008	82966U103	SIRI	-1000.0000	2.4500	(2,450.00)	23.11
2954	06/11/2008	06/16/2008	82966U103	SIRI	-1000.0000	2.4500	(2,450.00)	23.11
2955	11/06/2006	11/09/2006	549463107	LU	-1000.0000	2.4500	(2,450.00)	23.11
2956	06/02/2009	06/05/2009	389465204	GRMH	1000.0000	2.4500	2,450.00	23.11
2957	01/06/2010	01/11/2010	749121109	Q	750.0000	4.5185	3,388.88	3.68
2958	03/19/2009	03/24/2009	80201E108	SFEG	2500.0000	1.0800	2,700.00	23.12
2959	07/26/2010	07/29/2010	01923A109	AHCI	-1000.0000	2.4510	(2,451.00)	23.12
2960	09/25/2009	09/30/2009	86272T106	BEE	-1000.0000	2.4510	(2,451.00)	23.12
2961	08/13/2009	08/18/2009	69344M101	PMI	940.0000	2.5693	2,415.14	23.13
2962	08/10/2010	08/13/2010	11161T108	BWEN	-1000.0000	2.4519	(2,451.90)	23.14
2963	01/13/2010	01/19/2010	984249102	YRCW	-1250.0000	1.0715	(1,339.38)	23.17
2964	06/30/2009	07/06/2009	989837109	ZTR	850.0000	3.3596	2,855.66	23.19

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
2965	08/01/2006	08/04/2006	785070103	SYS	-1000.0000	2.4548	(2,454.80)	23.20
2966	12/11/2008	12/16/2008	74347R677	URE	750.0000	4.5297	3,397.28	3.41
2967	06/04/2007	06/07/2007	232984104	ATC	-1500.0000	1.8618	(2,792.70)	23.27
2968	03/20/2008	03/26/2008	156492100	CNTY	855.0000	3.3300	2,847.15	23.28
2969	11/06/2008	11/12/2008	92336G106	VSUNQ	23000.0000	0.0790	1,817.00	23.28
2970	10/08/2010	10/14/2010	68555P100	ORBC	1000.0000	2.4598	2,459.80	23.29
2971	05/05/2006	05/10/2006	05348W109	AVNX	1000.0000	2.6333	2,633.30	23.30
2972	03/31/2006	04/05/2006	002083103	ATSI	1000.0000	2.4600	2,460.00	23.30
2973	05/25/2010	05/28/2010	759720105	RCG	1000.0000	2.4600	2,460.00	23.30
2974	05/07/2007	05/10/2007	898567102	TUBR	1000.0000	2.4600	2,460.00	23.30
2975	08/11/2009	08/14/2009	720279108	PIR	1000.0000	2.4600	2,460.00	23.30
2976	11/10/2008	11/14/2008	635405103	NCC	-1000.0000	2.4608	(2,460.80)	23.31
2977	12/16/2008	12/19/2008	852061100	S	-1100.0000	1.8902	(2,079.22)	23.33
2978	05/26/2009	05/29/2009	64190A103	NRO	-1090.0000	1.8901	(2,060.21)	23.33
2979	08/21/2009	08/26/2009	313586109	FNM	1625.0000	1.2399	2,014.84	23.36
2980	01/23/2009	01/28/2009	345370860	F	1500.0000	1.8660	2,799.00	23.37
2981	07/11/2006	07/14/2006	45244W100	IMDS	-17000.0000	0.1400	(2,380.00)	23.38
2982	04/18/2006	04/21/2006	549463107	LU	-1000.0000	2.9400	(2,940.00)	23.43
2983	03/06/2009	03/11/2009	073334104	BFNB	-1590.0000	2.0000	(3,180.00)	14.46
2984	11/06/2006	11/09/2006	74765E109	QTTWW	-1500.0000	1.8700	(2,805.00)	23.46
2985	01/13/2010	01/19/2010	984249102	YRCW	-1250.0000	1.0815	(1,351.88)	23.46
2986	06/06/2006	06/09/2006	89989X105	TTEG	-2000.0000	1.2500	(2,500.00)	23.47
2987	11/17/2006	11/22/2006	247361108	DALRQ	2000.0000	1.2500	2,500.00	23.47
2988	07/28/2010	08/02/2010	87161C105	SNV	1000.0000	2.4690	2,469.00	23.47
2989	06/04/2009	06/09/2009	912023207	UXG	1000.0000	2.4690	2,469.00	23.47
2990	08/11/2009	08/14/2009	720279108	PIR	1000.0000	2.4700	2,470.00	23.49
2991	09/25/2008	09/30/2008	939322103	WM	1000.0000	2.4697	2,469.70	23.49
2992	02/22/2006	02/27/2006	70336N107	PTSC	2000.0000	0.9100	1,820.00	23.52
2993	11/21/2008	11/26/2008	89600B102	TPLM	7000.0000	0.2600	1,820.00	23.52
2994	04/24/2007	04/27/2007	093442101	BLGA	5200.0000	0.3500	1,820.00	23.52
2995	04/24/2007	04/27/2007	093442101	BLGA	5200.0000	0.3500	1,820.00	23.52
2996	03/08/2006	03/13/2006	457642205	INOD	1000.0000	2.9500	2,950.00	23.61
2997	06/15/2007	06/20/2007	806732103	SCTN	5875.0000	0.3100	1,821.25	23.61
2998	02/03/2009	02/06/2009	721467108	PGPDQ	2335.0000	0.7800	1,821.30	23.62
2999	05/14/2007	05/17/2007	009213109	ATPN	-5000.0000	0.4500	(2,250.00)	23.63
3000	04/29/2008	05/02/2008	87162Q103	SYBD	2530.0000	0.7200	1,821.60	23.64
3001	03/07/2006	03/10/2006	457642205	INOD	1000.0000	2.9521	2,952.10	23.65
3002	03/19/2009	03/24/2009	345370860	F	1000.0000	2.4780	2,478.00	23.65
3003	06/22/2010	06/25/2010	749941100	RFMD	750.0000	4.5600	3,420.00	2.67
3004	09/02/2009	09/08/2009	464278209	ISEC	2500.0000	0.7288	1,822.00	23.67
3005	01/14/2010	01/20/2010	14018Y106	CGLD	-2000.0000	0.9110	(1,822.00)	23.67
3006	03/20/2009	03/25/2009	172967101	C	-1000.0000	2.4800	(2,480.00)	23.68
3007	03/20/2007	03/23/2007	827692104	SDRG	1000.0000	2.4800	2,480.00	23.68
3008	10/13/2008	10/16/2008	345370860	F	1000.0000	2.4799	2,479.90	23.68
3009	10/10/2007	10/15/2007	67030P107	NSOL	7438.0000	0.2450	1,822.31	23.69
3010	05/09/2008	05/14/2008	67030P107	NSOL	7289.0000	0.2500	1,822.25	23.69
3011	10/16/2007	10/19/2007	46072H108	IBCIQ	4500.0000	0.5300	2,385.00	23.70
3012	02/18/2009	02/23/2009	446150104	HBAN	2000.0000	1.2589	2,517.80	23.71
3013	06/09/2008	06/12/2008	92906N101	VYGO	72900.0000	0.0250	1,822.50	23.71
3014	04/29/2008	05/02/2008	05348W109	AVNX	2197.0000	0.8300	1,823.51	23.79
3015	01/09/2008	01/14/2008	922515200	VGPM	380000.0000	0.0048	1,824.00	23.82
3016	03/10/2008	03/13/2008	767754104	RAD	1000.0000	2.4885	2,488.50	23.85
3017	03/16/2009	03/19/2009	172967101	C	1000.0000	2.4892	2,489.20	23.86
3018	12/02/2009	12/07/2009	75605L104	RNWK	-850.0000	3.4000	(2,890.00)	23.87
3019	10/20/2008	10/23/2008	224916106	CIK	1000.0000	2.4900	2,490.00	23.88
3020	11/12/2008	11/17/2008	345370860	F	1500.0000	1.8885	2,832.75	23.89
3021	03/27/2009	04/01/2009	655053106	NOBL	-6000.0000	0.3460	(2,076.00)	23.94

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3022	04/24/2008	04/29/2008	98425R200	YTBLA	1250.0000	2.2600	2,825.00	23.95
3023	06/26/2007	06/29/2007	26884J104	ERHE	-9000.0000	0.2950	(2,655.00)	23.96
3024	03/18/2010	03/23/2010	233384106	SRQ	-750.0000	4.5822	(3,436.65)	2.14
3025	06/23/2009	06/26/2009	767754104	RAD	1700.0000	1.2196	2,073.32	23.97
3026	05/31/2007	06/05/2007	74439M107	PSDV	1200.0000	1.4700	1,764.00	23.97
3027	09/14/2009	09/17/2009	03824B103	APNT	-7304.0000	0.2500	(1,826.00)	23.98
3028	10/26/2009	10/29/2009	916901507	URRE	-1250.0000	1.1001	(1,375.13)	23.99
3029	02/25/2009	03/02/2009	174420109	CRBC	3000.0000	0.9310	2,793.00	24.02
3030	08/29/2007	09/04/2007	88033G100	THC	900.0000	3.3800	3,042.00	21.93
3031	09/10/2007	09/13/2007	815677109	SDNA	-8250.0000	0.2305	(1,901.63)	24.03
3032	10/13/2008	10/16/2008	345370860	F	1000.0000	2.4990	2,499.00	24.05
3033	10/14/2008	10/17/2008	345370860	F	1000.0000	2.4990	2,499.00	24.05
3034	04/25/2008	04/30/2008	631728409	NSTK	1900.0000	1.5400	2,926.00	24.06
3035	10/14/2008	10/17/2008	345370860	F	1325.0000	2.4190	3,205.18	13.81
3036	03/30/2009	04/02/2009	345370860	F	1000.0000	2.5000	2,500.00	24.07
3037	11/27/2009	12/02/2009	391523107	WOLF	1000.0000	2.5000	2,500.00	24.07
3038	09/14/2010	09/17/2010	943526301	WAVX	-1000.0000	2.5000	(2,500.00)	24.07
3039	06/08/2007	06/13/2007	70212G101	PDRT	1000.0000	2.5000	2,500.00	24.07
3040	09/16/2008	09/19/2008	026874107	AIG	1000.0000	2.5000	2,500.00	24.07
3041	11/02/2006	11/07/2006	036916104	ANSR	1000.0000	2.5000	2,500.00	24.07
3042	10/09/2008	10/15/2008	345370860	F	1000.0000	2.5000	2,500.00	24.07
3043	11/20/2008	11/25/2008	370442105	GM	1000.0000	2.5000	2,500.00	24.07
3044	05/06/2009	05/11/2009	716748108	PQ	-1000.0000	2.5000	(2,500.00)	24.07
3045	10/14/2008	10/17/2008	345370860	F	1000.0000	2.4999	2,499.90	24.07
3046	06/02/2010	06/07/2010	11161T108	BWEN	1000.0000	2.4999	2,499.90	24.07
3047	10/11/2006	10/16/2006	00211H107	ATCA	-998.0000	2.5000	(2,495.00)	24.07
3048	12/26/2006	12/29/2006	05348W109	AVNX	-1800.2500	1.8833	(3,390.41)	4.56
3049	02/18/2010	02/23/2010	895735108	TIV	-1500.0000	1.8967	(2,845.05)	24.08
3050	11/01/2006	11/06/2006	04289L107	ARTG	1000.0000	2.6741	2,674.10	24.10
3051	08/09/2010	08/12/2010	11161T108	BWEN	-1000.0000	2.5020	(2,502.00)	24.11
3052	02/05/2009	02/10/2009	345370860	F	1100.0000	1.9270	2,119.70	24.11
3053	02/18/2009	02/23/2009	74347R743	UYG	1000.0000	2.5030	2,503.00	24.13
3054	11/13/2006	11/16/2006	05348W109	AVNX	1500.0000	1.9000	2,850.00	24.15
3055	01/26/2006	01/31/2006	727563108	PLNI	330000.0000	0.0101	3,333.00	7.51
3056	04/16/2010	04/21/2010	04289L107	ARTG	750.0000	4.6000	3,450.00	1.70
3057	09/29/2009	10/02/2009	82932T107	SITO	-4000.0000	0.5200	(2,080.00)	24.22
3058	04/16/2007	04/19/2007	928519107	VVWT	4000.0000	0.5200	2,080.00	24.22
3059	10/28/2008	10/31/2008	339099103	FLE	10000.0000	0.2797	2,797.00	24.24
3060	01/23/2006	01/26/2006	549463107	LU	1000.0000	2.5100	2,510.00	24.26
3061	07/10/2009	07/15/2009	379302102	GRT	1000.0000	2.5100	2,510.00	24.26
3062	07/10/2009	07/15/2009	379302102	GRT	1000.0000	2.5100	2,510.00	24.26
3063	11/16/2006	11/21/2006	367220100	GSX	1000.0000	2.5100	2,510.00	24.26
3064	06/28/2006	07/03/2006	344437108	FONR	3000.0000	0.6099	1,829.70	24.26
3065	01/26/2009	01/29/2009	235825205	DAN	3000.0000	0.6496	1,948.80	24.28
3066	04/17/2009	04/22/2009	74347R743	UYG	-825.0000	3.7600	(3,102.00)	19.20
3067	04/04/2006	04/07/2006	87929J103	TSYS	-1000.0000	2.3357	(2,335.70)	24.30
3068	09/11/2008	09/16/2008	15188T108	CHC	-1000.0000	2.5131	(2,513.10)	24.32
3069	08/27/2010	09/01/2010	232674507	CYPB	-900.0000	3.4000	(3,060.00)	21.33
3070	01/13/2006	01/19/2006	75061P102	RAE	-900.0000	3.4000	(3,060.00)	21.33
3071	01/20/2010	01/25/2010	598251106	MBHI	-5000.0000	0.5805	(2,902.50)	24.33
3072	04/30/2009	05/05/2009	370442105	GM	-1050.0000	1.9400	(2,037.00)	24.39
3073	08/12/2009	08/17/2009	02503Y103	ACAS	-950.0000	3.0200	(2,869.00)	24.41
3074	06/24/2010	06/29/2010	019228402	AIB	1000.0000	2.5185	2,518.50	24.43
3075	10/09/2008	10/15/2008	345370860	F	1000.0000	2.5190	2,519.00	24.44
3076	01/13/2010	01/19/2010	87161C105	SNV	1000.0000	2.5190	2,519.00	24.44
3077	10/14/2008	10/17/2008	345370860	F	1000.0000	2.5190	2,519.00	24.44
3078	02/06/2006	02/09/2006	367626108	GTW	1000.0000	2.5200	2,520.00	24.46

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3079	01/02/2008	01/07/2008	212172100	CNU	-1000.0000	2.5200	(2,520.00)	24.46
3080	05/17/2006	05/22/2006	70336N107	PTSC	3500.0000	0.6850	2,397.50	24.46
3081	04/06/2009	04/09/2009	87311M102	TXCO	2600.0000	0.7047	1,832.22	24.46
3082	11/16/2006	11/21/2006	922281100	VSTY	-1200.0000	2.3008	(2,760.96)	24.47
3083	08/26/2010	08/31/2010	86806M205	SUPR	2450.0000	1.1600	2,842.00	24.49
3084	06/17/2009	06/22/2009	172967101	C	-1000.0000	3.0000	(3,000.00)	24.53
3085	12/12/2008	12/17/2008	345370860	F	1000.0000	3.0000	3,000.00	24.53
3086	03/10/2009	03/13/2009	172967101	C	2000.0000	1.2900	2,580.00	24.55
3087	09/23/2008	09/26/2008	313586109	FNM	2000.0000	1.2900	2,580.00	24.55
3088	04/05/2006	04/10/2006	150837409	CVM	-2000.0000	1.2910	(2,582.00)	24.57
3089	05/29/2009	06/03/2009	64190A103	NRO	-1050.0000	1.9501	(2,047.61)	24.60
3090	10/20/2009	10/23/2009	590049102	MERX	-1050.0000	1.9500	(2,047.50)	24.60
3091	08/24/2007	08/29/2007	72706H108	PLKT	3000.0000	0.8000	2,400.00	24.62
3092	01/21/2009	01/26/2009	701081101	PKD	1000.0000	2.5290	2,529.00	24.63
3093	07/14/2009	07/17/2009	172967101	C	1100.0000	2.8900	3,179.00	15.70
3094	08/07/2009	08/12/2009	169424108	CNTF	1000.0000	2.5300	2,530.00	24.65
3095	08/12/2008	08/15/2008	725848105	PZZI	-1000.0000	2.5300	(2,530.00)	24.65
3096	10/13/2008	10/16/2008	345370860	F	1000.0000	2.5299	2,529.90	24.65
3097	03/16/2009	03/19/2009	74347R743	UYG	-1000.0000	2.5305	(2,530.50)	24.66
3098	08/26/2008	08/29/2008	12512T102	CDSI	-8738.0000	0.2100	(1,834.98)	24.67
3099	04/06/2009	04/09/2009	32054K103	FR	-1000.0000	2.5330	(2,533.00)	24.71
3100	02/06/2009	02/11/2009	505336107	LZB	-2000.0000	1.0401	(2,080.20)	24.71
3101	05/09/2008	05/14/2008	45254P102	IMH	2000.0000	1.2975	2,595.00	24.75
3102	06/12/2008	06/17/2008	98973P101	ZIOP	1000.0000	2.5360	2,536.00	24.76
3103	03/20/2009	03/25/2009	345370860	F	1000.0000	2.5360	2,536.00	24.76
3104	02/10/2006	02/15/2006	456039106	IBTY	1700.0000	1.2500	2,125.00	24.77
3105	09/30/2009	10/05/2009	69404P101	PCBC	1200.0000	1.5000	1,800.00	24.77
3106	03/20/2006	03/23/2006	05348W109	AVNX	-1000.0000	2.8452	(2,845.20)	24.80
3107	05/16/2006	05/19/2006	04289L107	ARTG	1000.0000	2.7148	2,714.80	24.80
3108	04/01/2009	04/06/2009	345370860	F	1000.0000	2.5380	2,538.00	24.80
3109	03/02/2009	03/05/2009	060505104	BAC	-437.0000	3.4620	(1,512.89)	24.80
3110	01/04/2007	01/09/2007	67030P107	NSOL	3600.0000	0.7800	2,808.00	24.83
3111	03/31/2006	04/05/2006	917277204	UPI	1100.0000	2.3945	2,633.95	24.83
3112	05/30/2006	06/02/2006	549463107	LU	-1000.0000	2.5401	(2,540.10)	24.84
3113	10/02/2009	10/07/2009	871630109	SYNM	-1000.0000	2.5400	(2,540.00)	24.84
3114	03/31/2009	04/03/2009	172967101	C	1000.0000	2.5400	2,540.00	24.84
3115	05/22/2007	05/25/2007	85857R105	STEM	1000.0000	2.5400	2,540.00	24.84
3116	05/18/2007	05/23/2007	74269U104	PRNW	1000.0000	2.5400	2,540.00	24.84
3117	10/14/2008	10/17/2008	345370860	F	1000.0000	2.5399	2,539.90	24.84
3118	03/16/2009	03/19/2009	74347R743	UYG	-1000.0000	2.5405	(2,540.50)	24.85
3119	05/11/2010	05/14/2010	69313P101	PABK	-918.0000	2.4881	(2,284.08)	24.86
3120	08/31/2006	09/06/2006	549463107	LU	-1200.0000	2.3201	(2,784.12)	24.87
3121	09/15/2009	09/18/2009	64190A103	NRO	1200.0000	2.8691	3,442.92	2.77
3122	04/24/2007	04/27/2007	05348W109	AVNX	1700.0000	1.8629	3,166.93	16.58
3123	05/15/2009	05/20/2009	172967101	C	-841.0000	3.5800	(3,010.78)	24.41
3124	01/12/2007	01/18/2007	031539109	AMNT	1358.0000	2.3796	3,231.50	13.39
3125	02/19/2009	02/24/2009	26153R100	DCS	1500.0000	1.4900	2,235.00	24.96
3126	08/02/2007	08/07/2007	05348W109	AVNX	1235.0000	1.8217	2,249.80	24.99
3127	04/30/2007	05/03/2007	367626108	GTW	1500.0000	2.2600	3,390.00	5.50
3128	10/01/2007	10/04/2007	37229P507	GTOP	825.0000	4.0755	3,362.29	6.89
3129	02/24/2006	03/01/2006	378970107	GBVS	-2500.0000	1.3140	(3,285.00)	10.75
3130	04/12/2010	04/15/2010	67555N107	OCLR	1230.0000	2.6690	3,282.87	10.86
3131	04/30/2009	05/05/2009	169453107	CTFO	875.0000	3.7431	3,275.21	11.24
3132	04/30/2009	05/05/2009	169453107	CTFO	875.0000	3.7277	3,261.74	11.91
3133	10/23/2006	10/26/2006	37229P507	GTOP	-900.0000	3.6136	(3,252.24)	12.39
3134	03/06/2006	03/09/2006	379887102	GLOW	5000.0000	0.6400	3,200.00	15.00
3135	08/07/2009	08/12/2009	82967N108	SIRI	6500.0000	0.4898	3,183.70	15.82

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3136	03/01/2007	03/06/2007	367626108	GTW	1500.0000	2.1000	3,150.00	17.50
3137	01/05/2010	01/08/2010	87311M102	TXCOQ	10000.0000	0.3150	3,150.00	17.50
3138	05/13/2009	05/18/2009	37250U201	GMET	-2500.0000	1.2508	(3,127.00)	18.65
3139	07/19/2010	07/22/2010	97652A203	RNIN	2500.0000	1.2488	3,122.00	18.90
3140	07/19/2010	07/22/2010	97652A203	RNIN	2500.0000	1.2468	3,117.00	19.15
3141	03/01/2007	03/06/2007	367626108	GTW	1500.0000	2.0700	3,105.00	19.75
3142	12/16/2009	12/21/2009	82967N108	SIRI	5000.0000	0.6194	3,097.00	20.15
3143	03/01/2007	03/06/2007	367626108	GTW	1500.0000	2.0600	3,090.00	20.50
3144	03/01/2007	03/06/2007	367626108	GTW	1500.0000	2.0500	3,075.00	21.25
3145	07/13/2007	07/18/2007	67060N204	NTRZ	1000.0000	3.0000	3,000.00	25.00
3146	08/09/2006	08/14/2006	05348W109	AVNX	2000.0000	1.4475	2,895.00	25.00
3147	04/13/2009	04/16/2009	630077105	NANO	2000.0000	1.3899	2,779.80	25.00
3148	05/14/2008	05/19/2008	760112102	RTK	2000.0000	1.3796	2,759.20	25.00
3149	12/31/2007	01/04/2008	05348W109	AVNX	2500.0000	1.1000	2,750.00	25.00
3150	05/16/2006	05/19/2006	04289L107	ARTG	1000.0000	2.7250	2,725.00	25.00
3151	10/05/2006	10/11/2006	05461A108	AXVC	1000.0000	2.6400	2,640.00	25.00
3152	01/16/2008	01/22/2008	85517P101	STSI	2000.0000	1.3182	2,636.40	25.00
3153	02/15/2006	02/21/2006	65410X104	NIHK	20000.0000	0.1310	2,620.00	25.00
3154	08/28/2009	09/02/2009	37941L206	GAXC	3000.0000	0.8700	2,610.00	25.00
3155	04/11/2006	04/17/2006	09063L108	BOWC	-100000.0000	0.0260	(2,600.00)	25.00
3156	04/03/2006	04/06/2006	04314L205	ASFT	-4000.0000	0.6313	(2,525.20)	25.00
3157	08/12/2009	08/17/2009	606710200	MITK	5000.0000	0.5000	2,500.00	25.00
3158	05/17/2006	05/22/2006	92532L206	VERT	8000.0000	0.3100	2,480.00	25.00
3159	06/05/2009	06/10/2009	371485103	GNBT	2500.0000	0.9647	2,411.75	25.00
3160	05/17/2006	05/22/2006	904622107	UNCN	1000000.0000	0.0024	2,400.00	25.00
3161	03/09/2006	03/14/2006	055000103	AZGS	15000.0000	0.1550	2,325.00	25.00
3162	11/28/2008	12/03/2008	82967N108	SIRI	-10785.0000	0.2151	(2,319.85)	25.00
3163	12/14/2009	12/17/2009	585013105	MZEI	-6000.0000	0.3800	(2,280.00)	25.00
3164	09/18/2006	09/21/2006	247361108	DALRQ	-1500.0000	1.4700	(2,205.00)	25.00
3165	12/07/2007	12/12/2007	74765N109	QTXB	-3500.0000	0.5800	(2,030.00)	25.00
3166	12/07/2006	12/12/2006	713661106	PPHM	-1500.0000	1.2500	(1,875.00)	25.00
3167	08/27/2009	09/01/2009	42327Y103	HMH	-2100.0000	0.8800	(1,848.00)	25.00
3168	02/22/2008	02/27/2008	74269U104	PKT	1500.0000	1.2200	1,830.00	25.00
3169	06/20/2007	06/25/2007	270313208	ESSE	-10012.0000	0.1800	(1,802.16)	25.00
3170	04/08/2009	04/14/2009	026874107	AIG	-1500.0000	1.0900	(1,635.00)	25.00
3171	04/15/2010	04/20/2010	453838104	IBCP	-4689.0000	0.7147	(3,351.23)	7.45
3172	03/06/2009	03/11/2009	780097739	RBS.PRS	-1000.0000	2.5490	(2,549.00)	25.02
3173	09/20/2010	09/23/2010	943526301	WAVX	-1000.0000	2.5500	(2,550.00)	25.03
3174	01/23/2006	01/26/2006	549463107	LU	-1000.0000	2.5500	(2,550.00)	25.03
3175	05/01/2007	05/04/2007	344798202	VIFL	-1000.0000	2.5500	(2,550.00)	25.03
3176	03/12/2010	03/17/2010	759720105	RCG	1000.0000	2.5500	2,550.00	25.03
3177	05/27/2008	05/30/2008	82966U103	SIRI	-1000.0000	2.5500	(2,550.00)	25.03
3178	09/04/2009	09/10/2009	720279108	PIR	1000.0000	2.5500	2,550.00	25.03
3179	06/18/2010	06/23/2010	11161T108	BWEN	1000.0000	2.5498	2,549.80	25.03
3180	06/18/2010	06/23/2010	11161T108	BWEN	1000.0000	2.5498	2,549.80	25.03
3181	10/30/2008	11/04/2008	313586109	FNM	1880.0000	0.9786	1,839.77	25.04
3182	06/01/2009	06/04/2009	370442105	GM	-2000.0000	0.9200	(1,840.00)	25.06
3183	12/28/2006	01/03/2007	45768R305	IMEN	-2000.0000	0.9200	(1,840.00)	25.06
3184	05/17/2007	05/22/2007	378965107	GRLY	-2000.0000	0.9200	(1,840.00)	25.06
3185	11/16/2007	11/21/2007	45810J103	ITKG	2000.0000	1.3100	2,620.00	25.09
3186	12/05/2006	12/08/2006	922281100	VSTY	-1750.0000	1.4000	(2,450.00)	25.09
3187	10/10/2008	10/16/2008	98884U108	ZAGG	4375.0000	0.7000	3,062.50	21.99
3188	06/30/2009	07/06/2009	026874107	AIG	1500.0000	1.1300	1,695.00	25.11
3189	08/03/2009	08/06/2009	14141A108	CSCX	-850.0000	3.4738	(2,952.73)	25.13
3190	03/13/2006	03/16/2006	39571R107	GSHF	12500.0000	0.1510	1,887.50	25.15
3191	03/03/2009	03/06/2009	447011107	HUN	1000.0000	2.5580	2,558.00	25.19
3192	12/29/2006	01/04/2007	899690101	TMWD	-1000.0000	2.3848	(2,384.80)	25.20

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3193	04/11/2008	04/16/2008	358434108	FBR	1500.0000	1.5000	2,250.00	25.20
3194	11/22/2006	11/28/2006	82966U103	SIRI	-810.0000	4.0800	(3,304.80)	9.98
3195	01/18/2006	01/23/2006	002083103	ATSI	1000.0000	3.0438	3,043.80	23.03
3196	02/24/2010	03/01/2010	759720105	RCG	1000.0000	2.5595	2,559.50	25.22
3197	10/14/2008	10/17/2008	345370860	F	1000.0000	2.5595	2,559.50	25.22
3198	07/28/2008	07/31/2008	002083103	ATSI	1000.0000	2.5600	2,560.00	25.23
3199	02/24/2010	03/01/2010	759720105	RCG	1000.0000	2.5600	2,560.00	25.23
3200	08/26/2009	08/31/2009	720279108	PIR	1000.0000	2.5599	2,559.90	25.23
3201	07/27/2010	07/30/2010	64190A103	NRO	-870.0000	3.4609	(3,010.98)	24.69
3202	06/11/2008	06/16/2008	15188T108	CHC	-1000.0000	2.5620	(2,562.00)	25.27
3203	05/27/2008	05/30/2008	206710204	CCUR	3000.0000	0.6984	2,095.20	25.28
3204	09/27/2006	10/02/2006	21254V100	CSHD	1400.0000	1.4600	2,044.00	25.29
3205	09/23/2008	09/26/2008	313400301	FRE	2000.0000	1.3191	2,638.20	25.34
3206	03/18/2009	03/23/2009	345370860	F	1000.0000	2.5660	2,566.00	25.34
3207	02/18/2009	02/23/2009	852061100	S	1000.0000	2.5660	2,566.00	25.34
3208	03/04/2010	03/09/2010	989837109	ZTR	-824.0000	3.8510	(3,173.22)	16.69
3209	06/26/2006	06/29/2006	247361108	DALRQ	-2475.0000	0.7450	(1,843.88)	25.36
3210	09/09/2009	09/14/2009	984249102	YRCW	1000.0000	2.5685	2,568.50	25.39
3211	08/12/2009	08/17/2009	03252Q408	ANDS	1000.0000	2.5700	2,570.00	25.42
3212	04/23/2008	04/28/2008	82966U103	SIRI	1000.0000	2.5700	2,570.00	25.42
3213	03/20/2009	03/25/2009	172967101	C	1000.0000	2.5700	2,570.00	25.42
3214	04/10/2007	04/13/2007	682875109	ONSM	1000.0000	2.5700	2,570.00	25.42
3215	05/25/2006	05/31/2006	549463107	LU	1000.0000	2.5700	2,570.00	25.42
3216	10/08/2007	10/11/2007	92886T201	VG	1000.0000	2.5700	2,570.00	25.42
3217	04/23/2009	04/28/2009	337930101	FBC	2500.0000	1.1596	2,899.00	25.43
3218	11/13/2007	11/16/2007	131347106	CPNLQ	2500.0000	1.1600	2,900.00	25.44
3219	03/27/2009	04/01/2009	21839P107	CBAI	225000.0000	0.0082	1,845.00	25.44
3220	07/21/2010	07/26/2010	013904305	ALU	-964.0000	2.5715	(2,478.93)	25.45
3221	12/01/2008	12/04/2008	466090107	JASO	1000.0000	3.0590	3,059.00	22.51
3222	03/09/2009	03/12/2009	595112103	MU	-1000.0000	2.5740	(2,574.00)	25.50
3223	02/17/2006	02/23/2006	69562E104	PRZ	-900.0000	3.4800	(3,132.00)	18.92
3224	10/10/2008	10/16/2008	345370860	F	1500.0000	1.9600	2,940.00	25.54
3225	03/12/2007	03/15/2007	89989X105	TTEG	-5900.0000	0.5700	(3,363.00)	7.40
3226	04/09/2009	04/15/2009	19247V104	RPF	1000.0000	2.5780	2,578.00	25.57
3227	04/09/2007	04/12/2007	96684T107	WYDY	-2760.0000	0.6691	(1,846.72)	25.58
3228	08/06/2009	08/11/2009	643848104	NENE	2000.0000	1.3290	2,658.00	25.60
3229	05/01/2006	05/04/2006	05348W109	AVNX	-1000.0000	2.2744	(2,274.40)	25.60
3230	01/05/2009	01/08/2009	345370860	F	1000.0000	2.5800	2,580.00	25.61
3231	10/14/2008	10/17/2008	345370860	F	1000.0000	2.5800	2,580.00	25.61
3232	11/01/2006	11/06/2006	00504W100	ACPW	-1000.0000	2.5800	(2,580.00)	25.61
3233	05/19/2006	05/24/2006	232984104	ATC	1000.0000	2.5799	2,579.90	25.61
3234	08/27/2008	09/02/2008	221485105	CPWM	-2000.0000	1.3302	(2,660.40)	25.63
3235	08/11/2008	08/14/2008	786449108	SFE	-2000.0000	1.3300	(2,660.00)	25.63
3236	12/12/2007	12/17/2007	957541105	WSTL	-1500.0000	1.3029	(1,954.35)	25.65
3237	06/04/2009	06/09/2009	370442105	GMGMQ	2985.0000	0.6190	1,847.72	25.65
3238	12/14/2006	12/19/2006	607500105	MDVX	1100.0000	2.0000	2,200.00	25.66
3239	06/05/2009	06/10/2009	147448104	CWST	950.0000	3.0900	2,935.50	25.67
3240	11/15/2006	11/20/2006	922281100	VSTY	-1200.0000	2.3600	(2,832.00)	25.70
3241	12/15/2008	12/18/2008	920961109	VVR	-1200.0000	2.0915	(2,509.80)	25.78
3242	09/20/2007	09/25/2007	52729N100	LVLT	-750.0000	4.5156	(3,386.70)	6.47
3243	07/22/2010	07/27/2010	232674507	CYPB	900.0000	3.4991	3,149.19	18.35
3244	11/12/2008	11/17/2008	466090107	JASO	1000.0000	2.5900	2,590.00	25.81
3245	01/18/2007	01/23/2007	37246C109	GNVC	1000.0000	2.5900	2,590.00	25.81
3246	11/26/2007	11/29/2007	292762101	ENLU	1000.0000	2.5900	2,590.00	25.81
3247	01/20/2006	01/25/2006	549463107	LU	-1000.0000	2.5900	(2,590.00)	25.81
3248	03/02/2006	03/07/2006	27944M103	EDNE	-1000.0000	2.5900	(2,590.00)	25.81
3249	10/10/2008	10/16/2008	595112103	MU	-900.0000	3.5000	(3,150.00)	18.32

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3250	01/16/2009	01/22/2009	172967101	C	900.0000	3.5000	3,150.00	18.32
3251	02/24/2010	03/01/2010	961534104	WFSC	900.0000	3.5000	3,150.00	18.32
3252	05/14/2008	05/19/2008	983857103	XRIT	-1500.0000	1.9720	(2,958.00)	25.82
3253	03/02/2010	03/05/2010	27887F107	ECOB	5000.0000	0.3700	1,850.00	25.83
3254	12/05/2008	12/10/2008	721467108	PGPDQ	5000.0000	0.3700	1,850.00	25.83
3255	12/08/2006	12/13/2006	657193207	NATK	-5000.0000	0.3700	(1,850.00)	25.83
3256	11/02/2007	11/07/2007	247126105	DPHIQ	10000.0000	0.1850	1,850.00	25.83
3257	11/24/2009	11/30/2009	97750V109	WZE	-4000.0000	0.4625	(1,850.00)	25.83
3258	10/11/2007	10/16/2007	37184G104	GWOW	-20000.0000	0.0925	(1,850.00)	25.83
3259	10/26/2006	10/31/2006	85771Q100	STCA	2500.0000	0.7400	1,850.00	25.83
3260	11/02/2009	11/05/2009	35907K105	FTBK	5000.0000	0.3700	1,850.00	25.83
3261	04/25/2007	04/30/2007	926833104	VKPW	10000.0000	0.1850	1,850.00	25.83
3262	10/17/2008	10/22/2008	339099103	FLE	4047.0000	0.4572	1,850.29	25.85
3263	02/01/2006	02/06/2006	988480109	YGDC	-2900.0000	0.9000	(2,610.00)	25.86
3264	11/17/2008	11/20/2008	65080T102	NBBC	1000.0000	2.5930	2,593.00	25.86
3265	04/26/2010	04/29/2010	929741106	WBNK	1000.0000	2.5960	2,596.00	25.92
3266	10/02/2008	10/07/2008	635405103	NCC	1076.0000	2.9697	3,195.40	16.19
3267	09/22/2009	09/25/2009	68555P100	ORBC	1000.0000	2.5980	2,598.00	25.96
3268	04/11/2007	04/16/2007	82966U103	SIRI	-1000.0000	3.0920	(3,092.00)	21.38
3269	05/07/2008	05/12/2008	767754104	RAD	1000.0000	2.5990	2,599.00	25.98
3270	01/09/2007	01/12/2007	37988P102	GLRAF	-7700.0000	0.4000	(3,080.00)	21.99
3271	03/08/2010	03/11/2010	87161C105	SNV	1000.0000	2.5996	2,599.60	25.99
3272	09/18/2006	09/21/2006	197779101	CBRX	1000.0000	3.3400	3,340.00	9.00
3273	09/18/2006	09/21/2006	197779101	CBRX	1000.0000	3.3202	3,320.20	9.99
3274	08/07/2006	08/10/2006	197779101	CBRX	1000.0000	3.2102	3,210.20	15.49
3275	09/07/2006	09/12/2006	235811106	DCNAQ	-2130.0000	1.3700	(2,918.10)	26.00
3276	07/27/2007	08/01/2007	67060N204	NTRZ	1000.0000	2.8700	2,870.00	26.00
3277	12/19/2006	12/22/2006	247126105	DPHIQ	-1000.0000	2.6000	(2,600.00)	26.00
3278	12/02/2008	12/05/2008	109178103	BEXP	1000.0000	2.6000	2,600.00	26.00
3279	07/10/2009	07/15/2009	172967101	C	1000.0000	2.6000	2,600.00	26.00
3280	12/19/2007	12/24/2007	97750V109	WIZD	1000.0000	2.6000	2,600.00	26.00
3281	04/06/2009	04/09/2009	56530W209	MMPA	1000.0000	2.6000	2,600.00	26.00
3282	09/22/2009	09/25/2009	68555P100	ORBC	1000.0000	2.6000	2,600.00	26.00
3283	12/03/2009	12/08/2009	04033A100	ARIA	-1000.0000	2.6000	(2,600.00)	26.00
3284	06/18/2010	06/23/2010	11161T108	BWEN	1000.0000	2.5999	2,599.90	26.00
3285	05/17/2010	05/20/2010	68210T208	OMNI	1000.0000	2.5999	2,599.90	26.00
3286	10/29/2008	11/03/2008	721467108	PPC	1299.0000	1.1700	1,519.83	26.00
3287	09/10/2008	09/15/2008	939322103	WM	-1000.0000	2.6010	(2,601.00)	26.02
3288	06/30/2009	07/06/2009	749227104	RAS	-1443.0000	1.1610	(1,675.32)	26.04
3289	10/14/2008	10/17/2008	028723104	AEZ	-1110.0000	1.7120	(1,900.32)	26.05
3290	02/19/2009	02/24/2009	40330W106	GU	1181.0000	1.5494	1,829.84	26.07
3291	01/22/2010	01/27/2010	48238M104	KATX	35000.0000	0.0809	2,831.50	26.10
3292	05/20/2010	05/25/2010	16411R208	LNG	1000.0000	2.6072	2,607.20	26.14
3293	03/17/2009	03/20/2009	783332109	RUTH	1800.0000	1.0296	1,853.28	26.14
3294	11/28/2008	12/03/2008	345370860	F	1000.0000	2.6085	2,608.50	26.16
3295	02/07/2006	02/10/2006	871206108	SCMR	-750.0000	4.5151	(3,386.33)	6.85
3296	11/17/2008	11/20/2008	64190A103	NRO	-1409.0000	2.1509	(3,030.62)	24.64
3297	11/06/2006	11/09/2006	053491106	AVAN	-2000.0000	1.3500	(2,700.00)	26.17
3298	03/19/2008	03/25/2008	30063P105	EXAS	1000.0000	2.6087	2,608.70	26.17
3299	01/10/2006	01/13/2006	233065200	DCAP	1000.0000	2.6100	2,610.00	26.19
3300	03/16/2006	03/21/2006	371485103	GNBT	1000.0000	2.6100	2,610.00	26.19
3301	10/19/2006	10/24/2006	053491106	AVAN	-1200.0000	1.5550	(1,866.00)	26.22
3302	10/26/2006	10/31/2006	14160C100	CTE	1500.0000	1.9899	2,984.85	26.23
3303	04/03/2009	04/08/2009	530158104	USA	-909.0000	3.0801	(2,799.81)	26.30
3304	04/13/2009	04/16/2009	427825104	HT	1000.0000	2.6156	2,615.60	26.30
3305	11/24/2008	11/28/2008	345370860	F	1200.0000	1.5585	1,870.20	26.31
3306	02/01/2007	02/06/2007	795757103	SFP	1000.0000	2.9000	2,900.00	26.34

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3307	03/18/2009	03/23/2009	345370860	F	1000.0000	2.6180	2,618.00	26.35
3308	01/23/2009	01/28/2009	316773100	FITB	1000.0000	2.6180	2,618.00	26.35
3309	01/08/2009	01/13/2009	345370860	F	1000.0000	2.6180	2,618.00	26.35
3310	09/17/2008	09/22/2008	026874107	AIG	1000.0000	2.6189	2,618.90	26.36
3311	03/06/2009	03/11/2009	001765106	AMR	1000.0000	2.6193	2,619.30	26.37
3312	09/15/2009	09/18/2009	320771108	FMD	1000.0000	2.6190	2,619.00	26.37
3313	01/20/2009	01/23/2009	989834106	ZF	1000.0000	2.6190	2,619.00	26.37
3314	09/28/2006	10/03/2006	21254V100	CSHD	1000.0000	2.6200	2,620.00	26.39
3315	08/19/2009	08/24/2009	760112102	RTK	-1000.0000	2.6200	(2,620.00)	26.39
3316	01/05/2006	01/10/2006	207142100	CNXT	1000.0000	2.6200	2,620.00	26.39
3317	04/24/2008	04/29/2008	81616X103	SCSS	1000.0000	2.7964	2,796.40	26.40
3318	09/23/2010	09/28/2010	69336V101	PGTI	1585.0000	2.1500	3,407.75	6.04
3319	03/20/2006	03/23/2006	222814204	DVW	1500.0000	1.9999	2,999.85	26.46
3320	02/05/2007	02/08/2007	038188306	ADSX	-1500.0000	2.0000	(3,000.00)	26.47
3321	02/26/2008	02/29/2008	457669208	INSM	3000.0000	0.8100	2,430.00	26.47
3322	06/19/2006	06/22/2006	70336N107	PTSC	1800.0000	1.0400	1,872.00	26.47
3323	04/27/2009	04/30/2009	150921104	CEGE	4000.0000	0.6076	2,430.40	26.50
3324	10/09/2008	10/15/2008	345370860	F	1024.0000	2.1600	2,211.84	26.50
3325	04/07/2009	04/13/2009	427825104	HT	1200.0000	2.3988	2,878.56	26.51
3326	06/12/2008	06/17/2008	865378103	SUF	900.0000	3.5475	3,192.75	16.89
3327	07/27/2007	08/01/2007	82966U103	SIRI	-928.0000	2.8800	(2,672.64)	26.55
3328	06/30/2009	07/06/2009	913290102	UNTY	-900.0000	3.5500	(3,195.00)	16.81
3329	07/16/2009	07/21/2009	530158104	USA	-900.0000	3.5500	(3,195.00)	16.81
3330	02/26/2009	03/03/2009	172967101	C	1000.0000	2.6296	2,629.60	26.57
3331	03/20/2009	03/25/2009	172967101	C	1000.0000	2.6300	2,630.00	26.58
3332	01/24/2007	01/29/2007	89784T101	TNEN	1000.0000	2.6300	2,630.00	26.58
3333	07/27/2009	07/30/2009	172967101	C	1000.0000	2.6299	2,629.90	26.58
3334	03/20/2009	03/25/2009	172967101	C	1000.0000	2.6299	2,629.90	26.58
3335	03/16/2010	03/19/2010	717098206	PFSW	-1063.0000	3.0085	(3,198.04)	16.69
3336	01/23/2009	01/28/2009	989834106	ZF	-1000.0000	2.6312	(2,631.20)	26.60
3337	02/21/2006	02/24/2006	29254E200	ECGR	-12400.0000	0.1500	(1,860.00)	26.60
3338	04/07/2010	04/12/2010	82967N108	SIRI	2000.0000	0.9300	1,860.00	26.60
3339	11/16/2009	11/19/2009	29268T102	EFOI	2000.0000	0.9300	1,860.00	26.60
3340	11/02/2007	11/07/2007	890515109	TOOT	2000.0000	0.9300	1,860.00	26.60
3341	10/23/2009	10/28/2009	55970P203	MDOR	2000.0000	0.9300	1,860.00	26.60
3342	09/22/2009	09/25/2009	37245M603	GETA	-2000.0000	0.9300	(1,860.00)	26.60
3343	02/15/2006	02/21/2006	042384107	ACKHQ	-2000.0000	0.9300	(1,860.00)	26.60
3344	09/19/2006	09/22/2006	247361108	DALRQ	1180.0000	1.5700	1,852.60	26.62
3345	11/17/2008	11/20/2008	989834106	ZF	1000.0000	2.6345	2,634.50	26.66
3346	11/17/2008	11/20/2008	989834106	ZF	1000.0000	2.6345	2,634.50	26.66
3347	06/11/2007	06/14/2007	678046103	BQI	1000.0000	2.6340	2,634.00	26.66
3348	05/12/2009	05/15/2009	109178103	BEXP	825.0000	3.9300	3,242.25	14.58
3349	03/06/2008	03/11/2008	885218107	TMA	-1625.0000	1.3700	(2,226.25)	26.69
3350	03/05/2009	03/10/2009	14055X102	CSE	-1550.0000	1.2272	(1,902.16)	26.69
3351	11/06/2007	11/09/2007	099469504	WEL	2000.0000	1.3700	2,740.00	26.71
3352	11/02/2006	11/07/2006	63860C100	NHRX	1100.0000	2.0500	2,255.00	26.72
3353	03/19/2009	03/24/2009	517834107	LVS	1000.0000	2.6380	2,638.00	26.73
3354	03/03/2006	03/08/2006	549463107	LU	-930.0000	2.8700	(2,669.10)	26.76
3355	03/31/2009	04/03/2009	74347R743	UYG	-1000.0000	2.6400	(2,640.00)	26.77
3356	12/18/2006	12/21/2006	943526301	WAVX	-1000.0000	2.6400	(2,640.00)	26.77
3357	09/13/2010	09/16/2010	87161C105	SNV	-1003.0000	2.3310	(2,337.99)	26.77
3358	01/15/2009	01/21/2009	989834106	ZF	-1000.0000	2.6410	(2,641.00)	26.79
3359	12/18/2006	12/21/2006	05348W109	AVNX	1700.0000	1.9140	3,253.80	14.11
3360	09/22/2008	09/25/2008	38144H208	GFSL	1884.0000	1.5500	2,920.20	26.80
3361	04/07/2006	04/12/2006	87929J103	TSYS	-1000.0000	2.4632	(2,463.20)	26.80
3362	11/13/2009	11/18/2009	062128103	BOFL	1300.0000	1.2000	1,560.00	26.85
3363	03/08/2010	03/11/2010	172967101	C	900.0000	3.5700	3,213.00	16.21

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3364	10/19/2009	10/22/2009	313586109	FNM	-1250.0000	1.2001	(1,500.13)	26.86
3365	08/18/2009	08/21/2009	68555P100	ORBC	1500.0000	2.0207	3,031.05	25.33
3366	03/12/2009	03/17/2009	316773100	FITB	1200.0000	1.5800	1,896.00	26.88
3367	03/16/2009	03/19/2009	716748108	PQ	1200.0000	2.6280	3,153.60	19.21
3368	03/17/2009	03/20/2009	783332109	RUTH	1800.0000	1.0530	1,895.40	26.89
3369	12/04/2008	12/09/2008	345370860	F	1000.0000	2.6485	2,648.50	26.93
3370	02/02/2006	02/07/2006	549463107	LU	-950.0000	2.6700	(2,536.50)	26.94
3371	03/10/2009	03/13/2009	31308T100	FCSX	2000.0000	1.3791	2,758.20	26.95
3372	12/28/2009	12/31/2009	00922R105	ATSG	-1000.0000	2.6500	(2,650.00)	26.96
3373	06/13/2007	06/18/2007	86664Q108	SCEY	-1000.0000	2.6500	(2,650.00)	26.96
3374	08/16/2006	08/21/2006	24869P104	DENN	1000.0000	2.6500	2,650.00	26.96
3375	12/18/2009	12/23/2009	68555P100	ORBC	1000.0000	2.6500	2,650.00	26.96
3376	05/07/2008	05/12/2008	56400P201	MNKD	1000.0000	2.6500	2,650.00	26.96
3377	06/05/2009	06/10/2009	16941J106	CPSL	1000.0000	2.6500	2,650.00	26.96
3378	02/02/2007	02/07/2007	156661100	CYPE	1000.0000	2.6500	2,650.00	26.96
3379	07/17/2006	07/20/2006	09250E107	HIS	-1000.0000	2.6500	(2,650.00)	26.96
3380	10/14/2008	10/17/2008	026874107	AIG	1000.0000	2.6500	2,650.00	26.96
3381	04/07/2010	04/12/2010	266605104	DRRX	1100.0000	3.0385	3,342.35	9.96
3382	08/28/2007	08/31/2007	17887P106	CKRH	-40000.0000	0.0610	(2,440.00)	27.09
3383	04/21/2009	04/24/2009	172967101	C	-950.0000	2.6799	(2,545.91)	27.12
3384	12/21/2006	12/27/2006	904622206	UCOI	266700.0000	0.0070	1,866.90	27.13
3385	11/17/2008	11/20/2008	466090107	JASO	1000.0000	2.6590	2,659.00	27.14
3386	03/20/2009	03/25/2009	172967101	C	1000.0000	2.6599	2,659.90	27.15
3387	07/25/2006	07/28/2006	549463107	LU	-1100.0000	2.0700	(2,277.00)	27.15
3388	03/17/2010	03/22/2010	434712105	HOKU	-1000.0000	2.6601	(2,660.10)	27.16
3389	04/01/2009	04/06/2009	172967101	C	1000.0000	2.6600	2,660.00	27.16
3390	01/11/2006	01/17/2006	549463107	LU	-1000.0000	2.6600	(2,660.00)	27.16
3391	07/12/2010	07/15/2010	427093109	HERO	-1000.0000	2.6600	(2,660.00)	27.16
3392	05/21/2007	05/24/2007	88409N101	THK	1000.0000	2.6600	2,660.00	27.16
3393	08/24/2007	08/29/2007	957669104	ZIF	1000.0000	2.6620	2,662.00	27.20
3394	03/14/2008	03/19/2008	14071N104	CAPA	-900.0000	3.5933	(3,233.97)	15.51
3395	12/11/2007	12/14/2007	83001P109	SIX	-1000.0000	2.6650	(2,665.00)	27.25
3396	09/13/2006	09/18/2006	88409N101	THK	1500.0000	2.0400	3,060.00	24.26
3397	10/29/2007	11/01/2007	550278303	LUM	1500.0000	2.0399	3,059.85	24.27
3398	12/08/2008	12/11/2008	02083A103	AWP	900.0000	3.5990	3,239.10	15.34
3399	06/02/2009	06/05/2009	172967101	C	-850.0000	3.6000	(3,060.00)	24.29
3400	04/27/2006	05/02/2006	46612J101	JDSU	900.0000	3.7970	3,417.30	6.44
3401	11/19/2008	11/24/2008	370442105	GM	1000.0000	2.6685	2,668.50	27.32
3402	04/21/2009	04/24/2009	172967101	C	-950.0000	2.6900	(2,555.50)	27.32
3403	09/16/2009	09/21/2009	266605104	DRRX	-950.0000	2.6910	(2,556.45)	27.33
3404	09/27/2010	09/30/2010	90984P105	UCBI	1000.0000	2.1156	2,115.60	27.34
3405	04/28/2009	05/01/2009	82967N108	SIRI	-4800.0000	0.3895	(1,869.60)	27.34
3406	04/18/2006	04/21/2006	27031F102	EBOF	1000.0000	2.6700	2,670.00	27.35
3407	02/12/2009	02/18/2009	466090107	JASO	-1000.0000	2.6700	(2,670.00)	27.35
3408	08/10/2009	08/13/2009	169424108	CNTF	1000.0000	2.6700	2,670.00	27.35
3409	07/22/2009	07/27/2009	172967101	C	1000.0000	2.6700	2,670.00	27.35
3410	04/03/2009	04/08/2009	172967101	C	1000.0000	2.6700	2,670.00	27.35
3411	11/20/2008	11/25/2008	920961109	VVR	-1000.0000	2.6700	(2,670.00)	27.35
3412	09/24/2007	09/27/2007	65488W103	NBF	1000.0000	2.6699	2,669.90	27.35
3413	03/14/2006	03/17/2006	16117M107	CHTR	-2000.0000	0.9350	(1,870.00)	27.37
3414	10/02/2009	10/07/2009	313400301	FRE	-1185.0000	1.6000	(1,896.00)	27.41
3415	02/12/2008	02/15/2008	74963H102	RSF	-886.0000	3.6101	(3,198.55)	17.53
3416	01/05/2006	01/10/2006	63007S109	NGEN	1000.0000	2.6800	2,680.00	27.54
3417	04/10/2007	04/13/2007	011612603	ALAN	1000.0000	2.6799	2,679.90	27.54
3418	01/26/2009	01/29/2009	595112103	MU	853.0000	3.6170	3,085.30	23.30
3419	08/14/2006	08/17/2006	871562203	SYGR	-900.0000	3.6027	(3,242.43)	15.45
3420	11/17/2009	11/20/2009	82967N108	SIRI	-3300.0000	0.6450	(2,128.50)	27.59

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3421	02/16/2007	02/22/2007	04289L107	ARTG	1500.0000	2.2184	3,327.60	11.22
3422	07/14/2009	07/17/2009	916896103	UEC	-1000.0000	2.6850	(2,685.00)	27.64
3423	02/17/2010	02/22/2010	984249102	YRCW	5000.0000	0.4899	2,449.50	27.67
3424	12/18/2006	12/21/2006	318465101	FACT	-1500.0000	2.0614	(3,092.10)	23.09
3425	12/07/2007	12/12/2007	773118107	RCTY	-3000.0000	0.7100	(2,130.00)	27.70
3426	08/31/2009	09/03/2009	739363109	PWAV	-1275.0000	1.2300	(1,568.25)	27.71
3427	04/13/2009	04/16/2009	19247V104	RPF	1000.0000	2.6890	2,689.00	27.72
3428	03/30/2009	04/02/2009	370442105	GM	-1000.0000	2.6900	(2,690.00)	27.74
3429	10/08/2008	10/14/2008	037023108	AHR	1000.0000	2.6900	2,690.00	27.74
3430	10/29/2007	11/01/2007	197779101	CBRX	-1000.0000	2.6900	(2,690.00)	27.74
3431	04/01/2009	04/06/2009	172967101	C	1000.0000	2.6900	2,690.00	27.74
3432	04/01/2009	04/06/2009	172967101	C	1000.0000	2.6900	2,690.00	27.74
3433	02/05/2006	02/09/2006	549463107	LU	-1000.0000	2.6900	(2,690.00)	27.74
3434	07/07/2009	07/10/2009	172967101	C	1000.0000	2.6900	2,690.00	27.74
3435	10/23/2007	10/26/2007	82966U103	SIRI	-850.0000	3.6300	(3,085.50)	23.48
3436	02/20/2008	02/25/2008	05348W109	AVNX	-2500.0000	0.6792	(1,698.00)	27.75
3437	12/19/2007	12/24/2007	317923100	FINL	917.0000	3.0700	2,815.19	27.76
3438	04/06/2010	04/09/2010	458738101	ILI	-2500.0000	0.7500	(1,875.00)	27.76
3439	10/23/2006	10/26/2006	85771Q100	STCA	2500.0000	0.7500	1,875.00	27.76
3440	01/16/2007	01/19/2007	45768R305	IMEN	-2500.0000	0.7500	(1,875.00)	27.76
3441	06/12/2009	06/17/2009	849109103	SPNG	8600.0000	0.2850	2,451.00	27.77
3442	01/12/2006	01/18/2006	45890M109	IASG	1000.0000	3.2090	3,209.00	17.36
3443	12/05/2008	12/10/2008	40426W101	HRP	-1127.0000	2.1010	(2,367.83)	27.83
3444	08/13/2010	08/18/2010	172967101	C	830.0000	3.9285	3,260.66	14.81
3445	04/17/2009	04/22/2009	345370860	F	825.0000	4.0170	3,314.03	12.21
3446	01/23/2009	01/28/2009	316773100	FITB	1000.0000	2.6990	2,699.00	27.91
3447	01/12/2009	01/15/2009	832727101	SSCC	5000.0000	0.3754	1,877.00	27.91
3448	03/11/2008	03/14/2008	82966U103	SIRI	-1000.0000	2.7000	(2,700.00)	27.93
3449	01/03/2006	01/06/2006	549463107	LU	1000.0000	2.7000	2,700.00	27.93
3450	01/24/2006	01/27/2006	83306M108	SNFX	1000.0000	2.7000	2,700.00	27.93
3451	04/22/2010	04/27/2010	03252Q408	ANDS	-1000.0000	2.7000	(2,700.00)	27.93
3452	04/23/2010	04/28/2010	266898105	DUSA	1000.0000	2.7000	2,700.00	27.93
3453	06/18/2007	06/21/2007	786449108	SFE	-1000.0000	2.7000	(2,700.00)	27.93
3454	12/02/2008	12/05/2008	19247V104	RPF	1000.0000	2.7000	2,700.00	27.93
3455	10/29/2007	11/01/2007	69811Q106	PANC	-1000.0000	2.7000	(2,700.00)	27.93
3456	07/06/2006	07/11/2006	989414107	ZIF	-1000.0000	2.7000	(2,700.00)	27.93
3457	04/24/2006	04/27/2006	976524108	WTT	-1000.0000	2.7000	(2,700.00)	27.93
3458	03/18/2010	03/23/2010	59065A105	MSEH	1000.0000	2.7000	2,700.00	27.93
3459	11/13/2008	11/18/2008	195493309	CNB	1200.0000	1.6194	1,943.28	27.93
3460	08/20/2009	08/25/2009	197231103	CBBO	-1617.0000	1.4502	(2,344.97)	27.94
3461	07/10/2007	07/13/2007	67060N204	NTRZ	1000.0000	3.1200	3,120.00	22.00
3462	05/16/2007	05/21/2007	206710204	CCUR	2000.0000	1.5290	3,058.00	25.10
3463	08/10/2006	08/15/2006	549463107	LU	-1068.0000	2.1101	(2,253.59)	28.00
3464	06/22/2009	06/25/2009	82967N108	SIRI	-6100.0000	0.3556	(2,169.16)	28.00
3465	09/29/2006	10/04/2006	69341E102	PGMI	-10000.0000	0.1875	(1,875.00)	28.00
3466	03/04/2009	03/09/2009	74347R743	UYG	1630.0000	1.8693	3,046.96	25.67
3467	11/25/2008	12/01/2008	721467108	PPC	-5000.0000	0.4910	(2,455.00)	28.02
3468	07/08/2009	07/13/2009	98416J100	XRM	2000.0000	0.9999	1,999.80	28.02
3469	01/15/2009	01/21/2009	172967101	C	-845.0000	3.7100	(3,134.95)	21.29
3470	10/17/2007	10/22/2007	455688101	IDGG	10000.0000	0.2000	2,000.00	28.04
3471	06/05/2009	06/10/2009	693864100	PFAP	1500.0000	2.0800	3,120.00	22.06
3472	10/26/2010	10/29/2010	442487203	HOV	-860.0000	3.6515	(3,140.29)	21.06
3473	12/05/2008	12/10/2008	345370860	F	1000.0000	2.7085	2,708.50	28.09
3474	04/08/2009	04/14/2009	172967101	C	-1000.0000	2.7100	(2,710.00)	28.12
3475	05/17/2007	05/22/2007	82966U103	SIRI	-1000.0000	2.7100	(2,710.00)	28.12
3476	08/22/2008	08/27/2008	313400301	FRE	-1000.0000	2.7100	(2,710.00)	28.12
3477	11/16/2006	11/21/2006	282914100	EGHT	-1700.0000	1.8418	(3,131.06)	21.58

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3478	12/18/2006	12/21/2006	667280101	NWACQ	-830.0000	3.9500	(3,278.50)	14.22
3479	09/17/2009	09/22/2009	600577100	MILL	-4000.0000	0.4700	(1,880.00)	28.15
3480	01/31/2006	02/03/2006	238326102	DNTK	-4000.0000	0.4700	(1,880.00)	28.15
3481	01/31/2006	02/03/2006	238326102	DNTK	-4000.0000	0.4700	(1,880.00)	28.15
3482	11/03/2009	11/06/2009	147154108	CACB	-2000.0000	0.9400	(1,880.00)	28.15
3483	09/13/2007	09/18/2007	038188306	ADSX	-2000.0000	0.9401	(1,880.20)	28.16
3484	07/14/2006	07/19/2006	032681108	ANLY	-1500.0000	2.0860	(3,129.00)	21.73
3485	07/23/2009	07/28/2009	01903Q108	ALD	-900.0000	3.7800	(3,402.00)	8.09
3486	12/17/2009	12/22/2009	67555N107	OCLR	-1806.0000	1.3400	(2,420.04)	28.19
3487	05/20/2008	05/23/2008	561911108	HYF	900.0000	3.6600	3,294.00	13.50
3488	04/27/2010	04/30/2010	232985101	CYGE	-30725.0000	0.0800	(2,458.00)	28.20
3489	04/14/2009	04/17/2009	026874107	AIG	1200.0000	1.6298	1,955.76	28.20
3490	02/12/2007	02/15/2007	29382F103	ENMD	-1200.0000	1.6300	(1,956.00)	28.21
3491	08/25/2010	08/30/2010	172967101	C	-900.0000	3.6615	(3,295.35)	13.45
3492	07/14/2009	07/17/2009	760911107	REFR	1000.0000	2.7150	2,715.00	28.22
3493	10/01/2007	10/04/2007	781748108	RURL	1050.0000	3.1100	3,265.50	14.98
3494	03/24/2006	03/29/2006	00253U107	ASTM	1500.0000	2.0900	3,135.00	21.51
3495	03/04/2009	03/09/2009	124830100	CBL	1000.0000	2.7180	2,718.00	28.28
3496	10/07/2008	10/10/2008	63540S103	NCC	1000.0000	2.7190	2,719.00	28.29
3497	04/06/2006	04/11/2006	45885A102	IIP	-1300.0000	1.2500	(1,625.00)	28.29
3498	10/07/2010	10/13/2010	82967N108	SIRI	2500.0000	1.2685	3,171.25	19.74
3499	04/13/2009	04/16/2009	19247V104	RPF	1000.0000	2.7195	2,719.50	28.30
3500	01/09/2006	01/12/2006	549463107	LU	-1000.0000	2.7200	(2,720.00)	28.31
3501	04/08/2008	04/11/2008	82966U103	SIRI	1000.0000	2.7200	2,720.00	28.31
3502	03/20/2009	03/25/2009	172967101	C	1000.0000	2.7200	2,720.00	28.31
3503	01/05/2006	01/10/2006	549463107	LU	-1000.0000	2.7200	(2,720.00)	28.31
3504	07/19/2007	07/24/2007	42805E108	HSKA	1000.0000	2.7200	2,720.00	28.31
3505	06/02/2009	06/05/2009	427825104	HT	1000.0000	2.7199	2,719.90	28.31
3506	04/08/2009	04/14/2009	172967101	C	1000.0000	2.7198	2,719.80	28.31
3507	02/02/2009	02/05/2009	26200S101	DHF	-1000.0000	2.7206	(2,720.60)	28.33
3508	03/06/2009	03/11/2009	30254M101	FPBI	1550.0000	2.2500	3,487.50	4.04
3509	08/09/2006	08/14/2006	285106100	EEEI	-1500.0000	2.1000	(3,150.00)	20.96
3510	09/03/2009	09/09/2009	989834106	ZF	925.0000	3.0188	2,792.39	28.47
3511	12/11/2006	12/14/2006	318465101	FACT	-1500.0000	2.1012	(3,151.80)	20.89
3512	09/03/2009	09/09/2009	989834106	ZF	925.0000	3.0199	2,793.41	28.49
3513	07/27/2009	07/30/2009	925602104	VICL	900.0000	3.6800	3,312.00	12.90
3514	04/19/2007	04/24/2007	293848107	ENTU	-900.0000	3.6800	(3,312.00)	12.90
3515	06/16/2010	06/21/2010	11161T108	BWEN	1000.0000	2.7298	2,729.80	28.50
3516	07/16/2007	07/19/2007	957541105	WSTL	-1000.0000	2.5515	(2,551.50)	28.50
3517	11/24/2009	11/30/2009	523768109	LEE	-850.0000	3.6810	(3,128.85)	22.07
3518	10/27/2006	11/01/2006	943526301	WAVX	1000.0000	2.7300	2,730.00	28.51
3519	03/20/2009	03/25/2009	345370860	F	1000.0000	2.7300	2,730.00	28.51
3520	06/29/2007	07/05/2007	409900107	HKFIQ	-1000.0000	2.7300	(2,730.00)	28.51
3521	03/02/2006	03/07/2006	37245M207	GNTA	1000.0000	2.7300	2,730.00	28.51
3522	04/13/2009	04/16/2009	19247V104	RPF	1000.0000	2.7299	2,729.90	28.51
3523	03/14/2008	03/19/2008	29268T102	EFOI	1000.0000	2.7311	2,731.10	28.53
3524	08/24/2009	08/27/2009	02503Y103	ACAS	-1000.0000	2.7310	(2,731.00)	28.53
3525	10/26/2010	10/29/2010	00439T107	ACUZ	1300.0000	1.2600	1,638.00	28.57
3526	07/19/2006	07/24/2006	131910101	CAMH	1010.0000	2.3700	2,393.70	28.59
3527	05/24/2007	05/30/2007	036916104	ANSR	-1000.0000	3.2590	(3,259.00)	15.65
3528	09/30/2009	10/05/2009	852061100	S	-832.0000	3.9510	(3,287.23)	14.27
3529	09/25/2008	09/30/2008	313400301	FRE	1000.0000	2.7365	2,736.50	28.63
3530	09/25/2008	09/30/2008	313400301	FRE	1000.0000	2.7365	2,736.50	28.63
3531	03/05/2008	03/10/2008	58447Q101	MRED	145000.0000	0.0170	2,465.00	28.63
3532	08/25/2009	08/28/2009	313400301	FRE	1100.0000	2.1399	2,353.89	28.63
3533	01/17/2006	01/20/2006	98950P108	ZHNE	-1097.0000	2.1400	(2,347.58)	28.63
3534	03/30/2009	04/02/2009	345370860	F	1000.0000	2.7370	2,737.00	28.64

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3535	02/01/2007	02/06/2007	05348W109	AVNX	1500.0000	2.2591	3,388.65	9.22
3536	03/03/2009	03/06/2009	563571108	MTW	1000.0000	2.7393	2,739.30	28.69
3537	03/18/2009	03/23/2009	172967101	C	1000.0000	2.7400	2,740.00	28.70
3538	06/08/2009	06/11/2009	024061103	AXL	1000.0000	2.7400	2,740.00	28.70
3539	01/30/2008	02/04/2008	767754104	RAD	1000.0000	2.7400	2,740.00	28.70
3540	01/26/2006	01/31/2006	038188306	ADSX	1000.0000	2.7400	2,740.00	28.70
3541	11/12/2008	11/17/2008	64190A103	NRO	-986.0000	2.7400	(2,701.64)	28.70
3542	03/06/2009	03/11/2009	920961109	VVR	-1100.0000	2.1430	(2,357.30)	28.70
3543	05/05/2006	05/10/2006	871562203	SYGR	-750.0000	4.6899	(3,517.43)	2.85
3544	04/11/2007	04/16/2007	045188109	AKMN	3200.0000	0.9000	2,880.00	28.72
3545	02/27/2009	03/04/2009	35952H106	FCEL	-1000.0000	2.7418	(2,741.80)	28.73
3546	12/04/2008	12/09/2008	345370860	F	-1000.0000	2.7415	(2,741.50)	28.73
3547	12/08/2008	12/11/2008	404303109	HSNI	1500.0000	1.6446	2,466.90	28.76
3548	06/22/2006	06/27/2006	032681108	ANLY	-1500.0000	2.1167	(3,175.05)	20.03
3549	09/14/2010	09/17/2010	872625108	TRR	1000.0000	2.7450	2,745.00	28.80
3550	08/03/2009	08/06/2009	989834106	ZF	925.0000	3.0378	2,809.97	28.81
3551	04/17/2009	04/22/2009	21038E101	CEP	950.0000	2.7699	2,631.41	28.83
3552	09/05/2007	09/10/2007	452907108	IMMU	1500.0000	2.1200	3,180.00	19.85
3553	11/26/2008	12/02/2008	19247V104	RPF	1000.0000	2.7480	2,748.00	28.85
3554	11/25/2008	12/01/2008	01903Q108	ALD	-1100.0000	2.1500	(2,365.00)	28.85
3555	02/20/2009	02/25/2009	74347R743	UYG	-1100.0000	2.1500	(2,365.00)	28.85
3556	12/05/2008	12/10/2008	345370860	F	1000.0000	2.7485	2,748.50	28.86
3557	12/05/2008	12/10/2008	345370860	F	1000.0000	2.7485	2,748.50	28.86
3558	07/06/2006	07/11/2006	89989X105	TTEG	-2000.0000	1.4500	(2,900.00)	28.87
3559	07/30/2009	08/04/2009	720279108	PIR	1000.0000	2.7490	2,749.00	28.87
3560	10/10/2008	10/16/2008	345370860	F	-1100.0000	2.1510	(2,366.10)	28.87
3561	08/20/2010	08/25/2010	989834106	ZF	-925.0000	3.0415	(2,813.39)	28.88
3562	04/02/2008	04/07/2008	229675103	QBK	1000.0000	2.7500	2,750.00	28.89
3563	12/01/2008	12/04/2008	345370860	F	1000.0000	2.7500	2,750.00	28.89
3564	04/27/2006	05/02/2006	549463107	LU	1000.0000	2.7500	2,750.00	28.89
3565	10/23/2008	10/28/2008	158496109	CHB	1000.0000	2.7500	2,750.00	28.89
3566	04/03/2009	04/08/2009	172967101	C	1000.0000	2.7500	2,750.00	28.89
3567	02/09/2006	02/14/2006	651360109	NWGN	-1000.0000	2.7500	(2,750.00)	28.89
3568	01/09/2008	01/14/2008	82966U103	SIRI	1000.0000	2.7500	2,750.00	28.89
3569	09/25/2006	09/28/2006	74157A103	PMDX	1000.0000	2.7500	2,750.00	28.89
3570	05/02/2006	05/05/2006	549463107	LU	-1000.0000	2.7500	(2,750.00)	28.89
3571	08/16/2007	08/21/2007	29247F108	ENPT	1000.0000	2.7500	2,750.00	28.89
3572	08/31/2007	09/06/2007	25278S100	DHCC	-1000.0000	2.7500	(2,750.00)	28.89
3573	09/16/2008	09/19/2008	026874107	AIG	1000.0000	2.7499	2,749.90	28.89
3574	11/17/2008	11/20/2008	370442105	GM	1000.0000	3.2785	3,278.50	14.98
3575	01/29/2008	02/01/2008	52729N100	LVL	-1000.0000	3.1011	(3,101.10)	23.85
3576	09/25/2009	09/30/2009	916896103	UEC	-1000.0000	2.7506	(2,750.60)	28.90
3577	03/09/2009	03/12/2009	67072C105	JPS	-970.0000	2.7506	(2,668.08)	28.90
3578	06/07/2007	06/12/2007	04289L107	ARTG	-1000.0000	2.5711	(2,571.10)	28.90
3579	05/21/2010	05/26/2010	82967N108	SIRI	-2000.0000	1.0101	(2,020.20)	28.91
3580	03/03/2010	03/08/2010	501862106	LIEG	2000.0000	1.0100	2,020.00	28.91
3581	01/05/2009	01/08/2009	42327W107	HAV	2000.0000	1.0099	2,019.80	28.91
3582	03/13/2009	03/18/2009	620076109	MOT	900.0000	3.7086	3,337.74	12.03
3583	09/13/2007	09/18/2007	89989X105	TTEG	2000.0000	0.9450	1,890.00	28.92
3584	01/11/2007	01/17/2007	27031F102	EBOF	3000.0000	0.6300	1,890.00	28.92
3585	09/18/2008	09/23/2008	82967N108	SIRI	-2000.0000	0.9454	(1,890.80)	28.98
3586	06/11/2008	06/16/2008	90338S102	HTM	1000.0000	2.7550	2,755.00	28.99
3587	04/30/2010	05/05/2010	67060U109	NPHC	10000.0000	0.3500	3,500.00	4.00
3588	01/24/2007	01/29/2007	367626108	GTW	-1150.0000	1.9100	(2,196.50)	29.00
3589	02/01/2007	02/06/2007	00203R105	ATS	-2000.0000	1.4560	(2,912.00)	29.03
3590	01/17/2006	01/20/2006	549463107	LU	1377.0000	2.6000	3,580.20	0.03
3591	05/09/2008	05/14/2008	456607100	IMB	-1025.0000	3.3100	(3,392.75)	9.40

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3592	07/06/2006	07/11/2006	01643P105	AASP	-9956.0000	0.1900	(1,891.64)	29.04
3593	02/22/2007	02/27/2007	29405D101	EVCC	1500.0000	2.1300	3,195.00	19.30
3594	12/05/2008	12/10/2008	345370860	F	1000.0000	2.7585	2,758.50	29.06
3595	12/16/2008	12/19/2008	37247D106	GNW	1000.0000	3.2892	3,289.20	14.62
3596	12/11/2006	12/14/2006	261983100	DRMS	-5000.0000	0.4300	(2,150.00)	29.08
3597	04/04/2007	04/10/2007	247361108	DALRQ	4790.0000	0.3950	1,892.05	29.08
3598	09/24/2009	09/29/2009	68555P100	ORBC	1000.0000	2.7603	2,760.30	29.09
3599	01/06/2006	01/11/2006	549463107	LU	-1000.0000	2.7600	(2,760.00)	29.09
3600	09/30/2008	10/03/2008	929903102	WB	1000.0000	2.7600	2,760.00	29.09
3601	12/31/2007	01/04/2008	767754104	RAD	-1000.0000	2.7610	(2,761.00)	29.11
3602	09/02/2009	09/08/2009	095333100	BLU	-1000.0000	2.7620	(2,762.00)	29.12
3603	05/19/2008	05/22/2008	82966U103	SIRI	-1000.0000	2.7618	(2,761.80)	29.12
3604	03/24/2008	03/27/2008	156492100	CNTY	904.0000	3.3000	2,983.20	29.13
3605	09/25/2008	09/30/2008	313400301	FRE	1000.0000	2.7650	2,765.00	29.18
3606	10/21/2008	10/24/2008	82967N108	SIRI	5000.0000	0.3787	1,893.50	29.19
3607	03/11/2009	03/16/2009	466313103	JBL	1000.0000	3.2980	3,298.00	14.32
3608	11/26/2008	12/02/2008	345370860	F	1490.0000	2.1385	3,186.37	19.90
3609	12/03/2009	12/08/2009	029616109	AMNP	2000.0000	0.9470	1,894.00	29.23
3610	07/17/2006	07/20/2006	920913100	VLT	-893.0000	3.7300	(3,330.89)	12.70
3611	06/05/2009	06/10/2009	693864100	PFAP	1500.0000	2.1400	3,210.00	18.75
3612	02/18/2010	02/23/2010	27887F107	ECOB	5500.0000	0.4500	2,475.00	29.25
3613	05/06/2008	05/09/2008	55610N105	DCR	1000.0000	2.7690	2,769.00	29.26
3614	04/15/2009	04/20/2009	446150104	HBAN	1000.0000	2.7697	2,769.70	29.27
3615	05/06/2010	05/11/2010	633643408	NBG	1000.0000	2.7697	2,769.70	29.27
3616	03/18/2009	03/23/2009	552953101	MGM	1000.0000	2.7693	2,769.30	29.27
3617	05/01/2006	05/04/2006	549463107	LU	-1000.0000	2.7701	(2,770.10)	29.28
3618	02/13/2006	02/16/2006	549463107	LU	-1000.0000	2.7700	(2,770.00)	29.28
3619	03/19/2009	03/24/2009	172967101	C	1000.0000	2.7700	2,770.00	29.28
3620	09/10/2007	09/13/2007	351465109	FXPE	1000.0000	2.7700	2,770.00	29.28
3621	08/17/2009	08/20/2009	501014104	KKD	1000.0000	2.7700	2,770.00	29.28
3622	01/07/2009	01/12/2009	345370860	F	1000.0000	2.7700	2,770.00	29.28
3623	12/26/2007	12/31/2007	87163L103	BRLC	1000.0000	2.7700	2,770.00	29.28
3624	10/28/2008	10/31/2008	989834106	ZF	1000.0000	2.7699	2,769.90	29.28
3625	05/06/2008	05/09/2008	55610N105	DCR	1000.0000	2.7699	2,769.90	29.28
3626	12/17/2009	12/22/2009	85857R105	STEM	1500.0000	1.2700	1,905.00	29.28
3627	11/02/2009	11/05/2009	75734R105	FRZ	-850.0000	3.7342	(3,174.07)	20.60
3628	08/31/2006	09/06/2006	04289L107	ARTG	1000.0000	2.9493	2,949.30	29.30
3629	04/19/2006	04/24/2006	05348W109	AVNX	1000.0000	2.9493	2,949.30	29.30
3630	03/17/2009	03/20/2009	026874107	AIG	1970.0000	0.9621	1,895.34	29.33
3631	12/03/2008	12/08/2008	19247V104	RPF	1000.0000	2.7740	2,774.00	29.36
3632	06/29/2010	07/02/2010	58734P105	MBR	-950.0000	2.8000	(2,660.00)	29.41
3633	12/04/2008	12/09/2008	345370860	F	1000.0000	2.7785	2,778.50	29.44
3634	12/11/2007	12/14/2007	87163L103	BRLC	1000.0000	2.7785	2,778.50	29.44
3635	04/02/2008	04/07/2008	156492100	CNTY	904.0000	3.3200	3,001.28	29.41
3636	10/25/2006	10/30/2006	903213106	UQM	-1000.0000	2.7801	(2,780.10)	29.47
3637	10/27/2009	10/30/2009	64190A103	NRO	1000.0000	2.7800	2,780.00	29.47
3638	07/03/2008	07/09/2008	910873405	UMC	1000.0000	2.7800	2,780.00	29.47
3639	09/25/2008	09/30/2008	313400301	FRE	1000.0000	2.7800	2,780.00	29.47
3640	05/01/2006	05/04/2006	976524108	WTT	-1000.0000	2.7800	(2,780.00)	29.47
3641	06/23/2009	06/26/2009	90341W108	LCC	1077.0000	2.1799	2,347.75	29.48
3642	10/08/2010	10/14/2010	82967N108	SIRI	-1300.0000	1.2915	(1,678.95)	29.48
3643	07/01/2009	07/07/2009	370442105	GMGMQ	-2000.0000	1.0300	(2,060.00)	29.49
3644	04/17/2008	04/22/2008	749941100	RFMD	1000.0000	2.9595	2,959.50	29.50
3645	08/12/2010	08/17/2010	22544F103	DHY	916.0000	3.1790	2,911.96	29.51
3646	01/07/2009	01/12/2009	52729N100	LVL	2063.0000	0.9199	1,897.75	29.52
3647	02/04/2009	02/09/2009	35907K105	FTBK	2500.0000	1.3200	3,300.00	14.57
3648	01/06/2006	01/11/2006	05348W109	AVNX	2000.0000	1.0398	2,079.60	29.60

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3649	04/30/2009	05/05/2009	676220106	ODP	1000.0000	2.7886	2,788.60	29.64
3650	10/09/2008	10/15/2008	345370860	F	1000.0000	2.7890	2,789.00	29.65
3651	12/12/2008	12/17/2008	44982G104	IGR	-1000.0000	2.9800	(2,980.00)	29.66
3652	10/31/2008	11/05/2008	384871109	GKK	1000.0000	2.7900	2,790.00	29.66
3653	06/04/2008	06/09/2008	90338S102	HTM	1000.0000	2.7900	2,790.00	29.66
3654	04/03/2009	04/08/2009	172967101	C	1000.0000	2.7900	2,790.00	29.66
3655	12/02/2008	12/05/2008	345370860	F	1000.0000	2.7900	2,790.00	29.66
3656	08/21/2007	08/24/2007	11132B202	BCAS	1000.0000	2.7900	2,790.00	29.66
3657	11/19/2008	11/24/2008	19247V104	RPF	-1000.0000	2.7901	(2,790.10)	29.67
3658	10/20/2006	10/25/2006	31787A101	FNSR	-900.0000	3.7600	(3,384.00)	10.49
3659	02/05/2009	02/10/2009	524908100	LEHMQ	50000.0000	0.0380	1,900.00	29.69
3660	12/08/2008	12/11/2008	524908100	LEHMQ	50000.0000	0.0380	1,900.00	29.69
3661	08/20/2010	08/25/2010	27887F107	ECOB	-10000.0000	0.1900	(1,900.00)	29.69
3662	03/25/2009	03/30/2009	29362U104	ENTG	-2000.0000	0.9500	(1,900.00)	29.69
3663	10/02/2007	10/05/2007	928497106	VTSS	-2000.0000	0.9500	(1,900.00)	29.69
3664	05/17/2010	05/20/2010	29101R107	EHSI	2000.0000	0.9500	1,900.00	29.69
3665	05/17/2010	05/20/2010	105762108	BRZG	2000.0000	0.9500	1,900.00	29.69
3666	02/28/2006	03/03/2006	206827206	CNES	-1000000.0000	0.0019	(1,900.00)	29.69
3667	02/06/2009	02/11/2009	64126Q206	UWN	-2500.0000	0.7600	(1,900.00)	29.69
3668	10/14/2008	10/17/2008	85771Q100	STCA	5000.0000	0.3800	1,900.00	29.69
3669	10/05/2010	10/08/2010	984117101	XNNH	5000.0000	0.3800	1,900.00	29.69
3670	09/22/2006	09/27/2006	26884J104	ERHE	5000.0000	0.3800	1,900.00	29.69
3671	04/28/2006	05/03/2006	45768R305	INFN	-2000.0000	0.9500	(1,900.00)	29.69
3672	05/01/2006	05/04/2006	45768R305	INFN	-2000.0000	0.9500	(1,900.00)	29.69
3673	05/27/2010	06/02/2010	03077G106	AMEL	2000.0000	0.9500	1,900.00	29.69
3674	11/06/2007	11/09/2007	246911101	DLIA	1000.0000	2.9697	2,969.70	29.70
3675	12/11/2007	12/14/2007	207142100	CNXT	-1198.0000	1.1100	(1,329.78)	29.70
3676	09/04/2009	09/10/2009	87161C105	SNV	1000.0000	3.3390	3,339.00	12.80
3677	03/06/2009	03/11/2009	172967101	C	2000.0000	1.0400	2,080.00	29.78
3678	12/11/2009	12/16/2009	867328502	SNSS	1400.0000	2.0800	2,912.00	29.79
3679	07/20/2009	07/23/2009	17461R106	CTZN	5000.0000	0.5800	2,900.00	29.80
3680	09/15/2009	09/18/2009	720279108	PIR	1000.0000	2.7975	2,797.50	29.81
3681	03/18/2009	03/23/2009	783332109	RUTH	1800.0000	1.1500	2,070.00	29.81
3682	01/04/2007	01/09/2007	247361108	DALRQ	-2500.0000	1.3300	(3,325.00)	13.57
3683	12/29/2008	01/02/2009	345370860	F	1500.0000	2.1692	3,253.80	17.13
3684	09/15/2009	09/18/2009	720279108	PIR	1000.0000	2.7980	2,798.00	29.82
3685	06/14/2006	06/19/2006	032681108	ANLY	-1500.0000	2.1693	(3,253.95)	17.13
3686	11/21/2008	11/26/2008	370442105	GM	1000.0000	2.7985	2,798.50	29.83
3687	09/10/2007	09/13/2007	37945C202	GBRC	1000.0000	2.8000	2,800.00	29.86
3688	12/12/2008	12/17/2008	345370860	F	1000.0000	2.8000	2,800.00	29.86
3689	01/25/2006	01/30/2006	002083103	ATSI	1000.0000	2.8000	2,800.00	29.86
3690	09/25/2007	09/28/2007	31787A101	FNSR	-1000.0000	2.8000	(2,800.00)	29.86
3691	10/01/2008	10/06/2008	835916107	SONS	-1000.0000	2.8000	(2,800.00)	29.86
3692	12/02/2008	12/05/2008	345370860	F	1000.0000	2.8000	2,800.00	29.86
3693	12/12/2007	12/17/2007	82966U103	SIRI	1000.0000	2.8000	2,800.00	29.86
3694	12/16/2008	12/19/2008	427825104	HT	1000.0000	2.8000	2,800.00	29.86
3695	03/17/2006	03/22/2006	371485103	GNBT	1000.0000	2.8000	2,800.00	29.86
3696	09/16/2008	09/19/2008	026874107	AIG	1000.0000	2.8000	2,800.00	29.86
3697	02/16/2010	02/19/2010	87161C105	SNV	1000.0000	2.7999	2,799.90	29.86
3698	05/19/2010	05/24/2010	09255R103	DSU	-900.0000	3.7720	(3,394.80)	10.13
3699	09/26/2007	10/01/2007	131347106	CPNLQ	-1500.0000	1.6900	(2,535.00)	29.88
3700	04/09/2010	04/14/2010	63935M208	NAVI	-1000.0000	2.8020	(2,802.00)	29.90
3701	04/01/2008	04/04/2008	156492100	CNTY	907.0000	3.3080	3,000.36	29.89
3702	04/12/2010	04/15/2010	336453105	FSNM	4000.0000	0.6700	2,680.00	29.91
3703	01/03/2008	01/08/2008	483600300	KANA	-1100.0000	2.2000	(2,420.00)	29.91
3704	06/02/2010	06/07/2010	50213V109	LRAD	1700.0000	1.4473	2,460.41	29.94
3705	04/14/2008	04/17/2008	918914102	VLNC	-1000.0000	2.8050	(2,805.00)	29.95

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3706	05/30/2008	06/04/2008	252785100	DHCC	-1200.0000	1.7000	(2,040.00)	29.95
3707	04/11/2007	04/16/2007	743642100	PRTX	1175.0000	1.7000	1,997.50	29.95
3708	04/11/2006	04/17/2006	43741N103	HISC	27000.0000	0.0705	1,903.50	29.96
3709	07/02/2010	07/08/2010	172967101	C	850.0000	3.7789	3,212.07	19.37
3710	07/02/2010	07/08/2010	172967101	C	850.0000	3.7800	3,213.00	19.34
3711	06/05/2007	06/08/2007	67060N204	NTRZ	1000.0000	3.5600	3,560.00	2.00
3712	06/06/2007	06/11/2007	67060N204	NTRZ	1000.0000	3.5400	3,540.00	3.00
3713	06/13/2007	06/18/2007	67060N204	NTRZ	1000.0000	3.5100	3,510.00	4.50
3714	01/24/2008	01/29/2008	516548104	LTRX	5000.0000	0.7000	3,500.00	5.00
3715	07/05/2006	07/10/2006	000875104	ACGJ	3000.0000	1.1500	3,450.00	7.50
3716	12/03/2008	12/08/2008	40426W101	HRP	-1500.0000	2.2110	(3,316.50)	14.18
3717	04/07/2008	04/10/2008	781748108	RURL	1500.0000	2.1800	3,270.00	16.50
3718	09/14/2006	09/19/2006	197779101	CBRX	1000.0000	3.2670	3,267.00	16.65
3719	08/31/2006	09/06/2006	197779101	CBRX	1000.0000	3.2100	3,210.00	19.50
3720	01/17/2006	01/20/2006	85512C204	SGH	-1500.0000	2.1250	(3,187.50)	20.63
3721	04/07/2008	04/10/2008	781748108	RURL	1500.0000	2.1200	3,180.00	21.00
3722	08/22/2007	08/27/2007	19188U107	CDOC	3000.0000	1.0500	3,150.00	22.50
3723	11/12/2008	11/17/2008	422704106	HL	3000.0000	1.0200	3,060.00	27.00
3724	11/10/2009	11/16/2009	80534P200	SNVP	10000.0000	0.2950	2,950.00	30.00
3725	10/01/2009	10/06/2009	313586109	FNM	-2000.0000	1.4600	(2,920.00)	30.00
3726	10/01/2009	10/06/2009	313586109	FNM	-2000.0000	1.4600	(2,920.00)	30.00
3727	02/27/2009	03/04/2009	169453107	CTFO	1000.0000	2.9025	2,902.50	30.00
3728	02/27/2009	03/04/2009	169453107	CTFO	1000.0000	2.8777	2,877.70	30.00
3729	02/01/2008	02/06/2008	28659T200	ELI	3000.0000	0.9100	2,730.00	30.00
3730	03/05/2009	03/10/2009	721467108	PGPDQ	-3000.0000	0.9100	(2,730.00)	30.00
3731	02/01/2008	02/06/2008	28659T200	ELI	3000.0000	0.9100	2,730.00	30.00
3732	03/20/2009	03/25/2009	026874107	AIG	2000.0000	1.3050	2,610.00	30.00
3733	05/27/2009	06/01/2009	865378103	SUF	-2000.0000	1.2500	(2,500.00)	30.00
3734	04/24/2007	04/27/2007	023111107	AMRN	-3000.0000	0.8200	(2,460.00)	30.00
3735	01/06/2009	01/09/2009	781748108	RURL	1200.0000	1.8900	2,268.00	30.00
3736	04/24/2007	04/27/2007	023111107	AMRN	3000.0000	0.7500	2,250.00	30.00
3737	07/31/2007	08/03/2007	000875104	ACGJ	9000.0000	0.2044	1,839.60	30.00
3738	03/22/2006	03/27/2006	549463107	LU	-1000.0000	2.8101	(2,810.10)	30.05
3739	03/23/2009	03/26/2009	105368203	BDN	1000.0000	2.8100	2,810.00	30.05
3740	11/06/2006	11/09/2006	279285100	EDAC	1000.0000	2.8100	2,810.00	30.05
3741	07/21/2009	07/24/2009	172967101	C	-1000.0000	2.8100	(2,810.00)	30.05
3742	08/21/2008	08/26/2008	313400301	FRE	1000.0000	2.8100	2,810.00	30.05
3743	02/17/2006	02/23/2006	549463107	LU	-1000.0000	2.8100	(2,810.00)	30.05
3744	01/31/2007	02/05/2007	85857R105	STEM	-1000.0000	2.8100	(2,810.00)	30.05
3745	01/12/2006	01/18/2006	989414107	ZIF	1000.0000	2.8100	2,810.00	30.05
3746	12/05/2006	12/08/2006	247126105	DPHIQ	1000.0000	2.8100	2,810.00	30.05
3747	10/31/2008	11/05/2008	52729N100	LVLTL	2000.0000	1.0500	2,100.00	30.07
3748	03/20/2009	03/25/2009	370442105	GM	-1000.0000	2.8120	(2,812.00)	30.09
3749	05/01/2007	05/04/2007	232838204	GTF	-2000.0000	1.0510	(2,102.00)	30.10
3750	06/29/2010	07/02/2010	172967101	C	-900.0000	3.7900	(3,411.00)	9.58
3751	08/13/2010	08/18/2010	172967101	C	840.0000	3.9285	3,299.94	15.18
3752	06/30/2009	07/06/2009	19105E103	CGCA	-5000.0000	0.6000	(3,000.00)	30.18
3753	10/20/2008	10/23/2008	44977W106	PPR	-845.0000	3.8500	(3,253.25)	17.53
3754	05/05/2010	05/10/2010	023139108	ABK	2000.0000	1.4990	2,998.00	30.19
3755	03/11/2009	03/16/2009	172967101	C	2000.0000	1.5000	3,000.00	30.22
3756	03/04/2010	03/09/2010	150934503	CTIC	2500.0000	0.7628	1,907.00	30.23
3757	03/07/2006	03/10/2006	002083103	ATSI	1000.0000	2.8200	2,820.00	30.24
3758	10/11/2006	10/16/2006	45810J103	ITKG	1000.0000	2.8200	2,820.00	30.24
3759	05/15/2009	05/20/2009	427825104	HT	-1000.0000	2.8200	(2,820.00)	30.24
3760	02/23/2006	02/28/2006	549463107	LU	-1000.0000	2.8200	(2,820.00)	30.24
3761	05/11/2006	05/16/2006	037271103	ANTS	-1000.0000	2.8200	(2,820.00)	30.24
3762	04/10/2007	04/13/2007	023111107	AMRN	1000.0000	2.8199	2,819.90	30.24

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3763	12/08/2009	12/11/2009	59000K309	MHGU	-2137.0000	1.0170	(2,173.33)	30.24
3764	02/25/2009	03/02/2009	607500105	MDVX	1400.0000	2.1000	2,940.00	30.25
3765	07/28/2009	07/31/2009	912023207	UXG	-1000.0000	2.8210	(2,821.00)	30.26
3766	07/14/2010	07/19/2010	16934Q109	CIM	875.0000	3.7991	3,324.21	14.06
3767	02/01/2006	02/06/2006	922281100	VSTY	900.0000	3.7999	3,419.91	9.28
3768	10/11/2006	10/16/2006	84761G107	SGMG	-4000.0000	0.5800	(2,320.00)	30.29
3769	09/02/2009	09/08/2009	313586109	FNM	1300.0000	1.3200	1,716.00	30.29
3770	09/25/2008	09/30/2008	313400301	FRE	-1000.0000	2.8235	(2,823.50)	30.31
3771	11/13/2007	11/16/2007	351465109	FXPE	-2000.0000	1.0600	(2,120.00)	30.36
3772	02/20/2009	02/25/2009	060505104	BAC	1000.0000	2.8270	2,827.00	30.38
3773	12/08/2008	12/11/2008	17285T106	CDL	10000.0000	0.1909	1,909.00	30.38
3774	03/16/2006	03/21/2006	98849X205	YUKR	3090.0000	0.8700	2,688.30	30.39
3775	12/03/2007	12/06/2007	82966U103	SIRI	875.0000	3.8082	3,332.18	13.80
3776	02/20/2009	02/25/2009	44982G104	IGR	1000.0000	2.8288	2,828.80	30.41
3777	06/17/2009	06/22/2009	916896103	UEC	1000.0000	2.8290	2,829.00	30.42
3778	02/15/2006	02/21/2006	922281100	VSTY	900.0000	3.8100	3,429.00	8.98
3779	04/04/2008	04/09/2008	98425R200	YTBLA	886.0000	3.8100	3,375.66	11.65
3780	03/25/2009	03/30/2009	172967101	C	1000.0000	2.8299	2,829.90	30.43
3781	11/27/2007	11/30/2007	17285T106	CDL	-1500.0000	2.2000	(3,300.00)	15.44
3782	02/06/2006	02/09/2006	262241102	DSCM	-1000.0000	2.8303	(2,830.30)	30.44
3783	03/07/2006	03/10/2006	549463107	LU	-1000.0000	2.8301	(2,830.10)	30.44
3784	02/14/2006	02/17/2006	549463107	LU	1000.0000	2.8300	2,830.00	30.44
3785	12/21/2006	12/27/2006	45768R305	IMEN	-2000.0000	0.9550	(1,910.00)	30.46
3786	12/17/2008	12/22/2008	37247D106	GNW	1000.0000	3.3792	3,379.20	11.53
3787	09/17/2008	09/22/2008	26200S101	DHF	-1039.0000	2.7101	(2,815.79)	30.51
3788	10/06/2009	10/09/2009	64190A103	NRO	-986.0000	2.8341	(2,794.42)	30.52
3789	09/26/2008	10/01/2008	026874107	AIG	920.0000	3.1890	2,933.88	30.53
3790	10/10/2008	10/16/2008	345370860	F	1210.0000	2.2890	2,769.69	30.53
3791	03/23/2009	03/26/2009	345370860	F	1000.0000	2.8360	2,836.00	30.55
3792	11/17/2009	11/20/2009	028723104	AEZ	1000.0000	2.8360	2,836.00	30.55
3793	09/25/2008	09/30/2008	313400301	FRE	1000.0000	2.8365	2,836.50	30.56
3794	06/24/2010	06/29/2010	172967101	C	867.0000	3.8190	3,311.07	15.02
3795	12/03/2008	12/08/2008	345370860	F	1000.0000	2.8385	2,838.50	30.60
3796	12/03/2008	12/08/2008	345370860	F	1000.0000	2.8385	2,838.50	30.60
3797	06/11/2009	06/16/2009	30033R108	ESLR	1000.0000	2.8390	2,839.00	30.61
3798	03/29/2010	04/01/2010	59065A105	MSEH	1000.0000	2.8400	2,840.00	30.63
3799	02/24/2006	03/01/2006	002083103	ATSI	1000.0000	2.8400	2,840.00	30.63
3800	02/13/2006	02/16/2006	207142100	CNXT	1000.0000	2.8400	2,840.00	30.63
3801	01/25/2008	01/30/2008	91688T104	URZ	1000.0000	2.8399	2,839.90	30.63
3802	12/29/2006	01/04/2007	318465101	FACT	-1500.0000	2.2100	(3,315.00)	14.89
3803	04/02/2009	04/07/2009	01903Q108	ALD	-1200.0000	1.7302	(2,076.24)	30.64
3804	06/12/2009	06/17/2009	370442105	GMGMQ	-1777.0000	1.1800	(2,096.86)	30.65
3805	07/05/2007	07/10/2007	68750J107	OLGC	-1225.0000	1.4008	(1,715.98)	30.65
3806	07/07/2006	07/12/2006	032681108	ANLY	-1500.0000	2.2113	(3,316.95)	14.81
3807	08/31/2009	09/03/2009	099469504	WEL	2000.0000	1.5197	3,039.40	28.71
3808	01/26/2009	01/29/2009	64190A103	NRO	-1162.0000	1.7320	(2,012.58)	30.69
3809	02/19/2010	02/24/2010	30208Q109	EXBX	-139000.0000	0.0250	(3,475.00)	6.99
3810	03/12/2009	03/17/2009	552953101	MGM	1000.0000	2.8480	2,848.00	30.78
3811	11/14/2008	11/19/2008	370442105	GM	940.0000	2.9785	2,799.79	30.78
3812	01/11/2006	01/17/2006	98849X205	YUKR	3500.0000	0.7700	2,695.00	30.78
3813	11/21/2008	11/26/2008	370442105	GM	1000.0000	2.8485	2,848.50	30.79
3814	12/03/2008	12/08/2008	345370860	F	1000.0000	2.8485	2,848.50	30.79
3815	10/05/2007	10/11/2007	455688101	IDGG	10000.0000	0.2500	2,500.00	30.79
3816	04/24/2007	04/27/2007	72766F109	PLTG	10000.0000	0.2500	2,500.00	30.79
3817	02/01/2008	02/06/2008	91801E109	UITA	-40000.0000	0.0625	(2,500.00)	30.79
3818	02/01/2006	02/06/2006	17887P106	CKRH	10000.0000	0.2500	2,500.00	30.79
3819	03/12/2007	03/15/2007	009253105	ABEW	10000.0000	0.2500	2,500.00	30.79

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3820	03/06/2007	03/09/2007	009253105	ABEW	10000.0000	0.2500	2,500.00	30.79
3821	08/24/2007	08/29/2007	84844T100	SPKL	5000.0000	0.5000	2,500.00	30.79
3822	05/23/2008	05/29/2008	53015G106	LBTI	-5000.0000	0.5000	(2,500.00)	30.79
3823	01/11/2007	01/17/2007	09060V109	BOCX	-5000.0000	0.6352	(3,176.00)	22.00
3824	10/08/2008	10/14/2008	989834106	ZF	1000.0000	2.8490	2,849.00	30.80
3825	03/26/2007	03/29/2007	373686104	GVCN	1000.0000	3.4000	3,400.00	10.81
3826	01/10/2007	01/16/2007	360912307	FMDAD	1000.0000	3.4000	3,400.00	10.81
3827	03/23/2009	03/26/2009	345370860	F	-1000.0000	2.8496	(2,849.60)	30.81
3828	09/22/2008	09/25/2008	052660107	AUTH	1500.0000	2.2190	3,328.50	14.40
3829	10/01/2009	10/06/2009	14052H506	CT	-1000.0000	2.8501	(2,850.10)	30.82
3830	08/08/2008	08/13/2008	00431M308	ACCP	1000.0000	2.8500	2,850.00	30.82
3831	12/27/2007	01/02/2008	97717P104	WSDT	1000.0000	2.8500	2,850.00	30.82
3832	12/19/2008	12/24/2008	345370860	F	1000.0000	2.8500	2,850.00	30.82
3833	04/02/2009	04/07/2009	345370860	F	1000.0000	2.8500	2,850.00	30.82
3834	06/17/2010	06/22/2010	916896103	UEC	1000.0000	2.8500	2,850.00	30.82
3835	12/03/2008	12/08/2008	345370860	F	1000.0000	2.8500	2,850.00	30.82
3836	11/19/2008	11/24/2008	920961109	VVR	1000.0000	2.8500	2,850.00	30.82
3837	10/27/2006	11/01/2006	247126105	DPHIQ	1000.0000	2.8500	2,850.00	30.82
3838	07/07/2006	07/12/2006	032681108	ANLY	-1500.0000	2.2200	(3,330.00)	14.33
3839	08/25/2006	08/30/2006	922281100	VSTY	900.0000	3.8389	3,455.01	8.11
3840	03/26/2009	03/31/2009	345370860	F	-1000.0000	2.8520	(2,852.00)	30.86
3841	01/05/2010	01/08/2010	21839P107	CBAI	133000.0000	0.0144	1,915.20	30.86
3842	05/24/2007	05/30/2007	150921104	CEGE	900.0000	3.8400	3,456.00	8.08
3843	06/15/2006	06/20/2006	44982L103	IPIX	2000.0000	1.0795	2,159.00	30.92
3844	04/07/2009	04/13/2009	378973408	GSAT	4000.0000	0.4790	1,916.00	30.92
3845	08/20/2009	08/25/2009	68812R303	OSCIQ	40000.0000	0.0479	1,916.00	30.92
3846	07/19/2007	07/24/2007	39167H109	GCHC	10000.0000	0.1916	1,916.00	30.92
3847	09/08/2008	09/11/2008	313400301	FRE	2000.0000	1.0797	2,159.40	30.93
3848	06/25/2008	06/30/2008	749121109	Q	915.0000	3.8800	3,550.20	3.43
3849	03/23/2009	03/26/2009	345370860	F	1000.0000	2.8560	2,856.00	30.94
3850	12/07/2006	12/12/2006	584070106	MDFI	-6000.0000	0.5800	(3,480.00)	6.97
3851	01/09/2009	01/14/2009	34958B106	FIG	1100.0000	2.2500	2,475.00	30.97
3852	10/28/2009	11/02/2009	532275104	LWLG	1082.0000	2.2500	2,434.50	30.97
3853	03/13/2009	03/18/2009	345370860	F	1500.0000	2.2270	3,340.50	13.96
3854	01/09/2009	01/14/2009	989834106	ZF	1000.0000	2.8582	2,858.20	30.98
3855	03/25/2009	03/30/2009	345370860	F	1000.0000	2.8580	2,858.00	30.98
3856	02/25/2009	03/02/2009	65686Y109	NGA	1000.0000	2.8588	2,858.80	30.99
3857	01/10/2006	01/13/2006	002083103	ATSI	1000.0000	2.8590	2,859.00	31.00
3858	11/24/2008	11/28/2008	466090107	JASO	1000.0000	2.8590	2,859.00	31.00
3859	10/27/2009	10/30/2009	92532R203	VCSY	-100000.0000	0.0267	(2,670.00)	31.00
3860	02/26/2008	02/29/2008	349862300	FORD	-1500.0000	2.2287	(3,343.05)	13.86
3861	03/22/2006	03/27/2006	247126105	DPHIQ	-1397.0000	1.0100	(1,410.97)	31.01
3862	04/18/2008	04/23/2008	042797100	ARWR	-1000.0000	2.8604	(2,860.40)	31.02
3863	01/20/2009	01/23/2009	74347R743	UYG	1000.0000	2.8600	2,860.00	31.02
3864	01/22/2008	01/25/2008	093679108	BBI	-1000.0000	2.8600	(2,860.00)	31.02
3865	11/07/2008	11/13/2008	989834106	ZF	1000.0000	2.8600	2,860.00	31.02
3866	01/30/2007	02/02/2007	85857R105	STEM	-1000.0000	2.8600	(2,860.00)	31.02
3867	08/08/2007	08/13/2007	16117M107	CHTR	1000.0000	2.8600	2,860.00	31.02
3868	08/08/2007	08/13/2007	16117M107	CHTR	1000.0000	2.8600	2,860.00	31.02
3869	09/17/2007	09/20/2007	812224202	SRCH	1000.0000	2.8600	2,860.00	31.02
3870	04/03/2006	04/06/2006	989414107	ZIF	-1000.0000	2.8600	(2,860.00)	31.02
3871	04/03/2006	04/06/2006	989414107	ZIF	-1000.0000	2.8600	(2,860.00)	31.02
3872	02/17/2006	02/23/2006	549463107	LU	-1000.0000	2.8600	(2,860.00)	31.02
3873	12/22/2009	12/28/2009	71902E109	PNX	-1000.0000	2.8610	(2,861.00)	31.03
3874	03/02/2009	03/05/2009	345370860	F	-1881.0000	1.8513	(3,482.30)	6.94
3875	10/17/2008	10/22/2008	989834106	ZF	1000.0000	2.8620	2,862.00	31.05
3876	06/13/2006	06/16/2006	247126105	DPHIQ	-2500.0000	1.3800	(3,450.00)	8.56

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3877	07/13/2006	07/18/2006	17887P106	CKRH	10000.0000	0.2700	2,700.00	31.07
3878	08/29/2008	09/04/2008	72346Y107	PENC	3000.0000	0.9000	2,700.00	31.07
3879	04/02/2009	04/07/2009	48023P106	JSDA	-3400.0000	0.8600	(2,924.00)	31.10
3880	10/09/2008	10/15/2008	313586109	FNM	-1325.0000	1.0615	(1,406.49)	31.12
3881	12/23/2008	12/29/2008	026874107	AIG	2000.0000	1.5400	3,080.00	27.15
3882	09/08/2006	09/13/2006	16117M107	CHTR	2000.0000	1.5400	3,080.00	27.15
3883	09/20/2010	09/23/2010	45810J103	ITKG	3750.0000	0.7800	2,925.00	31.15
3884	03/10/2009	03/13/2009	595112103	MU	1000.0000	2.8670	2,867.00	31.15
3885	09/24/2007	09/27/2007	23126R101	CRGN	-1300.0000	1.3500	(1,755.00)	31.15
3886	09/21/2006	09/26/2006	21254V100	CSHD	2200.0000	1.0477	2,304.94	31.16
3887	03/11/2009	03/16/2009	552953101	MGM	1000.0000	2.8680	2,868.00	31.17
3888	12/04/2008	12/09/2008	345370860	F	1000.0000	2.8685	2,868.50	31.18
3889	12/03/2008	12/08/2008	345370860	F	1000.0000	2.8685	2,868.50	31.18
3890	08/31/2006	09/06/2006	46612J101	JDSU	-1500.0000	2.1492	(3,223.80)	20.01
3891	06/28/2007	07/03/2007	871630109	SYNM	1000.0000	2.8694	2,869.40	31.20
3892	08/31/2006	09/06/2006	05348W109	AVNX	1500.0000	1.8908	2,836.20	31.20
3893	08/17/2009	08/20/2009	58734P105	MBR	1000.0000	2.8700	2,870.00	31.21
3894	09/21/2006	09/26/2006	21254V100	CSHD	2200.0000	1.0500	2,310.00	31.22
3895	04/13/2007	04/18/2007	747399103	QFAB	2000.0000	1.0899	2,179.80	31.22
3896	06/25/2007	06/28/2007	651360109	NWGN	8000.0000	0.2400	1,920.00	31.23
3897	06/07/2007	06/12/2007	663303105	NWOG	30000.0000	0.0640	1,920.00	31.23
3898	10/29/2007	11/01/2007	724059100	PPDA	3000.0000	0.6400	1,920.00	31.23
3899	09/05/2006	09/08/2006	282914100	EGHT	-2000.0000	0.9601	(1,920.20)	31.25
3900	02/06/2006	02/09/2006	91349P103	USXP	-250000.0000	0.0070	(1,750.00)	31.25
3901	06/10/2009	06/15/2009	039209101	KAD	-3000.0000	0.6401	(1,920.30)	31.26
3902	10/11/2010	10/14/2010	364664102	GMTD	-1000.0000	2.8750	(2,875.00)	31.30
3903	04/15/2009	04/20/2009	313148306	AGM	950.0000	2.9000	2,755.00	31.31
3904	12/17/2008	12/22/2008	65370F101	NCV	-904.0000	3.4406	(3,110.30)	25.81
3905	01/26/2006	01/31/2006	92644A106	VTYC	25000.0000	0.1230	3,075.00	27.57
3906	03/24/2009	03/27/2009	345370860	F	1000.0000	2.8760	2,876.00	31.32
3907	01/26/2010	01/29/2010	27887F107	ECOB	3250.0000	0.5912	1,921.40	31.34
3908	10/22/2008	10/27/2008	82967N108	SIRI	-10000.0000	0.3187	(3,187.00)	22.00
3909	03/27/2009	04/01/2009	345370860	F	1000.0000	2.8780	2,878.00	31.36
3910	11/18/2008	11/21/2008	370442105	GM	-1000.0000	2.8800	(2,880.00)	31.40
3911	01/30/2007	02/02/2007	344798202	VIFL	1000.0000	2.8800	2,880.00	31.40
3912	10/17/2008	10/22/2008	15133V208	CYCL	1000.0000	2.8800	2,880.00	31.40
3913	12/19/2008	12/24/2008	345370860	F	1000.0000	2.8800	2,880.00	31.40
3914	09/19/2008	09/24/2008	678046103	BQJ	1000.0000	2.8800	2,880.00	31.40
3915	10/31/2006	11/03/2006	247126105	DPHIQ	1000.0000	2.8800	2,880.00	31.40
3916	04/10/2007	04/13/2007	023111107	AMRN	1000.0000	2.8800	2,880.00	31.40
3917	10/06/2009	10/09/2009	817337405	SQNM	-1000.0000	2.8800	(2,880.00)	31.40
3918	04/21/2009	04/24/2009	49803T102	KRG	-1000.0000	2.8820	(2,882.00)	31.44
3919	06/04/2007	06/07/2007	82966U103	SIRI	-1000.0000	2.8820	(2,882.00)	31.44
3920	06/04/2007	06/07/2007	82966U103	SIRI	-1000.0000	2.8820	(2,882.00)	31.44
3921	01/10/2008	01/15/2008	829214105	SLP	-1000.0000	2.8840	(2,884.00)	31.48
3922	03/30/2009	04/02/2009	427825104	HT	1200.0000	1.7667	2,120.04	31.49
3923	03/16/2009	03/19/2009	026874107	AIG	2400.0000	0.8014	1,923.36	31.49
3924	08/08/2008	08/13/2008	74758R109	QBAK	-1000.0000	2.8875	(2,887.50)	31.55
3925	07/22/2010	07/27/2010	37990W103	GHLV	8742.0000	0.2500	2,185.50	31.55
3926	05/08/2008	05/13/2008	86770A104	SUNV	1300.0000	1.3638	1,772.94	31.55
3927	11/07/2008	11/13/2008	989834106	ZF	1000.0000	2.8885	2,888.50	31.56
3928	06/15/2007	06/20/2007	88078Q302	TEXG	1000.0000	2.8900	2,890.00	31.59
3929	11/23/2007	11/28/2007	87163L103	BRLC	1000.0000	2.8900	2,890.00	31.59
3930	06/04/2007	06/07/2007	82966U103	SIRI	-1000.0000	2.8900	(2,890.00)	31.59
3931	12/18/2009	12/23/2009	64190A103	NRO	1000.0000	2.8900	2,890.00	31.59
3932	04/10/2007	04/13/2007	023111107	AMRN	1000.0000	2.8900	2,890.00	31.59
3933	12/19/2008	12/24/2008	345370860	F	1000.0000	2.8900	2,890.00	31.59

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3934	12/19/2008	12/24/2008	345370860	F	1000.0000	2.8900	2,890.00	31.59
3935	07/21/2006	07/26/2006	23126R101	CRGN	1000.0000	2.8900	2,890.00	31.59
3936	09/13/2010	09/16/2010	16411R208	LNG	1000.0000	2.8896	2,889.60	31.59
3937	03/18/2008	03/24/2008	69336V101	PGTI	-1000.0000	2.7015	(2,701.50)	31.60
3938	10/18/2006	10/23/2006	893524108	TNSB	-5350.0000	0.4900	(2,621.50)	31.62
3939	10/15/2008	10/20/2008	767754104	RAD	2500.0000	0.7700	1,925.00	31.62
3940	03/17/2006	03/22/2006	007928104	ADVR	-35000.0000	0.0550	(1,925.00)	31.62
3941	09/30/2008	10/03/2008	929903102	WB	1500.0000	2.2600	3,390.00	12.13
3942	06/18/2007	06/21/2007	82966U103	SIRI	-1000.0000	2.8920	(2,892.00)	31.63
3943	03/11/2008	03/14/2008	48023P106	JSDA	-1000.0000	2.8940	(2,894.00)	31.67
3944	02/01/2007	02/06/2007	29256X107	ENCY	1000.0000	3.4594	3,459.40	8.77
3945	02/10/2009	02/13/2009	370442105	GM	1000.0000	2.8990	2,899.00	31.77
3946	03/01/2006	03/06/2006	100843705	BLSI	1000.0000	2.8990	2,899.00	31.77
3947	03/19/2009	03/24/2009	172967101	C	1000.0000	2.8999	2,899.90	31.78
3948	07/23/2009	07/28/2009	49803T102	KRG	-1000.0000	2.9001	(2,900.10)	31.79
3949	04/24/2009	04/29/2009	721467108	PGPDQ	-1000.0000	2.9000	(2,900.00)	31.79
3950	07/14/2008	07/17/2008	269246104	ETFC	1000.0000	2.9000	2,900.00	31.79
3951	09/30/2008	10/03/2008	929903102	WB	1000.0000	2.9000	2,900.00	31.79
3952	05/05/2009	05/08/2009	56086P202	MMUS	-1000.0000	2.9000	(2,900.00)	31.79
3953	11/11/2008	11/14/2008	370442105	GM	1000.0000	2.9000	2,900.00	31.79
3954	03/16/2007	03/21/2007	97750V109	WIZD	-1000.0000	2.9000	(2,900.00)	31.79
3955	01/11/2006	01/17/2006	038188306	ADSX	-1000.0000	2.9000	(2,900.00)	31.79
3956	10/09/2009	10/15/2009	64190A103	NRO	-1000.0000	2.9000	(2,900.00)	31.79
3957	03/05/2007	03/08/2007	987413101	UBET	1000.0000	2.9000	2,900.00	31.79
3958	11/20/2006	11/24/2006	89784T101	TNEN	1000.0000	2.9000	2,900.00	31.79
3959	06/04/2009	06/09/2009	02503Y103	ACAS	1000.0000	2.9000	2,900.00	31.79
3960	04/10/2007	04/13/2007	023111107	AMRN	1000.0000	2.9000	2,900.00	31.79
3961	04/10/2007	04/13/2007	023111107	AMRN	1000.0000	2.9000	2,900.00	31.79
3962	10/22/2007	10/25/2007	46489V104	JSR	-1000.0000	2.9000	(2,900.00)	31.79
3963	07/21/2006	07/26/2006	23126R101	CRGN	995.0000	2.9000	2,885.50	31.79
3964	02/23/2009	02/26/2009	147154108	CACB	2000.0000	1.1093	2,218.60	31.79
3965	11/28/2006	12/01/2006	74765E109	QTWV	-1200.0000	1.7800	(2,136.00)	31.80
3966	04/11/2007	04/16/2007	75886X108	RGN	1100.0000	2.2899	2,518.89	31.81
3967	02/20/2009	02/25/2009	52729N100	LVL	5000.0000	0.6998	3,499.00	6.90
3968	03/10/2009	03/13/2009	172967101	C	2300.0000	1.3100	3,013.00	31.21
3969	09/18/2009	09/23/2009	64190A103	NRO	950.0000	2.9299	2,783.41	31.88
3970	02/13/2006	02/16/2006	171779101	CIEN	-900.0000	3.7079	(3,337.11)	15.03
3971	06/26/2009	07/01/2009	521865105	LEA	-5000.0000	0.3857	(1,928.50)	31.89
3972	03/05/2009	03/10/2009	195493309	CNB	-10000.0000	0.3500	(3,500.00)	6.90
3973	12/10/2008	12/15/2008	844909101	SWTX	5000.0000	0.7000	3,500.00	6.90
3974	11/20/2006	11/24/2006	87929J103	TSYS	-1000.0000	3.2881	(3,288.10)	17.50
3975	10/14/2008	10/17/2008	749121109	Q	1000.0000	2.9060	2,906.00	31.90
3976	10/14/2008	10/17/2008	749121109	Q	1000.0000	2.9070	2,907.00	31.92
3977	02/18/2009	02/23/2009	037023108	AHR	1500.0000	1.3627	2,044.05	31.93
3978	10/29/2008	11/03/2008	989834106	ZF	1000.0000	2.9085	2,908.50	31.95
3979	08/21/2009	08/26/2009	75734R105	FRZ	-900.0000	3.9130	(3,521.70)	5.88
3980	08/31/2009	09/03/2009	233384106	SRQ	-1000.0000	2.9101	(2,910.10)	31.98
3981	12/01/2008	12/04/2008	345370860	F	1000.0000	2.9100	2,910.00	31.98
3982	10/28/2009	11/02/2009	027297100	ALN	1000.0000	2.9100	2,910.00	31.98
3983	10/16/2009	10/21/2009	88650R108	TONE	1000.0000	2.9110	2,911.00	32.00
3984	03/22/2006	03/27/2006	05348W109	AVNX	-1000.0000	2.7280	(2,728.00)	32.00
3985	09/18/2009	09/23/2009	64190A103	NRO	-1000.0000	2.9120	(2,912.00)	32.02
3986	03/25/2009	03/30/2009	345370860	F	-1000.0000	2.9120	(2,912.00)	32.02
3987	11/20/2006	11/24/2006	42236H100	HTLJ	-4500.0000	0.5600	(2,520.00)	32.02
3988	01/11/2006	01/17/2006	002083103	ATSI	1000.0000	2.9150	2,915.00	32.08
3989	05/18/2006	05/23/2006	222814204	DVW	-1500.0000	1.7801	(2,670.15)	32.09
3990	08/21/2009	08/26/2009	75734R105	FRZ	-900.0000	3.9220	(3,529.80)	5.61

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
3991	10/26/2010	10/29/2010	989834106	ZF	925.0000	3.2185	2,977.11	32.10
3992	10/10/2008	10/16/2008	59318E102	CMU	-1250.0000	2.1680	(2,710.00)	32.11
3993	11/24/2006	11/29/2006	667280101	NWACQ	876.0000	3.9234	3,436.90	10.28
3994	04/15/2010	04/20/2010	051057107	AUGT	1500.0000	1.3700	2,055.00	32.12
3995	10/07/2008	10/10/2008	749121109	Q	1000.0000	2.9185	2,918.50	32.14
3996	08/30/2010	09/02/2010	82967N108	SIRI	-2000.0000	0.9659	(1,931.80)	32.14
3997	02/12/2009	02/18/2009	74347R743	UYG	1000.0000	2.9190	2,919.00	32.15
3998	10/08/2008	10/14/2008	345370860	F	1000.0000	2.9200	2,920.00	32.17
3999	03/17/2006	03/22/2006	549463107	LU	-1000.0000	2.9200	(2,920.00)	32.17
4000	04/27/2007	05/02/2007	43738R109	HMB	-1000.0000	2.9200	(2,920.00)	32.17
4001	08/07/2009	08/12/2009	02503Y103	ACAS	-1000.0000	2.9200	(2,920.00)	32.17
4002	08/07/2009	08/12/2009	02503Y103	ACAS	-1000.0000	2.9200	(2,920.00)	32.17
4003	03/24/2009	03/27/2009	172967101	C	1000.0000	2.9200	2,920.00	32.17
4004	07/26/2007	07/31/2007	82966U103	SIRI	1000.0000	2.9200	2,920.00	32.17
4005	06/20/2007	06/25/2007	131347106	CPNLQ	1000.0000	2.9200	2,920.00	32.17
4006	01/30/2009	02/04/2009	446150104	HBAN	1000.0000	2.9200	2,920.00	32.17
4007	12/19/2008	12/24/2008	37247D106	GNW	1000.0000	2.9199	2,919.90	32.17
4008	10/05/2006	10/11/2006	05348W109	AVNX	-2000.0000	1.5189	(3,037.80)	30.31
4009	11/15/2007	11/20/2007	70336N107	PTSC	-5000.0000	0.5889	(2,944.50)	32.20
4010	03/25/2009	03/30/2009	055639108	BPZ	850.0000	3.9295	3,340.08	15.21
4011	11/18/2008	11/21/2008	003009107	FAX	-850.0000	3.9300	(3,340.50)	15.20
4012	08/07/2007	08/10/2007	45254P102	IMH	2000.0000	1.5865	3,173.00	23.57
4013	05/03/2010	05/06/2010	88630M104	TPI	1000.0000	3.4899	3,489.90	7.73
4014	09/15/2006	09/20/2006	549463107	LU	-1100.0000	2.3101	(2,541.11)	32.24
4015	03/30/2007	04/04/2007	6435EV108	NEWC	-1350.0000	1.0500	(1,417.50)	32.24
4016	06/05/2008	06/10/2008	74963H102	RSF	-1000.0000	2.9240	(2,924.00)	32.25
4017	12/03/2009	12/08/2009	984314104	YAVY	-870.0000	3.9338	(3,422.41)	11.15
4018	07/25/2008	07/30/2008	292052107	NYNY	1000.0000	2.9250	2,925.00	32.27
4019	10/24/2008	10/29/2008	313586109	FNM	4000.0000	0.6802	2,720.80	32.27
4020	03/09/2006	03/14/2006	235811106	DCNAQ	-2952.0000	0.8550	(2,523.96)	32.27
4021	10/22/2008	10/27/2008	989834106	ZF	960.0000	2.9260	2,808.96	32.29
4022	07/28/2009	07/31/2009	767754104	RAD	-1250.0000	1.3900	(1,737.50)	32.30
4023	11/14/2006	11/17/2006	98950P108	ZHNE	-1250.0000	1.3900	(1,737.50)	32.30
4024	10/22/2008	10/27/2008	92340P100	VRNM	-1400.0000	1.0520	(1,472.80)	32.30
4025	12/04/2008	12/09/2008	345370860	F	1000.0000	2.9285	2,928.50	32.34
4026	10/29/2008	11/03/2008	989834106	ZF	1000.0000	2.9285	2,928.50	32.34
4027	06/17/2009	06/22/2009	172967101	C	920.0000	3.2900	3,026.80	31.01
4028	12/12/2008	12/17/2008	370442105	GM	1000.0000	2.9292	2,929.20	32.35
4029	01/21/2009	01/26/2009	74347R743	UYG	1000.0000	2.9290	2,929.00	32.35
4030	07/17/2009	07/22/2009	30208Q109	EXBX	-39000.0000	0.0900	(3,510.00)	6.86
4031	02/21/2006	02/24/2006	879604106	TKO	-850.0000	3.9401	(3,349.09)	14.92
4032	11/11/2008	11/14/2008	370442105	GM	1000.0000	2.9300	2,930.00	32.37
4033	12/01/2008	12/04/2008	345370860	F	-1000.0000	2.9300	(2,930.00)	32.37
4034	08/03/2007	08/08/2007	131347106	CPNLQ	1000.0000	2.9300	2,930.00	32.37
4035	04/27/2009	04/30/2009	427093109	HERO	-1000.0000	2.9300	(2,930.00)	32.37
4036	10/10/2008	10/16/2008	530158104	USA	-1000.0000	2.9300	(2,930.00)	32.37
4037	04/06/2009	04/09/2009	82967N108	SIRI	5522.0000	0.3504	1,934.91	32.38
4038	09/23/2009	09/28/2009	337930101	FBC	-2000.0000	1.1300	(2,260.00)	32.39
4039	12/28/2006	01/03/2007	131347106	CPNLQ	-2000.0000	1.1300	(2,260.00)	32.39
4040	11/04/2009	11/09/2009	75734R105	FRZ	-875.0000	3.9430	(3,450.13)	9.90
4041	06/02/2006	06/07/2006	98147M109	WGMGY	-1500.0000	2.3000	(3,450.00)	9.92
4042	10/25/2006	10/30/2006	97750V109	WIZD	1500.0000	2.3000	3,450.00	9.92
4043	05/02/2007	05/07/2007	231269101	CRIS	1500.0000	2.3000	3,450.00	9.92
4044	11/01/2006	11/06/2006	14160C100	CTE	1500.0000	2.3000	3,450.00	9.92
4045	12/01/2008	12/04/2008	345370860	F	-1500.0000	2.3027	(3,454.05)	9.78
4046	03/19/2009	03/24/2009	466090107	JASO	1025.0000	2.4499	2,511.15	32.48
4047	08/10/2007	08/15/2007	89989X105	TTEG	-5900.0000	0.5000	(2,950.00)	32.50

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4048	01/23/2006	01/26/2006	286186101	EGLF	10000.0000	0.2950	2,950.00	32.50
4049	12/03/2008	12/08/2008	192481100	RWF	1000.0000	2.9369	2,936.90	32.50
4050	09/22/2008	09/25/2008	796043107	SSN	2000.0000	1.5999	3,199.80	22.54
4051	07/11/2007	07/16/2007	825636103	SHMT	-2000.0000	1.6000	(3,200.00)	22.54
4052	09/08/2008	09/11/2008	74765E109	QTWW	-2000.0000	1.6000	(3,200.00)	22.54
4053	09/29/2008	10/02/2008	635405103	NCC	2000.0000	1.6000	3,200.00	22.54
4054	01/09/2006	01/12/2006	002083103	ATSI	1000.0000	2.9390	2,939.00	32.54
4055	07/01/2009	07/07/2009	277461109	EK	1000.0000	2.9398	2,939.80	32.55
4056	06/05/2009	06/10/2009	427825104	HT	-1000.0000	2.9401	(2,940.10)	32.56
4057	12/23/2008	12/29/2008	370442105	GM	-1000.0000	2.9400	(2,940.00)	32.56
4058	04/20/2009	04/23/2009	172967101	C	1000.0000	2.9400	2,940.00	32.56
4059	02/05/2008	02/08/2008	97750V109	WIZD	1000.0000	2.9400	2,940.00	32.56
4060	09/30/2008	10/03/2008	929903102	WB	-1000.0000	2.9400	(2,940.00)	32.56
4061	03/01/2006	03/06/2006	04289L107	ARTG	1000.0000	2.9400	2,940.00	32.56
4062	10/13/2008	10/16/2008	026874107	AIG	1000.0000	2.9399	2,939.90	32.56
4063	09/24/2007	09/27/2007	45972C102	INIS	-4000.0000	0.5500	(2,200.00)	32.56
4064	04/13/2006	04/19/2006	59139P104	MSLV	1000.0000	3.1306	3,130.60	26.07
4065	06/30/2010	07/06/2010	82967N108	SIRI	2000.0000	0.9689	1,937.80	32.61
4066	09/30/2008	10/03/2008	026874107	AIG	950.0000	2.9695	2,821.03	32.63
4067	07/17/2006	07/20/2006	247361108	DALRQ	-4650.0000	0.6350	(2,952.75)	32.65
4068	12/05/2006	12/08/2006	922281100	VSTY	-1900.0000	1.4000	(2,660.00)	32.65
4069	08/20/2010	08/25/2010	09255R103	DSU	850.0000	3.9597	3,365.75	14.37
4070	10/01/2009	10/06/2009	320744105	FMFC	1000.0000	2.9454	2,945.40	32.66
4071	09/27/2006	10/02/2006	549463107	LU	-1100.0000	2.3301	(2,563.11)	32.67
4072	03/23/2009	03/26/2009	062401104	GRAN	-1125.0000	1.9100	(2,148.75)	32.68
4073	11/05/2008	11/10/2008	92336G106	VSUNQ	33000.0000	0.0895	2,953.50	32.69
4074	03/09/2009	03/12/2009	370442105	GM	-1700.0000	1.5520	(2,638.40)	32.69
4075	11/05/2009	11/10/2009	88630M104	TPI	1000.0000	3.5199	3,519.90	6.70
4076	02/19/2010	02/24/2010	989834106	ZF	-925.0000	3.2510	(3,007.18)	32.34
4077	04/26/2007	05/01/2007	82966U103	SIRI	1000.0000	2.9480	2,948.00	32.71
4078	04/10/2006	04/13/2006	69562E104	PRZ	1200.0000	1.8192	2,183.04	32.71
4079	02/25/2009	03/02/2009	44982G104	IGR	1000.0000	2.9488	2,948.80	32.73
4080	05/04/2010	05/07/2010	87161C105	SNV	1000.0000	2.9496	2,949.60	32.74
4081	04/09/2009	04/15/2009	172967101	C	-1000.0000	2.9501	(2,950.10)	32.75
4082	02/18/2009	02/23/2009	172967101	C	-1000.0000	2.9500	(2,950.00)	32.75
4083	01/03/2007	01/08/2007	045346103	APNB	1000.0000	2.9500	2,950.00	32.75
4084	08/13/2007	08/16/2007	131347106	CPNLQ	1000.0000	2.9500	2,950.00	32.75
4085	12/31/2008	01/06/2009	52729N100	LVLTL	3000.0000	0.6467	1,940.10	32.78
4086	03/13/2006	03/16/2006	16117M107	CHTR	-2000.0000	0.9700	(1,940.00)	32.78
4087	08/13/2008	08/18/2008	98425R200	YTBLA	2000.0000	0.9700	1,940.00	32.78
4088	09/29/2009	10/02/2009	67060U109	NPHC	2000.0000	0.9700	1,940.00	32.78
4089	01/13/2006	01/19/2006	711153106	PPLB	-1008.0000	2.6000	(2,620.80)	32.79
4090	08/20/2010	08/25/2010	09255T109	ARK	850.0000	3.9694	3,373.99	14.10
4091	01/13/2006	01/19/2006	46612J101	JDSU	1000.0000	3.1328	3,132.80	26.16
4092	01/13/2006	01/19/2006	46612J101	JDSU	1000.0000	3.1028	3,102.80	27.66
4093	06/15/2006	06/20/2006	04289L107	ARTG	-1000.0000	2.7672	(2,767.20)	32.80
4094	03/08/2006	03/13/2006	922281100	VSTY	900.0000	3.9700	3,573.00	4.16
4095	03/27/2009	04/01/2009	456607100	IDMCQ	-50000.0000	0.0546	(2,730.00)	32.81
4096	07/25/2006	07/28/2006	05348W109	AVNX	2300.0000	1.4495	3,333.85	16.16
4097	08/21/2008	08/26/2008	82967N108	SIRI	-1300.0000	1.4100	(1,833.00)	32.87
4098	10/08/2009	10/14/2009	062401104	GRAN	-3475.0000	0.6401	(2,224.35)	32.89
4099	08/20/2010	08/25/2010	09255T109	ARK	850.0000	3.9765	3,380.03	13.91
4100	03/23/2009	03/26/2009	19247W102	RLF	-1117.0000	1.9708	(2,201.38)	32.91
4101	03/11/2008	03/14/2008	84763A108	SPPI	1000.0000	2.9600	2,960.00	32.94
4102	10/13/2006	10/18/2006	957669104	ZIF	-1000.0000	2.9600	(2,960.00)	32.94
4103	07/30/2009	08/04/2009	208464883	CNO	1000.0000	2.9599	2,959.90	32.94
4104	02/14/2006	02/17/2006	46612J101	JDSU	-970.0000	2.7714	(2,688.26)	32.94

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4105	10/14/2010	10/19/2010	82967N108	SIRI	-1500.0000	1.4015	(2,102.25)	32.94
4106	06/03/2010	06/08/2010	172967101	C	900.0000	3.9790	3,581.10	3.89
4107	08/22/2008	08/27/2008	313400301	FRE	-970.0000	2.9604	(2,871.59)	32.95
4108	09/03/2010	09/09/2010	09255T109	ARK	900.0000	3.9800	3,582.00	3.86
4109	03/04/2009	03/09/2009	446150104	HBAN	2000.0000	1.1500	2,300.00	32.96
4110	02/08/2010	02/11/2010	313400301	FRE	-2000.0000	1.1510	(2,302.00)	32.99
4111	06/01/2007	06/06/2007	67060N204	NTRZ	1000.0000	3.4050	3,405.00	12.75
4112	03/07/2006	03/10/2006	290925106	EMFP	2000.0000	1.6200	3,240.00	21.00
4113	07/13/2010	07/16/2010	47023A101	JMBA	1500.0000	2.3307	3,496.05	8.23
4114	04/16/2010	04/21/2010	67575P108	OCLS	1500.0000	2.3307	3,496.05	8.23
4115	09/27/2010	09/30/2010	74766A106	QTMM	-37000.0000	0.0800	(2,960.00)	33.04
4116	01/07/2008	01/10/2008	869327106	SSTP	60743.0000	0.0320	1,943.78	33.07
4117	12/31/2007	01/04/2008	349862300	FORD	-1500.0000	2.3345	(3,501.75)	8.01
4118	06/22/2007	06/27/2007	82966U103	SIRI	1000.0000	2.9680	2,968.00	33.10
4119	02/22/2006	02/27/2006	46612J101	JDSU	-1000.0000	2.7869	(2,786.90)	33.10
4120	04/12/2006	04/18/2006	59139P104	MSLV	-1000.0000	2.7789	(2,778.90)	33.10
4121	09/13/2007	09/18/2007	872340104	TSI	-840.0000	4.1301	(3,469.28)	9.65
4122	11/27/2007	11/30/2007	29254Q104	ECI	-1600.0000	1.9100	(3,056.00)	30.31
4123	12/11/2008	12/16/2008	345370860	F	1000.0000	2.9685	2,968.50	33.11
4124	01/24/2007	01/29/2007	29405D101	EVCC	-1000.0000	2.9700	(2,970.00)	33.14
4125	12/19/2008	12/24/2008	345370860	F	1000.0000	2.9700	2,970.00	33.14
4126	01/24/2008	01/29/2008	82966U103	SIRI	-1000.0000	2.9700	(2,970.00)	33.14
4127	04/20/2007	04/25/2007	82966U103	SIRI	-1000.0000	2.9700	(2,970.00)	33.14
4128	05/25/2006	05/31/2006	207142100	CNXT	1000.0000	2.9700	2,970.00	33.14
4129	10/13/2008	10/16/2008	026874107	AIG	1000.0000	2.9700	2,970.00	33.14
4130	04/24/2006	04/27/2006	549463107	LU	-1000.0000	2.9700	(2,970.00)	33.14
4131	04/21/2010	04/26/2010	204512107	CTT	1000.0000	2.9699	2,969.90	33.14
4132	11/06/2009	11/12/2009	88630M104	TPI	1000.0000	3.5500	3,550.00	5.67
4133	11/05/2009	11/10/2009	88630M104	TPI	1000.0000	3.5500	3,550.00	5.67
4134	12/31/2007	01/04/2008	82966U103	SIRI	-1000.0000	2.9718	(2,971.80)	33.17
4135	05/03/2010	05/06/2010	313400624	FRE.PRZ	-1650.0000	1.5706	(2,591.49)	33.18
4136	12/03/2008	12/08/2008	379302102	GRT	1200.0000	1.8399	2,207.88	33.18
4137	01/17/2006	01/20/2006	549463107	LU	-1018.0000	2.5400	(2,585.72)	33.19
4138	04/19/2006	04/24/2006	565011103	MANU	-1500.0000	2.3390	(3,508.50)	7.77
4139	10/02/2008	10/07/2008	026874107	AIG	900.0000	4.0000	3,600.00	3.26
4140	07/10/2009	07/15/2009	370442105	GMGMQ	1940.0000	1.1600	2,250.40	33.26
4141	09/22/2008	09/25/2008	82967N108	SIRI	2100.0000	0.9269	1,946.49	33.28
4142	04/11/2008	04/16/2008	82966U103	SIRI	-1025.0000	2.4900	(2,552.25)	33.29
4143	07/24/2009	07/29/2009	7591EP100	RF	1000.0000	3.5598	3,559.80	5.33
4144	04/26/2010	04/29/2010	458738101	ILI	3700.0000	0.7006	2,592.22	33.32
4145	03/09/2007	03/14/2007	74082G209	PSVI	59000.0000	0.0330	1,947.00	33.32
4146	08/31/2010	09/03/2010	095333100	BLU	1000.0000	2.9800	2,980.00	33.33
4147	12/01/2008	12/04/2008	345370860	F	1000.0000	2.9800	2,980.00	33.33
4148	06/03/2008	06/06/2008	500117106	KDKN	1000.0000	2.9800	2,980.00	33.33
4149	12/12/2008	12/17/2008	345370860	F	-1000.0000	2.9800	(2,980.00)	33.33
4150	09/16/2008	09/19/2008	026874107	AIG	1000.0000	2.9799	2,979.90	33.33
4151	04/13/2007	04/18/2007	023111107	AMRN	1000.0000	2.9799	2,979.90	33.33
4152	11/24/2008	11/28/2008	66704R100	NRF	-1000.0000	2.9810	(2,981.00)	33.35
4153	05/29/2009	06/03/2009	64190A103	NRO	-1130.0000	1.9101	(2,158.41)	33.37
4154	12/27/2007	01/02/2008	04349Y107	ASDS	-3300.0000	0.5903	(1,947.99)	33.39
4155	04/10/2006	04/13/2006	918914102	VLNC	-1500.0000	2.3500	(3,525.00)	7.17
4156	04/10/2006	04/13/2006	918914102	VLNC	-1500.0000	2.3500	(3,525.00)	7.17
4157	04/17/2009	04/22/2009	026874107	AIG	-2000.0000	1.6400	(3,280.00)	19.46
4158	02/01/2007	02/06/2007	65715D100	NASI	1500.0000	1.0860	1,629.00	33.46
4159	06/02/2008	06/05/2008	56400P201	MNKD	1000.0000	2.9885	2,988.50	33.49
4160	03/27/2006	03/30/2006	05348W109	AVNX	1000.0000	3.1735	3,173.50	24.83
4161	02/10/2006	02/15/2006	59139P104	MSLV	974.0000	3.1784	3,095.76	28.71

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4162	01/08/2007	01/11/2007	03062Y104	AWNE	1100.0000	2.3700	2,607.00	33.51
4163	08/31/2010	09/03/2010	095333100	BLU	1000.0000	2.9900	2,990.00	33.52
4164	08/31/2010	09/03/2010	095333100	BLU	1000.0000	2.9900	2,990.00	33.52
4165	08/31/2010	09/03/2010	095333100	BLU	1000.0000	2.9900	2,990.00	33.52
4166	05/22/2009	05/28/2009	817337405	SQNM	1000.0000	2.9900	2,990.00	33.52
4167	03/24/2009	03/27/2009	172967101	C	1000.0000	2.9900	2,990.00	33.52
4168	04/09/2009	04/15/2009	172967101	C	1000.0000	2.9900	2,990.00	33.52
4169	12/07/2006	12/12/2006	957669104	ZIF	-1000.0000	2.9900	(2,990.00)	33.52
4170	11/30/2006	12/05/2006	85857R105	STEM	1000.0000	2.9900	2,990.00	33.52
4171	08/14/2009	08/19/2009	989834106	ZF	1000.0000	2.9899	2,989.90	33.52
4172	02/24/2009	02/27/2009	989837109	ZTR	-1000.0000	2.9906	(2,990.60)	33.53
4173	03/04/2009	03/09/2009	055639108	BPZ	-955.0000	2.9901	(2,855.55)	33.53
4174	10/09/2009	10/15/2009	82967N108	SIRI	5000.0000	0.5485	2,742.50	33.53
4175	12/19/2008	12/24/2008	30033R108	ESLR	-1000.0000	2.9910	(2,991.00)	33.54
4176	09/30/2008	10/03/2008	635405103	NCC	-1136.0000	1.8810	(2,136.82)	33.54
4177	12/12/2008	12/17/2008	554225102	MCBC	1000.0000	2.9916	2,991.60	33.55
4178	08/26/2010	08/31/2010	989834106	ZF	-1000.0000	2.9915	(2,991.50)	33.55
4179	04/14/2010	04/19/2010	458738101	ILI	-2500.0000	0.7800	(1,950.00)	33.55
4180	11/06/2006	11/09/2006	904622206	UCOI	300000.0000	0.0065	1,950.00	33.55
4181	08/16/2010	08/19/2010	02152E101	AEGY	25000.0000	0.0780	1,950.00	33.55
4182	12/18/2008	12/23/2008	98385L102	XSNX	-10000.0000	0.1950	(1,950.00)	33.55
4183	11/16/2007	11/21/2007	89989X105	TTEG	-3000.0000	0.6500	(1,950.00)	33.55
4184	09/13/2007	09/18/2007	285848107	ELST	-2600.0000	0.7500	(1,950.00)	33.55
4185	05/21/2009	05/27/2009	36870F107	GNLK	15000.0000	0.1300	1,950.00	33.55
4186	04/16/2010	04/21/2010	440591105	HNIN	10000.0000	0.1950	1,950.00	33.55
4187	12/12/2006	12/15/2006	70322M105	PPRG	-3000.0000	0.6500	(1,950.00)	33.55
4188	07/13/2010	07/16/2010	65338J104	NXWI	5000.0000	0.3900	1,950.00	33.55
4189	03/29/2006	04/03/2006	247126105	DPHIQ	-3000.0000	0.6500	(1,950.00)	33.55
4190	02/19/2010	02/24/2010	58489M109	MDMN	150000.0000	0.0130	1,950.00	33.55
4191	10/15/2009	10/20/2009	88650R108	TONE	1000.0000	2.9920	2,992.00	33.56
4192	12/10/2009	12/15/2009	74624D102	PSRU	33000.0000	0.0900	2,970.00	33.58
4193	05/23/2006	05/26/2006	871562203	SYGR	-900.0000	3.8160	(3,434.40)	11.88
4194	01/10/2007	01/16/2007	457642205	INOD	1000.0000	2.9952	2,995.20	33.62
4195	05/19/2009	05/22/2009	344437405	FONR	1000.0000	2.9950	2,995.00	33.62
4196	04/21/2009	04/24/2009	859319105	STSA	1000.0000	2.9960	2,996.00	33.64
4197	04/17/2006	04/20/2006	59163F105	INFO	-6100.0000	0.5800	(3,538.00)	6.75
4198	09/19/2006	09/22/2006	630075109	NGEN	1200.0000	1.8600	2,232.00	33.65
4199	06/04/2009	06/09/2009	553769100	MNTG	1150.0000	1.8600	2,139.00	33.65
4200	03/23/2009	03/26/2009	721467108	PGPDQ	1040.0000	2.3952	2,491.01	33.68
4201	11/24/2008	11/28/2008	026874107	AIG	2000.0000	1.6500	3,300.00	18.69
4202	08/26/2010	08/31/2010	989834106	ZF	1000.0000	2.9985	2,998.50	33.69
4203	01/13/2006	01/19/2006	46612J101	JDSU	-1000.0000	2.8163	(2,816.30)	33.70
4204	01/25/2006	01/30/2006	293848107	ENTU	900.0000	4.0300	3,627.00	2.36
4205	05/28/2009	06/02/2009	452907108	IMMU	-1500.0000	2.3654	(3,548.10)	6.32
4206	10/02/2009	10/07/2009	233384106	SRQ	-1000.0000	3.0004	(3,000.40)	33.70
4207	04/20/2009	04/23/2009	172967101	C	1000.0000	3.0000	3,000.00	33.72
4208	09/26/2008	10/01/2008	026874107	AIG	1000.0000	3.0000	3,000.00	33.72
4209	06/29/2007	07/05/2007	82966U103	SIRI	-1000.0000	3.0000	(3,000.00)	33.72
4210	07/29/2009	08/03/2009	172967101	C	1000.0000	3.0000	3,000.00	33.72
4211	05/24/2010	05/27/2010	943526301	WAVX	-1000.0000	3.0000	(3,000.00)	33.72
4212	05/24/2010	05/27/2010	943526301	WAVX	-1000.0000	3.0000	(3,000.00)	33.72
4213	12/19/2008	12/24/2008	345370860	F	1000.0000	3.0000	3,000.00	33.72
4214	11/12/2008	11/17/2008	370442105	GM	1000.0000	3.0000	3,000.00	33.72
4215	08/06/2008	08/11/2008	65336K103	NXST	-1000.0000	3.0000	(3,000.00)	33.72
4216	01/23/2009	01/28/2009	172967101	C	1000.0000	3.0000	3,000.00	33.72
4217	10/02/2008	10/07/2008	635405103	NCC	1000.0000	3.0000	3,000.00	33.72
4218	10/07/2008	10/10/2008	345370860	F	-1000.0000	3.0000	(3,000.00)	33.72

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4219	09/19/2008	09/24/2008	026874107	AIG	1000.0000	3.0000	3,000.00	33.72
4220	02/18/2009	02/23/2009	172967101	C	1000.0000	3.0000	3,000.00	33.72
4221	08/04/2009	08/07/2009	90341W108	LCC	1000.0000	3.0000	3,000.00	33.72
4222	10/20/2010	10/25/2010	05348P401	AVNR	-1000.0000	3.0000	(3,000.00)	33.72
4223	10/09/2009	10/15/2009	92823T108	VHC	1000.0000	3.0000	3,000.00	33.72
4224	05/20/2008	05/23/2008	18145M109	GLA	1000.0000	3.0000	3,000.00	33.72
4225	12/23/2009	12/29/2009	64190A103	NRO	-1000.0000	3.0000	(3,000.00)	33.72
4226	01/08/2008	01/11/2008	82966U103	SIRI	-1000.0000	3.0000	(3,000.00)	33.72
4227	10/07/2008	10/10/2008	345370860	F	1000.0000	3.0000	3,000.00	33.72
4228	11/21/2008	11/26/2008	09255M104	CYE	1000.0000	3.0000	3,000.00	33.72
4229	12/11/2008	12/16/2008	345370860	F	-1000.0000	3.0000	(3,000.00)	33.72
4230	07/31/2007	08/03/2007	232828301	CYTR	-1000.0000	3.0000	(3,000.00)	33.72
4231	06/30/2008	07/03/2008	916896103	UEC	1000.0000	3.0000	3,000.00	33.72
4232	02/10/2006	02/15/2006	651360109	NWGN	-1000.0000	3.0000	(3,000.00)	33.72
4233	10/08/2009	10/14/2009	655484103	NCLC	1000.0000	3.0000	3,000.00	33.72
4234	06/02/2006	06/07/2006	86681V106	SNMO	-1000.0000	3.0000	(3,000.00)	33.72
4235	02/22/2006	02/27/2006	192185106	CFPC	1000.0000	3.0000	3,000.00	33.72
4236	03/24/2006	03/29/2006	20914T109	CEIW	-1000.0000	3.0000	(3,000.00)	33.72
4237	03/18/2009	03/23/2009	172967101	C	1000.0000	3.0000	3,000.00	33.72
4238	11/08/2006	11/13/2006	528872104	LEXG	900.0000	4.0333	3,629.97	2.26
4239	10/07/2008	10/10/2008	026874107	AIG	1000.0000	3.5900	3,590.00	4.30
4240	11/15/2006	11/20/2006	922281100	VSTY	-1500.0000	2.3716	(3,557.40)	5.98
4241	06/03/2008	06/06/2008	72919P103	PLUG	1000.0000	3.0080	3,008.00	33.45
4242	11/13/2008	11/18/2008	370442105	GM	1000.0000	3.0085	3,008.50	33.44
4243	03/05/2009	03/10/2009	25459W508	FAS	1000.0000	3.0094	3,009.40	33.40
4244	11/20/2008	11/25/2008	370442105	GM	-1000.0000	3.0100	(3,010.00)	33.38
4245	06/28/2007	07/03/2007	82966U103	SIRI	-1000.0000	3.0100	(3,010.00)	33.38
4246	01/10/2007	01/16/2007	957669104	ZIF	-1000.0000	3.0100	(3,010.00)	33.38
4247	08/06/2010	08/11/2010	56176Q102	MNAP	-5000.0000	0.5100	(2,550.00)	33.88
4248	02/01/2008	02/06/2008	05348W109	AVNX	3000.0000	0.9113	2,733.90	33.90
4249	10/13/2010	10/18/2010	47023A101	JMBA	1500.0000	2.3753	3,562.95	5.77
4250	09/10/2008	09/15/2008	41383L104	HWFG	-1000.0000	3.0130	(3,013.00)	33.28
4251	04/26/2010	04/29/2010	051057107	AUGT	-1500.0000	1.4400	(2,160.00)	33.94
4252	03/12/2009	03/17/2009	713278109	PBY	-1000.0000	3.6000	(3,600.00)	3.96
4253	08/14/2009	08/19/2009	172967101	C	-876.0000	4.0501	(3,547.89)	6.62
4254	06/03/2010	06/08/2010	427093109	HERO	1000.0000	3.0185	3,018.50	33.10
4255	02/08/2006	02/13/2006	457642205	INOD	1000.0000	3.0200	3,020.00	33.05
4256	06/15/2006	06/20/2006	001712108	AMCS	1000.0000	3.0200	3,020.00	33.05
4257	07/06/2010	07/09/2010	925602104	VICL	-1000.0000	3.0200	(3,020.00)	33.05
4258	09/25/2007	09/28/2007	351465109	FXPE	-1000.0000	3.0200	(3,020.00)	33.05
4259	10/08/2008	10/14/2008	345370860	F	1000.0000	3.0200	3,020.00	33.05
4260	02/20/2009	02/25/2009	060505104	BAC	1000.0000	3.0200	3,020.00	33.05
4261	03/29/2006	04/03/2006	549463107	LU	-980.0000	3.0200	(2,959.60)	34.05
4262	01/24/2006	01/27/2006	002083103	ATSI	1000.0000	3.0220	3,022.00	32.98
4263	05/12/2009	05/15/2009	370442105	GM	-1342.0000	1.1100	(1,489.62)	34.09
4264	01/07/2009	01/12/2009	52729N100	LVL	2138.0000	0.9154	1,957.13	34.10
4265	07/21/2009	07/24/2009	150837409	CVM	-6800.0000	0.3907	(2,656.76)	34.12
4266	02/15/2006	02/21/2006	380795104	GAPJ	-2000.0000	1.1900	(2,380.00)	34.12
4267	12/10/2008	12/15/2008	92342Y109	PAY	-900.0000	4.0606	(3,654.54)	1.43
4268	03/05/2007	03/08/2007	150921104	CEGE	1000.0000	3.0282	3,028.20	32.77
4269	01/13/2006	01/19/2006	097924104	BBA	1000.0000	3.0280	3,028.00	32.78
4270	10/08/2008	10/14/2008	345370860	F	1000.0000	3.0290	3,029.00	32.75
4271	01/13/2006	01/19/2006	097924104	BBA	1000.0000	3.0290	3,029.00	32.75
4272	12/03/2008	12/08/2008	59318T109	CIF	1500.0000	1.4500	2,175.00	34.20
4273	02/10/2009	02/13/2009	74347R743	UYG	1000.0000	3.0300	3,030.00	32.71
4274	01/23/2009	01/28/2009	446150104	HBAN	1000.0000	3.0300	3,030.00	32.71
4275	04/04/2006	04/07/2006	549463107	LU	1000.0000	3.0300	3,030.00	32.71

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4276	12/11/2008	12/16/2008	345370860	F	1000.0000	3.0300	3,030.00	32.71
4277	04/04/2006	04/07/2006	549463107	LU	1000.0000	3.0300	3,030.00	32.71
4278	03/19/2009	03/24/2009	74347R743	UYG	-1000.0000	3.0300	(3,030.00)	32.71
4279	11/02/2006	11/07/2006	85857R105	STEM	1000.0000	3.0300	3,030.00	32.71
4280	08/18/2006	08/23/2006	23126R101	CRGN	1000.0000	3.0300	3,030.00	32.71
4281	07/13/2010	07/16/2010	989834106	ZF	-1000.0000	3.0310	(3,031.00)	32.68
4282	07/13/2010	07/16/2010	989834106	ZF	-1000.0000	3.0310	(3,031.00)	32.68
4283	02/09/2007	02/14/2007	806732103	SCTN	-30000.0000	0.0653	(1,959.00)	34.24
4284	05/28/2009	06/02/2009	452907108	IMMU	-1500.0000	2.3930	(3,589.50)	4.79
4285	11/05/2009	11/10/2009	55970P203	MDOR	2000.0000	1.1950	2,390.00	34.27
4286	04/05/2006	04/10/2006	925391104	VTAI	9700.0000	0.2800	2,716.00	34.30
4287	10/28/2010	11/02/2010	52729N100	LVL	2000.0000	0.9800	1,960.00	34.32
4288	10/25/2006	10/30/2006	38982Q203	GAMN	2000.0000	0.9800	1,960.00	34.32
4289	02/27/2006	03/02/2006	65410X104	NIHK	14000.0000	0.1400	1,960.00	34.32
4290	01/04/2007	01/09/2007	45768R305	IMEN	-2000.0000	0.9800	(1,960.00)	34.32
4291	10/03/2008	10/08/2008	313400301	FRE	1200.0000	1.8900	2,268.00	34.34
4292	01/04/2006	01/09/2006	46612J101	JDSU	-1500.0000	2.3071	(3,460.65)	11.32
4293	12/08/2008	12/11/2008	345370860	F	1000.0000	3.0385	3,038.50	32.43
4294	11/18/2008	11/21/2008	370442105	GM	1000.0000	3.0385	3,038.50	32.43
4295	10/17/2008	10/22/2008	635405103	NCC	1000.0000	3.0390	3,039.00	32.41
4296	07/16/2010	07/21/2010	837841105	TSFG	-7145.0000	0.2744	(1,960.59)	34.36
4297	03/05/2010	03/10/2010	55973B102	MHR	1000.0000	3.0394	3,039.40	32.40
4298	03/31/2009	04/03/2009	517834107	LVS	-1000.0000	3.0402	(3,040.20)	32.37
4299	12/29/2009	01/04/2010	023850100	APP	-1000.0000	3.0401	(3,040.10)	32.38
4300	05/16/2007	05/21/2007	131347106	CPNLQ	1000.0000	3.0400	3,040.00	32.38
4301	03/28/2006	03/31/2006	05348W109	AVNX	1000.0000	3.2244	3,224.40	23.18
4302	03/07/2008	03/12/2008	767754104	RAD	1500.0000	2.4000	3,600.00	4.41
4303	09/08/2008	09/11/2008	313586109	FNM	2000.0000	1.2000	2,400.00	34.41
4304	08/30/2006	09/05/2006	82966U103	SIRI	-890.0000	4.0800	(3,631.20)	2.89
4305	08/21/2009	08/26/2009	427825104	HT	-1000.0000	3.2720	(3,272.00)	20.86
4306	11/20/2007	11/26/2007	269246104	ETFC	817.0000	4.3058	3,517.84	8.58
4307	03/05/2009	03/10/2009	852061100	S	1000.0000	3.0460	3,046.00	32.18
4308	03/05/2010	03/10/2010	268315108	EDCI	-1000.0000	3.0468	(3,046.80)	32.15
4309	01/19/2010	01/22/2010	27887F107	ECOB	5000.0000	0.6500	3,250.00	22.02
4310	08/20/2010	08/25/2010	989834106	ZF	1000.0000	3.0485	3,048.50	32.10
4311	02/09/2009	02/12/2009	447011107	HUN	1000.0000	3.0500	3,050.00	32.04
4312	07/13/2010	07/16/2010	989834106	ZF	-1000.0000	3.0500	(3,050.00)	32.04
4313	07/01/2010	07/07/2010	337347108	FHI	1000.0000	3.0500	3,050.00	32.04
4314	09/25/2008	09/30/2008	026874107	AIG	1000.0000	3.0499	3,049.90	32.05
4315	01/27/2006	02/01/2006	052776101	AIMM	-3000.0000	0.9200	(2,760.00)	34.54
4316	05/04/2009	05/07/2009	716748108	PQ	900.0000	4.0866	3,677.94	0.65
4317	08/26/2010	08/31/2010	172967101	C	-903.0000	3.6715	(3,315.36)	18.78
4318	07/29/2009	08/03/2009	172967101	C	-1000.0000	3.0501	(3,050.10)	32.05
4319	07/14/2010	07/19/2010	989834106	ZF	-1000.0000	3.0510	(3,051.00)	32.01
4320	11/17/2008	11/20/2008	440694305	ZINC	-1000.0000	3.0520	(3,052.00)	31.98
4321	01/25/2006	01/30/2006	125750109	CMGI	-1300.0000	1.4700	(1,911.00)	34.59
4322	11/20/2008	11/25/2008	688582105	OSTE	-1600.0000	1.9800	(3,168.00)	26.20
4323	01/05/2007	01/10/2007	885535104	COMS	-900.0000	4.0910	(3,681.90)	0.51
4324	10/22/2010	10/27/2010	172967101	C	-896.0000	4.0916	(3,666.07)	1.32
4325	12/02/2008	12/05/2008	19247L106	RQI	-1000.0000	3.0560	(3,056.00)	31.84
4326	09/17/2009	09/22/2009	720279108	PIR	1000.0000	3.0566	3,056.60	31.82
4327	02/19/2009	02/24/2009	370442105	GM	-1125.0000	2.0000	(2,250.00)	34.66
4328	09/01/2010	09/07/2010	095333100	BLU	1000.0000	3.0582	3,058.20	31.77
4329	09/01/2010	09/07/2010	095333100	BLU	1000.0000	3.0582	3,058.20	31.77
4330	04/28/2009	05/01/2009	783332109	RUTH	-1000.0000	3.0581	(3,058.10)	31.78
4331	08/14/2008	08/19/2008	58047P107	MCGC	1000.0000	3.0590	3,059.00	31.74
4332	12/01/2008	12/04/2008	466090107	JASO	1000.0000	3.0590	3,059.00	31.74

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4333	12/01/2006	12/06/2006	713661106	PPHM	-2000.0000	1.2100	(2,420.00)	34.70
4334	10/21/2009	10/26/2009	037032109	AGEN	-2000.0000	1.2100	(2,420.00)	34.70
4335	07/14/2009	07/17/2009	277461109	EK	-1100.0000	2.9102	(3,201.22)	24.65
4336	07/09/2007	07/12/2007	82966U103	SIRI	-1000.0000	3.0600	(3,060.00)	31.71
4337	02/16/2006	02/22/2006	457642205	INOD	1000.0000	3.0600	3,060.00	31.71
4338	07/22/2010	07/27/2010	989834106	ZF	1000.0000	3.0600	3,060.00	31.71
4339	05/02/2006	05/05/2006	353515109	FEP	1000.0000	3.0600	3,060.00	31.71
4340	10/25/2007	10/30/2007	59101M105	MBRX	-1000.0000	3.0600	(3,060.00)	31.71
4341	03/31/2006	04/05/2006	549463107	LU	1000.0000	3.0600	3,060.00	31.71
4342	07/09/2010	07/14/2010	925602104	VICL	-1000.0000	3.0605	(3,060.50)	31.70
4343	03/09/2010	03/12/2010	82967N108	SIRI	2200.0000	0.8934	1,965.48	34.74
4344	07/23/2009	07/28/2009	449788108	IIA	-899.0000	4.1000	(3,685.90)	0.45
4345	09/18/2009	09/23/2009	389465204	GRMH	1000.0000	3.0626	3,062.60	31.62
4346	02/23/2009	02/26/2009	370442105	GM	1500.0000	1.8900	2,835.00	34.79
4347	06/13/2007	06/18/2007	073677106	BCON	-2850.0000	0.9001	(2,565.29)	34.82
4348	05/31/2006	06/05/2006	032681108	ANLY	-1500.0000	2.4223	(3,633.45)	3.18
4349	09/18/2008	09/23/2008	739308104	PWER	2000.0000	1.7000	3,400.00	14.85
4350	11/18/2009	11/23/2009	319383105	BUSE	-921.0000	3.4300	(3,159.03)	26.90
4351	06/04/2010	06/09/2010	427093109	HERO	1000.0000	3.0685	3,068.50	31.43
4352	05/20/2010	05/25/2010	989834106	ZF	1000.0000	3.0685	3,068.50	31.43
4353	11/14/2008	11/19/2008	370442105	GM	1000.0000	3.0685	3,068.50	31.43
4354	03/06/2009	03/11/2009	060505104	BAC	1000.0000	3.0693	3,069.30	31.40
4355	08/06/2010	08/11/2010	52729N100	LVLTL	-1341.0000	1.1350	(1,522.04)	34.86
4356	06/16/2006	06/21/2006	265338202	DNE	1000.0000	3.0700	3,070.00	31.38
4357	02/24/2006	03/01/2006	928497106	VTSS	-1000.0000	3.0700	(3,070.00)	31.38
4358	07/18/2006	07/21/2006	922281100	VSTY	1000.0000	3.0700	3,070.00	31.38
4359	07/21/2006	07/26/2006	922281100	VSTY	1000.0000	3.0700	3,070.00	31.38
4360	05/10/2007	05/15/2007	957669104	ZIF	-1000.0000	3.0700	(3,070.00)	31.38
4361	05/07/2009	05/12/2009	561911108	HYF	-1300.0000	1.4800	(1,924.00)	34.88
4362	08/15/2008	08/20/2008	82967N108	SIRI	1300.0000	1.4800	1,924.00	34.88
4363	12/11/2008	12/16/2008	345370860	F	-1000.0000	3.0715	(3,071.50)	31.33
4364	10/10/2008	10/16/2008	336453105	FSNM	1000.0000	3.0725	3,072.50	31.30
4365	01/07/2009	01/12/2009	739363109	PWAV	5000.0000	0.5533	2,766.50	34.92
4366	10/13/2009	10/16/2009	71902E109	PNX	-862.0000	4.1120	(3,544.54)	7.70
4367	03/20/2009	03/25/2009	370442105	GM	-1000.0000	3.0730	(3,073.00)	31.28
4368	04/02/2008	04/07/2008	349862300	FORD	-1500.0000	2.4280	(3,642.00)	2.86
4369	07/17/2006	07/20/2006	45810J103	ITKG	1000.0000	3.0750	3,075.00	31.21
4370	12/05/2008	12/10/2008	709102107	PEI	1000.0000	3.6640	3,664.00	1.77
4371	03/05/2009	03/10/2009	852061100	S	1000.0000	3.0760	3,076.00	31.17
4372	08/03/2010	08/06/2010	217721109	COPY	-15000.0000	0.2300	(3,450.00)	12.50
4373	10/05/2006	10/11/2006	37229P507	GTOP	1000.0000	3.2499	3,249.90	22.51
4374	04/30/2009	05/05/2009	172967101	C	1000.0000	3.2460	3,246.00	22.70
4375	04/30/2009	05/05/2009	172967101	C	1000.0000	3.2400	3,240.00	23.00
4376	04/15/2009	04/20/2009	859319105	STSA	1060.0000	2.9906	3,170.04	26.50
4377	04/08/2009	04/14/2009	169453107	CTFO	950.0000	3.2000	3,040.00	33.00
4378	04/08/2009	04/14/2009	169453107	CTFO	950.0000	3.2000	3,040.00	33.00
4379	08/28/2007	08/31/2007	04289L107	ARTG	-1000.0000	2.8950	(2,895.00)	35.00
4380	03/02/2009	03/05/2009	235825205	DAN	8085.0000	0.3571	2,887.15	35.00
4381	01/10/2006	01/13/2006	640518106	NEOP	10000.0000	0.2580	2,580.00	35.00
4382	06/24/2009	06/29/2009	150837409	CVM	-6400.0000	0.4024	(2,575.36)	35.00
4383	04/14/2010	04/19/2010	97750V109	WZE	10000.0000	0.2490	2,490.00	35.00
4384	03/25/2008	03/28/2008	82966U103	SIRI	1000.0000	3.0782	3,078.20	31.10
4385	12/18/2008	12/23/2008	305560104	FRP	1000.0000	3.0780	3,078.00	31.11
4386	09/26/2008	10/01/2008	026874107	AIG	1000.0000	3.0790	3,079.00	31.07
4387	06/04/2009	06/09/2009	505336107	LZB	1000.0000	3.0796	3,079.60	31.05
4388	11/07/2006	11/10/2006	85857R105	STEM	1000.0000	3.0793	3,079.30	31.07
4389	12/12/2008	12/17/2008	345370860	F	1000.0000	3.0800	3,080.00	31.04

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4390	09/26/2008	10/01/2008	026874107	AIG	1000.0000	3.0800	3,080.00	31.04
4391	04/09/2007	04/12/2007	299096107	ESCC	1000.0000	3.0800	3,080.00	31.04
4392	07/17/2006	07/20/2006	45810J103	ITKG	1000.0000	3.0800	3,080.00	31.04
4393	04/29/2009	05/04/2009	172967101	C	-1000.0000	3.0800	(3,080.00)	31.04
4394	06/05/2007	06/08/2007	58977Q109	TMR	1000.0000	3.0799	3,079.90	31.05
4395	07/30/2009	08/04/2009	172967101	C	1000.0000	3.0799	3,079.90	31.05
4396	01/07/2010	01/12/2010	169398104	CHHE	-2500.0000	1.0000	(2,500.00)	35.04
4397	10/23/2008	10/28/2008	64126L108	NOX	-845.0000	4.1820	(3,533.79)	8.38
4398	12/10/2008	12/15/2008	345370860	F	-1000.0000	3.0815	(3,081.50)	31.00
4399	12/31/2008	01/06/2009	224916106	CIK	-1300.0000	2.3002	(2,990.26)	35.09
4400	10/05/2006	10/11/2006	247361108	DALRQ	1800.0000	1.3400	2,412.00	35.09
4401	12/17/2009	12/22/2009	67555N107	OCLR	-2528.0000	1.3300	(3,362.24)	16.99
4402	03/03/2009	03/06/2009	720279108	PIR	9000.0000	0.2189	1,970.10	35.10
4403	07/06/2010	07/09/2010	984249102	YRCW	-20000.0000	0.1285	(2,570.00)	35.11
4404	09/13/2007	09/18/2007	222372104	CFC	200.0000	17.8699	3,573.98	6.42
4405	09/18/2009	09/23/2009	389465204	GRMH	1000.0000	3.0850	3,085.00	30.87
4406	10/05/2006	10/11/2006	378970107	GBVS	7000.0000	0.5100	3,570.00	6.64
4407	11/16/2006	11/21/2006	463664508	IRSN	-1000.0000	3.0860	(3,086.00)	30.84
4408	09/24/2010	09/29/2010	82967N108	SIRI	-2200.0000	1.1815	(2,599.30)	35.14
4409	12/18/2008	12/23/2008	400095204	GBE	1400.0000	1.1439	1,601.46	35.14
4410	10/28/2008	10/31/2008	695459107	PAET	3000.0000	0.9998	2,999.40	35.17
4411	12/08/2008	12/11/2008	345370860	F	1000.0000	3.0885	3,088.50	30.76
4412	12/15/2006	12/20/2006	63644U103	NHYB	100000.0000	0.0300	3,000.00	35.20
4413	04/01/2008	04/04/2008	87609C105	TRGD	-10000.0000	0.3000	(3,000.00)	35.20
4414	08/07/2006	08/10/2006	00371F206	AAC	10000.0000	0.3000	3,000.00	35.20
4415	03/02/2006	03/07/2006	26816K104	DYCO	-30000.0000	0.1000	(3,000.00)	35.20
4416	04/04/2006	04/07/2006	05348W109	AVNX	-1000.0000	2.9048	(2,904.80)	35.20
4417	08/16/2007	08/21/2007	04289L107	ARTG	-1000.0000	2.9048	(2,904.80)	35.20
4418	01/25/2007	01/30/2007	388707101	GOJO	-15000.0000	0.1600	(2,400.00)	35.20
4419	03/01/2006	03/06/2006	457642205	INOD	1000.0000	3.0900	3,090.00	30.71
4420	03/26/2008	03/31/2008	82966U103	SIRI	1000.0000	3.0900	3,090.00	30.71
4421	03/01/2006	03/06/2006	457642205	INOD	1000.0000	3.0900	3,090.00	30.71
4422	03/01/2006	03/06/2006	457642205	INOD	1000.0000	3.0900	3,090.00	30.71
4423	03/01/2006	03/06/2006	457642205	INOD	1000.0000	3.0900	3,090.00	30.71
4424	01/30/2008	02/04/2008	82966U103	SIRI	1000.0000	3.0900	3,090.00	30.71
4425	04/03/2006	04/06/2006	549463107	LU	-1000.0000	3.0900	(3,090.00)	30.71
4426	04/03/2006	04/06/2006	549463107	LU	-1000.0000	3.0900	(3,090.00)	30.71
4427	06/03/2008	06/06/2008	73932B105	PWSV	1000.0000	3.0900	3,090.00	30.71
4428	10/17/2007	10/22/2007	88033G100	THC	-1000.0000	3.0900	(3,090.00)	30.71
4429	05/09/2007	05/14/2007	957669104	ZIF	-1000.0000	3.0900	(3,090.00)	30.71
4430	10/30/2006	11/02/2006	85857R105	STEM	1000.0000	3.0900	3,090.00	30.71
4431	02/24/2006	03/01/2006	457642205	INOD	1000.0000	3.0910	3,091.00	30.67
4432	10/14/2008	10/17/2008	429904105	HYI	1000.0000	3.0910	3,091.00	30.67
4433	03/20/2006	03/23/2006	222814204	DVW	1600.0000	2.0099	3,215.84	24.44
4434	07/05/2007	07/10/2007	82966U103	SIRI	-1000.0000	3.0920	(3,092.00)	30.64
4435	02/21/2008	02/26/2008	516012101	LCI	-1000.0000	3.0920	(3,092.00)	30.64
4436	05/11/2007	05/16/2007	00765H107	ADLS	1000.0000	3.0928	3,092.80	30.61
4437	06/23/2010	06/28/2010	156834103	CDCH	-56500.0000	0.0350	(1,977.50)	35.25
4438	08/21/2009	08/26/2009	313586109	FNM	2000.0000	1.2299	2,459.80	35.27
4439	07/16/2008	07/21/2008	345203202	FRPT	-1000.0000	3.0945	(3,094.50)	30.56
4440	05/14/2009	05/19/2009	720279108	PIR	2000.0000	1.7199	3,439.80	13.32
4441	08/22/2008	08/27/2008	29406L201	EPG	-1000.0000	3.0960	(3,096.00)	30.51
4442	10/30/2009	11/04/2009	989834106	ZF	1000.0000	3.0979	3,097.90	30.45
4443	10/02/2008	10/07/2008	635405103	NCC	1000.0000	3.0990	3,099.00	30.41
4444	01/22/2009	01/27/2009	172967101	C	1000.0000	3.1000	3,100.00	30.37
4445	06/08/2006	06/13/2006	293848107	ENTU	1000.0000	3.1000	3,100.00	30.37
4446	03/18/2010	03/23/2010	694552100	PMBC	1000.0000	3.1000	3,100.00	30.37

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4447	03/24/2009	03/27/2009	172967101	C	1000.0000	3.1000	3,100.00	30.37
4448	10/18/2006	10/23/2006	91359V107	UVIH	1000.0000	3.1000	3,100.00	30.37
4449	03/23/2009	03/26/2009	172967101	C	1000.0000	3.1000	3,100.00	30.37
4450	03/24/2006	03/29/2006	549463107	LU	-1000.0000	3.1000	(3,100.00)	30.37
4451	02/10/2006	02/15/2006	45256R106	IMMG	-1000.0000	3.1000	(3,100.00)	30.37
4452	02/07/2006	02/10/2006	302311105	EZEN	1000.0000	3.1000	3,100.00	30.37
4453	02/22/2006	02/27/2006	192185106	CFPC	1000.0000	3.1000	3,100.00	30.37
4454	02/09/2007	02/14/2007	957669104	ZIF	-1000.0000	3.1000	(3,100.00)	30.37
4455	07/29/2009	08/03/2009	172967101	C	1000.0000	3.0999	3,099.90	30.38
4456	12/05/2008	12/10/2008	95766L107	MHY	1000.0000	3.6917	3,691.70	0.81
4457	09/18/2006	09/21/2006	553477100	MRVC	-1500.0000	2.4500	(3,675.00)	1.65
4458	10/24/2006	10/27/2006	549463107	LU	1500.0000	2.4499	3,674.85	1.66
4459	08/27/2007	08/30/2007	04289L107	ARTG	1000.0000	3.2854	3,285.40	21.13
4460	03/01/2006	03/06/2006	46612J101	JDSU	-1000.0000	2.9146	(2,914.60)	35.40
4461	06/03/2010	06/08/2010	759720105	RCG	1100.0000	2.4600	2,706.00	35.42
4462	02/20/2009	02/25/2009	060505104	BAC	1000.0000	3.1070	3,107.00	30.14
4463	02/23/2009	02/26/2009	989837109	ZTR	-950.0000	3.1206	(2,964.57)	35.50
4464	07/06/2009	07/09/2009	804098101	SAY	-1000.0000	3.1101	(3,110.10)	30.04
4465	12/18/2008	12/23/2008	345370860	F	-1000.0000	3.1100	(3,110.00)	30.04
4466	03/03/2009	03/06/2009	595112103	MU	-1000.0000	3.1100	(3,110.00)	30.04
4467	04/24/2006	04/27/2006	353515109	FEP	1000.0000	3.1100	3,110.00	30.04
4468	02/13/2009	02/19/2009	530158104	USA	-1000.0000	3.1100	(3,110.00)	30.04
4469	06/04/2008	06/09/2008	00949P108	AAI	1000.0000	3.1100	3,110.00	30.04
4470	02/02/2009	02/05/2009	7591EP100	RF	1000.0000	3.1100	3,110.00	30.04
4471	04/20/2009	04/23/2009	859319105	STSA	1000.0000	3.1100	3,110.00	30.04
4472	03/23/2009	03/26/2009	172967101	C	1000.0000	3.1099	3,109.90	30.05
4473	04/14/2009	04/17/2009	446150104	HBAN	-1000.0000	3.1112	(3,111.20)	30.00
4474	09/15/2009	09/18/2009	71902E109	PNX	1000.0000	3.1139	3,113.90	29.91
4475	06/25/2009	06/30/2009	195493309	CNB	3000.0000	0.6590	1,977.00	35.63
4476	05/13/2010	05/18/2010	598251106	MBHIQ	-7500.0000	0.2636	(1,977.00)	35.63
4477	08/08/2008	08/13/2008	137801106	CFW	1000.0000	3.1169	3,116.90	29.81
4478	02/22/2008	02/27/2008	749941100	RFMD	907.0000	3.3247	3,015.50	34.89
4479	03/04/2009	03/09/2009	911363109	URI	1000.0000	3.1180	3,118.00	29.77
4480	01/16/2009	01/22/2009	81662W108	SGLP	1000.0000	3.1184	3,118.40	29.76
4481	09/16/2008	09/19/2008	026874107	AIG	1000.0000	3.1190	3,119.00	29.74
4482	04/05/2006	04/10/2006	549463107	LU	-1000.0000	3.1200	(3,120.00)	29.70
4483	04/09/2007	04/12/2007	82966U103	SIRI	-1000.0000	3.1200	(3,120.00)	29.70
4484	04/09/2007	04/12/2007	82966U103	SIRI	-1000.0000	3.1200	(3,120.00)	29.70
4485	04/23/2008	04/28/2008	023139108	ABK	-1000.0000	3.1200	(3,120.00)	29.70
4486	03/25/2008	03/28/2008	82966U103	SIRI	1000.0000	3.1200	3,120.00	29.70
4487	12/15/2008	12/18/2008	345370860	F	1000.0000	3.1200	3,120.00	29.70
4488	07/30/2009	08/04/2009	172967101	C	1000.0000	3.1199	3,119.90	29.71
4489	05/20/2010	05/25/2010	36293V200	GOIG	150467.0000	0.0200	3,009.34	35.23
4490	06/03/2008	06/06/2008	670794106	NYVA	65000.0000	0.0300	1,950.00	35.71
4491	02/22/2007	02/27/2007	367626108	GTW	1111.0000	2.1400	2,377.54	35.73
4492	12/27/2007	01/02/2008	206710204	CCUR	-2500.0000	0.7176	(1,794.00)	35.75
4493	07/29/2008	08/01/2008	472100106	JYHW	-2000.0000	1.7400	(3,480.00)	11.78
4494	09/16/2009	09/21/2009	24242R108	DEAR	-2700.0000	1.1244	(3,035.88)	34.01
4495	09/18/2008	09/23/2008	885218107	TMA	-6174.0000	0.3206	(1,979.38)	35.81
4496	12/02/2009	12/07/2009	67555N107	OCLR	-2562.0000	1.3400	(3,433.08)	14.17
4497	05/12/2006	05/17/2006	05348W109	AVNX	1160.0000	2.0802	2,413.03	35.83
4498	11/12/2008	11/17/2008	370442105	GM	1000.0000	3.1285	3,128.50	29.42
4499	09/15/2009	09/18/2009	71902E109	PNX	1000.0000	3.1290	3,129.00	29.40
4500	03/07/2006	03/10/2006	26826M108	ECR	-2000.0000	1.2500	(2,500.00)	35.86
4501	07/27/2010	07/30/2010	210413100	CMIN	2000.0000	0.9900	1,980.00	35.86
4502	03/01/2007	03/06/2007	313549107	FDMLQ	-3000.0000	0.6600	(1,980.00)	35.86
4503	04/21/2008	04/24/2008	69325N102	PCSV	-2000.0000	0.9900	(1,980.00)	35.86

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4504	08/14/2006	08/17/2006	60851R104	MCDG	-11000.0000	0.1800	(1,980.00)	35.86
4505	01/08/2010	01/13/2010	27922X105	ESPH	4000.0000	0.4950	1,980.00	35.86
4506	05/27/2009	06/01/2009	989837109	ZTR	1000.0000	3.3596	3,359.60	17.89
4507	01/29/2008	02/01/2008	82966U103	SIRI	1000.0000	3.1300	3,130.00	29.37
4508	05/23/2008	05/29/2008	62624B101	MMAB	-1000.0000	3.1300	(3,130.00)	29.37
4509	06/11/2007	06/14/2007	74269U104	PRNW	1000.0000	3.1300	3,130.00	29.37
4510	10/28/2008	10/31/2008	195493309	CNB	1000.0000	3.1300	3,130.00	29.37
4511	10/18/2006	10/23/2006	254668106	DSCO	1000.0000	3.1300	3,130.00	29.37
4512	06/02/2009	06/05/2009	062401104	GRAN	1000.0000	3.1308	3,130.80	29.34
4513	11/07/2006	11/10/2006	247361108	DALRQ	-3400.0000	1.0800	(3,672.00)	2.30
4514	06/02/2006	06/07/2006	04289L107	ARTG	1000.0000	3.3159	3,315.90	20.11
4515	02/25/2009	03/02/2009	74347R743	UYG	1504.0000	2.2300	3,353.92	18.21
4516	10/27/2008	10/30/2008	313586109	FNM	3000.0000	0.6602	1,980.60	35.91
4517	09/08/2009	09/11/2009	55970P203	MDOR	1400.0000	1.1700	1,638.00	35.94
4518	02/01/2010	02/04/2010	588056101	MERC	1000.0000	3.1355	3,135.50	29.19
4519	04/20/2010	04/23/2010	458738101	ILI	-3900.0000	0.7100	(2,769.00)	35.97
4520	05/12/2009	05/15/2009	195493309	CNB	2000.0000	1.7497	3,499.40	11.03
4521	09/04/2007	09/07/2007	04289L107	ARTG	1000.0000	3.3260	3,326.00	19.70
4522	04/13/2007	04/18/2007	131347106	CPNLQ	1000.0000	3.1900	3,190.00	26.50
4523	09/17/2010	09/22/2010	095333100	BLU	1000.0000	3.1382	3,138.20	29.09
4524	02/07/2008	02/12/2008	82966U103	SIRI	1000.0000	3.1382	3,138.20	29.09
4525	03/08/2006	03/13/2006	723839106	PRRR	-1000.0000	3.1380	(3,138.00)	29.10
4526	03/23/2009	03/26/2009	370442105	GM	1000.0000	3.1380	3,138.00	29.10
4527	03/30/2006	04/04/2006	05348W109	AVNX	-1000.0000	2.9540	(2,954.00)	36.00
4528	05/26/2009	05/29/2009	336453105	FSNM	2000.0000	1.7500	3,500.00	11.01
4529	12/21/2009	12/24/2009	832727101	SSCCQ	-15000.0000	0.2010	(3,015.00)	35.26
4530	09/17/2010	09/22/2010	095333100	BLU	1000.0000	3.1391	3,139.10	29.07
4531	02/14/2007	02/20/2007	92932Q102	WSCI	-1000.0000	3.1401	(3,140.10)	29.03
4532	01/11/2006	01/17/2006	207142100	CNXT	1000.0000	3.1400	3,140.00	29.03
4533	11/23/2009	11/27/2009	02503Y103	ACAS	-1000.0000	3.1400	(3,140.00)	29.03
4534	06/19/2009	06/24/2009	172967101	C	-1000.0000	3.1400	(3,140.00)	29.03
4535	10/06/2008	10/09/2008	989834106	ZF	1000.0000	3.1400	3,140.00	29.03
4536	08/03/2006	08/08/2006	25848T109	HILL	1000.0000	3.1400	3,140.00	29.03
4537	10/14/2009	10/19/2009	01903Q108	ALD	-1000.0000	3.1400	(3,140.00)	29.03
4538	10/05/2009	10/08/2009	88650R108	TONE	1000.0000	3.1400	3,140.00	29.03
4539	09/29/2006	10/04/2006	25278S100	DHCC	1000.0000	3.1400	3,140.00	29.03
4540	09/16/2008	09/19/2008	026874107	AIG	1000.0000	3.1399	3,139.90	29.04
4541	01/21/2009	01/26/2009	530158104	USA	1000.0000	3.1399	3,139.90	29.04
4542	06/11/2007	06/14/2007	236277109	DANKY	-2000.0000	0.9912	(1,982.40)	36.05
4543	05/12/2009	05/15/2009	038923108	ABR	-1100.0000	2.4900	(2,739.00)	36.06
4544	10/08/2008	10/14/2008	232828301	CYTR	-5000.0000	0.4501	(2,250.50)	36.07
4545	03/03/2006	03/08/2006	05348W109	AVNX	-1600.0000	1.9637	(3,141.92)	28.98
4546	04/13/2010	04/16/2010	740921101	PRWT	2389.0000	0.8300	1,982.87	36.08
4547	08/13/2007	08/16/2007	30024B104	EEE	-850.0000	4.1906	(3,562.01)	8.00
4548	05/07/2009	05/12/2009	21038E101	CEP	908.0000	3.7000	3,359.60	18.13
4549	06/14/2010	06/17/2010	74269U104	PKT	-4800.0000	0.4132	(1,983.36)	36.12
4550	03/06/2009	03/11/2009	571837103	MI	1000.0000	3.1460	3,146.00	28.83
4551	08/20/2009	08/25/2009	388689101	GPK	1500.0000	2.1490	3,223.50	24.98
4552	11/12/2008	11/17/2008	370442105	GM	1000.0000	3.1485	3,148.50	28.75
4553	03/31/2010	04/06/2010	040047102	ARNA	1000.0000	3.1485	3,148.50	28.75
4554	07/22/2008	07/25/2008	158496109	CHB	1000.0000	3.1480	3,148.00	28.77
4555	01/20/2009	01/23/2009	74347R743	UYG	1000.0000	3.1490	3,149.00	28.73
4556	06/17/2009	06/22/2009	91688W107	UPTR	-10000.0000	0.3100	(3,100.00)	31.18
4557	07/10/2006	07/13/2006	732449103	PARD	-3000.0000	0.8624	(2,587.20)	36.18
4558	11/17/2006	11/22/2006	549463107	LU	-1420.0000	2.6101	(3,706.34)	0.87
4559	07/19/2006	07/24/2006	59935P209	MSS	-2000.0000	1.2615	(2,523.00)	36.19
4560	01/25/2006	01/30/2006	59139P104	MSLV	1000.0000	3.3388	3,338.80	19.26

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4561	03/15/2006	03/20/2006	05348W109	AVNX	1000.0000	3.3362	3,336.20	19.39
4562	12/17/2008	12/22/2008	345370860	F	1000.0000	3.1500	3,150.00	28.70
4563	05/19/2009	05/22/2009	817337405	SQNM	1000.0000	3.1500	3,150.00	28.70
4564	04/03/2009	04/08/2009	345370860	F	-1000.0000	3.1500	(3,150.00)	28.70
4565	08/28/2009	09/02/2009	01903Q108	ALD	1000.0000	3.1500	3,150.00	28.70
4566	09/01/2009	09/04/2009	911805109	USEG	1000.0000	3.1500	3,150.00	28.70
4567	11/10/2008	11/14/2008	370442105	GM	1000.0000	3.1500	3,150.00	28.70
4568	12/17/2009	12/22/2009	172967101	C	1000.0000	3.1500	3,150.00	28.70
4569	03/15/2006	03/20/2006	82966U103	SIRI	-835.0000	4.4300	(3,699.05)	1.27
4570	11/13/2009	11/18/2009	530158104	USA	-852.0000	4.2001	(3,578.49)	7.32
4571	01/21/2009	01/26/2009	45814P105	IBNK	2000.0000	1.7600	3,520.00	10.24
4572	03/01/2007	03/06/2007	207142100	CNXT	-1200.0000	1.9718	(2,366.16)	36.24
4573	11/09/2009	11/13/2009	984249102	YRCW	3000.0000	1.1500	3,450.00	13.75
4574	04/30/2009	05/05/2009	767754104	RAD	3000.0000	0.9299	2,789.70	36.26
4575	03/26/2010	03/31/2010	302695101	FXEN	-1000.0000	3.1560	(3,156.00)	28.50
4576	02/20/2009	02/25/2009	060505104	BAC	1000.0000	3.1570	3,157.00	28.46
4577	04/02/2009	04/07/2009	316773100	FITB	1000.0000	3.1580	3,158.00	28.43
4578	01/22/2009	01/27/2009	530158104	USA	1000.0000	3.1599	3,159.90	28.37
4579	10/10/2008	10/16/2008	64190A103	NRO	-986.0000	3.1600	(3,115.76)	30.57
4580	09/29/2009	10/02/2009	529900102	ASG	-967.0000	3.1604	(3,056.11)	33.56
4581	12/05/2008	12/10/2008	466090107	JASO	-1000.0000	3.1610	(3,161.00)	28.33
4582	06/07/2006	06/12/2006	549463107	LU	-1450.0000	2.5000	(3,625.00)	5.14
4583	01/17/2006	01/20/2006	86880T100	SRGG	1200.0000	1.9800	2,376.00	36.43
4584	05/27/2009	06/01/2009	370442105	GM	-2000.0000	1.2700	(2,540.00)	36.44
4585	11/12/2008	11/17/2008	370442105	GM	1000.0000	3.1685	3,168.50	28.08
4586	12/18/2008	12/23/2008	530158104	USA	1000.0000	3.1685	3,168.50	28.08
4587	12/19/2007	12/24/2007	52729N100	LVL	-1000.0000	2.9835	(2,983.50)	36.50
4588	12/05/2006	12/08/2006	055000103	AZGS	30000.0000	0.1200	3,600.00	6.52
4589	06/24/2008	06/27/2008	586263204	MRY	-1400.0000	2.4113	(3,375.82)	17.73
4590	03/01/2006	03/06/2006	553477100	MRVC	-1000.0000	3.1703	(3,170.30)	28.02
4591	09/17/2010	09/22/2010	095333100	BLU	1000.0000	3.1700	3,170.00	28.03
4592	12/16/2008	12/19/2008	345370860	F	1000.0000	3.1700	3,170.00	28.03
4593	11/10/2008	11/14/2008	370442105	GM	1000.0000	3.1700	3,170.00	28.03
4594	06/19/2009	06/24/2009	172967101	C	1000.0000	3.1700	3,170.00	28.03
4595	05/08/2009	05/13/2009	594918104	MSFT	-44.0000	19.2700	(847.88)	36.53
4596	11/12/2009	11/17/2009	446150104	HBAN	-902.0000	3.8200	(3,445.64)	14.27
4597	01/13/2009	01/16/2009	595112103	MU	-1000.0000	3.1710	(3,171.00)	28.00
4598	01/22/2010	01/27/2010	156732208	CERP	13350.0000	0.1490	1,989.15	36.57
4599	05/21/2009	05/27/2009	370442105	GM	-1240.0000	1.5500	(1,922.00)	36.60
4600	10/03/2008	10/08/2008	74627R108	PURO	15000.0000	0.1327	1,990.50	36.67
4601	10/05/2010	10/08/2010	50075W104	KBLB	14320.0000	0.1390	1,990.48	36.67
4602	04/13/2009	04/16/2009	595112103	MU	-850.0000	4.2305	(3,595.93)	6.89
4603	05/21/2008	05/27/2008	09070W105	BSHF	1000.0000	3.1800	3,180.00	27.69
4604	04/16/2010	04/21/2010	16939M103	CHGY	1000.0000	3.1800	3,180.00	27.69
4605	04/23/2009	04/28/2009	860370105	STEI	-1000.0000	3.1800	(3,180.00)	27.69
4606	02/14/2008	02/20/2008	82966U103	SIRI	-1000.0000	3.1800	(3,180.00)	27.69
4607	11/24/2008	11/28/2008	842632101	SCMF	-1000.0000	3.1800	(3,180.00)	27.69
4608	01/31/2008	02/05/2008	82966U103	SIRI	-1000.0000	3.1800	(3,180.00)	27.69
4609	03/20/2009	03/25/2009	345370860	F	1025.0000	2.6600	2,726.50	36.71
4610	03/30/2010	04/05/2010	013904305	ALU	-976.0000	3.1822	(3,105.83)	31.44
4611	06/02/2010	06/07/2010	52729N100	LVL	-2000.0000	1.2800	(2,560.00)	36.73
4612	02/17/2009	02/20/2009	66704R100	NRF	-1000.0000	3.1840	(3,184.00)	27.56
4613	03/07/2006	03/10/2006	39571R107	GSHF	13000.0000	0.1570	2,041.00	36.76
4614	05/12/2006	05/17/2006	452907108	IMMU	1000.0000	3.1890	3,189.00	27.39
4615	11/12/2008	11/17/2008	370442105	GM	1000.0000	3.1885	3,188.50	27.42
4616	10/10/2008	10/16/2008	345370860	F	1500.0000	2.0850	3,127.50	30.48
4617	12/17/2009	12/22/2009	172967101	C	-1000.0000	3.1901	(3,190.10)	27.36

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4618	02/11/2009	02/17/2009	74347R743	UYG	1000.0000	3.1900	3,190.00	27.36
4619	01/20/2009	01/23/2009	172967101	C	1000.0000	3.1900	3,190.00	27.36
4620	06/19/2009	06/24/2009	172967101	C	1000.0000	3.1900	3,190.00	27.36
4621	02/23/2007	02/28/2007	280597105	DIET	-1000.0000	3.1900	(3,190.00)	27.36
4622	12/21/2007	12/27/2007	82966U103	SIRI	-1000.0000	3.1900	(3,190.00)	27.36
4623	03/02/2010	03/05/2010	64190A103	NRO	-1000.0000	3.1907	(3,190.70)	27.34
4624	11/20/2008	11/25/2008	370442105	GM	-1000.0000	3.1915	(3,191.50)	27.31
4625	10/05/2009	10/08/2009	989834106	ZF	1000.0000	3.1912	3,191.20	27.32
4626	10/05/2009	10/08/2009	989834106	ZF	1000.0000	3.1912	3,191.20	27.32
4627	05/28/2009	06/02/2009	53222Q103	LCUT	1000.0000	3.1910	3,191.00	27.33
4628	10/29/2008	11/03/2008	427825104	HT	-1000.0000	3.1920	(3,192.00)	27.29
4629	01/29/2007	02/01/2007	879291103	TSYS	-1000.0000	3.6031	(3,603.10)	6.75
4630	08/10/2007	08/15/2007	04289L107	ARTG	-1000.0000	3.0031	(3,003.10)	36.75
4631	08/24/2009	08/27/2009	449575109	IG	-1900.0000	1.1300	(2,147.00)	36.90
4632	04/06/2010	04/09/2010	759720105	RCG	1100.0000	2.5300	2,783.00	36.91
4633	10/13/2008	10/16/2008	345370860	F	1100.0000	2.5299	2,782.89	36.91
4634	03/28/2006	03/31/2006	50575Q102	LTS	2500.0000	1.0600	2,650.00	36.92
4635	12/27/2007	01/02/2008	666135108	NFLD	-2500.0000	1.0604	(2,651.00)	36.93
4636	10/01/2008	10/06/2008	062401104	GRAN	1000.0000	3.1947	3,194.70	27.21
4637	12/04/2008	12/09/2008	783332109	RUTH	-1400.0000	1.2021	(1,682.94)	36.94
4638	08/20/2008	08/25/2008	885535104	COMS	-1550.0000	2.0915	(3,241.83)	24.87
4639	12/12/2007	12/17/2007	862773108	SGCR	-20000.0000	0.1300	(2,600.00)	36.97
4640	03/06/2009	03/11/2009	060505104	BAC	1000.0000	3.1980	3,198.00	27.09
4641	05/20/2008	05/23/2008	00949P108	AAI	1000.0000	3.1980	3,198.00	27.09
4642	03/03/2009	03/06/2009	862700101	SDIX	2300.0000	1.0239	2,354.97	36.99
4643	07/07/2010	07/12/2010	760911107	REFR	1000.0000	3.6500	3,650.00	4.50
4644	06/17/2010	06/22/2010	36293V200	GOIG	-300000.0000	0.0107	(3,210.00)	26.50
4645	11/06/2009	11/12/2009	984249102	YRCW	2000.0000	1.2894	2,578.80	37.00
4646	08/25/2010	08/30/2010	591176102	MEA	1000.0000	3.1991	3,199.10	27.06
4647	05/09/2007	05/14/2007	125750109	CMGI	-1200.0000	2.4300	(2,916.00)	37.01
4648	07/09/2009	07/14/2009	629519109	NABI	-1105.0000	2.2400	(2,475.20)	37.01
4649	01/09/2007	01/12/2007	381149103	GPXM	-10000.0000	0.3300	(3,300.00)	22.02
4650	06/18/2010	06/23/2010	92833U103	VISN	1000.0000	3.1999	3,199.90	27.03
4651	09/30/2010	10/05/2010	961534104	WFSC	2100.0000	0.9500	1,995.00	37.02
4652	11/13/2009	11/18/2009	989834106	ZF	-1000.0000	3.2003	(3,200.30)	27.02
4653	10/17/2008	10/22/2008	168910107	CHNG	1000.0000	3.2000	3,200.00	27.03
4654	02/28/2006	03/03/2006	928497106	VTSS	-1000.0000	3.2000	(3,200.00)	27.03
4655	01/21/2009	01/26/2009	172967101	C	1000.0000	3.2000	3,200.00	27.03
4656	01/16/2009	01/22/2009	466090107	JASO	1000.0000	3.2000	3,200.00	27.03
4657	04/21/2009	04/24/2009	172967101	C	1000.0000	3.2000	3,200.00	27.03
4658	12/11/2009	12/16/2009	594960106	MVIS	1000.0000	3.2000	3,200.00	27.03
4659	05/27/2008	05/30/2008	491665105	KYUS	1000.0000	3.2000	3,200.00	27.03
4660	12/08/2008	12/11/2008	345370860	F	-1000.0000	3.2000	(3,200.00)	27.03
4661	08/10/2009	08/13/2009	45928H106	ICO	-1000.0000	3.2010	(3,201.00)	26.99
4662	01/04/2007	01/09/2007	834182107	SLR	-1000.0000	3.2035	(3,203.50)	26.91
4663	07/14/2006	07/19/2006	109703108	BNXR	1300.0000	1.5600	2,028.00	37.09
4664	12/08/2008	12/11/2008	635405103	NCC	-1177.0000	2.0100	(2,365.77)	37.12
4665	07/24/2009	07/29/2009	04289L107	ARTG	-850.0000	4.2600	(3,621.00)	6.08
4666	12/14/2009	12/17/2009	14056D105	CBC	2000.0000	1.8000	3,600.00	7.17
4667	11/12/2008	11/17/2008	370442105	GM	1000.0000	3.2085	3,208.50	26.75
4668	12/17/2009	12/22/2009	172967101	C	-1000.0000	3.2101	(3,210.10)	26.69
4669	05/31/2006	06/05/2006	58977Q109	TMR	1000.0000	3.2100	3,210.00	26.69
4670	02/06/2008	02/11/2008	82966U103	SIRI	1000.0000	3.2100	3,210.00	26.69
4671	02/16/2010	02/19/2010	989834106	ZF	1000.0000	3.2099	3,209.90	26.70
4672	02/10/2009	02/13/2009	7591EP100	RF	1000.0000	3.2098	3,209.80	26.70
4673	07/27/2009	07/30/2009	64125C109	NBIX	-1000.0000	3.2114	(3,211.40)	26.64
4674	02/18/2009	02/23/2009	294113105	EESO	135000.0000	0.0225	3,037.50	35.36

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4675	05/05/2010	05/10/2010	455688101	IDGG	45000.0000	0.0444	1,998.00	37.25
4676	11/19/2008	11/24/2008	345370860	F	2000.0000	1.2985	2,597.00	37.26
4677	03/11/2009	03/16/2009	62957N102	NCEN	2000.0000	1.3000	2,600.00	37.31
4678	04/16/2007	04/19/2007	890515109	TOOT	3510.0000	0.8000	2,808.00	37.32
4679	03/12/2010	03/17/2010	759720105	RCG	1090.0000	2.5500	2,779.50	37.33
4680	08/04/2009	08/07/2009	172967101	C	1000.0000	3.2199	3,219.90	26.36
4681	12/10/2009	12/15/2009	594960106	MVIS	1000.0000	3.2199	3,219.90	26.36
4682	04/02/2009	04/07/2009	989837109	ZTR	1000.0000	3.2194	3,219.40	26.38
4683	04/03/2009	04/08/2009	345370860	F	-1000.0000	3.2200	(3,220.00)	26.36
4684	01/22/2009	01/27/2009	172967101	C	1000.0000	3.2200	3,220.00	26.36
4685	11/09/2009	11/13/2009	16936Y100	CBAK	1000.0000	3.2200	3,220.00	26.36
4686	07/19/2007	07/24/2007	72919P103	PLUG	1000.0000	3.2200	3,220.00	26.36
4687	03/01/2006	03/06/2006	207142100	CNXT	-1000.0000	3.2200	(3,220.00)	26.36
4688	07/01/2009	07/07/2009	370442105	GMGMQ	-2000.0000	0.9999	(1,999.80)	37.39
4689	08/31/2010	09/03/2010	86806M205	SUPR	2600.0000	1.1100	2,886.00	37.41
4690	04/01/2010	04/07/2010	46121E205	INTZ	-2500.0000	0.8000	(2,000.00)	37.41
4691	05/15/2007	05/18/2007	009213109	ATPN	-5000.0000	0.4000	(2,000.00)	37.41
4692	04/19/2007	04/24/2007	05617P207	BBGT	-20000.0000	0.0100	(2,000.00)	37.41
4693	12/27/2007	01/02/2008	131347106	CPNLQ	-10000.0000	0.2000	(2,000.00)	37.41
4694	02/11/2010	02/17/2010	30211M103	EXOU	-10000.0000	0.2000	(2,000.00)	37.41
4695	02/06/2006	02/09/2006	29408A104	EMTI	-25000.0000	0.0800	(2,000.00)	37.41
4696	03/27/2009	04/01/2009	150934503	CTIC	4000.0000	0.5000	2,000.00	37.41
4697	12/11/2009	12/16/2009	229393301	CYRV	-5000.0000	0.4000	(2,000.00)	37.41
4698	01/09/2008	01/14/2008	83414T102	SLND	10000.0000	0.2000	2,000.00	37.41
4699	09/15/2008	09/18/2008	313400301	FRE	5000.0000	0.4000	2,000.00	37.41
4700	07/23/2009	07/28/2009	02147K105	AEHI	20000.0000	0.1000	2,000.00	37.41
4701	02/05/2010	02/10/2010	338487101	FLMP	-5000.0000	0.4000	(2,000.00)	37.41
4702	02/12/2007	02/15/2007	23804C103	DJSW	-10000.0000	0.2000	(2,000.00)	37.41
4703	01/25/2007	01/30/2007	23282B106	CYCR	5000.0000	0.4000	2,000.00	37.41
4704	01/25/2007	01/30/2007	23282B106	CYCR	5000.0000	0.4000	2,000.00	37.41
4705	07/28/2006	08/02/2006	090699109	BTMD	-20000.0000	0.0100	(2,000.00)	37.41
4706	08/16/2006	08/21/2006	24372P104	DPER	20000.0000	0.0100	2,000.00	37.41
4707	08/16/2006	08/21/2006	24372P104	DPER	20000.0000	0.0100	2,000.00	37.41
4708	09/06/2006	09/11/2006	46130I104	IVAY	10000.0000	0.2000	2,000.00	37.41
4709	09/24/2007	09/27/2007	83082Q109	SKMI	2500.0000	0.8000	2,000.00	37.41
4710	05/18/2007	05/23/2007	834376105	SOLUQ	10000.0000	0.2000	2,000.00	37.41
4711	08/21/2007	08/24/2007	87311R101	TXHG	5000.0000	0.4000	2,000.00	37.41
4712	05/15/2006	05/18/2006	879292100	TLCO	-10000.0000	0.2000	(2,000.00)	37.41
4713	08/21/2007	08/24/2007	87311R101	TXHG	5000.0000	0.4000	2,000.00	37.41
4714	04/08/2008	04/11/2008	60878A100	MMBF	5000.0000	0.4000	2,000.00	37.41
4715	04/10/2008	04/15/2008	60878A100	MMBF	5000.0000	0.4000	2,000.00	37.41
4716	02/05/2007	02/08/2007	247126105	DPHIQ	-1002.0000	2.8900	(2,895.78)	37.44
4717	01/15/2008	01/18/2008	92909W108	VOYT	-25000.0000	0.1400	(3,500.00)	12.50
4718	01/15/2008	01/18/2008	92909W108	VOYT	-25000.0000	0.1400	(3,500.00)	12.50
4719	05/02/2007	05/07/2007	367626108	GTW	1500.0000	2.1900	3,285.00	23.25
4720	09/15/2009	09/18/2009	09255T109	ARK	1000.0000	3.2290	3,229.00	26.05
4721	11/20/2008	11/25/2008	370442105	GM	1000.0000	3.2285	3,228.50	26.08
4722	09/24/2007	09/27/2007	05348P401	AVNR	-1500.0000	2.1500	(3,225.00)	26.25
4723	09/17/2009	09/22/2009	04033A100	ARIA	1100.0000	2.5580	2,813.80	37.50
4724	06/02/2008	06/05/2008	583538202	MKTYD	1000.0000	3.2296	3,229.60	26.03
4725	08/21/2008	08/26/2008	313400301	FRE	1000.0000	3.2300	3,230.00	26.02
4726	02/09/2006	02/14/2006	928497106	VTSS	1000.0000	3.2300	3,230.00	26.02
4727	06/09/2009	06/12/2009	01903Q108	ALD	-1000.0000	3.2300	(3,230.00)	26.02
4728	10/07/2010	10/13/2010	989834106	ZF	1000.0000	3.2300	3,230.00	26.02
4729	09/29/2009	10/02/2009	817337405	SQNM	1000.0000	3.2300	3,230.00	26.02
4730	12/14/2006	12/19/2006	594960106	MVIS	1000.0000	3.2300	3,230.00	26.02
4731	10/22/2009	10/27/2009	989834106	ZF	1000.0000	3.2299	3,229.90	26.03

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4732	02/19/2010	02/24/2010	989834106	ZF	-1000.0000	3.2310	(3,231.00)	25.99
4733	10/03/2008	10/08/2008	635405103	NCC	-1000.0000	3.2310	(3,231.00)	25.99
4734	01/24/2006	01/27/2006	466121101	JDSU	1150.0000	3.2031	3,683.57	3.38
4735	07/10/2007	07/13/2007	899690101	TMWD	-1155.0000	2.3171	(2,676.25)	37.57
4736	07/27/2006	08/01/2006	68812R105	OSCI	3000.0000	0.8700	2,610.00	37.58
4737	05/26/2006	06/01/2006	125750109	CMGI	-2000.0000	1.3100	(2,620.00)	37.60
4738	06/09/2006	06/14/2006	89376R109	TMTA	1549.0000	1.6400	2,540.36	37.60
4739	12/30/2008	01/05/2009	45662N103	IFX	-2000.0000	1.3104	(2,620.80)	37.61
4740	08/21/2008	08/26/2008	767754104	RAD	-3000.0000	1.2000	(3,600.00)	7.62
4741	12/17/2009	12/22/2009	453821100	IBFL	-3000.0000	1.2000	(3,600.00)	7.62
4742	06/19/2007	06/22/2007	97750V109	WIZD	-1100.0000	2.5636	(2,819.96)	37.62
4743	04/26/2010	04/29/2010	336453105	FSNM	2150.0000	1.0900	2,343.50	37.62
4744	08/19/2009	08/24/2009	247907207	DPTR	2000.0000	1.8200	3,640.00	5.63
4745	11/17/2008	11/20/2008	345370860	F	2000.0000	1.8200	3,640.00	5.63
4746	12/16/2008	12/19/2008	91274F104	YSI	-1000.0000	3.3514	(3,351.40)	20.06
4747	03/16/2009	03/19/2009	50208C108	RIT	1000.0000	3.2380	3,238.00	25.75
4748	05/19/2008	05/22/2008	85857R105	STEM	-1800.0000	1.4320	(2,577.60)	37.65
4749	02/05/2009	02/10/2009	055639108	BPZ	500.0000	4.7500	2,375.00	37.66
4750	03/25/2010	03/30/2010	68555P100	ORBC	1400.0000	2.4700	3,458.00	14.77
4751	01/21/2009	01/26/2009	74347R743	LYG	1000.0000	3.2390	3,239.00	25.72
4752	08/31/2007	09/06/2007	91688T104	URZ	1000.0000	3.2399	3,239.90	25.69
4753	12/17/2009	12/22/2009	172967101	C	1000.0000	3.2399	3,239.90	25.69
4754	05/20/2010	05/25/2010	090678103	BSPM	1000.0000	3.2400	3,240.00	25.69
4755	10/23/2006	10/26/2006	549463107	LU	-1501.0000	2.3375	(3,508.59)	12.27
4756	02/19/2010	02/24/2010	989834106	ZF	-1000.0000	3.2420	(3,242.00)	25.62
4757	04/21/2006	04/26/2006	549463107	LU	-1200.0000	3.0100	(3,612.00)	7.13
4758	08/17/2006	08/22/2006	22544F103	DHY	-865.0000	4.3024	(3,721.58)	1.68
4759	08/17/2006	08/22/2006	22544F103	DHY	-865.0000	4.3024	(3,721.58)	1.68
4760	12/21/2007	12/27/2007	05348W109	AVNX	-2000.0000	1.2461	(2,492.20)	37.80
4761	12/14/2006	12/19/2006	607500105	MDVX	1200.0000	2.0400	2,448.00	37.82
4762	11/12/2008	11/17/2008	370442105	GM	1000.0000	3.2485	3,248.50	25.41
4763	02/20/2009	02/25/2009	817565104	SCI	1000.0000	3.2494	3,249.40	25.37
4764	12/10/2009	12/15/2009	594960106	MVIS	1000.0000	3.2490	3,249.00	25.39
4765	06/05/2009	06/10/2009	767754104	RAD	2000.0000	1.8292	3,658.40	4.93
4766	12/14/2006	12/19/2006	24661P104	DCTH	-1000.0000	3.2501	(3,250.10)	25.35
4767	02/20/2009	02/25/2009	060505104	BAC	1000.0000	3.2500	3,250.00	25.35
4768	02/23/2009	02/26/2009	258114206	DEGY	1000.0000	3.2500	3,250.00	25.35
4769	01/21/2010	01/26/2010	172967101	C	1000.0000	3.2500	3,250.00	25.35
4770	03/19/2009	03/24/2009	172967101	C	-1000.0000	3.2500	(3,250.00)	25.35
4771	05/21/2009	05/27/2009	02503Y103	ACAS	-1000.0000	3.2500	(3,250.00)	25.35
4772	07/02/2009	07/08/2009	062128103	BOFL	1000.0000	3.2500	3,250.00	25.35
4773	04/04/2006	04/07/2006	80862K104	SCLN	1000.0000	3.2500	3,250.00	25.35
4774	01/30/2007	02/02/2007	97750V109	WIZD	1000.0000	3.2500	3,250.00	25.35
4775	07/01/2010	07/07/2010	30161Q104	EXEL	-1000.0000	3.2500	(3,250.00)	25.35
4776	12/03/2008	12/08/2008	14075T107	CPD	1000.0000	3.2500	3,250.00	25.35
4777	09/05/2008	09/10/2008	269246104	ETFC	-1000.0000	3.2500	(3,250.00)	25.35
4778	06/07/2007	06/12/2007	254668106	DSCO	1000.0000	3.2500	3,250.00	25.35
4779	10/19/2009	10/22/2009	02503Y103	ACAS	-1000.0000	3.2500	(3,250.00)	25.35
4780	06/16/2009	06/19/2009	172967101	C	1000.0000	3.2500	3,250.00	25.35
4781	11/24/2008	11/28/2008	370442105	GM	1000.0000	3.2500	3,250.00	25.35
4782	12/10/2008	12/15/2008	345370860	F	-1000.0000	3.2500	(3,250.00)	25.35
4783	10/07/2008	10/10/2008	345370860	F	1000.0000	3.2499	3,249.90	25.36
4784	02/17/2010	02/22/2010	989834106	ZF	1000.0000	3.2499	3,249.90	25.36
4785	09/21/2010	09/24/2010	676220106	ODP	850.0000	4.3090	3,662.65	4.73
4786	12/03/2009	12/08/2009	989834106	ZF	-1000.0000	3.2510	(3,251.00)	25.32
4787	12/10/2007	12/13/2007	79586Q207	LIV	-4167.0000	0.4814	(2,005.99)	37.87
4788	11/20/2009	11/25/2009	578877102	MBTF	-1647.0000	1.7501	(2,882.41)	37.89

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4789	07/10/2009	07/15/2009	101507101	BIF	-843.0000	4.4099	(3,717.55)	2.05
4790	01/25/2008	01/30/2008	090911108	BLTI	1000.0000	3.2570	3,257.00	25.12
4791	01/28/2010	02/02/2010	172967101	C	1000.0000	3.2585	3,258.50	25.07
4792	03/18/2010	03/23/2010	852061100	S	1000.0000	3.7400	3,740.00	1.00
4793	08/08/2007	08/13/2007	910873207	UMC	-1010.0000	3.2700	(3,302.70)	22.87
4794	09/22/2009	09/25/2009	64127R302	CUR	1500.0000	1.9000	2,850.00	38.01
4795	11/13/2009	11/18/2009	82967N108	SIRI	-2975.0000	0.6749	(2,007.83)	38.01
4796	03/27/2009	04/01/2009	91274F104	YSI	-1600.0000	2.1420	(3,427.20)	16.66
4797	12/08/2006	12/13/2006	247126105	DPHIQ	1000.0000	3.2600	3,260.00	25.02
4798	12/07/2009	12/10/2009	989834106	ZF	1000.0000	3.2600	3,260.00	25.02
4799	05/02/2008	05/07/2008	98974P100	ZIXI	1000.0000	3.2600	3,260.00	25.02
4800	05/07/2008	05/12/2008	871206108	SCMR	-1000.0000	3.2620	(3,262.00)	24.95
4801	07/10/2009	07/15/2009	90341W108	LCC	1165.0000	2.0500	2,388.25	38.05
4802	02/06/2008	02/11/2008	85857R105	STEM	2000.0000	1.8386	3,677.20	4.20
4803	09/09/2008	09/12/2008	516548104	LTRX	-4100.0000	0.4900	(2,009.00)	38.10
4804	10/16/2008	10/21/2008	832727101	SSCC	1250.0000	1.6000	2,000.00	38.10
4805	12/10/2008	12/15/2008	345370860	F	1000.0000	3.2685	3,268.50	24.74
4806	03/17/2009	03/20/2009	359678109	FLL	-3500.0000	1.0001	(3,500.35)	13.15
4807	04/01/2009	04/06/2009	001765106	AMR	1000.0000	3.2695	3,269.50	24.70
4808	02/20/2009	02/25/2009	571837103	MI	1000.0000	3.2690	3,269.00	24.72
4809	02/27/2007	03/02/2007	031539109	AMNT	1000.0000	3.2700	3,270.00	24.68
4810	05/26/2006	06/01/2006	25454R207	DIO	-2000.0000	1.3300	(2,660.00)	38.18
4811	07/18/2006	07/21/2006	867877102	SUWN	-3000.0000	0.6700	(2,010.00)	38.18
4812	09/06/2007	09/11/2007	130501109	COGC	3000.0000	0.6700	2,010.00	38.18
4813	05/04/2006	05/09/2006	68338A107	ONT	-1750.0000	1.0600	(1,855.00)	38.18
4814	04/03/2006	04/06/2006	05348W109	AVNX	1000.0000	3.4582	3,458.20	15.29
4815	12/20/2007	12/26/2007	269246104	ETFC	-1000.0000	3.0818	(3,081.80)	34.11
4816	12/10/2008	12/15/2008	345370860	F	-1000.0000	3.2715	(3,271.50)	24.64
4817	07/21/2009	07/24/2009	452907108	IMMU	-1000.0000	3.2730	(3,273.00)	24.58
4818	07/10/2007	07/13/2007	786449108	SFE	-1075.0000	2.5925	(2,786.94)	38.24
4819	02/09/2009	02/12/2009	25382P109	DGLY	-1000.0000	3.2750	(3,275.00)	24.52
4820	09/14/2007	09/19/2007	85857R105	STEM	1200.0000	2.0600	2,472.00	38.28
4821	09/13/2010	09/16/2010	139793103	CBKN	2000.0000	1.8500	3,700.00	3.32
4822	03/10/2009	03/13/2009	74347R743	UYG	2000.0000	1.8500	3,700.00	3.32
4823	10/02/2007	10/05/2007	45810J103	ITKG	-2000.0000	1.8500	(3,700.00)	3.32
4824	02/20/2009	02/25/2009	571837103	MI	1000.0000	3.2790	3,279.00	24.38
4825	05/22/2009	05/28/2009	87161C105	SNV	1000.0000	3.2790	3,279.00	24.38
4826	12/10/2009	12/15/2009	594960106	MVIS	1000.0000	3.2790	3,279.00	24.38
4827	04/07/2010	04/12/2010	172967101	C	-853.0000	4.3415	(3,703.30)	3.17
4828	12/09/2008	12/12/2008	345370860	F	1000.0000	3.2800	3,280.00	24.35
4829	04/13/2007	04/18/2007	131347106	CPNLQ	-1000.0000	3.2800	(3,280.00)	24.35
4830	11/17/2008	11/20/2008	345370860	F	-2000.0000	1.8515	(3,703.00)	3.21
4831	01/25/2010	01/28/2010	172967101	C	-1000.0000	3.2815	(3,281.50)	24.30
4832	11/25/2009	12/01/2009	30033R108	ESLR	1750.0000	1.4585	2,552.38	38.38
4833	04/14/2010	04/19/2010	943526301	WAVX	-925.0000	3.6000	(3,330.00)	21.89
4834	11/04/2008	11/07/2008	64190A103	NRO	1000.0000	3.2824	3,282.40	24.27
4835	09/25/2009	09/30/2009	169424108	CNTF	-1000.0000	3.2830	(3,283.00)	24.25
4836	11/20/2009	11/25/2009	169424108	CNTF	-1000.0000	3.2830	(3,283.00)	24.25
4837	05/08/2006	05/11/2006	46612J101	JDSU	-1000.0000	3.0916	(3,091.60)	33.82
4838	11/06/2008	11/12/2008	339099103	FLE	7160.0000	0.2600	1,861.60	38.40
4839	03/16/2009	03/19/2009	59318D104	CXE	1000.0000	3.2840	3,284.00	24.21
4840	03/19/2009	03/24/2009	313586109	FNM	-1350.0000	1.2500	(1,687.50)	38.41
4841	08/24/2009	08/27/2009	26817G102	DYN	-1400.0000	2.0010	(2,801.40)	38.44
4842	11/13/2009	11/18/2009	37990W103	GHLV	2000.0000	1.3400	2,680.00	38.46
4843	10/04/2010	10/07/2010	82967N108	SIRI	-1400.0000	1.2515	(1,752.10)	38.46
4844	01/22/2008	01/25/2008	590479101	MESA	1000.0000	3.4805	3,480.50	14.48
4845	12/10/2008	12/15/2008	345370860	F	1000.0000	3.2900	3,290.00	24.01

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
4846	04/14/2009	04/17/2009	607500105	MDVX	1000.0000	3.2900	3,290.00	24.01
4847	05/15/2006	05/18/2006	265338202	ONE	1000.0000	3.2899	3,289.90	24.02
4848	07/21/2009	07/24/2009	04289L107	ARTG	-850.0000	4.3600	(3,706.00)	3.32
4849	05/22/2009	05/28/2009	91274F104	YSI	1000.0000	3.2970	3,297.00	23.78
4850	02/21/2006	02/24/2006	766714109	RSMI	9000.0000	0.2240	2,016.00	38.64
4851	04/06/2009	04/09/2009	345370860	F	1000.0000	3.3000	3,300.00	23.68
4852	03/13/2007	03/16/2007	82966U103	SIRI	-1000.0000	3.3000	(3,300.00)	23.68
4853	10/27/2009	10/30/2009	71902E109	PNX	1000.0000	3.3000	3,300.00	23.68
4854	06/16/2009	06/19/2009	172967101	C	-1000.0000	3.3000	(3,300.00)	23.68
4855	04/23/2010	04/28/2010	202736104	CWBS	1000.0000	3.3000	3,300.00	23.68
4856	09/22/2006	09/27/2006	23126R101	CRGN	-1000.0000	3.3000	(3,300.00)	23.68
4857	02/18/2010	02/23/2010	852061100	S	1000.0000	3.2999	3,299.90	23.69
4858	09/22/2006	09/27/2006	23126R101	CRGN	-995.0000	3.3000	(3,283.50)	24.51
4859	03/31/2006	04/05/2006	05348W109	AVNX	1000.0000	3.4387	3,438.70	16.77
4860	12/08/2008	12/11/2008	345370860	F	-1000.0000	3.3015	(3,301.50)	23.63
4861	04/18/2007	04/23/2007	192185106	CFPC	-1875.0000	1.6300	(3,056.25)	35.96
4862	01/26/2006	01/31/2006	549463107	LU	-1126.0000	2.6301	(2,961.49)	38.78
4863	03/29/2006	04/03/2006	50575Q102	LTS	2500.0000	1.1200	2,800.00	38.81
4864	01/22/2010	01/27/2010	172967101	C	1000.0000	3.3085	3,308.50	23.40
4865	05/13/2010	05/18/2010	095333100	BLU	1000.0000	3.3093	3,309.30	23.37
4866	09/25/2008	09/30/2008	871206108	SCMR	-1000.0000	3.3100	(3,310.00)	23.34
4867	01/02/2009	01/07/2009	3623E0209	SOLR	1000.0000	3.3100	3,310.00	23.34
4868	12/18/2009	12/23/2009	172967101	C	1000.0000	3.3100	3,310.00	23.34
4869	11/13/2008	11/18/2008	370442105	GM	1000.0000	3.3100	3,310.00	23.34
4870	05/13/2010	05/18/2010	095333100	BLU	1000.0000	3.3099	3,309.90	23.35
4871	12/30/2009	01/05/2010	172967101	C	-1000.0000	3.3101	(3,310.10)	23.35
4872	05/12/2008	05/15/2008	69423U107	PEIX	-1000.0000	3.3120	(3,312.00)	23.28
4873	01/23/2009	01/28/2009	780097804	RBS.PRF	1000.0000	3.3150	3,315.00	23.18
4874	05/15/2006	05/18/2006	70336N107	PTSC	2500.0000	0.8080	2,020.00	38.95
4875	05/27/2010	06/02/2010	68555P100	ORBC	-1400.0000	2.0221	(2,830.94)	38.96
4876	07/09/2007	07/12/2007	378970107	GBVS	-46500.0000	0.0610	(2,836.50)	38.97
4877	11/20/2008	11/25/2008	370442105	GM	1000.0000	3.3185	3,318.50	23.06
4878	08/16/2006	08/21/2006	31787A101	FNSR	1000.0000	3.5600	3,560.00	11.00
4879	03/03/2006	03/08/2006	46612J101	JDSU	-1000.0000	3.5310	(3,531.00)	12.45
4880	09/02/2010	09/08/2010	591176102	MEA	1000.0000	3.3194	3,319.40	23.03
4881	03/10/2009	03/13/2009	19247V104	RPF	1300.0000	1.6360	2,126.80	39.00
4882	01/27/2009	01/30/2009	59318E102	CMU	1000.0000	3.3200	3,320.00	23.01
4883	10/21/2009	10/26/2009	852061100	S	-1000.0000	3.3200	(3,320.00)	23.01
4884	11/17/2006	11/22/2006	85857R105	STEM	1000.0000	3.3200	3,320.00	23.01
4885	12/24/2009	12/30/2009	172967101	C	1000.0000	3.3199	3,319.90	23.02
4886	12/12/2008	12/17/2008	370442105	GM	-1000.0000	3.3208	(3,320.80)	22.98
4887	04/14/2010	04/19/2010	58734P105	MBR	1000.0000	3.3210	3,321.00	22.98
4888	11/09/2006	11/14/2006	98385L102	XSNX	4700.0000	0.4300	2,021.00	39.03
4889	10/28/2010	11/02/2010	865378103	SUF	-8750.0000	0.2310	(2,021.25)	39.05
4890	10/25/2007	10/30/2007	232984104	ATC	-1500.0000	1.6367	(2,455.05)	39.06
4891	02/18/2009	02/23/2009	82967N108	SIRI	15000.0000	0.1636	2,454.00	39.07
4892	11/26/2008	12/02/2008	720279108	PIR	6000.0000	0.5864	3,518.40	13.21
4893	10/26/2007	10/31/2007	75040P405	ROIAC	-1000.0000	3.3300	(3,330.00)	22.67
4894	11/10/2008	11/14/2008	370442105	GM	-1000.0000	3.3300	(3,330.00)	22.67
4895	04/21/2009	04/24/2009	345370860	F	-1000.0000	3.3300	(3,330.00)	22.67
4896	03/10/2009	03/13/2009	025676206	AEL	1000.0000	3.3300	3,330.00	22.67
4897	11/19/2008	11/24/2008	44977W106	PPR	-1000.0000	3.3300	(3,330.00)	22.67
4898	12/18/2009	12/23/2009	172967101	C	1000.0000	3.3300	3,330.00	22.67
4899	12/18/2009	12/23/2009	172967101	C	1000.0000	3.3300	3,330.00	22.67
4900	03/23/2007	03/28/2007	82966U103	SIRI	-1000.0000	3.3300	(3,330.00)	22.67
4901	12/18/2009	12/23/2009	172967101	C	1000.0000	3.3299	3,329.90	22.68
4902	12/18/2009	12/23/2009	172967101	C	1000.0000	3.3299	3,329.90	22.68

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4903	12/18/2009	12/23/2009	172967101	C	1000.0000	3.3299	3,329.90	22.68
4904	05/05/2010	05/10/2010	989834106	ZF	-1000.0000	3.3315	(3,331.50)	22.63
4905	04/07/2006	04/12/2006	222814204	DVW	1200.0000	2.0999	2,519.88	39.20
4906	04/09/2009	04/15/2009	92340P100	VRNM	10500.0000	0.2928	3,074.40	35.50
4907	06/30/2006	07/06/2006	79586Q108	LIV	4600.0000	0.4400	2,024.00	39.26
4908	03/05/2009	03/10/2009	060505104	BAC	1000.0000	3.3380	3,338.00	22.41
4909	03/10/2010	03/15/2010	539439109	LYG	1000.0000	3.3390	3,339.00	22.37
4910	10/14/2008	10/17/2008	56400P201	MNKD	1000.0000	3.3385	3,338.50	22.40
4911	06/11/2009	06/16/2009	379302102	GRT	1000.0000	3.3395	3,339.50	22.36
4912	02/11/2009	02/17/2009	989837109	ZTR	1000.0000	3.3394	3,339.40	22.36
4913	10/24/2008	10/29/2008	195493309	CNB	1000.0000	3.3400	3,340.00	22.34
4914	03/03/2009	03/06/2009	87651B104	TASR	-1000.0000	3.3400	(3,340.00)	22.34
4915	05/02/2006	05/05/2006	36866W106	GMST	-1000.0000	3.3400	(3,340.00)	22.34
4916	03/19/2009	03/24/2009	172967101	C	1000.0000	3.3398	3,339.80	22.35
4917	05/06/2009	05/11/2009	37247D106	GNW	1000.0000	3.3398	3,339.80	22.35
4918	12/11/2008	12/16/2008	65370F101	NCV	-950.0000	3.3400	(3,173.00)	30.69
4919	08/07/2006	08/10/2006	45768R305	INFN	-2500.0000	0.8100	(2,025.00)	39.34
4920	10/30/2007	11/02/2007	247126105	DPHIQ	9000.0000	0.2250	2,025.00	39.34
4921	06/06/2008	06/11/2008	607500105	MDVX	-1250.0000	1.6500	(2,062.50)	39.35
4922	09/18/2007	09/21/2007	26205U101	DKAM	-4000.0000	0.6600	(2,640.00)	39.43
4923	03/28/2007	04/02/2007	42235Q101	HOGC	-33000.0000	0.0800	(2,640.00)	39.43
4924	05/07/2009	05/12/2009	48023P106	JSDA	1388.0000	1.2840	1,782.19	39.46
4925	09/21/2006	09/26/2006	91274T104	USWF	458000.0000	0.0080	3,664.00	6.29
4926	03/19/2009	03/24/2009	172967101	C	1000.0000	3.3499	3,349.90	22.01
4927	01/07/2008	01/10/2008	206710204	CCUR	-2500.0000	0.7350	(1,837.50)	39.50
4928	12/03/2007	12/06/2007	003830106	ABP	-1000.0000	3.3501	(3,350.10)	22.01
4929	12/16/2008	12/19/2008	05969A105	TBBK	-1000.0000	3.3501	(3,350.10)	22.01
4930	01/21/2009	01/26/2009	595112103	MU	1000.0000	3.3500	3,350.00	22.01
4931	11/12/2009	11/17/2009	01903Q108	ALD	-1000.0000	3.3500	(3,350.00)	22.01
4932	06/03/2009	06/08/2009	172967101	C	-1000.0000	3.3500	(3,350.00)	22.01
4933	05/14/2008	05/19/2008	192108108	CDE	-1000.0000	3.3500	(3,350.00)	22.01
4934	12/22/2008	12/26/2008	466090107	JASO	-1000.0000	3.3500	(3,350.00)	22.01
4935	02/19/2009	02/24/2009	852061100	S	-1000.0000	3.3540	(3,354.00)	21.87
4936	02/10/2006	02/15/2006	67461T107	RHEO	1000.0000	3.3572	3,357.20	21.76
4937	03/25/2009	03/30/2009	026874107	AIG	-2000.0000	1.3800	(2,760.00)	39.62
4938	06/23/2010	06/28/2010	82967N108	SIRI	-1420.0000	1.0408	(1,477.94)	39.63
4939	02/10/2006	02/15/2006	67461T107	RHEO	1000.0000	3.3590	3,359.00	21.70
4940	04/07/2009	04/13/2009	83545G102	SAH	-1750.0000	2.0084	(3,514.70)	13.93
4941	09/03/2009	09/09/2009	422704106	HL	-1000.0000	3.3601	(3,360.10)	21.67
4942	02/10/2006	02/15/2006	67461T107	RHEO	1000.0000	3.3600	3,360.00	21.67
4943	09/02/2009	09/08/2009	466090107	JASO	-1000.0000	3.3600	(3,360.00)	21.67
4944	03/17/2006	03/22/2006	74765E109	QTTWW	-1000.0000	3.3600	(3,360.00)	21.67
4945	09/25/2008	09/30/2008	026874107	AIG	1000.0000	3.3599	3,359.90	21.68
4946	12/22/2009	12/28/2009	172967101	C	1000.0000	3.3599	3,359.90	21.68
4947	03/03/2008	03/06/2008	317923100	FINL	-917.0000	3.8000	(3,484.60)	15.46
4948	12/18/2006	12/21/2006	834182107	SLR	-1000.0000	3.3610	(3,361.00)	21.64
4949	04/27/2010	04/30/2010	458738101	ILI	-2900.0000	0.7000	(2,030.00)	39.72
4950	05/13/2010	05/18/2010	26884K101	ERFW	30000.0000	0.0950	2,850.00	39.75
4951	05/22/2006	05/25/2006	020814208	APTD	-10000.0000	0.2850	(2,850.00)	39.75
4952	06/16/2009	06/19/2009	457669208	INSM	-1200.0000	2.1233	(2,547.96)	39.75
4953	02/20/2009	02/25/2009	052660107	AUTH	-2000.0000	1.3849	(2,769.80)	39.76
4954	05/01/2008	05/06/2008	69336V101	PGTI	1000.0000	3.3660	3,366.00	21.47
4955	11/24/2008	11/28/2008	370442105	GM	1000.0000	3.3685	3,368.50	21.39
4956	08/14/2006	08/17/2006	89784T101	TNEN	1000.0000	3.3700	3,370.00	21.34
4957	02/10/2009	02/13/2009	989837109	ZTR	1000.0000	3.3700	3,370.00	21.34
4958	04/16/2009	04/21/2009	501014104	KKD	-1000.0000	3.3710	(3,371.00)	21.30
4959	03/09/2009	03/12/2009	060505104	BAC	500.0000	3.6880	1,844.00	39.85

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4960	07/09/2009	07/14/2009	989834106	ZF	-1065.0000	2.6701	(2,843.66)	39.88
4961	11/19/2008	11/24/2008	74963Q102	RHY	-3695.0000	0.5500	(2,032.25)	39.89
4962	01/16/2009	01/22/2009	02083A103	AWP	-990.0000	3.3740	(3,340.26)	22.89
4963	10/09/2008	10/15/2008	292963105	EVDVF	1000.0000	3.3790	3,379.00	21.04
4964	03/27/2009	04/01/2009	82967N108	SIRI	10000.0000	0.3791	3,791.00	0.45
4965	11/10/2006	11/15/2006	549463107	LU	-1500.0000	2.4800	(3,720.00)	4.00
4966	12/22/2008	12/26/2008	920961109	VVR	-1500.0000	2.4415	(3,662.25)	6.89
4967	01/07/2010	01/12/2010	294766100	EQS	-1000.0000	3.3801	(3,380.10)	21.00
4968	06/15/2009	06/18/2009	172967101	C	1000.0000	3.3800	3,380.00	21.00
4969	02/25/2010	03/02/2010	172967101	C	1000.0000	3.3800	3,380.00	21.00
4970	04/22/2010	04/27/2010	231269101	CRIS	1000.0000	3.3800	3,380.00	21.00
4971	10/19/2006	10/24/2006	252785100	DHCC	1000.0000	3.3800	3,380.00	21.00
4972	08/29/2007	09/04/2007	88033G100	THC	1000.0000	3.3800	3,380.00	21.00
4973	12/22/2009	12/28/2009	172967101	C	1000.0000	3.3799	3,379.90	21.01
4974	09/29/2009	10/02/2009	85857R105	STEM	2000.0000	1.6500	3,300.00	25.00
4975	03/23/2009	03/26/2009	85857R105	STEM	-2000.0000	1.5620	(3,124.00)	33.80
4976	11/26/2008	12/02/2008	781748108	RURL	1250.0000	1.9142	2,392.75	40.00
4977	08/06/2009	08/11/2009	427093109	HERO	-500.0000	4.4720	(2,236.00)	40.00
4978	06/29/2006	07/05/2006	989414107	ZIF	-1075.0000	2.6763	(2,877.02)	40.01
4979	08/04/2006	08/09/2006	36298M106	GSCT	37850.0000	0.0700	2,649.50	40.02
4980	12/06/2006	12/11/2006	640518106	NEOP	-10000.0000	0.2605	(2,605.00)	40.03
4981	07/17/2009	07/22/2009	913290102	UNTY	-936.0000	3.5500	(3,322.80)	23.90
4982	04/05/2006	04/10/2006	247361108	DALRQ	-5000.0000	0.5300	(2,650.00)	40.06
4983	04/24/2007	04/27/2007	009253105	ABEW	10000.0000	0.2650	2,650.00	40.06
4984	06/04/2007	06/07/2007	232984104	ATC	-1700.0000	1.8467	(3,139.39)	33.14
4985	04/06/2009	04/09/2009	227046109	CROX	-1300.0000	1.6815	(2,185.95)	40.14
4986	09/16/2008	09/19/2008	026874107	AIG	1000.0000	3.3890	3,389.00	20.70
4987	04/08/2009	04/14/2009	09255R103	DSU	-1145.0000	2.1406	(2,450.99)	40.15
4988	07/06/2010	07/09/2010	11161T108	BWEN	1000.0000	3.3898	3,389.80	20.67
4989	03/21/2007	03/26/2007	24823Q107	DNDN	-930.0000	3.6420	(3,387.06)	20.81
4990	10/22/2008	10/27/2008	00949P108	AAI	-1000.0000	3.3902	(3,390.20)	20.66
4991	12/30/2009	01/05/2010	989834106	ZF	-1000.0000	3.3901	(3,390.10)	20.67
4992	01/04/2010	01/07/2010	172967101	C	1000.0000	3.3899	3,389.90	20.68
4993	09/29/2006	10/04/2006	21254V100	CSHD	1010.0000	2.9600	2,989.60	40.17
4994	11/25/2009	12/01/2009	09255T109	ARK	-1000.0000	3.3910	(3,391.00)	20.63
4995	05/14/2009	05/19/2009	676220106	ODP	-1000.0000	3.3910	(3,391.00)	20.63
4996	02/03/2009	02/06/2009	013904305	ALU	-1650.0000	1.8500	(3,052.50)	37.57
4997	10/22/2008	10/27/2008	00949P108	AAI	-1000.0000	3.3920	(3,392.00)	20.60
4998	08/17/2006	08/22/2006	800907107	SANM	-1000.0000	3.1998	(3,199.80)	30.21
4999	05/10/2010	05/13/2010	02311T106	AMOK	-2000.0000	1.4000	(2,800.00)	40.20
5000	07/02/2007	07/06/2007	26824Y104	EAUI	2000.0000	1.4000	2,800.00	40.20
5001	03/15/2006	03/20/2006	651360109	NWGN	-2000.0000	1.0500	(2,100.00)	40.20
5002	08/10/2009	08/13/2009	849109103	SPNG	20000.0000	0.1429	2,858.00	40.22
5003	06/08/2006	06/13/2006	52729N100	LVL	-850.0000	4.4710	(3,800.35)	0.25
5004	04/17/2009	04/22/2009	004409108	AMKT	-1500.0000	1.0000	(1,500.00)	40.27
5005	09/10/2008	09/15/2008	313586109	FNM	1500.0000	1.0000	1,500.00	40.27
5006	03/27/2009	04/01/2009	026874107	AIG	-1500.0000	1.0000	(1,500.00)	40.27
5007	12/31/2009	01/06/2010	90984P105	UCBI	1000.0000	3.3985	3,398.50	20.39
5008	10/05/2007	10/11/2007	82966U103	SIRI	1000.0000	3.4000	3,400.00	20.33
5009	02/20/2009	02/25/2009	060505104	BAC	-1000.0000	3.4000	(3,400.00)	20.33
5010	07/08/2009	07/13/2009	74347R743	UYG	-1000.0000	3.4000	(3,400.00)	20.33
5011	03/02/2009	03/05/2009	060505104	BAC	1000.0000	3.4000	3,400.00	20.33
5012	04/13/2009	04/16/2009	74347R743	UYG	-1000.0000	3.4000	(3,400.00)	20.33
5013	11/07/2007	11/13/2007	98416U100	XRM	1000.0000	3.4000	3,400.00	20.33
5014	02/08/2007	02/13/2007	88409N101	THK	1000.0000	3.4000	3,400.00	20.33
5015	12/19/2008	12/24/2008	447011107	HUN	1000.0000	3.3999	3,399.90	20.34
5016	08/05/2009	08/10/2009	172967101	C	1000.0000	3.3999	3,399.90	20.34

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5017	12/18/2008	12/23/2008	37247D106	GNW	1000.0000	3.3997	3,399.70	20.35
5018	07/08/2009	07/13/2009	74347R743	UYG	-970.0000	3.4000	(3,298.00)	25.43
5019	08/30/2007	09/05/2007	206710204	CCUR	-1350.0000	1.1990	(1,618.65)	40.35
5020	06/09/2009	06/12/2009	76120W302	RSO	-1000.0000	3.4016	(3,401.60)	20.28
5021	06/20/2008	06/25/2008	749941100	RFMD	-1000.0000	3.4015	(3,401.50)	20.29
5022	03/30/2006	04/04/2006	45244W100	IMDS	15000.0000	0.1650	2,475.00	40.45
5023	06/03/2010	06/08/2010	045346103	APPY	1000.0000	3.4091	3,409.10	20.03
5024	06/05/2009	06/10/2009	64125C109	NBIX	1000.0000	3.4090	3,409.00	20.03
5025	12/11/2008	12/16/2008	313586109	FNM	3000.0000	0.6800	2,040.00	40.49
5026	09/08/2006	09/13/2006	238084107	DTMGE	100000.0000	0.0204	2,040.00	40.49
5027	08/16/2007	08/21/2007	247126105	DPHIQ	4000.0000	0.5100	2,040.00	40.49
5028	06/30/2006	07/06/2006	26915M100	ESMT	12000.0000	0.1700	2,040.00	40.49
5029	11/13/2007	11/16/2007	37945C202	GBRC	1000.0000	3.4100	3,410.00	20.00
5030	03/04/2010	03/09/2010	172967101	C	-1000.0000	3.4100	(3,410.00)	20.00
5031	09/09/2009	09/14/2009	58734P105	MBR	-1000.0000	3.4100	(3,410.00)	20.00
5032	02/12/2010	02/18/2010	22662K207	CXPO	1000.0000	3.4100	3,410.00	20.00
5033	02/11/2008	02/14/2008	590479101	MESA	-1000.0000	3.2195	(3,219.50)	29.53
5034	06/24/2010	06/29/2010	64190A103	NRO	-1000.0000	3.4109	(3,410.90)	19.97
5035	03/18/2009	03/23/2009	172967101	C	1062.0000	2.6999	2,867.29	40.52
5036	12/07/2006	12/12/2006	131347106	CPNLQ	-2000.0000	1.0000	(2,000.00)	40.53
5037	05/28/2010	06/03/2010	82967N108	SIRI	-2000.0000	1.0002	(2,000.40)	40.54
5038	01/26/2009	01/29/2009	595112103	MU	-1000.0000	3.4130	(3,413.00)	19.90
5039	07/01/2009	07/07/2009	97750V109	WZE	-4000.0000	0.5102	(2,040.80)	40.55
5040	03/12/2009	03/17/2009	780097739	RBS.PRS	-1000.0000	3.4150	(3,415.00)	19.83
5041	04/05/2010	04/08/2010	82967N108	SIRI	3000.0000	0.8611	2,583.30	40.58
5042	04/05/2010	04/08/2010	458738101	ILI	4100.0000	0.7095	2,908.95	40.59
5043	02/09/2007	02/14/2007	584062202	EMED	-5000.0000	0.6200	(3,100.00)	35.60
5044	10/22/2008	10/27/2008	561911108	HYF	-1300.0000	2.1000	(2,730.00)	40.61
5045	11/20/2009	11/25/2009	87161C105	SNV	1300.0000	1.7000	2,210.00	40.61
5046	08/27/2008	09/02/2008	228309100	CRWS	-970.0000	3.4180	(3,315.46)	24.86
5047	02/13/2006	02/16/2006	641876107	HYB	-1334.0000	2.1300	(2,841.42)	40.63
5048	04/03/2007	04/09/2007	500117106	KDKN	-1700.0000	1.8700	(3,179.00)	31.69
5049	02/17/2009	02/20/2009	345370860	F	1500.0000	1.6970	2,545.50	40.64
5050	06/09/2009	06/12/2009	172967101	C	1000.0000	3.4200	3,420.00	19.66
5051	03/02/2010	03/05/2010	172967101	C	-1000.0000	3.4200	(3,420.00)	19.66
5052	02/17/2010	02/22/2010	172967101	C	1000.0000	3.4200	3,420.00	19.66
5053	01/30/2006	02/02/2006	761525500	REV	1000.0000	3.4200	3,420.00	19.66
5054	01/09/2009	01/14/2009	062401104	GRAN	-1000.0000	3.4200	(3,420.00)	19.66
5055	08/30/2007	09/05/2007	88033G100	THC	1000.0000	3.4200	3,420.00	19.66
5056	08/30/2007	09/05/2007	88033G100	THC	1000.0000	3.4200	3,420.00	19.66
5057	01/05/2006	01/10/2006	682505102	ONEI	6000.0000	0.6150	3,690.00	6.19
5058	02/26/2010	03/03/2010	172967101	C	-1000.0000	3.4215	(3,421.50)	19.62
5059	12/03/2007	12/06/2007	47023A101	JMBA	-1000.0000	3.4220	(3,422.00)	19.60
5060	05/02/2006	05/05/2006	85512C105	SGU	-1100.0000	2.7091	(2,980.01)	40.71
5061	03/18/2009	03/23/2009	172967101	C	1046.0000	2.7092	2,833.82	40.71
5062	02/11/2009	02/17/2009	74347R743	UYG	1150.0000	3.1780	3,654.70	7.99
5063	12/31/2009	01/06/2010	254668106	DSCO	-5000.0000	0.6206	(3,103.00)	35.61
5064	02/20/2009	02/25/2009	060505104	BAC	1000.0000	3.4270	3,427.00	19.43
5065	09/22/2008	09/25/2008	313586109	FNM	2800.0000	0.7299	2,043.72	40.78
5066	03/16/2006	03/21/2006	05348W109	AVNX	1000.0000	3.6208	3,620.80	9.76
5067	03/02/2010	03/05/2010	172967101	C	1000.0000	3.4285	3,428.50	19.38
5068	01/26/2009	01/29/2009	370442105	GM	1000.0000	3.4290	3,429.00	19.36
5069	09/21/2009	09/24/2009	749121109	Q	1000.0000	3.4290	3,429.00	19.36
5070	04/07/2010	04/12/2010	767754104	RAD	-2000.0000	1.4210	(2,842.00)	40.81
5071	05/25/2007	05/31/2007	254668106	DSCO	-1000.0000	3.4300	(3,430.00)	19.33
5072	06/15/2009	06/18/2009	172967101	C	1000.0000	3.4300	3,430.00	19.33
5073	01/22/2009	01/27/2009	370442105	GM	-1000.0000	3.4300	(3,430.00)	19.33

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5074	03/09/2007	03/14/2007	82966U103	SIRI	1000.0000	3.4300	3,430.00	19.33
5075	09/20/2006	09/25/2006	834182107	SLR	-1000.0000	3.4300	(3,430.00)	19.33
5076	10/05/2007	10/11/2007	82966U103	SIRI	-1000.0000	3.4300	(3,430.00)	19.33
5077	09/29/2009	10/02/2009	19105E103	CGCA	2000.0000	1.0100	2,020.00	40.84
5078	07/20/2009	07/23/2009	452907108	IMMU	-1000.0000	3.4310	(3,431.00)	19.30
5079	07/19/2006	07/24/2006	63007X108	NNPP	1400.0000	1.3300	1,862.00	40.88
5080	07/28/2010	08/02/2010	82967N108	SIRI	-2000.0000	1.0120	(2,024.00)	40.90
5081	03/10/2009	03/13/2009	355145103	FT	1000.0000	3.4370	3,437.00	19.09
5082	02/10/2006	02/15/2006	976524108	WTT	-1100.0000	2.7200	(2,992.00)	40.94
5083	10/20/2009	10/23/2009	313586109	FNM	-1470.0000	1.0201	(1,499.55)	40.94
5084	09/18/2009	09/23/2009	389465204	GRMH	1000.0000	3.4380	3,438.00	19.06
5085	12/16/2008	12/19/2008	37247D106	GNW	1000.0000	3.4392	3,439.20	19.02
5086	02/06/2009	02/11/2009	74347R743	UYG	1000.0000	3.4390	3,439.00	19.03
5087	12/20/2006	12/26/2006	85512C105	SGU	1000.0000	3.4400	3,440.00	18.99
5088	01/09/2006	01/12/2006	82980K107	SWW	-1000.0000	3.4400	(3,440.00)	18.99
5089	09/12/2007	09/17/2007	82966U103	SIRI	1000.0000	3.4400	3,440.00	18.99
5090	10/06/2008	10/09/2008	345370860	F	1000.0000	3.4395	3,439.50	19.02
5091	10/06/2008	10/09/2008	345370860	F	-1000.0000	3.4401	(3,440.10)	19.00
5092	08/21/2009	08/26/2009	45928H106	ICO	-1000.0000	3.4410	(3,441.00)	18.96
5093	10/27/2008	10/30/2008	64190A103	NRO	1100.0000	2.7240	2,996.40	41.03
5094	11/24/2008	11/28/2008	112211107	BRNC	-390.0000	4.9000	(1,911.00)	41.10
5095	11/11/2009	11/16/2009	02503Y103	ACAS	-1019.0000	2.9300	(2,985.67)	41.12
5096	02/03/2006	02/08/2006	023111107	AMRN	1000.0000	3.4490	3,449.00	18.69
5097	08/09/2006	08/14/2006	96684T107	WYDY	5000.0000	0.7400	3,700.00	6.15
5098	04/14/2009	04/17/2009	74347R743	UYG	1000.0000	3.4495	3,449.50	18.68
5099	05/20/2009	05/26/2009	04289L107	ARTG	-1000.0000	3.4500	(3,450.00)	18.66
5100	10/01/2008	10/06/2008	929903102	WB	1000.0000	3.4500	3,450.00	18.66
5101	12/02/2008	12/05/2008	125581108	CIT	-1000.0000	3.4500	(3,450.00)	18.66
5102	11/12/2007	11/15/2007	82966U103	SIRI	1000.0000	3.4500	3,450.00	18.66
5103	11/12/2007	11/15/2007	82966U103	SIRI	1000.0000	3.4500	3,450.00	18.66
5104	11/03/2009	11/06/2009	71902E109	PNX	1000.0000	3.4500	3,450.00	18.66
5105	01/20/2009	01/23/2009	19247L106	RQI	1000.0000	3.4500	3,450.00	18.66
5106	08/31/2009	09/03/2009	466090107	JASO	-1000.0000	3.4500	(3,450.00)	18.66
5107	03/13/2009	03/18/2009	780097739	RBS.PRS	-1000.0000	3.4500	(3,450.00)	18.66
5108	11/08/2006	11/13/2006	85857R105	STEM	1000.0000	3.4500	3,450.00	18.66
5109	03/18/2009	03/23/2009	055639108	BPZ	1000.0000	3.4499	3,449.90	18.67
5110	08/05/2009	08/10/2009	172967101	C	1000.0000	3.4499	3,449.90	18.67
5111	10/19/2009	10/22/2009	379302102	GRT	-1000.0000	3.4510	(3,451.00)	18.63
5112	12/31/2007	01/04/2008	269246104	ETFC	-1000.0000	3.2588	(3,258.80)	28.26
5113	05/27/2009	06/01/2009	492515101	KERX	-5000.0000	0.6222	(3,111.00)	35.65
5114	04/17/2009	04/22/2009	49803T102	KRG	-1000.0000	3.4530	(3,453.00)	18.56
5115	01/04/2008	01/09/2008	206710204	CCUR	-2500.0000	0.7437	(1,859.25)	41.25
5116	09/13/2007	09/18/2007	55306V106	MIVT	5000.0000	0.4100	2,050.00	41.26
5117	09/10/2008	09/15/2008	897051108	TRX	5000.0000	0.4100	2,050.00	41.26
5118	02/21/2007	02/26/2007	698821105	PCLP	-5000.0000	0.4100	(2,050.00)	41.26
5119	02/16/2007	02/22/2007	247361108	DALRQ	-2500.0000	0.8200	(2,050.00)	41.26
5120	02/01/2008	02/06/2008	98156G100	WDDD	5000.0000	0.4100	2,050.00	41.26
5121	03/01/2010	03/04/2010	74267T109	PRWP	-5000.0000	0.4100	(2,050.00)	41.26
5122	08/10/2006	08/15/2006	928628106	VOII	-5000.0000	0.4100	(2,050.00)	41.26
5123	06/03/2009	06/08/2009	30050F102	EVSO	-1500.0000	1.0300	(1,545.00)	41.26
5124	05/26/2009	05/29/2009	158520106	CHMP	-1788.0000	1.5626	(2,793.93)	41.27
5125	03/16/2010	03/19/2010	989834106	ZF	1000.0000	3.4585	3,458.50	18.38
5126	03/04/2010	03/09/2010	172967101	C	1000.0000	3.4600	3,460.00	18.32
5127	02/18/2010	02/23/2010	172967101	C	1000.0000	3.4600	3,460.00	18.32
5128	06/12/2009	06/17/2009	172967101	C	-1000.0000	3.4600	(3,460.00)	18.32
5129	10/25/2006	10/30/2006	00430L103	ABPI	-1000.0000	3.4600	(3,460.00)	18.32
5130	05/12/2006	05/17/2006	885807107	THLD	1000.0000	3.4600	3,460.00	18.32

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5131	07/15/2009	07/20/2009	109178103	BEXP	1000.0000	3.4600	3,460.00	18.32
5132	01/20/2010	01/25/2010	172967101	C	1000.0000	3.4599	3,459.90	18.33
5133	05/28/2009	06/02/2009	269246104	ETFC	2000.0000	1.4400	2,880.00	41.36
5134	05/26/2009	05/29/2009	370442105	GM	-2000.0000	1.4406	(2,881.20)	41.37
5135	04/27/2006	05/02/2006	05348W109	AVNX	1500.0000	2.3476	3,521.40	15.33
5136	09/05/2006	09/08/2006	131347106	CPNLQ	-8900.0000	0.3500	(3,115.00)	35.67
5137	04/20/2009	04/23/2009	695459107	PAET	-1200.0000	2.1958	(2,634.96)	41.42
5138	08/04/2008	08/07/2008	830695102	SKNY	5700.0000	0.3600	2,052.00	41.42
5139	05/19/2008	05/22/2008	18482P103	CLFD	-1300.0000	1.7337	(2,253.81)	41.45
5140	09/15/2008	09/18/2008	579489105	MNI	1000.0000	3.4690	3,469.00	18.02
5141	12/18/2008	12/23/2008	37247D106	GNW	1000.0000	3.4692	3,469.20	18.02
5142	10/01/2007	10/04/2007	82966U103	SIRI	1000.0000	3.4700	3,470.00	17.99
5143	01/22/2009	01/27/2009	172967101	C	1000.0000	3.4700	3,470.00	17.99
5144	10/20/2008	10/23/2008	037023108	AHR	1000.0000	3.4700	3,470.00	17.99
5145	05/13/2010	05/18/2010	045346103	APPY	-1000.0000	3.4700	(3,470.00)	17.99
5146	04/18/2006	04/21/2006	000919100	AMF	1000.0000	3.4700	3,470.00	17.99
5147	02/22/2008	02/27/2008	920913100	VLT	-953.0000	3.4700	(3,306.91)	26.14
5148	12/16/2008	12/19/2008	67071S101	JTP	-1000.0000	3.4704	(3,470.40)	17.98
5149	03/02/2006	03/07/2006	46612J101	JDSU	-1000.0000	3.2785	(3,278.50)	27.58
5150	10/23/2009	10/28/2009	52729N100	LVLTL	-1341.0000	1.3500	(1,810.35)	41.50
5151	08/07/2009	08/12/2009	26985R104	EROC	-1000.0000	3.4720	(3,472.00)	17.92
5152	03/10/2008	03/13/2008	52171N103	LDIS	-1700.0000	1.9120	(3,250.40)	29.06
5153	06/30/2009	07/06/2009	19247V104	RPF	-1000.0000	3.4760	(3,476.00)	17.79
5154	07/07/2006	07/12/2006	602682106	MSPD	-1400.0000	2.1304	(2,982.56)	41.59
5155	08/05/2009	08/10/2009	024061103	AXL	1000.0000	3.4787	3,478.70	17.70
5156	03/16/2010	03/19/2010	989834106	ZF	1000.0000	3.4785	3,478.50	17.71
5157	12/17/2008	12/22/2008	37247D106	GNW	1000.0000	3.4792	3,479.20	17.68
5158	09/19/2008	09/24/2008	026874107	AIG	1000.0000	3.4799	3,479.90	17.66
5159	02/22/2010	02/25/2010	799560107	SNWT	13700.0000	0.1500	2,055.00	41.65
5160	11/01/2007	11/06/2007	462070103	IOTN	-1000.0000	3.4800	(3,480.00)	17.66
5161	11/12/2009	11/17/2009	319383105	BUSE	-1000.0000	3.4800	(3,480.00)	17.66
5162	02/22/2007	02/27/2007	865378103	SUF	1000.0000	3.4800	3,480.00	17.66
5163	09/08/2006	09/13/2006	220524300	COR	-1000.0000	3.4800	(3,480.00)	17.66
5164	04/14/2010	04/19/2010	750491102	RDNT	1000.0000	3.4800	3,480.00	17.66
5165	12/10/2007	12/13/2007	52729N100	LVLTL	1000.0000	3.6717	3,671.70	8.11
5166	03/08/2006	03/13/2006	46612J101	JDSU	1000.0000	3.6417	3,641.70	9.61
5167	03/17/2009	03/20/2009	852061100	S	-1000.0000	3.4840	(3,484.00)	17.52
5168	06/16/2006	06/21/2006	549463107	LU	-1201.0000	2.4001	(2,882.52)	41.72
5169	08/03/2009	08/06/2009	14141A108	CSCX	-1000.0000	3.4878	(3,487.80)	17.39
5170	03/16/2010	03/19/2010	989834106	ZF	1000.0000	3.4875	3,487.50	17.41
5171	10/13/2008	10/16/2008	15133V208	CYCL	1000.0000	3.4900	3,490.00	17.32
5172	04/30/2009	05/05/2009	817337405	SQNM	1000.0000	3.4900	3,490.00	17.32
5173	04/17/2006	04/20/2006	46612J101	JDSU	-1000.0000	3.4900	(3,490.00)	17.32
5174	12/16/2009	12/21/2009	172967101	C	1000.0000	3.4899	3,489.90	17.33
5175	02/03/2009	02/06/2009	195493309	CNB	-3350.0000	0.6142	(2,057.57)	41.85
5176	06/26/2007	06/29/2007	26884J104	ERHE	-9000.0000	0.2950	(2,655.00)	41.89
5177	04/06/2009	04/09/2009	370021107	GGWPQ	1500.0000	1.0494	1,574.10	41.91
5178	05/13/2008	05/16/2008	516012101	LCI	1000.0000	3.4960	3,496.00	17.12
5179	06/27/2007	07/02/2007	106786106	BREK	11550.0000	0.2500	2,887.50	41.92
5180	01/17/2006	01/20/2006	59515H106	MIOK	-2000.0000	1.4600	(2,920.00)	41.93
5181	06/02/2009	06/05/2009	767754104	RAD	2000.0000	1.4600	2,920.00	41.93
5182	02/24/2006	03/01/2006	96328T109	WFYW	2000.0000	1.4600	2,920.00	41.93
5183	02/11/2010	02/17/2010	549282101	LUB	1000.0000	3.4972	3,497.20	17.08
5184	08/22/2006	08/25/2006	093440105	BESV	-1750.0000	1.1800	(2,065.00)	41.94
5185	03/04/2008	03/07/2008	918914102	VLNC	1000.0000	3.4980	3,498.00	17.05
5186	03/16/2009	03/19/2009	552953101	MGM	1000.0000	3.4980	3,498.00	17.05
5187	03/16/2009	03/19/2009	552953101	MGM	1000.0000	3.4980	3,498.00	17.05

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5188	11/20/2008	11/25/2008	370442105	GM	1000.0000	3.4985	3,498.50	17.04
5189	09/16/2008	09/19/2008	026874107	AIG	1000.0000	3.5000	3,500.00	16.99
5190	09/09/2009	09/14/2009	247907207	DPTR	-1000.0000	3.5000	(3,500.00)	16.99
5191	12/10/2008	12/15/2008	713278109	PBY	-1000.0000	3.5000	(3,500.00)	16.99
5192	09/12/2008	09/17/2008	524908100	LEH	1000.0000	3.5000	3,500.00	16.99
5193	10/13/2008	10/16/2008	15133V208	CYCL	1000.0000	3.5000	3,500.00	16.99
5194	12/17/2008	12/22/2008	37247D106	GNW	1000.0000	3.5000	3,500.00	16.99
5195	12/18/2008	12/23/2008	370442105	GM	-1000.0000	3.5000	(3,500.00)	16.99
5196	10/11/2010	10/14/2010	05348P401	AVNR	1000.0000	3.5000	3,500.00	16.99
5197	06/09/2009	06/12/2009	804098101	SAY	-1000.0000	3.5000	(3,500.00)	16.99
5198	02/20/2009	02/25/2009	060505104	BAC	1000.0000	3.5000	3,500.00	16.99
5199	12/16/2009	12/21/2009	172967101	C	1000.0000	3.5000	3,500.00	16.99
5200	12/16/2009	12/21/2009	172967101	C	1000.0000	3.5000	3,500.00	16.99
5201	09/08/2006	09/13/2006	45253H101	IMGN	-1000.0000	3.5000	(3,500.00)	16.99
5202	11/13/2007	11/16/2007	82966U103	SIRI	-1000.0000	3.5000	(3,500.00)	16.99
5203	12/05/2008	12/10/2008	35952H106	FCEL	1000.0000	3.5000	3,500.00	16.99
5204	11/07/2008	11/13/2008	920961109	VVR	1000.0000	3.5000	3,500.00	16.99
5205	03/27/2009	04/01/2009	466090107	JASO	-1000.0000	3.5000	(3,500.00)	16.99
5206	09/18/2009	09/23/2009	389465204	GRMH	1000.0000	3.5000	3,500.00	16.99
5207	08/16/2007	08/21/2007	98211W108	WPTE	-1000.0000	3.5000	(3,500.00)	16.99
5208	01/12/2006	01/18/2006	989513205	ZILA	-950.0000	3.5000	(3,325.00)	25.74
5209	08/20/2009	08/25/2009	007903107	AMD	-1000.0000	3.5010	(3,501.00)	16.95
5210	08/09/2006	08/14/2006	82966U103	SIRI	-919.0000	3.9200	(3,602.48)	11.89
5211	12/23/2009	12/29/2009	019228402	AIB	-1000.0000	3.5017	(3,501.70)	16.93
5212	02/22/2010	02/25/2010	30211M103	EXOU	-20000.0000	0.1700	(3,400.00)	22.04
5213	11/19/2008	11/24/2008	003009107	FAX	-928.0000	3.7900	(3,517.12)	16.20
5214	03/12/2009	03/17/2009	01903Q108	ALD	2000.0000	1.0500	2,100.00	42.07
5215	12/02/2008	12/05/2008	717148100	PDGI	2000.0000	1.0499	2,099.80	42.07
5216	04/09/2007	04/12/2007	02368F108	AATK	-4000.0000	0.8503	(3,401.20)	22.03
5217	02/20/2009	02/25/2009	060505104	BAC	1000.0000	3.5070	3,507.00	16.75
5218	10/05/2007	10/11/2007	367220100	GSX	1250.0000	1.7597	2,199.63	42.10
5219	08/14/2008	08/19/2008	26200S101	DHF	-1039.0000	3.3500	(3,480.65)	18.08
5220	04/22/2009	04/27/2009	720279108	PIR	-1250.0000	1.7603	(2,200.38)	42.12
5221	01/09/2007	01/12/2007	550520100	LHCS	1400.0000	1.3700	1,918.00	42.12
5222	11/06/2006	11/09/2006	549463107	LU	-1112.0000	2.4300	(2,702.16)	42.14
5223	06/02/2009	06/05/2009	172967101	C	-1000.0000	3.5102	(3,510.20)	16.64
5224	02/11/2009	02/17/2009	427093109	HERO	-1000.0000	3.5100	(3,510.00)	16.65
5225	10/13/2008	10/16/2008	15133V208	CYCL	1000.0000	3.5100	3,510.00	16.65
5226	03/29/2006	04/03/2006	80862K104	SCLN	-1000.0000	3.5100	(3,510.00)	16.65
5227	02/23/2009	02/26/2009	060505815	BAC.PRE	1000.0000	3.5100	3,510.00	16.65
5228	02/23/2009	02/26/2009	060505815	BAC.PRE	1000.0000	3.5100	3,510.00	16.65
5229	03/14/2006	03/17/2006	302311105	EZEN	-1000.0000	3.5100	(3,510.00)	16.65
5230	01/14/2010	01/20/2010	172967101	C	1000.0000	3.5099	3,509.90	16.66
5231	01/14/2010	01/20/2010	172967101	C	1000.0000	3.5099	3,509.90	16.66
5232	01/14/2010	01/20/2010	172967101	C	1000.0000	3.5099	3,509.90	16.66
5233	08/10/2007	08/15/2007	91688T104	URZ	1000.0000	3.5099	3,509.90	16.66
5234	09/02/2009	09/08/2009	920961109	VVR	-1000.0000	3.5110	(3,511.00)	16.62
5235	11/17/2009	11/20/2009	019645506	ALY	-1000.0000	3.5120	(3,512.00)	16.58
5236	04/27/2007	05/02/2007	26884J104	ERHE	-10000.0000	0.3800	(3,800.00)	2.19
5237	06/17/2009	06/22/2009	91688W107	UPTR	-10000.0000	0.3200	(3,200.00)	32.19
5238	07/12/2010	07/15/2010	37990W103	GHLV	7796.0000	0.3000	2,338.80	42.20
5239	06/03/2009	06/08/2009	026874107	AIG	-2000.0000	1.4700	(2,940.00)	42.23
5240	08/20/2010	08/25/2010	52729N100	LVL	-1445.0000	1.0600	(1,531.70)	42.26
5241	01/29/2008	02/01/2008	98974P100	ZIXI	1000.0000	3.5170	3,517.00	16.42
5242	04/14/2009	04/17/2009	607828100	MOD	1000.0000	3.5184	3,518.40	16.37
5243	01/28/2009	02/02/2009	062128103	BOFL	1000.0000	3.5181	3,518.10	16.39
5244	01/26/2006	01/31/2006	629248105	NMSS	1000.0000	3.7123	3,712.30	6.69

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5245	01/26/2006	01/31/2006	629248105	NMSS	1000.0000	3.7123	3,712.30	6.69
5246	04/13/2007	04/18/2007	318465101	FACT	-1800.0000	1.6000	(2,880.00)	42.31
5247	05/16/2007	05/21/2007	865378103	SUF	1000.0000	3.5200	3,520.00	16.32
5248	05/20/2010	05/25/2010	501014104	KKD	-1000.0000	3.5209	(3,520.90)	16.29
5249	11/30/2009	12/03/2009	78402X107	DDD	5168.0000	0.5200	2,687.36	42.36
5250	11/13/2009	11/18/2009	285106209	EEEI	12338.0000	0.1673	2,064.15	42.36
5251	01/19/2007	01/24/2007	220524300	COR	-2000.0000	1.0609	(2,121.80)	42.41
5252	04/02/2007	04/05/2007	190643106	COTE	-5900.0000	0.3500	(2,065.00)	42.42
5253	10/06/2008	10/09/2008	83426W101	SOLM	295000.0000	0.0070	2,065.00	42.42
5254	03/31/2009	04/03/2009	02503Y103	ACAS	-1647.0000	1.9500	(3,211.65)	31.86
5255	03/09/2009	03/12/2009	060505104	BAC	1000.0000	3.5280	3,528.00	16.05
5256	03/16/2009	03/19/2009	517834107	LVS	1200.0000	2.2400	2,688.00	42.45
5257	08/22/2006	08/25/2006	549463107	LU	-1162.0000	2.2400	(2,602.88)	42.45
5258	10/07/2009	10/13/2009	720279108	PIR	1000.0000	3.5295	3,529.50	16.00
5259	01/27/2009	01/30/2009	172967101	C	1000.0000	3.5292	3,529.20	16.01
5260	08/08/2006	08/11/2006	29088W301	EMRG	-1740.0000	1.6058	(2,794.09)	42.47
5261	10/18/2010	10/21/2010	095333100	BLU	-1000.0000	3.5300	(3,530.00)	15.98
5262	12/15/2008	12/18/2008	746853100	PPT	-1000.0000	3.5300	(3,530.00)	15.98
5263	02/27/2009	03/04/2009	025676206	AEL	1000.0000	3.5300	3,530.00	15.98
5264	10/13/2008	10/16/2008	15133V208	CYCL	1000.0000	3.5300	3,530.00	15.98
5265	12/29/2006	01/04/2007	82966U103	SIRI	-1000.0000	3.5300	(3,530.00)	15.98
5266	12/28/2006	01/03/2007	82966U103	SIRI	-1000.0000	3.5300	(3,530.00)	15.98
5267	11/11/2008	11/14/2008	466090107	JASO	1000.0000	3.5300	3,530.00	15.98
5268	06/24/2010	06/29/2010	212172100	CNU	-1000.0000	3.5302	(3,530.20)	15.98
5269	05/16/2007	05/21/2007	68338A107	ONT	-1000.0000	3.5312	(3,531.20)	15.94
5270	06/02/2009	06/05/2009	313586109	FNM	4000.0000	0.7244	2,897.60	42.50
5271	03/12/2009	03/17/2009	316773100	FITB	2000.0000	1.4800	2,960.00	42.51
5272	10/08/2008	10/14/2008	920961109	VVR	-1000.0000	3.5325	(3,532.50)	15.90
5273	03/16/2006	03/21/2006	439104100	HH	-1185.0000	2.5000	(2,962.50)	42.52
5274	12/21/2007	12/27/2007	317923100	FINL	1037.0000	2.8500	2,955.45	42.55
5275	09/08/2008	09/11/2008	313586109	FNM	2000.0000	1.0660	2,132.00	42.57
5276	04/13/2009	04/16/2009	749227104	RAS	-1330.0000	1.4300	(1,901.90)	42.59
5277	04/06/2009	04/09/2009	345370860	F	1000.0000	3.5370	3,537.00	15.75
5278	08/21/2009	08/26/2009	920961109	VVR	1000.0000	3.5390	3,539.00	15.68
5279	09/24/2008	09/29/2008	695459107	PAET	1200.0000	2.2485	2,698.20	42.64
5280	12/28/2006	01/03/2007	82966U103	SIRI	-1000.0000	3.5400	(3,540.00)	15.65
5281	02/10/2006	02/15/2006	767754104	RAD	-1000.0000	3.5400	(3,540.00)	15.65
5282	01/12/2010	01/15/2010	172967101	C	1000.0000	3.5399	3,539.90	15.66
5283	12/15/2009	12/18/2009	172967101	C	1000.0000	3.5399	3,539.90	15.66
5284	10/12/2009	10/15/2009	46062P201	INAR	-1000000.0000	0.0029	(2,900.00)	42.65
5285	05/22/2006	05/25/2006	020814208	APTD	-10000.0000	0.2900	(2,900.00)	42.65
5286	11/28/2008	12/03/2008	195493309	CNB	-1107.0000	2.4906	(2,757.09)	42.68
5287	04/17/2009	04/22/2009	721467108	PGPDQ	-1149.0000	2.2500	(2,585.25)	42.68
5288	11/19/2008	11/24/2008	174420109	CRBC	-1670.0000	1.9620	(3,276.54)	28.87
5289	05/06/2010	05/11/2010	82967N108	SIRI	-2500.0000	0.8800	(2,200.00)	42.70
5290	02/13/2009	02/19/2009	64126L108	NOX	-1000.0000	3.5440	(3,544.00)	15.51
5291	04/06/2009	04/09/2009	984117101	XNN	3000.0000	0.6896	2,068.80	42.72
5292	09/08/2010	09/13/2010	04033A100	ARIA	1000.0000	3.5482	3,548.20	15.37
5293	04/26/2010	04/29/2010	984249102	YRCW	3000.0000	0.7824	2,347.20	42.78
5294	12/09/2008	12/12/2008	37247D106	GNW	1400.0000	2.7300	3,822.00	1.69
5295	01/19/2006	01/24/2006	171779101	CIEN	-1000.0000	3.3572	(3,357.20)	24.94
5296	11/20/2007	11/26/2007	20461S108	CPTC	2000.0000	1.4900	2,980.00	42.80
5297	04/13/2009	04/16/2009	269246104	ETFC	2000.0000	1.4899	2,979.80	42.80
5298	07/22/2009	07/27/2009	913290102	UNTY	-1000.0000	3.5500	(3,550.00)	15.31
5299	04/17/2007	04/20/2007	03822W109	AMCC	-1000.0000	3.5500	(3,550.00)	15.31
5300	06/13/2007	06/18/2007	630797108	NAPS	-1000.0000	3.5500	(3,550.00)	15.31
5301	10/11/2007	10/16/2007	90338S102	UGTH	1000.0000	3.5500	3,550.00	15.31

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5302	07/16/2009	07/21/2009	530158104	USA	-1000.0000	3.5500	(3,550.00)	15.31
5303	04/12/2007	04/17/2007	67060N204	NTRZ	1000.0000	3.5500	3,550.00	15.31
5304	04/12/2007	04/17/2007	67060N204	NTRZ	1000.0000	3.5500	3,550.00	15.31
5305	05/28/2008	06/02/2008	55307U107	NUT	1000.0000	3.5500	3,550.00	15.31
5306	01/21/2009	01/26/2009	172967101	C	1000.0000	3.5497	3,549.70	15.33
5307	04/15/2009	04/20/2009	885535104	COMS	1000.0000	3.5497	3,549.70	15.33
5308	01/08/2010	01/13/2010	23254L108	CYCC	950.0000	3.5499	3,372.41	24.19
5309	03/27/2007	03/30/2007	247361108	DALRQ	-3000.0000	0.6900	(2,070.00)	42.81
5310	11/17/2008	11/20/2008	345370860	F	1286.0000	1.7885	2,300.01	42.82
5311	08/21/2009	08/26/2009	26985R104	EROC	-1000.0000	3.5510	(3,551.00)	15.28
5312	05/11/2009	05/14/2009	767754104	RAD	1500.0000	1.0790	1,618.50	42.88
5313	01/16/2008	01/22/2008	67060N204	NTRZ	2500.0000	1.2590	3,147.50	35.53
5314	11/09/2006	11/14/2006	98385L102	XSNX	5000.0000	0.5000	2,500.00	42.92
5315	07/07/2009	07/10/2009	74347R743	UYG	-1000.0000	3.5604	(3,560.40)	14.96
5316	06/04/2009	06/09/2009	172967101	C	1000.0000	3.5600	3,560.00	14.98
5317	07/11/2007	07/16/2007	910873207	UMC	1000.0000	3.5600	3,560.00	14.98
5318	01/08/2010	01/13/2010	172967101	C	1000.0000	3.5599	3,559.90	14.99
5319	11/20/2006	11/24/2006	87929J103	TSYS	-1000.0000	3.3670	(3,367.00)	24.65
5320	02/01/2007	02/06/2007	247361108	DALRQ	-2000.0000	1.0800	(2,160.00)	43.00
5321	03/02/2007	03/07/2007	82966U103	SIRI	-1000.0000	3.5620	(3,562.00)	14.91
5322	11/12/2009	11/17/2009	554225102	MCBC	-1826.0000	1.8549	(3,387.05)	23.66
5323	12/09/2008	12/12/2008	81616X103	SCSS	10000.0000	0.2698	2,698.00	43.02
5324	11/19/2008	11/24/2008	345370860	F	2000.0000	1.4985	2,997.00	43.05
5325	10/05/2006	10/11/2006	641876107	HYB	-1331.0000	2.2510	(2,996.08)	43.08
5326	12/05/2008	12/10/2008	023139108	ABK	2000.0000	1.4999	2,999.80	43.09
5327	12/18/2008	12/23/2008	559778402	MTE	1000.0000	3.5680	3,568.00	14.71
5328	04/14/2008	04/17/2008	05348W109	AVNX	3100.0000	0.7321	2,269.67	43.13
5329	10/02/2008	10/07/2008	003687100	ABH	1000.0000	3.5700	3,570.00	14.64
5330	06/05/2009	06/10/2009	172967101	C	1000.0000	3.5700	3,570.00	14.64
5331	01/07/2010	01/12/2010	427825104	HT	1000.0000	3.5700	3,570.00	14.64
5332	08/01/2007	08/06/2007	67611R100	ODYC	9000.0000	0.3000	2,700.00	43.14
5333	03/27/2009	04/01/2009	60886R103	MCOAQ	15000.0000	0.1800	2,700.00	43.14
5334	02/15/2006	02/21/2006	549463107	LU	-1100.0000	2.8400	(3,124.00)	36.95
5335	09/23/2009	09/28/2009	14052H506	CT	-1000.0000	3.5721	(3,572.10)	14.58
5336	06/12/2008	06/17/2008	602682106	MSPD	-3000.0000	0.9002	(2,700.60)	43.18
5337	06/01/2007	06/06/2007	40066G101	GZGT	-1100.0000	2.8450	(3,129.50)	36.77
5338	08/24/2007	08/29/2007	928497106	VTSS	-1500.0000	1.0900	(1,635.00)	43.25
5339	03/20/2008	03/26/2008	87929J103	TSYS	1100.0000	3.0657	3,372.27	24.66
5340	09/16/2008	09/19/2008	026874107	AIG	-1000.0000	3.5801	(3,580.10)	14.31
5341	02/12/2009	02/18/2009	172967101	C	1000.0000	3.5800	3,580.00	14.31
5342	12/26/2006	12/29/2006	073677106	BCON	-2000.0000	1.0900	(2,180.00)	43.31
5343	08/30/2006	09/05/2006	125750109	CMGI	2000.0000	1.0900	2,180.00	43.31
5344	01/17/2007	01/22/2007	871630109	SYNM	1000.0000	3.6994	3,699.40	8.36
5345	03/31/2008	04/03/2008	243586104	DCGN	2000.0000	1.5096	3,019.20	42.38
5346	04/14/2008	04/17/2008	957669104	ZIF	-1200.0000	2.2800	(2,736.00)	43.37
5347	03/09/2009	03/12/2009	71902E109	PNX	10000.0000	0.2078	2,078.00	43.43
5348	02/03/2009	02/06/2009	172967101	C	1000.0000	3.5892	3,589.20	14.00
5349	02/02/2009	02/05/2009	172967101	C	1000.0000	3.5892	3,589.20	14.00
5350	02/14/2007	02/20/2007	82966U103	SIRI	-1000.0000	3.5900	(3,590.00)	13.97
5351	01/06/2010	01/11/2010	594960106	MVIS	1000.0000	3.5899	3,589.90	13.98
5352	10/28/2008	10/31/2008	989834106	ZF	1075.0000	2.8585	3,072.89	39.84
5353	04/21/2009	04/24/2009	59318D104	CXE	1000.0000	3.5909	3,590.90	13.95
5354	04/21/2006	04/26/2006	98385L102	XSNX	1273.0000	1.8150	2,310.50	43.49
5355	07/21/2006	07/26/2006	800907107	SANM	1000.0000	3.7835	3,783.50	4.32
5356	12/06/2006	12/11/2006	03822W109	AMCC	-1000.0000	3.5920	(3,592.00)	13.91
5357	02/01/2006	02/06/2006	029569100	ARSC	-5300.0000	0.5500	(2,915.00)	43.51
5358	08/05/2008	08/08/2008	82966U103	SIRI	2000.0000	1.5195	3,039.00	41.63

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5359	09/10/2008	09/15/2008	10501E102	BCLI	-10000.0000	0.2080	(2,080.00)	43.58
5360	08/24/2009	08/27/2009	58977Q109	TMR	-4000.0000	0.5200	(2,080.00)	43.58
5361	09/10/2009	09/15/2009	796043107	SSN	4000.0000	0.5200	2,080.00	43.58
5362	05/02/2008	05/07/2008	04289L107	ARTG	1000.0000	3.5980	3,598.00	13.71
5363	01/26/2006	01/31/2006	52729N100	LVLTL	1000.0000	3.5990	3,599.00	13.67
5364	03/12/2009	03/17/2009	620076109	MOT	1000.0000	3.5986	3,598.60	13.69
5365	10/20/2006	10/25/2006	501879100	LITL	-2000.0000	1.1000	(2,200.00)	43.62
5366	01/23/2008	01/28/2008	64049M209	NGNM	2000.0000	1.1000	2,200.00	43.62
5367	07/15/2010	07/20/2010	989837109	ZTR	-1000.0000	3.6001	(3,600.10)	13.64
5368	05/14/2007	05/17/2007	131910101	CAMH	1000.0000	3.6000	3,600.00	13.64
5369	09/29/2008	10/02/2008	845905108	SOV	1000.0000	3.6000	3,600.00	13.64
5370	04/14/2010	04/19/2010	943526301	WAVX	-1000.0000	3.6000	(3,600.00)	13.64
5371	04/14/2010	04/19/2010	943526301	WAVX	-1000.0000	3.6000	(3,600.00)	13.64
5372	09/01/2010	09/07/2010	64190A103	NRO	-1000.0000	3.6000	(3,600.00)	13.64
5373	12/05/2007	12/10/2007	82966U103	SIRI	-1000.0000	3.6000	(3,600.00)	13.64
5374	06/27/2007	07/02/2007	131347106	CPNLQ	1000.0000	3.6000	3,600.00	13.64
5375	10/29/2007	11/01/2007	82966U103	SIRI	-950.0000	3.6000	(3,420.00)	22.64
5376	12/16/2009	12/21/2009	032515108	ANAD	-1000.0000	3.6008	(3,600.80)	13.61
5377	04/17/2006	04/20/2006	651360109	NWGN	-2000.0000	1.4500	(2,900.00)	43.65
5378	01/15/2010	01/21/2010	984249102	YRCW	4000.0000	0.9385	3,754.00	5.96
5379	02/22/2008	02/27/2008	404609109	HCKT	-1000.0000	3.6015	(3,601.50)	13.59
5380	08/07/2006	08/10/2006	52729N100	LVLTL	-1000.0000	3.6010	(3,601.00)	13.61
5381	01/12/2006	01/18/2006	767754104	RAD	-1000.0000	3.7201	(3,720.10)	7.66
5382	04/18/2006	04/21/2006	651360109	NWGN	-2000.0000	1.5000	(3,000.00)	43.67
5383	10/18/2007	10/23/2007	800907107	SANM	-1200.0000	2.1686	(2,602.32)	43.68
5384	04/30/2009	05/05/2009	728117300	PLA	1100.0000	2.8700	3,157.00	35.84
5385	01/04/2010	01/07/2010	391523107	WOLF	-1125.0000	2.4127	(2,714.29)	43.76
5386	05/11/2009	05/14/2009	19247V104	RPF	1000.0000	3.6080	3,608.00	13.37
5387	03/11/2009	03/16/2009	628458104	MFSF	1000.0000	3.6078	3,607.80	13.38
5388	01/31/2007	02/05/2007	654798503	NTMD	1000.0000	3.6082	3,608.20	13.37
5389	03/13/2009	03/18/2009	001765106	AMR	1000.0000	3.6100	3,610.00	13.30
5390	03/17/2009	03/20/2009	852061100	S	-1000.0000	3.6100	(3,610.00)	13.30
5391	03/11/2009	03/16/2009	025676206	AEL	1000.0000	3.6100	3,610.00	13.30
5392	02/15/2007	02/21/2007	82966U103	SIRI	1000.0000	3.6100	3,610.00	13.30
5393	10/19/2007	10/24/2007	82966U103	SIRI	-1000.0000	3.6100	(3,610.00)	13.30
5394	05/12/2010	05/17/2010	00752H102	ABAT	-1000.0000	3.6102	(3,610.20)	13.30
5395	04/21/2009	04/24/2009	629519109	NABI	-1000.0000	3.6110	(3,611.00)	13.27
5396	03/05/2008	03/10/2008	75061P102	RAE	-1200.0000	2.3000	(2,760.00)	43.84
5397	04/03/2006	04/06/2006	093698108	BDR	-1200.0000	2.3000	(2,760.00)	43.84
5398	01/23/2006	01/26/2006	125318105	THK	1200.0000	2.3000	2,760.00	43.84
5399	08/15/2008	08/20/2008	29335P109	ENLG	-41667.0000	0.0500	(2,083.35)	43.84
5400	07/17/2008	07/22/2008	98974P100	ZIXI	-1000.0000	3.6130	(3,613.00)	13.20
5401	01/20/2009	01/23/2009	336453105	FSNM	2200.0000	1.0400	2,288.00	43.85
5402	09/02/2009	09/08/2009	31787A101	FNSR	-2500.0000	0.8336	(2,084.00)	43.89
5403	01/04/2007	01/09/2007	31787A101	FNSR	-1002.0000	3.2600	(3,266.52)	30.57
5404	03/24/2010	03/29/2010	87161C105	SNV	1000.0000	3.6190	3,619.00	13.00
5405	02/12/2007	02/15/2007	150921104	CEGE	1000.0000	3.6200	3,620.00	12.97
5406	09/28/2010	10/01/2010	055639108	BPZ	-1000.0000	3.6200	(3,620.00)	12.97
5407	11/06/2007	11/09/2007	88033G100	THC	1000.0000	3.6200	3,620.00	12.97
5408	03/29/2006	04/03/2006	86880T100	SRGG	-1000.0000	3.6200	(3,620.00)	12.97
5409	04/07/2008	04/10/2008	26200S101	DHF	-1000.0000	3.6206	(3,620.60)	12.95
5410	04/07/2009	04/13/2009	64190A103	NRO	-2655.0000	1.4300	(3,796.65)	4.16
5411	12/17/2009	12/22/2009	916896103	UEC	-1000.0000	3.6210	(3,621.00)	12.94
5412	06/29/2007	07/05/2007	217721109	COPY	-5000.0000	0.6060	(3,030.00)	42.50
5413	03/01/2007	03/06/2007	206710204	CCUR	-2000.0000	1.4630	(2,926.00)	44.00
5414	09/10/2007	09/13/2007	09250E107	HIS	1500.0000	2.3097	3,464.55	20.80
5415	12/14/2009	12/17/2009	16944I103	CHTL	4000.0000	0.8600	3,440.00	22.04

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5416	10/18/2010	10/21/2010	218352102	CORT	-1000.0000	3.6250	(3,625.00)	12.80
5417	04/17/2006	04/20/2006	651360109	NWGN	-2000.0000	1.5800	(3,160.00)	36.06
5418	05/31/2007	06/05/2007	68403P104	ORMP	5300.0000	0.7100	3,763.00	5.92
5419	03/26/2009	03/31/2009	001765106	AMR	1000.0000	3.6270	3,627.00	12.74
5420	02/20/2009	02/25/2009	060505104	BAC	1000.0000	3.6270	3,627.00	12.74
5421	11/30/2009	12/03/2009	749227104	RAS	2000.0000	1.5399	3,079.80	40.10
5422	01/19/2010	01/22/2010	916896103	UEC	1000.0000	3.6299	3,629.90	12.64
5423	07/13/2010	07/16/2010	989837109	ZTR	-1000.0000	3.6305	(3,630.50)	12.62
5424	09/11/2006	09/14/2006	922281100	VSTY	1000.0000	3.6300	3,630.00	12.64
5425	06/23/2006	06/28/2006	421924101	HLSH	-1000.0000	3.6300	(3,630.00)	12.64
5426	07/14/2010	07/19/2010	989837109	ZTR	-1000.0000	3.6310	(3,631.00)	12.60
5427	07/14/2010	07/19/2010	989837109	ZTR	-1000.0000	3.6310	(3,631.00)	12.60
5428	11/30/2007	12/05/2007	767754104	RAD	-950.0000	3.6310	(3,449.45)	21.68
5429	12/04/2009	12/09/2009	228895108	CCEL	-1500.0000	1.8333	(2,749.95)	44.18
5430	10/13/2008	10/16/2008	767754104	RAD	3000.0000	0.6960	2,088.00	44.20
5431	05/05/2010	05/10/2010	82967N108	SIRI	-2000.0000	1.1202	(2,240.40)	44.24
5432	11/16/2009	11/19/2009	313400301	FRE	-2000.0000	1.1201	(2,240.20)	44.24
5433	03/22/2006	03/27/2006	150925204	CLST	1000.0000	3.6400	3,640.00	12.30
5434	07/13/2010	07/16/2010	989837109	ZTR	-1000.0000	3.6410	(3,641.00)	12.27
5435	05/15/2006	05/18/2006	98849X205	YUKR	-2000.0000	1.5500	(3,100.00)	39.33
5436	12/01/2009	12/04/2009	233384106	SRQ	-1000.0000	3.6423	(3,642.30)	12.23
5437	10/10/2006	10/13/2006	37958F209	GTE	-10000.0000	0.2600	(2,600.00)	44.35
5438	08/25/2009	08/28/2009	313586109	FNM	1250.0000	1.8499	2,312.38	44.36
5439	06/14/2007	06/19/2007	05348W109	AVNX	-2000.0000	1.4828	(2,965.60)	44.40
5440	01/25/2008	01/30/2008	206710204	CCUR	3000.0000	0.8548	2,564.40	44.40
5441	01/13/2006	01/19/2006	37245M207	GNTA	2100.0000	1.8000	3,780.00	5.42
5442	01/13/2006	01/19/2006	37245M207	GNTA	2100.0000	1.8000	3,780.00	5.42
5443	09/29/2006	10/04/2006	989414107	ZIF	1100.0000	2.9100	3,201.00	34.37
5444	08/01/2006	08/04/2006	639208107	NAVR	1000.0000	3.6483	3,648.30	12.03
5445	09/15/2010	09/20/2010	34958B106	FIG	1000.0000	3.6491	3,649.10	12.00
5446	05/20/2010	05/25/2010	172967101	C	1000.0000	3.6499	3,649.90	11.97
5447	10/14/2009	10/19/2009	482740206	KV.A	1000.0000	3.6499	3,649.90	11.97
5448	05/27/2009	06/01/2009	19238U107	CSA	1000.0000	3.6499	3,649.90	11.97
5449	12/26/2006	12/29/2006	82966U103	SIRI	-1000.0000	3.6500	(3,650.00)	11.97
5450	02/21/2006	02/24/2006	629248105	NMSS	1000.0000	3.6500	3,650.00	11.97
5451	07/11/2006	07/14/2006	00511R870	ACUS	1000.0000	3.6500	3,650.00	11.97
5452	07/24/2009	07/29/2009	670002104	NVAX	1000.0000	3.6500	3,650.00	11.97
5453	07/24/2009	07/29/2009	670002104	NVAX	1000.0000	3.6500	3,650.00	11.97
5454	05/02/2006	05/05/2006	743642100	PRTX	1000.0000	3.6500	3,650.00	11.97
5455	04/02/2007	04/05/2007	800907107	SANM	-1000.0000	3.4555	(3,455.50)	21.73
5456	12/17/2009	12/22/2009	701354102	PRKR	2000.0000	1.5577	3,115.40	38.76
5457	10/04/2006	10/10/2006	89376R109	TMTA	-1500.0000	1.1300	(1,695.00)	44.57
5458	09/02/2010	09/08/2010	82967N108	SIRI	-2100.0000	0.9967	(2,093.07)	44.59
5459	04/11/2007	04/16/2007	871206108	SCMR	-1000.0000	3.4654	(3,465.40)	21.33
5460	01/15/2009	01/21/2009	172967101	C	1000.0000	3.6592	3,659.20	11.66
5461	05/06/2010	05/11/2010	554225102	MCBC	-1300.0000	1.8600	(2,418.00)	44.62
5462	05/16/2008	05/21/2008	561911108	HYF	1000.0000	3.6600	3,660.00	11.63
5463	05/16/2008	05/21/2008	561911108	HYF	1000.0000	3.6600	3,660.00	11.63
5464	05/07/2009	05/12/2009	172967101	C	-1000.0000	3.6600	(3,660.00)	11.63
5465	02/09/2006	02/14/2006	85857R105	STEM	1000.0000	3.6600	3,660.00	11.63
5466	05/16/2008	05/21/2008	561911108	HYF	1000.0000	3.6600	3,660.00	11.63
5467	08/25/2010	08/30/2010	172967101	C	1000.0000	3.6600	3,660.00	11.63
5468	01/06/2010	01/11/2010	172967101	C	1000.0000	3.6599	3,659.90	11.64
5469	10/22/2009	10/27/2009	74269U104	PKT	-5000.0000	0.5449	(2,724.50)	44.65
5470	11/15/2006	11/20/2006	049156102	ATPL	-1000.0000	3.6630	(3,663.00)	11.53
5471	04/25/2006	04/28/2006	68267M106	OLNK	-2000.0000	1.1350	(2,270.00)	44.70
5472	02/20/2009	02/25/2009	060505104	BAC	1000.0000	3.6670	3,667.00	11.40

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5473	12/23/2008	12/29/2008	447011107	HUN	1000.0000	3.6680	3,668.00	11.36
5474	08/31/2010	09/03/2010	172967101	C	1000.0000	3.6685	3,668.50	11.35
5475	05/20/2010	05/25/2010	172967101	C	1000.0000	3.6687	3,668.70	11.35
5476	03/06/2006	03/09/2006	466121101	JDSU	1000.0000	3.8648	3,864.80	1.56
5477	01/12/2006	01/18/2006	049513104	ATML	-1000.0000	3.6700	(3,670.00)	11.30
5478	05/21/2009	05/27/2009	172967101	C	-1000.0000	3.6700	(3,670.00)	11.30
5479	01/12/2006	01/18/2006	767754104	RAD	-1000.0000	3.6700	(3,670.00)	11.30
5480	02/17/2006	02/23/2006	302311105	EZEN	-1000.0000	3.6700	(3,670.00)	11.30
5481	05/15/2008	05/20/2008	92933E108	WSFG	1000.0000	3.6699	3,669.90	11.31
5482	07/15/2008	07/18/2008	939322103	WM	940.0000	3.7900	3,562.60	16.67
5483	03/27/2007	03/30/2007	800907107	SANM	-1000.0000	3.4752	(3,475.20)	21.04
5484	04/15/2010	04/20/2010	22662K207	CXPO	-1000.0000	3.6720	(3,672.00)	11.23
5485	04/15/2009	04/20/2009	026874107	AIG	2000.0000	1.5699	3,139.80	37.84
5486	04/08/2009	04/14/2009	345370860	F	-1000.0000	3.6730	(3,673.00)	11.20
5487	06/03/2009	06/08/2009	14056D105	CBC	-1000.0000	3.6740	(3,674.00)	11.16
5488	08/07/2009	08/12/2009	19105E103	CGCA	2000.0000	1.1400	2,280.00	44.86
5489	02/11/2008	02/14/2008	209944107	CTUM	2000.0000	1.1400	2,280.00	44.86
5490	12/28/2007	01/03/2008	903385102	UGTH	1000.0000	3.6750	3,675.00	11.13
5491	06/25/2007	06/28/2007	192108108	CDE	1000.0000	3.6788	3,678.80	11.00
5492	01/09/2006	01/12/2006	457647105	INVX	1000.0000	3.6790	3,679.00	11.00
5493	08/13/2009	08/18/2009	16934Q109	CIM	1000.0000	3.6790	3,679.00	11.00
5494	08/26/2010	08/31/2010	172967101	C	1000.0000	3.6800	3,680.00	10.96
5495	01/09/2006	01/12/2006	85857R105	STEM	-1000.0000	3.6800	(3,680.00)	10.96
5496	10/30/2008	11/04/2008	049513104	ATML	-1000.0000	3.6800	(3,680.00)	10.96
5497	03/09/2010	03/12/2010	172967101	C	1000.0000	3.6800	3,680.00	10.96
5498	03/09/2010	03/12/2010	172967101	C	1000.0000	3.6800	3,680.00	10.96
5499	05/12/2009	05/15/2009	02503Y103	ACAS	1000.0000	3.6800	3,680.00	10.96
5500	04/19/2010	04/22/2010	750491102	RDNT	1000.0000	3.6800	3,680.00	10.96
5501	03/18/2009	03/23/2009	754055101	RZ	1000.0000	3.6798	3,679.80	10.97
5502	10/30/2008	11/04/2008	767754104	RAD	6000.0000	0.4900	2,940.00	44.96
5503	01/22/2008	01/25/2008	903385102	UGTH	1200.0000	2.3500	2,820.00	44.99
5504	02/09/2006	02/14/2006	53184V108	LFXX	1200.0000	2.3500	2,820.00	44.99
5505	03/06/2006	03/09/2006	466121101	JDSU	1000.0000	3.8750	3,875.00	1.25
5506	08/31/2009	09/03/2009	781748108	RURL	-1000.0000	3.8000	(3,800.00)	5.00
5507	01/09/2007	01/12/2007	000875104	ACGJ	6500.0000	0.5100	3,315.00	29.25
5508	10/10/2006	10/13/2006	37958F209	GTE	-10000.0000	0.3000	(3,000.00)	45.00
5509	02/07/2008	02/12/2008	957669104	ZIF	-1200.0000	2.3501	(2,820.12)	45.00
5510	12/02/2008	12/05/2008	781748108	RURL	1250.0000	1.9000	2,375.00	45.00
5511	01/06/2009	01/09/2009	781748108	RURL	1250.0000	1.8900	2,362.50	45.00
5512	03/16/2006	03/21/2006	744627100	CARD	-15000.0000	0.0600	(900.00)	45.00
5513	07/27/2010	07/30/2010	10526A101	BRNZ	2667.0000	0.7869	2,098.66	45.02
5514	11/30/2006	12/05/2006	549463107	LU	-1500.0000	2.5801	(3,870.15)	1.52
5515	07/31/2008	08/05/2008	40108N106	GFG	1000.0000	3.6840	3,684.00	10.83
5516	08/01/2006	08/04/2006	14148P108	CDNC	-555000.0000	0.0053	(2,941.50)	45.05
5517	02/23/2010	02/26/2010	796043107	SSN	5000.0000	0.4198	2,099.00	45.05
5518	01/22/2009	01/27/2009	024061103	AXL	2000.0000	1.5799	3,159.80	37.09
5519	09/30/2008	10/03/2008	524908100	LEHMQ	10000.0000	0.2100	2,100.00	45.08
5520	03/21/2007	03/26/2007	800907107	SANM	-1000.0000	3.4949	(3,494.90)	20.36
5521	04/23/2007	04/26/2007	49689D205	KNGS	-35000.0000	0.0600	(2,100.00)	45.12
5522	10/17/2008	10/22/2008	524908100	LEHMQ	30000.0000	0.0700	2,100.00	45.12
5523	09/08/2008	09/11/2008	313586109	FNM	3000.0000	0.7000	2,100.00	45.12
5524	10/22/2008	10/27/2008	10501E102	BCLI	-25000.0000	0.0840	(2,100.00)	45.12
5525	10/29/2009	11/03/2009	356876102	FSNRE	-10000.0000	0.2100	(2,100.00)	45.12
5526	11/07/2008	11/13/2008	92933E108	WSFG	3000.0000	0.7000	2,100.00	45.12
5527	12/15/2006	12/20/2006	105022206	BRHI	6000.0000	0.3500	2,100.00	45.12
5528	08/07/2006	08/10/2006	285848107	ELST	-3500.0000	0.6000	(2,100.00)	45.12
5529	04/22/2009	04/27/2009	91729G301	UDW	-10000.0000	0.2100	(2,100.00)	45.12

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5530	07/09/2007	07/12/2007	694288101	PFCE	-7000.0000	0.3000	(2,100.00)	45.12
5531	06/06/2008	06/11/2008	959590100	WSEG	5000.0000	0.4200	2,100.00	45.12
5532	08/30/2010	09/02/2010	320795107	FMAR	3000.0000	0.7000	2,100.00	45.12
5533	12/31/2007	01/04/2008	81372F106	SAHC	-3500.0000	0.6000	(2,100.00)	45.12
5534	08/11/2006	08/16/2006	928628106	VOII	-5000.0000	0.4200	(2,100.00)	45.12
5535	03/03/2008	03/06/2008	045188109	AKMN	-10000.0000	0.2100	(2,100.00)	45.12
5536	05/06/2009	05/11/2009	172967101	C	-1000.0000	3.6900	(3,690.00)	10.63
5537	03/09/2010	03/12/2010	172967101	C	1000.0000	3.6900	3,690.00	10.63
5538	01/06/2006	01/11/2006	987434107	YBTVA	1000.0000	3.6900	3,690.00	10.63
5539	08/25/2010	08/30/2010	172967101	C	1000.0000	3.6900	3,690.00	10.63
5540	04/30/2009	05/05/2009	74347R743	UYG	-1000.0000	3.6900	(3,690.00)	10.63
5541	08/13/2009	08/18/2009	16934Q109	CIM	1000.0000	3.6899	3,689.90	10.64
5542	01/30/2007	02/02/2007	903213106	UQM	1000.0000	3.6899	3,689.90	10.64
5543	08/26/2010	08/31/2010	172967101	C	-1000.0000	3.6915	(3,691.50)	10.58
5544	12/31/2007	01/04/2008	92672P108	VWPT	-2000.0000	1.1500	(2,300.00)	45.16
5545	09/19/2006	09/22/2006	501879100	LITL	2000.0000	1.1500	2,300.00	45.16
5546	11/20/2009	11/25/2009	652526104	NEWT	-3500.0000	0.9100	(3,185.00)	35.95
5547	08/27/2009	09/01/2009	849109103	SPNG	11000.0000	0.1910	2,101.00	45.20
5548	05/07/2009	05/12/2009	22765Y104	XTXI	925.0000	4.0360	3,733.30	8.57
5549	04/18/2006	04/21/2006	602682106	MSPD	1000.0000	3.6995	3,699.50	10.31
5550	08/11/2009	08/14/2009	85375C101	SPF	1000.0000	3.6990	3,699.00	10.33
5551	06/01/2009	06/04/2009	172967101	C	-1000.0000	3.7001	(3,700.10)	10.29
5552	02/09/2009	02/12/2009	74347R743	UYG	1000.0000	3.7000	3,700.00	10.29
5553	12/31/2007	01/04/2008	47023A101	JMBA	-1000.0000	3.7000	(3,700.00)	10.29
5554	02/09/2009	02/12/2009	74347R743	UYG	1000.0000	3.7000	3,700.00	10.29
5555	08/21/2008	08/26/2008	313586109	FNM	1000.0000	3.7000	3,700.00	10.29
5556	12/22/2006	12/28/2006	82966U103	SIRI	-1000.0000	3.7000	(3,700.00)	10.29
5557	06/20/2006	06/23/2006	001071208	AGAO	1000.0000	3.7000	3,700.00	10.29
5558	02/16/2007	02/22/2007	82966U103	SIRI	990.0000	3.7000	3,663.00	12.14
5559	10/14/2008	10/17/2008	345370860	F	1110.0000	2.5900	2,874.90	45.29
5560	12/26/2008	12/31/2008	591176102	MEA	-2000.0000	1.5901	(3,180.20)	36.32
5561	05/02/2006	05/05/2006	57774W103	MVOG	2100.0000	1.3200	2,772.00	45.33
5562	05/29/2009	06/03/2009	370442105	GM	-4860.0000	0.7801	(3,791.29)	5.82
5563	12/12/2008	12/17/2008	370442105	GM	-1000.0000	3.7050	(3,705.00)	10.13
5564	01/15/2009	01/21/2009	666135108	NFLD	-1470.0000	1.1560	(1,699.32)	45.43
5565	10/06/2008	10/09/2008	345370860	F	1000.0000	3.7090	3,709.00	9.99
5566	02/26/2007	03/01/2007	82966U103	SIRI	-1000.0000	3.7100	(3,710.00)	9.96
5567	07/21/2009	07/24/2009	7591EP100	RF	1000.0000	3.7100	3,710.00	9.96
5568	01/29/2007	02/01/2007	82966U103	SIRI	-1000.0000	3.7100	(3,710.00)	9.96
5569	11/28/2006	12/01/2006	978093102	WLV	-2000.0000	1.1601	(2,320.20)	45.47
5570	01/07/2009	01/12/2009	52729N100	LVL	2289.0000	0.9194	2,104.51	45.47
5571	10/20/2010	10/25/2010	989837109	ZTR	-1000.0000	3.7110	(3,711.00)	9.93
5572	07/16/2008	07/21/2008	14071N104	CAPA	-1000.0000	3.7120	(3,712.00)	9.89
5573	11/15/2006	11/20/2006	922281100	VSTY	-1500.0000	2.3800	(3,570.00)	17.01
5574	10/30/2006	11/02/2006	957669104	ZIF	1100.0000	2.9700	3,267.00	32.16
5575	01/11/2006	01/17/2006	125750109	CMGI	2200.0000	1.5600	3,432.00	23.95
5576	04/10/2006	04/13/2006	651360109	NWGN	-2000.0000	1.6000	(3,200.00)	35.55
5577	03/25/2010	03/30/2010	09060S106	BEHL	254444.0000	0.0110	2,798.88	45.56
5578	07/13/2006	07/18/2006	16117M107	CHTR	-1500.0000	1.1600	(1,740.00)	45.56
5579	05/27/2009	06/01/2009	370442105	GM	-1500.0000	1.1600	(1,740.00)	45.56
5580	10/25/2006	10/30/2006	463664508	IRSN	-2000.0000	1.1633	(2,326.60)	45.57
5581	09/11/2009	09/16/2009	543354104	LPIH	2000.0000	1.6000	3,200.00	35.58
5582	04/13/2006	04/19/2006	651360109	NWGN	-2000.0000	1.5000	(3,000.00)	45.58
5583	09/24/2007	09/27/2007	42223R301	HSSO	1500.0000	1.6000	2,400.00	45.58
5584	04/21/2010	04/26/2010	559181102	LAVA	1000.0000	3.7194	3,719.40	9.64
5585	02/03/2009	02/06/2009	571837103	MI	1000.0000	3.7190	3,719.00	9.66
5586	06/01/2009	06/04/2009	172967101	C	-1000.0000	3.7200	(3,720.00)	9.62

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5587	02/09/2009	02/12/2009	74347R743	UYG	1000.0000	3.7200	3,720.00	9.62
5588	12/04/2009	12/09/2009	30033R108	ESLR	-1350.0000	1.4915	(2,013.53)	45.62
5589	12/17/2009	12/22/2009	852061100	S	-1000.0000	3.7201	(3,720.10)	9.63
5590	08/21/2009	08/26/2009	75734R105	FRZ	-1000.0000	3.7217	(3,721.70)	9.57
5591	02/24/2010	03/01/2010	554225102	MCBC	-1796.0000	1.7290	(3,105.28)	40.39
5592	12/22/2009	12/28/2009	75734R105	FRZ	-1000.0000	3.7220	(3,722.00)	9.56
5593	05/04/2009	05/07/2009	573083102	MSO	1000.0000	3.7240	3,724.00	9.49
5594	02/25/2008	02/28/2008	878727304	TRCI	-1100.0000	2.9800	(3,278.00)	31.80
5595	09/08/2006	09/13/2006	455921106	INRB	-1000.0000	3.7250	(3,725.00)	9.46
5596	03/01/2007	03/06/2007	602682106	MSPD	-1200.0000	2.3810	(2,857.20)	45.71
5597	06/05/2009	06/10/2009	434712105	HOKU	1000.0000	3.7260	3,726.00	9.42
5598	10/15/2008	10/20/2008	345370860	F	1500.0000	2.3899	3,584.85	16.48
5599	07/20/2010	07/23/2010	989834106	ZF	-1100.0000	2.9815	(3,279.65)	31.74
5600	08/30/2010	09/02/2010	172967101	C	1000.0000	3.7285	3,728.50	9.33
5601	08/20/2010	08/25/2010	172967101	C	1000.0000	3.7285	3,728.50	9.33
5602	09/03/2009	09/09/2009	989837109	ZTR	950.0000	3.7296	3,543.12	18.62
5603	12/19/2008	12/24/2008	003687100	ABH	9500.0000	0.4000	3,800.00	5.79
5604	07/12/2007	07/17/2007	367600301	GNRG	-4000.0000	0.9500	(3,800.00)	5.79
5605	03/20/2008	03/26/2008	516548104	LTRX	4000.0000	0.9500	3,800.00	5.79
5606	04/17/2006	04/20/2006	816074405	SELA	1000.0000	3.7300	3,730.00	9.29
5607	05/21/2009	05/27/2009	74347R743	UYG	1000.0000	3.7300	3,730.00	9.29
5608	08/30/2010	09/02/2010	989837109	ZTR	-1000.0000	3.7300	(3,730.00)	9.29
5609	08/20/2010	08/25/2010	172967101	C	-1000.0000	3.7300	(3,730.00)	9.29
5610	01/24/2007	01/29/2007	82966U103	SIRI	-1000.0000	3.7300	(3,730.00)	9.29
5611	06/07/2007	06/12/2007	746218106	PURE	1000.0000	3.7300	3,730.00	9.29
5612	06/06/2007	06/11/2007	746218106	PURE	1000.0000	3.7300	3,730.00	9.29
5613	08/20/2010	08/25/2010	172967101	C	1000.0000	3.7300	3,730.00	9.29
5614	08/27/2010	09/01/2010	172967101	C	1000.0000	3.7300	3,730.00	9.29
5615	05/21/2010	05/26/2010	172967101	C	1000.0000	3.7299	3,729.90	9.29
5616	05/21/2010	05/26/2010	172967101	C	1000.0000	3.7298	3,729.80	9.30
5617	01/31/2006	02/03/2006	879604106	TKO	-950.0000	3.7301	(3,543.60)	18.61
5618	12/22/2008	12/26/2008	370442105	GM	-1000.0000	3.7312	(3,731.20)	9.25
5619	08/17/2006	08/22/2006	52729N100	LVL	-1000.0000	3.7310	(3,731.00)	9.26
5620	11/16/2006	11/21/2006	761525500	REV	2000.0000	1.6100	3,220.00	34.83
5621	12/01/2009	12/04/2009	169424108	CNTF	1000.0000	3.7340	3,734.00	9.16
5622	03/03/2009	03/06/2009	172967101	C	-1500.0000	1.2900	(1,935.00)	45.86
5623	07/20/2009	07/23/2009	315405100	FOE	-1095.0000	2.9900	(3,274.05)	32.18
5624	04/06/2009	04/09/2009	345370860	F	1000.0000	3.7380	3,738.00	9.02
5625	05/03/2010	05/06/2010	04033A100	ARIA	1000.0000	3.7387	3,738.70	9.00
5626	03/01/2007	03/06/2007	602682106	MSPD	-1200.0000	2.3910	(2,869.20)	45.94
5627	12/16/2008	12/19/2008	670715101	JTP	-1000.0000	3.7400	(3,740.00)	8.95
5628	04/15/2009	04/20/2009	172967101	C	-1000.0000	3.7400	(3,740.00)	8.95
5629	09/03/2009	09/09/2009	989837109	ZTR	1000.0000	3.7396	3,739.60	8.97
5630	09/03/2009	09/09/2009	989837109	ZTR	1000.0000	3.7396	3,739.60	8.97
5631	10/23/2006	10/26/2006	131347106	CPNLQ	-14088.0000	0.2700	(3,803.76)	5.77
5632	06/05/2009	06/10/2009	91274F104	YSI	-1000.0000	3.7406	(3,740.60)	8.93
5633	01/10/2006	01/13/2006	767754104	RAD	-1000.0000	3.7401	(3,740.10)	8.96
5634	01/23/2008	01/28/2008	171871106	CBB	-1000.0000	3.7401	(3,740.10)	8.96
5635	03/17/2009	03/20/2009	620076109	MOT	-1000.0000	3.7414	(3,741.40)	8.91
5636	11/10/2008	11/14/2008	60443P103	BUF	4000.0000	0.7999	3,199.60	36.01
5637	12/28/2007	01/03/2008	009417106	ANS	-2000.0000	1.8800	(3,760.00)	8.00
5638	12/02/2008	12/05/2008	16139P104	CWF	-1137.0000	2.4301	(2,763.02)	46.00
5639	04/11/2006	04/17/2006	247361108	DALRQ	5000.0000	0.6400	3,200.00	36.01
5640	07/21/2008	07/24/2008	14071N104	CAPA	-1000.0000	3.7451	(3,745.10)	8.79
5641	09/10/2010	09/15/2010	172967101	C	935.0000	3.9385	3,682.50	11.92
5642	11/16/2006	11/21/2006	761525500	REV	2000.0000	1.6200	3,240.00	34.07
5643	01/05/2006	01/10/2006	16117M107	CHTR	-2000.0000	1.1800	(2,360.00)	46.09

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5644	09/01/2010	09/07/2010	172967101	C	1000.0000	3.7485	3,748.50	8.67
5645	08/20/2010	08/25/2010	172967101	C	1000.0000	3.7485	3,748.50	8.67
5646	08/20/2010	08/25/2010	172967101	C	1000.0000	3.7485	3,748.50	8.67
5647	06/01/2009	06/04/2009	302080109	EXBX	-42300.0000	0.0900	(3,807.00)	5.76
5648	03/19/2009	03/24/2009	172967101	C	1000.0000	3.7492	3,749.20	8.65
5649	06/08/2007	06/13/2007	834182107	SLR	-1000.0000	3.7501	(3,750.10)	8.62
5650	10/06/2008	10/09/2008	140065202	CCOW	1000.0000	3.7500	3,750.00	8.62
5651	10/14/2008	10/17/2008	92923K106	WGNB	1000.0000	3.7500	3,750.00	8.62
5652	03/22/2006	03/27/2006	602682106	MSPD	1000.0000	3.7500	3,750.00	8.62
5653	06/07/2010	06/10/2010	172967101	C	1000.0000	3.7500	3,750.00	8.62
5654	04/17/2009	04/22/2009	172967101	C	-1000.0000	3.7500	(3,750.00)	8.62
5655	11/15/2007	11/20/2007	767754104	RAD	1000.0000	3.7500	3,750.00	8.62
5656	03/13/2008	03/18/2008	872340104	TSI	-1000.0000	3.7500	(3,750.00)	8.62
5657	06/10/2009	06/15/2009	635812209	NEGI	-1000.0000	3.7500	(3,750.00)	8.62
5658	04/22/2009	04/27/2009	501014104	KKD	1000.0000	3.7499	3,749.90	8.63
5659	12/31/2008	01/06/2009	85517P101	STSI	1000.0000	3.7499	3,749.90	8.63
5660	11/28/2007	12/03/2007	69506E103	PWEB	-23900.0000	0.1150	(2,748.50)	46.14
5661	03/12/2010	03/17/2010	68555P100	ORBC	-1500.0000	2.4100	(3,615.00)	15.40
5662	11/13/2008	11/18/2008	903213106	UQM	-2000.0000	1.6241	(3,248.20)	33.76
5663	11/30/2006	12/05/2006	05348W109	AVNX	1500.0000	2.0708	3,106.20	40.89
5664	02/23/2009	02/26/2009	060505815	BAC.PRE	1000.0000	3.7558	3,755.80	8.43
5665	09/07/2006	09/12/2006	683450100	OTES	-5000.0000	0.5500	(2,750.00)	46.22
5666	10/13/2008	10/16/2008	313586109	FNM	1500.0000	1.1800	1,770.00	46.22
5667	12/30/2009	01/05/2010	82967N108	SIRI	-5000.0000	0.5924	(2,962.00)	46.23
5668	08/22/2008	08/27/2008	749121109	Q	1000.0000	3.7585	3,758.50	8.33
5669	08/22/2008	08/27/2008	749121109	Q	1000.0000	3.7585	3,758.50	8.33
5670	10/11/2007	10/16/2007	82966U103	SIRI	1000.0000	3.7582	3,758.20	8.35
5671	11/16/2007	11/21/2007	317923100	FINL	1015.0000	3.2600	3,308.90	30.82
5672	03/04/2009	03/09/2009	060505104	BAC	1000.0000	3.7593	3,759.30	8.30
5673	08/20/2009	08/25/2009	749121109	Q	1000.0000	3.7590	3,759.00	8.32
5674	05/21/2010	05/26/2010	172967101	C	1000.0000	3.7589	3,758.90	8.32
5675	02/11/2010	02/17/2010	023139108	ABK	4600.0000	0.5980	2,750.80	46.27
5676	07/26/2007	07/31/2007	224916106	CIK	-1000.0000	3.7601	(3,760.10)	8.29
5677	03/22/2006	03/27/2006	883623209	KOOL	-1000.0000	3.7601	(3,760.10)	8.29
5678	04/16/2009	04/21/2009	247907207	DPTR	-1000.0000	3.7600	(3,760.00)	8.29
5679	02/26/2009	03/03/2009	025676206	AEL	1000.0000	3.7600	3,760.00	8.29
5680	04/28/2009	05/01/2009	721467108	PGPDQ	1000.0000	3.7600	3,760.00	8.29
5681	05/09/2006	05/12/2006	584070106	MDFI	1000.0000	3.7600	3,760.00	8.29
5682	05/09/2006	05/12/2006	584070106	MDFI	1000.0000	3.7600	3,760.00	8.29
5683	10/11/2007	10/16/2007	82966U103	SIRI	1000.0000	3.7600	3,760.00	8.29
5684	07/21/2008	07/24/2008	749121109	Q	-1000.0000	3.7615	(3,761.50)	8.23
5685	01/25/2007	01/30/2007	131347106	CPNLQ	-2200.0000	1.5900	(3,498.00)	21.41
5686	05/27/2008	05/30/2008	05348W109	AVNX	1850.0000	1.0585	1,958.23	46.36
5687	11/02/2009	11/05/2009	109542100	BLIN	25000.0000	0.0900	2,250.00	46.37
5688	12/12/2006	12/15/2006	978093102	WLV	-2000.0000	1.1901	(2,380.20)	46.40
5689	10/07/2008	10/10/2008	82967N108	SIRI	5000.0000	0.4800	2,400.00	46.44
5690	05/26/2009	05/29/2009	172967101	C	1000.0000	3.7700	3,770.00	7.95
5691	07/21/2010	07/26/2010	04289L107	ARTG	1000.0000	3.7700	3,770.00	7.95
5692	05/27/2009	06/01/2009	172967101	C	1000.0000	3.7700	3,770.00	7.95
5693	04/17/2006	04/20/2006	05348W109	AVNX	-1150.0000	2.2791	(2,620.97)	46.53
5694	12/01/2009	12/04/2009	78402X107	DDD	5402.0000	0.5100	2,755.02	46.54
5695	06/20/2006	06/23/2006	89989X105	TTEG	-3000.0000	1.3000	(3,900.00)	1.55
5696	02/01/2006	02/06/2006	68283P109	ONSC	-10000.0000	0.3000	(3,000.00)	46.56
5697	06/08/2006	06/13/2006	282914100	EGHT	-1500.0000	1.1900	(1,785.00)	46.56
5698	02/06/2009	02/11/2009	620076109	MOT	1000.0000	3.7786	3,778.60	7.66
5699	05/18/2010	05/21/2010	172967101	C	940.0000	3.9000	3,666.00	13.29
5700	12/30/2008	01/05/2009	370442105	GM	1000.0000	3.7792	3,779.20	7.64

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5701	05/05/2006	05/10/2006	34512E109	FTRS	1000.0000	3.7800	3,780.00	7.62
5702	05/18/2010	05/21/2010	172967101	C	1000.0000	3.7800	3,780.00	7.62
5703	01/04/2007	01/09/2007	553477100	MRVC	-1000.0000	3.7800	(3,780.00)	7.62
5704	06/29/2010	07/02/2010	172967101	C	-1000.0000	3.7800	(3,780.00)	7.62
5705	12/30/2008	01/05/2009	466090107	JASO	1000.0000	3.7800	3,780.00	7.62
5706	11/01/2006	11/06/2006	82966U103	SIRI	-1000.0000	3.7800	(3,780.00)	7.62
5707	08/11/2006	08/16/2006	82966U103	SIRI	1000.0000	3.7800	3,780.00	7.62
5708	11/26/2007	11/29/2007	29382F103	ENMD	-2000.0000	1.3615	(2,723.00)	46.65
5709	07/07/2009	07/10/2009	720279108	PIR	-1250.0000	1.9410	(2,426.25)	46.65
5710	12/14/2006	12/19/2006	00950H102	AIRN	-1000.0000	3.7830	(3,783.00)	7.52
5711	01/13/2010	01/19/2010	82967N108	SIRI	-3165.0000	0.6699	(2,120.23)	46.68
5712	11/15/2006	11/20/2006	922281100	VSTY	-1400.0000	2.3729	(3,322.06)	30.59
5713	02/21/2007	02/26/2007	024600108	ABMC	-2000.0000	1.2001	(2,400.20)	46.70
5714	11/04/2008	11/07/2008	92769R108	VM	2000.0000	1.1999	2,399.80	46.70
5715	12/14/2006	12/19/2006	82966U103	SIRI	1000.0000	3.7900	3,790.00	7.28
5716	07/15/2008	07/18/2008	939322103	WM	1000.0000	3.7900	3,790.00	7.28
5717	03/25/2008	03/28/2008	169411106	CPSL	-1000.0000	3.7900	(3,790.00)	7.28
5718	12/21/2007	12/27/2007	92923C104	WCI	1000.0000	3.7900	3,790.00	7.28
5719	12/20/2006	12/26/2006	82966U103	SIRI	1000.0000	3.7900	3,790.00	7.28
5720	03/08/2007	03/13/2007	97750V109	WIZD	-1100.0000	3.0400	(3,344.00)	29.59
5721	11/13/2009	11/18/2009	013904305	ALU	-976.0000	3.7925	(3,701.48)	11.75
5722	09/08/2008	09/11/2008	14147M403	CADM	2000.0000	1.6500	3,300.00	31.82
5723	06/28/2010	07/01/2010	457669208	INSM	-4000.0000	0.6899	(2,759.60)	46.82
5724	10/27/2009	10/30/2009	832727101	SSCCQ	-4000.0000	0.6900	(2,760.00)	46.84
5725	03/10/2009	03/13/2009	80201E108	SFEG	3000.0000	0.9910	2,973.00	46.87
5726	02/16/2006	02/22/2006	16117M107	CHTR	-1500.0000	1.2000	(1,800.00)	46.89
5727	08/02/2006	08/07/2006	318465101	FACT	-1000.0000	3.7979	(3,797.90)	7.01
5728	06/07/2006	06/12/2006	909161102	UPZS	15000.0000	0.2550	3,825.00	5.69
5729	05/19/2006	05/24/2006	294935101	EQTL	5000.0000	0.7650	3,825.00	5.69
5730	05/20/2009	05/26/2009	74347R677	URE	1000.0000	3.7996	3,799.60	6.96
5731	05/20/2009	05/26/2009	74347R677	URE	1000.0000	3.7996	3,799.60	6.96
5732	06/11/2009	06/16/2009	950587105	WEN	1000.0000	3.7996	3,799.60	6.96
5733	05/17/2010	05/20/2010	172967101	C	1000.0000	3.8000	3,800.00	6.95
5734	05/12/2009	05/15/2009	817337405	SQNM	1000.0000	3.8000	3,800.00	6.95
5735	08/04/2006	08/09/2006	891479107	TOVC	1000.0000	3.8000	3,800.00	6.95
5736	08/19/2010	08/24/2010	172967101	C	1000.0000	3.8000	3,800.00	6.95
5737	03/05/2010	03/10/2010	400528108	GTXI	-1000.0000	3.8000	(3,800.00)	6.95
5738	02/07/2007	02/12/2007	159427202	CPEU	1000.0000	3.8000	3,800.00	6.95
5739	06/27/2007	07/02/2007	16117M107	CHTR	1000.0000	3.8000	3,800.00	6.95
5740	06/20/2006	06/23/2006	46119F107	INGN	1000.0000	3.8000	3,800.00	6.95
5741	08/21/2009	08/26/2009	466090107	JASO	1000.0000	3.8000	3,800.00	6.95
5742	12/08/2006	12/13/2006	82966U103	SIRI	-1000.0000	3.8000	(3,800.00)	6.95
5743	08/21/2009	08/26/2009	466090107	JASO	1000.0000	3.8000	3,800.00	6.95
5744	09/11/2007	09/14/2007	92672P108	VWPT	3300.0000	0.7295	2,407.35	46.96
5745	07/17/2008	07/22/2008	749121109	Q	-967.0000	3.8015	(3,676.05)	13.17
5746	08/10/2009	08/13/2009	02503Y103	ACAS	-1065.0000	3.0500	(3,248.25)	34.56
5747	12/04/2008	12/09/2008	379302102	GRT	-1200.0000	2.4355	(2,922.60)	46.97
5748	11/15/2007	11/20/2007	50575Q102	LTS	-1500.0000	1.9400	(2,910.00)	46.97
5749	12/31/2008	01/06/2009	44982G104	IGR	-1000.0000	3.8018	(3,801.80)	6.89
5750	09/26/2008	10/01/2008	635405103	NCC	-1075.0000	3.0510	(3,279.83)	33.00
5751	07/16/2007	07/19/2007	46119F107	INGN	-1000.0000	3.8036	(3,803.60)	6.83
5752	10/10/2008	10/16/2008	449788108	IIA	-1000.0000	3.8050	(3,805.00)	6.78
5753	07/16/2009	07/21/2009	125581108	CIT	5200.0000	0.4086	2,124.72	47.03
5754	07/14/2006	07/19/2006	524636107	LRCI	-2500.0000	0.8500	(2,125.00)	47.05
5755	06/21/2010	06/24/2010	69140P100	OXBC	1500.0000	1.2520	1,878.00	47.05
5756	11/28/2008	12/03/2008	003009107	FAX	950.0000	3.8071	3,616.75	16.22
5757	09/19/2008	09/24/2008	939322103	WM	1000.0000	3.8075	3,807.50	6.69

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5758	08/28/2006	08/31/2006	629196106	NMCX	-348000.0000	0.0110	(3,828.00)	5.68
5759	04/21/2009	04/24/2009	316773100	FITB	1000.0000	3.8080	3,808.00	6.68
5760	11/20/2008	11/25/2008	74347R743	UYG	1000.0000	3.8085	3,808.50	6.66
5761	11/13/2009	11/18/2009	74975N105	RTIX	1000.0000	3.8093	3,809.30	6.63
5762	08/17/2009	08/20/2009	749121109	Q	1000.0000	3.8090	3,809.00	6.65
5763	02/02/2010	02/05/2010	319383105	BUSE	1000.0000	3.8100	3,810.00	6.61
5764	07/24/2008	07/29/2008	939322103	WM	1000.0000	3.8100	3,810.00	6.61
5765	09/12/2006	09/15/2006	003830106	ABP	-1000.0000	3.8100	(3,810.00)	6.61
5766	04/03/2007	04/09/2007	902636109	UCNN	-1000.0000	3.8100	(3,810.00)	6.61
5767	07/08/2009	07/13/2009	19238U107	CSA	1000.0000	3.8097	3,809.70	6.63
5768	07/09/2010	07/14/2010	055639108	BPZ	1000.0000	3.8096	3,809.60	6.63
5769	09/08/2009	09/11/2009	062401104	GRAN	-1425.0000	1.2600	(1,795.50)	47.11
5770	11/30/2006	12/05/2006	02318N102	ABTG	28500.0000	0.0970	2,764.50	47.12
5771	04/12/2007	04/17/2007	25475V104	DESC	-1800.0000	1.7918	(3,225.24)	35.88
5772	08/17/2010	08/20/2010	17163B102	CBR	-1100.0000	3.0610	(3,367.10)	28.82
5773	08/05/2009	08/10/2009	195493309	CBCG	-4400.0000	0.4833	(2,126.52)	47.17
5774	12/08/2008	12/11/2008	73174C100	PYMX	3700.0000	1.0000	3,700.00	12.19
5775	02/20/2009	02/25/2009	060505104	BAC	1000.0000	3.8170	3,817.00	6.38
5776	04/01/2009	04/06/2009	172967101	C	-789.0000	2.6800	(2,114.52)	47.25
5777	10/01/2009	10/06/2009	760112102	RTK	1825.0000	1.5298	2,791.89	47.26
5778	10/12/2006	10/17/2006	82966U103	SIRI	1000.0000	3.8200	3,820.00	6.28
5779	12/08/2006	12/13/2006	82966U103	SIRI	-1000.0000	3.8200	(3,820.00)	6.28
5780	10/28/2009	11/02/2009	042797100	ARWR	4000.0000	0.5320	2,128.00	47.28
5781	01/09/2007	01/12/2007	82966U103	SIRI	-1000.0000	3.8220	(3,822.00)	6.21
5782	03/13/2009	03/18/2009	92823T108	VHC	2000.0000	1.2200	2,440.00	47.32
5783	03/29/2010	04/01/2010	881451108	TSTR	2000.0000	1.2200	2,440.00	47.32
5784	09/21/2009	09/24/2009	427825104	HT	1082.0000	3.0700	3,321.74	31.24
5785	03/20/2009	03/25/2009	025676206	AEL	1000.0000	3.8270	3,827.00	6.04
5786	10/01/2007	10/04/2007	31567R100	FTWR	-1000.0000	3.6336	(3,633.60)	15.72
5787	10/20/2006	10/25/2006	800907107	SANM	-1000.0000	3.6326	(3,632.60)	15.77
5788	12/18/2008	12/23/2008	305560104	FRP	-1100.0000	3.0740	(3,381.40)	28.33
5789	10/03/2007	10/09/2007	206710204	CCUR	-1500.0000	1.1084	(1,662.60)	47.40
5790	05/23/2008	05/29/2008	028723104	AEZ	1000.0000	3.8280	3,828.00	6.01
5791	05/24/2010	05/27/2010	172967101	C	1000.0000	3.8287	3,828.70	5.98
5792	09/19/2007	09/24/2007	87163L103	BRCL	1000.0000	3.8285	3,828.50	5.99
5793	10/02/2008	10/07/2008	929903102	WB	1000.0000	3.8300	3,830.00	5.94
5794	04/28/2010	05/03/2010	74975N105	RTIX	-1000.0000	3.8300	(3,830.00)	5.94
5795	10/11/2006	10/16/2006	82966U103	SIRI	1000.0000	3.8300	3,830.00	5.94
5796	02/10/2006	02/15/2006	74269U104	PRNW	3000.0000	0.7100	2,130.00	47.44
5797	10/22/2008	10/27/2008	47777N101	JOEZ	-5000.0000	0.7016	(3,508.00)	22.06
5798	01/14/2009	01/20/2009	367220100	GSX	7529.0000	0.4662	3,510.02	22.05
5799	09/13/2010	09/16/2010	04033A100	ARIA	1000.0000	3.8379	3,837.90	5.67
5800	10/30/2007	11/02/2007	767754104	RAD	1000.0000	3.8375	3,837.50	5.69
5801	09/08/2010	09/13/2010	172967101	C	1000.0000	3.8385	3,838.50	5.66
5802	07/16/2008	07/21/2008	78402X107	DDD	3300.0000	0.8400	2,772.00	47.58
5803	04/10/2006	04/13/2006	651360109	NWGN	-2000.0000	1.7000	(3,400.00)	27.59
5804	04/17/2006	04/20/2006	651360109	NWGN	-2000.0000	1.7000	(3,400.00)	27.60
5805	04/24/2006	04/27/2006	834182107	SLR	-1000.0000	3.8401	(3,840.10)	5.61
5806	09/11/2009	09/16/2009	247907207	DPTR	1000.0000	3.8400	3,840.00	5.61
5807	10/09/2008	10/15/2008	929903102	WB	1000.0000	3.8400	3,840.00	5.61
5808	02/23/2009	02/26/2009	025676206	AEL	1000.0000	3.8400	3,840.00	5.61
5809	05/15/2008	05/20/2008	516012101	LCI	1000.0000	3.8400	3,840.00	5.61
5810	08/25/2006	08/30/2006	922281100	VSTY	950.0000	3.8400	3,648.00	15.21
5811	01/26/2010	01/29/2010	42222N103	HSTM	1000.0000	3.8406	3,840.60	5.59
5812	04/29/2008	05/02/2008	68214U102	OFSI	-2900.0000	1.1500	(3,335.00)	30.87
5813	09/22/2009	09/25/2009	767754104	RAD	-1300.0000	1.9800	(2,574.00)	47.63
5814	11/01/2006	11/06/2006	16117M107	CHTR	1325.0000	2.5100	3,325.75	31.38

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5815	02/02/2006	02/07/2006	170404107	CHRD	-1100.0000	3.0891	(3,398.01)	27.78
5816	02/06/2007	02/09/2007	957669104	ZIF	-1050.0000	3.0900	(3,244.50)	35.48
5817	03/24/2010	03/29/2010	64758A107	NOEC	2300.0000	1.3800	3,174.00	39.02
5818	05/07/2007	05/10/2007	192108108	CDE	995.0000	3.8488	3,829.56	6.27
5819	04/05/2007	04/11/2007	45169P106	IDAI	-2425.0000	0.8800	(2,134.00)	47.75
5820	11/20/2008	11/25/2008	64190A103	NRO	-1409.0000	1.3400	(1,888.06)	47.76
5821	07/07/2010	07/12/2010	172967101	C	-1000.0000	3.8500	(3,850.00)	5.27
5822	03/09/2010	03/12/2010	172967101	C	1000.0000	3.8500	3,850.00	5.27
5823	08/18/2006	08/23/2006	82966U103	SIRI	1000.0000	3.8500	3,850.00	5.27
5824	01/23/2007	01/26/2007	82966U103	SIRI	1000.0000	3.8500	3,850.00	5.27
5825	07/17/2006	07/20/2006	866810104	SUNW	-1000.0000	3.8500	(3,850.00)	5.27
5826	03/11/2009	03/16/2009	25459W508	FAS	-1000.0000	3.8500	(3,850.00)	5.27
5827	05/19/2010	05/24/2010	172967101	C	1000.0000	3.8500	3,850.00	5.27
5828	09/22/2006	09/27/2006	72919P103	PLUG	-1000.0000	3.8500	(3,850.00)	5.27
5829	10/19/2006	10/24/2006	82966U103	SIRI	-1000.0000	3.8500	(3,850.00)	5.27
5830	09/22/2009	09/25/2009	920961109	VVR	-1000.0000	3.8500	(3,850.00)	5.27
5831	10/16/2006	10/19/2006	82966U103	SIRI	-1000.0000	3.8500	(3,850.00)	5.27
5832	06/06/2007	06/11/2007	26205U101	DKAM	-2000.0000	1.2350	(2,470.00)	47.78
5833	03/08/2006	03/13/2006	639208107	NAVR	1000.0000	3.8510	3,851.00	5.24
5834	02/10/2009	02/13/2009	44982G104	IGR	-1000.0000	3.8518	(3,851.80)	5.21
5835	03/05/2010	03/10/2010	746218106	PURE	2000.0000	1.6900	3,380.00	28.81
5836	05/27/2009	06/01/2009	026874107	AIG	2000.0000	1.6900	3,380.00	28.81
5837	02/21/2006	02/24/2006	64439S204	NFEI	1500.0000	2.4900	3,735.00	11.08
5838	02/20/2009	02/25/2009	74347R677	URE	-1105.0000	2.7506	(3,039.41)	45.88
5839	02/16/2006	02/22/2006	803893106	SATC	-2000.0000	1.8000	(3,600.00)	17.86
5840	08/21/2007	08/24/2007	00509L109	ACUR	1500.0000	1.2294	1,844.10	47.86
5841	08/11/2009	08/14/2009	313586109	FNM	1470.0000	1.2299	1,807.95	47.88
5842	01/31/2007	02/05/2007	87929J103	TSYS	-1000.0000	3.6621	(3,662.10)	14.80
5843	08/18/2010	08/23/2010	172967101	C	1000.0000	3.8585	3,858.50	4.98
5844	06/08/2010	06/11/2010	800677106	SGMO	1000.0000	3.8590	3,859.00	4.97
5845	07/11/2008	07/16/2008	195493309	CNB	1000.0000	3.8600	3,860.00	4.94
5846	07/13/2009	07/16/2009	885535104	COMS	-1000.0000	3.8600	(3,860.00)	4.94
5847	04/17/2009	04/22/2009	172967101	C	1000.0000	3.8600	3,860.00	4.94
5848	04/25/2007	04/30/2007	131347106	CPNLQ	-1000.0000	3.8600	(3,860.00)	4.94
5849	01/05/2006	01/10/2006	171871106	CBB	1000.0000	3.8599	3,859.90	4.94
5850	06/17/2010	06/22/2010	50213V109	LRAD	2000.0000	1.2400	2,480.00	47.94
5851	02/25/2009	03/02/2009	446150104	HBAN	-2000.0000	1.2400	(2,480.00)	47.94
5852	05/26/2010	06/01/2010	131745101	CAK	-1000.0000	3.8620	(3,862.00)	4.87
5853	03/20/2006	03/23/2006	222814204	DVW	2000.0000	1.9400	3,880.00	4.00
5854	06/29/2007	07/05/2007	020524104	AGMB	1700.0000	1.6900	2,873.00	48.00
5855	06/26/2009	07/01/2009	150934503	CTIC	-1400.0000	1.5800	(2,212.00)	48.01
5856	04/13/2009	04/16/2009	370442105	GM	-2000.0000	1.7000	(3,400.00)	28.05
5857	10/03/2006	10/06/2006	247126105	DPHIQ	2000.0000	1.7000	3,400.00	28.05
5858	10/29/2008	11/03/2008	026874107	AIG	2000.0000	1.7000	3,400.00	28.05
5859	07/23/2010	07/28/2010	193068103	CWTR	1000.0000	3.8685	3,868.50	4.66
5860	06/16/2008	06/19/2008	749941100	RFMD	1000.0000	3.8685	3,868.50	4.66
5861	03/31/2010	04/06/2010	852061100	S	1000.0000	3.8692	3,869.25	4.63
5862	05/24/2010	05/27/2010	172967101	C	1000.0000	3.8690	3,869.00	4.64
5863	12/06/2006	12/11/2006	82966U103	SIRI	1000.0000	3.8700	3,870.00	4.60
5864	03/20/2009	03/25/2009	025676206	AEL	1000.0000	3.8700	3,870.00	4.60
5865	07/29/2009	08/03/2009	760911107	REFR	-1000.0000	3.8700	(3,870.00)	4.60
5866	10/09/2006	10/12/2006	82966U103	SIRI	1000.0000	3.8700	3,870.00	4.60
5867	05/24/2010	05/27/2010	172967101	C	1000.0000	3.8700	3,870.00	4.60
5868	05/06/2009	05/11/2009	172967101	C	1000.0000	3.8700	3,870.00	4.60
5869	08/23/2007	08/28/2007	675729206	OCTL	1000.0000	3.8700	3,870.00	4.60
5870	03/05/2009	03/10/2009	195493309	CNB	-11000.0000	0.3500	(3,850.00)	5.60
5871	01/31/2007	02/05/2007	87929J103	TSYS	-1000.0000	3.6719	(3,671.90)	14.51

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5872	06/11/2010	06/16/2010	23283K105	CYTX	-1000.0000	3.8710	(3,871.00)	4.57
5873	09/14/2009	09/17/2009	895735108	TIV	1200.0000	2.4849	2,981.88	48.12
5874	03/04/2009	03/09/2009	695459107	PAET	1500.0000	1.2380	1,857.00	48.14
5875	05/19/2010	05/24/2010	561911108	HYF	-1300.0000	2.0010	(2,601.30)	48.15
5876	03/07/2008	03/12/2008	23252E106	CYBI	942.0000	3.9679	3,737.76	11.27
5877	03/10/2009	03/13/2009	40429C607	HFC.PRB	1000.0000	3.8740	3,874.00	4.47
5878	05/14/2009	05/19/2009	00846L101	FEED	1000.0000	3.8760	3,876.00	4.40
5879	09/25/2007	09/28/2007	206710204	CCUR	2000.0000	1.3691	2,738.20	48.20
5880	09/28/2010	10/01/2010	172967101	C	1000.0000	3.8784	3,878.40	4.32
5881	11/05/2009	11/10/2009	529900102	ASG	1100.0000	3.1200	3,432.00	26.64
5882	10/24/2007	10/29/2007	410761100	HCM	-2000.0000	1.2500	(2,500.00)	48.25
5883	09/19/2008	09/24/2008	939322103	WM	1000.0000	3.8796	3,879.60	4.28
5884	01/18/2006	01/23/2006	904753100	UGNE	-1000.0000	3.8800	(3,880.00)	4.27
5885	03/15/2010	03/18/2010	172967101	C	-1000.0000	3.8800	(3,880.00)	4.27
5886	05/12/2009	05/15/2009	172967101	C	1000.0000	3.8799	3,879.90	4.28
5887	06/26/2009	07/01/2009	749941100	RFMD	980.0000	3.8800	3,802.40	8.15
5888	10/02/2008	10/07/2008	026874107	AIG	960.0000	3.8799	3,724.70	12.04
5889	02/06/2009	02/11/2009	620076109	MOT	-1000.0000	3.8814	(3,881.40)	4.22
5890	10/23/2009	10/28/2009	294766100	EQS	1000.0000	3.8837	3,883.70	4.15
5891	08/08/2008	08/13/2008	74269U104	PKT	1400.0000	1.5920	2,228.80	48.34
5892	09/23/2008	09/26/2008	313586109	FNM	1650.0000	1.3092	2,160.18	48.36
5893	03/05/2007	03/08/2007	929273209	WGLT	180000.0000	0.0119	2,142.00	48.36
5894	12/30/2009	01/05/2010	172967101	C	-1022.0000	3.3101	(3,382.92)	29.24
5895	01/31/2007	02/05/2007	87929J103	TSYS	-1000.0000	3.6916	(3,691.60)	13.82
5896	03/15/2010	03/18/2010	172967101	C	1000.0000	3.8885	3,888.50	3.98
5897	08/17/2010	08/20/2010	172967101	C	1000.0000	3.8885	3,888.50	3.98
5898	09/22/2010	09/27/2010	172967101	C	1000.0000	3.8883	3,888.30	3.99
5899	12/03/2007	12/06/2007	82966U103	SIRI	1000.0000	3.8882	3,888.20	4.00
5900	09/28/2009	10/01/2009	12738A101	CADE	-1509.0000	1.7640	(2,661.88)	48.41
5901	08/20/2009	08/25/2009	872340104	TSI	1000.0000	3.8899	3,889.90	3.94
5902	10/06/2006	10/12/2006	800907107	SANM	-940.0000	3.6789	(3,458.17)	25.52
5903	07/17/2006	07/20/2006	59515H106	MIOK	3750.0000	0.8000	3,000.00	48.43
5904	03/31/2006	04/05/2006	742852205	PDSC	50000.0000	0.0600	3,000.00	48.43
5905	02/02/2009	02/05/2009	294113105	EESO	300000.0000	0.0100	3,000.00	48.43
5906	03/23/2009	03/26/2009	638557108	NWNO	10000.0000	0.3000	3,000.00	48.43
5907	08/05/2010	08/10/2010	16934Q109	CIM	1000.0000	3.8900	3,890.00	3.94
5908	02/13/2009	02/19/2009	620076109	MOT	1000.0000	3.8900	3,890.00	3.94
5909	09/29/2010	10/04/2010	172967101	C	1000.0000	3.8900	3,890.00	3.94
5910	09/02/2010	09/08/2010	172967101	C	1000.0000	3.8900	3,890.00	3.94
5911	12/02/2008	12/05/2008	02503Y103	ACAS	-1000.0000	3.8910	(3,891.00)	3.90
5912	12/17/2008	12/22/2008	749121109	Q	-1065.0000	3.1315	(3,335.05)	31.70
5913	06/09/2006	06/14/2006	89376R109	TMTA	1538.0000	1.6400	2,522.32	48.49
5914	12/08/2008	12/11/2008	865378103	SUF	1500.0000	1.2485	1,872.75	48.49
5915	10/25/2006	10/30/2006	464287184	FXI	-15.0000	85.6100	(1,284.15)	48.50
5916	08/25/2006	08/30/2006	549463107	LU	-1652.0000	2.2201	(3,667.61)	15.13
5917	01/22/2009	01/27/2009	345370860	F	1260.0000	2.0160	2,540.16	48.53
5918	01/20/2010	01/25/2010	598251106	MBHI	-4104.0000	0.5225	(2,144.34)	48.54
5919	03/06/2007	03/09/2007	667280101	NWACQ	1500.0000	1.2500	1,875.00	48.54
5920	02/23/2010	02/26/2010	94683U100	WESA	-1500.0000	1.2500	(1,875.00)	48.54
5921	01/07/2009	01/12/2009	09250E107	HIS	1500.0000	1.2499	1,874.85	48.54
5922	11/24/2009	11/30/2009	061874103	BKEAY	-932.0000	4.1400	(3,858.48)	5.63
5923	01/08/2009	01/13/2009	023139108	ABK	2000.0000	1.7199	3,439.80	26.56
5924	08/01/2008	08/06/2008	82966U103	SIRI	-1380.0000	1.6000	(2,208.00)	48.55
5925	02/18/2009	02/23/2009	69912T108	PTG	1120.0000	2.6674	2,987.49	48.57
5926	07/13/2009	07/16/2009	749121109	Q	1000.0000	3.8990	3,899.00	3.63
5927	06/22/2010	06/25/2010	16934Q109	CIM	1000.0000	3.8991	3,899.10	3.63
5928	02/06/2007	02/09/2007	666135108	NFLD	-1000.0000	3.9000	(3,900.00)	3.60

Acct Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5929	12/31/2009	01/06/2010	989837109	ZTR	1000.0000	3.9000	3,900.00	3.60
5930	07/27/2006	08/01/2006	82966U103	SIRI	1000.0000	3.9000	3,900.00	3.60
5931	07/07/2010	07/12/2010	172967101	C	1000.0000	3.9000	3,900.00	3.60
5932	09/03/2010	09/09/2010	172967101	C	1000.0000	3.9000	3,900.00	3.60
5933	01/18/2006	01/23/2006	904753100	UGNE	-1000.0000	3.9000	(3,900.00)	3.60
5934	02/17/2006	02/23/2006	922281100	VSTY	1000.0000	3.9000	3,900.00	3.60
5935	12/30/2009	01/05/2010	989837109	ZTR	1000.0000	3.9000	3,900.00	3.60
5936	08/13/2010	08/18/2010	87651B104	TASR	-1000.0000	3.9000	(3,900.00)	3.60
5937	08/21/2009	08/26/2009	852061100	S	1000.0000	3.9000	3,900.00	3.60
5938	12/30/2009	01/05/2010	989837109	ZTR	1000.0000	3.9000	3,900.00	3.60
5939	01/17/2007	01/22/2007	553477100	MRVC	1000.0000	3.9000	3,900.00	3.60
5940	10/23/2008	10/28/2008	195493309	CNB	1000.0000	3.9000	3,900.00	3.60
5941	05/24/2006	05/30/2006	82966U103	SIRI	-1000.0000	3.9000	(3,900.00)	3.60
5942	01/12/2010	01/15/2010	90984P105	UCBI	-1000.0000	3.9000	(3,900.00)	3.60
5943	05/12/2009	05/15/2009	204018105	CPBC	-1000.0000	3.9000	(3,900.00)	3.60
5944	08/19/2009	08/24/2009	204018105	CPBC	-1000.0000	3.9000	(3,900.00)	3.60
5945	04/08/2009	04/14/2009	345370860	F	1000.0000	3.8999	3,899.90	3.60
5946	11/03/2009	11/06/2009	172967101	C	1000.0000	3.8999	3,899.90	3.60
5947	06/04/2010	06/09/2010	172967101	C	1000.0000	3.8998	3,899.80	3.61
5948	05/21/2007	05/24/2007	232984104	ATC	-1800.0000	1.8528	(3,335.04)	31.85
5949	07/14/2006	07/19/2006	983895301	XKEM	50000.0000	0.0429	2,145.00	48.60
5950	12/12/2008	12/17/2008	370442105	GM	-1000.0000	3.9008	(3,900.80)	3.57
5951	05/05/2010	05/10/2010	989837109	ZTR	-1000.0000	3.9010	(3,901.00)	3.57
5952	12/27/2006	01/02/2007	67072M301	NUVO	-1000.0000	3.9018	(3,901.80)	3.54
5953	01/02/2009	01/07/2009	225223304	CRAY	-1250.0000	2.0201	(2,525.13)	48.63
5954	09/13/2006	09/18/2006	131347106	CPNLQ	-10000.0000	0.3250	(3,250.00)	36.21
5955	09/07/2006	09/12/2006	131347106	CPNLQ	-10000.0000	0.3250	(3,250.00)	36.21
5956	09/07/2006	09/12/2006	131347106	CPNLQ	-10000.0000	0.3250	(3,250.00)	36.21
5957	05/24/2010	05/27/2010	172967101	C	1000.0000	3.9087	3,908.70	3.31
5958	05/24/2010	05/27/2010	172967101	C	1000.0000	3.9087	3,908.70	3.31
5959	09/09/2010	09/14/2010	172967101	C	1000.0000	3.9085	3,908.50	3.32
5960	08/09/2006	08/14/2006	82966U103	SIRI	-1000.0000	3.9100	(3,910.00)	3.27
5961	04/01/2010	04/07/2010	852061100	S	1000.0000	3.9100	3,910.00	3.27
5962	07/10/2009	07/15/2009	040047102	ARNA	1000.0000	3.9100	3,910.00	3.27
5963	01/18/2007	01/23/2007	82966U103	SIRI	1000.0000	3.9100	3,910.00	3.27
5964	11/06/2007	11/09/2007	872340104	TSI	-1000.0000	3.9100	(3,910.00)	3.27
5965	09/09/2010	09/14/2010	172967101	C	-1000.0000	3.9100	(3,910.00)	3.27
5966	09/03/2010	09/09/2010	172967101	C	-1000.0000	3.9100	(3,910.00)	3.27
5967	06/14/2010	06/17/2010	172967101	C	1000.0000	3.9100	3,910.00	3.27
5968	09/24/2008	09/29/2008	939322103	WM	1100.0000	3.1500	3,465.00	25.54
5969	11/17/2009	11/20/2009	42326R109	HLC5	2000.0000	1.7297	3,459.40	25.82
5970	11/17/2008	11/20/2008	345370860	F	-2000.0000	1.7300	(3,460.00)	25.80
5971	02/26/2007	03/01/2007	301610101	EXEG	1500.0000	2.6500	3,975.00	0.09
5972	10/29/2008	11/03/2008	690212105	OFI	1000.0000	3.9160	3,916.00	3.06
5973	11/12/2007	11/15/2007	802817304	SNTS	-1500.0000	2.5400	(3,810.00)	8.38
5974	09/10/2010	09/15/2010	172967101	C	1000.0000	3.9185	3,918.50	2.98
5975	02/20/2009	02/25/2009	060505104	BAC	1057.0000	3.1570	3,336.95	32.06
5976	03/03/2009	03/06/2009	060505104	BAC	1000.0000	3.9192	3,919.20	2.96
5977	07/06/2009	07/09/2009	74975N105	RTIX	-1000.0000	3.9200	(3,920.00)	2.93
5978	08/13/2009	08/18/2009	607500105	MDVX	1000.0000	3.9200	3,920.00	2.93
5979	05/19/2006	05/24/2006	82966U103	SIRI	1000.0000	3.9200	3,920.00	2.93
5980	04/06/2006	04/11/2006	978093102	WLV	1000.0000	3.9199	3,919.90	2.94
5981	04/08/2009	04/14/2009	7591EP100	RF	1000.0000	3.9198	3,919.80	2.94
5982	11/21/2008	11/26/2008	31928A101	SUFB	1000.0000	3.9215	3,921.50	2.88
5983	02/20/2009	02/25/2009	355145103	FT	1000.0000	3.9215	3,921.50	2.88
5984	02/20/2009	02/25/2009	355145103	FT	1000.0000	3.9215	3,921.50	2.88
5985	09/23/2008	09/26/2008	313400301	FRE	1650.0000	1.3300	2,194.50	48.97

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
5986	05/02/2006	05/05/2006	04634R106	ATTG	1900.0000	2.0800	3,952.00	1.40
5987	09/12/2007	09/17/2007	97750V109	WIZD	1500.0000	2.4500	3,675.00	15.25
5988	12/27/2006	01/02/2007	09066L105	BTIM	-12500.0000	0.2600	(3,250.00)	36.50
5989	04/16/2009	04/21/2009	62957N102	NCEN	3000.0000	1.0100	3,030.00	47.51
5990	03/29/2006	04/03/2006	338487101	FLMP	7000.0000	0.4300	3,010.00	48.51
5991	05/24/2010	05/27/2010	37246C109	GNVC	5000.0000	0.4900	2,450.00	49.03
5992	09/30/2010	10/05/2010	172967101	C	1000.0000	3.9278	3,927.80	2.67
5993	09/10/2010	09/15/2010	172967101	C	1000.0000	3.9285	3,928.50	2.64
5994	09/15/2010	09/20/2010	172967101	C	1000.0000	3.9285	3,928.50	2.64
5995	09/15/2010	09/20/2010	172967101	C	1000.0000	3.9285	3,928.50	2.64
5996	11/20/2009	11/25/2009	720279108	PIR	1000.0000	3.9299	3,929.90	2.60
5997	09/25/2006	09/28/2006	82966U103	SIRI	1000.0000	3.9300	3,930.00	2.60
5998	09/03/2010	09/09/2010	172967101	C	1000.0000	3.9300	3,930.00	2.60
5999	05/14/2010	05/19/2010	172967101	C	1000.0000	3.9300	3,930.00	2.60
6000	11/20/2009	11/25/2009	720279108	PIR	1000.0000	3.9300	3,930.00	2.60
6001	05/24/2010	05/27/2010	172967101	C	1000.0000	3.9300	3,930.00	2.60
6002	10/27/2006	11/01/2006	82966U103	SIRI	1000.0000	3.9300	3,930.00	2.60
6003	12/09/2008	12/12/2008	37247D106	GNW	1500.0000	2.5500	3,825.00	7.85
6004	06/16/2010	06/21/2010	989834106	ZF	1058.0000	3.1675	3,351.22	31.55
6005	06/01/2009	06/04/2009	64190A103	NRO	1250.0000	2.0393	2,549.13	49.11
6006	12/31/2009	01/06/2010	90984P105	UCBI	1016.0000	3.4185	3,473.20	25.48
6007	05/09/2008	05/14/2008	83545M109	SNCI	700.0000	3.7900	2,653.00	49.16
6008	11/12/2008	11/17/2008	024061103	AXL	1312.0000	1.7590	2,307.81	49.18
6009	05/28/2009	06/02/2009	370442105	GM	-1500.0000	1.2700	(1,905.00)	49.20
6010	01/07/2010	01/12/2010	881451108	TSTR	2650.0000	0.9844	2,608.66	49.22
6011	06/25/2010	06/30/2010	172967101	C	1000.0000	3.9386	3,938.60	2.31
6012	08/05/2009	08/10/2009	97750V109	WZE	5600.0000	0.4998	2,798.88	49.24
6013	11/21/2008	11/26/2008	172967101	C	1000.0000	3.9392	3,939.20	2.29
6014	06/01/2010	06/04/2010	172967101	C	1000.0000	3.9391	3,939.10	2.29
6015	06/10/2010	06/15/2010	172967101	C	1000.0000	3.9390	3,939.00	2.30
6016	09/19/2008	09/24/2008	69344M101	PMI	1000.0000	3.9390	3,939.00	2.30
6017	07/06/2009	07/09/2009	950587105	WEN	1000.0000	3.9390	3,939.00	2.30
6018	06/23/2010	06/28/2010	172967101	C	1000.0000	3.9390	3,939.00	2.30
6019	06/23/2010	06/28/2010	172967101	C	1000.0000	3.9390	3,939.00	2.30
6020	06/23/2010	06/28/2010	172967101	C	1000.0000	3.9390	3,939.00	2.30
6021	03/19/2007	03/22/2007	92886T201	VG	-1000.0000	3.9401	(3,940.10)	2.26
6022	03/08/2006	03/13/2006	03822W109	AMCC	1000.0000	3.9400	3,940.00	2.26
6023	03/03/2006	03/08/2006	639208107	NAVR	1000.0000	3.9400	3,940.00	2.26
6024	03/03/2006	03/08/2006	639208107	NAVR	1000.0000	3.9400	3,940.00	2.26
6025	06/02/2009	06/05/2009	446150104	HBAN	-1000.0000	3.9400	(3,940.00)	2.26
6026	11/20/2007	11/26/2007	912023207	UXG	1000.0000	3.9400	3,940.00	2.26
6027	10/27/2006	11/01/2006	82966U103	SIRI	1000.0000	3.9400	3,940.00	2.26
6028	02/21/2006	02/24/2006	879604106	TKO	-975.0000	3.9401	(3,841.60)	7.18
6029	06/04/2010	06/09/2010	11161T108	BWEN	1500.0000	2.5583	3,837.45	7.40
6030	07/16/2010	07/21/2010	172967101	C	-1000.0000	3.9415	(3,941.50)	2.21
6031	01/11/2010	01/14/2010	45248F103	IMGG	3000.0000	0.7180	2,154.00	49.29
6032	09/06/2006	09/11/2006	871562203	SYGR	-1000.0000	3.7407	(3,740.70)	12.27
6033	07/27/2007	08/01/2007	88579F102	TDCP	-4020.0000	0.7500	(3,015.00)	48.55
6034	02/10/2010	02/16/2010	172967101	C	1090.0000	3.1785	3,464.57	26.08
6035	07/23/2010	07/28/2010	337347108	FHI	1100.0000	3.1800	3,498.00	24.43
6036	03/10/2009	03/13/2009	37247D106	GNW	2850.0000	1.2100	3,448.50	26.92
6037	07/08/2009	07/13/2009	780081105	RBPA	1256.0000	2.0500	2,574.80	49.38
6038	05/09/2006	05/12/2006	55024R106	LMRA	1000.0000	3.9480	3,948.00	1.99
6039	03/19/2009	03/24/2009	055639108	BPZ	1000.0000	3.9479	3,947.90	1.99
6040	09/14/2010	09/17/2010	172967101	C	1000.0000	3.9485	3,948.50	1.97
6041	07/08/2010	07/13/2010	172967101	C	1000.0000	3.9485	3,948.50	1.97
6042	09/30/2010	10/05/2010	172967101	C	1000.0000	3.9481	3,948.10	2.00

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6043	03/16/2010	03/19/2010	172967101	C	1000.0000	3.9481	3,948.10	2.00
6044	01/25/2010	01/28/2010	202736104	CWBS	-1295.0000	2.0507	(2,655.66)	49.40
6045	01/08/2010	01/13/2010	852061100	S	1000.0000	3.9490	3,949.00	1.96
6046	02/25/2009	03/02/2009	025676206	AEL	1000.0000	3.9487	3,948.70	1.97
6047	06/24/2009	06/29/2009	749121109	Q	1000.0000	3.9498	3,949.80	1.93
6048	07/23/2010	07/28/2010	055639108	BPZ	1000.0000	3.9496	3,949.60	1.94
6049	04/08/2009	04/14/2009	67073D102	JQC	1000.0000	3.9494	3,949.40	1.95
6050	06/01/2010	06/04/2010	172967101	C	-1000.0000	3.9502	(3,950.20)	1.92
6051	11/02/2009	11/05/2009	172967101	C	-1000.0000	3.9501	(3,950.10)	1.93
6052	08/14/2007	08/17/2007	872340104	TSI	-1000.0000	3.9501	(3,950.10)	1.93
6053	03/24/2010	03/29/2010	852061100	S	1000.0000	3.9500	3,950.00	1.93
6054	11/21/2008	11/26/2008	172967101	C	1000.0000	3.9500	3,950.00	1.93
6055	08/10/2007	08/15/2007	98425R200	YTBLA	1000.0000	3.9500	3,950.00	1.93
6056	10/15/2010	10/20/2010	172967101	C	1000.0000	3.9500	3,950.00	1.93
6057	04/06/2009	04/09/2009	345370860	F	1000.0000	3.9500	3,950.00	1.93
6058	11/10/2008	11/14/2008	466090107	JASO	1000.0000	3.9500	3,950.00	1.93
6059	05/17/2010	05/20/2010	172967101	C	1000.0000	3.9500	3,950.00	1.93
6060	09/09/2010	09/14/2010	172967101	C	1000.0000	3.9500	3,950.00	1.93
6061	08/21/2008	08/26/2008	939322103	WM	1000.0000	3.9500	3,950.00	1.93
6062	11/06/2007	11/09/2007	87163L103	BRIC	-1000.0000	3.9500	(3,950.00)	1.93
6063	06/26/2006	06/29/2006	224916106	CIK	-1000.0000	3.9500	(3,950.00)	1.93
6064	11/25/2009	12/01/2009	749121109	Q	1000.0000	3.9499	3,949.90	1.94
6065	03/31/2006	04/05/2006	767754104	RAD	-1000.0000	3.9510	(3,951.00)	1.89
6066	05/27/2008	05/30/2008	111412607	BVSN	-1525.0000	1.0300	(1,570.75)	49.46
6067	07/31/2007	08/03/2007	247126105	DPHIQ	2000.0000	1.2900	2,580.00	49.48
6068	09/18/2007	09/21/2007	131347106	CPNLQ	2000.0000	1.2900	2,580.00	49.48
6069	11/09/2006	11/14/2006	549463107	LU	-1200.0000	2.5515	(3,061.80)	46.40
6070	11/02/2006	11/07/2006	978093102	WLV	-2000.0000	1.2901	(2,580.20)	49.49
6071	09/09/2009	09/14/2009	767754104	RAD	-1900.0000	1.5600	(2,964.00)	49.51
6072	08/28/2009	09/02/2009	343389102	FTK	-2000.0000	1.7600	(3,520.00)	23.55
6073	02/17/2009	02/20/2009	055639108	BPZ	1000.0000	3.9580	3,958.00	1.66
6074	07/16/2010	07/21/2010	172967101	C	1000.0000	3.9585	3,958.50	1.64
6075	09/20/2010	09/23/2010	172967101	C	1000.0000	3.9585	3,958.50	1.64
6076	06/03/2010	06/08/2010	172967101	C	1000.0000	3.9591	3,959.10	1.63
6077	03/31/2006	04/05/2006	767754104	RAD	-1000.0000	3.9601	(3,960.10)	1.59
6078	02/20/2007	02/23/2007	82966U103	SIRI	1000.0000	3.9600	3,960.00	1.59
6079	01/19/2007	01/24/2007	82966U103	SIRI	1000.0000	3.9600	3,960.00	1.59
6080	01/19/2007	01/24/2007	82966U103	SIRI	1000.0000	3.9600	3,960.00	1.59
6081	01/21/2010	01/26/2010	30063P105	EXAS	-1000.0000	3.9600	(3,960.00)	1.59
6082	06/18/2010	06/23/2010	172967101	C	-1000.0000	3.9600	(3,960.00)	1.59
6083	02/15/2007	02/21/2007	65488W103	NVBF	-1000.0000	3.9600	(3,960.00)	1.59
6084	08/06/2009	08/11/2009	76120W302	RSO	1000.0000	3.9599	3,959.90	1.60
6085	08/07/2009	08/12/2009	172967101	C	1000.0000	3.9599	3,959.90	1.60
6086	06/24/2009	06/29/2009	749121109	Q	1000.0000	3.9598	3,959.80	1.60
6087	10/23/2008	10/28/2008	67067Y104	NSL	-1000.0000	3.9619	(3,961.90)	1.52
6088	04/05/2010	04/08/2010	48131M102	JUHL	1500.0000	2.0500	3,075.00	45.90
6089	03/24/2009	03/27/2009	50047H201	KONA	1500.0000	2.0500	3,075.00	45.90
6090	05/20/2009	05/26/2009	185860103	CBLI	1000.0000	3.9640	3,964.00	1.46
6091	10/27/2010	11/01/2010	989834106	ZF	1100.0000	3.1985	3,518.35	23.75
6092	05/09/2008	05/14/2008	983982109	XFML	1000.0000	3.9670	3,967.00	1.36
6093	04/09/2009	04/15/2009	62957N102	NCEN	3000.0000	1.0333	3,099.90	44.72
6094	02/01/2006	02/06/2006	98950P108	ZHNE	-1225.0000	2.1600	(2,646.00)	49.73
6095	04/30/2009	05/05/2009	59318D104	CXE	1000.0000	3.9691	3,969.10	1.29
6096	05/28/2009	06/02/2009	7591EP100	RF	1000.0000	3.9698	3,969.80	1.26
6097	03/26/2009	03/31/2009	202608105	CVGI	3000.0000	0.7200	2,160.00	49.75
6098	06/13/2006	06/16/2006	120255104	BLRV	3000.0000	0.7200	2,160.00	49.75
6099	08/18/2009	08/21/2009	00504W100	ACPW	-3000.0000	0.7200	(2,160.00)	49.75

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6100	09/08/2006	09/13/2006	82966U103	SIRI	1000.0000	3.9700	3,970.00	1.26
6101	03/10/2009	03/13/2009	06738E204	BCS	1000.0000	3.9700	3,970.00	1.26
6102	07/16/2009	07/21/2009	885535104	COMS	-1000.0000	3.9700	(3,970.00)	1.26
6103	02/19/2009	02/24/2009	060505104	BAC	1000.0000	3.9700	3,970.00	1.26
6104	05/04/2006	05/09/2006	879604106	TKO	-1000.0000	3.9700	(3,970.00)	1.26
6105	05/21/2009	05/27/2009	19238U107	CSA	1000.0000	3.9699	3,969.90	1.26
6106	03/19/2010	03/24/2010	172967101	C	-1000.0000	3.9715	(3,971.50)	1.20
6107	05/04/2010	05/07/2010	26817G102	DYNOLDD	-2000.0000	1.3000	(2,600.00)	49.79
6108	12/18/2007	12/21/2007	05348W109	AVNX	-1500.0000	1.1768	(1,765.20)	49.80
6109	06/14/2006	06/19/2006	893782102	TRNT	-1500.0000	1.2900	(1,935.00)	49.86
6110	04/30/2009	05/05/2009	290846104	EMKR	1500.0000	1.2900	1,935.00	49.86
6111	02/08/2006	02/13/2006	451725105	IGPG	1500.0000	1.2900	1,935.00	49.86
6112	10/21/2009	10/26/2009	125581207	CIT.PRA	1500.0000	1.2900	1,935.00	49.86
6113	09/19/2008	09/24/2008	74269U104	PKT	1600.0000	1.0000	1,600.00	49.86
6114	08/20/2008	08/25/2008	64049M209	NGNM	-1565.0000	1.0000	(1,565.00)	49.86
6115	12/13/2006	12/18/2006	247361108	DALRQ	-2200.0000	1.7300	(3,806.00)	9.58
6116	07/25/2006	07/28/2006	549463107	LU	-1264.0000	2.0700	(2,616.48)	49.88
6117	09/21/2010	09/24/2010	172967101	C	1000.0000	3.9781	3,978.10	0.98
6118	04/03/2007	04/09/2007	879291103	TSYS	-1000.0000	3.7801	(3,780.10)	10.90
6119	01/23/2009	01/28/2009	607500105	MDVX	1400.0000	1.6500	2,310.00	49.90
6120	10/01/2008	10/06/2008	026874107	AIG	1000.0000	3.9790	3,979.00	0.96
6121	10/07/2008	10/10/2008	026874107	AIG	1000.0000	3.9790	3,979.00	0.96
6122	11/17/2008	11/20/2008	007903107	AMD	-1200.0000	2.5725	(3,087.00)	45.56
6123	09/07/2010	09/10/2010	82967N108	SIRI	-1600.0000	1.0015	(1,602.40)	49.91
6124	06/16/2010	06/21/2010	172967101	C	-1000.0000	3.9800	(3,980.00)	0.92
6125	03/12/2010	03/17/2010	172967101	C	1000.0000	3.9800	3,980.00	0.92
6126	06/16/2010	06/21/2010	172967101	C	1000.0000	3.9800	3,980.00	0.92
6127	03/30/2009	04/02/2009	169421105	CSR	1000.0000	3.9800	3,980.00	0.92
6128	11/07/2006	11/10/2006	82966U103	SIRI	-1000.0000	3.9800	(3,980.00)	0.92
6129	07/28/2006	08/02/2006	82966U103	SIRI	-1000.0000	3.9800	(3,980.00)	0.92
6130	01/08/2008	01/11/2008	865378103	SUF	-1000.0000	3.9800	(3,980.00)	0.92
6131	07/26/2006	07/31/2006	82966U103	SIRI	1000.0000	3.9800	3,980.00	0.92
6132	03/31/2006	04/05/2006	224916106	CIK	1000.0000	3.9800	3,980.00	0.92
6133	10/24/2006	10/27/2006	05348W109	AVNX	1915.0000	1.7044	3,263.93	36.72
6134	09/09/2009	09/14/2009	404450108	HABE	-40028.0000	0.0756	(3,026.12)	48.63
6135	11/26/2007	11/29/2007	916896103	UEC	1000.0000	3.9830	3,983.00	0.82
6136	04/12/2006	04/18/2006	50575Q102	LTS	2500.0000	1.1099	2,774.75	49.98
6137	02/03/2009	02/06/2009	294113105	EESO	127236.0000	0.0170	2,163.01	49.99
6138	02/20/2009	02/25/2009	172967101	C	2000.0000	1.9700	3,940.00	3.00
6139	06/12/2009	06/17/2009	14067D102	CPST	3200.0000	1.2300	3,936.00	3.20
6140	12/12/2007	12/17/2007	422217109	HRAL	-2500.0000	1.5700	(3,925.00)	3.75
6141	03/23/2006	03/28/2006	235811106	DCNAQ	-2000.0000	1.9600	(3,920.00)	4.00
6142	04/04/2008	04/09/2008	989513205	ZILA	20000.0000	0.1952	3,904.00	4.80
6143	09/23/2010	09/28/2010	90342T203	USFM	300000.0000	0.0130	3,900.00	5.00
6144	03/03/2006	03/08/2006	46612J101	JDSU	1000.0000	3.8900	3,890.00	5.50
6145	04/30/2009	05/05/2009	370442105	GM	2000.0000	1.9292	3,858.40	7.08
6146	06/11/2008	06/16/2008	023139108	ABK	2000.0000	1.9000	3,800.00	10.00
6147	04/14/2010	04/19/2010	987413101	UBET	1233.0000	3.0799	3,797.52	10.12
6148	04/14/2010	04/19/2010	987413101	UBET	1233.0000	3.0799	3,797.52	10.12
6149	06/25/2010	06/30/2010	62942D102	NTMG	7000.0000	0.5400	3,780.00	11.00
6150	02/26/2010	03/03/2010	939322103	WAMUQ	14000.0000	0.2690	3,766.00	11.70
6151	10/13/2006	10/18/2006	00509L109	ACUR	5000.0000	0.7240	3,620.00	19.00
6152	11/14/2008	11/19/2008	74269U104	PKT	5000.0000	0.7198	3,599.00	20.05
6153	01/23/2007	01/26/2007	247361108	DALRQ	-3000.0000	1.1900	(3,570.00)	21.50
6154	08/20/2010	08/25/2010	023139108	ABK	-8000.0000	0.4442	(3,553.60)	22.32
6155	11/14/2008	11/19/2008	74269U104	PKT	5000.0000	0.7100	3,550.00	22.50
6156	11/13/2008	11/18/2008	345370860	F	2000.0000	1.7685	3,537.00	23.15

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6157	06/24/2010	06/29/2010	632381307	NCOCD	-4375.0000	0.8000	(3,500.00)	25.00
6158	08/04/2008	08/07/2008	090661105	BTIM	-5000.0000	0.7000	(3,500.00)	25.00
6159	08/27/2009	09/01/2009	42327Y103	HMH	-4000.0000	0.8709	(3,483.60)	25.82
6160	10/03/2008	10/08/2008	00765H107	ADLS	-5000.0000	0.6915	(3,457.50)	27.13
6161	02/02/2006	02/07/2006	827056102	SGID	-10000.0000	0.3450	(3,450.00)	27.50
6162	09/19/2008	09/24/2008	92336G106	VSE	-2000.0000	1.7200	(3,440.00)	28.00
6163	04/20/2006	04/25/2006	91913E302	VLTS	1000.0000	3.3700	3,370.00	31.50
6164	09/18/2008	09/23/2008	92336G106	VSE	2000.0000	1.6500	3,300.00	35.00
6165	11/27/2007	11/30/2007	05348W109	AVNX	-2500.0000	1.3200	(3,300.00)	35.00
6166	11/30/2009	12/03/2009	389375106	GTN	3000.0000	1.0999	3,299.70	35.02
6167	03/19/2007	03/22/2007	62472C102	XDSL	20000.0000	0.1620	3,240.00	38.00
6168	12/29/2008	01/02/2009	629337106	NNBR	-1600.0000	2.0000	(3,200.00)	40.00
6169	01/10/2006	01/13/2006	15117N107	CLN	10000.0000	0.3200	3,200.00	40.00
6170	07/12/2006	07/17/2006	28225C806	EGAN	2450.0000	1.3000	3,185.00	40.75
6171	07/23/2007	07/26/2007	641876107	HYB	-1500.0000	2.1000	(3,150.00)	42.50
6172	02/16/2007	02/22/2007	88579F102	TDGP	-6000.0000	0.5200	(3,120.00)	44.00
6173	01/04/2006	01/09/2006	45773P104	IRBL	8000.0000	0.3900	3,120.00	44.00
6174	12/10/2007	12/13/2007	923351100	VERA	-4000.0000	0.7800	(3,120.00)	44.00
6175	11/06/2008	11/12/2008	023139108	ABK	2000.0000	1.5500	3,100.00	45.00
6176	09/22/2009	09/25/2009	150837409	CVM	1500.0000	2.0200	3,030.00	48.50
6177	11/10/2009	11/16/2009	64190A103	NRO	-1127.0000	2.6807	(3,021.15)	48.94
6178	02/27/2009	03/04/2009	172967101	C	2000.0000	1.5000	3,000.00	50.00
6179	03/15/2010	03/18/2010	03824B103	APNT	-10000.0000	0.3000	(3,000.00)	50.00
6180	08/12/2010	08/17/2010	585013105	MZEI	-10000.0000	0.3000	(3,000.00)	50.00
6181	11/11/2009	11/16/2009	197779101	CBRX	3000.0000	1.0000	3,000.00	50.00
6182	11/11/2009	11/16/2009	197779101	CBRX	3000.0000	1.0000	3,000.00	50.00
6183	11/25/2008	12/01/2008	82967N108	SIRI	20000.0000	0.1498	2,996.00	50.00
6184	09/02/2008	09/05/2008	652903105	NEXM	-19750.0000	0.1500	(2,962.50)	50.00
6185	02/27/2009	03/04/2009	09065V203	BPAX	-2000.0000	1.4700	(2,940.00)	50.00
6186	06/28/2007	07/03/2007	62472C102	XDSL	30000.0000	0.0980	2,940.00	50.00
6187	11/11/2009	11/16/2009	91704A105	URBF	2500.0000	1.1700	2,925.00	50.00
6188	10/27/2008	10/30/2008	026874107	AIG	-2000.0000	1.4400	(2,880.00)	50.00
6189	11/06/2008	11/12/2008	339099103	FLE	9300.0000	0.2997	2,787.21	50.00
6190	07/11/2008	07/16/2008	023139108	ABK	2000.0000	1.3899	2,779.80	50.00
6191	02/23/2009	02/26/2009	446150104	HBAN	2000.0000	1.3797	2,759.40	50.00
6192	07/10/2007	07/13/2007	000875104	ACGI	10000.0000	0.2750	2,750.00	50.00
6193	04/08/2008	04/11/2008	885218107	TMA	-2000.0000	1.3704	(2,740.80)	50.00
6194	08/25/2010	08/30/2010	371485103	GNBT	6300.0000	0.4327	2,726.01	50.00
6195	02/14/2006	02/17/2006	042682104	ARTX	-5000.0000	0.5300	(2,650.00)	50.00
6196	08/25/2010	08/30/2010	984249102	YRCW	10000.0000	0.2631	2,631.00	50.00
6197	11/25/2009	12/01/2009	552617102	MACC	-4000.0000	0.6500	(2,600.00)	50.00
6198	01/04/2010	01/07/2010	29259F202	EDVC	10000.0000	0.2600	2,600.00	50.00
6199	09/14/2009	09/17/2009	82967N108	SIRI	-4000.0000	0.6480	(2,592.00)	50.00
6200	12/29/2009	01/04/2010	58977Q109	TMR	-10000.0000	0.2583	(2,583.00)	50.00
6201	11/15/2007	11/20/2007	60975U108	MGRM	-2000.0000	1.2909	(2,581.80)	50.00
6202	12/03/2008	12/08/2008	885218800	TMA	10000.0000	0.2575	2,575.00	50.00
6203	07/06/2009	07/09/2009	313586737	FNM.PRT	-2000.0000	1.2820	(2,564.00)	50.00
6204	09/08/2008	09/11/2008	313400301	FRE	-2000.0000	1.2750	(2,550.00)	50.00
6205	12/03/2008	12/08/2008	885218800	TMA	10000.0000	0.2526	2,526.00	50.00
6206	10/13/2008	10/16/2008	367348109	GHS	10000.0000	0.2500	2,500.00	50.00
6207	11/06/2008	11/12/2008	339099103	FLE	10000.0000	0.2500	2,500.00	50.00
6208	06/12/2007	06/15/2007	62472C102	XDSL	20000.0000	0.1250	2,500.00	50.00
6209	06/19/2009	06/24/2009	767754104	RAD	2000.0000	1.2400	2,480.00	50.00
6210	03/20/2009	03/25/2009	026874107	AIG	1700.0000	1.4500	2,465.00	50.00
6211	01/31/2006	02/03/2006	91349P103	USXP	2000000.0000	0.0012	2,400.00	50.00
6212	08/29/2007	09/04/2007	516546207	LLSR	10000.0000	0.2350	2,350.00	50.00
6213	01/07/2010	01/12/2010	313586109	FNM	2000.0000	1.1690	2,338.00	50.00

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6214	09/11/2008	09/16/2008	989513205	ZILA	-10000.0000	0.2303	(2,303.00)	50.00
6215	02/20/2009	02/25/2009	446150104	HBAN	2300.0000	1.0000	2,300.00	50.00
6216	12/28/2007	01/03/2008	37229P507	GTOP	-3000.0000	0.7604	(2,281.20)	50.00
6217	09/27/2006	10/02/2006	761525500	REV	2000.0000	1.1400	2,280.00	50.00
6218	04/02/2009	04/07/2009	561911108	HYF	2000.0000	1.1300	2,260.00	50.00
6219	01/06/2010	01/11/2010	313586109	FNM	2000.0000	1.1290	2,258.00	50.00
6220	06/25/2009	06/30/2009	77208P102	RCKE	20000.0000	0.1100	2,200.00	50.00
6221	07/31/2007	08/03/2007	000875104	ACGJ	10000.0000	0.2100	2,100.00	50.00
6222	10/16/2007	10/19/2007	000875104	ACGJ	10000.0000	0.2100	2,100.00	50.00
6223	07/10/2007	07/13/2007	000875104	ACGJ	10000.0000	0.2000	2,000.00	50.00
6224	07/10/2007	07/13/2007	000875104	ACGJ	10000.0000	0.1800	1,800.00	50.00
6225	07/31/2007	08/03/2007	000875104	ACGJ	10000.0000	0.1750	1,750.00	50.00
6226	08/07/2007	08/10/2007	000875104	ACGJ	10000.0000	0.1600	1,600.00	50.00
6227	09/06/2007	09/11/2007	000875104	ACGJ	10000.0000	0.1000	1,000.00	50.00
6228	08/24/2007	08/29/2007	000875104	ACGJ	10000.0000	0.1000	1,000.00	50.00
6229	09/06/2007	09/11/2007	000875104	ACGJ	10000.0000	0.1000	1,000.00	50.00
6230	09/06/2007	09/11/2007	000875104	ACGJ	10000.0000	0.1000	1,000.00	50.00
6231	09/21/2007	09/26/2007	000875104	ACGJ	10000.0000	0.0900	900.00	50.00
6232	11/05/2008	11/10/2008	834021107	SOFT	-10000.0000	0.0800	(800.00)	50.00
6233	03/11/2010	03/16/2010	172967101	C	1000.0000	3.9885	3,988.50	0.63
6234	09/20/2010	09/23/2010	172967101	C	1000.0000	3.9885	3,988.50	0.63
6235	07/08/2010	07/13/2010	172967101	C	1000.0000	3.9885	3,988.50	0.63
6236	10/01/2010	10/06/2010	172967101	C	1000.0000	3.9881	3,988.10	0.66
6237	10/01/2010	10/06/2010	172967101	C	1000.0000	3.9881	3,988.10	0.66
6238	09/21/2009	09/24/2009	01903Q108	ALD	-1057.0000	3.2200	(3,403.54)	29.88
6239	04/23/2007	04/26/2007	111412607	BVSN	-1190.0000	2.5800	(3,070.20)	46.55
6240	02/04/2010	02/09/2010	16934Q109	CIM	1000.0000	3.9889	3,988.90	0.62
6241	08/18/2009	08/21/2009	769397100	RVSF	-1037.0000	3.2500	(3,370.25)	31.56
6242	11/05/2009	11/10/2009	749941100	RFMD	-1000.0000	3.9900	(3,990.00)	0.59
6243	06/17/2010	06/22/2010	172967101	C	-1000.0000	3.9900	(3,990.00)	0.59
6244	11/07/2006	11/10/2006	43738R109	HMB	1000.0000	3.9900	3,990.00	0.59
6245	04/17/2007	04/20/2007	654407105	NINE	-1000.0000	3.9900	(3,990.00)	0.59
6246	08/10/2007	08/15/2007	83001P109	SIX	-1000.0000	3.9900	(3,990.00)	0.59
6247	11/19/2007	11/23/2007	04289L107	ARTG	-1000.0000	3.9900	(3,990.00)	0.59
6248	01/25/2006	01/30/2006	293848107	ENTU	1000.0000	3.9900	3,990.00	0.59
6249	09/13/2010	09/16/2010	172967101	C	-1000.0000	3.9900	(3,990.00)	0.59
6250	07/09/2008	07/14/2008	29259F202	EDVC	-1000.0000	3.9900	(3,990.00)	0.59
6251	10/24/2007	10/29/2007	232828301	CYTR	1000.0000	3.9900	3,990.00	0.59
6252	02/05/2009	02/10/2009	060505104	BAC	1000.0000	3.9899	3,989.90	0.59
6253	08/17/2009	08/20/2009	172967101	C	1000.0000	3.9899	3,989.90	0.59
6254	01/29/2009	02/03/2009	172967101	C	950.0000	3.9900	3,790.50	10.57
6255	09/12/2006	09/15/2006	871562203	SYGR	-1000.0000	3.7899	(3,789.90)	10.61
6256	11/12/2007	11/15/2007	871206108	SCMR	-1000.0000	3.7899	(3,789.90)	10.61
6257	11/06/2006	11/09/2006	800907107	SANM	-1000.0000	3.7899	(3,789.90)	10.61
6258	08/21/2006	08/24/2006	871562203	SYGR	-1000.0000	3.7892	(3,789.20)	10.64
6259	06/22/2006	06/27/2006	871562203	SYGR	-1000.0000	3.7699	(3,769.90)	11.61
6260	09/21/2010	09/24/2010	172967101	C	-1000.0000	3.9918	(3,991.80)	0.53
6261	08/18/2010	08/23/2010	372910307	GOK	-1000.0000	3.9930	(3,993.00)	0.49
6262	01/21/2009	01/26/2009	64190A103	NRO	-1348.0000	1.6600	(2,237.68)	50.17
6263	08/04/2010	08/09/2010	231269101	CRIS	-1700.0000	1.7703	(3,009.51)	49.70
6264	02/02/2009	02/05/2009	64190A103	NRO	2000.0000	1.7870	3,574.00	21.51
6265	09/30/2009	10/05/2009	31567R100	FTWR	-3000.0000	1.0501	(3,150.30)	42.70
6266	08/29/2008	09/04/2008	47023A101	JMBA	-1500.0000	1.3010	(1,951.50)	50.23
6267	11/03/2009	11/06/2009	950587105	WEN	1000.0000	3.9990	3,999.00	0.29
6268	11/26/2008	12/02/2008	370442105	GM	-1000.0000	4.0000	(4,000.00)	0.25
6269	01/15/2009	01/21/2009	74347R743	UYG	1000.0000	4.0000	4,000.00	0.25
6270	03/27/2007	03/30/2007	676118102	OMR	-1000.0000	4.0000	(4,000.00)	0.25

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6271	09/08/2006	09/13/2006	82966U103	SIRI	1000.0000	4.0000	4,000.00	0.25
6272	11/21/2008	11/26/2008	026874107	AIG	1000.0000	4.0000	4,000.00	0.25
6273	10/03/2008	10/08/2008	026874107	AIG	1000.0000	4.0000	4,000.00	0.25
6274	02/26/2010	03/03/2010	910710102	UIHC	-1000.0000	4.0000	(4,000.00)	0.25
6275	02/18/2010	02/23/2010	910710102	UIHC	-1000.0000	4.0000	(4,000.00)	0.25
6276	07/28/2009	07/31/2009	19247V104	RPF	-1000.0000	4.0000	(4,000.00)	0.25
6277	08/07/2009	08/12/2009	172967101	C	1000.0000	4.0000	4,000.00	0.25
6278	05/07/2010	05/12/2010	172967101	C	1000.0000	4.0000	4,000.00	0.25
6279	10/02/2008	10/07/2008	026874107	AIG	1000.0000	4.0000	4,000.00	0.25
6280	05/07/2010	05/12/2010	172967101	C	1000.0000	4.0000	4,000.00	0.25
6281	05/04/2009	05/07/2009	466090107	JASO	1000.0000	4.0000	4,000.00	0.25
6282	12/23/2009	12/29/2009	233384106	SRQ	-1000.0000	4.0000	(4,000.00)	0.25
6283	10/30/2009	11/04/2009	466090107	JASO	1000.0000	4.0000	4,000.00	0.25
6284	05/01/2009	05/06/2009	749121109	Q	-1000.0000	4.0000	(4,000.00)	0.25
6285	08/11/2009	08/14/2009	277461109	EK	1000.0000	4.0000	4,000.00	0.25
6286	06/02/2008	06/05/2008	464119106	IFC	1000.0000	4.0000	4,000.00	0.25
6287	07/27/2006	08/01/2006	82966U103	SIRI	1000.0000	4.0000	4,000.00	0.25
6288	04/08/2009	04/14/2009	345370860	F	-1000.0000	4.0000	(4,000.00)	0.25
6289	02/28/2007	03/05/2007	266605104	DRRX	-1000.0000	4.0000	(4,000.00)	0.25
6290	10/11/2007	10/16/2007	62936P103	NPSP	1000.0000	4.0000	4,000.00	0.25
6291	04/21/2006	04/26/2006	866810104	SUNW	-1000.0000	4.0000	(4,000.00)	0.25
6292	06/19/2009	06/24/2009	749121109	Q	1000.0000	4.0000	4,000.00	0.25
6293	12/17/2009	12/22/2009	172967101	C	1000.0000	4.0000	4,000.00	0.25
6294	07/24/2009	07/29/2009	204018105	CPBC	-1000.0000	4.0000	(4,000.00)	0.25
6295	08/28/2009	09/02/2009	204018105	CPBC	-1000.0000	4.0000	(4,000.00)	0.25
6296	03/27/2007	03/30/2007	676118102	OMR	-1000.0000	4.0000	(4,000.00)	0.25
6297	07/14/2006	07/19/2006	82966U103	SIRI	1000.0000	4.0000	4,000.00	0.25
6298	03/15/2006	03/20/2006	767754104	RAD	1000.0000	3.9999	3,999.90	0.25
6299	08/10/2009	08/13/2009	172967101	C	1000.0000	3.9999	3,999.90	0.25
6300	08/17/2009	08/20/2009	172967101	C	1000.0000	3.9999	3,999.90	0.25
6301	05/06/2010	05/11/2010	852061100	S	-975.0000	4.0000	(3,900.00)	5.25
6302	01/23/2009	01/28/2009	7591EP100	RF	950.0000	4.0000	3,800.00	10.25
6303	11/17/2006	11/22/2006	549463107	LU	-1200.0000	2.5900	(3,108.00)	44.85
6304	04/06/2009	04/09/2009	313400301	FRE	-3000.0000	0.7222	(2,166.60)	50.26
6305	09/10/2009	09/15/2009	38500T101	GTE	-1000.0000	4.0010	(4,001.00)	0.22
6306	10/22/2010	10/27/2010	452907108	IMMU	-1000.0000	4.0009	(4,000.90)	0.22
6307	10/18/2007	10/23/2007	62936P103	NPSP	-1000.0000	4.0020	(4,002.00)	0.19
6308	08/24/2009	08/27/2009	313586109	FNM	-2000.0000	1.7900	(3,580.00)	21.29
6309	05/06/2010	05/11/2010	172967101	C	-1000.0000	4.0025	(4,002.50)	0.18
6310	03/16/2006	03/21/2006	46612J101	JDSU	-1000.0000	3.8197	(3,819.70)	9.32
6311	06/27/2006	06/30/2006	871562203	SYGR	-1000.0000	3.7997	(3,799.70)	10.32
6312	03/31/2008	04/03/2008	279862106	EPEX	-1000.0000	3.7997	(3,799.70)	10.32
6313	11/13/2007	11/16/2007	29254Q104	ECI	-1700.0000	2.3000	(3,910.00)	4.81
6314	06/23/2006	06/28/2006	500117106	KDKN	1700.0000	2.3000	3,910.00	4.81
6315	10/23/2006	10/26/2006	59139P104	MSLV	-1000.0000	3.8096	(3,809.60)	9.92
6316	04/03/2009	04/08/2009	345370860	F	1100.0000	3.2387	3,562.57	22.27
6317	09/19/2007	09/24/2007	206710204	CCUR	-1500.0000	1.1964	(1,794.60)	50.40
6318	01/09/2007	01/12/2007	550520100	LHCS	2000.0000	1.3200	2,640.00	50.41
6319	10/22/2007	10/25/2007	045188109	AKMN	10000.0000	0.3900	3,900.00	5.42
6320	03/19/2009	03/24/2009	345370860	F	1200.0000	2.5999	3,119.88	44.46
6321	04/29/2010	05/04/2010	009728106	AKRX	-1300.0000	2.0954	(2,724.02)	50.52
6322	10/30/2006	11/02/2006	59139P104	MSLV	-974.0000	3.8241	(3,724.67)	14.31
6323	11/03/2008	11/06/2008	026874107	AIG	1500.0000	2.0900	3,135.00	43.79
6324	08/04/2009	08/07/2009	002346104	AVII	1500.0000	2.0900	3,135.00	43.79
6325	05/05/2006	05/10/2006	294935101	EQTL	10500.0000	0.3400	3,570.00	22.06
6326	06/15/2009	06/18/2009	920961109	VVR	-1060.0000	3.2500	(3,445.00)	28.36
6327	05/13/2009	05/18/2009	19247V104	RPF	-1025.0000	3.4101	(3,495.35)	25.90

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6328	11/19/2008	11/24/2008	345370860	F	2000.0000	1.3285	2,657.00	50.67
6329	11/19/2009	11/24/2009	720279108	PIR	945.0000	4.0790	3,854.66	7.96
6330	03/06/2009	03/11/2009	989834106	ZF	-1275.0000	2.1030	(2,681.33)	50.71
6331	11/02/2006	11/07/2006	978093102	WLV	-2000.0000	1.3300	(2,660.00)	50.72
6332	01/05/2006	01/10/2006	74428R109	PRXT	-275000.0000	0.0079	(2,172.50)	50.72
6333	10/24/2008	10/29/2008	52729N100	LVL	3000.0000	0.7244	2,173.20	50.77
6334	10/31/2008	11/05/2008	026874107	AIG	2000.0000	1.8100	3,620.00	19.78
6335	10/02/2008	10/07/2008	74963L103	RMA	1600.0000	1.0273	1,643.68	50.82
6336	06/25/2007	06/28/2007	49689D205	KNGS	-30000.0000	0.0725	(2,175.00)	50.91
6337	12/07/2007	12/12/2007	516548104	LTRX	2500.0000	0.8700	2,175.00	50.91
6338	04/16/2007	04/19/2007	054617105	AXTG	-2500.0000	0.8700	(2,175.00)	50.91
6339	03/12/2010	03/17/2010	172967101	C	970.0000	4.0400	3,918.80	4.98
6340	06/18/2009	06/23/2009	814047106	SBKC	-4628.0000	0.4700	(2,175.16)	50.92
6341	01/17/2006	01/20/2006	37245M207	GNTA	1550.0000	1.8900	2,929.50	50.96
6342	07/20/2010	07/23/2010	302695101	FXEN	-1100.0000	3.2702	(3,597.22)	21.11
6343	08/24/2010	08/27/2010	01923A109	AHCI	-1750.0000	2.0010	(3,501.75)	25.91
6344	01/05/2010	01/08/2010	701354102	PRKR	2000.0000	1.8195	3,639.00	19.07
6345	04/13/2006	04/19/2006	290925106	EMFP	2000.0000	1.3400	2,680.00	51.02
6346	08/07/2008	08/12/2008	825636103	SHMT	7775.0000	0.2800	2,177.00	51.06
6347	05/07/2010	05/12/2010	64758A107	NOEC	2400.0000	1.2579	3,018.96	50.14
6348	06/08/2007	06/13/2007	313549107	FDMLQ	-3000.0000	1.0800	(3,240.00)	39.10
6349	02/12/2008	02/15/2008	020524104	AGMB	3000.0000	1.0800	3,240.00	39.10
6350	09/05/2008	09/10/2008	262241102	DSCM	-1300.0000	2.2000	(2,860.00)	51.12
6351	05/19/2008	05/22/2008	885218107	TMA	3050.0000	0.7140	2,177.70	51.12
6352	07/01/2010	07/07/2010	172967101	C	-1005.0000	3.6609	(3,679.20)	17.18
6353	06/16/2006	06/21/2006	78069M105	RSPG	1800.0000	1.9600	3,528.00	24.75
6354	02/23/2009	02/26/2009	195493309	CNB	8000.0000	0.4896	3,916.80	5.35
6355	03/10/2009	03/13/2009	172967101	C	1500.0000	1.3300	1,995.00	51.19
6356	04/20/2006	04/25/2006	694288101	PFCE	8000.0000	0.3300	2,640.00	51.27
6357	10/03/2008	10/08/2008	82967N108	SIRI	5000.0000	0.6099	3,049.50	48.82
6358	07/18/2007	07/23/2007	14160C100	CTE	-2000.0000	1.3501	(2,700.20)	51.34
6359	07/26/2007	07/31/2007	74622H105	PDEP	2000.0000	1.3500	2,700.00	51.34
6360	02/27/2007	03/02/2007	04289L107	ARTG	1300.0000	2.3149	3,009.37	50.90
6361	05/15/2009	05/20/2009	532774106	TVL	1400.0000	2.5980	3,637.20	19.52
6362	09/13/2006	09/18/2006	131347106	CPNLQ	-10000.0000	0.3300	(3,300.00)	36.40
6363	06/05/2008	06/10/2008	20461S108	CPTC	3000.0000	1.0900	3,270.00	37.90
6364	05/05/2006	05/10/2006	59139P104	MSLV	1100.0000	3.5231	3,875.41	7.64
6365	01/20/2009	01/23/2009	232984104	ATC	-4800.0000	0.4545	(2,181.60)	51.42
6366	09/22/2010	09/27/2010	46122W105	INUV	-8702.0000	0.2800	(2,436.56)	51.44
6367	05/04/2010	05/07/2010	22787P107	CRWG	1500.0000	1.3400	2,010.00	51.49
6368	05/04/2010	05/07/2010	22787P107	CRWG	1500.0000	1.3400	2,010.00	51.49
6369	09/22/2010	09/27/2010	46611B109	JBII	2600.0000	0.8400	2,184.00	51.60
6370	01/18/2006	01/23/2006	98385L102	XSNX	1500.0000	1.3450	2,017.50	51.63
6371	03/14/2008	03/19/2008	68210T208	OMNI	-1000.0000	3.8893	(3,889.30)	7.23
6372	04/28/2009	05/01/2009	530158104	USA	-1085.0000	3.3103	(3,591.68)	22.12
6373	12/30/2009	01/05/2010	172967101	C	-1046.0000	3.3101	(3,462.36)	28.58
6374	03/15/2010	03/18/2010	94770W100	WEBM	3000.0000	1.1000	3,300.00	36.70
6375	07/01/2010	07/07/2010	096231105	BXG	-1125.0000	2.7810	(3,128.63)	45.30
6376	08/24/2007	08/29/2007	550278303	LUM	1500.0000	1.3499	2,024.85	51.77
6377	04/08/2010	04/13/2010	290846104	EMKR	-1500.0000	1.3500	(2,025.00)	51.78
6378	08/07/2008	08/12/2008	31787A101	FNSR	1500.0000	1.3500	2,025.00	51.78
6379	05/11/2007	05/16/2007	43738R109	HMB	1400.0000	1.7200	2,408.00	51.80
6380	06/15/2007	06/20/2007	09064K109	BPEV	2200.0000	1.2900	2,838.00	51.81
6381	09/19/2008	09/24/2008	266898105	DUSA	-1000.0000	1.3445	(1,344.50)	51.86
6382	02/02/2010	02/05/2010	82967N108	SIRI	2500.0000	0.9300	2,325.00	51.87
6383	07/17/2008	07/22/2008	767754104	RAD	2000.0000	1.3685	2,737.00	51.90
6384	08/21/2007	08/24/2007	68375N103	OPK	-950.0000	4.1001	(3,895.10)	7.16

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6385	01/22/2010	01/27/2010	172967101	C	-1054.0000	3.3215	(3,500.86)	26.87
6386	02/12/2007	02/15/2007	29382F103	ENMD	1000.0000	1.6300	1,630.00	51.92
6387	03/14/2007	03/19/2007	005000104	ACRI	2500.0000	1.0800	2,700.00	51.98
6388	03/06/2009	03/11/2009	345370860	F	2000.0000	1.8588	3,717.60	16.11
6389	02/25/2010	03/02/2010	02311T106	AMOK	2000.0000	1.8600	3,720.00	16.02
6390	03/06/2009	03/11/2009	552953101	MGM	2000.0000	1.8599	3,719.80	16.03
6391	04/15/2009	04/20/2009	313586109	FNM	-3500.0000	0.8749	(3,062.15)	48.92
6392	04/18/2006	04/21/2006	429906100	HYP	-1100.0000	3.3300	(3,663.00)	18.91
6393	09/23/2009	09/28/2009	73174C100	PYMX	5000.0000	0.7200	3,600.00	22.07
6394	01/19/2007	01/24/2007	689890101	OPCO	4000.0000	0.9000	3,600.00	22.07
6395	02/01/2006	02/06/2006	68283P109	ONSC	-10000.0000	0.2700	(2,700.00)	52.07
6396	03/12/2009	03/17/2009	989834106	ZF	-1223.0000	2.2720	(2,778.66)	52.13
6397	06/10/2010	06/15/2010	63902F103	NLIA	51777.0000	0.0550	2,847.74	52.26
6398	05/11/2007	05/16/2007	26205U101	DKAM	-2000.0000	1.3800	(2,760.00)	52.26
6399	01/20/2006	01/25/2006	716528104	POIG	2000.0000	1.8700	3,740.00	15.27
6400	09/05/2006	09/08/2006	549463107	LU	-1218.0000	2.3101	(2,813.70)	52.29
6401	09/30/2009	10/05/2009	35907K105	FTBK	-2956.0000	1.1201	(3,311.02)	36.75
6402	10/13/2008	10/16/2008	313400301	FRE	1500.0000	1.3685	2,052.75	52.31
6403	03/24/2009	03/27/2009	195493309	CNB	3000.0000	0.9497	2,849.10	52.34
6404	09/17/2010	09/22/2010	97750V109	WZE	-10000.0000	0.1918	(1,918.00)	52.35
6405	05/11/2006	05/16/2006	125750109	CMGI	-1500.0000	1.3700	(2,055.00)	52.36
6406	01/03/2007	01/08/2007	247361108	DALRQ	1455.0000	1.3700	1,993.35	52.36
6407	09/25/2009	09/30/2009	457669208	INSM	-2735.0000	0.8022	(2,194.02)	52.38
6408	03/04/2009	03/09/2009	023139108	ABK	5000.0000	0.4388	2,194.00	52.38
6409	03/11/2010	03/16/2010	172967101	C	950.0000	4.1300	3,923.50	6.22
6410	05/29/2009	06/03/2009	370442105	GM	3000.0000	0.9500	2,850.00	52.40
6411	04/17/2009	04/22/2009	051526200	AUSI	3000.0000	0.9500	2,850.00	52.40
6412	02/08/2006	02/13/2006	91349P103	USXP	750000.0000	0.0038	2,850.00	52.40
6413	07/07/2008	07/10/2008	78402X107	DDD	3000.0000	0.9500	2,850.00	52.40
6414	10/19/2006	10/24/2006	131347106	CPNLQ	10000.0000	0.2850	2,850.00	52.40
6415	02/06/2006	02/09/2006	207142100	CNXT	1100.0000	3.3500	3,685.00	18.18
6416	01/17/2006	01/20/2006	388688103	GPK	-1200.0000	2.7000	(3,240.00)	40.44
6417	02/27/2009	03/04/2009	147154108	CACB	2000.0000	1.0000	2,000.00	52.44
6418	07/16/2008	07/21/2008	75061P102	RAE	-2168.0000	1.3101	(2,840.30)	52.45
6419	05/02/2007	05/07/2007	901167106	TWTR	-1500.0000	1.3747	(2,062.05)	52.49
6420	04/23/2009	04/28/2009	42327Y103	HMH	-1125.0000	0.7200	(810.00)	52.50
6421	07/23/2008	07/28/2008	800907107	SANM	2000.0000	1.3900	2,780.00	52.57
6422	12/05/2006	12/08/2006	45244W100	IMDS	-30000.0000	0.0750	(2,250.00)	52.57
6423	04/05/2007	04/11/2007	192108108	CDE	-940.0000	4.2700	(4,013.80)	1.90
6424	05/16/2006	05/19/2006	871562203	SYGR	-1000.0000	3.9284	(3,928.40)	6.18
6425	04/18/2008	04/23/2008	872340104	TSI	-1010.0000	3.6900	(3,726.90)	16.26
6426	05/15/2007	05/18/2007	45820C106	IMFD	2500.0000	1.1000	2,750.00	52.65
6427	12/04/2008	12/09/2008	313586109	FNM	3500.0000	0.8781	3,073.35	49.00
6428	02/04/2009	02/09/2009	023139108	ABK	-1600.0000	1.0800	(1,728.00)	52.68
6429	05/16/2006	05/19/2006	871562203	SYGR	-1000.0000	3.9473	(3,947.30)	5.33
6430	03/13/2007	03/16/2007	247361108	DALRQ	-5000.0000	0.7900	(3,950.00)	5.23
6431	11/25/2009	12/01/2009	172967101	C	-948.0000	4.1601	(3,943.77)	5.54
6432	03/10/2009	03/13/2009	345370860	F	1325.0000	1.8300	2,424.75	52.73
6433	03/10/2009	03/13/2009	345370860	F	1325.0000	1.8300	2,424.75	52.73
6434	03/10/2009	03/13/2009	345370860	F	1325.0000	1.8300	2,424.75	52.73
6435	08/25/2006	08/30/2006	767754104	RAD	-950.0000	4.1501	(3,942.60)	5.61
6436	03/20/2009	03/25/2009	026874107	AIG	1506.0000	1.1900	1,792.14	52.75
6437	12/31/2008	01/06/2009	530158104	USA	-1100.0000	3.3701	(3,707.11)	17.43
6438	09/17/2009	09/22/2009	910900208	UNRG	5000.0000	0.4400	2,200.00	52.84
6439	02/02/2006	02/07/2006	834376105	SOLUQ	5000.0000	0.4400	2,200.00	52.84
6440	11/09/2006	11/14/2006	925903106	VICI	440000.0000	0.0050	2,200.00	52.84
6441	02/17/2010	02/22/2010	18450P101	CCTC	-20000.0000	0.1100	(2,200.00)	52.84

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6442	12/24/2009	12/30/2009	225646108	CSNT	-4400.0000	0.5000	(2,200.00)	52.84
6443	04/25/2008	04/30/2008	75188R106	RNCH	5000.0000	0.4400	2,200.00	52.84
6444	05/16/2007	05/21/2007	83083D107	SKYY	11000.0000	0.2000	2,200.00	52.84
6445	07/16/2009	07/21/2009	125581108	CIT	5000.0000	0.4400	2,200.00	52.84
6446	04/10/2006	04/13/2006	232825109	CYGX	2000.0000	1.4000	2,800.00	52.88
6447	05/21/2007	05/24/2007	247126105	DPHIQ	-1300.0000	2.1900	(2,847.00)	52.89
6448	04/09/2007	04/12/2007	87929J103	TSYS	-1000.0000	3.9571	(3,957.10)	5.04
6449	01/27/2009	01/30/2009	655053106	NOBL	6000.0000	0.3899	2,339.40	52.92
6450	06/27/2008	07/02/2008	15188T108	CHC	-1400.0000	1.7620	(2,466.80)	52.93
6451	02/21/2007	02/26/2007	125750109	CMGI	1500.0000	1.3900	2,085.00	52.93
6452	06/11/2009	06/16/2009	521865105	LEA	2000.0000	1.8997	3,799.40	13.04
6453	10/10/2008	10/16/2008	635405103	NCC	2000.0000	1.9000	3,800.00	13.02
6454	04/24/2009	04/29/2009	720279108	PIR	2000.0000	1.9000	3,800.00	13.02
6455	05/09/2007	05/14/2007	976524108	WTT	-1400.0000	2.9001	(4,060.14)	0.05
6456	06/17/2010	06/22/2010	53121P107	LBSV	4000.0000	0.7700	3,080.00	49.06
6457	12/30/2008	01/05/2009	37247D106	GNW	1400.0000	2.6798	3,751.72	15.50
6458	05/19/2008	05/22/2008	871630109	SYNM	1300.0000	2.1980	2,857.40	53.09
6459	05/15/2006	05/18/2006	871562203	SYGR	-1000.0000	3.9669	(3,966.90)	4.75
6460	10/09/2006	10/12/2006	37958F209	GTE	-10000.0000	0.3100	(3,100.00)	48.11
6461	01/20/2010	01/25/2010	598251106	MBHI	-5000.0000	0.6200	(3,100.00)	48.11
6462	10/15/2008	10/20/2008	85771Q100	STCA	5800.0000	0.3800	2,204.00	53.15
6463	04/25/2008	04/30/2008	68214U102	OFSI	-3000.0000	1.1500	(3,450.00)	30.68
6464	08/25/2006	08/30/2006	767754104	RAD	-950.0000	4.1801	(3,971.10)	4.67
6465	04/07/2010	04/12/2010	82967N108	SIRI	2500.0000	0.9378	2,344.50	53.29
6466	03/05/2007	03/08/2007	27875J103	ECFL	64500.0000	0.0342	2,205.90	53.29
6467	10/31/2008	11/05/2008	026874107	AIG	2100.0000	1.6100	3,381.00	34.31
6468	05/08/2009	05/13/2009	19247V104	RPF	-1065.0000	3.4020	(3,623.13)	22.21
6469	10/23/2009	10/28/2009	500117106	KDKN	-5000.0000	0.5000	(2,500.00)	53.39
6470	07/18/2007	07/23/2007	609761101	MGRA	25000.0000	0.1000	2,500.00	53.39
6471	03/20/2006	03/23/2006	957541105	WSTL	-1000.0000	3.9736	(3,973.60)	4.72
6472	05/30/2006	06/02/2006	989414107	ZIF	1150.0000	2.7500	3,162.50	45.31
6473	10/31/2006	11/03/2006	247126105	DPHIQ	-1124.0000	2.8800	(3,237.12)	41.59
6474	06/27/2008	07/02/2008	867931305	SCON	-1500.0000	2.2228	(3,334.20)	36.79
6475	03/19/2009	03/24/2009	682129101	OMN	2000.0000	1.4199	2,839.80	53.50
6476	06/04/2010	06/09/2010	759720105	RCG	1203.0000	2.4600	2,959.38	53.51
6477	06/12/2006	06/15/2006	000919100	AMF	-1050.0000	3.4100	(3,580.50)	24.50
6478	11/20/2009	11/25/2009	172967101	C	-955.0000	4.2001	(4,011.10)	3.01
6479	10/06/2006	10/12/2006	45768R305	JNFN	-3000.0000	0.7367	(2,210.10)	53.62
6480	08/07/2006	08/10/2006	559424106	MAG	-1200.0000	2.7600	(3,312.00)	38.03
6481	11/03/2006	11/08/2006	871562203	SYGR	-1000.0000	4.0051	(4,005.10)	3.44
6482	09/12/2006	09/15/2006	949702104	WLM	-1100.0000	3.4200	(3,762.00)	15.60
6483	12/23/2008	12/29/2008	895735108	TIV	-2055.0000	1.6234	(3,336.09)	36.90
6484	12/01/2008	12/04/2008	225744101	CRFN	-1022.0000	3.6215	(3,701.17)	18.70
6485	10/16/2008	10/21/2008	749121109	Q	1200.0000	2.7670	3,320.40	37.75
6486	01/29/2009	02/03/2009	30033R108	ESLR	1240.0000	2.2390	2,776.36	53.84
6487	04/28/2006	05/03/2006	629248105	NMSS	-1000.0000	4.0161	(4,016.10)	3.10
6488	08/02/2006	08/07/2006	26884J104	ERHE	-7000.0000	0.4107	(2,874.90)	53.94
6489	02/24/2006	03/01/2006	96684T107	WYDY	1595.0000	1.1169	1,781.46	53.98
6490	02/19/2010	02/24/2010	82967N108	SIRI	2500.0000	1.1398	2,849.50	53.99
6491	04/27/2007	05/02/2007	206710204	CCUR	2000.0000	1.5620	3,124.00	47.80
6492	07/11/2008	07/16/2008	02503Y103	ACAS	-120.0000	21.0031	(2,520.37)	54.00
6493	11/19/2008	11/24/2008	349862300	FORD	-1800.0000	2.0800	(3,744.00)	16.81
6494	11/20/2008	11/25/2008	349862300	FORD	-1750.0000	2.0800	(3,640.00)	22.01
6495	10/19/2006	10/24/2006	455688101	IDGG	-3196.0000	0.9000	(2,876.40)	54.03
6496	12/03/2009	12/08/2009	989837109	ZTR	-1007.0000	3.8110	(3,837.68)	12.16
6497	06/23/2006	06/28/2006	767754104	RAD	950.0000	4.2300	4,018.50	3.13
6498	05/06/2009	05/11/2009	37247D106	GNW	1100.0000	3.4398	3,783.78	14.87

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6499	10/19/2006	10/24/2006	252785100	DHCC	1050.0000	3.4400	3,612.00	23.46
6500	02/16/2010	02/19/2010	021515101	ALTO	-20000.0000	0.1108	(2,216.00)	54.07
6501	03/07/2007	03/12/2007	297612103	EHNX	1500.0000	1.4300	2,145.00	54.09
6502	03/07/2007	03/12/2007	297612103	EHNX	1500.0000	1.4300	2,145.00	54.09
6503	12/13/2007	12/18/2007	44860H109	HYBT	1500.0000	1.4300	2,145.00	54.09
6504	06/14/2007	06/19/2007	15118V108	CSUH	1600.0000	1.1200	1,792.00	54.09
6505	10/30/2008	11/04/2008	313400301	FRE	1600.0000	1.1200	1,792.00	54.09
6506	12/15/2009	12/18/2009	52729N100	LVLTL	-2000.0000	1.4400	(2,880.00)	54.11
6507	07/26/2007	07/31/2007	74765E109	QTWW	-2000.0000	1.4400	(2,880.00)	54.11
6508	11/03/2008	11/06/2008	345370860	F	1245.0000	2.2400	2,788.80	54.15
6509	09/13/2007	09/18/2007	120255104	BLRV	-6930.0000	0.3200	(2,217.60)	54.20
6510	09/12/2007	09/17/2007	69366L205	PSPJ	4020.0000	0.5600	2,251.20	54.21
6511	08/16/2006	08/21/2006	584070106	MDFI	2000.0000	1.9500	3,900.00	9.26
6512	04/17/2009	04/22/2009	43858D104	HKWO	2000.0000	1.9500	3,900.00	9.26
6513	11/20/2008	11/25/2008	370442105	GM	2000.0000	1.9500	3,900.00	9.26
6514	05/01/2006	05/04/2006	460983109	ITPD	-10000.0000	0.3353	(3,353.00)	36.62
6515	04/30/2009	05/05/2009	92531L108	VRS	1600.0000	1.1277	1,804.32	54.36
6516	02/07/2007	02/12/2007	313549107	FDMLQ	3000.0000	0.7400	2,220.00	54.38
6517	06/30/2009	07/06/2009	989513304	ZILA	-6000.0000	0.3700	(2,220.00)	54.38
6518	06/25/2009	06/30/2009	026874107	AIG	1500.0000	1.4400	2,160.00	54.38
6519	12/14/2006	12/19/2006	247361108	DALRQ	1500.0000	1.4400	2,160.00	54.38
6520	10/04/2007	10/10/2007	82966U103	SIRI	1095.0000	3.4582	3,786.73	15.05
6521	11/16/2006	11/21/2006	922281100	VSTY	-1500.0000	2.2627	(3,394.05)	34.69
6522	08/11/2009	08/14/2009	60935Y109	MGI	-1274.0000	2.2500	(2,866.50)	54.40
6523	09/16/2009	09/21/2009	414549105	HPOL	5000.0000	0.7972	3,986.25	5.10
6524	06/08/2010	06/11/2010	452536105	IMUC	2000.0000	1.0600	2,120.00	54.41
6525	05/05/2009	05/08/2009	33832D106	FVE	2000.0000	1.9597	3,919.40	8.53
6526	09/29/2008	10/02/2008	026874107	AIG	1400.0000	2.7500	3,850.00	12.04
6527	02/22/2007	02/27/2007	49689D205	KNGS	-35000.0000	0.0750	(2,625.00)	54.57
6528	12/08/2006	12/13/2006	131347106	CPNLQ	-2900.0000	0.9950	(2,885.50)	54.59
6529	01/10/2006	01/13/2006	871562203	SYGR	-1000.0000	4.0654	(4,065.40)	1.33
6530	12/16/2009	12/21/2009	172967101	C	1100.0000	3.4699	3,816.89	13.77
6531	10/01/2007	10/04/2007	82966U103	SIRI	-1050.0000	3.4700	(3,643.50)	22.44
6532	07/15/2009	07/20/2009	336453105	FSNM	-2000.0000	1.4575	(2,915.00)	54.66
6533	02/07/2006	02/10/2006	55274R103	MEMS	-1500.0000	1.4500	(2,175.00)	54.67
6534	10/20/2009	10/23/2009	195493309	CBCGQ	-36396.0000	0.0611	(2,223.80)	54.68
6535	04/20/2007	04/25/2007	761525500	REV	-1514.0000	1.2210	(1,848.59)	54.69
6536	05/26/2009	05/29/2009	195493309	CNB	-2000.0000	1.4600	(2,920.00)	54.73
6537	02/07/2007	02/12/2007	717098107	PFSW	-2000.0000	1.0710	(2,142.00)	54.77
6538	02/20/2009	02/25/2009	74269U104	PKT	3600.0000	0.6181	2,225.16	54.78
6539	10/31/2008	11/05/2008	15133V208	CYCL	1100.0000	3.4800	3,828.00	13.39
6540	11/10/2009	11/16/2009	007947104	AERT	-5000.0000	0.4452	(2,226.00)	54.85
6541	04/28/2006	05/03/2006	629248105	NMSS	-1000.0000	4.0751	(4,075.10)	1.15
6542	05/02/2007	05/07/2007	87929J103	TSYS	-1000.0000	4.0751	(4,075.10)	1.15
6543	11/24/2009	11/30/2009	86562M100	SMFJY	-1126.0000	2.9400	(3,310.44)	39.41
6544	09/29/2008	10/02/2008	783332109	RUTH	-950.0000	4.2832	(4,069.04)	1.49
6545	05/23/2007	05/29/2007	26884J104	ERHE	-9000.0000	0.3260	(2,934.00)	54.94
6546	01/31/2006	02/03/2006	148884109	CESI	-3000.0000	1.2103	(3,630.90)	23.44
6547	03/11/2009	03/16/2009	91274F104	YSI	2000.0000	1.9799	3,959.80	7.01
6548	06/17/2010	06/22/2010	44934S107	ICAD	2000.0000	1.9799	3,959.80	7.01
6549	04/13/2010	04/16/2010	35804E103	FRHV	25000.0000	0.1221	3,052.50	52.38
6550	07/02/2009	07/08/2009	073677106	BCON	2500.0000	0.8912	2,228.00	55.00
6551	01/11/2006	01/17/2006	667280101	NWACQ	4900.0000	0.5150	2,523.50	55.02
6552	10/22/2009	10/27/2009	313586109	FNM	-1650.0000	1.1701	(1,930.67)	55.02
6553	02/01/2006	02/06/2006	68283P109	ONSC	-10000.0000	0.3250	(3,250.00)	42.53
6554	04/05/2007	04/11/2007	206710204	CCUR	1450.0000	1.6314	2,365.53	55.03
6555	10/31/2006	11/03/2006	91274T104	USWF	500000.0000	0.0080	4,000.00	5.04

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6556	08/30/2006	09/05/2006	91274T104	USWF	50000.0000	0.0080	4,000.00	5.04
6557	10/17/2006	10/20/2006	91274T104	USWF	50000.0000	0.0080	4,000.00	5.04
6558	07/27/2007	08/01/2007	30208Q109	EXBX	-10000.0000	0.4000	(4,000.00)	5.04
6559	12/31/2009	01/06/2010	921407102	VBLU	40000.0000	0.1000	4,000.00	5.04
6560	03/02/2007	03/07/2007	59515H106	MIOK	10000.0000	0.4000	4,000.00	5.04
6561	02/15/2007	02/21/2007	00253U107	ASTM	-2000.0000	1.4701	(2,940.20)	55.04
6562	04/21/2009	04/24/2009	457669208	INSM	-2000.0000	1.4700	(2,940.00)	55.04
6563	03/25/2008	03/28/2008	885218107	TMA	1400.0000	1.8400	2,576.00	55.04
6564	10/06/2008	10/09/2008	767754104	RAD	5000.0000	0.8003	4,001.50	5.04
6565	04/24/2008	04/29/2008	871630109	SYNM	3000.0000	0.9648	2,894.40	55.14
6566	10/13/2009	10/16/2009	728117300	PLA	-1100.0000	3.5000	(3,850.00)	12.65
6567	10/08/2010	10/14/2010	019228402	AIB	-1587.0000	1.1500	(1,825.05)	55.15
6568	02/01/2006	02/06/2006	580031201	MCDTA	-950.0000	4.3000	(4,085.00)	0.96
6569	11/16/2006	11/21/2006	922281100	VSTY	-1500.0000	2.3000	(3,450.00)	32.73
6570	09/08/2009	09/11/2009	739363109	PWAV	3000.0000	1.2190	3,657.00	22.39
6571	07/31/2009	08/05/2009	767754104	RAD	1500.0000	1.4696	2,204.40	55.24
6572	03/02/2009	03/05/2009	172967101	C	3000.0000	1.2199	3,659.70	22.29
6573	03/05/2009	03/10/2009	31647T100	HCE	-1046.0000	3.5087	(3,670.10)	21.81
6574	07/22/2009	07/27/2009	781748108	RURL	1400.0000	2.9171	4,083.94	1.13
6575	04/18/2006	04/21/2006	172570103	CXN	-2000.0000	1.4815	(2,963.00)	55.39
6576	04/05/2007	04/11/2007	099469504	WEL	1200.0000	2.8500	3,420.00	34.41
6577	10/27/2008	10/30/2008	345370860	F	2000.0000	2.0000	4,000.00	5.49
6578	11/13/2008	11/18/2008	009720103	AKNS	2000.0000	2.0000	4,000.00	5.49
6579	04/14/2009	04/17/2009	721467108	PGPDQ	2000.0000	2.0000	4,000.00	5.49
6580	04/26/2007	05/01/2007	72766F109	PLTG	10000.0000	0.2900	2,900.00	55.49
6581	01/19/2010	01/22/2010	832727101	SSCCQ	-10000.0000	0.2900	(2,900.00)	55.49
6582	04/20/2009	04/23/2009	40108N106	GFG	5000.0000	0.5800	2,900.00	55.49
6583	06/06/2006	06/09/2006	871562203	SYGR	-1000.0000	4.1055	(4,105.50)	0.22
6584	09/19/2008	09/24/2008	74765E109	QTWW	3000.0000	1.2290	3,687.00	21.19
6585	06/17/2009	06/22/2009	872340104	TSI	-1100.0000	3.5230	(3,875.30)	11.81
6586	03/13/2007	03/16/2007	206710204	CCUR	2350.0000	1.5275	3,589.63	26.14
6587	12/28/2007	01/03/2008	71649T104	PHUN	12500.0000	0.2500	3,125.00	49.42
6588	12/16/2009	12/21/2009	30033R108	ESLR	-2000.0000	1.4906	(2,981.20)	55.67
6589	07/31/2006	08/03/2006	88409N101	THK	1500.0000	2.3200	3,480.00	31.68
6590	10/24/2007	10/29/2007	928269109	VSCP	-2000.0000	1.1000	(2,200.00)	55.72
6591	08/16/2006	08/21/2006	42366C103	HEB	-2000.0000	2.0100	(4,020.00)	4.74
6592	07/14/2009	07/17/2009	172967101	C	-1200.0000	2.8700	(3,444.00)	33.61
6593	12/29/2008	01/02/2009	64190A103	NRO	-1747.0000	1.6504	(2,883.25)	55.82
6594	08/02/2010	08/05/2010	02311T106	AMOK	1500.0000	1.4900	2,235.00	55.83
6595	05/11/2009	05/14/2009	19247V104	RPF	1100.0000	3.5381	3,891.91	11.25
6596	09/28/2010	10/01/2010	055639108	BPZ	1050.0000	3.5394	3,716.37	20.05
6597	03/18/2010	03/23/2010	989834106	ZF	1100.0000	3.5400	3,894.00	11.18
6598	10/07/2008	10/10/2008	82967N108	SIRI	6000.0000	0.4844	2,906.40	55.88
6599	06/14/2006	06/19/2006	46612J101	JDSU	-1300.0000	2.1916	(2,849.08)	55.92
6600	03/17/2006	03/22/2006	943526103	WAVX	4000.0000	0.5600	2,240.00	55.93
6601	04/13/2010	04/16/2010	458738101	ILI	-2800.0000	0.8001	(2,240.28)	55.95
6602	05/06/2009	05/11/2009	829226109	SBGI	2000.0000	1.4999	2,999.80	55.96
6603	12/17/2009	12/22/2009	676118102	OMEX	2000.0000	1.5000	3,000.00	55.97
6604	06/04/2009	06/09/2009	767754104	RAD	2000.0000	1.5000	3,000.00	55.97
6605	08/12/2008	08/15/2008	535925101	LTON	-2000.0000	1.5000	(3,000.00)	55.97
6606	10/12/2006	10/17/2006	207142100	CNXT	1500.0000	1.8700	2,805.00	55.98
6607	11/24/2008	11/28/2008	345370860	F	1500.0000	1.4985	2,247.75	56.07
6608	02/12/2009	02/18/2009	554225102	MCBC	-2000.0000	2.0239	(4,047.80)	3.70
6609	03/27/2008	04/01/2008	928269109	VSCP	5646.0000	0.6000	3,387.60	36.76
6610	10/29/2008	11/03/2008	989834106	ZF	1150.0000	2.8885	3,321.78	40.09
6611	04/20/2009	04/23/2009	172967101	C	1200.0000	2.8900	3,468.00	32.81
6612	02/19/2010	02/24/2010	062128103	BOFL	-1600.0000	1.1800	(1,888.00)	56.21

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6613	11/10/2009	11/16/2009	55970P203	MDOR	1600.0000	1.1800	1,888.00	56.21
6614	03/03/2006	03/08/2006	70336N107	PTSC	-2000.0000	1.5100	(3,020.00)	55.23
6615	06/02/2008	06/05/2008	74439M107	PSDV	-1600.0000	1.1816	(1,890.56)	56.26
6616	12/05/2008	12/10/2008	01903Q108	ALD	1300.0000	2.3290	3,027.70	54.93
6617	01/31/2006	02/03/2006	125318105	THK	1300.0000	2.3300	3,029.00	54.88
6618	04/21/2009	04/24/2009	452907108	IMMU	-2000.0000	1.1201	(2,240.20)	56.38
6619	02/09/2007	02/14/2007	630075109	NGEN	-1500.0000	1.5100	(2,265.00)	56.41
6620	12/08/2006	12/13/2006	607500105	MDVX	1500.0000	1.5100	2,265.00	56.41
6621	03/13/2009	03/18/2009	952145100	WCBO	2000.0000	1.5183	3,036.60	54.62
6622	09/03/2009	09/09/2009	269246104	ETFC	-2000.0000	1.5201	(3,040.20)	54.49
6623	07/09/2007	07/12/2007	207142100	CNXT	2000.0000	1.5200	3,040.00	54.50
6624	10/29/2010	11/03/2010	561911108	HYF	-1500.0000	2.3610	(3,541.50)	29.52
6625	06/01/2010	06/04/2010	984117101	XNN	5000.0000	0.4498	2,249.00	56.62
6626	10/17/2006	10/20/2006	232984104	ATC	-1900.0000	1.8400	(3,496.00)	31.83
6627	03/04/2010	03/09/2010	27887F107	ECOB	10000.0000	0.4050	4,050.00	4.14
6628	04/16/2009	04/21/2009	313586109	FNM	3000.0000	0.9074	2,722.20	56.67
6629	12/28/2006	01/03/2007	125750109	CMGI	-2000.0000	1.3600	(2,720.00)	56.68
6630	09/13/2007	09/18/2007	131347106	CPNLQ	2000.0000	1.5275	3,055.00	53.95
6631	05/14/2007	05/17/2007	009213109	ATPN	-5000.0000	0.4500	(2,250.00)	56.70
6632	08/12/2010	08/17/2010	02152E101	AEGY	25000.0000	0.0900	2,250.00	56.70
6633	10/05/2007	10/11/2007	928628205	VOIC	-2500.0000	0.9000	(2,250.00)	56.70
6634	11/30/2009	12/03/2009	92763L107	VPRS	-9000.0000	0.2500	(2,250.00)	56.70
6635	09/12/2006	09/15/2006	451034201	IWEB	5000.0000	0.4500	2,250.00	56.70
6636	03/28/2006	03/31/2006	456132109	ILNP	-4500.0000	0.5000	(2,250.00)	56.70
6637	01/09/2009	01/14/2009	721467108	PGPDQ	3000.0000	0.7500	2,250.00	56.70
6638	09/14/2010	09/17/2010	37990W103	GHLV	15000.0000	0.1500	2,250.00	56.70
6639	01/09/2007	01/12/2007	61970P102	MHME	-4500.0000	0.5000	(2,250.00)	56.70
6640	05/11/2009	05/14/2009	37245M504	GNTA	100000.0000	0.0225	2,250.00	56.70
6641	06/08/2006	06/13/2006	887160109	TMAM	-5000.0000	0.4500	(2,250.00)	56.70
6642	08/13/2007	08/16/2007	00766P108	AMTO	-7500.0000	0.3000	(2,250.00)	56.70
6643	09/14/2006	09/19/2006	247361108	DALRQ	2500.0000	0.9000	2,250.00	56.70
6644	02/28/2006	03/03/2006	247361108	DALRQ	-4500.0000	0.5000	(2,250.00)	56.70
6645	07/11/2007	07/16/2007	830701108	SKNN	2000.0000	2.0500	4,100.00	1.74
6646	03/14/2006	03/17/2006	26200S101	DHF	-1008.0000	3.9600	(3,991.68)	7.16
6647	05/30/2007	06/04/2007	006228100	ADGO	-1400.0000	1.9036	(2,665.04)	56.75
6648	11/09/2006	11/14/2006	786449108	SFE	1300.0000	2.3500	3,055.00	54.01
6649	10/04/2007	10/10/2007	09250E107	HIS	-1244.0000	2.3506	(2,924.15)	56.77
6650	02/27/2008	03/03/2008	52729N100	LVLTL	-1274.0000	2.2277	(2,838.09)	56.77
6651	05/26/2006	06/01/2006	580031201	MCDDTA	-1035.0000	3.6500	(3,777.75)	17.89
6652	02/06/2006	02/09/2006	68749U106	ORXT	-2000.0000	2.0525	(4,105.00)	1.55
6653	11/17/2006	11/22/2006	98420A103	XNL	-1200.0000	2.9200	(3,504.00)	31.60
6654	12/05/2007	12/10/2007	31942R205	FCPN	-17000.0000	0.2000	(3,400.00)	36.81
6655	03/21/2007	03/26/2007	26884J104	ERHE	-10000.0000	0.3400	(3,400.00)	36.81
6656	10/28/2009	11/02/2009	72919P103	PLUG	4000.0000	0.8500	3,400.00	36.81
6657	11/29/2006	12/04/2006	98385L102	XSNX	5177.0000	0.4349	2,251.48	56.81
6658	01/06/2010	01/11/2010	302519103	FNBN	-1600.0000	1.2000	(1,920.00)	56.91
6659	02/02/2006	02/07/2006	232828301	CYTR	1600.0000	1.1999	1,919.84	56.91
6660	12/30/2008	01/05/2009	64190A103	NRO	-1409.0000	1.6640	(2,344.58)	56.95
6661	01/07/2009	01/12/2009	530158104	USA	1100.0000	3.6000	3,960.00	8.97
6662	01/15/2008	01/18/2008	65488W103	NBF	1300.0000	2.3599	3,067.87	53.58
6663	12/02/2008	12/05/2008	313586109	FNM	-3500.0000	0.9000	(3,150.00)	49.50
6664	01/19/2010	01/22/2010	197779101	CBRX	2000.0000	1.1100	2,220.00	57.00
6665	07/29/2008	08/01/2008	641876107	HYB	2000.0000	1.5400	3,080.00	53.02
6666	10/09/2008	10/15/2008	52729N100	LVLTL	-1500.0000	1.5318	(2,297.70)	57.04
6667	12/18/2009	12/23/2009	739363109	PWAV	3000.0000	1.2800	3,840.00	15.05
6668	09/17/2009	09/22/2009	82967N108	SIRI	5000.0000	0.6809	3,404.50	36.83
6669	05/15/2006	05/18/2006	17887P106	CKRH	10000.0000	0.3700	3,700.00	22.08

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6670	12/19/2006	12/22/2006	79586Q108	LIV	-15000.0000	0.2100	(3,150.00)	49.61
6671	06/22/2006	06/27/2006	460983109	ITPD	-7000.0000	0.4500	(3,150.00)	49.61
6672	12/23/2008	12/29/2008	345370860	F	-1250.0000	2.3700	(2,962.50)	57.19
6673	11/10/2008	11/14/2008	345370860	F	1400.0000	1.9200	2,688.00	57.20
6674	02/01/2008	02/06/2008	586263204	MRV	-1540.0000	1.2081	(1,860.47)	57.20
6675	05/22/2009	05/28/2009	343389102	FTK	2000.0000	2.0700	4,140.00	0.23
6676	04/14/2009	04/17/2009	026874107	AIG	2000.0000	1.5500	3,100.00	52.29
6677	12/31/2007	01/04/2008	855906103	STHK	-2000.0000	1.5500	(3,100.00)	52.29
6678	03/29/2007	04/03/2007	89989X105	TTEG	3000.0000	0.8000	2,400.00	57.36
6679	02/01/2010	02/04/2010	427825104	HT	-1065.0000	3.6225	(3,857.96)	14.48
6680	11/06/2009	11/12/2009	58734P105	MBR	1149.0000	2.9500	3,389.55	37.92
6681	01/16/2009	01/22/2009	257457309	DMNOY	1500.0000	2.4000	3,600.00	27.46
6682	04/24/2008	04/29/2008	590479101	MESA	-5000.0000	0.4097	(2,048.50)	57.50
6683	09/23/2010	09/28/2010	040047102	ARNA	2000.0000	1.5586	3,117.20	51.66
6684	10/02/2008	10/07/2008	279862106	EPEX	-2000.0000	1.7453	(3,490.60)	33.02
6685	06/05/2009	06/10/2009	767754104	RAD	2275.0000	1.7500	3,981.25	8.51
6686	11/20/2008	11/25/2008	345370860	F	2000.0000	1.1585	2,317.00	57.64
6687	11/19/2008	11/24/2008	370442105	GM	1209.0000	2.6185	3,165.77	49.48
6688	11/03/2008	11/06/2008	026874107	AIG	-1700.0000	2.0900	(3,553.00)	30.16
6689	01/04/2006	01/09/2006	29259F103	ENDE	100000.0000	0.0406	4,060.00	4.82
6690	01/08/2007	01/11/2007	00253U107	ASTM	2000.0000	1.5700	3,140.00	50.82
6691	11/24/2008	11/28/2008	345370860	F	2000.0000	1.5700	3,140.00	50.82
6692	09/30/2008	10/03/2008	313586109	FNM	-2000.0000	1.5715	(3,143.00)	50.71
6693	04/26/2006	05/01/2006	549463107	LU	-1350.0000	2.9100	(3,928.50)	11.46
6694	07/19/2006	07/24/2006	88428W108	TWTI	-1500.0000	2.4200	(3,630.00)	26.41
6695	01/21/2009	01/26/2009	74347R743	UYG	1200.0000	2.9800	3,576.00	29.19
6696	07/09/2007	07/12/2007	125750109	CMGI	-1350.0000	1.9500	(2,632.50)	58.01
6697	12/19/2006	12/22/2006	131347106	CPNLQ	-2000.0000	1.1700	(2,340.00)	58.01
6698	12/19/2006	12/22/2006	131347106	CPNLQ	-2000.0000	1.1700	(2,340.00)	58.01
6699	06/05/2006	06/08/2006	247126105	DPHIQ	-2100.0000	1.7900	(3,759.00)	20.09
6700	05/21/2008	05/27/2008	561911108	HYF	1100.0000	3.6600	4,026.00	6.76
6701	10/06/2008	10/09/2008	767754104	RAD	-2800.0000	0.8100	(2,268.00)	58.09
6702	02/07/2007	02/12/2007	50575Q102	LTS	1500.0000	2.4299	3,644.85	25.89
6703	06/09/2006	06/14/2006	89376R109	TMTA	1661.0000	1.6400	2,724.04	58.13
6704	09/17/2008	09/22/2008	192108108	CDE	1500.0000	1.5700	2,355.00	58.14
6705	06/14/2006	06/19/2006	207142100	CNXT	1300.0000	2.4162	3,141.06	51.13
6706	03/16/2010	03/19/2010	528872104	LXRX	2300.0000	1.2598	2,897.54	58.20
6707	06/27/2006	06/30/2006	05348W109	AVNX	1430.0000	1.7256	2,467.61	58.20
6708	02/17/2009	02/20/2009	82967N108	SIRI	10000.0000	0.2270	2,270.00	58.24
6709	11/16/2006	11/21/2006	000919100	AMF	-1100.0000	3.6700	(4,037.00)	6.40
6710	07/10/2007	07/13/2007	85857R105	STEM	-1250.0000	2.4225	(3,028.13)	56.91
6711	05/29/2008	06/03/2008	670794106	NYVA	125000.0000	0.0258	3,225.00	47.08
6712	03/12/2009	03/17/2009	172967101	C	2000.0000	1.5900	3,180.00	49.34
6713	07/21/2008	07/24/2008	97750V109	WZE	2000.0000	1.5899	3,179.80	49.35
6714	04/19/2006	04/24/2006	549463107	LU	-1200.0000	3.0000	(3,600.00)	28.39
6715	04/03/2006	04/06/2006	630087104	NNVC	1200.0000	3.0000	3,600.00	28.39
6716	05/22/2009	05/28/2009	172967101	C	1050.0000	3.6800	3,864.00	15.23
6717	12/18/2006	12/21/2006	59510E207	MENV	50000.0000	0.0550	2,750.00	58.49
6718	09/07/2010	09/10/2010	158520106	CHMP	-1789.0000	1.3500	(2,415.15)	58.54
6719	05/02/2007	05/07/2007	913513107	UFBV	-10000.0000	0.2950	(2,950.00)	58.58
6720	07/18/2007	07/23/2007	30049A107	EPM	-1200.0000	3.0100	(3,612.00)	27.99
6721	03/17/2006	03/22/2006	05348W109	AVNX	1150.0000	3.2214	3,704.61	23.38
6722	07/21/2006	07/26/2006	817208408	SNT	-2000.0000	1.6000	(3,200.00)	48.62
6723	04/02/2009	04/07/2009	676220106	ODP	2000.0000	1.6000	3,200.00	48.62
6724	10/03/2008	10/08/2008	977427103	WITM	-16250.0000	0.1400	(2,275.00)	58.63
6725	02/16/2007	02/22/2007	247361108	DALRQ	2500.0000	0.9100	2,275.00	58.63
6726	03/20/2006	03/23/2006	247361108	DALRQ	5000.0000	0.4550	2,275.00	58.63

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6727	03/27/2007	03/30/2007	33831M107	FSPX	3500.0000	0.6500	2,275.00	58.63
6728	10/21/2009	10/26/2009	027263102	AMLM	1300.0000	2.4400	3,172.00	50.09
6729	09/03/2009	09/09/2009	989834106	ZF	1200.0000	3.0199	3,623.88	27.59
6730	09/29/2010	10/04/2010	66704R100	NRF	-1087.0000	3.7000	(4,021.90)	7.69
6731	02/01/2010	02/04/2010	988858106	ZLC	-2000.0000	2.0800	(4,160.00)	0.80
6732	02/08/2007	02/13/2007	206710204	CCUR	1800.0000	1.4960	2,692.80	58.80
6733	05/21/2010	05/26/2010	989834106	ZF	-1152.0000	3.0215	(3,480.77)	34.78
6734	03/11/2009	03/16/2009	59318E102	CMU	1150.0000	3.0229	3,476.34	35.02
6735	08/20/2010	08/25/2010	11161T108	BWEN	-1420.0000	1.6819	(2,388.30)	58.86
6736	02/09/2009	02/12/2009	82967N108	SIRI	20000.0000	0.1139	2,278.00	58.86
6737	02/13/2007	02/16/2007	29382F103	ENMD	-2000.0000	1.6100	(3,220.00)	47.88
6738	06/20/2006	06/23/2006	89989X105	TTEG	-2500.0000	1.3000	(3,250.00)	46.42
6739	04/13/2007	04/18/2007	80862K104	SCLN	-1200.0000	3.0300	(3,636.00)	27.18
6740	10/14/2008	10/17/2008	695459107	PAET	1500.0000	1.5999	2,399.85	59.01
6741	03/30/2007	04/04/2007	313549107	FDMLQ	-3000.0000	0.7600	(2,280.00)	59.01
6742	12/18/2008	12/23/2008	313400624	FRE.PRZ	-6000.0000	0.3800	(2,280.00)	59.01
6743	11/26/2008	12/02/2008	345370860	F	1400.0000	1.9885	2,783.90	59.05
6744	07/30/2009	08/04/2009	190643106	COTE	-5000.0000	0.8175	(4,087.50)	4.72
6745	09/30/2009	10/05/2009	125581108	CIT	-3000.0000	1.3500	(4,050.00)	6.64
6746	12/23/2008	12/29/2008	026874107	AIG	-2000.0000	1.6200	(3,240.00)	47.14
6747	09/25/2008	09/30/2008	939322103	WM	2000.0000	1.6199	3,239.80	47.15
6748	01/04/2008	01/09/2008	206710204	CCUR	-2815.0000	0.7357	(2,071.00)	59.15
6749	12/19/2007	12/24/2007	05348W109	AVNX	-2000.0000	1.2254	(2,450.84)	59.16
6750	08/05/2009	08/10/2009	767754104	RAD	-1500.0000	1.6104	(2,415.60)	59.31
6751	05/11/2010	05/14/2010	82967N108	SIRI	-1610.0000	1.0811	(1,740.57)	59.33
6752	06/16/2006	06/21/2006	565011103	MANU	-1300.0000	2.4700	(3,211.00)	48.79
6753	12/22/2009	12/28/2009	97381X102	WNDT	4480.0000	0.5100	2,284.80	59.38
6754	05/08/2009	05/13/2009	492515101	KERX	5000.0000	0.4570	2,285.00	59.40
6755	07/24/2009	07/29/2009	739363109	PWAV	3000.0000	1.3590	4,077.00	5.56
6756	12/19/2008	12/24/2008	026874107	AIG	-2000.0000	1.6300	(3,260.00)	46.41
6757	04/15/2010	04/20/2010	976524108	WTT	-1641.0000	1.0000	(1,641.00)	59.43
6758	04/26/2010	04/29/2010	248231102	DNRR	54419.0000	0.0420	2,285.60	59.45
6759	12/10/2008	12/15/2008	989834106	ZF	1225.0000	2.6800	3,283.00	45.34
6760	02/05/2007	02/08/2007	830703104	SKVI	-15000.0000	0.2300	(3,450.00)	37.01
6761	09/11/2008	09/16/2008	313586109	FNM	3000.0000	0.7622	2,286.60	59.52
6762	01/19/2007	01/24/2007	207142100	CNXT	-1500.0000	2.0000	(3,000.00)	59.56
6763	08/03/2010	08/06/2010	37990W103	GHLV	10000.0000	0.2500	2,500.00	59.58
6764	10/30/2009	11/04/2009	125581108	CIT	5000.0000	0.7500	3,750.00	22.09
6765	03/06/2009	03/11/2009	370442105	GM	1500.0000	1.6200	2,430.00	59.59
6766	01/08/2008	01/11/2008	83414T102	SLND	10000.0000	0.4100	4,100.00	4.68
6767	03/12/2007	03/15/2007	45820C106	IMFD	5000.0000	0.8200	4,100.00	4.68
6768	01/19/2007	01/24/2007	206710204	CCUR	1500.0000	1.7898	2,684.70	59.70
6769	08/10/2010	08/13/2010	561911108	HYF	1750.0000	2.3199	4,059.83	6.73
6770	03/19/2009	03/24/2009	372470106	GNW	1500.0000	2.0070	3,010.50	59.20
6771	07/20/2006	07/25/2006	817208408	SNT	-2000.0000	1.6433	(3,286.60)	45.43
6772	08/23/2006	08/28/2006	105666101	BRVO	5400.0000	0.5500	2,970.00	59.81
6773	03/12/2009	03/17/2009	172967101	C	1500.0000	1.6300	2,445.00	59.88
6774	03/12/2009	03/17/2009	172967101	C	1500.0000	1.6300	2,445.00	59.88
6775	06/03/2008	06/06/2008	252785100	DHCC	-1500.0000	1.6300	(2,445.00)	59.88
6776	03/12/2009	03/17/2009	172967101	C	1450.0000	1.6300	2,363.50	59.88
6777	10/29/2009	11/03/2009	554225102	MCBC	-1380.0000	2.0200	(2,787.60)	59.90
6778	07/21/2006	07/26/2006	037032109	AGEN	2000.0000	1.6500	3,300.00	44.94
6779	05/28/2009	06/02/2009	026874107	AIG	-2000.0000	1.6500	(3,300.00)	44.94
6780	09/24/2007	09/27/2007	131347106	CPNLQ	2000.0000	1.6500	3,300.00	44.94
6781	04/24/2007	04/27/2007	26884J104	ERHE	-9000.0000	0.3350	(3,015.00)	59.19
6782	12/19/2006	12/22/2006	235811106	DCNAQ	-1520.0000	1.3600	(2,067.20)	59.95
6783	12/28/2009	12/31/2009	45336E109	SAAS	-1400.0000	2.9700	(4,158.00)	2.10

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6784	10/29/2008	11/03/2008	026874107	AIG	2200.0000	1.7199	3,783.78	20.81
6785	03/30/2006	04/04/2006	815677109	SDNA	10000.0000	0.3600	3,600.00	30.00
6786	05/09/2007	05/14/2007	05348W109	AVNX	2000.0000	1.7850	3,570.00	31.50
6787	06/01/2007	06/06/2007	05348W109	AVNX	2000.0000	1.7850	3,570.00	31.50
6788	03/02/2009	03/05/2009	00086T103	ACMR	-2100.0000	1.3532	(2,841.72)	60.00
6789	06/08/2007	06/13/2007	60705L105	MBIR	50000.0000	0.0499	2,495.00	60.00
6790	07/05/2006	07/10/2006	774853105	RWGI	5000.0000	0.6400	3,200.00	50.01
6791	12/15/2006	12/20/2006	318465101	FACT	-1850.0000	1.9749	(3,653.57)	27.34
6792	03/31/2008	04/03/2008	885218107	TMA	-3000.0000	1.3800	(4,140.00)	3.03
6793	08/16/2007	08/21/2007	20461S108	CPTC	-3000.0000	1.3800	(4,140.00)	3.03
6794	03/25/2010	03/30/2010	313586109	FNM	-2375.0000	1.1010	(2,614.88)	60.05
6795	10/31/2007	11/05/2007	317923100	FINL	1065.0000	3.7700	4,015.05	9.31
6796	10/06/2009	10/09/2009	041356106	AIOLDD	-5000.0000	0.4588	(2,294.00)	60.09
6797	07/06/2006	07/11/2006	23321E103	DHBT	-3500.0000	0.8500	(2,975.00)	60.11
6798	08/26/2008	08/29/2008	59318T109	CIF	-1225.0000	2.6100	(3,197.25)	50.30
6799	08/11/2009	08/14/2009	521865105	LEARQ	-8500.0000	0.2700	(2,295.00)	60.17
6800	11/03/2006	11/08/2006	055183107	BABB	1650.0000	1.0200	1,683.00	60.18
6801	07/23/2009	07/28/2009	627330103	MFLI	-250000.0000	0.0140	(3,500.00)	35.21
6802	11/24/2009	11/30/2009	739363109	PWAV	3000.0000	1.3899	4,169.70	1.83
6803	02/18/2009	02/23/2009	69207P100	OXBO	10000.0000	0.2297	2,297.00	60.32
6804	02/06/2009	02/11/2009	92336G106	VSUNQ	48876.0000	0.0470	2,297.17	60.34
6805	10/22/2009	10/27/2009	313400301	FRE	-1650.0000	1.3401	(2,211.17)	60.34
6806	04/02/2009	04/07/2009	852061100	S	-1014.0000	4.1000	(4,157.40)	2.48
6807	10/11/2010	10/14/2010	21254S107	COIN	4700.0000	0.4888	2,297.36	60.35
6808	09/01/2006	09/07/2006	207142100	CNXT	-1875.0000	1.9900	(3,731.25)	23.84
6809	08/02/2007	08/07/2007	05348W109	AVNX	2000.0000	1.7952	3,590.40	30.88
6810	01/23/2009	01/28/2009	04289L107	ARTG	2000.0000	1.6700	3,340.00	43.46
6811	03/05/2007	03/08/2007	037032109	AGEN	2000.0000	1.6700	3,340.00	43.46
6812	08/12/2009	08/17/2009	195493309	CNB	-5000.0000	0.5204	(2,602.00)	60.47
6813	08/21/2009	08/26/2009	64190A103	NRO	1500.0000	2.5366	3,804.90	20.27
6814	02/18/2009	02/23/2009	767754104	RAD	10000.0000	0.2300	2,300.00	60.56
6815	12/18/2008	12/23/2008	767754104	RAD	5000.0000	0.4600	2,300.00	60.56
6816	11/12/2009	11/17/2009	338487101	FLMP	-5000.0000	0.4600	(2,300.00)	60.56
6817	08/04/2010	08/09/2010	37990W103	GHLV	10000.0000	0.2300	2,300.00	60.56
6818	04/08/2009	04/14/2009	849109103	SPNG	100000.0000	0.0230	2,300.00	60.56
6819	05/23/2008	05/29/2008	670794106	NYVA	125000.0000	0.0184	2,300.00	60.56
6820	10/27/2008	10/30/2008	64190A103	NRO	-1245.0000	2.5280	(3,147.36)	53.21
6821	06/29/2007	07/05/2007	741618102	PMXX	10700.0000	0.2600	2,782.00	60.59
6822	04/15/2010	04/20/2010	743164105	PRTK	-3000.0000	1.4000	(4,200.00)	0.62
6823	09/17/2009	09/22/2009	91688T104	URZ	1725.0000	2.4280	4,188.30	1.25
6824	12/18/2008	12/23/2008	28224N100	EFSF	-80000.0000	0.0373	(2,984.00)	60.67
6825	11/04/2008	11/07/2008	989834106	ZF	1200.0000	3.1155	3,738.60	23.75
6826	04/07/2009	04/13/2009	720279108	PIR	3000.0000	0.7672	2,301.60	60.68
6827	07/08/2009	07/13/2009	780081105	RBPA	1352.0000	2.0494	2,770.79	60.69
6828	05/11/2009	05/14/2009	195493309	CNB	2000.0000	1.6794	3,358.80	42.77
6829	07/22/2009	07/27/2009	64190A103	NRO	-1400.0000	2.0500	(2,870.00)	60.71
6830	03/20/2009	03/25/2009	01903Q108	ALD	4000.0000	1.0000	4,000.00	10.73
6831	04/20/2009	04/23/2009	370442105	GM	-2000.0000	1.6800	(3,360.00)	42.73
6832	12/06/2006	12/11/2006	74765E109	QTWW	-2000.0000	1.6800	(3,360.00)	42.73
6833	04/12/2007	04/17/2007	318465101	FACT	-2000.0000	1.6802	(3,360.40)	42.72
6834	06/19/2006	06/22/2006	235811106	DCNAQ	-1142.0000	3.1200	(3,563.04)	32.62
6835	06/27/2007	07/02/2007	206710204	CCUR	2000.0000	1.8154	3,630.80	29.26
6836	06/27/2007	07/02/2007	206710204	CCUR	2000.0000	1.8154	3,630.80	29.26
6837	04/07/2009	04/13/2009	720279108	PIR	3000.0000	0.7677	2,303.10	60.80
6838	03/23/2007	03/28/2007	89989X105	TTEG	-7500.0000	0.5500	(4,125.00)	4.58
6839	04/21/2008	04/24/2008	67106S100	OTD	-12000.0000	0.1920	(2,304.00)	60.87
6840	04/17/2009	04/22/2009	767754104	RAD	3600.0000	0.6400	2,304.00	60.87

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6841	07/12/2006	07/17/2006	16938E102	CHDT	-12000.0000	0.1920	(2,304.00)	60.87
6842	02/23/2009	02/26/2009	026874107	AIG	4000.0000	0.5762	2,304.80	60.93
6843	07/26/2006	07/31/2006	125918201	CPKPY	-1640.0000	1.0400	(1,705.60)	60.93
6844	03/21/2006	03/24/2006	767754104	RAD	-1090.0000	3.8201	(4,163.91)	2.78
6845	12/29/2006	01/04/2007	74765E109	QTTWW	-2000.0000	1.6900	(3,380.00)	41.99
6846	12/06/2006	12/11/2006	74765E109	QTTWW	-2000.0000	1.6900	(3,380.00)	41.99
6847	09/09/2010	09/14/2010	82967N108	SIRI	-1700.0000	1.0415	(1,770.55)	60.99
6848	01/31/2007	02/05/2007	009253105	ABEW	10000.0000	0.2990	2,990.00	61.04
6849	12/04/2006	12/07/2006	131347106	CPNLQ	4000.0000	1.0100	4,040.00	9.07
6850	04/05/2006	04/10/2006	549463107	LU	-1200.0000	3.1400	(3,768.00)	22.77
6851	06/08/2006	06/13/2006	05348W109	AVNX	1405.0000	1.7703	2,487.27	61.17
6852	08/11/2010	08/16/2010	683718308	OPWV	-2000.0000	1.7000	(3,400.00)	41.26
6853	05/04/2010	05/07/2010	75281W101	RNGC	2000.0000	1.7000	3,400.00	41.26
6854	12/14/2009	12/17/2009	225646108	CSNT	-3700.0000	0.6241	(2,309.17)	61.26
6855	09/10/2008	09/15/2008	64127R302	CUR	-2000.0000	1.7005	(3,401.00)	41.22
6856	09/19/2007	09/24/2007	00509L109	ACUR	1000.0000	1.8000	1,800.00	61.27
6857	06/08/2009	06/11/2009	767754104	RAD	1500.0000	1.6796	2,519.40	61.31
6858	06/03/2008	06/06/2008	607500105	MDVX	-1500.0000	1.6800	(2,520.00)	61.33
6859	12/31/2008	01/06/2009	82967N108	SIRI	20000.0000	0.1155	2,310.00	61.33
6860	06/03/2009	06/08/2009	87311M102	TXCOQ	10000.0000	0.2310	2,310.00	61.33
6861	05/25/2006	05/31/2006	82966U103	SIRI	1100.0000	3.8400	4,224.00	0.14
6862	09/20/2006	09/25/2006	876289109	TAGS	-1800.0000	1.4400	(2,592.00)	61.35
6863	10/04/2007	10/10/2007	63227R105	NCII	1500.0000	1.6833	2,524.95	61.42
6864	01/26/2009	01/29/2009	521865105	LEA	1800.0000	1.0895	1,961.10	61.43
6865	03/13/2009	03/18/2009	316773100	FITB	2000.0000	1.7080	3,416.00	40.67
6866	08/07/2007	08/10/2007	760112102	RTK	1350.0000	2.0794	2,807.19	61.50
6867	10/01/2010	10/06/2010	30033R108	ESLR	-3000.0000	0.7708	(2,312.40)	61.51
6868	04/27/2007	05/02/2007	268841104	ERHE	-10000.0000	0.3900	(3,900.00)	16.52
6869	05/15/2006	05/18/2006	037032109	AGEN	2000.0000	1.7100	3,420.00	40.52
6870	04/26/2007	05/01/2007	44949L105	IECE	-1500.0000	1.6867	(2,530.05)	61.52
6871	10/09/2006	10/12/2006	640505103	NEOM	25000.0000	0.0925	2,312.50	61.52
6872	06/08/2006	06/13/2006	247361108	DALRQ	4650.0000	0.7500	3,487.50	37.16
6873	09/15/2009	09/18/2009	313586109	FNM	1500.0000	1.6899	2,534.85	61.61
6874	04/03/2008	04/08/2008	555903301	MACM	10000.0000	0.3000	3,000.00	61.66
6875	06/30/2009	07/06/2009	73931Q103	PWCL	60000.0000	0.0500	3,000.00	61.66
6876	02/05/2009	02/10/2009	640671103	NEPH	30000.0000	0.1000	3,000.00	61.66
6877	01/24/2008	01/29/2008	009253105	ABEW	150000.0000	0.0200	3,000.00	61.66
6878	11/30/2009	12/03/2009	98153N108	WPKS	100000.0000	0.0300	3,000.00	61.66
6879	03/17/2010	03/22/2010	835916107	SONS	-1300.0000	2.5802	(3,354.26)	44.00
6880	03/12/2010	03/17/2010	939322103	WAMUQ	-12500.0000	0.1852	(2,315.00)	61.71
6881	08/13/2009	08/18/2009	68555P100	ORBC	1600.0000	2.1508	3,441.28	39.68
6882	02/12/2007	02/15/2007	206710204	CCUR	2500.0000	1.4947	3,736.75	24.91
6883	04/24/2009	04/29/2009	172967101	C	-1168.0000	3.1702	(3,702.79)	26.63
6884	07/06/2006	07/11/2006	91818T107	UWNK	10000.0000	0.2800	2,800.00	61.77
6885	07/10/2006	07/13/2006	381370105	GV	-2000.0000	1.7201	(3,440.20)	39.78
6886	01/19/2007	01/24/2007	099469504	WEL	1400.0000	2.0900	2,926.00	61.79
6887	02/15/2006	02/21/2006	45811H106	INVI	-1500.0000	1.7000	(2,550.00)	61.90
6888	03/19/2007	03/22/2007	207142100	CNXT	-1500.0000	1.7000	(2,550.00)	61.90
6889	01/30/2009	02/04/2009	080555105	BLC	1500.0000	1.7000	2,550.00	61.90
6890	08/18/2010	08/23/2010	172967101	C	-1082.0000	3.8715	(4,188.96)	2.46
6891	11/24/2008	11/28/2008	767754104	RAD	9000.0000	0.3592	3,232.80	50.27
6892	03/19/2009	03/24/2009	345370860	F	1450.0000	2.6000	3,770.00	23.42
6893	04/18/2006	04/21/2006	09250E107	HIS	-1300.0000	2.5900	(3,367.00)	43.57
6894	12/08/2008	12/11/2008	345370860	F	1200.0000	3.1785	3,814.20	21.22
6895	05/08/2006	05/11/2006	30049R100	EVOL	-1500.0000	1.7041	(2,556.15)	62.02
6896	08/06/2010	08/11/2010	093679108	BLOKA	-18000.0000	0.1670	(3,006.00)	61.73
6897	04/01/2009	04/06/2009	607500105	MDVX	1400.0000	2.1000	2,940.00	62.06

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
6898	10/06/2006	10/12/2006	82966U103	SIRI	-1085.0000	3.8800	(4,209.80)	1.58
6899	10/02/2009	10/07/2009	313586109	FNM	-1600.0000	1.3600	(2,176.00)	62.07
6900	01/25/2007	01/30/2007	70336N107	PTSC	5000.0000	0.5250	2,625.00	62.08
6901	02/27/2007	03/02/2007	023164106	ABCP	-4833.0000	0.4800	(2,319.84)	62.09
6902	02/16/2010	02/19/2010	30211M103	EXOU	-20000.0000	0.1900	(3,800.00)	22.10
6903	07/20/2009	07/23/2009	87538X105	TLF	-1300.0000	2.6000	(3,380.00)	43.13
6904	03/19/2009	03/24/2009	23317C103	DCW	1250.0000	2.5999	3,249.88	49.64
6905	09/12/2006	09/15/2006	037032109	AGEN	-1450.0000	1.7100	(2,479.50)	62.19
6906	05/20/2010	05/25/2010	48238M104	KATX	-17500.0000	0.2000	(3,500.00)	37.21
6907	08/09/2006	08/14/2006	02301P106	AMAR	-5000.0000	0.7000	(3,500.00)	37.21
6908	12/16/2008	12/19/2008	23323G106	DGC	2500.0000	1.4164	3,541.00	35.24
6909	12/11/2008	12/16/2008	026874107	AIG	-2000.0000	1.7400	(3,480.00)	38.32
6910	11/24/2008	11/28/2008	409735107	BTO	-1200.0000	3.2021	(3,842.52)	20.27
6911	08/20/2008	08/25/2008	74963H102	RSF	-1600.0000	1.3720	(2,195.20)	62.44
6912	10/10/2008	10/16/2008	518415104	LSCC	-1500.0000	1.7200	(2,580.00)	62.48
6913	12/28/2006	01/03/2007	30266R106	FLIP	-50000.0000	0.0465	(2,325.00)	62.49
6914	06/05/2006	06/08/2006	247361108	DALRQ	3000.0000	0.7750	2,325.00	62.49
6915	03/28/2007	04/02/2007	247361108	DALRQ	-5000.0000	0.4650	(2,325.00)	62.49
6916	08/12/2010	08/17/2010	37229P507	GTOP	85000.0000	0.0250	2,125.00	62.50
6917	01/18/2006	01/23/2006	16945T209	CWTD	-2000.0000	1.7500	(3,500.00)	37.58
6918	09/19/2008	09/24/2008	92336G106	VSE	2000.0000	1.7500	3,500.00	37.58
6919	04/05/2006	04/10/2006	247126105	DPHIQ	-4500.0000	0.6250	(2,812.50)	62.59
6920	03/31/2009	04/03/2009	607500105	MDVX	1400.0000	2.1200	2,968.00	62.60
6921	09/01/2009	09/04/2009	530158104	USA	-1055.0000	3.9100	(4,125.05)	6.36
6922	05/03/2010	05/06/2010	74373F100	PVCT	2000.0000	1.3100	2,620.00	62.61
6923	03/19/2008	03/25/2008	83545M109	SNCI	-900.0000	4.3275	(3,894.75)	17.88
6924	01/04/2008	01/09/2008	590479101	MESA	-1250.0000	2.4899	(3,112.38)	57.00
6925	11/12/2008	11/17/2008	64190A103	NRO	-1500.0000	2.6320	(3,948.00)	15.23
6926	11/05/2007	11/08/2007	89235L103	TYHR	-4253.0000	0.5800	(2,466.74)	62.65
6927	12/22/2006	12/28/2006	607500105	MDVX	1800.0000	1.9200	3,456.00	39.91
6928	01/15/2008	01/18/2008	159765106	CTHR	1500.0000	1.7280	2,592.00	62.71
6929	05/01/2006	05/04/2006	247361108	DALRQ	5200.0000	0.6750	3,510.00	37.25
6930	01/26/2006	01/31/2006	561721101	MKOS	-60000.0000	0.0503	(3,018.00)	61.87
6931	09/09/2009	09/14/2009	64190A103	NRO	-1268.0000	2.6319	(3,337.25)	45.96
6932	06/02/2010	06/07/2010	82967N108	SIRI	-2300.0000	1.0006	(2,301.38)	62.88
6933	03/12/2009	03/17/2009	709102107	PEI	-1114.0000	3.4800	(3,876.72)	19.10
6934	03/15/2010	03/18/2010	598251106	MBHI	-7500.0000	0.3108	(2,331.00)	62.95
6935	01/22/2009	01/27/2009	989834106	ZF	1250.0000	2.6400	3,300.00	47.99
6936	04/05/2010	04/08/2010	458738101	ILI	5000.0000	0.7095	3,547.50	35.69
6937	01/02/2009	01/07/2009	09064V105	BIPH	200000.0000	0.0141	2,820.00	63.08
6938	03/25/2008	03/28/2008	028723104	AEZ	-1200.0000	3.2401	(3,888.12)	18.74
6939	09/30/2008	10/03/2008	780097721	RBS	1200.0000	3.2400	3,888.00	18.75
6940	05/10/2006	05/15/2006	59139P104	MSLV	1153.0000	3.4627	3,992.49	13.56
6941	03/10/2009	03/13/2009	345370860	F	1500.0000	1.8200	2,730.00	63.23
6942	02/26/2009	03/03/2009	062401104	GRAN	2000.0000	1.7753	3,550.60	35.72
6943	01/18/2008	01/24/2008	82966U103	SIRI	-1500.0000	2.6600	(3,990.00)	13.76
6944	12/10/2009	12/15/2009	52729N100	LVLTL	-1600.0000	1.4000	(2,240.00)	63.30
6945	12/03/2008	12/08/2008	14075T107	CPD	1200.0000	3.2500	3,900.00	18.35
6946	04/20/2009	04/23/2009	370442105	GM	-1500.0000	1.7500	(2,625.00)	63.35
6947	04/20/2009	04/23/2009	370442105	GM	-1450.0000	1.7500	(2,537.50)	63.35
6948	11/06/2009	11/12/2009	096231105	BXG	-1191.0000	3.2527	(3,873.97)	19.70
6949	08/10/2010	08/13/2010	09250E107	HIS	1875.0000	2.1098	3,955.88	15.63
6950	06/29/2010	07/02/2010	561911108	HYF	-1350.0000	2.1520	(2,905.20)	63.43
6951	03/04/2009	03/09/2009	362397101	GAB	-1477.0000	2.6700	(3,943.59)	16.31
6952	06/22/2009	06/25/2009	305560104	FRP	3000.0000	0.7794	2,338.20	63.50
6953	03/30/2009	04/02/2009	466090107	JASO	-1200.0000	3.2600	(3,912.00)	17.95
6954	06/22/2007	06/27/2007	131347106	CPNLQ	-1144.0000	3.2600	(3,729.44)	27.08

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6955	03/19/2008	03/25/2008	78402X107	DDD	-2200.0000	1.2401	(2,728.22)	63.57
6956	10/23/2006	10/26/2006	59139P104	MSLV	-1100.0000	3.8158	(4,197.38)	3.75
6957	05/29/2009	06/03/2009	370442105	GM	-3000.0000	0.7800	(2,340.00)	63.64
6958	11/10/2009	11/16/2009	023139108	ABK	3000.0000	0.7800	2,340.00	63.64
6959	03/13/2007	03/16/2007	928628106	VOII	-9000.0000	0.2600	(2,340.00)	63.64
6960	03/02/2009	03/05/2009	316773100	FITB	-2000.0000	1.7920	(3,584.00)	34.50
6961	10/05/2010	10/08/2010	989834106	ZF	1150.0000	3.2685	3,758.78	25.78
6962	11/14/2008	11/19/2008	633643408	NBG	-1040.0000	3.9846	(4,143.98)	6.53
6963	10/03/2006	10/06/2006	74765E109	QTTWW	-2000.0000	1.7965	(3,593.00)	34.16
6964	05/18/2009	05/21/2009	91530T104	UOMO	4300.0000	0.5447	2,342.21	63.81
6965	08/06/2009	08/11/2009	247907207	DPTR	2000.0000	1.8000	3,600.00	33.90
6966	02/02/2006	02/07/2006	713661106	PPHM	1600.0000	1.4200	2,272.00	63.92
6967	11/11/2008	11/14/2008	024061103	AXL	1500.0000	1.7700	2,655.00	63.93
6968	08/07/2007	08/10/2007	591695101	MTRM	-1500.0000	1.7700	(2,655.00)	63.93
6969	06/06/2006	06/09/2006	89376R109	TMTA	1500.0000	1.7700	2,655.00	63.93
6970	12/04/2008	12/09/2008	53071M104	LINTA	1700.0000	2.3485	3,992.45	14.37
6971	05/05/2008	05/08/2008	58505W107	MDRA	-2500.0000	1.0140	(2,535.00)	64.02
6972	12/10/2008	12/15/2008	989834106	ZF	1290.0000	2.6885	3,468.17	40.62
6973	11/13/2008	11/18/2008	52729N100	LVLTL	-3800.0000	0.8000	(3,040.00)	62.13
6974	10/29/2010	11/03/2010	82967N108	SIRI	-2500.0000	1.4815	(3,703.75)	28.99
6975	12/26/2007	12/31/2007	74269U104	PKT	-2000.0000	1.3601	(2,720.20)	64.25
6976	02/18/2009	02/23/2009	45765U103	NSIT	-1300.0000	2.7006	(3,510.78)	38.75
6977	11/21/2006	11/27/2006	378970107	GBVS	10000.0000	0.4200	4,200.00	4.31
6978	09/09/2008	09/12/2008	30208Q109	EXBX	-21000.0000	0.2000	(4,200.00)	4.31
6979	06/01/2007	06/06/2007	318465101	FACT	2000.0000	1.8170	3,634.00	32.65
6980	11/17/2009	11/20/2009	313586109	FNM	-2000.0000	1.0001	(2,000.20)	64.35
6981	03/20/2009	03/25/2009	87311M102	TXCO	5000.0000	0.4699	2,349.50	64.38
6982	04/17/2007	04/20/2007	04289L107	ARTG	-1357.0000	2.0720	(2,811.70)	64.40
6983	12/21/2006	12/27/2006	144525102	CARN	-1200.0000	3.3033	(3,963.96)	16.21
6984	03/22/2006	03/27/2006	123720104	BUKS	5000.0000	0.4700	2,350.00	64.41
6985	10/27/2006	11/01/2006	09060C101	BKYI	5000.0000	0.4700	2,350.00	64.41
6986	12/16/2008	12/19/2008	91274F104	YSI	-1125.0000	3.4508	(3,882.15)	20.35
6987	08/06/2008	08/11/2008	82967N108	SIRI	1580.0000	1.4382	2,272.36	64.48
6988	06/19/2009	06/24/2009	92764R103	VRAL	48000.0000	0.0490	2,352.00	64.57
6989	10/14/2009	10/19/2009	294766100	EQS	-1197.0000	3.3177	(3,971.29)	16.13
6990	10/10/2007	10/15/2007	455688101	IDGG	10000.0000	0.2500	2,500.00	64.69
6991	09/30/2008	10/03/2008	635405103	NCC	2000.0000	1.8299	3,659.80	31.71
6992	03/22/2006	03/27/2006	247126105	DPHIQ	-2000.0000	1.0100	(2,020.00)	64.70
6993	03/07/2008	03/12/2008	92643X206	VVEY	10000.0000	0.3050	3,050.00	62.24
6994	04/27/2006	05/02/2006	758943104	RGUS	-1350.0000	2.2100	(2,983.50)	64.77
6995	01/04/2007	01/09/2007	206710204	CCUR	2000.0000	1.9674	3,934.80	18.06
6996	02/12/2009	02/18/2009	345370860	F	-1500.0000	1.8000	(2,700.00)	64.80
6997	06/20/2007	06/25/2007	099469504	WEL	-1500.0000	1.8000	(2,700.00)	64.80
6998	02/08/2006	02/13/2006	86880T100	SRGG	-1500.0000	1.8000	(2,700.00)	64.80
6999	12/22/2008	12/26/2008	70335Y104	PCAP	-1200.0000	3.3267	(3,992.04)	15.27
7000	01/08/2010	01/13/2010	939322103	WAMUQ	12500.0000	0.1885	2,356.25	64.90
7001	04/16/2008	04/21/2008	806732103	SCNT	-27729.0000	0.0850	(2,356.97)	64.95
7002	02/01/2007	02/06/2007	630797108	NAPS	-1063.0000	4.0400	(4,294.52)	0.25
7003	08/15/2007	08/20/2007	67060N204	NTRZ	2000.0000	2.1000	4,200.00	5.00
7004	04/20/2007	04/25/2007	099469504	WEL	1500.0000	2.2300	3,345.00	47.75
7005	11/26/2008	12/02/2008	74963Q102	RHY	4750.0000	0.5172	2,456.70	65.00
7006	08/10/2010	08/13/2010	458738101	ILI	10000.0000	0.4000	4,000.00	15.11
7007	04/03/2007	04/09/2007	97750V109	WIZD	1300.0000	2.7400	3,562.00	37.04
7008	08/21/2009	08/26/2009	63902E106	NRVN	7865.0000	0.3000	2,359.50	65.15
7009	05/11/2009	05/14/2009	746235100	PSPM	-4000.0000	0.5900	(2,360.00)	65.19
7010	04/08/2009	04/14/2009	769397100	RVS8	-1238.0000	2.7500	(3,404.50)	44.98
7011	03/12/2007	03/15/2007	00253U107	ASTM	2000.0000	1.3898	2,779.60	65.22

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7012	02/24/2009	02/27/2009	446150104	HBAN	2000.0000	1.3896	2,779.20	65.22
7013	02/10/2006	02/15/2006	549463107	LU	-1300.0000	2.7500	(3,575.00)	36.61
7014	12/12/2006	12/15/2006	020814208	APTD	-20300.0000	0.1622	(3,292.66)	50.74
7015	11/08/2007	11/14/2007	351465109	FXPE	-1500.0000	1.8200	(2,730.00)	65.38
7016	02/13/2007	02/16/2007	522132109	LEAT	17800.0000	0.2000	3,560.00	37.45
7017	05/12/2009	05/15/2009	026874107	AIG	2000.0000	1.8600	3,720.00	29.49
7018	06/10/2009	06/15/2009	336453105	FSNM	-2000.0000	1.8601	(3,720.20)	29.49
7019	05/27/2009	06/01/2009	721467108	PGPDQ	-1056.0000	4.0700	(4,297.92)	0.62
7020	05/22/2009	05/28/2009	989834106	ZF	1250.0000	2.7600	3,450.00	43.07
7021	03/23/2006	03/28/2006	131347106	CPNLQ	-11000.0000	0.2150	(2,365.00)	65.57
7022	01/21/2009	01/26/2009	044103109	AHT	-2000.0000	1.4005	(2,801.00)	65.58
7023	06/01/2007	06/06/2007	206710204	CCUR	2000.0000	1.9978	3,995.60	15.82
7024	06/30/2010	07/06/2010	37945C202	GBRC	10000.0000	0.3563	3,563.00	37.46
7025	07/31/2008	08/05/2008	254668106	DSCO	-1500.0000	1.8300	(2,745.00)	65.67
7026	06/30/2009	07/06/2009	22544F103	DHY	-1444.0000	2.2604	(3,264.02)	52.50
7027	11/21/2006	11/27/2006	97750V109	WIZD	-1400.0000	2.2500	(3,150.00)	58.20
7028	07/24/2007	07/27/2007	073677106	BCON	2000.0000	1.8700	3,740.00	28.75
7029	11/17/2006	11/22/2006	74765E109	QTTWW	-2000.0000	1.8700	(3,740.00)	28.75
7030	12/04/2007	12/07/2007	464278209	ISEC	-3876.0000	0.9200	(3,565.92)	37.47
7031	12/18/2009	12/23/2009	67555N107	OCLR	-2132.0000	1.3200	(2,814.24)	65.77
7032	09/08/2006	09/13/2006	055000103	AZGS	22000.0000	0.1500	3,300.00	50.79
7033	04/12/2010	04/15/2010	451034201	IWEB	10000.0000	0.3300	3,300.00	50.79
7034	11/22/2006	11/28/2006	79586Q108	LIV	15000.0000	0.2200	3,300.00	50.79
7035	08/11/2009	08/14/2009	76028H100	RPRX	1663.0000	1.1700	1,945.71	65.80
7036	02/01/2007	02/06/2007	206710204	CCUR	-2000.0000	1.4100	(2,820.00)	65.88
7037	09/07/2006	09/12/2006	549463107	LU	1350.0000	2.2599	3,050.87	63.39
7038	11/25/2009	12/01/2009	47023A101	JMBA	-1500.0000	1.8400	(2,760.00)	65.96
7039	09/29/2008	10/02/2008	929903102	WB	-1500.0000	1.8400	(2,760.00)	65.96
7040	07/10/2007	07/13/2007	206710204	CCUR	2100.0000	1.9200	4,032.00	14.40
7041	03/20/2006	03/23/2006	549463107	LU	-1440.0000	2.7901	(4,017.74)	15.11
7042	06/25/2008	06/30/2008	767754104	RAD	2150.0000	1.7950	3,859.25	23.04
7043	07/09/2007	07/12/2007	05348W109	AVNX	-2000.0000	1.7920	(3,584.00)	36.80
7044	10/14/2009	10/19/2009	482738101	KVHI	-288.0000	10.7232	(3,088.28)	61.59
7045	11/20/2008	11/25/2008	530158104	USA	-1283.0000	2.7835	(3,571.23)	37.52
7046	03/13/2009	03/18/2009	156834103	CDCH	169400.0000	0.0140	2,371.60	66.08
7047	09/11/2007	09/14/2007	125750109	CMGI	-1550.0000	1.4900	(2,309.50)	66.08
7048	10/05/2006	10/11/2006	69073F103	OWENQ	4000.0000	0.9700	3,880.00	22.11
7049	12/30/2009	01/05/2010	989834106	ZF	-1150.0000	3.3901	(3,898.62)	21.20
7050	02/11/2008	02/14/2008	254668106	DSCO	1900.0000	2.2183	4,214.77	5.40
7051	05/22/2009	05/28/2009	19247V104	RPF	1200.0000	3.3940	4,072.80	12.57
7052	01/19/2010	01/22/2010	163893100	CEMJQ	-2000.0000	1.4200	(2,840.00)	66.21
7053	02/09/2007	02/14/2007	367626108	GTW	1700.0000	1.9400	3,298.00	51.34
7054	07/16/2007	07/19/2007	232984104	ATC	-1500.0000	1.8500	(2,775.00)	66.25
7055	04/20/2007	04/25/2007	89784T101	TNEN	-4075.0000	1.0300	(4,197.25)	6.41
7056	12/29/2008	01/02/2009	910873405	UMC	-2000.0000	1.8900	(3,780.00)	27.29
7057	07/17/2006	07/20/2006	367600301	GNGR	5000.0000	0.6150	3,075.00	62.54
7058	10/07/2009	10/13/2009	82967N108	SIRI	-8000.0000	0.5304	(4,243.20)	4.15
7059	11/09/2009	11/13/2009	630087104	NNVC	-4470.0000	0.8000	(3,576.00)	37.51
7060	06/20/2008	06/25/2008	33764G101	FBFS	2500.0000	0.9500	2,375.00	66.34
7061	12/19/2006	12/22/2006	45768R305	INFN	-2500.0000	0.9500	(2,375.00)	66.34
7062	01/13/2006	01/19/2006	238326102	DNTK	-2500.0000	0.9500	(2,375.00)	66.34
7063	02/02/2006	02/07/2006	71944A107	PYTO	-1600.0000	1.5000	(2,400.00)	66.39
7064	08/12/2009	08/17/2009	231269101	CRIS	-1600.0000	1.5000	(2,400.00)	66.39
7065	07/09/2010	07/14/2010	69561N204	PAYD	-9146.0000	0.2600	(2,377.96)	66.57
7066	01/06/2006	01/11/2006	871562203	SYGR	-1024.0000	3.9652	(4,060.36)	13.65
7067	05/26/2009	05/29/2009	362447104	GWLK	-8500.0000	0.2800	(2,380.00)	66.73
7068	08/13/2009	08/18/2009	391523107	WOLF	1200.0000	3.4227	4,107.24	11.42

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7069	08/31/2010	09/03/2010	86806M205	SUPR	3000.0000	1.1100	3,330.00	50.32
7070	03/03/2008	03/06/2008	232828301	CYTR	-1500.0000	1.8701	(2,805.15)	66.83
7071	09/16/2008	09/19/2008	38068T105	GORO	1500.0000	2.8200	4,230.00	5.34
7072	05/22/2009	05/28/2009	14052H506	CT	-1500.0000	1.8710	(2,806.50)	66.85
7073	01/28/2010	02/02/2010	87161C105	SNV	1550.0000	2.7700	4,293.50	2.32
7074	12/20/2006	12/26/2006	131347106	CPNLQ	-1625.0000	1.2500	(2,031.25)	67.05
7075	01/28/2008	01/31/2008	83001P109	SIX	2000.0000	1.9200	3,840.00	25.08
7076	12/12/2008	12/17/2008	448407106	HTCH	1250.0000	2.8300	3,537.50	40.21
7077	11/03/2008	11/06/2008	22544F103	DHY	-2000.0000	1.9205	(3,841.00)	25.04
7078	04/29/2010	05/04/2010	917277204	UPI	1178.0000	3.4392	4,051.38	14.53
7079	11/04/2009	11/09/2009	543354104	LPIH	1500.0000	1.8800	2,820.00	67.11
7080	02/23/2009	02/26/2009	370442105	GM	1475.0000	1.8800	2,773.00	67.11
7081	01/09/2007	01/12/2007	120255104	BLRV	-5000.0000	0.7800	(3,900.00)	22.12
7082	04/11/2006	04/17/2006	59515H106	MIOK	-2650.0000	0.9000	(2,385.00)	67.12
7083	06/30/2006	07/06/2006	463664508	IRSN	-2000.0000	1.9225	(3,845.00)	24.89
7084	05/31/2007	06/05/2007	606710200	MITK	-3728.0000	0.6400	(2,385.92)	67.19
7085	08/23/2006	08/28/2006	57685E101	MXXR	-58425.0000	0.0529	(3,090.68)	62.73
7086	02/22/2010	02/25/2010	39807G107	GYSN	10000.0000	0.2700	2,700.00	67.28
7087	03/02/2009	03/05/2009	16941J106	CPSL	3000.0000	0.9000	2,700.00	67.28
7088	12/08/2006	12/13/2006	91729G301	UDW	-5000.0000	0.5400	(2,700.00)	67.28
7089	05/14/2007	05/17/2007	68338A107	ONT	-1250.0000	2.8412	(3,551.50)	39.75
7090	10/23/2006	10/26/2006	549463107	LU	-1400.0000	2.3200	(3,248.00)	54.92
7091	09/18/2008	09/23/2008	939322103	WM	-1370.0000	2.3200	(3,178.40)	58.40
7092	06/09/2010	06/14/2010	69336V101	PGTI	-1250.0000	2.8418	(3,552.25)	39.72
7093	08/02/2007	08/07/2007	60783C100	MODT	-2000.0000	1.9301	(3,860.20)	24.33
7094	01/29/2009	02/03/2009	64190A103	NRO	1500.0000	1.8900	2,835.00	67.40
7095	03/13/2009	03/18/2009	026874107	AIG	5000.0000	0.4778	2,389.00	67.42
7096	05/27/2009	06/01/2009	370442105	GM	-1650.0000	1.2200	(2,013.00)	67.47
7097	12/20/2006	12/26/2006	404160103	HEII	-2000.0000	1.4600	(2,920.00)	67.52
7098	11/03/2006	11/08/2006	206710204	CCUR	2000.0000	2.0788	4,157.60	9.72
7099	02/20/2008	02/25/2008	29254Q104	ECI	-2000.0000	1.9400	(3,880.00)	23.61
7100	11/10/2008	11/14/2008	74269U104	PKT	-5000.0000	0.7201	(3,600.50)	37.62
7101	09/30/2008	10/03/2008	635405103	NCC	1500.0000	1.8990	2,848.50	67.66
7102	03/06/2006	03/09/2006	70336N107	PTSC	1500.0000	1.9000	2,850.00	67.69
7103	12/07/2006	12/12/2006	957541105	WSTL	-1400.0000	2.2145	(3,100.30)	62.69
7104	03/03/2008	03/06/2008	232828301	CYTR	-1500.0000	1.9001	(2,850.15)	67.70
7105	01/03/2007	01/08/2007	05348W109	AVNX	2000.0000	2.0789	4,157.80	9.91
7106	11/13/2009	11/18/2009	591556105	MTIZ	-500000.0000	0.0059	(2,950.00)	67.87
7107	10/05/2006	10/11/2006	549463107	LU	1500.0000	2.3550	3,532.50	41.30
7108	12/07/2006	12/12/2006	452907108	IMMU	1500.0000	2.8696	4,304.40	2.72
7109	05/18/2006	05/23/2006	222814204	DVW	-1850.0000	1.7801	(3,293.19)	53.29
7110	09/25/2006	09/28/2006	98420A103	XNL	-1300.0000	2.8711	(3,732.43)	31.34
7111	05/10/2006	05/15/2006	05348W109	AVNX	1377.0000	2.5283	3,481.47	43.90
7112	11/03/2006	11/08/2006	206710204	CCUR	2000.0000	2.0890	4,178.00	9.10
7113	11/14/2007	11/19/2007	29382F103	ENMD	-2000.0000	1.4760	(2,952.00)	68.05
7114	10/14/2008	10/17/2008	345370860	F	1700.0000	2.5198	4,283.66	3.90
7115	08/10/2010	08/13/2010	458738101	ILI	10000.0000	0.4000	4,000.00	18.10
7116	03/12/2009	03/17/2009	105368203	BDN	-1189.0000	3.4900	(4,149.61)	10.63
7117	04/26/2010	04/29/2010	82967N108	SIRI	2000.0000	1.1088	2,217.60	68.12
7118	11/06/2008	11/12/2008	345370860	F	2000.0000	1.9600	3,920.00	22.14
7119	10/08/2009	10/14/2009	82967N108	SIRI	-6500.0000	0.5554	(3,610.10)	37.66
7120	11/17/2009	11/20/2009	313400301	FRE	-2000.0000	1.1101	(2,220.20)	68.17
7121	04/02/2009	04/07/2009	345370860	F	-1257.0000	2.8830	(3,623.93)	37.02
7122	03/11/2009	03/16/2009	172967101	C	-1540.0000	1.5600	(2,402.40)	68.24
7123	05/21/2009	05/27/2009	370442105	GM	1500.0000	1.9200	2,880.00	68.27
7124	07/17/2009	07/22/2009	125581108	CIT	4000.0000	0.6000	2,400.00	68.27
7125	06/05/2009	06/10/2009	150837409	CVM	4000.0000	0.6000	2,400.00	68.27

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7126	06/04/2009	06/09/2009	371485103	GNBT	4000.0000	0.6000	2,400.00	68.27
7127	03/24/2006	03/29/2006	123720104	BUKS	5000.0000	0.4800	2,400.00	68.27
7128	10/07/2009	10/13/2009	57666R105	MTCH	-10000.0000	0.2400	(2,400.00)	68.27
7129	02/11/2010	02/17/2010	456277102	INTK	75000.0000	0.0320	2,400.00	68.27
7130	03/26/2008	03/31/2008	455688101	IDGG	40000.0000	0.0600	2,400.00	68.27
7131	12/01/2006	12/06/2006	131722605	CBMC	30000.0000	0.0800	2,400.00	68.27
7132	06/20/2007	06/25/2007	67030P107	NSOL	-5000.0000	0.4800	(2,400.00)	68.27
7133	06/30/2010	07/06/2010	409321106	HMPR	3000.0000	0.8000	2,400.00	68.27
7134	02/12/2008	02/15/2008	52886N109	LXRA	-3000.0000	0.8000	(2,400.00)	68.27
7135	05/23/2006	05/26/2006	68812R105	OSCI	2550.0000	1.3900	3,544.50	41.08
7136	10/27/2008	10/30/2008	073677106	BCON	3000.0000	0.8500	2,550.00	68.36
7137	09/20/2007	09/25/2007	05155L105	ARGA	5000.0000	0.5100	2,550.00	68.36
7138	09/20/2007	09/25/2007	05155L105	ARGA	5000.0000	0.5100	2,550.00	68.36
7139	09/28/2006	10/03/2006	883375107	TGX	1500.0000	2.8900	4,335.00	1.64
7140	02/03/2006	02/08/2006	559091301	MPET	2000.0000	1.9700	3,940.00	21.40
7141	09/16/2009	09/21/2009	767754104	RAD	-2000.0000	1.9700	(3,940.00)	21.40
7142	01/24/2008	01/29/2008	254668106	DSCO	-2000.0000	1.9701	(3,940.20)	21.40
7143	01/30/2006	02/02/2006	1312EM104	KAL	2500.0000	1.6299	4,074.75	14.73
7144	04/17/2009	04/22/2009	35907K105	FTBK	-2500.0000	1.6301	(4,075.25)	14.72
7145	02/18/2009	02/23/2009	147154108	CACB	3000.0000	1.0999	3,299.70	53.52
7146	04/30/2009	05/05/2009	370442105	GM	1500.0000	1.9300	2,895.00	68.56
7147	08/25/2009	08/28/2009	313586109	FNM	-1500.0000	1.9300	(2,895.00)	68.56
7148	03/29/2010	04/01/2010	461214108	SNAK	1500.0000	2.8999	4,349.85	1.13
7149	02/24/2006	03/01/2006	093704203	BLDH	2000.0000	1.9800	3,960.00	20.66
7150	11/17/2008	11/20/2008	220873103	CORS	-2000.0000	1.9801	(3,960.20)	20.66
7151	11/12/2009	11/17/2009	26817G102	DYN	-2000.0000	1.9806	(3,961.20)	20.62
7152	10/13/2006	10/18/2006	871562203	SYGR	-1012.0000	4.0739	(4,122.79)	12.55
7153	01/12/2010	01/15/2010	172967101	C	-1200.0000	3.5201	(4,224.12)	7.50
7154	11/09/2009	11/13/2009	14055X102	CSE	-1200.0000	3.5210	(4,225.20)	7.47
7155	07/20/2010	07/23/2010	817208408	SNT	-8000.0000	0.3008	(2,406.40)	68.77
7156	06/11/2008	06/16/2008	03058P109	AWBC	1500.0000	2.9080	4,362.00	0.70
7157	01/31/2007	02/05/2007	550520100	LHCS	3000.0000	1.1100	3,330.00	52.32
7158	07/05/2007	07/10/2007	34630A104	FVRG	-2000.0000	1.9875	(3,975.00)	20.11
7159	12/07/2006	12/12/2006	910873207	UMC	-1195.0000	3.5300	(4,218.35)	7.99
7160	04/24/2008	04/29/2008	860837103	STIY	10000.0000	0.4300	4,300.00	3.93
7161	05/04/2010	05/07/2010	82967N108	SIRI	-2500.0000	1.1500	(2,875.00)	68.93
7162	12/18/2008	12/23/2008	345370860	F	1300.0000	2.9192	3,794.96	29.24
7163	06/27/2007	07/02/2007	88409N101	THK	1300.0000	2.9192	3,794.96	29.24
7164	12/23/2008	12/29/2008	746909100	PIM	-1123.0000	3.7104	(4,166.78)	10.67
7165	08/04/2009	08/07/2009	14075T107	CPD	-1214.0000	3.1325	(3,802.86)	28.87
7166	05/25/2010	05/28/2010	82967N108	SIRI	-1785.0000	1.0009	(1,786.61)	69.04
7167	07/15/2008	07/18/2008	786449108	SFE	-2500.0000	1.1530	(2,882.50)	69.05
7168	12/15/2009	12/18/2009	09255R103	DSU	-1200.0000	3.5410	(4,249.20)	6.66
7169	10/27/2010	11/01/2010	918914102	VLNC	2000.0000	1.5100	3,020.00	68.12
7170	03/12/2009	03/17/2009	172967101	C	2000.0000	1.5100	3,020.00	68.12
7171	11/07/2007	11/13/2007	12498B307	CBF	1105.0000	3.9100	4,320.55	3.11
7172	03/15/2010	03/18/2010	85517P101	CIGX	-1500.0000	1.9500	(2,925.00)	69.14
7173	02/06/2009	02/11/2009	30208Q109	EXBX	-61500.0000	0.0700	(4,305.00)	3.92
7174	11/20/2008	11/25/2008	370442105	GM	2000.0000	2.0000	4,000.00	19.19
7175	11/19/2008	11/24/2008	984249102	YRCW	2000.0000	2.0000	4,000.00	19.19
7176	03/13/2007	03/16/2007	03062Y104	AWNE	2000.0000	2.0000	4,000.00	19.19
7177	05/13/2009	05/18/2009	313586109	FNM	-4000.0000	0.7807	(3,122.80)	63.10
7178	12/16/2008	12/19/2008	003830106	AXAS	-5250.0000	0.7903	(4,149.08)	11.84
7179	09/01/2009	09/04/2009	989834106	ZF	1250.0000	2.9393	3,674.13	35.72
7180	06/12/2007	06/15/2007	125750109	CMGI	-2000.0000	2.0100	(4,020.00)	18.46
7181	09/15/2006	09/20/2006	254668106	DSCO	2000.0000	2.0100	4,020.00	18.46
7182	05/15/2008	05/20/2008	74269U104	PKT	2000.0000	2.0099	4,019.80	18.47

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7183	12/15/2009	12/18/2009	172967101	C	1200.0000	3.5599	4,271.88	5.91
7184	04/05/2006	04/10/2006	45768R305	INFN	-5500.0000	0.6091	(3,350.05)	52.00
7185	01/26/2010	01/29/2010	536804107	LTUM	2000.0000	1.1500	2,300.00	69.56
7186	10/10/2008	10/16/2008	345370860	F	2000.0000	2.0198	4,039.60	17.74
7187	09/08/2006	09/13/2006	630075109	NGEN	1500.0000	1.9700	2,955.00	69.72
7188	05/20/2009	05/26/2009	169424108	CNTF	1500.0000	1.9700	2,955.00	69.72
7189	08/31/2010	09/03/2010	652903204	NEXM	-2000.0000	2.0201	(4,040.20)	17.72
7190	03/11/2009	03/16/2009	767754104	RAD	10000.0000	0.2419	2,419.00	69.74
7191	09/08/2008	09/11/2008	82967N108	SIRI	-1660.0000	1.2901	(2,141.57)	69.77
7192	02/18/2009	02/23/2009	370021107	GGP	5000.0000	0.5472	2,736.00	69.78
7193	04/17/2006	04/20/2006	549463107	LU	-1256.0000	2.9600	(3,717.76)	33.98
7194	04/28/2008	05/01/2008	68214U102	OFSI	-3290.0000	1.1500	(3,783.50)	30.71
7195	04/03/2006	04/06/2006	549463107	LU	-1225.0000	3.0800	(3,773.00)	31.23
7196	11/13/2009	11/18/2009	233384106	SRQ	-1200.0000	3.5803	(4,296.36)	5.08
7197	02/13/2008	02/19/2008	05348W109	AVNX	-3000.0000	0.7336	(2,200.80)	69.90
7198	04/08/2009	04/14/2009	44977W106	PPR	-1181.0000	3.5806	(4,228.69)	8.48
7199	11/06/2007	11/09/2007	124988307	CBF	1111.0000	3.8899	4,321.68	3.91
7200	08/09/2007	08/14/2007	30231G102	XOM	-50.0000	85.7600	(4,288.00)	5.60
7201	05/24/2006	05/30/2006	803893106	SATC	-2000.0000	2.0995	(4,199.00)	10.05
7202	07/22/2009	07/27/2009	781748108	RURL	1400.0000	2.9400	4,116.00	14.20
7203	10/24/2006	10/27/2006	131347106	CPNLQ	15000.0000	0.2650	3,975.00	21.25
7204	01/21/2009	01/26/2009	163893100	CEM	-4446.0000	0.8812	(3,917.82)	24.11
7205	06/09/2009	06/12/2009	09255T109	ARK	1221.0000	3.1200	3,809.52	29.52
7206	11/11/2008	11/14/2008	026874107	AIG	1780.0000	2.0992	3,736.58	33.17
7207	03/18/2009	03/23/2009	37247D106	GNW	2000.0000	1.8500	3,700.00	35.00
7208	09/02/2009	09/08/2009	09060S106	BEHL	75000.0000	0.0450	3,375.00	51.25
7209	06/16/2009	06/19/2009	82967N108	SIRI	-10000.0000	0.3283	(3,283.00)	55.85
7210	11/21/2007	11/27/2007	27944M103	EDNE	4500.0000	0.8100	3,645.00	37.80
7211	03/12/2009	03/17/2009	20343T100	CBON	4710.0000	0.7500	3,532.50	43.46
7212	12/23/2008	12/29/2008	09255M104	CYE	1200.0000	3.5899	4,307.88	4.71
7213	10/09/2008	10/15/2008	607500105	MDVX	-1700.0000	1.3000	(2,210.00)	70.10
7214	01/05/2007	01/10/2007	70322M105	PPRG	-1650.0000	1.3000	(2,145.00)	70.10
7215	01/29/2007	02/01/2007	482540101	KMCP	-97000.0000	0.0250	(2,425.00)	70.20
7216	12/06/2006	12/11/2006	45768R305	INFN	-2500.0000	0.9700	(2,425.00)	70.20
7217	04/02/2009	04/07/2009	026874107	AIG	2000.0000	1.1700	2,340.00	70.25
7218	10/09/2006	10/12/2006	629248105	NMSS	-1500.0000	1.9900	(2,985.00)	70.30
7219	07/22/2008	07/25/2008	52729N100	LVL	-1341.0000	2.9518	(3,958.36)	22.43
7220	05/21/2009	05/27/2009	26844P109	EFTB	-1200.0000	3.6042	(4,325.04)	4.13
7221	06/12/2007	06/15/2007	09065H303	BPUR	3800.0000	0.6388	2,427.44	70.39
7222	02/24/2009	02/27/2009	060505815	BAC.PRE	1200.0000	3.6080	4,329.60	3.97
7223	11/12/2008	11/17/2008	370442105	GM	1237.0000	3.0085	3,721.51	34.40
7224	06/18/2007	06/21/2007	002083103	ATSI	-2000.0000	1.5588	(3,117.60)	64.61
7225	06/18/2007	06/21/2007	002083103	ATSI	-2000.0000	1.5588	(3,117.60)	64.61
7226	12/18/2007	12/21/2007	206710204	CCUR	-3000.0000	0.7395	(2,218.50)	70.50
7227	06/09/2009	06/12/2009	829226109	SBI	2000.0000	2.0499	4,099.80	15.52
7228	05/28/2008	06/02/2008	065908501	BBX	1500.0000	2.0000	3,000.00	70.59
7229	09/11/2008	09/16/2008	939322103	WM	1500.0000	2.0000	3,000.00	70.59
7230	08/30/2006	09/05/2006	74157A103	PMDX	1500.0000	2.0000	3,000.00	70.59
7231	03/05/2007	03/08/2007	806732103	SCTN	-40500.0000	0.0600	(2,430.00)	70.59
7232	04/21/2009	04/24/2009	362447104	GWLK	-9000.0000	0.2700	(2,430.00)	70.59
7233	05/19/2010	05/24/2010	561911108	HYF	-1500.0000	2.0010	(3,001.50)	70.54
7234	10/28/2009	11/02/2009	039209101	KAD	-3000.0000	0.8101	(2,430.30)	70.61
7235	04/03/2008	04/08/2008	45663L403	IFNY	-7200.0000	0.4700	(3,384.00)	51.46
7236	01/18/2007	01/23/2007	988924106	ZTM	2700.0000	1.2494	3,373.38	52.01
7237	03/03/2009	03/06/2009	37247D106	GNW	3000.0000	0.9787	2,936.10	70.69
7238	09/24/2010	09/29/2010	238108203	DRAM	-2465.0000	1.7072	(4,208.25)	10.30
7239	05/09/2008	05/14/2008	97750V109	WZE	2000.0000	2.0600	4,120.00	14.78

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7240	10/10/2006	10/13/2006	91359V107	UVIH	1250.0000	3.0024	3,753.00	33.13
7241	07/29/2008	08/01/2008	92532R203	VCSY	70000.0000	0.0620	4,340.00	3.79
7242	11/16/2006	11/21/2006	786449108	SFE	1400.0000	2.4700	3,458.00	47.89
7243	11/29/2006	12/04/2006	207142100	CNXT	-1658.0000	2.1200	(3,514.96)	45.05
7244	12/20/2007	12/26/2007	639208107	NAVR	-2000.0000	2.0610	(4,122.00)	14.71
7245	05/29/2009	06/03/2009	024061103	AXL	-1500.0000	2.0103	(3,015.45)	70.07
7246	06/12/2007	06/15/2007	786449108	SFE	1500.0000	2.4800	3,720.00	34.87
7247	05/19/2009	05/22/2009	30208Q109	EXBX	-81923.0000	0.0530	(4,341.92)	3.78
7248	12/14/2006	12/19/2006	607500105	MDVX	1500.0000	2.0133	3,019.95	69.92
7249	12/13/2006	12/18/2006	91359V107	UVIH	-1250.0000	3.0100	(3,762.50)	32.83
7250	12/12/2006	12/15/2006	957669104	ZIF	-1250.0000	3.0100	(3,762.50)	32.83
7251	09/03/2010	09/09/2010	032839102	ABCW	-5000.0000	0.6301	(3,150.50)	63.43
7252	08/02/2006	08/07/2006	46612J101	JDSU	-2000.0000	1.9795	(3,959.00)	23.05
7253	06/11/2009	06/16/2009	09255T109	ARK	1233.0000	3.0670	3,781.61	31.93
7254	12/18/2006	12/21/2006	318465101	FACT	-2000.0000	2.0701	(4,140.20)	14.04
7255	09/14/2006	09/19/2006	254668106	DSCO	2000.0000	2.0700	4,140.00	14.05
7256	10/23/2007	10/26/2007	927912105	VSCI	-2000.0000	2.0700	(4,140.00)	14.05
7257	10/02/2006	10/05/2006	64439S204	NFEI	-2500.0000	1.2100	(3,025.00)	69.81
7258	10/23/2006	10/26/2006	50575Q102	LTS	3000.0000	1.1800	3,540.00	44.08
7259	10/14/2008	10/17/2008	920961109	VVR	1200.0000	3.6400	4,368.00	2.69
7260	12/28/2006	01/03/2007	282914100	EGHT	-2500.0000	1.2111	(3,027.75)	69.70
7261	01/31/2008	02/05/2008	806732103	SCTN	-37500.0000	0.0650	(2,437.50)	71.17
7262	07/18/2008	07/23/2008	052666104	ADAT	-3750.0000	0.6500	(2,437.50)	71.17
7263	04/03/2007	04/09/2007	667280101	NWACQ	3250.0000	0.7500	2,437.50	71.17
7264	02/16/2007	02/22/2007	04289L107	ARTG	2000.0000	2.2106	4,421.20	0.14
7265	03/06/2009	03/11/2009	060505104	BAC	-1203.0000	3.3400	(4,018.02)	20.30
7266	04/13/2010	04/16/2010	023139108	ABK	1500.0000	2.0250	3,037.50	69.34
7267	08/16/2007	08/21/2007	429906100	HYP	-1300.0000	3.0246	(3,931.98)	24.66
7268	03/02/2009	03/05/2009	060505104	BAC	1200.0000	3.6500	4,380.00	2.29
7269	08/27/2009	09/01/2009	607500105	MDVX	1150.0000	3.6500	4,197.50	11.42
7270	12/28/2007	01/03/2008	16117M107	CHTR	-2000.0000	1.2000	(2,400.00)	71.29
7271	05/13/2009	05/18/2009	370442105	GM	2000.0000	1.2000	2,400.00	71.29
7272	07/08/2010	07/13/2010	47777N101	JOEZ	2000.0000	2.0800	4,160.00	13.31
7273	12/19/2006	12/22/2006	207142100	CNXT	-2000.0000	2.0800	(4,160.00)	13.31
7274	05/27/2010	06/02/2010	693654105	PVFC	-1490.0000	2.0300	(3,024.70)	70.10
7275	08/24/2009	08/27/2009	313586109	FNM	-1600.0000	1.6601	(2,656.16)	71.33
7276	08/06/2007	08/09/2007	247126105	DPHIQ	2500.0000	1.2200	3,050.00	68.86
7277	01/06/2006	01/11/2006	172570103	CXN	-1800.0000	1.0601	(1,908.18)	71.39
7278	05/25/2010	05/28/2010	759720105	RCG	1400.0000	2.5000	3,500.00	46.49
7279	07/27/2009	07/30/2009	44934S107	ICAD	-2500.0000	1.2248	(3,062.00)	68.41
7280	08/31/2007	09/06/2007	888325107	TITT	-11100.0000	0.2200	(2,442.00)	71.51
7281	07/20/2009	07/23/2009	632381208	NCOC	3000.0000	1.1935	3,580.50	42.50
7282	12/21/2006	12/27/2006	786578302	SFLK	17000.0000	0.1437	2,442.90	71.58
7283	12/19/2008	12/24/2008	749941100	RFMD	-2600.0000	0.9400	(2,444.00)	71.67
7284	10/10/2007	10/15/2007	16936G109	CCBX	3000.0000	1.2000	3,600.00	41.73
7285	05/20/2009	05/26/2009	169424108	CNTF	1500.0000	2.0460	3,069.00	68.28
7286	11/09/2006	11/14/2006	549463107	LU	-1361.0000	2.5115	(3,418.15)	50.84
7287	06/20/2007	06/25/2007	053491106	AVAN	-3000.0000	0.8150	(2,445.00)	71.75
7288	07/27/2006	08/01/2006	46612J101	JDSU	-2000.0000	2.0091	(4,018.20)	20.89
7289	11/13/2006	11/16/2006	549463107	LU	-1500.0000	2.5200	(3,780.00)	32.82
7290	08/18/2010	08/23/2010	69423U107	PEIX	5000.0000	0.7988	3,994.00	22.13
7291	11/07/2008	11/13/2008	345370860	F	2000.0000	2.1000	4,200.00	11.84
7292	01/20/2010	01/25/2010	90333L102	RMIX	3000.0000	1.1000	3,300.00	56.87
7293	04/12/2007	04/17/2007	957669104	ZIF	1250.0000	3.0564	3,820.50	30.92
7294	09/15/2010	09/20/2010	665141107	NXPN	400000.0000	0.0092	3,680.00	37.94
7295	02/19/2008	02/22/2008	06830X100	BARX	-2500.0000	1.7500	(4,375.00)	3.20
7296	01/18/2006	01/23/2006	549463107	LU	-1388.0000	2.5200	(3,497.76)	47.06

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7297	09/07/2006	09/12/2006	46612J101	JDSU	-2000.0000	2.0190	(4,038.00)	20.10
7298	09/28/2006	10/03/2006	957541105	WSTL	1500.0000	2.2380	3,357.00	54.15
7299	09/28/2006	10/03/2006	957541105	WSTL	1500.0000	2.2380	3,357.00	54.15
7300	09/28/2006	10/03/2006	957541105	WSTL	1500.0000	2.2380	3,357.00	54.15
7301	04/19/2010	04/22/2010	248231102	DNRR	100000.0000	0.0336	3,360.00	54.02
7302	01/09/2008	01/14/2008	52886N109	LXRA	3000.0000	1.2100	3,630.00	40.55
7303	07/26/2006	07/31/2006	68812R105	OSCI	4000.0000	0.7921	3,168.40	63.64
7304	12/14/2009	12/17/2009	172967101	C	1200.0000	3.6899	4,427.88	0.69
7305	07/27/2009	07/30/2009	163893100	CEMIQ	8450.0000	0.3750	3,168.75	63.64
7306	10/07/2008	10/10/2008	44930M203	ICOP	5000.0000	0.4899	2,449.50	72.09
7307	06/20/2006	06/23/2006	032681108	ANLY	-2000.0000	2.1100	(4,220.00)	11.10
7308	05/12/2009	05/15/2009	543354104	LPIH	5000.0000	0.8000	4,000.00	22.13
7309	10/20/2009	10/23/2009	30050F102	EVSO	5000.0000	0.4900	2,450.00	72.13
7310	09/05/2008	09/10/2008	04349Y107	ASDS	-5000.0000	0.4900	(2,450.00)	72.13
7311	09/15/2008	09/18/2008	04349Y107	ASDS	-5000.0000	0.4900	(2,450.00)	72.13
7312	12/26/2006	12/29/2006	45768R305	IMEN	-2500.0000	0.9800	(2,450.00)	72.13
7313	12/23/2008	12/29/2008	87929J103	TSYS	-500.0000	8.8240	(4,412.00)	1.54
7314	05/16/2007	05/21/2007	318465101	FACT	-2500.0000	1.7570	(4,392.50)	2.53
7315	08/20/2010	08/25/2010	65105M108	NCT	1400.0000	2.5286	3,540.04	45.15
7316	06/01/2009	06/04/2009	293848107	ENTU	-1500.0000	2.0629	(3,094.35)	67.43
7317	08/30/2006	09/05/2006	893929208	TRCR	2000.0000	2.1120	4,224.00	10.96
7318	11/07/2006	11/10/2006	549463107	LU	-1400.0000	2.5300	(3,542.00)	45.08
7319	02/24/2009	02/27/2009	419131107	HAUP	-3301.0000	1.1178	(3,689.86)	37.71
7320	12/06/2007	12/11/2007	923351100	VERA	-5000.0000	0.8000	(4,000.00)	22.22
7321	01/06/2006	01/11/2006	20461S108	CPTC	-2500.0000	1.0300	(2,575.00)	72.30
7322	01/06/2006	01/11/2006	20461S108	CPTC	-2500.0000	1.0300	(2,575.00)	72.30
7323	02/06/2006	02/09/2006	452907108	IMMU	1225.0000	3.2000	3,920.00	26.36
7324	01/18/2006	01/23/2006	565011103	MANU	-2000.0000	2.1200	(4,240.00)	10.37
7325	11/03/2009	11/06/2009	02503Y103	ACAS	-1332.0000	2.5900	(3,449.88)	50.04
7326	11/13/2008	11/18/2008	466090107	JASO	1500.0000	2.0790	3,118.50	66.63
7327	06/05/2006	06/08/2006	98385L102	XSNX	1650.0000	1.3750	2,268.75	72.56
7328	09/07/2007	09/12/2007	452907108	IMMU	1500.0000	2.0800	3,120.00	66.57
7329	01/03/2007	01/08/2007	26205U101	DKAM	1500.0000	2.0800	3,120.00	66.57
7330	06/24/2009	06/29/2009	370442105	GMGMQ	-1800.0000	1.0900	(1,962.00)	72.58
7331	11/06/2009	11/12/2009	97786P100	WOSCY	-2000.0000	2.1300	(4,260.00)	9.63
7332	10/27/2008	10/30/2008	635405103	NCC	-2000.0000	2.1313	(4,262.60)	9.54
7333	02/10/2006	02/15/2006	73931C104	PWTC	-27300.0000	0.0900	(2,457.00)	72.67
7334	03/13/2006	03/16/2006	16117M107	CHTR	-2500.0000	0.9832	(2,458.00)	72.75
7335	07/20/2006	07/25/2006	42217V102	HFIT	-2300.0000	1.7643	(4,057.89)	19.91
7336	04/07/2006	04/12/2006	222814204	DVW	1500.0000	2.0899	3,134.85	66.08
7337	03/01/2010	03/04/2010	458738101	ILI	2000.0000	1.2454	2,490.80	72.87
7338	06/19/2009	06/24/2009	02503Y103	ACAS	-1300.0000	3.1000	(4,030.00)	21.38
7339	03/20/2009	03/25/2009	172967101	C	1400.0000	2.5600	3,584.00	43.68
7340	11/11/2008	11/14/2008	767754104	RAD	6000.0000	0.4100	2,460.00	72.90
7341	08/09/2007	08/14/2007	00509L109	ACUR	-1410.0000	2.2600	(3,186.60)	63.60
7342	05/31/2007	06/05/2007	913513107	UFBV	-5000.0000	0.2700	(1,350.00)	72.95
7343	05/23/2008	05/29/2008	456664309	INOW	-16000.0000	0.1538	(2,460.80)	72.97
7344	04/27/2006	05/02/2006	018680108	AFOP	-2000.0000	2.1435	(4,287.00)	8.64
7345	01/24/2006	01/27/2006	292576105	EDVO	100000.0000	0.0370	3,700.00	38.01
7346	12/05/2008	12/10/2008	595112103	MU	1500.0000	2.0985	3,147.75	65.64
7347	04/16/2010	04/21/2010	30033R108	ESLR	2000.0000	1.2500	2,500.00	73.03
7348	01/08/2009	01/13/2009	345370860	F	1400.0000	2.5680	3,595.20	43.30
7349	12/23/2008	12/29/2008	746853100	PPT	-1157.0000	3.7400	(4,327.18)	6.71
7350	02/25/2009	03/02/2009	607500105	MDVX	1500.0000	2.1000	3,150.00	65.57
7351	05/12/2010	05/17/2010	02311T106	AMOK	2100.0000	1.3700	2,877.00	73.09
7352	03/23/2009	03/26/2009	172967101	C	-1250.0000	3.1100	(3,887.50)	28.73
7353	05/11/2009	05/14/2009	370442105	GM	1620.0000	1.4600	2,365.20	73.11

Acct Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7354	01/25/2010	01/28/2010	82967N108	SIRI	-3620.0000	0.6806	(2,463.77)	73.19
7355	02/01/2007	02/06/2007	05348W109	AVNX	1500.0000	2.2888	3,433.20	51.54
7356	10/16/2006	10/19/2006	456261304	IDSM	-10000.0000	0.3100	(3,100.00)	68.28
7357	10/22/2008	10/27/2008	345370860	F	1500.0000	2.1090	3,163.50	65.12
7358	10/23/2008	10/28/2008	943526301	WAVX	-5000.0000	0.4930	(2,465.00)	73.29
7359	08/02/2006	08/07/2006	549463107	LU	-1500.0000	2.1100	(3,165.00)	65.07
7360	12/14/2006	12/19/2006	901167106	TWTR	1500.0000	2.1100	3,165.00	65.07
7361	11/26/2008	12/02/2008	345370860	F	2000.0000	2.1585	4,317.00	7.54
7362	11/26/2008	12/02/2008	345370860	F	2000.0000	2.1585	4,317.00	7.54
7363	10/04/2006	10/10/2006	549463107	LU	-1622.0000	2.3100	(3,746.82)	36.12
7364	04/30/2009	05/05/2009	313400301	FRE	-3200.0000	0.7710	(2,467.20)	73.46
7365	11/23/2009	11/27/2009	749121109	Q	1175.0000	3.7599	4,417.88	2.58
7366	05/20/2009	05/26/2009	00202J203	APPA	3629.0000	0.6800	2,467.72	73.50
7367	04/30/2007	05/03/2007	232984104	ATC	-1500.0000	2.1180	(3,177.00)	64.66
7368	09/05/2007	09/10/2007	452907108	IMMU	1500.0000	2.1200	3,180.00	64.56
7369	09/26/2008	10/01/2008	30208Q109	EXBX	-22000.0000	0.2000	(4,400.00)	3.57
7370	03/23/2010	03/26/2010	74267T109	PRWP	-10000.0000	0.4400	(4,400.00)	3.57
7371	07/08/2008	07/11/2008	767754104	RAD	2000.0000	1.2680	2,536.00	73.65
7372	05/10/2007	05/15/2007	689890101	OPCO	-3000.0000	1.2600	(3,780.00)	34.67
7373	03/15/2010	03/18/2010	463664607	IRSN	-6500.0000	0.3800	(2,470.00)	73.67
7374	10/10/2008	10/16/2008	670715101	JTP	-1335.0000	2.6200	(3,497.70)	48.80
7375	09/17/2008	09/22/2008	939322103	WM	2000.0000	2.1699	4,339.80	6.70
7376	01/20/2010	01/25/2010	003830106	AXAS	-2000.0000	2.1700	(4,340.00)	6.70
7377	06/05/2009	06/10/2009	280597105	DIET	1785.0000	1.1200	1,999.20	73.72
7378	05/13/2009	05/18/2009	466090107	JASO	-1207.0000	3.4300	(4,140.01)	16.74
7379	07/01/2008	07/07/2008	591257100	MMG	2000.0000	1.6748	3,349.60	56.27
7380	10/23/2008	10/28/2008	026874107	AIG	-1500.0000	2.1300	(3,195.00)	64.06
7381	06/15/2009	06/18/2009	291525103	EMMS	10000.0000	0.3197	3,197.00	63.97
7382	03/09/2009	03/12/2009	269246104	ETFC	6000.0000	0.6000	3,600.00	43.83
7383	08/27/2010	09/01/2010	87161C105	SNV	-1500.0000	2.1310	(3,196.50)	64.02
7384	02/01/2006	02/06/2006	922281100	VSTY	1150.0000	3.7830	4,350.45	6.41
7385	04/09/2009	04/15/2009	370021107	GGWPQ	4000.0000	0.9294	3,717.60	38.09
7386	07/19/2006	07/24/2006	314347105	FLWE	10000.0000	0.3200	3,200.00	64.01
7387	01/23/2008	01/28/2008	207142100	CNXT	4000.0000	0.6187	2,474.80	74.05
7388	11/13/2008	11/18/2008	546347105	LPX	1598.0000	1.7485	2,794.10	74.06
7389	11/24/2008	11/28/2008	345370860	F	2400.0000	1.5485	3,716.40	38.28
7390	08/07/2008	08/12/2008	607500105	MDVX	1600.0000	1.7500	2,800.00	74.11
7391	12/30/2009	01/05/2010	023139108	ABK	-3000.0000	0.8252	(2,475.60)	74.11
7392	06/01/2009	06/04/2009	492515101	KERX	2000.0000	1.2800	2,560.00	74.19
7393	03/13/2006	03/16/2006	367626108	GTW	-2000.0000	2.1900	(4,380.00)	5.22
7394	02/17/2009	02/20/2009	37247D106	GNW	2000.0000	2.1900	4,380.00	5.22
7395	02/17/2009	02/20/2009	370442105	GM	2000.0000	2.1900	4,380.00	5.22
7396	08/11/2008	08/14/2008	65333R200	NXTY	1500.0000	2.1473	3,220.95	63.19
7397	01/14/2010	01/20/2010	04012E107	AGXM	-3000.0000	0.8260	(2,478.00)	74.29
7398	02/22/2007	02/27/2007	367626108	GTW	1500.0000	2.1500	3,225.00	63.06
7399	04/03/2007	04/09/2007	05348W109	AVNX	2000.0000	1.8322	3,664.40	41.18
7400	01/19/2010	01/22/2010	89600B102	TPLM	-8000.0000	0.3100	(2,480.00)	74.45
7401	10/11/2007	10/16/2007	29267A104	ENEI	4000.0000	0.6200	2,480.00	74.45
7402	01/19/2006	01/24/2006	238326102	DNTK	4000.0000	0.6200	2,480.00	74.45
7403	01/04/2006	01/09/2006	089544100	BSKO	2000.0000	1.7000	3,400.00	54.46
7404	09/30/2008	10/03/2008	845905108	SOV	-1140.0000	3.8200	(4,354.80)	6.74
7405	03/13/2006	03/16/2006	464278209	ISEC	2000.0000	2.2000	4,400.00	4.49
7406	03/01/2007	03/06/2007	04289L107	ARTG	1500.0000	2.3397	3,509.55	49.07
7407	11/20/2008	11/25/2008	920961109	VVR	-1400.0000	2.6329	(3,686.06)	40.26
7408	03/06/2006	03/09/2006	225223106	CRAY	1500.0000	2.1600	3,240.00	62.56
7409	11/10/2008	11/14/2008	451663108	IAR	10000.0000	0.2997	2,997.00	74.69
7410	04/16/2009	04/21/2009	026874107	AIG	2000.0000	1.7100	3,420.00	53.74

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7411	04/25/2006	04/28/2006	92342S102	VRDM	9000.0000	0.2760	2,484.00	74.76
7412	08/31/2006	09/06/2006	893929208	TRCR	2000.0000	2.2105	4,421.00	3.72
7413	10/29/2008	11/03/2008	92336G106	VSE	3500.0000	0.7100	2,485.00	74.83
7414	05/10/2006	05/15/2006	37958F209	GTE	-1735.0000	1.1600	(2,012.60)	74.86
7415	10/07/2010	10/13/2010	227692100	CWNR	6000.0000	0.5000	3,000.00	74.88
7416	02/07/2006	02/10/2006	59139P104	MSLV	1300.0000	3.3884	4,404.92	4.65
7417	05/15/2009	05/20/2009	46205P100	IONN	-28000.0000	0.1582	(4,429.60)	3.45
7418	04/26/2010	04/29/2010	099709107	BGP	1500.0000	2.9790	4,468.50	1.57
7419	12/02/2008	12/05/2008	169453107	CTFO	1125.0000	3.9499	4,443.64	2.82
7420	01/17/2008	01/23/2008	74963Q102	RHY	1150.0000	3.8300	4,404.50	4.77
7421	02/13/2009	02/19/2009	345370860	F	2500.0000	1.7586	4,396.50	5.17
7422	07/16/2007	07/19/2007	000875104	ACGJ	7500.0000	0.5800	4,350.00	7.50
7423	03/12/2007	03/15/2007	827692104	SDRG	2000.0000	2.1400	4,280.00	11.00
7424	06/08/2007	06/13/2007	150837409	CVM	5500.0000	0.7699	4,234.45	13.28
7425	08/24/2006	08/29/2006	318120102	FATS	-4000.0000	1.0400	(4,160.00)	17.00
7426	03/13/2007	03/16/2007	827692104	SDRG	2000.0000	2.0500	4,100.00	20.00
7427	10/11/2006	10/16/2006	37229P507	GTOP	-1250.0000	3.2218	(4,027.25)	23.64
7428	04/26/2010	04/29/2010	606710200	MITK	5000.0000	0.8000	4,000.00	25.00
7429	11/19/2008	11/24/2008	345370860	F	3000.0000	1.2900	3,870.00	31.50
7430	01/04/2007	01/09/2007	000875104	ACGJ	7500.0000	0.5100	3,825.00	33.75
7431	07/16/2007	07/19/2007	000875104	ACGJ	7500.0000	0.5100	3,825.00	33.75
7432	09/21/2009	09/24/2009	37245M603	GETA	4500.0000	0.8084	3,637.80	43.11
7433	12/29/2006	01/04/2007	753009307	RANGY	-1500.0000	2.4000	(3,600.00)	45.00
7434	02/06/2009	02/11/2009	579489105	MNI	5000.0000	0.7200	3,600.00	45.00
7435	02/06/2009	02/11/2009	567908108	HZO	2100.0000	1.6999	3,569.79	46.51
7436	10/11/2010	10/14/2010	45773S108	INCT	5000.0000	0.7000	3,500.00	50.00
7437	10/13/2010	10/18/2010	45773S108	INCT	5000.0000	0.7000	3,500.00	50.00
7438	08/24/2006	08/29/2006	318120102	FATS	-3000.0000	1.0400	(3,120.00)	69.00
7439	08/24/2006	08/29/2006	318120102	FATS	-2500.0000	1.0400	(2,600.00)	75.00
7440	10/08/2010	10/14/2010	796043107	SSN	2000.0000	1.2500	2,500.00	75.00
7441	05/01/2009	05/06/2009	29266S106	ELGX	-2000.0000	2.2201	(4,440.20)	3.01
7442	12/12/2008	12/17/2008	345370860	F	2000.0000	2.2200	4,440.00	3.02
7443	02/11/2008	02/14/2008	254668106	DSCO	2000.0000	2.2200	4,440.00	3.02
7444	04/01/2009	04/06/2009	370442105	GM	-2200.0000	1.8800	(4,136.00)	18.22
7445	03/13/2009	03/18/2009	172967101	C	2000.0000	1.7200	3,440.00	53.02
7446	02/15/2006	02/21/2006	97750V109	WIZD	-1600.0000	1.7800	(2,848.00)	75.03
7447	11/13/2008	11/18/2008	345370860	F	-1590.0000	1.7800	(2,830.20)	75.03
7448	10/17/2008	10/22/2008	026874107	AIG	1500.0000	2.1800	3,270.00	61.55
7449	10/29/2009	11/03/2009	837841105	TSFG	3000.0000	0.8295	2,488.50	75.10
7450	05/27/2009	06/01/2009	370442105	GM	-2000.0000	1.3100	(2,620.00)	75.12
7451	03/20/2009	03/25/2009	52729N100	LVL	3508.0000	0.7096	2,489.28	75.16
7452	11/28/2008	12/03/2008	345370860	F	1400.0000	2.6600	3,724.00	38.99
7453	09/18/2009	09/23/2009	68555P100	ORBC	1500.0000	2.6660	3,999.00	25.30
7454	10/10/2006	10/13/2006	82966U103	SIRI	-1150.0000	3.8500	(4,427.50)	3.88
7455	11/26/2008	12/02/2008	109178103	BEXP	-1385.0000	2.6636	(3,689.09)	40.82
7456	07/26/2007	07/31/2007	254668106	DSCO	2000.0000	2.2300	4,460.00	2.28
7457	10/11/2010	10/14/2010	16942H109	SHZ	1650.0000	1.4600	2,409.00	75.34
7458	03/24/2010	03/29/2010	472100106	JYHW	-3400.0000	1.1500	(3,910.00)	29.94
7459	11/03/2006	11/08/2006	855906103	STHK	1600.0000	2.2313	3,570.08	47.02
7460	11/25/2008	12/01/2008	024061103	AXL	-2000.0000	2.2400	(4,480.00)	1.54
7461	03/05/2007	03/08/2007	233078104	DCBI	15000.0000	0.2150	3,225.00	64.30
7462	01/13/2006	01/19/2006	80862K104	SCLN	-1500.0000	2.2020	(3,303.00)	60.45
7463	06/02/2009	06/05/2009	00765H107	ADLS	5000.0000	0.4990	2,495.00	75.60
7464	05/14/2009	05/19/2009	00752H102	ABAT	1300.0000	3.2300	4,199.00	15.72
7465	03/29/2006	04/03/2006	630075109	NGEN	1300.0000	3.2300	4,199.00	15.72
7466	09/29/2009	10/02/2009	989834106	ZF	1250.0000	3.2299	4,037.38	23.80
7467	02/19/2010	02/24/2010	989834106	ZF	-1250.0000	3.2320	(4,040.00)	23.72

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7468	10/09/2006	10/12/2006	316570100	FPP	1500.0000	2.2075	3,311.25	60.17
7469	09/23/2010	09/28/2010	286202205	ETAK	2000.0000	2.2475	4,495.00	0.99
7470	09/23/2010	09/28/2010	286202205	ETAK	2000.0000	2.2475	4,495.00	0.99
7471	09/19/2007	09/24/2007	456784107	IFON	-1500.0000	2.2079	(3,311.85)	60.15
7472	09/14/2010	09/17/2010	87161C105	SNV	-2000.0000	2.2500	(4,500.00)	0.81
7473	09/14/2010	09/17/2010	87161C105	SNV	-2000.0000	2.2500	(4,500.00)	0.81
7474	06/23/2010	06/28/2010	630087104	NNVC	2000.0000	2.2500	4,500.00	0.81
7475	11/13/2007	11/16/2007	46489V104	ISR	2000.0000	2.2500	4,500.00	0.81
7476	09/23/2010	09/28/2010	286202205	ETAK	2000.0000	2.2500	4,500.00	0.81
7477	11/25/2009	12/01/2009	00761J107	ADVC	2000.0000	2.2500	4,500.00	0.81
7478	10/10/2008	10/16/2008	780097721	RBS	2000.0000	1.3300	2,660.00	75.81
7479	03/31/2006	04/05/2006	893782102	TRNT	2000.0000	1.3300	2,660.00	75.81
7480	03/13/2009	03/18/2009	30033R108	ESLR	-2000.0000	1.3300	(2,660.00)	75.81
7481	02/13/2008	02/19/2008	767754104	RAD	1400.0000	2.6885	3,763.90	37.66
7482	06/29/2007	07/05/2007	229050109	CYRX	2000.0000	1.7500	3,500.00	50.87
7483	04/06/2010	04/09/2010	90333L102	RMIX	-10000.0000	0.4007	(4,007.00)	25.55
7484	12/27/2006	01/02/2007	247361108	DALRQ	3000.0000	1.3300	3,990.00	26.42
7485	03/24/2009	03/27/2009	316773100	FITB	-2000.0000	2.2550	(4,510.00)	0.44
7486	05/14/2009	05/19/2009	026874107	AIG	1600.0000	1.8100	2,896.00	75.96
7487	12/16/2008	12/19/2008	229393301	CYRV	5000.0000	0.5000	2,500.00	75.99
7488	10/01/2008	10/06/2008	939322103	WAMUQ	-25000.0000	0.1000	(2,500.00)	75.99
7489	12/01/2008	12/04/2008	832727101	SSCC	5000.0000	0.5000	2,500.00	75.99
7490	02/16/2006	02/22/2006	278744107	ECSL	-10000.0000	0.2500	(2,500.00)	75.99
7491	03/28/2008	04/02/2008	98142E508	WDAM	100000.0000	0.0025	2,500.00	75.99
7492	04/21/2006	04/26/2006	452467103	IMNL	10000.0000	0.2500	2,500.00	75.99
7493	03/07/2008	03/12/2008	806732103	SCTN	-25000.0000	0.1000	(2,500.00)	75.99
7494	12/14/2009	12/17/2009	766714109	RSMI	-25000000.0000	0.0001	(2,500.00)	75.99
7495	02/01/2006	02/06/2006	68283P109	ONSC	-10000.0000	0.2500	(2,500.00)	75.99
7496	08/03/2010	08/06/2010	37990W103	GHLV	10000.0000	0.2500	2,500.00	75.99
7497	06/14/2007	06/19/2007	389465105	GRMK	5000.0000	0.5000	2,500.00	75.99
7498	03/12/2009	03/17/2009	85771Q100	STCAE	10000.0000	0.2500	2,500.00	75.99
7499	08/26/2008	08/29/2008	53123T107	LBSU	100000.0000	0.0250	2,500.00	75.99
7500	12/14/2009	12/17/2009	58489M109	MDMN	100000.0000	0.0250	2,500.00	75.99
7501	05/09/2006	05/12/2006	243778107	DPRK	-12500.0000	0.2000	(2,500.00)	75.99
7502	07/06/2009	07/09/2009	19105E103	CGCA	-5000.0000	0.5000	(2,500.00)	75.99
7503	09/01/2009	09/04/2009	591556105	MTIZ	-500000.0000	0.0050	(2,500.00)	75.99
7504	04/18/2008	04/23/2008	285716106	EGMI	5000.0000	0.5000	2,500.00	75.99
7505	07/07/2010	07/12/2010	767754104	RAD	4000.0000	0.8738	3,495.20	51.24
7506	01/25/2007	01/30/2007	388707101	GOJO	-15000.0000	0.1667	(2,500.50)	76.03
7507	04/02/2009	04/07/2009	607500105	MDVX	1500.0000	2.2200	3,330.00	59.54
7508	03/20/2009	03/25/2009	025676206	AEL	1150.0000	3.8900	4,473.50	2.38
7509	11/27/2006	11/30/2006	254668106	DSCO	-2000.0000	2.2600	(4,520.00)	0.07
7510	01/11/2010	01/14/2010	803893106	SATC	-1400.0000	2.6979	(3,777.06)	37.22
7511	10/18/2010	10/21/2010	871655106	SYPR	-1285.0000	3.2500	(4,176.25)	17.29
7512	02/06/2009	02/11/2009	294113105	EESO	132000.0000	0.0245	3,234.00	64.40
7513	05/18/2007	05/23/2007	70212G101	PDRT	-1400.0000	2.7014	(3,781.96)	37.05
7514	03/23/2009	03/26/2009	227046109	CROX	-1800.0000	1.1906	(2,143.08)	76.17
7515	07/13/2007	07/18/2007	470080409	JAGH	2325.0000	1.1900	2,766.75	76.26
7516	04/01/2009	04/06/2009	370442105	GM	-1550.0000	1.8200	(2,821.00)	76.27
7517	11/18/2009	11/23/2009	70335Y104	PCAP	-1150.0000	3.9023	(4,487.65)	1.91
7518	05/19/2008	05/22/2008	74269U104	PKT	1500.0000	2.2300	3,345.00	59.04
7519	11/04/2008	11/07/2008	192108108	CDE	4000.0000	0.7075	2,830.00	76.31
7520	05/09/2008	05/14/2008	885218107	TMA	-3207.0000	0.8831	(2,832.10)	76.46
7521	04/14/2008	04/17/2008	27944M103	EDNE	4000.0000	0.8100	3,240.00	64.47
7522	03/16/2009	03/19/2009	517834107	LVS	1500.0000	2.2400	3,360.00	58.54
7523	02/17/2006	02/23/2006	976524108	WTT	-1400.0000	2.7200	(3,808.00)	36.18
7524	08/14/2006	08/17/2006	053491106	AVAN	-2300.0000	1.4000	(3,220.00)	65.58

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7525	03/20/2009	03/25/2009	345370860	F	1500.0000	2.7260	4,089.00	22.22
7526	02/13/2009	02/19/2009	02503Y103	ACAS	-1432.0000	2.2800	(3,264.96)	63.42
7527	12/16/2008	12/19/2008	09065G107	BFRM	-4000.0000	0.9030	(3,612.00)	46.10
7528	08/27/2008	09/02/2008	488360108	KEM	-2600.0000	1.6700	(4,342.00)	9.62
7529	08/28/2009	09/02/2009	68555P100	ORBC	1500.0000	2.2489	3,373.35	58.09
7530	09/17/2008	09/22/2008	026874107	AIG	1500.0000	2.2500	3,375.00	58.04
7531	01/31/2008	02/05/2008	317923100	FINL	-1450.0000	2.2500	(3,262.50)	63.67
7532	01/11/2008	01/16/2008	83490A100	SOPW	1150.0000	3.9283	4,517.55	0.93
7533	10/14/2008	10/17/2008	85771Q100	STCA	7000.0000	0.4200	2,940.00	76.87
7534	04/29/2009	05/04/2009	370442105	GM	-1532.0000	1.8810	(2,881.69)	77.00
7535	09/14/2010	09/17/2010	594960106	MVIS	-1500.0000	2.2603	(3,390.45)	57.52
7536	04/08/2009	04/14/2009	871655106	SYPR	5000.0000	0.6500	3,250.00	64.60
7537	03/27/2009	04/01/2009	345370860	F	1325.0000	2.8470	3,772.28	38.53
7538	05/06/2008	05/09/2008	92933E108	WSFG	1300.0000	3.3000	4,290.00	12.68
7539	09/17/2009	09/22/2009	02503Y103	ACAS	-1274.0000	3.3000	(4,204.20)	16.97
7540	10/18/2006	10/23/2006	83426W101	SOLM	2300.0000	1.4200	3,266.00	63.88
7541	09/12/2008	09/17/2008	034393108	ANDR	-52500.0000	0.0853	(4,478.25)	3.28
7542	10/15/2007	10/18/2007	459458105	IENI	2000.0000	1.7975	3,595.00	47.46
7543	03/19/2009	03/24/2009	224916106	CIK	1500.0000	2.2682	3,402.30	57.13
7544	01/08/2009	01/13/2009	64190A103	NRO	1500.0000	2.2680	3,402.00	57.14
7545	02/21/2006	02/24/2006	976524108	WTT	-1400.0000	2.7500	(3,850.00)	34.77
7546	10/28/2008	10/31/2008	15133V208	CYCL	1500.0000	2.2700	3,405.00	57.04
7547	08/25/2009	08/28/2009	313400301	FRE	-1500.0000	2.2700	(3,405.00)	57.04
7548	07/19/2007	07/24/2007	284683109	EDEX	100000.0000	0.0350	3,500.00	52.37
7549	06/21/2006	06/26/2006	742852205	PDSC	-25000.0000	0.1400	(3,500.00)	52.37
7550	07/18/2007	07/23/2007	598626307	MKRS	3500.0000	0.9300	3,255.00	64.65
7551	07/17/2009	07/22/2009	210502100	CPSS	-5000.0000	0.5037	(2,518.50)	77.42
7552	09/27/2010	09/30/2010	86806M205	SUPR	-3000.0000	0.8395	(2,518.50)	77.42
7553	10/10/2008	10/16/2008	095333100	BLU	-2025.0000	2.0401	(4,131.20)	20.93
7554	05/04/2006	05/09/2006	549463107	LU	-1400.0000	2.7600	(3,864.00)	34.31
7555	02/08/2007	02/13/2007	125750109	CMGI	-2000.0000	1.3800	(2,760.00)	77.55
7556	11/29/2007	12/04/2007	29256X107	ENCY	3000.0000	0.9495	2,848.50	77.59
7557	10/02/2006	10/05/2006	359528205	FLNA	15000.0000	0.1900	2,850.00	77.70
7558	03/18/2010	03/23/2010	759720105	RCG	1400.0000	2.7696	3,877.44	33.86
7559	04/12/2010	04/15/2010	82967N108	SIRI	-2500.0000	1.0000	(2,500.00)	77.74
7560	12/04/2006	12/07/2006	131347106	CPNLQ	-2500.0000	1.0000	(2,500.00)	77.74
7561	03/27/2007	03/30/2007	367626108	GTW	1500.0000	2.2900	3,435.00	56.03
7562	03/27/2007	03/30/2007	367626108	GTW	1500.0000	2.2900	3,435.00	56.03
7563	08/11/2009	08/14/2009	52729N100	LVLTL	-3383.0000	1.2200	(4,127.26)	21.44
7564	08/16/2010	08/19/2010	023139108	ABK	-5000.0000	0.6523	(3,261.50)	64.73
7565	12/10/2008	12/15/2008	19247V104	RPF	-1265.0000	3.3300	(4,212.45)	17.20
7566	07/16/2007	07/19/2007	269437208	EAGB	-40000.0000	0.0713	(2,852.00)	77.84
7567	01/22/2010	01/27/2010	03073V107	AMTY	5000.0000	0.5359	2,679.50	77.85
7568	03/09/2006	03/14/2006	247361108	DALRQ	5000.0000	0.5050	2,525.00	77.92
7569	01/07/2010	01/12/2010	903385102	HTM	-1700.0000	1.5401	(2,618.17)	77.97
7570	11/16/2006	11/21/2006	59139P104	MSLV	-1116.0000	3.8357	(4,280.64)	13.96
7571	02/01/2008	02/06/2008	05348W109	AVNX	-3000.0000	0.7660	(2,298.00)	78.00
7572	10/01/2010	10/06/2010	422246306	HEME	10000.0000	0.3265	3,265.00	64.77
7573	10/31/2008	11/05/2008	345370860	F	1500.0000	2.3000	3,450.00	55.53
7574	07/23/2009	07/28/2009	76028H100	RPRX	1300.0000	3.3400	4,342.00	10.94
7575	12/24/2009	12/30/2009	172967101	C	1250.0000	3.3399	4,174.88	19.30
7576	03/17/2009	03/20/2009	02503Y103	ACAS	2000.0000	1.0500	2,100.00	78.09
7577	07/09/2007	07/12/2007	125750109	CMGI	-2250.0000	1.9500	(4,387.50)	8.72
7578	09/15/2009	09/18/2009	85517P101	STSI	-2553.0000	0.9900	(2,527.47)	78.11
7579	05/06/2009	05/11/2009	865378103	SUF	2500.0000	1.0100	2,525.00	78.13
7580	09/07/2010	09/10/2010	69924P102	PZG	3000.0000	1.4000	4,200.00	18.18
7581	04/16/2007	04/19/2007	62856W104	MYRA	10000.0000	0.4500	4,500.00	3.20

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7582	01/11/2008	01/16/2008	30208Q109	EXBX	-50000.0000	0.0900	(4,500.00)	3.20
7583	01/11/2006	01/17/2006	461194102	IESV	90000.0000	0.0500	4,500.00	3.20
7584	09/02/2009	09/08/2009	64190A103	NRO	-1409.0000	2.4920	(3,511.23)	52.65
7585	06/10/2009	06/15/2009	30033R108	ESLR	1600.0000	2.3390	3,742.40	41.11
7586	10/30/2006	11/02/2006	45770X100	IFUL	-1500.0000	2.3080	(3,462.00)	55.13
7587	10/14/2008	10/17/2008	25537X106	DCW	-1250.0000	3.3510	(4,188.75)	18.84
7588	02/13/2009	02/19/2009	74963F106	RMR	-1500.0000	2.3111	(3,466.65)	54.97
7589	11/10/2009	11/16/2009	86768K106	SRZ	-1760.0000	2.5410	(4,472.16)	4.81
7590	03/09/2009	03/12/2009	780097713	RBS.PRT	-1400.0000	2.8000	(3,920.00)	32.43
7591	10/14/2009	10/19/2009	389375106	GTN	1500.0000	2.3180	3,477.00	54.63
7592	09/28/2009	10/01/2009	90262T308	UCBH	-4000.0000	0.8800	(3,520.00)	52.53
7593	12/20/2007	12/26/2007	957669104	ZIF	-1500.0000	2.3201	(3,480.15)	54.52
7594	01/16/2007	01/19/2007	00253U107	ASTM	-2000.0000	1.4100	(2,820.00)	78.58
7595	05/27/2009	06/01/2009	370442105	GM	-1776.0000	1.2600	(2,237.76)	78.58
7596	04/29/2009	05/04/2009	024061103	AXL	-2000.0000	1.0640	(2,128.00)	78.60
7597	11/26/2008	12/02/2008	345370860	F	2000.0000	1.8485	3,697.00	43.78
7598	11/17/2009	11/20/2009	25382P109	DGLY	-1600.0000	1.9000	(3,040.00)	76.63
7599	09/26/2006	09/29/2006	23126R101	CRGN	1300.0000	3.3700	4,381.00	9.63
7600	11/12/2008	11/17/2008	749121109	Q	-1386.0000	2.8115	(3,896.74)	33.86
7601	09/16/2010	09/21/2010	957541105	WSTL	-1500.0000	2.3287	(3,493.05)	54.09
7602	11/12/2009	11/17/2009	353487200	FCMC	5000.0000	0.8600	4,300.00	13.75
7603	03/23/2009	03/26/2009	19247L106	RQI	-1519.0000	2.0000	(3,038.00)	76.86
7604	03/11/2009	03/16/2009	989834106	ZF	1500.0000	2.3300	3,495.00	54.02
7605	09/11/2009	09/16/2009	71902E109	PNX	1500.0000	2.8190	4,228.50	17.44
7606	06/22/2009	06/25/2009	00752H102	ABAT	-1300.0000	3.3800	(4,394.00)	9.20
7607	01/26/2010	01/29/2010	852061100	S	-1237.0000	3.4110	(4,219.41)	17.99
7608	08/28/2008	09/03/2008	221485105	CPWM	-1750.0000	1.2713	(2,224.78)	78.98
7609	10/02/2006	10/05/2006	247361108	DALRQ	-2000.0000	1.2500	(2,500.00)	79.00
7610	07/23/2009	07/28/2009	254668106	DSCO	6100.0000	0.5382	3,283.02	64.98
7611	09/21/2010	09/24/2010	837841105	TSFG	-12000.0000	0.2943	(3,531.60)	52.62
7612	11/17/2009	11/20/2009	00754E107	API	-4100.0000	0.6200	(2,542.00)	79.23
7613	08/28/2009	09/02/2009	313400301	FRE	-1500.0000	2.3501	(3,525.15)	53.01
7614	05/12/2010	05/17/2010	811707306	SBCF	1500.0000	2.3500	3,525.00	53.02
7615	05/05/2009	05/08/2009	023912108	AMFI	2000.0000	1.4300	2,860.00	79.28
7616	05/18/2007	05/23/2007	009213109	ATPN	-10000.0000	0.3500	(3,500.00)	54.32
7617	07/28/2009	07/31/2009	91688T104	URZ	1550.0000	1.9273	2,987.32	79.35
7618	01/19/2010	01/22/2010	174420109	CRBC	3000.0000	0.8479	2,543.70	79.36
7619	04/08/2009	04/14/2009	59318T109	CIF	-1630.0000	1.6223	(2,644.35)	79.50
7620	12/04/2008	12/09/2008	845905108	SOV	-1500.0000	2.3615	(3,542.25)	52.44
7621	03/02/2009	03/05/2009	800677106	SGMO	-1300.0000	3.4120	(4,435.60)	7.81
7622	11/18/2009	11/23/2009	319383105	BUSE	-1236.0000	3.4500	(4,264.20)	16.38
7623	01/31/2006	02/03/2006	125318105	THK	1460.0000	2.3700	3,460.20	56.76
7624	03/22/2010	03/25/2010	64190A103	NRO	-1281.0000	3.4210	(4,382.30)	10.67
7625	05/08/2008	05/13/2008	60443P103	BUF	2000.0000	1.8899	3,779.80	40.81
7626	02/13/2007	02/16/2007	92833K204	VGYI	7500.0000	0.4100	3,075.00	76.06
7627	01/29/2007	02/01/2007	388113102	GLIF	-43252.5000	0.0800	(3,460.20)	56.82
7628	09/30/2008	10/03/2008	767754104	RAD	3000.0000	0.8500	2,550.00	79.85
7629	04/05/2006	04/10/2006	03841U100	AQGC	5100.0000	0.5000	2,550.00	79.85
7630	02/17/2006	02/23/2006	123720104	BUKS	5000.0000	0.5100	2,550.00	79.85
7631	07/06/2006	07/11/2006	380709105	GDRHA	15000.0000	0.1700	2,550.00	79.85
7632	12/08/2006	12/13/2006	007928104	ADVR	-75000.0000	0.0340	(2,550.00)	79.85
7633	09/12/2007	09/17/2007	88428A106	TDON	5000.0000	0.5100	2,550.00	79.85
7634	06/11/2007	06/14/2007	983674102	XAIN	5000.0000	0.5100	2,550.00	79.85
7635	01/26/2006	01/31/2006	576818108	MZT	3000.0000	0.8500	2,550.00	79.85
7636	11/13/2009	11/18/2009	591556105	MTIZ	-500000.0000	0.0051	(2,550.00)	79.85
7637	06/17/2008	06/20/2008	630087104	NNVC	1650.0000	1.6000	2,640.00	79.93
7638	06/16/2006	06/21/2006	85857R105	STEM	1570.0000	1.9500	3,061.50	76.88

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7639	03/10/2009	03/13/2009	172967101	C	1800.0000	1.3000	2,340.00	79.97
7640	09/06/2006	09/11/2006	197779101	CBRX	1500.0000	2.8691	4,303.65	14.82
7641	04/05/2006	04/10/2006	549463107	LU	-1334.0000	3.1101	(4,148.87)	22.56
7642	05/27/2009	06/01/2009	781748108	RURL	1500.0000	2.4393	3,658.95	47.05
7643	05/27/2009	06/01/2009	781748108	RURL	1500.0000	2.4113	3,616.95	49.15
7644	03/14/2006	03/17/2006	549463107	LU	-1348.0000	2.8701	(3,868.89)	36.61
7645	03/05/2009	03/10/2009	45928H106	ICO	-2500.0000	1.5001	(3,750.25)	42.54
7646	12/11/2008	12/16/2008	26613Q106	DFT	2000.0000	1.9000	3,800.00	40.09
7647	07/16/2009	07/21/2009	35907K105	FTBK	-5000.0000	0.7663	(3,831.50)	38.55
7648	10/18/2010	10/21/2010	867328502	SNSS	10000.0000	0.3299	3,299.00	65.17
7649	09/30/2009	10/05/2009	64190A103	NRO	-1333.0000	2.9200	(3,892.36)	35.52
7650	10/16/2008	10/21/2008	40108N106	GFG	1334.0000	2.9150	3,888.61	35.77
7651	02/21/2007	02/26/2007	232984104	ATC	-2100.0000	2.0890	(4,386.90)	10.88
7652	06/27/2008	07/02/2008	767754104	RAD	2000.0000	1.4580	2,916.00	80.26
7653	04/04/2007	04/10/2007	667280101	NWACQ	5000.0000	0.7100	3,550.00	52.77
7654	08/06/2007	08/09/2007	82966U103	SIRI	-1350.0000	2.8800	(3,888.00)	35.88
7655	03/15/2010	03/18/2010	598251106	MBHI	-10000.0000	0.3101	(3,101.00)	75.26
7656	06/02/2009	06/05/2009	767754104	RAD	2000.0000	1.4600	2,920.00	80.32
7657	10/01/2008	10/06/2008	691828107	OXGN	1784.0000	1.3110	2,338.82	80.36
7658	09/11/2007	09/14/2007	221485105	CPWM	-1150.0000	3.9431	(4,534.57)	3.73
7659	04/27/2007	05/02/2007	206710204	CCUR	2500.0000	1.6222	4,055.50	27.73
7660	06/05/2009	06/10/2009	169424108	CNTF	1500.0000	2.4000	3,600.00	50.51
7661	07/06/2009	07/09/2009	45814P105	IBNK	-2000.0000	1.1160	(2,232.00)	80.51
7662	03/03/2008	03/06/2008	00167K500	ADL	-1350.0000	2.8901	(3,901.64)	35.44
7663	06/18/2007	06/21/2007	82966U103	SIRI	-1500.0000	2.8900	(4,335.00)	13.78
7664	07/20/2006	07/25/2006	45768R305	INFN	-3000.0000	0.8533	(2,559.90)	80.61
7665	11/26/2007	11/29/2007	141597104	CDIC	-11381.0000	0.4000	(4,552.40)	3.00
7666	01/19/2006	01/24/2006	238326102	DNTK	4000.0000	0.6400	2,560.00	80.62
7667	06/27/2006	06/30/2006	368689105	GLGC	-2600.0000	1.2900	(3,354.00)	62.96
7668	11/12/2008	11/17/2008	466090107	JASO	1500.0000	2.4090	3,613.50	50.06
7669	03/20/2008	03/26/2008	82966U103	SIRI	-1400.0000	2.9000	(4,060.00)	27.75
7670	11/06/2008	11/12/2008	67060N204	NTRZ	6250.0000	0.4100	2,562.50	80.81
7671	06/15/2009	06/18/2009	82967N108	SIRI	-10000.0000	0.3311	(3,311.00)	65.31
7672	06/29/2006	07/05/2006	82669P104	SGN	1500.0000	2.4200	3,630.00	49.51
7673	12/18/2007	12/21/2007	957669104	ZIF	1500.0000	2.4200	3,630.00	49.51
7674	09/22/2009	09/25/2009	14067D102	CPST	2000.0000	1.4800	2,960.00	81.01
7675	03/02/2009	03/05/2009	442487203	HOV	-3000.0000	0.8550	(2,565.00)	81.01
7676	08/06/2008	08/11/2008	31787A101	FNSR	1800.0000	1.3300	2,394.00	81.02
7677	09/23/2010	09/28/2010	903213106	UQM	-1435.0000	2.4909	(3,574.44)	52.31
7678	09/30/2009	10/05/2009	125581108	CIT	-3000.0000	1.4906	(4,471.80)	7.51
7679	05/05/2008	05/08/2008	86126T203	STKR	-4277.0000	0.6000	(2,566.20)	81.10
7680	09/19/2006	09/22/2006	131347106	CPNLQ	-11000.0000	0.3500	(3,850.00)	38.62
7681	01/06/2006	01/11/2006	20461S108	CPTC	-2590.0000	1.0300	(2,667.70)	81.19
7682	12/18/2007	12/21/2007	40227P107	GFET	1634.0000	1.6600	2,712.44	81.22
7683	01/05/2009	01/08/2009	82967N108	SIRI	-20000.0000	0.1284	(2,568.00)	81.24
7684	12/14/2006	12/19/2006	607500105	MDVX	1600.0000	2.0000	3,200.00	71.28
7685	08/08/2008	08/13/2008	82967N108	SIRI	-2538.0000	1.3100	(3,324.78)	65.07
7686	03/18/2009	03/23/2009	370442105	GM	-1500.0000	2.4330	(3,649.50)	48.86
7687	05/19/2009	05/22/2009	00850H103	GRO	1800.0000	2.1500	3,870.00	37.88
7688	08/24/2006	08/29/2006	957541105	WSTL	1500.0000	2.6243	3,936.45	34.63
7689	08/24/2006	08/29/2006	957541105	WSTL	1500.0000	2.6243	3,936.45	34.63
7690	03/07/2006	03/10/2006	313549107	FDMLQ	9000.0000	0.3690	3,321.00	65.42
7691	11/20/2008	11/25/2008	345370860	F	-1708.0000	1.4500	(2,476.60)	81.49
7692	12/04/2006	12/07/2006	750231102	RENG	5000.0000	0.7200	3,600.00	51.51
7693	10/05/2006	10/11/2006	21254V100	CSHD	-1600.0000	2.0100	(3,216.00)	70.74
7694	02/20/2009	02/25/2009	195493309	CNB	10000.0000	0.4573	4,573.00	2.93
7695	11/03/2006	11/08/2006	206710204	CCUR	2000.0000	2.0958	4,191.60	22.02

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7696	12/11/2006	12/14/2006	282914100	EGHT	-2500.0000	1.5500	(3,875.00)	37.85
7697	01/31/2007	02/05/2007	206710204	CCUR	2000.0000	1.6358	3,271.60	68.02
7698	01/31/2007	02/05/2007	206710204	CCUR	2000.0000	1.6358	3,271.60	68.02
7699	09/15/2006	09/20/2006	62944W207	NVIC	2500.0000	1.1000	2,750.00	81.60
7700	02/20/2009	02/25/2009	583840103	MTL	1250.0000	3.5080	4,385.00	12.40
7701	07/11/2006	07/14/2006	16117M107	CHTR	-3500.0000	1.1700	(4,095.00)	26.95
7702	09/17/2009	09/22/2009	37250U201	GMET	2000.0000	1.5000	3,000.00	81.71
7703	01/16/2007	01/19/2007	27944M103	EDNE	-2000.0000	1.5000	(3,000.00)	81.71
7704	10/08/2010	10/14/2010	53123T206	LBSR	50000.0000	0.0665	3,325.00	65.47
7705	07/29/2008	08/01/2008	065908501	BBX	-3000.0000	1.5100	(4,530.00)	5.23
7706	01/25/2006	01/30/2006	225223106	CRAY	-1500.0000	2.4500	(3,675.00)	48.00
7707	09/30/2008	10/03/2008	767754104	RAD	3000.0000	0.8583	2,574.90	81.77
7708	09/17/2008	09/22/2008	026874107	AIG	-1500.0000	2.4512	(3,676.80)	47.94
7709	08/18/2009	08/21/2009	47023A101	JMBA	3000.0000	1.0000	3,000.00	81.84
7710	08/19/2009	08/24/2009	19105E103	CGCA	3000.0000	1.0000	3,000.00	81.84
7711	03/19/2009	03/24/2009	370442105	GM	1500.0000	2.9500	4,425.00	10.69
7712	06/19/2006	06/22/2006	536778103	LTWV	23200.0000	0.1500	3,480.00	58.00
7713	06/13/2007	06/18/2007	439338203	HPNN	185000.0000	0.0180	3,330.00	65.53
7714	10/14/2008	10/17/2008	767754104	RAD	5000.0000	0.7735	3,867.50	38.69
7715	05/03/2006	05/08/2006	45811E103	IESRQ	-1740.0000	1.3600	(2,366.40)	82.06
7716	05/03/2006	05/08/2006	45811E103	IESRQ	-1740.0000	1.3600	(2,366.40)	82.06
7717	08/31/2006	09/06/2006	461214108	SNAK	-2000.0000	2.1700	(4,340.00)	15.08
7718	07/12/2006	07/17/2006	62544S200	MCET	-10048.0000	0.3850	(3,868.48)	38.69
7719	11/04/2009	11/09/2009	66704R100	NRF	1300.0000	3.5300	4,589.00	2.67
7720	03/11/2009	03/16/2009	989834106	ZF	1501.0000	2.2370	3,357.74	64.23
7721	03/04/2010	03/09/2010	472100106	JYHW	-3000.0000	1.0083	(3,024.90)	80.89
7722	10/07/2010	10/13/2010	013904305	ALU	-1268.0000	3.5315	(4,477.94)	8.26
7723	08/17/2006	08/22/2006	093440105	BESV	-3000.0000	1.1750	(3,525.00)	55.94
7724	05/07/2009	05/12/2009	370442105	GM	1700.0000	1.6700	2,839.00	82.23
7725	03/28/2008	04/02/2008	87162Q103	SYBD	3000.0000	0.8603	2,580.90	82.23
7726	11/17/2009	11/20/2009	549333201	LEI	-3850.0000	0.6704	(2,581.04)	82.24
7727	04/17/2009	04/22/2009	45928H106	ICO	2000.0000	1.9799	3,959.80	34.34
7728	02/20/2009	02/25/2009	060505104	BAC	-1290.0000	3.5400	(4,566.60)	4.01
7729	01/12/2010	01/15/2010	172967101	C	1250.0000	3.5399	4,424.88	11.10
7730	08/10/2007	08/15/2007	131347106	CPNLQ	-1400.0000	2.9700	(4,158.00)	24.47
7731	08/15/2007	08/20/2007	367626108	GTW	-3500.0000	1.1900	(4,165.00)	24.15
7732	10/22/2007	10/25/2007	367220100	GSX	-2100.0000	2.1704	(4,557.84)	4.59
7733	08/24/2006	08/29/2006	957541105	WSTL	1500.0000	2.6650	3,997.50	32.63
7734	03/06/2009	03/11/2009	172967101	C	-3000.0000	1.0200	(3,060.00)	79.53
7735	06/04/2009	06/09/2009	172967101	C	-1297.0000	3.5500	(4,604.35)	2.33
7736	09/17/2009	09/22/2009	099469504	WEL	1700.0000	1.6800	2,856.00	82.56
7737	06/03/2009	06/08/2009	530158104	USA	-1245.0000	3.5510	(4,421.00)	11.53
7738	01/20/2010	01/25/2010	598251106	MBHI	-5000.0000	0.6205	(3,102.50)	77.47
7739	01/17/2006	01/20/2006	86880T100	SRGG	-1550.0000	2.0500	(3,177.50)	73.73
7740	08/12/2009	08/17/2009	02503Y103	ACAS	-1332.0000	3.0400	(4,049.28)	30.22
7741	12/01/2006	12/06/2006	901167106	TWTR	1500.0000	2.4880	3,732.00	46.09
7742	02/18/2009	02/23/2009	37247D106	GNW	2000.0000	1.9960	3,992.00	33.18
7743	01/18/2008	01/24/2008	05348W109	AVNX	3000.0000	1.1176	3,352.80	65.16
7744	03/09/2010	03/12/2010	55307U107	NNUT	-1719.0000	2.3000	(3,953.70)	35.20
7745	01/24/2006	01/27/2006	156732208	CERP	7000.0000	0.3700	2,590.00	82.94
7746	05/24/2006	05/30/2006	968077107	WBRS	37000.0000	0.0700	2,590.00	82.94
7747	06/03/2009	06/08/2009	86686U103	SNRV	-1500.0000	2.5000	(3,750.00)	45.49
7748	02/04/2009	02/09/2009	345370860	F	2000.0000	2.0060	4,012.00	32.46
7749	04/11/2007	04/16/2007	318465101	FACT	-2250.0000	1.6133	(3,629.93)	51.56
7750	07/02/2009	07/08/2009	313400301	FRE	4000.0000	0.6479	2,591.60	83.06
7751	01/25/2010	01/28/2010	58447E108	MEDG	9213.0000	0.5000	4,606.50	2.79
7752	01/10/2006	01/13/2006	00104G109	AESP	50000.0000	0.0720	3,600.00	53.15

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7753	07/25/2007	07/30/2007	462070103	IOTN	-1276.0000	3.5800	(4,568.08)	4.80
7754	07/25/2007	07/30/2007	462070103	IOTN	-1251.0000	3.5800	(4,478.58)	9.27
7755	12/19/2008	12/24/2008	64126L108	NOX	-1400.0000	3.0060	(4,208.40)	22.78
7756	08/01/2006	08/04/2006	74338M109	PGRD	-1650.0000	1.7000	(2,805.00)	83.21
7757	03/13/2009	03/18/2009	125581108	CIT	1500.0000	2.5095	3,764.25	45.02
7758	04/11/2007	04/16/2007	318465101	FACT	-2250.0000	1.6201	(3,645.23)	51.01
7759	11/26/2008	12/02/2008	169453107	CTFO	625.0000	3.9099	2,443.69	83.33
7760	12/24/2008	12/30/2008	920961109	VVR	-1494.0000	2.5145	(3,756.66)	45.52
7761	06/03/2008	06/06/2008	184681104	CSKH	-3000.0000	1.0433	(3,129.90)	76.86
7762	02/28/2007	03/05/2007	206710204	CCUR	2000.0000	1.6967	3,393.40	63.73
7763	02/18/2010	02/23/2010	52729N100	LVL	-1750.0000	1.4000	(2,450.00)	83.45
7764	04/18/2007	04/23/2007	192185106	CFPC	-2500.0000	1.6100	(4,025.00)	32.21
7765	08/01/2007	08/06/2007	33938W206	FLXT	2500.0000	1.1480	2,870.00	83.46
7766	09/26/2007	10/01/2007	05348W109	AVNX	2280.0000	1.7524	3,995.47	33.70
7767	09/21/2009	09/24/2009	062128103	BOFL	-1500.0000	2.5226	(3,783.90)	44.36
7768	06/06/2006	06/09/2006	45885A102	IIP	2000.0000	1.1999	2,399.80	83.59
7769	10/06/2006	10/12/2006	05348W109	AVNX	-2000.0000	1.4732	(2,946.40)	83.60
7770	09/24/2008	09/29/2008	939322103	WM	1500.0000	2.5255	3,788.25	44.21
7771	03/16/2009	03/19/2009	172967101	C	1550.0000	2.0900	3,239.50	71.69
7772	06/07/2007	06/12/2007	269437208	EAGB	-17274.0000	0.1700	(2,936.58)	83.71
7773	05/22/2007	05/25/2007	750231102	RENG	-10000.0000	0.2600	(2,600.00)	83.71
7774	04/05/2010	04/08/2010	74440R103	PTOS	10000.0000	0.2600	2,600.00	83.71
7775	08/18/2009	08/21/2009	195493309	CBCG	-20000.0000	0.1300	(2,600.00)	83.71
7776	09/19/2006	09/22/2006	470080409	JAGH	10000.0000	0.2600	2,600.00	83.71
7777	05/12/2009	05/15/2009	14521N100	CSBI	-10000.0000	0.2600	(2,600.00)	83.71
7778	01/18/2006	01/23/2006	895891109	QBID	26000000.0000	0.0001	2,600.00	83.71
7779	10/10/2006	10/13/2006	37958F209	GTE	-10000.0000	0.2600	(2,600.00)	83.71
7780	09/26/2006	09/29/2006	95083L103	WSCE	5000.0000	0.5200	2,600.00	83.71
7781	05/03/2006	05/08/2006	284868106	ELC	5000.0000	0.5200	2,600.00	83.71
7782	01/26/2006	01/31/2006	950315101	WLSF	5000.0000	0.5200	2,600.00	83.71
7783	09/07/2010	09/10/2010	03074A102	ASRV	-2050.0000	1.7200	(3,526.00)	57.42
7784	01/20/2009	01/23/2009	44982G104	IGR	1220.0000	3.7994	4,635.27	1.98
7785	07/08/2009	07/13/2009	09061B201	BSOM	5700.0000	0.5500	3,135.00	76.99
7786	09/11/2006	09/14/2006	25385F207	DIGG	10000.0000	0.3900	3,900.00	38.82
7787	03/11/2009	03/16/2009	025676206	AEL	1250.0000	3.6100	4,512.50	8.22
7788	08/17/2006	08/22/2006	093440105	BESV	-3000.0000	1.1858	(3,557.40)	56.03
7789	01/18/2006	01/23/2006	37245M207	GNTA	-1600.0000	2.1000	(3,360.00)	65.92
7790	02/13/2008	02/19/2008	69811Q106	PANC	5000.0000	0.5879	2,939.50	83.92
7791	11/22/2006	11/28/2006	901167106	TWTR	1500.0000	2.5481	3,822.15	43.07
7792	03/02/2010	03/05/2010	71902E109	PNX	-1500.0000	2.5500	(3,825.00)	42.98
7793	09/17/2008	09/22/2008	026874107	AIG	2000.0000	2.0499	4,099.80	29.30
7794	03/20/2009	03/25/2009	235825205	DAN	5000.0000	0.5217	2,608.50	84.36
7795	03/06/2009	03/11/2009	25459W508	FAS	1500.0000	2.5556	3,833.40	42.70
7796	10/24/2008	10/29/2008	64190A103	NRO	-1409.0000	2.7560	(3,883.20)	40.24
7797	04/05/2007	04/11/2007	05348W109	AVNX	1700.0000	1.8979	3,226.43	73.11
7798	04/01/2009	04/06/2009	607500105	MDVX	1600.0000	2.1200	3,392.00	64.85
7799	11/15/2006	11/20/2006	549463107	LU	-1500.0000	2.5600	(3,840.00)	42.48
7800	07/21/2009	07/24/2009	62010A105	MTLQQ	-5000.0000	0.5220	(2,610.00)	84.48
7801	04/28/2008	05/01/2008	371485103	GNBT	3000.0000	1.0799	3,239.70	72.63
7802	06/26/2007	06/29/2007	26884J104	ERHE	-9000.0000	0.2950	(2,655.00)	84.65
7803	07/30/2010	08/04/2010	169418100	CMM	1500.0000	2.5695	3,854.25	42.00
7804	10/14/2009	10/19/2009	45810J103	ITKG	-6700.0000	0.3900	(2,613.00)	84.71
7805	12/08/2009	12/11/2009	909839102	UCFC	-2523.0000	1.4503	(3,659.11)	51.78
7806	03/30/2006	04/04/2006	549463107	LU	-1385.0000	3.0800	(4,265.80)	21.62
7807	02/03/2006	02/08/2006	141597104	CDIC	-2000.0000	1.6075	(3,215.00)	74.16
7808	06/02/2006	06/07/2006	549463107	LU	-1500.0000	2.5801	(3,870.15)	41.47
7809	12/16/2008	12/19/2008	67072C105	JPS	-1240.0000	3.6708	(4,551.79)	7.43

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7810	10/09/2006	10/12/2006	37958F209	GTE	-10000.0000	0.3345	(3,345.00)	67.77
7811	10/15/2009	10/20/2009	33735C106	FHO	1250.0000	3.6659	4,582.38	5.93
7812	03/27/2007	03/30/2007	318465101	FACT	-2250.0000	1.6801	(3,780.23)	46.09
7813	09/21/2010	09/24/2010	82967N108	SIRI	-2500.0000	1.1912	(2,978.00)	85.12
7814	04/12/2010	04/15/2010	82936G101	SCLX	5000.0000	0.9300	4,650.00	2.64
7815	08/02/2006	08/07/2006	549463107	LU	-2000.0000	2.0801	(4,160.20)	27.13
7816	02/26/2009	03/03/2009	74347R743	UYG	1500.0000	2.5893	3,883.95	41.00
7817	05/27/2009	06/01/2009	90341W108	LCC	1500.0000	2.5900	3,885.00	40.97
7818	01/17/2006	01/20/2006	549463107	LU	-1500.0000	2.5900	(3,885.00)	40.97
7819	08/21/2009	08/26/2009	015404106	ACEL	-10000.0000	0.2620	(2,620.00)	85.25
7820	07/02/2009	07/08/2009	19105E103	CGCA	-7835.0000	0.5000	(3,917.50)	39.42
7821	03/11/2009	03/16/2009	23339T100	DRP	1268.0000	3.6800	4,666.24	2.04
7822	01/21/2009	01/26/2009	37247D106	GNW	2000.0000	2.0891	4,178.20	26.49
7823	04/14/2008	04/17/2008	359059102	FRNT	4400.0000	0.8227	3,619.88	54.41
7824	07/15/2008	07/18/2008	073677106	BCON	2000.0000	1.2500	2,500.00	85.42
7825	07/15/2010	07/20/2010	286202205	ETAK	2000.0000	1.6250	3,250.00	72.93
7826	10/07/2010	10/13/2010	989834106	ZF	1325.0000	3.2185	4,264.51	22.21
7827	05/13/2009	05/18/2009	14052H506	CT	1500.0000	2.6000	3,900.00	40.47
7828	11/16/2006	11/21/2006	922281100	VSTY	-1750.0000	2.3034	(4,030.95)	33.94
7829	05/18/2006	05/23/2006	222814204	DVW	-2100.0000	1.7801	(3,738.21)	48.58
7830	10/20/2006	10/25/2006	04289L107	ARTG	-1600.0000	2.0428	(3,268.48)	72.10
7831	09/16/2008	09/19/2008	026874107	AIG	1500.0000	2.6050	3,907.50	40.22
7832	11/07/2006	11/10/2006	206710204	CCUR	2200.0000	2.1071	4,635.62	3.84
7833	06/18/2010	06/23/2010	11161T108	BWEN	1500.0000	2.6098	3,914.70	39.98
7834	11/06/2006	11/09/2006	000875104	ACGJ	7857.0000	0.4000	3,142.80	78.57
7835	05/27/2010	06/02/2010	594960106	MVIS	1500.0000	2.6099	3,914.85	39.98
7836	04/01/2009	04/06/2009	172967101	C	1500.0000	2.6099	3,914.85	39.98
7837	03/24/2009	03/27/2009	595112103	MU	1250.0000	3.7000	4,625.00	4.53
7838	09/14/2006	09/19/2006	21254V100	CSHD	2600.0000	1.0150	2,639.00	85.78
7839	04/26/2006	05/01/2006	26884J104	ERHE	-6000.0000	0.7777	(4,666.20)	2.58
7840	01/25/2006	01/30/2006	59139P104	MSLV	1400.0000	3.3285	4,659.90	2.91
7841	05/26/2010	06/01/2010	594960106	MVIS	1500.0000	2.6191	3,928.65	39.51
7842	09/28/2006	10/03/2006	21254V100	CSHD	1500.0000	2.6200	3,930.00	39.47
7843	05/12/2009	05/15/2009	172967101	C	1250.0000	3.7100	4,637.50	4.12
7844	05/19/2010	05/24/2010	633643408	NBG	-1459.0000	2.6209	(3,823.89)	44.80
7845	06/18/2007	06/21/2007	318465101	FACT	-2250.0000	1.7098	(3,847.05)	43.65
7846	04/05/2007	04/11/2007	318465101	FACT	-2250.0000	1.7101	(3,847.73)	43.62
7847	03/16/2007	03/21/2007	02639A102	AGFL	2000.0000	1.0700	2,140.00	86.04
7848	03/09/2007	03/14/2007	207142100	CNXT	-1650.0000	1.7900	(2,953.50)	86.05
7849	11/12/2007	11/15/2007	91349R208	UVSE	2400.0000	1.0500	2,520.00	86.05
7850	12/07/2006	12/12/2006	131347106	CPNLQ	-2600.0000	1.0219	(2,656.94)	86.06
7851	08/11/2006	08/16/2006	63008X107	NNSR	44223.0000	0.0595	2,631.27	86.12
7852	05/29/2009	06/03/2009	90341W108	LCC	1500.0000	2.6296	3,944.40	38.98
7853	03/20/2009	03/25/2009	172967101	C	-1500.0000	2.6300	(3,945.00)	38.96
7854	07/08/2009	07/13/2009	172967101	C	1500.0000	2.6300	3,945.00	38.96
7855	03/01/2006	03/06/2006	85517P101	STSI	-1500.0000	2.6300	(3,945.00)	38.96
7856	09/16/2008	09/19/2008	939322103	WM	2500.0000	1.7000	4,250.00	23.75
7857	12/05/2008	12/10/2008	44977W106	PPR	-1400.0000	3.1400	(4,396.00)	16.50
7858	09/11/2009	09/16/2009	313586109	FNM	2000.0000	1.6599	3,319.80	70.49
7859	06/12/2009	06/17/2009	04314Q105	ALIF	3000.0000	0.8790	2,637.00	86.56
7860	06/12/2009	06/17/2009	04314Q105	ALIF	3000.0000	0.8790	2,637.00	86.56
7861	05/21/2009	05/27/2009	370442105	GM	1800.0000	1.4900	2,682.00	86.57
7862	01/16/2008	01/22/2008	767754104	RAD	-2200.0000	2.0100	(4,422.00)	15.48
7863	03/05/2009	03/10/2009	370442105	GM	-1700.0000	1.8100	(3,077.00)	82.76
7864	04/21/2008	04/24/2008	52729N100	LVL	-1600.0000	2.0821	(3,331.36)	70.07
7865	08/21/2008	08/26/2008	695459107	PAET	1328.0000	3.2500	4,316.00	20.88
7866	11/29/2007	12/04/2007	254668106	DSCO	-1500.0000	2.6500	(3,975.00)	37.96

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7867	05/02/2006	05/05/2006	252785100	DHCC	-1500.0000	2.6500	(3,975.00)	37.96
7868	04/02/2009	04/07/2009	59318T109	CIF	2000.0000	1.6700	3,340.00	69.77
7869	12/01/2009	12/04/2009	832727101	SSCCQ	-8000.0000	0.3300	(2,640.00)	86.79
7870	09/15/2006	09/20/2006	45768R305	INFN	-3000.0000	0.8800	(2,640.00)	86.79
7871	09/26/2007	10/01/2007	888325107	TITT	-13900.0000	0.1900	(2,641.00)	86.87
7872	02/23/2006	02/28/2006	893782102	TRNT	-2000.0000	1.2900	(2,580.00)	86.88
7873	07/10/2009	07/15/2009	343389102	FTK	-1800.0000	1.5000	(2,700.00)	86.92
7874	08/25/2010	08/30/2010	131745101	CAK	-1500.0000	2.6607	(3,991.05)	37.43
7875	03/03/2010	03/08/2010	82967N108	SIRI	-2750.0000	0.9609	(2,642.48)	86.98
7876	03/17/2006	03/22/2006	98385L102	XSNX	1500.0000	2.6630	3,994.50	37.31
7877	05/08/2009	05/13/2009	268158102	DVAX	-3000.0000	1.1500	(3,450.00)	64.55
7878	11/05/2007	11/08/2007	007947104	AERT	-3600.0000	1.1641	(4,190.76)	27.54
7879	04/07/2009	04/13/2009	82967N108	SIRI	-9950.0000	0.3429	(3,411.86)	66.50
7880	04/14/2010	04/19/2010	52729N100	LVLTL	-2500.0000	1.7301	(4,325.25)	20.92
7881	10/05/2010	10/08/2010	16944J103	CHTL	-20000.0000	0.2150	(4,300.00)	22.18
7882	01/06/2009	01/09/2009	983857103	XRIT	-2400.0000	1.4900	(3,576.00)	58.38
7883	01/09/2007	01/12/2007	70337F103	PGOL	-42500.0000	0.1012	(4,301.00)	22.18
7884	02/05/2009	02/10/2009	721467108	PGPDQ	3179.0000	0.9400	2,988.26	87.30
7885	02/18/2009	02/23/2009	852061100	S	1500.0000	2.6760	4,014.00	36.66
7886	08/08/2008	08/13/2008	73932B105	PWSV	1500.0000	2.6767	4,015.05	36.62
7887	03/30/2010	04/05/2010	045346103	APPY	2000.0000	2.1600	4,320.00	21.40
7888	06/16/2010	06/21/2010	141916106	CXM	10000.0000	0.4699	4,699.00	2.46
7889	09/09/2010	09/14/2010	141916106	CXM	10000.0000	0.4699	4,699.00	2.46
7890	08/16/2007	08/21/2007	285716106	EGMI	10000.0000	0.4700	4,700.00	2.46
7891	09/28/2009	10/01/2009	150837409	CVM	-2500.0000	1.7401	(4,350.25)	19.99
7892	06/25/2010	06/30/2010	894626209	TISDZ	-2508.0000	1.1200	(2,808.96)	87.50
7893	10/27/2006	11/01/2006	131347106	CPNLQ	10000.0000	0.2650	2,650.00	87.57
7894	08/06/2010	08/11/2010	53123T206	LBRS	100000.0000	0.0265	2,650.00	87.57
7895	03/13/2009	03/18/2009	172967101	C	2000.0000	1.7000	3,400.00	67.66
7896	01/17/2007	01/22/2007	05461A108	AXVC	-2000.0000	1.0500	(2,100.00)	87.69
7897	01/10/2006	01/13/2006	549463107	LU	-1485.0000	2.6900	(3,994.65)	37.97
7898	06/30/2010	07/06/2010	554225102	MCBC	3216.0000	1.2847	4,131.60	31.30
7899	12/31/2008	01/06/2009	345370860	F	-1600.0000	2.2500	(3,600.00)	57.89
7900	06/30/2009	07/06/2009	223767104	CWLZ	1550.0000	2.2500	3,487.50	63.52
7901	03/28/2008	04/02/2008	65488W103	NBF	1800.0000	1.5292	2,752.56	87.93
7902	11/05/2009	11/10/2009	58734P105	MBR	-1500.0000	2.7001	(4,050.15)	35.44
7903	03/20/2009	03/25/2009	345370860	F	-1500.0000	2.7000	(4,050.00)	35.45
7904	03/24/2006	03/29/2006	235811106	DCNAQ	-1618.0000	1.9500	(3,155.10)	80.20
7905	07/17/2006	07/20/2006	524636107	LRCI	-2950.0000	0.9000	(2,655.00)	87.95
7906	03/23/2006	03/28/2006	00253U107	ASTM	2000.0000	2.1800	4,360.00	19.96
7907	01/06/2009	01/09/2009	453838104	IBCP	-1470.0000	2.7007	(3,970.03)	39.47
7908	01/25/2010	01/28/2010	313586109	FNM	-4000.0000	0.9209	(3,683.60)	53.82
7909	09/27/2007	10/02/2007	928628205	VOIC	-1900.0000	1.2500	(2,375.00)	88.03
7910	06/13/2006	06/16/2006	90916J102	UPZS	6000.0000	0.5000	3,000.00	88.11
7911	06/04/2008	06/09/2008	15188T108	CHC	-1431.0000	2.7600	(3,949.56)	40.66
7912	10/25/2006	10/30/2006	98742A104	YMEI	2000.0000	1.0000	2,000.00	88.16
7913	09/11/2008	09/16/2008	057690109	BEEI	2000.0000	1.0000	2,000.00	88.16
7914	03/05/2009	03/10/2009	172967101	C	2000.0000	1.0000	2,000.00	88.16
7915	10/24/2008	10/29/2008	989834106	ZF	1500.0000	2.7100	4,065.00	34.95
7916	12/20/2007	12/26/2007	05348W109	AVNX	-2500.0000	1.2047	(3,011.75)	87.66
7917	05/07/2007	05/10/2007	053491106	AVAN	-4750.0000	0.9100	(4,322.50)	22.19
7918	03/10/2009	03/13/2009	561911108	HYF	-3500.0000	0.9806	(3,432.10)	66.74
7919	07/14/2006	07/19/2006	784429102	SLS	7000.0000	0.3800	2,660.00	88.34
7920	05/11/2010	05/14/2010	82967N108	SIRI	-2540.0000	1.0802	(2,743.71)	88.40
7921	07/02/2009	07/08/2009	00850H103	GRO	2000.0000	2.1960	4,392.00	18.81
7922	12/31/2007	01/04/2008	767754104	RAD	-1500.0000	2.7210	(4,081.50)	34.40
7923	05/05/2009	05/08/2009	874161102	TLB	1500.0000	2.9180	4,377.00	19.64

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
7924	02/28/2006	03/03/2006	49689D205	KNGSE	-50000.0000	0.0837	(4,185.00)	29.28
7925	05/16/2008	05/21/2008	05348W109	AVNX	2000.0000	1.0094	2,018.80	88.53
7926	03/06/2009	03/11/2009	172967101	C	2000.0000	1.0100	2,020.00	88.55
7927	04/12/2007	04/17/2007	297612103	EHNX	2000.0000	1.0100	2,020.00	88.55
7928	05/12/2009	05/15/2009	172967101	C	1245.0000	3.8300	4,768.35	0.15
7929	07/14/2009	07/17/2009	70335Y104	PCAP	-2000.0000	1.7315	(3,463.00)	65.45
7930	04/23/2009	04/28/2009	91274F104	YSI	-1400.0000	3.2400	(4,536.00)	11.82
7931	03/01/2007	03/06/2007	007928104	ADVR	-80000.0000	0.0333	(2,664.00)	88.65
7932	10/22/2009	10/27/2009	760112102	RTK	-2325.0000	1.5701	(3,650.48)	56.15
7933	09/17/2008	09/22/2008	269246104	ETFC	1500.0000	2.7300	4,095.00	33.94
7934	05/20/2009	05/26/2009	83438K103	SLTM	1900.0000	1.2683	2,409.77	88.70
7935	07/11/2007	07/16/2007	171605207	CYRO	-1870.0000	1.2700	(2,374.90)	88.76
7936	10/10/2008	10/16/2008	244331104	DFR	7959.0000	0.3500	2,785.65	88.77
7937	04/02/2009	04/07/2009	172967101	C	1500.0000	2.7400	4,110.00	33.44
7938	10/01/2008	10/06/2008	456607100	IDMC	20000.0000	0.1850	3,700.00	53.94
7939	12/26/2006	12/29/2006	69562E104	PRZ	-2000.0000	1.0200	(2,040.00)	88.94
7940	05/11/2010	05/14/2010	266605104	DRRX	-1500.0000	2.7409	(4,111.35)	33.40
7941	12/20/2006	12/26/2006	98156L307	WGAT	-1850.0000	1.2762	(2,360.97)	88.99
7942	11/01/2007	11/06/2007	16946D104	CHWG	14800.0000	0.2700	3,996.00	39.20
7943	04/13/2006	04/19/2006	65410X104	NIHK	40000.0000	0.0907	3,628.00	57.60
7944	03/13/2009	03/18/2009	172967101	C	2500.0000	1.7898	4,474.50	15.31
7945	03/03/2009	03/06/2009	852061100	S	1400.0000	3.2600	4,564.00	10.88
7946	03/04/2008	03/07/2008	138869300	CAU	-6000.0000	0.4450	(2,670.00)	89.11
7947	10/25/2006	10/30/2006	456039106	IBTY	2000.0000	1.0250	2,050.00	89.13
7948	10/14/2008	10/17/2008	221485105	CPWM	-2250.0000	1.8128	(4,078.80)	35.20
7949	06/01/2009	06/04/2009	370442105	GM	-3000.0000	0.8902	(2,670.60)	89.15
7950	02/02/2009	02/05/2009	505336107	LZB	4000.0000	0.9997	3,998.88	39.22
7951	03/20/2009	03/25/2009	607500105	MDVX	2000.0000	1.7500	3,500.00	64.16
7952	03/19/2009	03/24/2009	345370860	F	1500.0000	2.7500	4,125.00	32.94
7953	11/11/2008	11/14/2008	86272T106	BEE	1500.0000	2.7500	4,125.00	32.94
7954	02/12/2007	02/15/2007	667280101	NWACQ	1500.0000	2.7500	4,125.00	32.94
7955	04/04/2007	04/10/2007	97750V109	WIZD	-1500.0000	2.7500	(4,125.00)	32.94
7956	01/23/2009	01/28/2009	749941100	RFMD	2600.0000	1.1000	2,860.00	89.20
7957	07/27/2006	08/01/2006	000919100	AMF	-1320.0000	3.4300	(4,527.60)	12.84
7958	03/12/2009	03/17/2009	172967101	C	1733.0000	1.5900	2,755.47	89.29
7959	01/27/2009	01/30/2009	852061100	S	1750.0000	2.4460	4,280.50	25.29
7960	05/19/2008	05/22/2008	05348W109	AVNX	2000.0000	1.0300	2,060.00	89.32
7961	09/10/2008	09/15/2008	767754104	RAD	-2000.0000	1.0300	(2,060.00)	89.32
7962	02/03/2006	02/08/2006	68338A107	ONT	2000.0000	1.0300	2,060.00	89.32
7963	07/31/2007	08/03/2007	36238T104	GTCB	2000.0000	1.0300	2,060.00	89.32
7964	05/28/2010	06/03/2010	313400624	FRE.PRZ	-2000.0000	1.0301	(2,060.20)	89.33
7965	12/12/2007	12/17/2007	14067D102	CPST	3000.0000	1.4196	4,258.80	26.43
7966	03/16/2007	03/21/2007	009253105	ABEW	14000.0000	0.2464	3,449.60	66.94
7967	03/29/2006	04/03/2006	740513106	PIFR	5000.0000	0.6900	3,450.00	66.94
7968	11/09/2007	11/15/2007	98950P108	ZHNE	-2194.0000	1.2200	(2,676.68)	89.50
7969	12/31/2009	01/06/2010	463664607	IRSN	6500.0000	0.4647	3,020.55	88.51
7970	02/17/2006	02/23/2006	042384107	ACKHQ	-3076.0000	1.0400	(3,199.04)	79.64
7971	11/19/2007	11/23/2007	46426P103	ISO	-15000.0000	0.2302	(3,453.00)	66.97
7972	11/13/2008	11/18/2008	345370860	F	2000.0000	1.7685	3,537.00	62.86
7973	06/05/2009	06/10/2009	767754104	RAD	2000.0000	1.7698	3,539.60	62.76
7974	04/02/2008	04/07/2008	885218107	TMA	2500.0000	1.3296	3,324.00	73.55
7975	03/15/2006	03/20/2006	64353N306	NCNC	-5000.0000	0.5357	(2,678.50)	89.76
7976	06/19/2006	06/22/2006	45768R305	INFN	-2000.0000	1.0425	(2,085.00)	89.80
7977	01/21/2009	01/26/2009	92933E108	WSFG	-4000.0000	0.6700	(2,680.00)	89.88
7978	09/18/2009	09/23/2009	82967N108	SIRI	4000.0000	0.6700	2,680.00	89.88
7979	04/15/2010	04/20/2010	16945G207	CCTR	100000.0000	0.0268	2,680.00	89.88
7980	07/22/2009	07/27/2009	172967101	C	-1500.0000	2.7800	(4,170.00)	31.44

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7981	01/17/2006	01/20/2006	98385L102	XSNX	4000.0000	1.1990	4,796.00	0.20
7982	04/24/2008	04/29/2008	232984104	ATC	-3000.0000	1.5500	(4,650.00)	7.50
7983	03/30/2006	04/04/2006	619790108	MHFM	-4800.0000	0.8500	(4,080.00)	36.00
7984	09/14/2006	09/19/2006	000875104	ACGJ	8000.0000	0.5000	4,000.00	40.00
7985	11/21/2006	11/27/2006	206710204	CCUR	2000.0000	1.9800	3,960.00	42.00
7986	05/07/2010	05/12/2010	247907207	DPTR	3000.0000	1.2500	3,750.00	52.50
7987	11/06/2007	11/09/2007	422217109	HRAL	-3000.0000	1.0733	(3,219.90)	79.01
7988	12/26/2008	12/31/2008	74963Q102	RHY	-5000.0000	0.6038	(3,019.00)	89.05
7989	11/26/2008	12/02/2008	74963Q102	RHY	5250.0000	0.5266	2,764.65	90.00
7990	11/06/2007	11/09/2007	05379X208	AVSR	-3000.0000	0.8333	(2,499.90)	90.00
7991	02/01/2007	02/06/2007	43738R109	HMB	-1342.0000	3.3000	(4,428.60)	18.58
7992	05/20/2010	05/25/2010	45408X100	IGC	2000.0000	1.0482	2,096.40	90.02
7993	10/16/2008	10/21/2008	989834106	ZF	1500.0000	2.7865	4,179.75	31.11
7994	12/23/2009	12/29/2009	64190A103	NRO	-1409.0000	3.0000	(4,227.00)	28.77
7995	12/23/2009	12/29/2009	64190A103	NRO	-1409.0000	3.0000	(4,227.00)	28.77
7996	01/25/2006	01/30/2006	452907108	IMMU	1500.0000	2.7913	4,186.95	30.87
7997	08/10/2007	08/15/2007	282914100	EGHT	-2000.0000	1.3811	(2,762.20)	90.23
7998	11/12/2008	11/17/2008	370442105	GM	1400.0000	3.3100	4,634.00	8.54
7999	11/19/2009	11/24/2009	36240A101	GUT	500.0000	7.9663	3,983.15	41.10
8000	12/13/2006	12/18/2006	97750V109	WIZD	-1430.0000	2.8575	(4,086.23)	36.01
8001	04/28/2008	05/01/2008	78402X107	DDD	3725.0000	0.9300	3,464.25	67.11
8002	10/27/2009	10/30/2009	73174C100	PYMX	3250.0000	1.2100	3,932.50	43.71
8003	01/20/2010	01/25/2010	280597105	DIET	-1785.0000	1.6000	(2,856.00)	90.39
8004	10/20/2010	10/25/2010	82967N108	SIRI	-1830.0000	1.3400	(2,452.20)	90.41
8005	04/03/2008	04/08/2008	82966U103	SIRI	-1500.0000	2.8000	(4,200.00)	30.43
8006	01/24/2008	01/29/2008	730529104	PBSO	-1500.0000	2.8000	(4,200.00)	30.43
8007	06/22/2010	06/25/2010	87161C105	SNV	-1500.0000	2.8010	(4,201.50)	30.39
8008	12/04/2008	12/09/2008	313400301	FRE	3000.0000	0.8959	2,687.70	90.47
8009	03/30/2007	04/04/2007	98912M201	ZAAP	2000.0000	1.0600	2,120.00	90.48
8010	11/16/2009	11/19/2009	554094102	MBCI	-2000.0000	1.0600	(2,120.00)	90.48
8011	04/05/2006	04/10/2006	44043J105	HOFF	-2000.0000	1.0600	(2,120.00)	90.48
8012	05/29/2009	06/03/2009	370442105	GM	-2000.0000	1.0600	(2,120.00)	90.48
8013	08/26/2010	08/31/2010	30024B203	EEE	1950.0000	1.7974	3,504.93	65.31
8014	03/13/2009	03/18/2009	172967101	C	2500.0000	1.8399	4,599.75	10.60
8015	09/29/2009	10/02/2009	039209101	KAD	-2000.0000	1.0636	(2,127.20)	90.62
8016	05/12/2006	05/17/2006	872479209	TIH	-2125.0000	2.2000	(4,675.00)	6.89
8017	03/05/2008	03/10/2008	92909W108	VOYT	-25000.0000	0.1076	(2,690.00)	90.65
8018	09/20/2006	09/25/2006	247361108	DALRQ	2600.0000	1.6000	4,160.00	32.66
8019	07/07/2009	07/10/2009	594960106	MVIS	1500.0000	2.8096	4,214.40	29.95
8020	07/15/2008	07/18/2008	15188T108	CHC	-2000.0000	1.0661	(2,132.20)	90.71
8021	10/28/2010	11/02/2010	172407108	CIDM	-2500.0000	1.3600	(3,400.00)	70.75
8022	08/17/2006	08/22/2006	676118102	OMR	2000.0000	2.2800	4,560.00	12.76
8023	05/12/2009	05/15/2009	370442105	GM	-2374.0000	1.1700	(2,777.58)	90.76
8024	01/19/2006	01/24/2006	049513104	ATML	1302.0000	3.6600	4,765.32	2.55
8025	12/17/2009	12/22/2009	918914102	VLNC	2000.0000	1.0700	2,140.00	90.86
8026	03/06/2009	03/11/2009	172967101	C	2000.0000	1.0700	2,140.00	90.86
8027	03/13/2007	03/16/2007	92532R203	VCSY	-144000.0000	0.0187	(2,692.80)	90.87
8028	10/12/2010	10/15/2010	055639108	BPZ	1400.0000	3.3387	4,674.18	7.19
8029	09/01/2010	09/07/2010	458738101	ILIU	-13363.0000	0.2600	(3,474.38)	67.22
8030	03/23/2009	03/26/2009	279862106	EPEX	12921.0000	0.3697	4,776.89	2.17
8031	02/24/2006	03/01/2006	456261304	JD5M	10000.0000	0.3335	3,335.00	74.31
8032	06/04/2010	06/09/2010	427093109	HERO	1500.0000	2.8257	4,238.55	29.14
8033	07/09/2008	07/14/2008	82966U103	SIRI	-1700.0000	1.9700	(3,349.00)	73.66
8034	01/31/2008	02/05/2008	83545R108	SOFO	3800.0000	0.7095	2,696.10	91.12
8035	10/22/2008	10/27/2008	313586109	FNM	5900.0000	0.8101	4,779.59	2.16
8036	01/24/2006	01/27/2006	37958F209	GTE	-1334.0000	3.4000	(4,535.60)	14.39
8037	12/05/2008	12/10/2008	865378103	SUF	2000.0000	1.0780	2,156.00	91.17

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8038	04/14/2010	04/19/2010	460378102	ISCO	2000.0000	2.2950	4,590.00	11.69
8039	06/05/2009	06/10/2009	767754104	RAD	2000.0000	1.8200	3,640.00	59.24
8040	03/18/2008	03/24/2008	42222N103	HSTM	-1500.0000	2.8360	(4,254.00)	28.62
8041	09/21/2006	09/26/2006	549463107	LU	-2000.0000	2.3001	(4,600.20)	11.32
8042	11/16/2006	11/21/2006	922281100	VSTY	-2000.0000	2.3000	(4,600.00)	11.33
8043	06/23/2010	06/28/2010	796043107	SSN	-6000.0000	0.5801	(3,480.60)	67.30
8044	07/22/2008	07/25/2008	85857R105	STEM	-2000.0000	1.4120	(2,824.00)	91.36
8045	10/08/2008	10/14/2008	345370860	F	1500.0000	2.8391	4,258.65	28.47
8046	05/26/2009	05/29/2009	37245M504	GNTA	250000.0000	0.0108	2,700.00	91.42
8047	03/28/2008	04/02/2008	98142E508	WDAM	1000000.0000	0.0027	2,700.00	91.42
8048	10/07/2010	10/13/2010	210413100	CMIN	10000.0000	0.2700	2,700.00	91.42
8049	04/23/2008	04/28/2008	29382J105	EDCI	-5000.0000	0.5400	(2,700.00)	91.42
8050	04/30/2007	05/03/2007	983895301	XKEM	120000.0000	0.0225	2,700.00	91.42
8051	03/14/2006	03/17/2006	123720104	BUKS	5000.0000	0.5400	2,700.00	91.42
8052	10/14/2009	10/19/2009	67060U109	NPHC	-5000.0000	0.5400	(2,700.00)	91.42
8053	04/28/2008	05/01/2008	830695102	SKNY	10000.0000	0.2700	2,700.00	91.42
8054	08/19/2010	08/24/2010	37990W103	GHLV	15000.0000	0.1800	2,700.00	91.42
8055	07/18/2007	07/23/2007	903421105	USSE	45000.0000	0.0600	2,700.00	91.42
8056	07/18/2007	07/23/2007	869327106	SSTP	45000.0000	0.0600	2,700.00	91.42
8057	08/25/2009	08/28/2009	38210N105	GLCC	3000000.0000	0.0009	2,700.00	91.42
8058	05/14/2007	05/17/2007	68338A107	ONT	-1500.0000	2.8412	(4,261.80)	28.36
8059	12/08/2006	12/13/2006	29382F103	ENMD	-2000.0000	1.8300	(3,660.00)	58.53
8060	03/05/2009	03/10/2009	060505104	BAC	1400.0000	3.3670	4,713.80	5.87
8061	05/19/2006	05/24/2006	549463107	LU	-1800.0000	2.5301	(4,554.18)	13.86
8062	02/20/2009	02/25/2009	060505104	BAC	1500.0000	2.8470	4,270.50	28.08
8063	05/13/2009	05/18/2009	865378103	SUF	2000.0000	1.0899	2,179.80	91.63
8064	03/07/2006	03/10/2006	16117M107	CHTR	-2000.0000	1.0900	(2,180.00)	91.64
8065	08/13/2009	08/18/2009	313586109	FNM	-2000.0000	1.0900	(2,180.00)	91.64
8066	05/13/2010	05/18/2010	29101R107	EHSI	2000.0000	1.0900	2,180.00	91.64
8067	02/01/2007	02/06/2007	247361108	DALRQ	2000.0000	1.0900	2,180.00	91.64
8068	10/22/2009	10/27/2009	68555P100	ORBC	1500.0000	2.8500	4,275.00	27.92
8069	11/19/2008	11/24/2008	920961109	VVR	1500.0000	2.8500	4,275.00	27.92
8070	06/26/2006	06/29/2006	69561N204	PAYD	9146.0010	0.5240	4,792.50	2.12
8071	08/11/2010	08/16/2010	427093109	HERO	2200.0000	2.1894	4,816.68	0.97
8072	09/16/2009	09/21/2009	464119106	IFC	5000.0000	0.7500	3,750.00	54.34
8073	10/15/2008	10/20/2008	26884K101	ERFW	10000.0000	0.3750	3,750.00	54.34
8074	10/07/2010	10/13/2010	380481101	SILA	3300.0000	0.8200	2,706.00	91.89
8075	05/21/2008	05/27/2008	834156101	SOEN	-3300.0000	0.8200	(2,706.00)	91.89
8076	12/30/2008	01/05/2009	13123X102	CPE	2200.0000	2.1931	4,824.82	0.66
8077	02/17/2006	02/23/2006	549463107	LU	-1500.0000	2.8600	(4,290.00)	27.42
8078	02/17/2006	02/23/2006	549463107	LU	-1500.0000	2.8600	(4,290.00)	27.42
8079	03/23/2009	03/26/2009	345370860	F	1500.0000	2.8600	4,290.00	27.42
8080	08/08/2007	08/13/2007	67060N204	NTRZ	1500.0000	2.8600	4,290.00	27.42
8081	12/06/2007	12/11/2007	42224V103	HPRD	-37000.0000	0.1014	(3,751.80)	54.35
8082	12/15/2006	12/20/2006	607500105	MDVX	1700.0000	2.0000	3,400.00	71.95
8083	11/21/2006	11/27/2006	206710204	CCUR	2000.0000	1.9910	3,982.00	42.90
8084	03/16/2010	03/19/2010	40204A107	GNXP	2000.0000	1.1000	2,200.00	92.00
8085	06/05/2006	06/08/2006	00752K105	ACTC	2000.0000	1.1000	2,200.00	92.02
8086	10/12/2009	10/15/2009	090621103	BONU	2000.0000	1.1000	2,200.00	92.02
8087	03/13/2006	03/16/2006	253862106	DPW	-2000.0000	1.1000	(2,200.00)	92.02
8088	06/28/2006	07/03/2006	45768R305	INFN	-2000.0000	1.1000	(2,200.00)	92.02
8089	10/12/2006	10/17/2006	549463107	LU	-2000.0000	2.3250	(4,650.00)	9.53
8090	05/05/2010	05/10/2010	82967N108	SIRI	-2000.0000	1.1002	(2,200.40)	92.03
8091	05/12/2006	05/17/2006	743729105	PLBI	8000.0000	0.6000	4,800.00	2.09
8092	01/27/2010	02/01/2010	58447E108	MEDG	10000.0000	0.4800	4,800.00	2.09
8093	08/04/2010	08/09/2010	337347108	FHI	1400.0000	3.3899	4,745.86	4.80
8094	05/11/2009	05/14/2009	92933E108	WSFG	-15000.0000	0.1806	(2,709.00)	92.12

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
8095	05/07/2009	05/12/2009	30033R108	ESLR	-1600.0000	2.4101	(3,856.16)	49.32
8096	06/08/2009	06/11/2009	02503Y103	ACAS	-1500.0000	2.8700	(4,305.00)	26.92
8097	02/24/2006	03/01/2006	002083103	ATSI	1500.0000	2.8700	4,305.00	26.92
8098	09/21/2007	09/26/2007	30024B104	EEE	500.0000	5.6060	2,803.00	92.17
8099	04/12/2006	04/18/2006	549463107	LU	-1500.0000	2.9700	(4,455.00)	19.45
8100	12/15/2006	12/20/2006	607500105	MDVX	1700.0000	2.0100	3,417.00	71.38
8101	04/20/2009	04/23/2009	747906204	QTM	3000.0000	1.2999	3,899.70	47.28
8102	04/29/2009	05/04/2009	530158104	USA	1400.0000	3.3997	4,759.58	4.33
8103	05/04/2010	05/07/2010	633643408	NBG	1459.0000	2.8757	4,195.65	32.53
8104	07/10/2006	07/13/2006	45768R305	INFN	-2000.0000	1.1075	(2,215.00)	92.31
8105	10/31/2007	11/05/2007	206710204	CCUR	2000.0000	1.2512	2,502.40	92.40
8106	12/19/2008	12/24/2008	345370860	F	1500.0000	2.8800	4,320.00	26.42
8107	06/15/2006	06/20/2006	45768R305	INFN	-2000.0000	1.1125	(2,225.00)	92.50
8108	09/29/2010	10/04/2010	05462T106	AXLX	-5000.0000	0.7000	(3,500.00)	67.53
8109	12/21/2007	12/27/2007	019860105	AYSI	-5000.0000	0.9620	(4,810.00)	2.05
8110	03/02/2009	03/05/2009	44934S107	ICAD	5000.0000	0.8814	4,407.00	22.20
8111	03/02/2009	03/05/2009	44934S107	ICAD	5000.0000	0.8814	4,407.00	22.20
8112	03/02/2009	03/05/2009	44934S107	ICAD	5000.0000	0.8814	4,407.00	22.20
8113	01/12/2006	01/18/2006	457669208	INSM	1800.0000	2.5680	4,622.40	11.47
8114	03/09/2007	03/14/2007	82966U103	SIRI	-1350.0000	3.4120	(4,606.20)	12.29
8115	02/14/2007	02/20/2007	957541105	WSTL	-2000.0000	2.2458	(4,491.60)	18.02
8116	06/05/2008	06/10/2008	05348W109	AVNX	-2600.0000	1.1900	(3,094.00)	87.90
8117	10/15/2010	10/20/2010	067597104	BKPG	85801.0000	0.0275	2,359.53	92.60
8118	09/04/2009	09/10/2009	529900102	ASG	1500.0000	2.8877	4,331.55	26.03
8119	08/13/2007	08/16/2007	957669104	ZIF	-1770.0000	2.5701	(4,549.08)	15.19
8120	06/11/2009	06/16/2009	208464883	CNO	1600.0000	2.4299	3,887.84	48.26
8121	11/11/2008	11/14/2008	290846104	EMKR	2000.0000	1.8699	3,739.80	55.73
8122	05/24/2007	05/30/2007	05348W109	AVNX	2900.0000	1.6137	4,679.73	8.74
8123	04/08/2008	04/11/2008	049513104	ATML	-1305.0000	3.2640	(4,259.52)	29.75
8124	04/29/2009	05/04/2009	530158104	USA	1400.0000	3.4197	4,787.58	3.40
8125	08/24/2009	08/27/2009	464119106	IFC	2000.0000	1.1200	2,240.00	92.79
8126	11/30/2006	12/05/2006	758943104	RGUS	-2000.0000	1.1200	(2,240.00)	92.79
8127	08/26/2009	08/31/2009	554094102	MBCI	2000.0000	1.1200	2,240.00	92.79
8128	12/15/2008	12/18/2008	430067108	HCD	-2000.0000	1.1210	(2,242.00)	92.83
8129	09/28/2007	10/03/2007	97750V109	WIZD	1500.0000	2.9000	4,350.00	25.41
8130	02/28/2006	03/03/2006	23333S108	OTLI	1500.0000	2.9000	4,350.00	25.41
8131	03/12/2009	03/17/2009	51807U101	LCRD	-1500.0000	2.9000	(4,350.00)	25.41
8132	03/20/2009	03/25/2009	172967101	C	1600.0000	2.4400	3,904.00	47.72
8133	07/16/2009	07/21/2009	44930M203	ICOP	8000.0000	0.3400	2,720.00	92.97
8134	03/05/2007	03/08/2007	00509L109	ACUR	3200.0000	0.8500	2,720.00	92.97
8135	10/13/2008	10/16/2008	85771Q100	STCA	8000.0000	0.3400	2,720.00	92.97
8136	02/18/2010	02/23/2010	18450P101	CCTC	-10000.0000	0.2720	(2,720.00)	92.97
8137	05/01/2006	05/04/2006	30266R106	FLIP	34000.0000	0.0800	2,720.00	92.97
8138	06/04/2007	06/07/2007	206710204	CCUR	2000.0000	2.0215	4,043.00	40.85
8139	06/18/2009	06/23/2009	370442105	GMGMQ	3000.0000	1.2500	3,750.00	55.50
8140	09/11/2009	09/16/2009	313400301	FRE	2000.0000	1.8799	3,759.80	55.03
8141	11/05/2009	11/10/2009	82967N108	SIRI	4200.0000	0.6479	2,721.18	93.06
8142	09/04/2009	09/10/2009	529900102	ASG	1500.0000	2.9073	4,360.95	25.04
8143	02/20/2007	02/23/2007	368689105	GLGC	2000.0000	1.8845	3,769.00	54.70
8144	08/14/2006	08/17/2006	131347106	CPNLQ	-8250.0000	0.3300	(2,722.50)	93.16
8145	08/27/2009	09/01/2009	554094102	MBCI	2000.0000	1.1300	2,260.00	93.18
8146	11/02/2009	11/05/2009	64190A103	NRO	-1750.0000	2.5905	(4,533.38)	16.52
8147	04/26/2010	04/29/2010	82967N108	SIRI	-2000.0000	1.1310	(2,262.00)	93.22
8148	01/13/2006	01/19/2006	46612J101	JDSU	1500.0000	3.1122	4,668.30	9.88
8149	03/29/2006	04/03/2006	04621M102	ASAM	-2900.0000	1.5300	(4,437.00)	21.46
8150	06/19/2009	06/24/2009	45928H106	ICO	1500.0000	2.9199	4,379.85	24.42
8151	11/30/2007	12/05/2007	358434108	FBR	-1460.0000	2.9200	(4,263.20)	30.25

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8152	08/19/2009	08/24/2009	037032109	AGEN	2000.0000	2.0800	4,160.00	35.44
8153	11/05/2009	11/10/2009	037023108	AHR	-3835.0000	0.7109	(2,726.30)	93.45
8154	02/12/2010	02/18/2010	93564A100	WRES	-1606.0000	2.2200	(3,565.32)	65.22
8155	06/01/2009	06/04/2009	378973408	GSAT	-2000.0000	1.1400	(2,280.00)	93.57
8156	05/28/2009	06/02/2009	370442105	GM	-2000.0000	1.1400	(2,280.00)	93.57
8157	06/12/2009	06/17/2009	64127R302	CUR	2000.0000	1.1400	2,280.00	93.57
8158	08/12/2009	08/17/2009	313586109	FNM	2000.0000	1.1400	2,280.00	93.57
8159	07/12/2006	07/17/2006	67030P107	NSOL	-2000.0000	1.1400	(2,280.00)	93.57
8160	04/28/2009	05/01/2009	172967101	C	1500.0000	2.9300	4,395.00	23.91
8161	04/03/2006	04/06/2006	88409N101	THK	2500.0000	1.9400	4,850.00	1.19
8162	05/21/2007	05/24/2007	29256X107	ENCY	1374.0000	3.4594	4,753.22	6.04
8163	08/10/2010	08/13/2010	73174C100	PYMX	-5000.0000	0.8860	(4,430.00)	22.20
8164	05/21/2007	05/24/2007	29256X107	ENCY	1374.0000	3.4599	4,753.90	6.02
8165	08/19/2009	08/24/2009	037032109	AGEN	2000.0000	2.0900	4,180.00	34.73
8166	09/26/2008	10/01/2008	767754104	RAD	3000.0000	0.9100	2,730.00	93.74
8167	12/22/2006	12/28/2006	206710204	CCUR	2000.0000	2.0519	4,103.80	38.61
8168	11/18/2008	11/21/2008	25537T105	DCA	-1838.0000	1.4086	(2,589.01)	93.82
8169	03/13/2009	03/18/2009	453838104	IBCP	2500.0000	1.4536	3,634.00	62.15
8170	12/31/2007	01/04/2008	629519109	NABI	-1330.0000	3.5536	(4,726.29)	7.57
8171	09/03/2009	09/09/2009	749121109	Q	1400.0000	3.4690	4,856.60	1.09
8172	02/18/2009	02/23/2009	699157103	PLLL	2000.0000	1.9100	3,820.00	52.92
8173	09/15/2009	09/18/2009	33735C106	FHO	1400.0000	3.4694	4,857.16	1.07
8174	08/03/2009	08/06/2009	19105E103	CGCA	-2000.0000	1.1500	(2,300.00)	93.95
8175	11/16/2009	11/19/2009	590418109	MERR	-2000.0000	1.1501	(2,300.20)	93.96
8176	06/15/2006	06/20/2006	37958F209	GTE	-1960.0000	1.1501	(2,254.20)	93.96
8177	09/29/2008	10/02/2008	553477100	MRVC	-2000.0000	1.1506	(2,301.20)	93.97
8178	03/13/2007	03/16/2007	38500T101	GTRE	3000.0000	1.3500	4,050.00	41.49
8179	06/27/2007	07/02/2007	689890101	OPCO	-3000.0000	1.3500	(4,050.00)	41.49
8180	02/12/2007	02/15/2007	26702V107	DGRI	-2000.0000	1.6500	(3,300.00)	78.99
8181	11/20/2008	11/25/2008	984249102	YRCW	2500.0000	1.4599	3,649.75	61.57
8182	03/11/2010	03/16/2010	44040M101	HZNB	-2000.0000	1.1550	(2,310.00)	94.14
8183	01/13/2006	01/19/2006	98410R108	XGEN	1500.0000	2.9500	4,425.00	22.90
8184	09/12/2007	09/17/2007	82966U103	SIRI	1400.0000	3.4800	4,872.00	0.57
8185	02/12/2008	02/15/2008	74963H102	RSF	-1325.0000	3.6101	(4,783.38)	5.01
8186	05/28/2010	06/03/2010	313586737	FNM.PRT	-3000.0000	0.9120	(2,736.00)	94.20
8187	04/11/2008	04/16/2008	82966U103	SIRI	-1600.0000	2.4900	(3,984.00)	45.04
8188	11/20/2008	11/25/2008	345370860	F	2000.0000	1.1585	2,317.00	94.28
8189	05/21/2010	05/26/2010	767754104	RAD	2000.0000	1.1599	2,319.80	94.33
8190	03/05/2009	03/10/2009	728117300	PLA	-2000.0000	1.1601	(2,320.20)	94.34
8191	10/18/2007	10/23/2007	761525500	REV	-2000.0000	1.1601	(2,320.20)	94.34
8192	12/05/2006	12/08/2006	78403A106	SCOX	2000.0000	1.1600	2,320.00	94.34
8193	05/21/2010	05/26/2010	313400301	FMCC	-2000.0000	1.1600	(2,320.00)	94.34
8194	03/23/2009	03/26/2009	64190A103	NRO	-2000.0000	1.1600	(2,320.00)	94.34
8195	08/18/2009	08/21/2009	989834106	ZF	1500.0000	2.9596	4,439.40	22.42
8196	02/02/2006	02/07/2006	06769P201	BBSE	2000.0000	1.9275	3,855.00	51.69
8197	03/31/2010	04/06/2010	048425102	ACFC	-1539.0000	2.5000	(3,847.50)	52.13
8198	02/02/2006	02/07/2006	06769P201	BBSE	2000.0000	1.9300	3,860.00	51.51
8199	02/02/2006	02/07/2006	06769P201	BBSE	2000.0000	1.9300	3,860.00	51.51
8200	12/06/2006	12/11/2006	318465101	FACT	-2250.0000	1.9900	(4,477.50)	20.66
8201	01/02/2009	01/07/2009	989834106	ZF	1500.0000	2.9680	4,452.00	22.00
8202	06/10/2009	06/15/2009	09255R103	DSU	1500.0000	2.9700	4,455.00	21.90
8203	08/15/2006	08/18/2006	293848107	ENTU	1500.0000	2.9700	4,455.00	21.90
8204	04/29/2010	05/04/2010	30033R108	ESLR	2000.0000	1.1694	2,338.80	94.70
8205	06/02/2009	06/05/2009	767754104	RAD	-2500.0000	1.4800	(3,700.00)	59.72
8206	06/25/2009	06/30/2009	370442105	GMGMQ	-2000.0000	1.1700	(2,340.00)	94.72
8207	01/03/2007	01/08/2007	131347106	CPNLQ	2000.0000	1.1700	2,340.00	94.72
8208	02/04/2010	02/09/2010	837841105	TSFG	10000.0000	0.3800	3,800.00	54.73

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8209	12/13/2007	12/18/2007	58447Q101	MRED	100000.0000	0.0380	3,800.00	54.73
8210	08/26/2009	08/31/2009	903328300	USCN	-2000.0000	1.5050	(3,010.00)	94.24
8211	03/30/2010	04/05/2010	67461T206	TEAR	1800.0000	2.6486	4,767.48	6.38
8212	04/19/2010	04/22/2010	30033R108	ESLR	-2000.0000	1.1706	(2,341.20)	94.75
8213	03/02/2010	03/05/2010	984249102	YRCW	6300.0000	0.4355	2,743.65	94.79
8214	04/30/2007	05/03/2007	26881P103	EPMD	-1700.0000	2.1024	(3,574.08)	66.13
8215	03/04/2010	03/09/2010	86272T106	BEE	1500.0000	2.9799	4,469.85	21.40
8216	12/09/2008	12/12/2008	37247D106	GNW	2000.0000	2.4285	4,857.00	2.09
8217	10/08/2010	10/14/2010	225744101	CRFN	-1625.0000	2.6318	(4,276.68)	31.17
8218	06/02/2008	06/05/2008	05348W109	AVNX	3500.0000	1.1694	4,092.90	40.36
8219	07/18/2006	07/21/2006	922281100	VSTY	1530.0000	3.0700	4,697.10	10.16
8220	08/28/2009	09/02/2009	590418109	MERR	2000.0000	1.1776	2,355.20	95.02
8221	06/23/2008	06/26/2008	82966U103	SIRI	2000.0000	1.9495	3,899.00	50.14
8222	09/08/2009	09/11/2009	336453105	FSNM	2000.0000	1.1794	2,358.80	95.09
8223	05/20/2008	05/23/2008	112502109	BXX	2000.0000	1.1800	2,360.00	95.11
8224	02/16/2007	02/22/2007	83426W101	SOLM	2000.0000	1.1800	2,360.00	95.11
8225	09/23/2009	09/28/2009	767754104	RAD	-2000.0000	1.9503	(3,900.60)	50.09
8226	11/17/2008	11/20/2008	37247D106	GNW	2000.0000	1.5185	3,037.00	93.32
8227	09/28/2009	10/01/2009	00765H107	ADLS	10000.0000	0.2775	2,775.00	95.28
8228	04/04/2007	04/10/2007	49689D205	KNGS	-55000.0000	0.0500	(2,750.00)	95.28
8229	03/15/2006	03/20/2006	123720104	BUKS	5000.0000	0.5500	2,750.00	95.28
8230	02/26/2008	02/29/2008	806732103	SCTN	-27500.0000	0.1000	(2,750.00)	95.28
8231	08/12/2009	08/17/2009	27922Y103	ETLY	12500.0000	0.2200	2,750.00	95.28
8232	03/06/2009	03/11/2009	294113105	EESO	-250000.0000	0.0110	(2,750.00)	95.28
8233	04/20/2006	04/25/2006	879292100	TLCO	-10000.0000	0.2750	(2,750.00)	95.28
8234	04/16/2008	04/21/2008	27944M103	EDNE	3438.0000	0.8000	2,750.40	95.31
8235	08/09/2006	08/14/2006	549463107	LU	-1640.0000	2.1201	(3,476.96)	71.48
8236	07/07/2006	07/12/2006	968077107	WBRS	45844.0000	0.0600	2,750.64	95.33
8237	05/05/2006	05/10/2006	88428W108	TWTI	1500.0000	3.0000	4,500.00	20.39
8238	12/21/2007	12/27/2007	232828301	CYTR	1500.0000	2.9999	4,499.85	20.40
8239	11/07/2008	11/13/2008	345370860	F	2000.0000	1.9600	3,920.00	49.40
8240	07/16/2009	07/21/2009	452907108	IMMU	-1500.0000	3.0009	(4,501.35)	20.34
8241	03/19/2009	03/24/2009	026874107	AIG	2500.0000	1.5500	3,875.00	51.74
8242	01/13/2009	01/16/2009	254668106	DSCO	-2000.0000	1.1900	(2,380.00)	95.49
8243	03/10/2009	03/13/2009	37247D106	GNW	2000.0000	1.1899	2,379.80	95.49
8244	12/17/2009	12/22/2009	232828301	CYTR	2000.0000	1.1899	2,379.80	95.49
8245	07/14/2008	07/17/2008	97750V109	WZE	2000.0000	1.1899	2,379.80	95.49
8246	04/07/2009	04/13/2009	720279108	PIR	5000.0000	0.7630	3,815.00	54.85
8247	10/12/2006	10/17/2006	928628106	VOII	-7500.0000	0.4733	(3,549.75)	68.11
8248	04/06/2006	04/11/2006	33938W206	FLXT	1700.0000	2.1300	3,621.00	64.56
8249	09/03/2009	09/09/2009	989834106	ZF	1500.0000	3.0090	4,513.50	19.95
8250	08/02/2010	08/05/2010	453838104	IBCP	10000.0000	0.3550	3,550.00	68.12
8251	03/26/2009	03/31/2009	761640101	RNN	5000.0000	0.7100	3,550.00	68.12
8252	12/28/2007	01/03/2008	971889100	WOC	-1475.0000	3.0102	(4,440.05)	23.65
8253	04/22/2009	04/27/2009	87311M102	TXCO	-4000.0000	0.7307	(2,922.80)	95.69
8254	12/06/2006	12/11/2006	640518106	NEOP	-15000.0000	0.2500	(3,750.00)	58.20
8255	03/09/2007	03/14/2007	67060N204	NTRZ	1500.0000	3.0133	4,519.95	19.72
8256	12/12/2008	12/17/2008	87311M102	TXCO	2000.0000	1.9710	3,942.00	48.63
8257	03/20/2009	03/25/2009	721467108	PGPDQ	2300.0000	2.0322	4,674.06	12.11
8258	01/15/2009	01/21/2009	466090107	JASO	-1500.0000	3.0167	(4,525.05)	19.56
8259	06/05/2008	06/10/2008	73932B105	PWSV	1500.0000	3.0167	4,525.05	19.56
8260	03/21/2006	03/24/2006	05348W109	AVNX	1500.0000	3.2139	4,820.85	4.81
8261	06/03/2010	06/08/2010	427093109	HERO	1495.0000	3.0185	4,512.66	20.22
8262	09/23/2008	09/26/2008	313400301	FRE	-2000.0000	1.2000	(2,400.00)	95.88
8263	05/26/2009	05/29/2009	89323B306	TENG	2000.0000	1.2000	2,400.00	95.88
8264	02/18/2010	02/23/2010	14067D102	CPST	-2000.0000	1.2000	(2,400.00)	95.88
8265	09/20/2006	09/25/2006	67030P107	NSOL	2000.0000	1.2000	2,400.00	95.88

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
8266	04/28/2006	05/03/2006	651360109	NWGN	-2000.0000	1.2000	(2,400.00)	95.88
8267	04/24/2006	04/27/2006	651360109	NWGN	-2000.0000	1.2000	(2,400.00)	95.88
8268	05/28/2009	06/02/2009	004304200	AXK	1500.0000	3.0200	4,530.00	19.39
8269	06/23/2009	06/26/2009	172967101	C	-1500.0000	3.0200	(4,530.00)	19.39
8270	01/09/2007	01/12/2007	957669104	ZIF	-1500.0000	3.0200	(4,530.00)	19.39
8271	07/09/2010	07/14/2010	759720105	RCG	-1700.0000	2.1400	(3,638.00)	63.99
8272	04/14/2008	04/17/2008	007947104	AERT	-5000.0000	0.8251	(4,125.50)	39.73
8273	09/26/2006	09/29/2006	92833K204	VGVI	-2500.0000	1.1000	(2,750.00)	96.08
8274	11/23/2007	11/28/2007	88409N101	THK	-1850.0000	1.4700	(2,719.50)	96.09
8275	07/14/2009	07/17/2009	125581108	CIT	-3000.0000	1.4106	(4,231.80)	34.51
8276	06/23/2009	06/26/2009	172967101	C	1500.0000	3.0300	4,545.00	18.89
8277	11/07/2008	11/13/2008	026874107	AIG	1700.0000	2.1500	3,655.00	63.42
8278	01/13/2010	01/19/2010	378973408	GSAT	2000.0000	1.2100	2,420.00	96.27
8279	09/30/2008	10/03/2008	313586109	FNM	2900.0000	1.6191	4,695.39	11.53
8280	04/27/2009	04/30/2009	370442105	GM	2290.0000	2.0500	4,694.50	11.63
8281	10/21/2009	10/26/2009	023850100	APP	-1500.0000	3.0406	(4,560.90)	18.36
8282	08/21/2009	08/26/2009	68555P100	ORBC	1700.0000	2.1600	3,672.00	62.85
8283	10/23/2008	10/28/2008	75061P102	RAE	-1850.0000	1.4800	(2,738.00)	96.46
8284	03/20/2006	03/23/2006	98425R101	YTBL	2000.0000	1.2150	2,430.00	96.46
8285	02/10/2009	02/13/2009	74347R743	UYG	-1500.0000	3.0450	(4,567.50)	18.14
8286	08/10/2007	08/15/2007	125961300	CTIB	1500.0000	3.0472	4,570.80	18.02
8287	10/10/2008	10/16/2008	345370860	F	2000.0000	2.0000	4,000.00	46.59
8288	10/08/2007	10/11/2007	206710204	CCUR	2000.0000	1.3643	2,728.60	96.60
8289	05/12/2009	05/15/2009	370442105	GM	2000.0000	1.2200	2,440.00	96.65
8290	11/17/2006	11/22/2006	247361108	DALRQ	-2000.0000	1.2200	(2,440.00)	96.65
8291	03/20/2009	03/25/2009	026874107	AIG	2000.0000	1.2200	2,440.00	96.65
8292	05/02/2006	05/05/2006	549463107	LU	-1512.0000	2.7501	(4,158.15)	38.76
8293	10/02/2009	10/07/2009	125581108	CIT	-2000.0000	1.2206	(2,441.20)	96.68
8294	05/02/2007	05/07/2007	91274T104	USWF	1000000.0000	0.0049	4,900.00	1.71
8295	06/25/2009	06/30/2009	91688W107	UPTR	-10000.0000	0.2769	(2,769.00)	96.75
8296	06/08/2009	06/11/2009	767754104	RAD	-3000.0000	1.4300	(4,290.00)	32.27
8297	01/28/2009	02/02/2009	92823T108	VHC	3000.0000	1.4300	4,290.00	32.27
8298	06/29/2009	07/02/2009	814047106	SBKC	-7540.0000	0.4146	(3,126.08)	90.57
8299	09/10/2009	09/15/2009	529900102	ASG	1500.0000	3.0600	4,590.00	17.38
8300	03/05/2009	03/10/2009	571837103	MI	1500.0000	3.0660	4,599.00	17.08
8301	01/19/2006	01/24/2006	380795104	GAPJ	2500.0000	1.5500	3,875.00	53.29
8302	01/19/2006	01/24/2006	380795104	GAPJ	2500.0000	1.5500	3,875.00	53.29
8303	06/12/2009	06/17/2009	370442105	GMGMQ	2000.0000	1.2300	2,460.00	97.04
8304	11/25/2008	12/01/2008	032515108	ANAD	2000.0000	1.2300	2,460.00	97.04
8305	11/25/2008	12/01/2008	032515108	ANAD	2000.0000	1.2300	2,460.00	97.04
8306	12/05/2006	12/08/2006	85771Q100	STCA	5000.0000	0.7200	3,600.00	67.07
8307	04/19/2007	04/24/2007	458168101	ITLU	2600.0000	1.8000	4,680.00	13.11
8308	07/21/2006	07/26/2006	922281100	VSTY	1500.0000	3.0700	4,605.00	16.88
8309	01/27/2010	02/01/2010	58734P105	MBR	-1606.0000	2.3593	(3,789.04)	57.75
8310	10/21/2008	10/24/2008	47777N101	JOEZ	-5000.0000	0.9000	(4,500.00)	22.21
8311	03/22/2006	03/27/2006	023434103	AANI	3000.0000	0.9250	2,775.00	97.21
8312	03/21/2006	03/24/2006	052194107	ACKO	15000.0000	0.1850	2,775.00	97.21
8313	07/21/2006	07/26/2006	381536101	GSPG	250000.0000	0.0111	2,775.00	97.21
8314	08/31/2010	09/03/2010	86806M205	SUPR	2700.0000	1.1100	2,997.00	97.22
8315	12/10/2008	12/15/2008	337930101	FBC	-5000.0000	0.5551	(2,775.50)	97.25
8316	04/06/2010	04/09/2010	27887F107	ECOB	10000.0000	0.3844	3,844.00	55.08
8317	10/23/2006	10/26/2006	04289L107	ARTG	1700.0000	2.3655	4,021.35	46.28
8318	08/08/2007	08/13/2007	82966U103	SIRI	1500.0000	3.0800	4,620.00	16.38
8319	06/09/2009	06/12/2009	370442105	GMGMQ	2000.0000	1.2400	2,480.00	97.42
8320	03/03/2009	03/06/2009	172967101	C	2000.0000	1.2399	2,479.80	97.42
8321	03/26/2009	03/31/2009	749941100	RFMD	1960.0000	1.2400	2,430.40	97.42
8322	07/07/2006	07/12/2006	549463107	LU	-1900.0000	2.3701	(4,503.19)	22.29

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8323	07/07/2006	07/12/2006	549463107	LU	-1850.0000	2.3701	(4,384.69)	28.22
8324	06/23/2006	06/28/2006	89989X105	TTEG	-3000.0000	1.4500	(4,350.00)	29.96
8325	09/07/2010	09/10/2010	03074A102	ASRV	-2051.0000	1.7200	(3,527.72)	71.07
8326	10/16/2009	10/21/2009	492515101	KERX	1700.0000	2.2000	3,740.00	60.57
8327	05/19/2006	05/24/2006	923425102	VRDM	25000.0000	0.1540	3,850.00	55.13
8328	11/17/2008	11/20/2008	171871106	CBB	-1800.0000	1.8320	(3,297.60)	82.75
8329	03/27/2009	04/01/2009	59318T109	CIF	-1816.0000	1.5941	(2,894.89)	97.72
8330	11/20/2008	11/25/2008	336453105	FSNM	2600.0000	1.8190	4,729.40	11.26
8331	11/18/2008	11/21/2008	269246104	ETFC	3000.0000	1.2600	3,780.00	58.75
8332	10/12/2007	10/17/2007	206710204	CCUR	-2000.0000	1.1461	(2,292.20)	97.80
8333	02/10/2009	02/13/2009	68375N103	OPK	-2000.0000	1.2501	(2,500.20)	97.81
8334	11/19/2008	11/24/2008	345370860	F	2000.0000	1.2500	2,500.00	97.81
8335	04/25/2006	04/28/2006	69073F103	OWENQ	2000.0000	1.2500	2,500.00	97.81
8336	04/10/2006	04/13/2006	651360109	NWGN	-2000.0000	1.2500	(2,500.00)	97.81
8337	04/12/2006	04/18/2006	651360109	NWGN	-2000.0000	1.2500	(2,500.00)	97.81
8338	05/24/2006	05/30/2006	45768R305	INFN	-2000.0000	1.2500	(2,500.00)	97.81
8339	02/27/2007	03/02/2007	03062Y104	AWNE	1700.0000	2.2100	3,757.00	60.01
8340	09/14/2010	09/17/2010	52729N100	LVL	-2100.0000	1.0100	(2,121.00)	97.88
8341	03/31/2006	04/05/2006	05106Y107	AENC	-3200.0000	0.8700	(2,784.00)	97.91
8342	07/19/2006	07/24/2006	922281100	VSTY	1500.0000	3.1053	4,657.95	15.10
8343	08/10/2007	08/15/2007	64124W106	NTII	-2000.0000	1.2566	(2,513.20)	98.06
8344	03/07/2008	03/12/2008	885218107	TMA	-2000.0000	1.2570	(2,514.00)	98.08
8345	03/08/2007	03/13/2007	67060N204	NTRZ	-1500.0000	3.1100	(4,665.00)	14.87
8346	07/29/2009	08/03/2009	269246104	ETFC	3000.0000	1.4699	4,409.70	27.68
8347	11/13/2009	11/18/2009	210502100	CPSS	-1950.0000	1.2600	(2,457.00)	98.20
8348	06/15/2010	06/18/2010	023139108	ABK	4000.0000	0.8985	3,594.00	68.63
8349	10/29/2009	11/03/2009	989834106	ZF	1500.0000	3.1199	4,679.85	14.38
8350	05/01/2008	05/06/2008	37364Q104	GETC	7750.0000	0.3600	2,790.00	98.37
8351	07/28/2006	08/02/2006	549463107	LU	-2290.0000	2.1201	(4,855.03)	5.73
8352	10/29/2009	11/03/2009	989834106	ZF	-1500.0000	3.1248	(4,687.20)	14.13
8353	04/06/2006	04/11/2006	524636107	LRCI	-3500.0000	0.9000	(3,150.00)	91.04
8354	08/08/2007	08/13/2007	30208Q109	EXBX	-13000.0000	0.3800	(4,940.00)	1.57
8355	11/20/2008	11/25/2008	345370860	F	2000.0000	1.2700	2,540.00	98.58
8356	07/21/2010	07/26/2010	796043107	SSN	2000.0000	1.2700	2,540.00	98.58
8357	02/22/2007	02/27/2007	005000104	ACRI	2000.0000	1.2700	2,540.00	98.58
8358	08/27/2010	09/01/2010	796043107	SSN	-2000.0000	1.2700	(2,540.00)	98.58
8359	01/18/2008	01/24/2008	767754104	RAD	2000.0000	2.0700	4,140.00	41.68
8360	03/01/2010	03/04/2010	758338107	REED	2500.0000	1.6000	4,000.00	48.69
8361	03/23/2007	03/28/2007	743429102	PRPM	40000.0000	0.0900	3,600.00	68.70
8362	01/26/2006	01/31/2006	988480109	YGDC	-4000.0000	0.9000	(3,600.00)	68.70
8363	12/31/2008	01/06/2009	25537X106	DCW	1500.0000	3.1360	4,704.00	13.57
8364	12/08/2008	12/11/2008	85857R105	STEM	3000.0000	1.0100	3,030.00	97.27
8365	09/08/2008	09/11/2008	313586109	FNM	-2000.0000	1.2750	(2,550.00)	98.77
8366	09/17/2010	09/22/2010	095333100	BLU	1500.0000	3.1391	4,708.65	13.41
8367	07/23/2007	07/26/2007	82966U103	SIRI	-1500.0000	3.1400	(4,710.00)	13.36
8368	04/23/2009	04/28/2009	172967101	C	1492.0000	3.1400	4,684.88	14.62
8369	07/22/2009	07/27/2009	64190A103	NRO	-1704.0000	2.0300	(3,459.12)	75.91
8370	12/29/2006	01/04/2007	26881P103	EPMD	-2000.0000	1.2801	(2,560.20)	98.97
8371	04/13/2009	04/16/2009	69881X106	PAPA	2000.0000	1.2800	2,560.00	98.97
8372	11/06/2006	11/09/2006	04012E107	AGXM	2000.0000	1.2800	2,560.00	98.97
8373	09/01/2009	09/04/2009	837841105	TSFG	-2620.0000	1.6701	(4,375.66)	30.20
8374	08/29/2006	09/01/2006	05348W109	AVNX	2000.0000	2.0445	4,089.00	44.55
8375	01/15/2010	01/21/2010	561911108	HYF	2250.0000	2.1390	4,812.75	8.42
8376	04/01/2009	04/06/2009	370442105	GM	-1800.0000	1.8805	(3,384.90)	79.83
8377	09/23/2008	09/26/2008	939322103	WM	1500.0000	3.1490	4,723.50	12.92
8378	10/20/2008	10/23/2008	229393301	CRZ	3500.0000	0.7999	2,799.65	99.11
8379	02/29/2008	03/05/2008	02505A103	XSI	-1500.0000	3.1501	(4,725.15)	12.86

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8380	04/06/2010	04/09/2010	458738101	ILI	-5000.0000	0.7308	(3,654.00)	66.42
8381	12/11/2006	12/14/2006	59506W104	MICG	-5000.0000	0.5600	(2,800.00)	99.14
8382	06/02/2009	06/05/2009	238084107	DTMG	-70000.0000	0.0400	(2,800.00)	99.14
8383	03/26/2007	03/29/2007	67087W104	OMOG	2000000.0000	0.0014	2,800.00	99.14
8384	04/06/2006	04/11/2006	398163105	GFCI	10000.0000	0.2800	2,800.00	99.14
8385	11/18/2009	11/23/2009	591556105	MTIZ	-500000.0000	0.0056	(2,800.00)	99.14
8386	09/19/2008	09/24/2008	89025E103	TCM	-1500.0000	3.1520	(4,728.00)	12.76
8387	03/09/2009	03/12/2009	19247W102	RLF	-1900.0000	1.5544	(2,953.36)	99.19
8388	06/21/2007	06/26/2007	457669208	INSM	5100.0000	0.7076	3,609.02	68.81
8389	02/10/2006	02/15/2006	888314101	TTP	2000.0000	2.0900	4,180.00	40.27
8390	10/16/2009	10/21/2009	812139301	ZZ	1500.0000	3.1590	4,738.50	12.42
8391	09/17/2008	09/22/2008	03460L100	ANSV	2000.0000	1.2900	2,580.00	99.35
8392	12/16/2009	12/21/2009	247907207	DPTR	2000.0000	1.2900	2,580.00	99.35
8393	01/11/2006	01/17/2006	69073F103	OWENQ	-1500.0000	3.1600	(4,740.00)	12.36
8394	06/19/2006	06/22/2006	032681108	ANLY	-2250.0000	2.1500	(4,837.50)	7.51
8395	02/03/2006	02/08/2006	59139P104	MSLV	1483.0000	3.3304	4,938.98	2.63
8396	05/29/2009	06/03/2009	290846104	EMKR	2000.0000	1.2960	2,592.00	99.58
8397	09/17/2010	09/22/2010	095333100	BLU	1500.0000	3.1691	4,753.65	11.91
8398	10/08/2009	10/14/2009	82967N108	SIRI	-5000.0000	0.5613	(2,806.50)	99.64
8399	02/09/2009	02/12/2009	345370860	F	1750.0000	1.9000	3,325.00	83.40
8400	05/05/2009	05/08/2009	051526200	AUSI	2864.0000	0.9800	2,806.72	99.66
8401	08/24/2009	08/27/2009	313586109	FNM	-2500.0000	1.6300	(4,075.00)	45.93
8402	11/21/2008	11/26/2008	345370860	F	2000.0000	1.2985	2,597.00	99.68
8403	03/10/2009	03/13/2009	172967101	C	2000.0000	1.3000	2,600.00	99.74
8404	09/24/2009	09/29/2009	150934503	CTIC	-2000.0000	1.3000	(2,600.00)	99.74
8405	08/06/2008	08/11/2008	31787A101	FNSR	2000.0000	1.3000	2,600.00	99.74
8406	05/21/2007	05/24/2007	761525500	REV	2000.0000	1.3000	2,600.00	99.74
8407	10/10/2006	10/13/2006	33938W206	FLXT	-2000.0000	1.3000	(2,600.00)	99.74
8408	01/11/2010	01/14/2010	68403Y105	OPTZ	-6150.0000	0.3444	(2,118.06)	99.84
8409	03/16/2007	03/21/2007	957669104	ZIF	1520.0000	3.0192	4,589.18	20.39
8410	06/03/2008	06/06/2008	73932B105	PWSV	1500.0000	3.1800	4,770.00	11.36
8411	05/29/2009	06/03/2009	370442105	GM	-3525.0000	0.7971	(2,809.78)	99.90
8412	06/13/2008	06/18/2008	82966U103	SIRI	2000.0000	2.4995	4,999.00	0.05
8413	08/11/2008	08/14/2008	78464A888	XHB	250.0000	19.9800	4,995.00	0.25
8414	12/14/2006	12/19/2006	37229P507	GTOP	1500.0000	3.3000	4,950.00	2.50
8415	04/30/2007	05/03/2007	13710T100	CAUI	5000.0000	0.9850	4,925.00	3.75
8416	02/02/2006	02/07/2006	682875109	ONSM	5000.0000	0.9800	4,900.00	5.00
8417	09/24/2009	09/29/2009	68555P100	ORBC	1800.0000	2.7167	4,890.06	5.50
8418	05/25/2010	05/28/2010	87161C105	SNV	-1900.0000	2.5510	(4,846.90)	7.66
8419	11/12/2009	11/17/2009	14018Y106	CGLD	-5600.0000	0.8620	(4,827.20)	8.64
8420	10/03/2007	10/09/2007	781748108	RURL	1500.0000	3.2000	4,800.00	10.00
8421	08/18/2010	08/23/2010	767754104	RAD	-5000.0000	0.9570	(4,785.00)	10.75
8422	06/20/2008	06/25/2008	388689101	GPK	2000.0000	2.3699	4,739.80	13.01
8423	11/12/2008	11/17/2008	370442105	GM	1500.0000	3.1385	4,707.75	14.61
8424	10/17/2006	10/20/2006	192185106	CFPC	-5000.0000	0.9400	(4,700.00)	15.00
8425	03/15/2007	03/20/2007	031539109	AMNT	1800.0000	2.6000	4,680.00	16.00
8426	03/02/2009	03/05/2009	040725103	AKDS	15000.0000	0.3100	4,650.00	17.50
8427	12/01/2009	12/04/2009	585013105	MZEI	-12500.0000	0.3700	(4,625.00)	18.75
8428	11/16/2006	11/21/2006	247361108	DALRQ	3000.0000	1.5400	4,620.00	19.00
8429	11/17/2006	11/22/2006	667280101	NWACQ	-1920.0000	2.3800	(4,569.60)	21.52
8430	06/17/2009	06/22/2009	195493309	CNB	-5000.0000	0.9002	(4,501.00)	24.95
8431	08/05/2010	08/10/2010	02311T106	AMOK	3000.0000	1.5000	4,500.00	25.00
8432	01/05/2010	01/08/2010	45320R108	IMSC	-14223.0000	0.3122	(4,440.42)	27.98
8433	04/23/2009	04/28/2009	899035505	TUES	2000.0000	2.2110	4,422.00	28.90
8434	10/19/2009	10/22/2009	97750V109	WZE	10000.0000	0.4400	4,400.00	30.00
8435	11/10/2009	11/16/2009	09062H108	BIEL	60000.0000	0.0714	4,284.00	35.80
8436	01/20/2006	01/25/2006	456261304	IDSJ	10000.0000	0.4200	4,200.00	40.00

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
8437	02/18/2010	02/23/2010	796043107	SSN	-10000.0000	0.4200	(4,200.00)	40.00
8438	03/07/2007	03/12/2007	367626108	GTW	2000.0000	2.0550	4,110.00	44.50
8439	07/20/2010	07/23/2010	174420109	CRBC	5000.0000	0.8195	4,097.50	45.13
8440	07/23/2008	07/28/2008	720279108	PIR	1000.0000	4.0385	4,038.50	48.08
8441	10/10/2008	10/16/2008	47777N101	JOEZ	-5000.0000	0.8000	(4,000.00)	50.00
8442	04/11/2008	04/16/2008	29382L100	ETAD	-10000.0000	0.4000	(4,000.00)	50.00
8443	06/12/2009	06/17/2009	073677106	BCON	-3800.0000	1.0000	(3,800.00)	60.00
8444	04/15/2009	04/20/2009	172967101	C	1000.0000	3.7900	3,790.00	60.50
8445	02/12/2010	02/18/2010	796043107	SSN	10000.0000	0.3790	3,790.00	60.50
8446	12/11/2006	12/14/2006	368689105	GLGC	-2500.0000	1.5111	(3,777.75)	61.11
8447	12/22/2006	12/28/2006	368689105	GLGC	-2500.0000	1.5011	(3,752.75)	62.36
8448	11/16/2009	11/19/2009	939322103	WAMUQ	30000.0000	0.1250	3,750.00	62.50
8449	06/05/2009	06/10/2009	150837409	CVM	6400.0000	0.5797	3,710.08	64.50
8450	02/22/2010	02/25/2010	97750V109	WZE	10500.0000	0.3500	3,675.00	66.25
8451	12/14/2006	12/19/2006	80603Q105	SCNI	-9000.0000	0.4000	(3,600.00)	70.00
8452	01/24/2008	01/29/2008	31567R100	FTWR	-2500.0000	1.4100	(3,525.00)	73.75
8453	12/14/2006	12/19/2006	10531R107	BPTR	-40690.0000	0.0850	(3,458.65)	77.07
8454	07/22/2008	07/25/2008	720279108	PIR	1000.0000	3.4485	3,448.50	77.58
8455	06/29/2006	07/05/2006	928628106	VOII	-5000.0000	0.6300	(3,150.00)	92.50
8456	12/29/2009	01/04/2010	062401104	GRAN	5000.0000	0.6228	3,114.00	94.30
8457	06/19/2006	06/22/2006	928628106	VOII	-5000.0000	0.6100	(3,050.00)	97.50
8458	09/25/2007	09/28/2007	89235L103	TYHR	-5000.0000	0.5800	(2,900.00)	100.00
8459	10/11/2007	10/16/2007	89235L103	TYHR	-5000.0000	0.5800	(2,900.00)	100.00
8460	11/12/2008	11/17/2008	82967N108	SIRI	10000.0000	0.2540	2,540.00	100.00
8461	06/04/2009	06/09/2009	98425R200	YTBLA	13000.0000	0.1500	1,950.00	100.00
8462	08/11/2006	08/16/2006	855244109	SBUX	29.0000	29.8500	865.65	100.00
8463	02/21/2006	02/24/2006	26884J104	ERHE	5000.0000	0.8400	4,200.00	40.03
8464	03/24/2009	03/27/2009	370442105	GM	1500.0000	3.1900	4,785.00	10.85
8465	10/11/2006	10/16/2006	25278S100	DHCC	1500.0000	3.1900	4,785.00	10.85
8466	07/06/2006	07/11/2006	09065H303	BPUR	2000.0000	1.3100	2,620.00	100.13
8467	03/27/2008	04/01/2008	05348W109	AVNX	-5500.0000	0.6553	(3,604.15)	70.04
8468	03/15/2010	03/18/2010	313586109	FNM	-3000.0000	1.0500	(3,150.00)	92.76
8469	12/09/2008	12/12/2008	345370860	F	1500.0000	3.2000	4,800.00	10.35
8470	08/07/2007	08/10/2007	45254P102	IMH	3000.0000	1.5360	4,608.00	20.05
8471	04/13/2006	04/19/2006	367626108	GTW	2000.0000	2.1300	4,260.00	37.46
8472	08/08/2008	08/13/2008	82967N108	SIRI	-2000.0000	1.3200	(2,640.00)	100.51
8473	12/10/2008	12/15/2008	74157K846	PRM	2000.0000	1.3199	2,639.80	100.51
8474	03/18/2009	03/23/2009	82967N108	SIRI	10000.0000	0.3900	3,900.00	55.52
8475	05/30/2008	06/04/2008	45244W100	IMDS	100000.0000	0.0390	3,900.00	55.52
8476	11/21/2008	11/26/2008	427825104	HT	-1612.0000	2.4500	(3,949.40)	53.07
8477	03/02/2007	03/07/2007	67060N204	NTRZ	-1500.0000	3.2100	(4,815.00)	9.85
8478	12/17/2009	12/22/2009	172967101	C	1500.0000	3.2099	4,814.85	9.86
8479	01/20/2006	01/25/2006	225223106	CRAY	-2000.0000	2.1350	(4,270.00)	37.11
8480	04/30/2009	05/05/2009	313586109	FNM	-3700.0000	0.7619	(2,819.03)	100.61
8481	10/29/2008	11/03/2008	313400301	FRE	-2800.0000	1.0700	(2,996.00)	100.62
8482	12/20/2007	12/26/2007	82966U103	SIRI	-1500.0000	3.2118	(4,817.70)	9.77
8483	11/30/2007	12/05/2007	784933103	SGRP	-5000.0000	0.5639	(2,819.50)	100.65
8484	07/28/2006	08/02/2006	192185106	CFPC	-2625.0000	1.2300	(3,228.75)	89.23
8485	11/21/2008	11/26/2008	48248A306	KFN	3000.0000	0.9400	2,820.00	100.68
8486	07/09/2007	07/12/2007	073677106	BCON	2000.0000	2.1400	4,280.00	36.76
8487	11/16/2006	11/21/2006	922281100	VSTY	-2100.0000	2.3000	(4,830.00)	9.34
8488	05/27/2010	06/02/2010	427093109	HERO	1500.0000	3.2200	4,830.00	9.35
8489	09/30/2009	10/05/2009	125581108	CIT	2000.0000	1.3299	2,659.80	100.89
8490	11/17/2009	11/20/2009	619430101	MRT	-1500.0000	3.2220	(4,833.00)	9.25
8491	07/22/2009	07/27/2009	269246104	ETFC	-2000.0000	1.3300	(2,660.00)	100.90
8492	05/02/2007	05/07/2007	207142100	CNXT	-3000.0000	1.5500	(4,650.00)	18.44
8493	11/09/2007	11/15/2007	31787A101	FNSR	1715.0000	2.0500	3,515.75	75.21

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8494	01/06/2009	01/09/2009	58047P107	MCGC	2500.0000	1.6700	4,175.00	42.26
8495	03/09/2006	03/14/2006	44043J105	HOFF	5000.0000	0.7275	3,637.50	69.15
8496	09/16/2009	09/21/2009	71902E109	PNX	1500.0000	3.2290	4,843.50	8.89
8497	12/18/2007	12/21/2007	45772B106	IVDN	-5000.0000	0.5650	(2,825.00)	101.07
8498	08/12/2009	08/17/2009	370021107	GGWPQ	-1500.0000	3.2300	(4,845.00)	8.85
8499	04/07/2009	04/13/2009	055662100	BSDM	-1775.0000	1.9493	(3,460.01)	78.12
8500	03/19/2008	03/25/2008	12507E102	CEXI	10000.0000	0.3400	3,400.00	81.12
8501	10/25/2006	10/30/2006	63644U103	NHYB	46900.0000	0.0900	4,221.00	40.11
8502	01/04/2006	01/09/2006	713661106	PPHM	4000.0000	0.9100	3,640.00	69.17
8503	02/25/2009	03/02/2009	316773100	FITB	2000.0000	1.3380	2,675.00	101.21
8504	01/11/2007	01/17/2007	60477P102	MCGL	25000.0000	0.1999	4,997.50	1.35
8505	01/05/2010	01/08/2010	55970P203	MDOR	-4300.0000	0.9100	(3,913.00)	55.62
8506	05/26/2009	05/29/2009	370442105	GM	2000.0000	1.3400	2,680.00	101.28
8507	05/27/2009	06/01/2009	370442105	GM	2000.0000	1.3400	2,680.00	101.28
8508	12/17/2009	12/22/2009	313400301	FRE	-2000.0000	1.3410	(2,682.00)	101.32
8509	11/11/2008	11/14/2008	598251106	MBHI	-1500.0000	3.2393	(4,858.95)	8.38
8510	04/18/2007	04/23/2007	131347106	CPNLQ	1500.0000	3.2400	4,860.00	8.34
8511	01/17/2006	01/20/2006	498724103	KDSM	1500.0000	3.2400	4,860.00	8.34
8512	09/21/2006	09/26/2006	04289L107	ARTG	1600.0000	2.9471	4,715.36	15.59
8513	11/24/2008	11/28/2008	195493309	CNB	1700.0000	2.3394	3,976.98	52.64
8514	06/25/2010	06/30/2010	062401104	GRAN	-2056.0000	1.1000	(2,261.60)	101.52
8515	12/31/2008	01/06/2009	01923A109	AHCI	-3300.0000	1.0200	(3,366.00)	83.26
8516	07/31/2009	08/05/2009	52729N100	LVLTL	-2500.0000	1.2400	(3,100.00)	96.58
8517	07/09/2010	07/14/2010	943526301	WAVX	-1500.0000	3.2500	(4,875.00)	7.84
8518	08/20/2008	08/25/2008	695459107	PAET	1459.0000	3.2500	4,741.75	14.50
8519	07/21/2009	07/24/2009	452907108	IMMU	-1500.0000	3.2501	(4,875.15)	7.84
8520	10/03/2007	10/09/2007	00253U107	ASTM	2000.0000	1.3492	2,698.40	101.64
8521	10/03/2007	10/09/2007	00253U107	ASTM	2000.0000	1.3492	2,698.40	101.64
8522	04/05/2006	04/10/2006	989513205	ZILA	-1500.0000	3.2527	(4,879.05)	7.71
8523	12/29/2006	01/04/2007	74765E109	QTWW	-2500.0000	1.6900	(4,225.00)	40.41
8524	04/05/2007	04/11/2007	031539109	AMNT	-2000.0000	1.3500	(2,700.00)	101.67
8525	04/13/2006	04/19/2006	651360109	NWGN	-2000.0000	1.3500	(2,700.00)	101.67
8526	03/18/2009	03/23/2009	37247D106	GNW	2497.0000	1.8500	4,619.45	20.79
8527	03/10/2009	03/13/2009	87311M102	TXCO	4500.0000	0.6298	2,834.10	101.77
8528	12/12/2008	12/17/2008	721467108	PGPDQ	5000.0000	0.7300	3,650.00	69.28
8529	07/12/2010	07/15/2010	943526301	WAVX	-1500.0000	3.2605	(4,890.75)	7.31
8530	01/29/2009	02/03/2009	345370860	F	2335.0000	1.9588	4,573.80	23.17
8531	06/07/2010	06/10/2010	29101R107	EHSI	2050.0000	1.1100	2,275.50	101.93
8532	10/29/2008	11/03/2008	024061103	AXL	2000.0000	2.1800	4,360.00	33.96
8533	10/08/2008	10/14/2008	780097721	RBS	3000.0000	1.5800	4,740.00	14.98
8534	02/15/2007	02/21/2007	206710204	CCUR	2000.0000	1.5060	3,012.00	101.40
8535	07/31/2008	08/05/2008	82966U103	SIRI	-1840.0000	1.6400	(3,017.60)	101.13
8536	09/02/2009	09/08/2009	33735C106	FHO	1500.0000	3.2684	4,902.60	6.92
8537	11/29/2006	12/04/2006	125750109	CMGI	-2000.0000	1.3600	(2,720.00)	102.05
8538	12/11/2006	12/14/2006	922390208	VXGN	-2000.0000	1.3600	(2,720.00)	102.05
8539	02/19/2008	02/22/2008	82966U103	SIRI	-1500.0000	3.2700	(4,905.00)	6.84
8540	07/28/2009	07/31/2009	76028H100	RPRX	1631.0000	2.4000	3,914.40	56.40
8541	09/28/2010	10/01/2010	74971X107	RRI	-1402.0000	3.5700	(5,005.14)	1.90
8542	02/05/2008	02/08/2008	74269U104	PKT	2000.0000	1.3640	2,728.00	102.21
8543	05/30/2008	06/04/2008	949765101	WGA	1841.0000	1.6484	3,034.70	100.54
8544	03/03/2010	03/08/2010	984249102	YRCW	6750.0000	0.4209	2,841.08	102.31
8545	12/08/2006	12/13/2006	607500105	MDVX	3000.0000	1.5900	4,770.00	13.83
8546	07/19/2007	07/24/2007	607500105	MDVX	-2500.0000	1.7100	(4,275.00)	38.58
8547	04/09/2009	04/15/2009	316773100	FITB	1500.0000	3.2800	4,920.00	6.34
8548	05/25/2007	05/31/2007	67060N204	NTRZ	1500.0000	3.2800	4,920.00	6.34
8549	02/05/2009	02/10/2009	74347R743	UYG	1500.0000	3.2800	4,920.00	6.34
8550	12/04/2009	12/09/2009	88650R108	STONE	5000.0000	0.7863	3,931.50	55.78

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8551	03/09/2009	03/12/2009	561911108	HYF	-3056.0000	0.9300	(2,842.08)	102.39
8552	10/31/2008	11/05/2008	227046109	CROX	1800.0000	2.4385	4,389.30	32.94
8553	06/18/2007	06/21/2007	318465101	FACT	-2500.0000	1.7121	(4,280.25)	38.39
8554	05/03/2007	05/08/2007	896928108	TRPS	-5000.0000	0.7321	(3,660.50)	69.41
8555	08/02/2006	08/07/2006	247126105	DPHIQ	2000.0000	1.3700	2,740.00	102.44
8556	03/02/2009	03/05/2009	446150104	HBAN	-2000.0000	1.3703	(2,740.60)	102.45
8557	05/21/2007	05/24/2007	232984104	ATC	-2100.0000	1.8801	(3,948.21)	55.06
8558	12/16/2008	12/19/2008	91274F104	YSI	-1425.0000	3.4009	(4,846.28)	10.19
8559	11/13/2009	11/18/2009	68210T208	OMNI	-3000.0000	1.1101	(3,330.30)	85.99
8560	09/01/2010	09/07/2010	835916107	SONS	-1500.0000	3.2900	(4,935.00)	5.84
8561	02/01/2008	02/06/2008	82966U103	SIRI	1500.0000	3.2900	4,935.00	5.84
8562	07/30/2007	08/02/2007	910873207	UMC	-1500.0000	3.2900	(4,935.00)	5.84
8563	05/12/2009	05/15/2009	370442105	GM	-3200.0000	1.2200	(3,904.00)	57.43
8564	07/28/2009	07/31/2009	172967101	C	-1525.0000	2.9000	(4,422.50)	31.54
8565	06/18/2007	06/21/2007	318465101	FACT	-2500.0000	1.7202	(4,300.50)	37.64
8566	06/10/2009	06/15/2009	370442105	GMGMQ	-3000.0000	1.6000	(4,800.00)	12.67
8567	06/20/2006	06/23/2006	131347106	CPNLQ	-10000.0000	0.4250	(4,250.00)	40.22
8568	01/18/2008	01/24/2008	865378103	SUF	-1000.0000	2.9500	(2,950.00)	102.72
8569	11/01/2006	11/06/2006	553477100	MRVC	-1500.0000	3.3400	(5,010.00)	2.24
8570	04/01/2010	04/07/2010	87161C105	SNV	1500.0000	3.3400	5,010.00	2.24
8571	03/22/2007	03/27/2007	206710204	CCUR	2000.0000	1.5264	3,052.80	100.16
8572	02/08/2007	02/13/2007	206710204	CCUR	2000.0000	1.5264	3,052.80	100.16
8573	04/12/2006	04/18/2006	222814204	DVW	2100.0000	2.3999	5,039.79	0.83
8574	05/19/2009	05/22/2009	16133R106	CHRT	2000.0000	1.3799	2,759.80	102.82
8575	04/26/2006	05/01/2006	45885A102	IIP	2000.0000	1.3799	2,759.80	102.82
8576	09/10/2008	09/15/2008	34630A104	FVRG	-2000.0000	1.3800	(2,760.00)	102.83
8577	12/10/2009	12/15/2009	85857R105	STEM	2000.0000	1.3800	2,760.00	102.83
8578	09/12/2006	09/15/2006	834182107	SLR	-1500.0000	3.3001	(4,950.15)	5.33
8579	03/29/2010	04/01/2010	87161C105	SNV	-1500.0000	3.3010	(4,951.50)	5.29
8580	09/10/2009	09/15/2009	19105E103	CGCA	-3000.0000	1.1200	(3,360.00)	84.86
8581	06/18/2007	06/21/2007	318465101	FACT	-2500.0000	1.7285	(4,321.25)	36.88
8582	09/28/2007	10/03/2007	602682106	MSPD	-1900.0000	1.6700	(3,173.00)	94.30
8583	08/07/2009	08/12/2009	062128103	BOFL	1500.0000	3.3500	5,025.00	1.71
8584	09/06/2007	09/11/2007	82966U103	SIRI	1500.0000	3.3500	5,025.00	1.71
8585	09/16/2009	09/21/2009	71902E109	PNX	1500.0000	3.3499	5,024.85	1.72
8586	08/21/2009	08/26/2009	55307U107	NNUT	1719.0000	2.1000	3,609.90	72.50
8587	10/03/2007	10/09/2007	05348W109	AVNX	2000.0000	1.9165	3,833.00	61.35
8588	09/19/2006	09/22/2006	123720104	BUKS	-10000.0000	0.2850	(2,850.00)	103.00
8589	09/10/2008	09/15/2008	82967N108	SIRI	3000.0000	0.9500	2,850.00	103.00
8590	10/22/2009	10/27/2009	456277102	INTK	50000.0000	0.0570	2,850.00	103.00
8591	07/21/2008	07/24/2008	232828301	CYTR	5000.0000	0.5700	2,850.00	103.00
8592	11/07/2008	11/13/2008	82967N108	SIRI	10000.0000	0.2850	2,850.00	103.00
8593	07/01/2009	07/07/2009	19105E103	CGCA	-5000.0000	0.5700	(2,850.00)	103.00
8594	06/30/2009	07/06/2009	19105E103	CGCA	-5000.0000	0.5700	(2,850.00)	103.00
8595	04/30/2009	05/05/2009	362447104	GWLK	-10000.0000	0.2850	(2,850.00)	103.00
8596	12/17/2008	12/22/2008	696643105	PALM	2400.0000	1.9899	4,775.76	14.27
8597	05/27/2009	06/01/2009	422704106	HL	-1450.0000	3.3101	(4,799.65)	13.10
8598	06/29/2009	07/02/2009	909839102	UCFC	2800.0000	1.0700	2,996.00	103.10
8599	10/23/2008	10/28/2008	269246104	ETFC	2000.0000	2.2199	4,439.80	31.15
8600	11/21/2008	11/26/2008	345370860	F	2000.0000	1.3885	2,777.00	103.15
8601	12/01/2006	12/06/2006	667280101	NWACQ	-1500.0000	3.3133	(4,969.95)	4.66
8602	06/03/2009	06/08/2009	87161C105	SNV	1500.0000	3.3600	5,040.00	1.18
8603	09/04/2007	09/07/2007	88033G100	THC	1500.0000	3.3600	5,040.00	1.18
8604	01/26/2010	01/29/2010	14056D105	CBC	1480.0000	3.3600	4,972.80	4.54
8605	03/30/2009	04/02/2009	453838104	IBCP	1923.0000	2.2900	4,403.67	33.06
8606	05/04/2006	05/09/2006	761525500	REV	-1500.0000	3.3200	(4,980.00)	4.33
8607	03/01/2010	03/04/2010	172967101	C	-1500.0000	3.3700	(5,055.00)	0.65

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
8608	03/24/2006	03/29/2006	381149103	GPXM	-10000.0000	0.3950	(3,950.00)	55.91
8609	07/16/2007	07/19/2007	318465101	FACT	2450.0000	1.7455	4,276.48	39.68
8610	08/04/2010	08/09/2010	37990W103	GHLV	15000.0000	0.2300	3,450.00	81.04
8611	05/29/2009	06/03/2009	607500105	MDVX	1500.0000	3.3300	4,995.00	3.83
8612	12/31/2009	01/06/2010	172967101	C	1500.0000	3.3300	4,995.00	3.83
8613	08/04/2009	08/07/2009	09255R103	DSU	1450.0000	3.3300	4,828.50	12.16
8614	05/23/2006	05/26/2006	429906100	HYP	-1500.0000	3.3307	(4,996.05)	3.79
8615	12/14/2006	12/19/2006	131347106	CPNLQ	-2000.0000	1.4000	(2,800.00)	103.60
8616	10/30/2009	11/04/2009	247907207	DPTR	2000.0000	1.4000	2,800.00	103.60
8617	03/02/2006	03/07/2006	83426W101	SOLM	2000.0000	1.4000	2,800.00	103.60
8618	04/17/2006	04/20/2006	651360109	NWGN	-2000.0000	1.4000	(2,800.00)	103.60
8619	10/26/2007	10/31/2007	98974P100	ZIXI	1500.0000	3.3800	5,070.00	0.12
8620	04/22/2010	04/27/2010	231269101	CRIS	1500.0000	3.3800	5,070.00	0.12
8621	07/23/2009	07/28/2009	037032109	AGEN	2000.0000	2.4300	4,860.00	10.62
8622	04/24/2006	04/27/2006	45768R305	INFN	-5000.0000	0.7400	(3,700.00)	68.62
8623	01/09/2006	01/12/2006	88579F102	TDCP	-23000.0000	0.1600	(3,680.00)	69.64
8624	05/11/2007	05/16/2007	192185106	CFPC	1900.0000	1.7000	3,230.00	92.39
8625	05/20/2009	05/26/2009	00765H107	ADLS	3900.0000	0.9100	3,549.00	76.51
8626	08/07/2008	08/12/2008	82967N108	SIRI	2000.0000	1.4095	2,819.00	103.96
8627	12/23/2008	12/29/2008	598251106	MBHI	-2000.0000	1.4100	(2,820.00)	103.98
8628	09/01/2006	09/07/2006	093440105	BESV	-2000.0000	1.4100	(2,820.00)	103.98
8629	05/01/2007	05/04/2007	901167106	TWTR	-2000.0000	1.4105	(2,821.00)	104.00
8630	04/13/2006	04/19/2006	928628106	VOII	-2700.0000	1.3000	(3,510.00)	78.51
8631	04/17/2007	04/20/2007	16946G107	CVDT	2000.0000	2.2500	4,500.00	29.03
8632	01/27/2010	02/01/2010	023139108	ABK	4033.0000	0.7100	2,863.43	104.04
8633	03/21/2006	03/24/2006	69562E104	PRZ	-2000.0000	1.4800	(2,960.00)	104.11
8634	05/12/2009	05/15/2009	150934503	CTIC	2000.0000	1.4180	2,836.00	104.29
8635	11/21/2008	11/26/2008	345370860	F	2000.0000	1.4185	2,837.00	104.31
8636	10/10/2008	10/16/2008	345370860	F	2000.0000	2.2599	4,519.80	28.34
8637	10/16/2008	10/21/2008	345370860	F	2000.0000	2.2599	4,519.80	28.34
8638	02/20/2009	02/25/2009	44977W106	PPR	1430.0000	3.4394	4,918.34	8.44
8639	03/29/2010	04/01/2010	561911108	HYF	-2000.0000	2.2610	(4,522.00)	28.27
8640	05/08/2007	05/11/2007	629248105	NMSS	-1900.0000	1.7153	(3,259.07)	91.42
8641	10/27/2008	10/30/2008	026874107	AIG	2000.0000	1.4200	2,840.00	104.37
8642	12/29/2009	01/04/2010	40330W106	GU	2000.0000	1.4199	2,839.80	104.37
8643	10/06/2009	10/09/2009	865378103	SUF	3000.0000	1.1608	3,482.40	80.26
8644	03/29/2010	04/01/2010	69423U107	PEIX	-2500.0000	1.3200	(3,300.00)	89.40
8645	06/06/2008	06/11/2008	55610N105	DCR	5000.0000	0.9288	4,644.00	22.24
8646	09/05/2008	09/10/2008	74963H102	RSF	-2325.0000	1.1901	(2,766.98)	104.56
8647	07/28/2010	08/02/2010	090911108	BLTI	2900.0000	0.9900	2,871.00	104.62
8648	02/24/2009	02/27/2009	026874107	AIG	10000.0000	0.3971	3,971.00	56.08
8649	11/13/2007	11/16/2007	16946A100	CHVC	-3000.0000	1.6800	(5,040.00)	2.65
8650	12/28/2006	01/03/2007	74765E109	QTWW	-2500.0000	1.7800	(4,450.00)	32.15
8651	04/01/2008	04/04/2008	885218107	TMA	-2000.0000	1.4300	(2,860.00)	104.76
8652	04/17/2007	04/20/2007	207142100	CNXT	-2000.0000	1.4300	(2,860.00)	104.76
8653	11/17/2008	11/20/2008	345370860	F	2500.0000	1.7885	4,471.25	31.37
8654	06/08/2007	06/13/2007	318465101	FACT	2500.0000	1.7899	4,474.75	31.23
8655	06/12/2007	06/15/2007	318465101	FACT	-2500.0000	1.7904	(4,476.00)	31.19
8656	01/23/2009	01/28/2009	583840103	MTL	1600.0000	2.8968	4,634.88	23.26
8657	05/21/2007	05/24/2007	247126105	DPHIQ	2000.0000	2.2000	4,400.00	35.00
8658	01/04/2007	01/09/2007	000875104	ACGJ	8500.0000	0.5100	4,335.00	38.25
8659	03/21/2006	03/24/2006	232825109	CYGX	-3500.0000	1.1200	(3,920.00)	59.00
8660	04/28/2010	05/03/2010	97750V109	WZE	-4250.0000	0.2389	(1,015.33)	105.00
8661	05/18/2007	05/23/2007	009213109	ATPN	-10000.0000	0.3500	(3,500.00)	80.07
8662	04/23/2010	04/28/2010	872449103	TIBB	3000.0000	1.1800	3,540.00	78.10
8663	03/03/2010	03/08/2010	98876R204	ZBB	2800.0000	1.0399	2,911.72	105.14
8664	05/07/2009	05/12/2009	521865105	LEA	-2000.0000	1.4400	(2,880.00)	105.14

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
8665	05/11/2009	05/14/2009	370442105	GM	-2000.0000	1.4400	(2,880.00)	105.14
8666	04/28/2006	05/03/2006	125750109	CMGI	-2000.0000	1.4400	(2,880.00)	105.14
8667	09/13/2006	09/18/2006	69562E104	PRZ	-2000.0000	1.4405	(2,881.00)	105.16
8668	05/27/2009	06/01/2009	370442105	GM	-2062.0000	1.1900	(2,453.78)	105.17
8669	11/26/2008	12/02/2008	345370860	F	2358.0000	2.0585	4,853.94	12.53
8670	06/08/2007	06/13/2007	898567102	TUBR	-1814.0000	1.8400	(3,337.76)	88.37
8671	10/24/2008	10/29/2008	026874107	AIG	3000.0000	1.7000	5,100.00	0.27
8672	11/29/2007	12/04/2007	206710204	CCUR	3000.0000	1.0451	3,135.30	98.54
8673	03/02/2007	03/07/2007	367626108	GTW	1800.0000	2.0900	3,762.00	67.21
8674	03/02/2007	03/07/2007	367626108	GTW	1800.0000	2.0900	3,762.00	67.21
8675	03/24/2006	03/29/2006	042384107	ACKHQ	-4000.0000	0.7200	(2,880.00)	105.31
8676	02/22/2006	02/27/2006	09060C101	BKYI	4000.0000	0.7200	2,880.00	105.31
8677	09/11/2009	09/16/2009	33735C106	FHO	1450.0000	3.4575	5,013.38	4.67
8678	06/18/2010	06/23/2010	82967N108	SIRI	-5000.0000	1.0000	(5,000.00)	5.34
8679	05/20/2010	05/25/2010	82967N108	SIRI	5000.0000	1.0000	5,000.00	5.34
8680	05/07/2009	05/12/2009	563420108	MNTX	5000.0000	1.0000	5,000.00	5.34
8681	12/31/2009	01/06/2010	55970P203	MDOR	5000.0000	1.0000	5,000.00	5.34
8682	02/23/2010	02/26/2010	501862106	LIEG	5000.0000	1.0000	5,000.00	5.34
8683	05/03/2006	05/08/2006	20343G108	CMNN	5000.0000	1.0000	5,000.00	5.34
8684	12/06/2006	12/11/2006	05348W109	AVNX	2000.0000	1.9977	3,995.40	55.63
8685	04/22/2008	04/25/2008	359059102	FRNTQ	10000.0000	0.4300	4,300.00	40.42
8686	07/05/2006	07/10/2006	90403T100	ULUR	-2500.0000	1.3500	(3,375.00)	86.70
8687	10/28/2008	10/31/2008	767754104	RAD	6000.0000	0.4803	2,881.80	105.45
8688	03/06/2009	03/11/2009	571837103	MI	1509.0000	3.1200	4,708.08	20.07
8689	02/29/2008	03/05/2008	217721109	COPY	-5000.0000	1.0040	(5,020.00)	4.48
8690	10/06/2008	10/09/2008	23703P106	DARA	2000.0000	1.4499	2,899.80	105.52
8691	11/16/2006	11/21/2006	922281100	VSTY	-2000.0000	2.3000	(4,600.00)	25.53
8692	10/06/2008	10/09/2008	23703P106	DARA	2000.0000	1.4500	2,900.00	105.53
8693	12/09/2008	12/12/2008	09255T109	ARK	-1778.0000	2.0982	(3,730.60)	69.02
8694	02/20/2009	02/25/2009	060505104	BAC	1600.0000	2.9200	4,672.00	22.02
8695	11/02/2009	11/05/2009	865378103	SUF	5000.0000	1.0100	5,050.00	3.21
8696	03/04/2009	03/09/2009	837841105	TSFG	5000.0000	1.0100	5,050.00	3.21
8697	07/07/2006	07/12/2006	053467106	AOGS	35000.0000	0.1140	3,990.00	56.23
8698	03/09/2007	03/14/2007	206710204	CCUR	2000.0000	1.6079	3,215.80	95.01
8699	06/01/2007	06/06/2007	318465101	FACT	-2500.0000	1.8156	(4,539.00)	28.87
8700	03/21/2006	03/24/2006	10531R107	BPTR	-7500.0000	0.3850	(2,887.50)	105.89
8701	02/13/2006	02/16/2006	59139P104	MSLV	1517.0000	3.1287	4,746.24	18.60
8702	10/18/2006	10/23/2006	989929104	ZOMX	-2400.0000	2.0800	(4,992.00)	6.32
8703	07/03/2008	07/09/2008	76128C100	REST	-3001.0000	1.5800	(4,741.58)	18.84
8704	05/09/2006	05/12/2006	36112Y104	FSAC	-3350.0000	1.4704	(4,925.84)	9.65
8705	04/20/2009	04/23/2009	26817G102	DYN	2500.0000	1.8192	4,548.00	28.54
8706	06/04/2009	06/09/2009	86686U103	SNRV	-1700.0000	2.5000	(4,250.00)	43.51
8707	04/20/2007	04/25/2007	020524104	AGMB	-1700.0000	2.5000	(4,250.00)	43.51
8708	05/06/2010	05/11/2010	759720105	RCG	1700.0000	2.5000	4,250.00	43.51
8709	03/09/2009	03/12/2009	172967101	C	-2117.0000	1.0300	(2,180.51)	106.03
8710	06/30/2010	07/06/2010	796043107	SSN	4000.0000	0.9300	3,720.00	70.10
8711	08/31/2006	09/06/2006	549463107	LU	-2000.0000	2.3201	(4,640.20)	24.11
8712	10/02/2008	10/07/2008	52729N100	LVL	-1625.0000	2.5818	(4,195.43)	46.38
8713	02/29/2008	03/05/2008	217721109	COPY	-5000.0000	1.0230	(5,115.00)	0.44
8714	06/02/2009	06/05/2009	388689101	GPK	-2340.0000	2.1206	(4,962.20)	8.11
8715	12/17/2009	12/22/2009	767754104	RAD	2000.0000	1.4699	2,939.80	106.29
8716	04/13/2009	04/16/2009	313586752	FNM.PRS	5000.0000	0.8000	4,000.00	56.31
8717	12/28/2006	01/03/2007	131347106	CPNLQ	-2500.0000	1.1400	(2,850.00)	106.46
8718	06/24/2009	06/29/2009	205395106	CSWI	5000.0000	0.6500	3,250.00	93.98
8719	10/03/2006	10/06/2006	74765E109	QTWW	-2500.0000	1.8387	(4,596.75)	26.74
8720	08/08/2007	08/13/2007	206710204	CCUR	2000.0000	1.6283	3,256.60	93.77
8721	07/25/2006	07/28/2006	784429102	SLS	10000.0000	0.4935	4,935.00	9.86

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
8722	08/13/2009	08/18/2009	313400301	FRE	-2000.0000	1.4800	(2,960.00)	106.68
8723	01/24/2006	01/27/2006	204615108	CPTC	2000.0000	1.4800	2,960.00	106.68
8724	04/21/2008	04/24/2008	448954107	HDY	2000.0000	1.4799	2,959.80	106.68
8725	03/17/2006	03/22/2006	606710200	MITK	-2600.0000	1.6000	(4,160.00)	48.70
8726	04/06/2010	04/09/2010	578877102	MBTF	-2000.0000	1.4804	(2,960.80)	106.70
8727	03/06/2008	03/11/2008	885218107	TMA	-2400.0000	1.3704	(3,288.96)	92.28
8728	05/22/2009	05/28/2009	84055V109	STXX	5000.0000	0.5799	2,899.50	106.82
8729	09/22/2006	09/27/2006	17252Y104	CIPH	-2000.0000	1.4840	(2,968.00)	106.84
8730	09/19/2006	09/22/2006	123720104	BUKS	-10000.0000	0.2900	(2,900.00)	106.86
8731	10/06/2008	10/09/2008	14521N100	CSBI	-10000.0000	0.2900	(2,900.00)	106.86
8732	06/20/2007	06/25/2007	451671101	IDTA	-1000000.0000	0.0029	(2,900.00)	106.86
8733	08/15/2006	08/18/2006	293848107	ENTU	1600.0000	2.9700	4,752.00	19.34
8734	09/18/2008	09/23/2008	82967N108	SIRI	3055.0000	0.9497	2,901.33	106.96
8735	09/18/2008	09/23/2008	026874107	AIG	2000.0000	2.3499	4,699.80	22.02
8736	06/19/2009	06/24/2009	026874107	AIG	2000.0000	1.4900	2,980.00	107.07
8737	04/29/2008	05/02/2008	52729N100	LVLTL	1600.0000	2.9780	4,764.80	18.91
8738	05/06/2010	05/11/2010	827692104	SDRG	-13500.0000	0.2974	(4,014.90)	56.43
8739	03/15/2006	03/20/2006	549463107	LU	-1600.0000	2.9800	(4,768.00)	18.80
8740	06/13/2007	06/18/2007	97750V109	WIZD	-1650.0000	2.5461	(4,201.07)	47.25
8741	09/16/2009	09/21/2009	53220K207	LGND	-2000.0000	2.3606	(4,721.20)	21.27
8742	02/25/2010	03/02/2010	759720105	RCG	1700.0000	2.5500	4,335.00	40.66
8743	12/11/2008	12/16/2008	345370860	F	1590.0000	2.9885	4,751.72	19.84
8744	05/25/2010	05/28/2010	87161C105	SNV	-1700.0000	2.5510	(4,336.70)	40.61
8745	03/13/2009	03/18/2009	72940R102	PSTI	2000.0000	1.4999	2,999.80	107.45
8746	02/10/2006	02/15/2006	59139P104	MSLV	1568.0000	3.1842	4,992.83	7.82
8747	11/13/2009	11/18/2009	749227104	RAS	-2000.0000	1.5001	(3,000.20)	107.45
8748	06/28/2006	07/03/2006	006228100	ADGO	-2000.0000	1.5000	(3,000.00)	107.46
8749	03/12/2009	03/17/2009	172967101	C	2000.0000	1.5000	3,000.00	107.46
8750	04/18/2006	04/21/2006	30208Q109	EXBX	2000.0000	1.5000	3,000.00	107.46
8751	07/13/2009	07/16/2009	458483104	ICLK	-2000.0000	1.5000	(3,000.00)	107.46
8752	10/21/2008	10/24/2008	749121109	Q	1600.0000	2.9900	4,784.00	18.27
8753	05/01/2007	05/04/2007	901167106	TWTR	-2000.0000	1.5005	(3,001.00)	107.42
8754	01/05/2006	01/10/2006	779528108	ROW	-1650.0000	2.5555	(4,216.58)	46.74
8755	04/24/2006	04/27/2006	73932V101	PWWG	3000.0000	0.9700	2,910.00	107.63
8756	07/23/2009	07/28/2009	370021107	GGWPQ	-1887.0000	1.8200	(3,434.34)	85.94
8757	02/16/2006	02/22/2006	05348W109	AVNX	-3000.0000	1.1841	(3,552.30)	80.09
8758	06/15/2010	06/18/2010	40052B108	GTXI	1600.0000	3.0000	4,800.00	17.73
8759	09/29/2008	10/02/2008	929903102	WB	2000.0000	1.5100	3,020.00	106.79
8760	10/29/2007	11/01/2007	131347106	CPNLQOLD	-2000.0000	1.5100	(3,020.00)	106.79
8761	04/19/2006	04/24/2006	651360109	NWGN	-2000.0000	1.5125	(3,025.00)	106.62
8762	01/07/2010	01/12/2010	225646108	CSNT	-7049.0000	0.4133	(2,913.35)	107.89
8763	03/23/2007	03/28/2007	98400Y105	BZI	-2000.0000	1.5143	(3,028.60)	106.50
8764	06/15/2009	06/18/2009	68619E208	ORGN	-5000.0000	0.7500	(3,750.00)	70.46
8765	11/11/2008	11/14/2008	35907K105	FTBK	1568.0000	3.0090	4,718.11	22.06
8766	04/21/2008	04/24/2008	885218107	TMA	2100.0000	1.2596	2,645.16	107.99
8767	01/20/2006	01/25/2006	345203202	FRPT	3900.0000	1.2900	5,031.00	6.46
8768	03/13/2006	03/16/2006	16117M107	CHTR	-3000.0000	0.9720	(2,916.00)	108.09
8769	03/13/2009	03/18/2009	37247D106	GNW	-2000.0000	1.5200	(3,040.00)	106.12
8770	06/10/2009	06/15/2009	370442105	GMGMQ	-2000.0000	1.5200	(3,040.00)	106.12
8771	06/19/2006	06/22/2006	131347106	CPNLQ	10000.0000	0.4350	4,350.00	40.63
8772	10/14/2008	10/17/2008	026874107	AIG	1700.0000	2.5790	4,384.30	39.02
8773	10/27/2008	10/30/2008	910873405	UMC	-1933.0000	1.5400	(2,976.82)	108.28
8774	03/19/2007	03/22/2007	206710204	CCUR	3500.0000	1.4538	5,088.30	3.88
8775	12/23/2008	12/29/2008	916896103	UEC	-14350.0000	0.2812	(4,035.22)	56.59
8776	04/29/2010	05/04/2010	52729N100	LVLTL	2000.0000	1.5300	3,060.00	105.45
8777	09/29/2008	10/02/2008	929903102	WB	2000.0000	1.5300	3,060.00	105.45
8778	05/05/2009	05/08/2009	026874107	AIG	2000.0000	1.6900	3,380.00	89.47

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
8779	03/03/2010	03/08/2010	98876R204	ZBB	2200.0000	1.0399	2,287.78	108.47
8780	12/18/2006	12/21/2006	206710204	CCUR	2200.0000	1.9175	4,218.50	47.58
8781	05/29/2009	06/03/2009	543354104	LPIH	5000.0000	0.8078	4,039.00	56.61
8782	03/28/2008	04/02/2008	98425R200	YTBLA	2500.0000	1.0900	2,725.00	108.65
8783	09/30/2010	10/05/2010	43112C108	HLNT	170000.0000	0.0172	2,924.00	108.71
8784	02/12/2008	02/15/2008	15118V108	CSUH	15000.0000	0.1950	2,925.00	108.79
8785	12/12/2007	12/17/2007	62458M108	MOVE	-2000.0000	2.4100	(4,820.00)	17.80
8786	04/10/2008	04/15/2008	885218107	TMA	3000.0000	1.2796	3,838.80	66.86
8787	07/29/2008	08/01/2008	82966U103	SIRI	-2000.0000	1.5405	(3,081.00)	104.75
8788	07/11/2008	07/16/2008	03824B103	APNT	-2500.0000	1.0600	(2,650.00)	108.81
8789	04/16/2009	04/21/2009	33615C101	FRGB	1900.0000	1.8600	3,534.00	82.22
8790	02/11/2009	02/17/2009	345370860	F	1895.0000	1.8600	3,524.70	82.69
8791	12/07/2006	12/12/2006	602682106	MSPD	2500.0000	1.9096	4,774.00	20.23
8792	05/09/2007	05/14/2007	206710204	CCUR	2000.0000	1.5895	3,179.00	100.05
8793	11/10/2009	11/16/2009	40075T102	GBNK	-2350.0000	1.3017	(3,059.00)	106.05
8794	04/06/2006	04/11/2006	222814204	DVW	1850.0000	2.2999	4,254.82	46.36
8795	09/03/2009	09/09/2009	767754104	RAD	-2000.0000	1.5500	(3,100.00)	104.11
8796	09/14/2010	09/17/2010	778669101	ROHI	-2000.0000	1.5500	(3,100.00)	104.11
8797	12/06/2006	12/11/2006	922390208	VXGN	2000.0000	1.5500	3,100.00	104.11
8798	02/27/2007	03/02/2007	667280101	NWACQ	-2000.0000	1.5500	(3,100.00)	104.11
8799	09/21/2007	09/26/2007	131347106	CPNLQ	2000.0000	1.5500	3,100.00	104.11
8800	01/15/2009	01/21/2009	466090107	JASO	-1600.0000	3.0538	(4,886.08)	14.86
8801	08/28/2006	08/31/2006	09064V105	BIPH	5000.0000	0.8100	4,050.00	56.70
8802	11/21/2008	11/26/2008	989834106	ZF	1800.0000	2.2226	4,000.68	59.23
8803	09/18/2006	09/21/2006	981533102	WWEG	2000.0000	1.5600	3,120.00	103.44
8804	08/22/2008	08/27/2008	607500105	MDVX	2000.0000	1.5600	3,120.00	103.44
8805	07/09/2007	07/12/2007	212172100	CNU	1600.0000	3.0688	4,910.08	14.05
8806	03/15/2010	03/18/2010	67060U109	NPHC	5149.0000	0.5700	2,934.93	109.55
8807	03/10/2008	03/13/2008	52729N100	LVLTL	2000.0000	2.1298	4,259.60	46.62
8808	08/07/2006	08/10/2006	247126105	DPHIQ	2100.0000	1.3000	2,730.00	109.63
8809	01/28/2009	02/02/2009	174420109	CRBC	3500.0000	1.4000	4,900.00	14.67
8810	09/18/2008	09/23/2008	026874107	AIG	2000.0000	2.4399	4,879.80	15.70
8811	02/08/2006	02/13/2006	59139P104	MSLV	1504.0000	3.3227	4,997.34	9.87
8812	03/09/2009	03/12/2009	37247D106	GNW	5000.0000	0.9500	4,750.00	22.25
8813	09/17/2010	09/22/2010	561911108	HYF	1755.0000	2.2398	3,930.85	63.23
8814	06/03/2009	06/08/2009	21254S107	COIN	2000.0000	1.5700	3,140.00	102.77
8815	07/01/2008	07/07/2008	767754104	RAD	2000.0000	1.5698	3,139.60	102.79
8816	07/09/2007	07/12/2007	269437208	EAGB	-40000.0000	0.0828	(3,312.00)	94.19
8817	04/17/2007	04/20/2007	91729G301	UDW	-6000.0000	0.5520	(3,312.00)	94.19
8818	06/24/2009	06/29/2009	64190A103	NRO	-1900.0000	1.8901	(3,591.19)	80.30
8819	07/06/2009	07/09/2009	19105E103	CGCA	-7165.0000	0.5000	(3,582.50)	80.78
8820	12/12/2006	12/15/2006	61970P102	MHME	6000.0000	0.4900	2,940.00	109.94
8821	05/10/2006	05/15/2006	58500E102	MDYS	42000.0000	0.0700	2,940.00	109.94
8822	10/07/2010	10/13/2010	04314Q105	ALIF	-3000.0000	0.9800	(2,940.00)	109.94
8823	01/12/2007	01/18/2007	42223C106	HSPO	2000.0000	2.4500	4,900.00	14.99
8824	12/24/2007	12/28/2007	781748108	RURL	-1750.0000	2.3343	(4,085.03)	55.75
8825	10/14/2008	10/17/2008	85771Q100	STCA	10000.0000	0.4000	4,000.00	60.00
8826	03/09/2009	03/12/2009	043353101	ARM	10000.0000	0.3900	3,900.00	65.00
8827	08/03/2009	08/06/2009	976524108	WTT	-5000.0000	0.6801	(3,400.50)	90.00
8828	02/27/2008	03/03/2008	64127R302	CUR	1600.0000	3.0875	4,940.00	13.05
8829	01/12/2007	01/18/2007	448954107	HDY	1800.0000	2.2500	4,050.00	57.57
8830	05/13/2009	05/18/2009	561911108	HYF	2700.0000	1.4698	3,968.46	61.65
8831	06/07/2007	06/12/2007	904753100	UGNE	-1755.0000	2.2500	(3,948.75)	62.63
8832	09/03/2009	09/09/2009	313586109	FNM	2000.0000	1.5799	3,159.80	102.11
8833	03/09/2007	03/14/2007	583538103	MKTY	-2000.0000	1.5802	(3,160.40)	102.09
8834	02/24/2009	02/27/2009	449788108	IJA	1950.0000	2.4580	4,793.10	20.58
8835	02/17/2009	02/20/2009	98974P100	ZIXI	3000.0000	1.3188	3,956.40	62.44

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8836	08/16/2006	08/21/2006	71676G108	PSUD	2000.0000	1.5900	3,180.00	101.43
8837	10/08/2008	10/14/2008	313586737	FNM.PRT	-2000.0000	1.5900	(3,180.00)	101.43
8838	06/04/2009	06/09/2009	767754104	RAD	2000.0000	1.5899	3,179.80	101.44
8839	05/01/2009	05/06/2009	109178103	BEXP	2000.0000	2.4665	4,933.00	13.83
8840	08/17/2010	08/20/2010	023139108	ABK	-6000.0000	0.6319	(3,791.40)	70.94
8841	04/12/2006	04/18/2006	554034108	MWAV	-2200.0000	1.0895	(2,396.90)	110.57
8842	03/30/2007	04/04/2007	052036100	AOG	-2000.0000	2.4709	(4,941.80)	13.52
8843	07/26/2006	07/31/2006	127639102	KDUS	-2300.0000	1.5700	(3,611.00)	80.16
8844	02/27/2009	03/04/2009	701081101	PKD	2000.0000	1.6000	3,200.00	100.77
8845	06/10/2009	06/15/2009	370442105	GMGMQ	2000.0000	1.6000	3,200.00	100.77
8846	07/24/2007	07/27/2007	630075109	NGEN	-2000.0000	1.6000	(3,200.00)	100.77
8847	05/17/2010	05/20/2010	400095204	GBE	-2000.0000	1.6000	(3,200.00)	100.77
8848	05/17/2006	05/22/2006	222814204	DVW	-2400.0000	1.7500	(4,200.00)	50.78
8849	02/25/2009	03/02/2009	62957N102	NCEN	3000.0000	1.3333	3,999.90	60.81
8850	12/14/2009	12/17/2009	27887F107	ECOB	10000.0000	0.4400	4,400.00	40.83
8851	09/21/2006	09/26/2006	45840F105	ISWI	-3000.0000	1.3340	(4,002.00)	60.73
8852	06/25/2010	06/30/2010	688582105	OSTE	-1600.0000	3.1619	(5,059.04)	7.89
8853	11/11/2008	11/14/2008	345370860	F	2800.0000	1.7500	4,900.00	15.90
8854	10/23/2008	10/28/2008	192108108	CDE	6000.0000	0.6799	4,079.40	56.93
8855	07/30/2009	08/04/2009	172967101	C	-1561.0000	3.1201	(4,870.48)	17.39
8856	04/17/2009	04/22/2009	370442105	GM	-2500.0000	1.9700	(4,925.00)	14.68
8857	05/14/2007	05/17/2007	82966U103	SIRI	-1615.0000	2.8200	(4,554.30)	33.28
8858	02/01/2010	02/04/2010	988858106	ZLC	-2500.0000	2.0800	(5,200.00)	1.00
8859	04/28/2008	05/01/2008	591257100	MMG	2500.0000	2.0000	5,000.00	11.06
8860	11/22/2006	11/28/2006	830701108	SKNN	2000.0000	1.6100	3,220.00	100.10
8861	07/24/2007	07/27/2007	630075109	NGEN	-2000.0000	1.6100	(3,220.00)	100.10
8862	05/13/2009	05/18/2009	026874107	AIG	-2000.0000	1.6100	(3,220.00)	100.10
8863	05/08/2009	05/13/2009	370442105	GM	-2000.0000	1.6100	(3,220.00)	100.10
8864	04/24/2008	04/29/2008	746387109	PPBV	2000.0000	2.4900	4,980.00	12.18
8865	04/03/2009	04/08/2009	607500105	MDVX	2000.0000	2.4900	4,980.00	12.18
8866	05/18/2009	05/21/2009	42327W107	HAV	-2875.0000	1.0901	(3,134.04)	104.49
8867	02/07/2006	02/10/2006	913586103	UGHO	-4000.0000	1.2100	(4,840.00)	19.21
8868	10/30/2008	11/04/2008	52729N100	LVL	4000.0000	1.2100	4,840.00	19.21
8869	05/07/2008	05/12/2008	56400P201	MNKD	2000.0000	2.5291	5,058.20	8.38
8870	05/07/2008	05/12/2008	56400P201	MNKD	2000.0000	2.5290	5,058.00	8.39
8871	05/07/2008	05/12/2008	56400P201	MNKD	2000.0000	2.5299	5,059.80	8.32
8872	12/14/2006	12/19/2006	602682106	MSPD	2500.0000	2.0096	5,024.00	10.14
8873	03/25/2008	03/28/2008	345203202	FRPT	2500.0000	2.0091	5,022.75	10.20
8874	08/17/2006	08/22/2006	151313103	CD	2500.0000	2.0099	5,024.75	10.11
8875	03/31/2009	04/03/2009	09255R103	DSU	2500.0000	2.0098	5,024.50	10.13
8876	03/31/2009	04/03/2009	09255R103	DSU	2500.0000	2.0098	5,024.50	10.13
8877	07/17/2007	07/20/2007	42805E108	HSKA	1700.0000	2.6900	4,573.00	32.70
8878	06/08/2009	06/11/2009	247126105	DPHIQ	-50000.0000	0.0761	(3,805.00)	71.10
8879	06/08/2009	06/11/2009	247126105	DPHIQ	-50000.0000	0.0761	(3,805.00)	71.10
8880	03/27/2007	03/30/2007	04289L107	ARTG	1800.0000	2.4652	4,437.36	39.49
8881	11/24/2008	11/28/2008	345370860	F	2000.0000	1.6185	3,237.00	99.53
8882	02/14/2006	02/17/2006	207142100	CNXT	-1617.0000	2.8232	(4,565.11)	33.15
8883	04/14/2010	04/19/2010	767754104	RAD	-3000.0000	1.3501	(4,050.30)	58.92
8884	06/11/2009	06/16/2009	026874107	AIG	2000.0000	1.6200	3,240.00	99.43
8885	07/10/2007	07/13/2007	56219P202	MNCS	2000.0000	1.6200	3,240.00	99.43
8886	07/23/2010	07/28/2010	337347108	FHI	1600.0000	3.1881	5,100.96	6.41
8887	04/27/2007	05/02/2007	054617105	AXTG	-4000.0000	0.7400	(2,960.00)	111.49
8888	07/17/2006	07/20/2006	549463107	LU	-1976.0000	2.0401	(4,031.24)	59.99
8889	01/18/2007	01/23/2007	206710204	CCUR	2000.0000	1.7808	3,561.60	83.52
8890	10/21/2009	10/26/2009	58734P105	MBR	-1700.0000	2.7000	(4,590.00)	32.13
8891	09/16/2008	09/19/2008	270313208	ESSE	63000.0000	0.0760	4,788.00	22.26
8892	04/09/2010	04/14/2010	458738101	ILI	-4300.0000	0.8500	(3,655.00)	78.93

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8893	06/04/2009	06/09/2009	767754104	RAD	2000.0000	1.6299	3,259.80	98.76
8894	10/02/2007	10/05/2007	88409N101	THK	2000.0000	1.6324	3,264.80	98.60
8895	09/26/2008	10/01/2008	313586109	FNM	2500.0000	2.0265	5,066.25	8.54
8896	01/24/2006	01/27/2006	345203202	FRPT	3200.0000	1.4700	4,704.00	26.69
8897	05/07/2009	05/12/2009	026874107	AIG	2500.0000	2.0300	5,075.00	8.20
8898	12/09/2009	12/14/2009	26817G102	DYN	-2500.0000	2.0310	(5,077.50)	8.11
8899	04/27/2010	04/30/2010	14067D102	CPST	-4000.0000	1.2300	(4,920.00)	16.01
8900	09/03/2010	09/09/2010	17163B102	CBR	-1750.0000	2.8210	(4,936.75)	15.23
8901	05/02/2006	05/05/2006	243778107	DPRK	20000.0000	0.2500	5,000.00	12.08
8902	11/20/2008	11/25/2008	345370860	F	2000.0000	1.6400	3,280.00	98.09
8903	02/12/2010	02/18/2010	47023A101	JMBA	-2000.0000	1.6400	(3,280.00)	98.09
8904	03/24/2006	03/29/2006	26152H103	DROOY	-3000.0000	1.3700	(4,110.00)	56.66
8905	03/08/2006	03/13/2006	26152H103	DROOY	-2956.0000	1.3700	(4,049.72)	59.67
8906	01/06/2010	01/11/2010	45336E109	SAAS	1700.0000	2.7200	4,624.00	30.99
8907	07/22/2008	07/25/2008	82966U103	SIRI	1725.0000	2.3900	4,122.75	56.11
8908	03/06/2007	03/09/2007	458168101	ITLI	2000.0000	1.6450	3,290.00	97.75
8909	03/24/2006	03/29/2006	559774104	MGST	-6000.0000	0.8000	(4,800.00)	22.26
8910	04/30/2009	05/05/2009	747906204	QTM	3000.0000	0.9900	2,970.00	112.26
8911	01/05/2006	01/10/2006	049375108	ALMI	3000.0000	0.9900	2,970.00	112.26
8912	04/27/2006	05/02/2006	44860Y102	HYFS	10000.0000	0.3820	3,820.00	71.28
8913	07/05/2007	07/10/2007	137225108	CNR	-3000.0000	0.9901	(2,970.30)	112.28
8914	05/01/2006	05/04/2006	007947104	AERTA	2000.0000	2.0645	4,129.00	55.87
8915	05/07/2008	05/12/2008	56400P201	MNKD	2000.0000	2.5700	5,140.00	5.37
8916	01/07/2010	01/12/2010	767754104	RAD	2000.0000	1.6490	3,298.00	97.49
8917	01/23/2009	01/28/2009	607500105	MDVX	2000.0000	1.6500	3,300.00	97.42
8918	01/12/2006	01/18/2006	59515H106	MIOK	2000.0000	1.6500	3,300.00	97.42
8919	04/18/2006	04/21/2006	651360109	NWGN	-2000.0000	1.6500	(3,300.00)	97.42
8920	01/18/2008	01/24/2008	37945C202	GBRC	2000.0000	2.5750	5,150.00	5.00
8921	06/15/2010	06/18/2010	53121P107	LBSV	5920.0000	0.8116	4,804.67	22.27
8922	08/10/2007	08/15/2007	607500105	MDVX	2000.0000	1.6525	3,305.00	97.25
8923	01/07/2008	01/10/2008	159765106	CTHR	2500.0000	2.0491	5,122.75	6.37
8924	03/20/2009	03/25/2009	530158104	USA	-1688.0000	2.7320	(4,611.62)	31.95
8925	05/16/2007	05/21/2007	232984104	ATC	-2500.0000	2.0501	(5,125.25)	6.28
8926	03/29/2006	04/03/2006	388688103	GPK	2500.0000	2.0500	5,125.00	6.29
8927	10/26/2006	10/31/2006	74765E109	QTTWW	2500.0000	2.0500	5,125.00	6.29
8928	07/21/2006	07/26/2006	524636107	LRCI	-4500.0000	0.8500	(3,825.00)	71.34
8929	12/30/2009	01/05/2010	449575109	JG	-4716.0000	0.8111	(3,825.15)	71.34
8930	06/09/2008	06/12/2008	55610N105	DCR	-5500.0000	0.6502	(3,576.10)	83.88
8931	12/04/2006	12/07/2006	747645109	QEGY	2000.0000	1.6600	3,320.00	96.75
8932	05/12/2009	05/15/2009	055662100	BSDM	-2600.0000	1.7786	(4,624.36)	31.63
8933	02/24/2010	03/01/2010	678046103	BQI	-5000.0000	0.6713	(3,356.50)	95.05
8934	06/04/2010	06/09/2010	427093109	HERO	1625.0000	2.8288	4,596.80	33.05
8935	05/10/2006	05/15/2006	233347103	DVLN	-21199.0000	0.1405	(2,978.46)	112.91
8936	02/17/2009	02/20/2009	345370860	F	2000.0000	1.6660	3,332.00	96.35
8937	11/20/2008	11/25/2008	989834106	ZF	1800.0000	2.3485	4,227.30	51.64
8938	09/10/2009	09/15/2009	147154108	CACB	-4007.0000	1.1605	(4,650.12)	30.52
8939	07/23/2008	07/28/2008	82966U103	SIRI	-1750.0000	2.3500	(4,112.50)	57.43
8940	09/23/2009	09/28/2009	229393301	CYRV	-2000.0000	1.6700	(3,340.00)	96.08
8941	01/16/2007	01/19/2007	29264P104	ENDO	-2000.0000	1.6700	(3,340.00)	96.08
8942	01/06/2010	01/11/2010	767754104	RAD	2000.0000	1.6699	3,339.80	96.09
8943	10/20/2006	10/25/2006	74765E109	QTTWW	2500.0000	2.0700	5,175.00	4.38
8944	05/14/2009	05/19/2009	026874107	AIG	2400.0000	1.8200	4,368.00	44.74
8945	11/20/2008	11/25/2008	879868107	TIN	2000.0000	2.6000	5,200.00	3.17
8946	03/12/2010	03/17/2010	939322103	WAMUQ	-14000.0000	0.2130	(2,982.00)	113.18
8947	05/21/2009	05/27/2009	037023108	AHR	-4000.0000	1.2813	(5,125.20)	7.01
8948	03/01/2007	03/06/2007	463664508	IRSN	-3000.0000	1.4000	(4,200.00)	53.28
8949	05/18/2007	05/23/2007	689890101	OPCO	-3000.0000	1.4000	(4,200.00)	53.28

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
8950	05/14/2007	05/17/2007	689890101	OPCO	-3000.0000	1.4000	(4,200.00)	53.28
8951	12/22/2006	12/28/2006	29382F103	ENMD	-2000.0000	1.6800	(3,360.00)	95.41
8952	03/09/2009	03/12/2009	65370F101	NCV	-1524.0000	3.3312	(5,076.75)	9.69
8953	12/13/2007	12/18/2007	522132109	LEAT	-17800.0000	0.2500	(4,450.00)	41.03
8954	07/26/2006	07/31/2006	131347106	CPNLQ	10000.0000	0.4450	4,450.00	41.03
8955	07/26/2006	07/31/2006	131347106	CPNLQ	10000.0000	0.4450	4,450.00	41.03
8956	11/16/2007	11/21/2007	53123T107	LBSU	-10300.0000	0.2900	(2,987.00)	113.57
8957	12/16/2008	12/19/2008	095333100	BLU	-1811.0000	2.1300	(3,857.43)	70.72
8958	04/02/2009	04/07/2009	172967101	C	1700.0000	2.7700	4,709.00	28.15
8959	12/16/2008	12/19/2008	74157K846	PRM	-2000.0000	1.6860	(3,372.00)	95.01
8960	09/26/2008	10/01/2008	313586109	FNM	2000.0000	1.6865	3,373.00	94.98
8961	03/22/2006	03/27/2006	388688103	GPK	2500.0000	2.0900	5,225.00	2.47
8962	03/21/2006	03/24/2006	073677106	BCON	-2000.0000	1.6900	(3,380.00)	94.74
8963	04/06/2010	04/09/2010	674631106	OBCI	2000.0000	1.6900	3,380.00	94.74
8964	06/07/2006	06/12/2006	89376R109	TMTA	2000.0000	1.6900	3,380.00	94.74
8965	08/24/2007	08/29/2007	607500105	MDVX	2000.0000	1.6900	3,380.00	94.74
8966	08/10/2009	08/13/2009	590007100	JMSVP	-1733.0000	2.4000	(4,159.20)	55.80
8967	06/15/2007	06/20/2007	367626108	GTW	-2000.0000	1.6905	(3,381.00)	94.71
8968	11/03/2008	11/06/2008	814047106	SBKC	-1851.0000	2.0149	(3,729.58)	77.30
8969	11/12/2008	11/17/2008	370442105	GM	1532.0000	3.2885	5,037.98	11.93
8970	06/29/2006	07/05/2006	79586Q108	LIV	10000.0000	0.4132	4,132.00	57.35
8971	12/19/2007	12/24/2007	888325107	TITT	-16000.0000	0.2000	(3,200.00)	104.00
8972	05/24/2010	05/27/2010	451034201	IWEB	-14250.0000	0.2100	(2,992.50)	114.00
8973	11/25/2008	12/01/2008	832727101	SSCC	-4400.0000	0.5500	(2,420.00)	114.00
8974	01/02/2008	01/07/2008	29254Q104	ECI	2500.0000	2.0999	5,249.75	1.52
8975	01/02/2008	01/07/2008	29254Q104	ECI	2500.0000	2.1000	5,250.00	1.52
8976	12/22/2006	12/28/2006	03062Y104	AWNE	2500.0000	2.1000	5,250.00	1.52
8977	10/22/2008	10/27/2008	749121109	Q	1700.0000	2.7860	4,736.20	27.24
8978	02/27/2009	03/04/2009	172967101	C	2000.0000	1.6997	3,399.40	94.09
8979	03/20/2009	03/25/2009	37247D106	GNW	2000.0000	1.7000	3,400.00	94.07
8980	05/13/2009	05/18/2009	026874107	AIG	-2000.0000	1.7000	(3,400.00)	94.07
8981	08/17/2006	08/22/2006	097924104	BBA	-2000.0000	1.7020	(3,404.00)	93.94
8982	04/24/2006	04/27/2006	549463107	LU	-1612.0000	2.9600	(4,771.52)	25.65
8983	10/11/2007	10/16/2007	46072H108	IBCIQ	12000.0000	0.3000	3,600.00	84.24
8984	07/01/2008	07/07/2008	74963L103	RMA	-1800.0000	2.3904	(4,302.72)	49.11
8985	01/22/2007	01/25/2007	318465101	FACT	2500.0000	2.1085	5,271.25	0.70
8986	05/28/2009	06/02/2009	370442105	GM	-4000.0000	1.3100	(5,240.00)	2.28
8987	03/13/2007	03/16/2007	207142100	CNXT	-2000.0000	1.7100	(3,420.00)	93.40
8988	02/25/2009	03/02/2009	607500105	MDVX	1900.0000	2.0400	3,876.00	70.77
8989	10/15/2008	10/20/2008	339099103	FLE	5000.0000	0.6000	3,000.00	114.57
8990	01/18/2008	01/24/2008	806732103	SCTN	-40000.0000	0.0750	(3,000.00)	114.57
8991	03/09/2009	03/12/2009	500117106	KDKN	7500.0000	0.4000	3,000.00	114.57
8992	12/07/2006	12/12/2006	59506W104	MICG	5000.0000	0.6000	3,000.00	114.57
8993	08/05/2010	08/10/2010	37990W103	GHLV	15000.0000	0.2000	3,000.00	114.57
8994	09/03/2010	09/09/2010	730529104	PBSOQ	10000.0000	0.3000	3,000.00	114.57
8995	12/06/2006	12/11/2006	640518106	NEOP	-12000.0000	0.2500	(3,000.00)	114.57
8996	04/03/2006	04/06/2006	247361108	DALRQ	5000.0000	0.6000	3,000.00	114.57
8997	06/19/2006	06/22/2006	49834X106	KWBT	10000.0000	0.3000	3,000.00	114.57
8998	06/17/2009	06/22/2009	91688W107	UPTR	-10000.0000	0.3000	(3,000.00)	114.57
8999	09/03/2009	09/09/2009	591556105	MTIZ	-500000.0000	0.0060	(3,000.00)	114.57
9000	09/04/2009	09/10/2009	591556105	MTIZ	-500000.0000	0.0060	(3,000.00)	114.57
9001	04/27/2006	05/02/2006	62472C102	XDSL	11111.0000	0.2700	2,999.97	114.57
9002	12/28/2006	01/03/2007	448954107	HDY	2080.0000	2.2700	4,721.60	28.57
9003	08/01/2006	08/04/2006	284868205	ELCY	3335.0000	0.9000	3,001.50	114.62
9004	11/16/2007	11/21/2007	92909Q101	VTEC	25000.0000	0.1940	4,850.00	22.26
9005	06/12/2009	06/17/2009	553477100	MRVC	-7000.0000	0.5520	(3,864.00)	71.80
9006	05/15/2009	05/20/2009	026874107	AIG	2000.0000	1.7300	3,460.00	92.06

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9007	05/18/2007	05/23/2007	367626108	GTW	2000.0000	1.7300	3,460.00	92.06
9008	05/11/2010	05/14/2010	02311T106	AMOK	-2700.0000	1.1727	(3,166.29)	106.75
9009	01/20/2010	01/25/2010	68555P100	ORBC	-1800.0000	2.4203	(4,356.54)	47.31
9010	02/23/2006	02/28/2006	45811H106	INVI	-2000.0000	1.7350	(3,470.00)	91.73
9011	03/23/2007	03/28/2007	206710204	CCUR	2100.0000	1.5863	3,331.23	98.67
9012	10/14/2008	10/17/2008	780097721	RBS	2500.0000	1.2100	3,025.00	114.02
9013	02/02/2006	02/07/2006	131347106	CPNLQ	-14900.0000	0.2020	(3,009.80)	114.84
9014	07/03/2008	07/09/2008	414549105	HPOL	2000.0000	1.7391	3,478.20	91.46
9015	12/31/2009	01/06/2010	90984P105	UCBI	1529.0000	3.4185	5,226.89	4.06
9016	08/18/2008	08/21/2008	345196109	FORC	3500.0000	1.0500	3,675.00	81.71
9017	04/28/2006	05/03/2006	689890101	OPCO	5780.0000	0.6700	3,872.60	71.90
9018	12/27/2006	01/02/2007	448954107	HDY	2050.0000	2.3000	4,715.00	29.84
9019	06/20/2007	06/25/2007	05348W109	AVNX	-2000.0000	1.6372	(3,274.40)	101.88
9020	03/10/2009	03/13/2009	87311M102	TXCO	4250.0000	0.7090	3,013.25	114.94
9021	01/07/2010	01/12/2010	27887F107	ECOB	4500.0000	0.9975	4,488.75	41.18
9022	02/22/2007	02/27/2007	98950P108	ZHNE	-2194.0000	1.2100	(2,654.74)	115.69
9023	12/14/2006	12/19/2006	247361108	DALRQ	2000.0000	1.7500	3,500.00	90.73
9024	12/17/2008	12/22/2008	026874107	AIG	-2000.0000	1.7500	(3,500.00)	90.73
9025	03/01/2010	03/04/2010	150934503	CTIC	-5000.0000	0.6030	(3,015.00)	114.98
9026	07/23/2009	07/28/2009	536252109	LIOX	-1800.0000	2.4411	(4,393.98)	46.06
9027	04/26/2010	04/29/2010	872449103	TIBB	-3000.0000	1.4673	(4,401.90)	45.70
9028	09/03/2009	09/09/2009	266605104	DRRX	-1725.0000	2.5130	(4,334.93)	49.05
9029	10/13/2008	10/16/2008	695459107	PAET	3000.0000	1.4690	4,407.00	45.50
9030	09/16/2009	09/21/2009	678046103	BQJ	2970.0000	1.0899	3,237.00	104.00
9031	06/12/2007	06/15/2007	68283P109	ONSC	10000.0000	0.3400	3,400.00	95.90
9032	06/01/2007	06/06/2007	269437208	EAGB	-20000.0000	0.1700	(3,400.00)	95.90
9033	11/11/2008	11/14/2008	93564A100	WRES	1550.0000	3.3800	5,239.00	4.03
9034	10/22/2008	10/27/2008	313400301	FRE	-4000.0000	0.8503	(3,401.20)	95.92
9035	12/10/2009	12/15/2009	559091301	MPET	-2000.0000	1.7600	(3,520.00)	90.06
9036	04/22/2009	04/27/2009	767754104	RAD	7500.0000	0.5997	4,497.75	41.22
9037	04/09/2010	04/14/2010	984249102	YRCW	4500.0000	0.6711	3,019.95	115.11
9038	12/04/2009	12/09/2009	231269101	CRIS	1700.0000	2.8600	4,862.00	23.03
9039	12/21/2006	12/27/2006	696077304	PTN	-1900.0000	2.0912	(3,973.28)	67.52
9040	03/23/2009	03/26/2009	148711104	CMRG	10000.0000	0.4500	4,500.00	41.23
9041	02/12/2009	02/18/2009	345370860	F	2000.0000	1.7660	3,532.00	89.66
9042	04/01/2009	04/06/2009	64190A103	NRO	-3075.0000	1.2901	(3,967.06)	67.97
9043	12/26/2008	12/31/2008	233384106	SRQ	-2000.0000	1.7702	(3,540.40)	89.37
9044	06/05/2009	06/10/2009	444903108	HGSI	1650.0000	2.8700	4,735.50	29.64
9045	08/02/2006	08/07/2006	549463107	LU	-1900.0000	2.1000	(3,990.00)	66.96
9046	02/09/2006	02/14/2006	989414107	ZIF	1675.0000	2.8724	4,811.27	25.92
9047	12/20/2007	12/26/2007	550278303	LUM	-3000.0000	1.0400	(3,120.00)	110.54
9048	11/07/2008	11/13/2008	00765H107	ADLS	10000.0000	0.3026	3,026.00	115.28
9049	10/01/2009	10/06/2009	62010A105	MTLQQ	-4900.0000	0.6960	(3,410.40)	96.10
9050	08/24/2009	08/27/2009	313586109	FNM	2000.0000	1.7799	3,559.80	88.72
9051	07/22/2008	07/25/2008	065908501	BBX	2000.0000	1.7799	3,559.80	88.72
9052	08/30/2010	09/02/2010	25382P109	DGLY	-2000.0000	1.7800	(3,560.00)	88.72
9053	05/11/2007	05/16/2007	43738R109	HMB	2000.0000	1.7810	3,562.00	88.65
9054	04/19/2010	04/22/2010	640518106	NEOP	2000.0000	1.7850	3,570.00	88.38
9055	04/27/2007	05/02/2007	26884I104	ERHE	-10000.0000	0.3900	(3,900.00)	72.22
9056	11/18/2009	11/23/2009	319383105	BUSE	-1551.0000	3.4326	(5,323.96)	1.03
9057	09/09/2010	09/14/2010	023139108	ABK	-6000.0000	0.5058	(3,034.80)	115.52
9058	05/15/2007	05/18/2007	689890101	OPCO	-3000.0000	1.5100	(4,530.00)	40.88
9059	05/13/2009	05/18/2009	804098101	SAY	2000.0000	1.8000	3,600.00	87.38
9060	11/20/2008	11/25/2008	195493309	CNB	2000.0000	1.8000	3,600.00	87.38
9061	05/18/2007	05/23/2007	33841N103	FGHH	-2000.0000	1.8000	(3,600.00)	87.38
9062	05/30/2008	06/04/2008	065908501	BBX	2000.0000	1.7999	3,599.80	87.39
9063	11/13/2008	11/18/2008	370442105	GM	1690.0000	2.9500	4,985.50	18.29

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9064	05/09/2006	05/12/2006	67030P107	NSOL	2910.0000	1.5800	4,597.80	37.67
9065	01/11/2010	01/14/2010	27887F107	ECOB	5000.0000	0.9050	4,525.00	41.33
9066	12/30/2008	01/05/2009	451663108	IDAR	38000.0000	0.0800	3,040.00	115.66
9067	12/30/2008	01/05/2009	75061P102	RAE	-7600.0000	0.4000	(3,040.00)	115.66
9068	03/06/2009	03/11/2009	852061100	S	1700.0000	2.9160	4,957.20	19.84
9069	08/26/2010	08/31/2010	30024B203	EEE	2300.0000	1.7740	4,080.20	63.69
9070	03/03/2009	03/06/2009	345370860	F	2000.0000	1.8100	3,620.00	86.71
9071	05/30/2008	06/04/2008	31787A101	FNSR	2000.0000	1.8100	3,620.00	86.71
9072	11/17/2008	11/20/2008	345370860	F	2000.0000	1.8100	3,620.00	86.71
9073	12/04/2008	12/09/2008	190643106	COTE	11037.0000	0.2755	3,040.69	115.68
9074	06/15/2006	06/20/2006	705221109	PIII	-2000.0000	1.8116	(3,623.20)	86.60
9075	09/25/2008	09/30/2008	12507E102	CEXI	-17000.0000	0.2300	(3,910.00)	72.34
9076	07/27/2006	08/01/2006	652919101	NXCN	140000.0000	0.0300	4,200.00	57.89
9077	02/08/2008	02/13/2008	64353N306	NCNC	20000.0000	0.2100	4,200.00	57.89
9078	08/15/2007	08/20/2007	217721109	COPY	-5000.0000	0.8400	(4,200.00)	57.89
9079	12/19/2006	12/22/2006	247361108	DALRQ	-2110.0000	1.3400	(2,827.40)	117.94
9080	02/18/2009	02/23/2009	446150104	HBAN	3300.0000	1.4500	4,785.00	28.73
9081	09/15/2009	09/18/2009	269246104	ETFC	2000.0000	1.8199	3,639.80	86.05
9082	09/05/2008	09/10/2008	44914V104	HDII	-150000.0000	0.0203	(3,045.00)	115.80
9083	06/22/2006	06/27/2006	549463107	LU	-2060.0000	2.4201	(4,985.41)	18.98
9084	11/25/2009	12/01/2009	59318T109	CIF	-2052.0000	2.4201	(4,966.05)	19.95
9085	09/22/2006	09/27/2006	16117M107	CHTR	-2800.0000	1.4800	(4,144.00)	61.10
9086	10/10/2008	10/16/2008	168910107	CHNG	1700.0000	2.9800	5,066.00	15.01
9087	03/10/2009	03/13/2009	345370860	F	2000.0000	1.8288	3,657.60	85.45
9088	04/28/2010	05/03/2010	269246104	ETFC	2000.0000	1.8300	3,660.00	85.37
9089	09/28/2006	10/03/2006	21254V100	CSHD	2000.0000	1.8300	3,660.00	85.37
9090	04/09/2007	04/12/2007	69325N102	PCSV	2000.0000	1.8300	3,660.00	85.37
9091	03/19/2008	03/25/2008	885218107	TMA	2000.0000	1.8300	3,660.00	85.37
9092	03/18/2009	03/23/2009	19247V104	RPF	-2000.0000	1.8300	(3,660.00)	85.37
9093	02/28/2006	03/03/2006	235811106	DCN	1945.0000	1.8300	3,559.35	90.40
9094	06/22/2009	06/25/2009	90341W108	LCC	1761.0000	2.5300	4,455.33	45.63
9095	06/18/2010	06/23/2010	185635109	CRGE	4000.0000	0.9800	3,920.00	72.46
9096	02/20/2009	02/25/2009	060505104	BAC	1700.0000	2.9888	5,080.96	14.48
9097	09/25/2008	09/30/2008	313586109	FNM	2000.0000	1.8365	3,673.00	84.94
9098	09/02/2010	09/08/2010	09060J106	BDSI	1750.0000	2.5369	4,439.58	46.63
9099	10/31/2007	11/05/2007	50575Q102	LTS	-2000.0000	1.8400	(3,680.00)	84.70
9100	11/20/2008	11/25/2008	595112103	MU	2000.0000	1.8400	3,680.00	84.70
9101	07/13/2009	07/16/2009	14056D105	CBC	-2000.0000	1.8401	(3,680.20)	84.70
9102	02/12/2009	02/18/2009	233384106	SRQ	-2000.0000	1.8408	(3,681.60)	84.65
9103	03/03/2008	03/06/2008	749941100	RFMD	-1700.0000	2.8719	(4,882.23)	24.66
9104	04/20/2010	04/23/2010	45248F103	IMGG	5000.0000	0.6109	3,054.50	116.06
9105	02/26/2010	03/03/2010	465647204	ITEX	-5059.0000	0.7254	(3,669.80)	85.33
9106	10/11/2007	10/16/2007	222814204	DVW	-4700.0000	0.6500	(3,055.00)	116.07
9107	11/16/2006	11/21/2006	24778R209	DOIG	-5000.0000	0.9100	(4,550.00)	41.43
9108	06/20/2008	06/25/2008	97750V109	WZE	2000.0000	1.8486	3,697.20	84.13
9109	04/21/2006	04/26/2006	344123104	FMXIQ	-2500.0000	1.3100	(3,275.00)	105.24
9110	03/10/2008	03/13/2008	83490A100	SOPW	-2000.0000	1.8500	(3,700.00)	84.03
9111	05/09/2006	05/12/2006	45768R305	INFN	-2000.0000	1.8500	(3,700.00)	84.03
9112	04/16/2007	04/19/2007	09064J201	BMRX	2000.0000	1.8500	3,700.00	84.03
9113	07/05/2007	07/10/2007	964109102	WMTM	-6066.0000	0.5041	(3,057.87)	116.15
9114	08/27/2008	09/02/2008	30217Q108	XPO	-4000.0000	1.2990	(5,196.00)	9.29
9115	06/08/2009	06/11/2009	82967N108	SIRI	-9000.0000	0.3400	(3,060.00)	116.20
9116	03/02/2010	03/05/2010	832727101	SSCCQ	-17000.0000	0.1800	(3,060.00)	116.20
9117	02/03/2009	02/06/2009	64190A103	NRO	2000.0000	1.8554	3,710.80	83.67
9118	06/10/2010	06/15/2010	82967N108	SIRI	-3150.0000	0.9718	(3,061.17)	116.23
9119	08/08/2008	08/13/2008	50575Q102	LTS	-2590.0000	2.0000	(5,180.00)	10.32
9120	03/04/2009	03/09/2009	345370860	F	2000.0000	1.8588	3,717.60	83.44

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9121	02/03/2009	02/06/2009	64190A103	NRO	2000.0000	1.8592	3,718.40	83.42
9122	09/25/2008	09/30/2008	313400301	FRE	-2000.0000	1.8600	(3,720.00)	83.36
9123	10/29/2009	11/03/2009	04033A100	ARIA	-2000.0000	1.8600	(3,720.00)	83.36
9124	04/27/2010	04/30/2010	269246104	ETFC	2000.0000	1.8600	3,720.00	83.36
9125	11/27/2007	11/30/2007	85857R105	STEM	2000.0000	1.8600	3,720.00	83.36
9126	05/11/2009	05/14/2009	655053106	NOBL	-5220.0000	0.5867	(3,062.57)	116.27
9127	03/06/2008	03/11/2008	92340P100	VRNM	1650.0000	3.0284	4,996.86	19.69
9128	04/02/2009	04/07/2009	172967101	C	1705.0000	2.7600	4,705.80	34.37
9129	06/11/2009	06/16/2009	521865105	LEA	2000.0000	1.8697	3,739.40	82.71
9130	09/30/2009	10/05/2009	676118102	OMEX	2000.0000	1.8700	3,740.00	82.69
9131	11/11/2009	11/16/2009	87161C105	SNV	2000.0000	1.8700	3,740.00	82.69
9132	09/03/2010	09/09/2010	750491102	RDNT	-2000.0000	1.8700	(3,740.00)	82.69
9133	10/16/2009	10/21/2009	125581108	CIT	3000.0000	1.1200	3,360.00	101.72
9134	05/01/2009	05/06/2009	02503Y103	ACAS	-1625.0000	3.0800	(5,005.00)	19.50
9135	04/07/2010	04/12/2010	203612106	BTC	-1718.0000	2.6916	(4,624.17)	38.62
9136	01/05/2009	01/08/2009	68750J107	CAPS	-7000.0000	0.4383	(3,068.10)	116.43
9137	04/07/2009	04/13/2009	720279108	PIR	4000.0000	0.7671	3,068.40	116.43
9138	04/30/2008	05/05/2008	68214U102	OFSI	-4200.0000	1.1500	(4,830.00)	28.46
9139	04/22/2008	04/25/2008	757736103	RPBC	-8645.0000	0.5200	(4,495.40)	45.22
9140	12/31/2008	01/06/2009	45817G102	IDN	-3000.0000	1.6000	(4,800.00)	30.00
9141	10/11/2006	10/16/2006	000875104	ACGJ	9000.0000	0.4000	3,600.00	90.00
9142	06/23/2006	06/28/2006	44920E104	IAO	-18000.0000	0.2000	(3,600.00)	90.00
9143	05/30/2007	06/04/2007	02147K105	AEHI	-9000.0000	0.3511	(3,159.90)	112.01
9144	01/03/2006	01/06/2006	902549500	UALAQ	2220.0000	1.1300	2,508.60	120.02
9145	09/20/2007	09/25/2007	318465101	FACT	-2760.0000	1.5272	(4,215.07)	59.29
9146	10/21/2009	10/26/2009	528872104	LXRX	3000.0000	1.5881	4,764.30	32.06
9147	12/31/2008	01/06/2009	767754104	RAD	-10000.0000	0.3074	(3,074.00)	116.58
9148	04/20/2006	04/25/2006	902658103	UDSG	5000.0000	0.7900	3,950.00	72.80
9149	07/15/2008	07/18/2008	00504W100	ACPW	-4500.0000	0.8206	(3,692.70)	85.70
9150	10/14/2008	10/17/2008	023139108	ABK	2000.0000	1.8900	3,780.00	81.36
9151	10/14/2008	10/17/2008	023139108	ABK	2000.0000	1.8900	3,780.00	81.36
9152	06/11/2009	06/16/2009	233066109	DCA	-2000.0000	1.8900	(3,780.00)	81.36
9153	08/31/2007	09/06/2007	871630109	SYNM	2000.0000	1.8900	3,780.00	81.36
9154	08/31/2007	09/06/2007	871630109	SYNM	2000.0000	1.8900	3,780.00	81.36
9155	10/29/2008	11/03/2008	92336G106	VSE	6500.0000	0.4732	3,075.80	116.63
9156	09/11/2009	09/16/2009	55973B102	MHR	3000.0000	1.1390	3,417.00	99.62
9157	05/20/2008	05/23/2008	456607100	IMB	2000.0000	1.9000	3,800.00	80.69
9158	04/30/2008	05/05/2008	590049102	MERX	2000.0000	1.9000	3,800.00	80.69
9159	10/10/2006	10/13/2006	302311105	EZEN	-2000.0000	1.9000	(3,800.00)	80.69
9160	05/10/2006	05/15/2006	45768R305	INFN	-2000.0000	1.9000	(3,800.00)	80.69
9161	03/09/2009	03/12/2009	860370105	STEI	1089.0000	1.9000	2,069.10	120.69
9162	09/30/2009	10/05/2009	549333201	LEI	3850.0000	0.7999	3,079.62	116.74
9163	12/09/2008	12/12/2008	721467108	PGPDQ	10000.0000	0.3700	3,700.00	85.80
9164	07/12/2006	07/17/2006	68338A107	ONT	-6000.0000	0.6600	(3,960.00)	72.93
9165	06/08/2010	06/11/2010	45814P105	IBNK	-3460.0000	0.8909	(3,082.51)	116.81
9166	07/28/2009	07/31/2009	91688T104	URZ	2000.0000	1.9099	3,819.80	80.02
9167	10/24/2008	10/29/2008	345370860	F	2000.0000	1.9098	3,819.60	80.03
9168	11/06/2008	11/12/2008	345370860	F	2000.0000	1.9100	3,820.00	80.02
9169	06/25/2007	06/28/2007	64125U406	NRGX	-2000.0000	1.9100	(3,820.00)	80.02
9170	06/23/2008	06/26/2008	949902100	WLSI	170000.0000	0.0270	4,590.00	41.59
9171	06/23/2008	06/26/2008	949902100	WLSI	170000.0000	0.0270	4,590.00	41.59
9172	06/23/2008	06/26/2008	949902100	WLSI	170000.0000	0.0270	4,590.00	41.59
9173	06/23/2008	06/26/2008	949902100	WLSI	170000.0000	0.0270	4,590.00	41.59
9174	01/18/2008	01/24/2008	767754104	RAD	2550.0000	2.0595	5,251.73	8.55
9175	01/03/2007	01/08/2007	834376105	SOLUQ	-5601.0000	0.7600	(4,256.76)	58.33
9176	07/15/2010	07/20/2010	291087203	MSN	-1880.0000	2.2500	(4,230.00)	59.67
9177	01/06/2006	01/11/2006	20461S108	CPTC	-3000.0000	1.0300	(3,090.00)	116.71

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9178	05/07/2010	05/12/2010	59318T109	CIF	1800.0000	2.6264	4,727.52	34.89
9179	10/24/2008	10/29/2008	345370860	F	2000.0000	1.9199	3,839.80	79.35
9180	05/01/2006	05/04/2006	928497106	VTSS	-2000.0000	1.9200	(3,840.00)	79.35
9181	05/02/2006	05/05/2006	73932V101	PWVG	2250.0000	1.1200	2,520.00	121.40
9182	09/06/2006	09/11/2006	207142100	CNXT	-2000.0000	1.9300	(3,860.00)	78.68
9183	06/26/2007	06/29/2007	64125U406	NRGX	-2000.0000	1.9300	(3,860.00)	78.68
9184	10/01/2008	10/06/2008	313586109	FNM	3000.0000	1.6292	4,887.60	27.43
9185	06/29/2006	07/05/2006	91729G301	UDW	5000.0000	0.7950	3,975.00	73.10
9186	04/08/2009	04/14/2009	370442105	GM	2000.0000	1.9360	3,872.00	78.28
9187	11/02/2006	11/07/2006	30063P105	EXAS	-2000.0000	1.9385	(3,877.00)	78.11
9188	03/20/2009	03/25/2009	172967101	C	1782.0000	2.6500	4,722.30	35.87
9189	09/29/2008	10/02/2008	929903102	WB	2000.0000	1.9400	3,880.00	78.01
9190	12/08/2008	12/11/2008	026874107	AIG	2000.0000	1.9400	3,880.00	78.01
9191	06/11/2008	06/16/2008	023139108	ABK	2000.0000	1.9400	3,880.00	78.01
9192	12/27/2006	01/02/2007	978093102	WLV	-3000.0000	1.1801	(3,540.30)	95.09
9193	10/09/2007	10/12/2007	928628205	VOIC	-4363.0000	0.7100	(3,097.73)	117.23
9194	09/10/2008	09/15/2008	912023207	UXG	-4000.0000	0.9301	(3,720.40)	86.12
9195	03/17/2006	03/22/2006	98385L102	XSNX	1760.0000	2.6560	4,674.56	38.43
9196	03/25/2009	03/30/2009	023912108	AMFI	2000.0000	1.9455	3,891.00	77.64
9197	07/30/2009	08/04/2009	229393301	CYRV	-2000.0000	1.9475	(3,895.00)	77.51
9198	10/27/2006	11/01/2006	373678101	GOVX	10000.0000	0.3100	3,100.00	117.29
9199	08/06/2009	08/11/2009	300394103	EVRM	100000.0000	0.0310	3,100.00	117.29
9200	10/17/2006	10/20/2006	68618G105	OGTX	20000.0000	0.1550	3,100.00	117.29
9201	11/18/2009	11/23/2009	14964W104	CVAT	10000.0000	0.3100	3,100.00	117.29
9202	05/07/2009	05/12/2009	026874107	AIG	2000.0000	1.9500	3,900.00	77.34
9203	08/11/2009	08/14/2009	746218106	PURE	2000.0000	1.9500	3,900.00	77.34
9204	11/21/2008	11/26/2008	845905108	SOV	-2000.0000	1.9515	(3,903.00)	77.24
9205	04/01/2008	04/04/2008	83001P109	SIX	3000.0000	1.6697	5,009.10	22.05
9206	02/13/2009	02/19/2009	88033G100	THC	3000.0000	1.1900	3,570.00	94.00
9207	04/17/2009	04/22/2009	343389102	FTK	1800.0000	2.6680	4,802.40	32.39
9208	07/27/2006	08/01/2006	549463107	LU	-1906.0000	2.0901	(3,983.73)	73.32
9209	12/30/2008	01/05/2009	852061100	S	2630.0000	1.8390	4,836.57	30.73
9210	05/11/2009	05/14/2009	235825205	DAN	2000.0000	1.9566	3,913.20	76.90
9211	08/12/2010	08/17/2010	903213106	UQM	-1800.0000	2.6701	(4,806.18)	32.26
9212	03/25/2009	03/30/2009	174420109	CRBC	3000.0000	1.6500	4,950.00	25.08
9213	04/28/2008	05/01/2008	82966U103	SIRI	1730.0000	2.7200	4,705.60	37.33
9214	02/18/2009	02/23/2009	345370860	F	-3000.0000	1.6509	(4,952.70)	24.99
9215	03/02/2009	03/05/2009	16936Y100	CBAK	-2700.0000	1.3723	(3,705.21)	87.37
9216	11/06/2008	11/12/2008	345370860	F	2000.0000	1.9600	3,920.00	76.67
9217	12/24/2007	12/28/2007	67060N204	NTRZ	2900.0000	1.3900	4,031.00	71.15
9218	10/13/2008	10/16/2008	780097721	RBS	3000.0000	1.2000	3,600.00	92.90
9219	04/13/2007	04/18/2007	318465101	FACT	3250.0000	1.6089	5,228.93	11.47
9220	05/07/2007	05/10/2007	05348W109	AVNX	3000.0000	1.7910	5,373.00	4.35
9221	06/11/2010	06/16/2010	871630109	SYNM	-2000.0000	1.9700	(3,940.00)	76.00
9222	03/04/2009	03/09/2009	19247L106	RQI	2000.0000	1.9699	3,939.80	76.01
9223	01/17/2006	01/20/2006	28264Y107	EKWX	-5000000.0000	0.0007	(3,500.00)	98.00
9224	06/19/2009	06/24/2009	85517P101	STSI	-2209.0000	1.2300	(2,717.07)	123.07
9225	02/03/2009	02/06/2009	442487203	HOV	3000.0000	1.6870	5,061.00	20.03
9226	07/01/2008	07/07/2008	871630109	SYNM	-3000.0000	1.6901	(5,070.30)	19.67
9227	05/20/2010	05/25/2010	871630109	SYNM	-2000.0000	1.9775	(3,955.00)	75.50
9228	10/09/2008	10/15/2008	783332109	RUTH	2090.0000	2.6000	5,434.00	1.56
9229	03/20/2009	03/25/2009	026874107	AIG	3000.0000	1.2100	3,630.00	91.80
9230	07/15/2009	07/20/2009	172967101	C	-1700.0000	3.1800	(5,406.00)	3.03
9231	05/04/2009	05/07/2009	871630109	SYNM	2000.0000	1.9800	3,960.00	75.33
9232	12/28/2006	01/03/2007	318465101	FACT	-2000.0000	1.9804	(3,960.80)	75.31
9233	08/20/2009	08/25/2009	69207P100	OXBO	-10000.0000	0.4000	(4,000.00)	73.39
9234	01/27/2009	01/30/2009	69207P100	OXBO	20000.0000	0.2000	4,000.00	73.39

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9235	08/27/2008	09/02/2008	19461P106	CLXS	-10000.0000	0.4000	(4,000.00)	73.39
9236	03/27/2006	03/30/2006	037271103	ANTS	-1800.0000	2.7000	(4,860.00)	30.46
9237	07/17/2007	07/20/2007	42805E108	HSKA	1800.0000	2.7000	4,860.00	30.46
9238	10/09/2007	10/12/2007	039209101	KAD	-5000.0000	0.8002	(4,001.00)	73.41
9239	03/17/2006	03/22/2006	96328T109	WFYW	3000.0000	1.6992	5,097.60	18.60
9240	05/19/2010	05/24/2010	468202106	JTX	-2067.0000	1.6801	(3,472.77)	99.93
9241	03/10/2008	03/13/2008	683718308	OPWV	-2000.0000	1.8732	(3,746.40)	86.28
9242	12/29/2008	01/02/2009	607500105	MDVX	2000.0000	1.9900	3,980.00	74.66
9243	07/10/2009	07/15/2009	30033R108	ESLR	2000.0000	1.9899	3,979.80	74.67
9244	08/06/2008	08/11/2008	141916106	CXM	2000.0000	1.9899	3,979.80	74.67
9245	05/14/2009	05/19/2009	26884J104	ERHE	-7500.0000	0.4300	(3,225.00)	112.42
9246	01/30/2009	02/04/2009	19247V104	RPF	-1625.0000	3.2801	(5,330.16)	7.18
9247	02/16/2007	02/22/2007	04289L107	ARTG	2000.0000	2.1569	4,313.80	58.11
9248	02/16/2007	02/22/2007	04289L107	ARTG	2000.0000	2.1569	4,313.80	58.11
9249	10/09/2006	10/12/2006	04289L107	ARTG	2000.0000	2.1569	4,313.80	58.11
9250	10/21/2008	10/24/2008	15188T108	CHC	4800.0000	0.6500	3,120.00	117.83
9251	10/10/2007	10/15/2007	58447Q101	MRED	200000.0000	0.0255	5,100.00	18.98
9252	09/30/2008	10/03/2008	635405103	NCC	-2000.0000	2.0000	(4,000.00)	73.99
9253	10/10/2008	10/16/2008	345370860	F	2000.0000	2.0000	4,000.00	73.99
9254	05/25/2007	05/31/2007	020524104	AGMB	2000.0000	2.0000	4,000.00	73.99
9255	03/17/2006	03/22/2006	235811106	DCNAQ	2000.0000	2.0000	4,000.00	73.99
9256	02/20/2009	02/25/2009	370442105	GM	-3000.0000	1.7000	(5,100.00)	19.00
9257	06/04/2008	06/09/2008	903385102	HTM	1800.0000	2.7193	4,894.74	29.30
9258	05/18/2009	05/21/2009	370442105	GM	-2290.0000	1.1800	(2,702.20)	124.06
9259	03/09/2010	03/12/2010	169424108	CNTF	-1700.0000	3.2100	(5,457.00)	1.23
9260	01/19/2006	01/24/2006	238326102	DNTK	-5000.0000	0.6250	(3,125.00)	117.97
9261	09/02/2009	09/08/2009	02503Y103	ACAS	-1907.0000	2.1400	(4,080.98)	70.20
9262	11/20/2008	11/25/2008	989834106	ZF	1900.0000	2.3485	4,462.15	51.16
9263	11/20/2009	11/25/2009	989834106	ZF	1650.0000	3.2190	5,311.35	8.73
9264	09/21/2006	09/26/2006	04289L107	ARTG	1800.0000	2.9424	5,296.32	9.50
9265	06/16/2006	06/21/2006	008920100	AITX	2000.0000	2.0100	4,020.00	73.32
9266	10/10/2008	10/16/2008	269246104	ETFC	-2000.0000	2.0100	(4,020.00)	73.32
9267	04/17/2007	04/20/2007	630075109	NGEN	-2000.0000	2.0100	(4,020.00)	73.32
9268	08/28/2009	09/02/2009	313586109	FNM	-2000.0000	2.0101	(4,020.20)	73.32
9269	07/02/2008	07/08/2008	266898105	DUSA	-500.0000	2.0101	(1,005.05)	124.33
9270	05/07/2010	05/12/2010	82967N108	SIRI	4000.0000	1.0098	4,039.20	72.41
9271	10/14/2010	10/19/2010	754055101	RZ	-13800.0000	0.2910	(4,015.80)	73.58
9272	12/16/2008	12/19/2008	09065G107	BFRM	-4500.0000	0.9095	(4,092.75)	69.82
9273	07/14/2008	07/17/2008	743586406	PHY	-1833.0000	2.3800	(4,362.54)	56.35
9274	11/30/2007	12/05/2007	14030N104	CMKT	3000.0000	1.2400	3,720.00	88.48
9275	06/17/2010	06/22/2010	82967N108	SIRI	-2873.0000	1.0202	(2,931.03)	124.57
9276	12/18/2006	12/21/2006	74765E109	QTWW	-2800.0000	1.6500	(4,620.00)	43.59
9277	01/04/2010	01/07/2010	27887F107	ECOB	9700.0000	0.4800	4,656.00	41.85
9278	06/11/2010	06/16/2010	82967N108	SIRI	4000.0000	1.0198	4,079.20	70.84
9279	08/30/2010	09/02/2010	210413100	CMIN	-6000.0000	0.7200	(4,320.00)	58.83
9280	09/08/2010	09/13/2010	767754104	RAD	5000.0000	0.9320	4,660.00	41.87
9281	04/06/2009	04/09/2009	172967101	C	1800.0000	2.7500	4,950.00	27.45
9282	09/16/2009	09/21/2009	313586109	FNM	2798.0000	1.6599	4,644.40	42.73
9283	08/25/2006	08/30/2006	68812R105	OSCI	-3000.0000	1.2520	(3,756.00)	87.16
9284	05/13/2010	05/18/2010	411307101	HNSN	2000.0000	2.5000	5,000.00	24.99
9285	04/16/2009	04/21/2009	721467108	PGPDQ	-2000.0000	2.0300	(4,060.00)	71.99
9286	03/03/2010	03/08/2010	640518106	NEOP	-2000.0000	2.0300	(4,060.00)	71.99
9287	05/09/2007	05/14/2007	367626108	GTW	2500.0000	2.0000	5,000.00	25.00
9288	04/01/2008	04/04/2008	92342V105	CHIP	2000.0000	2.4875	4,975.00	26.25
9289	06/06/2008	06/11/2008	05348W109	AVNX	4200.0000	1.1700	4,914.00	29.30
9290	07/18/2008	07/23/2008	05348W109	AVNX	5500.0000	0.8680	4,774.00	36.30
9291	03/18/2009	03/23/2009	026874107	AIG	3600.0000	1.3000	4,680.00	41.00

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9292	08/27/2007	08/30/2007	367626108	GTW	-2500.0000	1.8000	(4,500.00)	50.00
9293	04/30/2010	05/05/2010	606710200	MITK	5500.0000	0.7900	4,345.00	57.75
9294	05/29/2009	06/03/2009	370442105	GM	-4000.0000	1.0600	(4,240.00)	63.00
9295	07/16/2008	07/21/2008	82966U103	SIRI	-2000.0000	2.0305	(4,061.00)	71.95
9296	08/04/2010	08/09/2010	47023A101	JMBA	-2000.0000	2.0303	(4,060.60)	71.97
9297	10/07/2010	10/13/2010	46122W105	INUV	-11000.0000	0.3600	(3,960.00)	77.00
9298	10/01/2007	10/04/2007	949765101	WGA	1500.0000	2.5499	3,824.85	83.76
9299	04/13/2007	04/18/2007	667280101	NWACQ	4500.0000	0.7750	3,487.50	100.63
9300	08/05/2009	08/10/2009	195493309	CNB	-4400.0000	0.4833	(2,126.52)	125.00
9301	02/28/2006	03/03/2006	69073F103	OWENQ	-2700.0000	1.9200	(5,184.00)	15.83
9302	07/12/2006	07/17/2006	899690101	TMWD	-1800.0000	2.6528	(4,775.04)	36.29
9303	04/18/2007	04/23/2007	05348W109	AVNX	2700.0000	1.8919	5,108.13	19.72
9304	08/15/2007	08/20/2007	05348W109	AVNX	2700.0000	1.7719	4,784.13	35.92
9305	11/21/2006	11/27/2006	131347106	CPNLQ	6000.0000	0.7775	4,665.00	41.89
9306	05/10/2007	05/15/2007	74269U104	PRNW	1800.0000	2.7972	5,034.96	23.48
9307	11/13/2008	11/18/2008	989834106	ZF	1800.0000	2.7600	4,968.00	26.85
9308	07/09/2007	07/12/2007	91729G301	UDW	-6200.0000	0.6500	(4,030.00)	73.75
9309	01/20/2009	01/23/2009	172967101	C	-1821.0000	3.0000	(5,463.00)	2.11
9310	10/28/2008	10/31/2008	989834106	ZF	1800.0000	2.8000	5,040.00	23.30
9311	05/11/2010	05/14/2010	14141R101	CRDC	2000.0000	2.0395	4,079.00	71.35
9312	05/15/2008	05/20/2008	74269U104	PKT	2000.0000	2.0399	4,079.80	71.32
9313	07/28/2008	07/31/2008	82966U103	SIRI	2000.0000	2.0400	4,080.00	71.32
9314	12/28/2006	01/03/2007	10845P102	BGTH	-3250.0000	1.6846	(5,474.95)	1.74
9315	03/05/2009	03/10/2009	754055101	RZ	1800.0000	2.7680	4,982.40	26.37
9316	02/27/2009	03/04/2009	362397101	GAB	-1785.0000	2.7685	(4,941.77)	28.41
9317	07/27/2006	08/01/2006	460588106	IPA	4300.0000	1.1500	4,945.00	28.28
9318	03/08/2007	03/13/2007	746218106	PURE	2000.0000	2.0475	4,095.00	70.81
9319	04/05/2006	04/10/2006	85517P101	STSI	-1740.0000	2.8100	(4,889.40)	31.10
9320	04/24/2009	04/29/2009	367220100	GSX	-6250.0000	0.5028	(3,142.50)	118.45
9321	03/26/2008	03/31/2008	244331104	DFR	3400.0000	1.4696	4,996.64	25.76
9322	07/08/2008	07/11/2008	749941100	RFMD	-1800.0000	2.7715	(4,988.70)	26.16
9323	02/25/2009	03/02/2009	345370860	F	2000.0000	2.0488	4,097.60	70.73
9324	08/25/2008	08/28/2008	229393301	CRZ	2000.0000	2.0499	4,099.80	70.65
9325	07/23/2009	07/28/2009	64190A103	NRO	-2000.0000	2.0500	(4,100.00)	70.65
9326	11/10/2006	11/15/2006	98425R101	YTBL	-2000.0000	2.0500	(4,100.00)	70.65
9327	09/17/2008	09/22/2008	026874107	AIG	2000.0000	2.0500	4,100.00	70.65
9328	12/06/2006	12/11/2006	89376R109	TMTA	-3300.0000	1.1700	(3,861.00)	82.62
9329	03/30/2009	04/02/2009	00846L101	FEED	2200.0000	2.3390	5,145.80	18.43
9330	11/10/2008	11/14/2008	523768109	LEE	-2900.0000	1.4701	(4,263.29)	62.61
9331	04/21/2010	04/26/2010	313586109	FNM	2250.0000	1.2190	2,742.75	125.79
9332	03/07/2007	03/12/2007	367626108	GTW	2000.0000	2.0550	4,110.00	70.31
9333	04/05/2006	04/10/2006	85517P101	STSI	-1740.0000	2.8200	(4,906.80)	30.49
9334	05/20/2009	05/26/2009	427825104	HT	-1750.0000	2.8208	(4,936.40)	29.03
9335	06/03/2010	06/08/2010	87161C105	SNV	-1800.0000	2.8210	(5,077.80)	21.97
9336	02/28/2007	03/05/2007	29382F103	ENMD	3400.0000	1.5000	5,100.00	20.91
9337	06/19/2008	06/24/2008	007947104	AERT	-9000.0000	0.5200	(4,680.00)	41.95
9338	05/03/2007	05/08/2007	14159W109	CGCP	-16500.0000	0.2630	(4,339.50)	58.99
9339	02/03/2009	02/06/2009	316773100	FITB	2000.0000	2.0600	4,120.00	69.98
9340	07/20/2007	07/25/2007	247126105	DPHIQ	-2000.0000	2.0600	(4,120.00)	69.98
9341	11/11/2008	11/14/2008	345370860	F	2100.0000	1.7500	3,675.00	92.25
9342	05/28/2009	06/02/2009	370442105	GM	3000.0000	1.2799	3,839.70	84.08
9343	06/05/2009	06/10/2009	543354104	LPIH	4000.0000	1.0500	4,200.00	66.10
9344	03/19/2009	03/24/2009	026874107	AIG	3000.0000	1.7800	5,340.00	9.16
9345	04/13/2009	04/16/2009	26817G102	DYN	-3000.0000	1.7808	(5,342.40)	9.07
9346	10/15/2008	10/20/2008	052660107	AUTH	-2700.0000	1.9600	(5,292.00)	11.70
9347	02/12/2009	02/18/2009	316773100	FITB	2000.0000	2.0698	4,139.60	69.32
9348	05/08/2009	05/13/2009	026874107	AIG	2000.0000	2.0700	4,140.00	69.31

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9349	07/17/2009	07/22/2009	30033R108	ESLR	2000.0000	2.0699	4,139.80	69.32
9350	02/27/2007	03/02/2007	367626108	GTW	2000.0000	2.0750	4,150.00	68.97
9351	08/14/2009	08/19/2009	37245M603	GETA	-10000.0000	0.4050	(4,050.00)	73.98
9352	05/02/2006	05/05/2006	524636107	LRCI	-5000.0000	0.8100	(4,050.00)	73.98
9353	08/04/2006	08/09/2006	131347106	CPNLQ	10000.0000	0.4050	4,050.00	73.98
9354	06/05/2009	06/10/2009	224916106	CIK	-1750.0000	2.8504	(4,988.20)	27.23
9355	09/17/2008	09/22/2008	026874107	AIG	2000.0000	2.0799	4,159.80	68.65
9356	08/06/2009	08/11/2009	038923108	ABR	-1933.0000	2.1000	(4,059.30)	73.73
9357	02/09/2007	02/14/2007	05348W109	AVNX	2000.0000	2.2484	4,496.80	51.96
9358	04/01/2009	04/06/2009	01903Q108	ALD	-2118.0000	1.5500	(3,282.90)	112.67
9359	01/30/2007	02/02/2007	50575Q102	LTS	3000.0000	1.8000	5,400.00	6.83
9360	10/10/2008	10/16/2008	345370860	F	2000.0000	2.0870	4,174.00	68.17
9361	08/22/2006	08/25/2006	989414107	ZIF	-1800.0000	2.8600	(5,148.00)	19.49
9362	05/04/2010	05/07/2010	633643408	NBG	1782.0000	2.8600	5,096.52	22.06
9363	07/06/2009	07/09/2009	830695102	SKNY	43777.0000	0.0995	4,355.81	59.11
9364	08/23/2006	08/28/2006	652903105	NEXM	-4000.0000	0.7900	(3,160.00)	118.92
9365	06/22/2007	06/27/2007	867877102	SUWN	4000.0000	0.7900	3,160.00	118.92
9366	10/22/2008	10/27/2008	345370860	F	-2000.0000	2.0901	(4,180.20)	67.96
9367	09/17/2008	09/22/2008	026874107	AIG	2000.0000	2.0900	4,180.00	67.97
9368	02/13/2006	02/16/2006	243798105	DWOG	2000.0000	2.0900	4,180.00	67.97
9369	12/07/2007	12/12/2007	030145205	ATCO	2000.0000	2.0900	4,180.00	67.97
9370	12/13/2006	12/18/2006	97750V109	WIZD	-1760.0000	2.8642	(5,040.99)	24.95
9371	05/04/2010	05/07/2010	254668106	DSCO	10000.0000	0.5174	5,174.00	18.43
9372	07/09/2009	07/14/2009	872449103	TIBB	1900.0000	2.4400	4,636.00	45.34
9373	08/05/2009	08/10/2009	52729N100	LVLTL	-2300.0000	1.2500	(2,875.00)	127.16
9374	03/09/2009	03/12/2009	989834106	ZF	-2000.0000	2.1000	(4,200.00)	67.30
9375	03/09/2009	03/12/2009	989834106	ZF	-2000.0000	2.1000	(4,200.00)	67.30
9376	01/13/2006	01/19/2006	282914100	EGHT	2000.0000	2.1000	4,200.00	67.30
9377	01/20/2006	01/25/2006	243798105	DWOG	2000.0000	2.1000	4,200.00	67.30
9378	12/31/2009	01/06/2010	941888109	WSBF	2000.0000	2.0999	4,199.80	67.31
9379	11/17/2008	11/20/2008	339099103	FLE	25000.0000	0.1882	4,705.00	42.06
9380	12/30/2008	01/05/2009	345196109	FORC	-10000.0000	0.4705	(4,705.00)	42.06
9381	01/11/2007	01/17/2007	89141B108	TREN	-4000.0000	1.0800	(4,320.00)	61.39
9382	03/01/2007	03/06/2007	04289L107	ARTG	2000.0000	2.2787	4,557.40	49.53
9383	03/19/2009	03/24/2009	172967101	C	1754.0000	2.8799	5,051.34	24.85
9384	02/18/2010	02/23/2010	82967N108	SIRI	3100.0000	1.1298	3,502.38	102.38
9385	05/17/2007	05/22/2007	04289L107	ARTG	-1900.0000	2.3339	(4,434.41)	55.87
9386	03/31/2009	04/03/2009	952145100	WCBO	2400.0000	2.2991	5,517.84	1.71
9387	09/17/2008	09/22/2008	026874107	AIG	2000.0000	2.1099	4,219.80	66.64
9388	03/25/2009	03/30/2009	530158104	USA	-1850.0000	3.0000	(5,550.00)	0.29
9389	11/20/2008	11/25/2008	345370860	F	2130.0000	1.5385	3,277.01	114.06
9390	04/10/2006	04/13/2006	45840F105	ISWI	-2000.0000	2.1200	(4,240.00)	65.96
9391	02/16/2007	02/22/2007	45840F105	ISWI	-2800.0000	1.2996	(3,638.88)	96.02
9392	12/23/2009	12/29/2009	023139108	ABK	3967.0000	0.8000	3,173.60	119.29
9393	10/07/2009	10/13/2009	82967N108	SIRI	-6000.0000	0.5290	(3,174.00)	119.30
9394	06/29/2009	07/02/2009	767754104	RAD	3000.0000	1.3297	3,989.10	78.59
9395	04/18/2006	04/21/2006	00826M103	AFFI	7500.0000	0.4233	3,174.75	119.32
9396	03/26/2009	03/31/2009	37247D106	GNW	2000.0000	2.1260	4,252.00	65.56
9397	08/14/2009	08/19/2009	48248A306	KFN	1800.0000	2.9090	5,236.20	16.38
9398	03/06/2008	03/11/2008	836151209	SORC	3200.0000	1.4100	4,512.00	52.61
9399	06/10/2009	06/15/2009	521865105	LEA	2000.0000	2.1297	4,259.40	65.31
9400	09/22/2010	09/27/2010	02081G102	ATEC	2000.0000	2.1300	4,260.00	65.29
9401	02/22/2007	02/27/2007	367626108	GTW	2000.0000	2.1300	4,260.00	65.29
9402	03/11/2009	03/16/2009	315405100	FOE	2000.0000	2.1299	4,259.80	65.30
9403	10/13/2008	10/16/2008	345370860	F	1871.0000	2.4790	4,638.21	46.46
9404	08/13/2008	08/18/2008	82967N108	SIRI	3000.0000	1.3400	4,020.00	77.45
9405	02/10/2009	02/13/2009	345370860	F	-3000.0000	1.8500	(5,550.00)	0.98

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9406	11/12/2008	11/17/2008	345370860	F	3000.0000	1.8500	5,550.00	0.98
9407	10/30/2008	11/04/2008	666135108	NFLD	-6000.0000	0.6804	(4,082.40)	74.36
9408	09/07/2007	09/12/2007	131347106	CPNLQ	-2100.0000	2.0700	(4,347.00)	61.15
9409	05/14/2009	05/19/2009	313586109	FNM	4000.0000	0.7952	3,180.80	119.49
9410	02/22/2007	02/27/2007	367626108	GTW	2000.0000	2.1400	4,280.00	64.62
9411	12/19/2008	12/24/2008	370021107	GGP	-2175.0000	1.5400	(3,349.50)	111.15
9412	06/22/2010	06/25/2010	11161T108	BWEN	1894.0000	2.4898	4,715.68	42.93
9413	03/06/2009	03/11/2009	67073B106	JPC	-1750.0000	2.9312	(5,129.60)	22.30
9414	04/17/2009	04/22/2009	370442105	GM	-2100.0000	1.8300	(3,843.00)	86.63
9415	06/09/2009	06/12/2009	524908100	LEHMQ	-65000.0000	0.0490	(3,185.00)	119.60
9416	07/14/2010	07/19/2010	939322103	WAMUQ	-17500.0000	0.1820	(3,185.00)	119.60
9417	01/18/2006	01/23/2006	63007X108	NNPP	2250.0000	2.1000	4,725.00	42.61
9418	02/01/2007	02/06/2007	131347106	CPNLQ	-3000.0000	1.4400	(4,320.00)	62.89
9419	05/08/2009	05/13/2009	42366C103	HEB	2300.0000	1.2899	2,966.77	128.93
9420	08/30/2010	09/02/2010	14141R101	CRDC	2000.0000	2.1500	4,300.00	63.95
9421	10/10/2008	10/16/2008	345370860	F	2000.0000	2.1499	4,299.80	63.96
9422	10/28/2008	10/31/2008	345370860	F	2000.0000	2.1499	4,299.80	63.96
9423	10/01/2008	10/06/2008	644398109	NOOF	-2000.0000	2.1501	(4,300.20)	63.95
9424	10/18/2007	10/23/2007	65410X104	NIHK	40000.0000	0.1040	4,160.00	71.00
9425	10/24/2008	10/29/2008	026874107	AIG	-2796.0000	1.7701	(4,949.20)	31.58
9426	03/26/2008	03/31/2008	47777N101	JOEZ	2341.0000	1.0800	2,528.28	129.08
9427	11/09/2006	11/14/2006	885183103	THPW	-12263.0000	0.2600	(3,188.38)	119.69
9428	11/27/2009	12/02/2009	72919P103	PLUG	6000.0000	0.7900	4,740.00	42.20
9429	02/23/2007	02/28/2007	04289L107	ARTG	2000.0000	2.3196	4,639.20	47.24
9430	03/19/2009	03/24/2009	26153R100	DCS	3000.0000	1.3599	4,079.70	75.26
9431	12/23/2008	12/29/2008	345196109	FORC	-9400.0000	0.4677	(4,396.38)	59.43
9432	04/28/2006	05/03/2006	367626108	GTW	2000.0000	2.1600	4,320.00	63.29
9433	11/17/2009	11/20/2009	732449301	PARD	2000.0000	2.1600	4,320.00	63.29
9434	11/03/2006	11/08/2006	29382F103	ENMD	2000.0000	2.1600	4,320.00	63.29
9435	04/09/2010	04/14/2010	759720105	RCG	1900.0000	2.5100	4,769.00	40.89
9436	10/11/2006	10/16/2006	74727M306	QMM	-2725.0000	1.3600	(3,706.00)	94.06
9437	02/28/2007	03/05/2007	04289L107	ARTG	2000.0000	2.3297	4,659.40	46.43
9438	03/09/2007	03/14/2007	023111107	AMRN	2000.0000	2.1635	4,327.00	63.05
9439	10/02/2006	10/05/2006	46612J101	JDSU	-2000.0000	2.0503	(4,100.60)	74.37
9440	10/20/2006	10/25/2006	04289L107	ARTG	-2000.0000	2.0503	(4,100.60)	74.37
9441	06/03/2010	06/08/2010	463320101	IROG	20000.0000	0.2200	4,400.00	59.46
9442	07/12/2007	07/17/2007	43738R109	HMB	-5000.0000	0.8801	(4,400.50)	59.47
9443	10/26/2007	10/31/2007	00247X100	AAAE	4000.0000	1.1300	4,520.00	53.54
9444	09/15/2009	09/18/2009	69207P100	OXBO	10000.0000	0.4100	4,100.00	74.57
9445	05/18/2010	05/21/2010	74624H103	PSWS	-20500.0000	0.2000	(4,100.00)	74.57
9446	05/05/2006	05/10/2006	381540301	GDTT	10000.0000	0.4100	4,100.00	74.57
9447	05/25/2006	05/31/2006	17887P106	CKRH	10000.0000	0.4100	4,100.00	74.57
9448	03/09/2009	03/12/2009	02503Y103	ACAS	5000.0000	0.6389	3,194.50	119.86
9449	12/07/2009	12/10/2009	628852105	NCS	2000.0000	2.1699	4,339.80	62.62
9450	03/22/2007	03/27/2007	318465101	FACT	-3350.0000	1.6060	(5,380.10)	10.63
9451	04/22/2010	04/27/2010	767754104	RAD	3500.0000	1.3999	4,899.65	34.66
9452	10/19/2006	10/24/2006	40430N204	HSTHE	4000.0000	0.7988	3,195.20	119.88
9453	04/02/2009	04/07/2009	043353101	ARM	5000.0000	0.9500	4,750.00	42.23
9454	03/04/2009	03/09/2009	783332109	RUTH	5000.0000	0.9500	4,750.00	42.23
9455	06/22/2007	06/27/2007	05348W109	AVNX	2500.0000	1.9019	4,754.75	42.01
9456	02/10/2006	02/15/2006	04621M102	ASAM	-4000.0000	0.9000	(3,600.00)	99.78
9457	06/06/2008	06/11/2008	83001P109	SIX	-2700.0000	2.0700	(5,589.00)	0.36
9458	07/01/2010	07/07/2010	37990W103	GHLV	8900.0000	0.3594	3,198.66	119.97
9459	09/03/2010	09/09/2010	427093109	HERO	-2000.0000	2.1801	(4,360.20)	61.94
9460	02/07/2006	02/10/2006	243798105	DWOG	2000.0000	2.1800	4,360.00	61.95
9461	09/02/2010	09/08/2010	04744L106	ATHX	2000.0000	2.7800	5,560.00	2.00
9462	04/03/2009	04/08/2009	169453107	CTFO	-1800.0000	3.0000	(5,400.00)	10.00

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9463	03/11/2008	03/14/2008	781748108	RURL	2000.0000	2.6000	5,200.00	20.00
9464	08/07/2009	08/12/2009	720279108	PIR	2000.0000	2.5199	5,039.80	28.01
9465	05/18/2007	05/23/2007	37988P102	GLRAF	-7000.0000	0.5250	(3,675.00)	96.25
9466	09/04/2008	09/09/2008	34958T107	FFGO	8000000.0000	0.0004	3,200.00	120.01
9467	06/01/2009	06/04/2009	713661106	PPHM	4000.0000	0.8000	3,200.00	120.01
9468	05/14/2010	05/19/2010	08901P206	BGBR	4000.0000	0.8000	3,200.00	120.01
9469	07/08/2010	07/13/2010	88289Q109	TEXC	20000.0000	0.1600	3,200.00	120.01
9470	03/15/2010	03/18/2010	885803205	THRR	4000000.0000	0.0008	3,200.00	120.01
9471	03/10/2008	03/13/2008	52729N100	LVLTL	-2012.0000	1.7508	(3,522.61)	103.94
9472	03/10/2008	03/13/2008	52729N100	LVLTL	-2012.0000	1.7508	(3,522.61)	103.94
9473	09/18/2006	09/21/2006	46612J101	JDSU	2000.0000	2.3501	4,700.20	45.19
9474	09/11/2007	09/14/2007	452907108	IMMU	2000.0000	2.1900	4,380.00	61.28
9475	03/13/2009	03/18/2009	345370860	F	2000.0000	2.1900	4,380.00	61.28
9476	08/06/2009	08/11/2009	88650R108	TONE	2000.0000	2.1900	4,380.00	61.28
9477	09/16/2008	09/19/2008	939322103	WM	-1968.0000	2.1900	(4,309.92)	64.78
9478	09/17/2007	09/20/2007	456784107	IFON	-2000.0000	2.1917	(4,383.40)	61.16
9479	03/20/2006	03/23/2006	04621M102	ASAM	-4000.0000	1.1500	(4,600.00)	50.40
9480	07/21/2010	07/26/2010	45248F103	IMGG	15000.0000	0.2790	4,185.00	71.19
9481	07/14/2009	07/17/2009	125581108	CIT	-3500.0000	1.4200	(4,970.00)	31.95
9482	12/16/2009	12/21/2009	759720105	RCG	2000.0000	2.6952	5,390.40	10.94
9483	04/17/2007	04/20/2007	207142100	CNXT	-3500.0000	1.4400	(5,040.00)	28.46
9484	03/09/2007	03/14/2007	232984104	ATC	-2000.0000	2.1959	(4,391.80)	60.88
9485	10/09/2006	10/12/2006	74765E109	QWW	2800.0000	1.8400	5,152.00	22.95
9486	02/01/2006	02/06/2006	69901U108	POGI	-20000.0000	0.2210	(4,420.00)	59.61
9487	09/17/2008	09/22/2008	026874107	AIG	2000.0000	2.2000	4,400.00	60.61
9488	10/22/2009	10/27/2009	559775101	MPG	-2000.0000	2.2000	(4,400.00)	60.61
9489	01/05/2006	01/10/2006	80862K104	SCLN	-2000.0000	2.2000	(4,400.00)	60.61
9490	06/28/2010	07/01/2010	44934S107	ICAD	2000.0000	2.2000	4,400.00	60.61
9491	03/28/2006	03/31/2006	345203202	FRPT	2000.0000	2.2000	4,400.00	60.61
9492	02/12/2010	02/18/2010	00547W109	ADMP	-11400.0000	0.4000	(4,560.00)	52.62
9493	01/20/2006	01/25/2006	125318105	THK	2000.0000	2.2030	4,406.00	60.41
9494	08/17/2006	08/22/2006	042384107	ACKHQ	-10000.0000	0.3210	(3,210.00)	120.28
9495	12/15/2008	12/18/2008	45928H106	ICO	2000.0000	2.3796	4,759.20	42.86
9496	12/11/2008	12/16/2008	73174C100	PYMX	4000.0000	1.1600	4,640.00	48.83
9497	08/02/2006	08/07/2006	98849X205	YUKR	-5000.0000	0.8850	(4,425.00)	59.66
9498	04/17/2007	04/20/2007	82966U103	SIRI	-1789.0000	3.0120	(5,388.47)	11.51
9499	10/23/2008	10/28/2008	269246104	ETFC	2000.0000	2.2100	4,420.00	59.94
9500	02/20/2009	02/25/2009	74347R743	UYG	2000.0000	2.2100	4,420.00	59.94
9501	03/25/2010	03/30/2010	68555P100	ORBC	-2000.0000	2.2122	(4,424.40)	59.79
9502	02/01/2010	02/04/2010	14067D102	CPST	-3000.0000	1.1500	(3,450.00)	108.57
9503	04/06/2009	04/09/2009	172967101	C	2000.0000	2.7200	5,440.00	9.15
9504	12/15/2008	12/18/2008	45928H106	ICO	2000.0000	2.3899	4,779.80	42.16
9505	03/24/2009	03/27/2009	17461R106	CTZN	4000.0000	1.1677	4,670.80	47.62
9506	12/29/2006	01/04/2007	05348W109	AVNX	-2100.0000	1.7861	(3,750.81)	93.65
9507	10/15/2009	10/20/2009	561911108	HYF	-2100.0000	1.9001	(3,990.21)	81.70
9508	09/14/2007	09/19/2007	452907108	IMMU	2000.0000	2.2200	4,440.00	59.27
9509	09/18/2008	09/23/2008	026874107	AIG	2000.0000	2.2200	4,440.00	59.27
9510	12/12/2008	12/17/2008	345370860	F	-2000.0000	2.2200	(4,440.00)	59.27
9511	09/18/2009	09/23/2009	767754104	RAD	-2000.0000	2.2203	(4,440.60)	59.25
9512	04/13/2007	04/18/2007	82966U103	SIRI	-1725.0000	3.1120	(5,368.20)	13.13
9513	12/06/2006	12/11/2006	00758C107	AENG	-11000.0000	0.4350	(4,785.00)	42.37
9514	10/27/2008	10/30/2008	026874107	AIG	3000.0000	1.4200	4,260.00	68.62
9515	03/12/2009	03/17/2009	67073B106	JPC	-1797.0000	3.0406	(5,463.96)	8.48
9516	07/05/2007	07/10/2007	16946G107	CVDT	4000.0000	1.1800	4,720.00	45.69
9517	12/16/2008	12/19/2008	013904305	ALU	-2000.0000	2.2400	(4,480.00)	57.93
9518	01/21/2009	01/26/2009	345370860	F	2500.0000	2.1460	5,365.00	13.70
9519	03/19/2009	03/24/2009	026874107	AIG	2500.0000	1.6599	4,149.75	74.52

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9520	06/12/2008	06/17/2008	58505W107	MDRA	-3433.0000	1.0000	(3,433.00)	110.60
9521	10/13/2008	10/16/2008	635405103	NCC	2000.0000	2.2499	4,499.80	57.27
9522	10/16/2006	10/19/2006	378965107	GRLY	7500.0000	0.5933	4,449.75	59.85
9523	07/26/2006	07/31/2006	131347106	CPNLQ	10000.0000	0.4450	4,450.00	59.85
9524	03/15/2010	03/18/2010	561911108	HYF	2250.0000	2.2380	5,035.50	30.61
9525	08/15/2006	08/18/2006	466121101	JDSU	-2000.0000	2.1388	(4,277.60)	68.52
9526	04/24/2007	04/27/2007	318465101	FACT	3350.0000	1.6851	5,645.09	0.16
9527	02/07/2006	02/10/2006	007947104	AERTA	2000.0000	2.2550	4,510.00	56.93
9528	07/21/2006	07/26/2006	922281100	VSTY	1750.0000	3.0700	5,372.50	13.84
9529	08/07/2006	08/10/2006	228309100	CRWS	-2215.0000	2.3300	(5,160.95)	24.42
9530	09/02/2009	09/08/2009	760112102	RTK	2350.0000	1.9297	4,534.80	55.80
9531	09/14/2010	09/17/2010	594960106	MVIS	-2000.0000	2.2600	(4,520.00)	56.59
9532	09/17/2009	09/22/2009	767754104	RAD	-2000.0000	2.2603	(4,520.60)	56.57
9533	04/20/2009	04/23/2009	044103109	AHT	-2000.0000	2.2608	(4,521.60)	56.54
9534	02/20/2008	02/25/2008	29256X107	ENCY	-2000.0000	2.2614	(4,522.80)	56.50
9535	04/01/2008	04/04/2008	87162Q103	SYBD	5500.0000	0.8100	4,455.00	59.89
9536	10/22/2010	10/27/2010	80201E108	SFEG	-2942.0000	1.0300	(3,030.26)	131.28
9537	11/13/2007	11/16/2007	206710204	CCUR	-4000.0000	0.8995	(3,598.00)	102.90
9538	03/24/2009	03/27/2009	349588106	FIG	2000.0000	2.7799	5,559.80	4.83
9539	12/17/2008	12/22/2008	427825104	HT	-1900.0000	2.6600	(5,054.00)	30.19
9540	03/12/2007	03/15/2007	89784T101	TNEN	-2000.0000	2.2700	(4,540.00)	55.92
9541	07/07/2006	07/12/2006	68812R105	OSCI	-4500.0000	0.8100	(3,645.00)	100.67
9542	07/13/2006	07/18/2006	78402H102	SCPXY	2100.0000	1.9500	4,095.00	78.19
9543	09/01/2009	09/04/2009	26884J104	ERHE	-7500.0000	0.7525	(5,643.75)	0.76
9544	05/30/2007	06/04/2007	470080409	JAGH	-3999.0000	0.8100	(3,239.19)	121.07
9545	02/14/2007	02/20/2007	282914100	EGHT	-3000.0000	1.4600	(4,380.00)	64.22
9546	12/13/2006	12/18/2006	69562E104	PRZ	-3000.0000	1.0403	(3,120.90)	127.18
9547	12/31/2008	01/06/2009	345370860	F	2000.0000	2.2800	4,560.00	55.25
9548	10/10/2008	10/16/2008	026874107	AIG	2000.0000	2.2798	4,559.60	55.27
9549	05/03/2010	05/06/2010	561911108	HYF	-2000.0000	2.2810	(4,562.00)	55.19
9550	03/09/2009	03/12/2009	02503Y103	ACAS	5000.0000	0.6488	3,244.00	121.20
9551	10/02/2007	10/05/2007	46072H108	IBCIQ	7064.0000	0.4594	3,245.20	121.24
9552	02/22/2008	02/27/2008	806732103	SCTN	-32200.0000	0.1008	(3,245.76)	121.25
9553	09/30/2008	10/03/2008	635405103	NCC	2000.0000	2.2900	4,580.00	54.58
9554	02/17/2010	02/22/2010	71902E109	PNX	-2000.0000	2.2900	(4,580.00)	54.58
9555	04/26/2007	05/01/2007	367626108	GTW	2000.0000	2.2900	4,580.00	54.58
9556	10/17/2008	10/22/2008	026874107	AIG	2000.0000	2.2899	4,579.80	54.59
9557	08/24/2009	08/27/2009	313400301	FRE	2000.0000	2.2899	4,579.80	54.59
9558	09/20/2006	09/25/2006	457669208	INSM	3000.0000	1.4700	4,410.00	63.11
9559	09/08/2009	09/11/2009	68555P100	ORBC	2000.0000	2.2910	4,582.00	54.52
9560	12/16/2009	12/21/2009	233066109	DCA	-2000.0000	2.2916	(4,583.20)	54.48
9561	05/28/2010	06/03/2010	767754104	RAD	-2348.0000	1.1806	(2,772.05)	133.74
9562	06/18/2009	06/23/2009	172967101	C	-1800.0000	3.1200	(5,616.00)	2.99
9563	03/17/2009	03/20/2009	026874107	AIG	5000.0000	0.9650	4,825.00	42.54
9564	06/06/2008	06/11/2008	806732103	SCTN	-50000.0000	0.0650	(3,250.00)	121.37
9565	11/02/2006	11/07/2006	85771Q100	STCA	5000.0000	0.6500	3,250.00	121.37
9566	10/15/2007	10/18/2007	29267A104	ENEIOLDD	5000.0000	0.6500	3,250.00	121.37
9567	03/07/2007	03/12/2007	190643106	COTE	-5000.0000	0.6500	(3,250.00)	121.37
9568	09/02/2009	09/08/2009	591556105	MTIZ	-500000.0000	0.0065	(3,250.00)	121.37
9569	03/16/2009	03/19/2009	172967101	C	2000.0000	2.3000	4,600.00	53.92
9570	03/16/2009	03/19/2009	172967101	C	2000.0000	2.3000	4,600.00	53.92
9571	05/12/2010	05/17/2010	811707306	SBCF	2000.0000	2.3000	4,600.00	53.92
9572	03/03/2010	03/08/2010	68267M106	OLNK	-6503000.0000	0.0005	(3,251.50)	121.41
9573	10/01/2009	10/06/2009	628852105	NCS	-1800.0000	3.1287	(5,631.66)	2.44
9574	09/27/2010	09/30/2010	86806M205	SUPR	-5000.0000	0.8571	(4,285.50)	69.76
9575	05/16/2007	05/21/2007	097924104	BBA	-5000.0000	0.6508	(3,254.00)	121.47
9576	03/27/2007	03/30/2007	04289L107	ARTG	2000.0000	2.4721	4,944.20	36.99

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9577	04/17/2007	04/20/2007	367626108	GTW	2000.0000	2.3095	4,619.00	53.28
9578	11/13/2008	11/18/2008	989834106	ZF	1900.0000	2.7085	5,146.15	26.93
9579	01/27/2006	02/01/2006	284868106	ELC	-5000.0000	0.8965	(4,482.50)	60.12
9580	03/08/2007	03/13/2007	252785100	DHCC	2000.0000	2.3100	4,620.00	53.25
9581	10/28/2009	11/02/2009	529900102	ASG	1800.0000	3.1397	5,651.46	1.74
9582	01/20/2010	01/25/2010	598251106	MBHI	-5716.0000	0.5700	(3,258.12)	121.58
9583	08/25/2009	08/28/2009	916896103	UEC	2000.0000	2.3199	4,639.80	52.58
9584	12/05/2007	12/10/2007	743586406	PHY	1950.0000	2.8426	5,543.07	7.43
9585	01/20/2009	01/23/2009	429906100	HYP	-2000.0000	2.3201	(4,640.20)	52.57
9586	01/24/2006	01/27/2006	225223106	CRAY	2000.0000	2.3200	4,640.00	52.58
9587	05/05/2008	05/08/2008	830695102	SKNY	11000.0000	0.4400	4,840.00	42.59
9588	03/28/2007	04/02/2007	80603Q105	SCNI	-2500.0000	1.0000	(2,500.00)	134.68
9589	05/15/2009	05/20/2009	026874107	AIG	3100.0000	1.8100	5,611.00	4.14
9590	12/04/2009	12/09/2009	87161C105	SNV	-2025.0000	2.0510	(4,153.28)	77.07
9591	03/25/2010	03/30/2010	68555P100	ORBC	-2000.0000	2.3250	(4,650.00)	52.24
9592	03/31/2009	04/03/2009	01903Q108	ALD	-2927.0000	1.5201	(4,449.33)	62.31
9593	02/16/2007	02/22/2007	92846M205	PRH	-3100.0000	1.3087	(4,056.97)	81.99
9594	10/09/2008	10/15/2008	635405103	NCC	2000.0000	2.3299	4,659.80	51.91
9595	10/10/2008	10/16/2008	345370860	F	2000.0000	2.3298	4,659.60	51.92
9596	03/11/2009	03/16/2009	989834106	ZF	2000.0000	2.3300	4,660.00	51.91
9597	03/30/2007	04/04/2007	69561N204	PAYD	6400.0000	0.5100	3,264.00	121.75
9598	08/20/2010	08/25/2010	585013105	MZEI	19000.0000	0.2650	5,035.00	33.25
9599	03/26/2008	03/31/2008	781748108	RURL	2000.0000	2.4730	4,946.00	37.70
9600	11/19/2007	11/23/2007	05348W109	AVNX	-3000.0000	1.4250	(4,275.00)	71.25
9601	10/16/2009	10/21/2009	14018Y106	CGLD	5600.0000	0.7300	4,088.00	80.60
9602	06/20/2006	06/23/2006	45820C106	IMFD	-9556.0000	0.4100	(3,917.96)	89.20
9603	03/09/2006	03/14/2006	87929J103	TSYS	-2000.0000	2.2174	(4,434.80)	63.46
9604	02/27/2009	03/04/2009	74347R743	UYG	2000.0000	2.3391	4,678.20	51.30
9605	06/23/2009	06/26/2009	916896103	UEC	2000.0000	2.3399	4,679.80	51.25
9606	06/09/2010	06/14/2010	11161T108	BWEN	-2000.0000	2.3402	(4,680.40)	51.23
9607	06/26/2006	06/29/2006	94107F101	WTCH	-40000.0000	0.1125	(4,500.00)	60.25
9608	09/23/2009	09/28/2009	539573105	LBAS	6000.0000	0.7500	4,500.00	60.25
9609	01/20/2006	01/25/2006	04621M102	ASAM	-5000.0000	0.9000	(4,500.00)	60.25
9610	10/10/2008	10/16/2008	457669208	INSM	-13750.0000	0.3273	(4,500.38)	60.25
9611	06/12/2009	06/17/2009	370442105	GMGMQ	-3500.0000	1.0700	(3,745.00)	98.04
9612	04/20/2010	04/23/2010	458738101	ILI	-5100.0000	0.7000	(3,570.00)	106.90
9613	02/24/2009	02/27/2009	904677101	UFI	4000.0000	0.8178	3,271.20	121.94
9614	03/29/2007	04/03/2007	80603Q105	SCNI	-2500.0000	1.0180	(2,545.00)	135.55
9615	10/28/2008	10/31/2008	86272T106	BEE	2000.0000	2.3500	4,700.00	50.57
9616	06/27/2007	07/02/2007	247126105	DPHIQ	-2000.0000	2.3500	(4,700.00)	50.57
9617	10/14/2010	10/19/2010	561911108	HYF	2000.0000	2.3500	4,700.00	50.57
9618	03/18/2009	03/23/2009	989834106	ZF	2000.0000	2.3500	4,700.00	50.57
9619	09/12/2007	09/17/2007	452907108	IMMU	2000.0000	2.3499	4,699.80	50.58
9620	08/04/2009	08/07/2009	35907K105	FTBK	-7715.0000	0.6300	(4,860.45)	42.68
9621	02/17/2010	02/22/2010	949765101	WGA	-1000.0000	2.3500	(2,350.00)	135.72
9622	11/13/2007	11/16/2007	88579F102	TDCP	-12000.0000	0.3500	(4,200.00)	75.74
9623	05/08/2006	05/11/2006	17887P106	CKRH	10000.0000	0.4200	4,200.00	75.74
9624	05/03/2006	05/08/2006	243778107	DPRK	20000.0000	0.2100	4,200.00	75.74
9625	05/12/2009	05/15/2009	370442105	GM	3000.0000	1.1000	3,300.00	120.74
9626	09/21/2006	09/26/2006	04289L107	ARTG	1900.0000	2.9404	5,586.76	6.42
9627	09/24/2009	09/29/2009	35907K105	FTBK	-4441.0000	1.1501	(5,107.59)	30.45
9628	02/17/2006	02/23/2006	20461S108	CPTC	-2300.0000	1.4700	(3,381.00)	116.83
9629	07/27/2006	08/01/2006	228309100	CRWS	-2000.0000	2.3600	(4,720.00)	49.90
9630	11/04/2008	11/07/2008	026874107	AIG	-2000.0000	2.3600	(4,720.00)	49.90
9631	12/30/2009	01/05/2010	80862K104	SCLN	-2000.0000	2.3600	(4,720.00)	49.90
9632	12/19/2006	12/22/2006	80862K104	SCLN	-2000.0000	2.3600	(4,720.00)	49.90
9633	08/03/2009	08/06/2009	172967101	C	-1753.0000	3.2001	(5,609.78)	5.43

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9634	09/21/2006	09/26/2006	04289L107	ARTG	1900.0000	2.9505	5,605.95	5.65
9635	11/20/2008	11/25/2008	989834106	ZF	-2000.0000	2.3640	(4,728.00)	49.63
9636	06/09/2009	06/12/2009	521865105	LEA	3000.0000	1.4660	4,398.00	66.15
9637	01/06/2006	01/11/2006	20461S108	CPTC	-2500.0000	1.0300	(2,575.00)	136.13
9638	04/23/2007	04/26/2007	50575Q102	LTS	-1900.0000	2.7763	(5,274.97)	22.39
9639	12/11/2008	12/16/2008	45928H106	ICO	2000.0000	2.3685	4,737.00	49.33
9640	03/31/2010	04/06/2010	045346103	APPY	2500.0000	2.2815	5,703.75	1.01
9641	01/19/2006	01/24/2006	928497106	VTSS	2000.0000	2.3700	4,740.00	49.23
9642	10/21/2009	10/26/2009	027263102	AMLM	2000.0000	2.3700	4,740.00	49.23
9643	07/08/2008	07/11/2008	881451108	TSTR	2000.0000	2.3700	4,740.00	49.23
9644	05/13/2010	05/18/2010	811707306	SBCF	2000.0000	2.3730	4,746.00	49.03
9645	03/07/2008	03/12/2008	885218107	TMA	3000.0000	1.5396	4,618.80	55.44
9646	07/30/2009	08/04/2009	767754104	RAD	-3000.0000	1.5400	(4,620.00)	55.39
9647	05/03/2006	05/08/2006	05348W109	AVNX	2000.0000	2.5432	5,086.40	32.08
9648	08/11/2009	08/14/2009	984249102	YRCW	2000.0000	2.3796	4,759.20	48.59
9649	12/15/2009	12/18/2009	29264A206	ENWV	2000.0000	2.3840	4,768.00	48.29
9650	09/04/2007	09/07/2007	206710204	CCUR	-2650.0000	1.2318	(3,264.27)	123.52
9651	09/15/2010	09/20/2010	560613101	MNLU	15000.0000	0.3600	5,400.00	16.76
9652	03/01/2006	03/06/2006	49689D205	KNGSE	-65000.0000	0.0800	(5,200.00)	26.80
9653	03/02/2007	03/07/2007	206710204	CCUR	3000.0000	1.6656	4,996.80	36.96
9654	09/15/2009	09/18/2009	64190A103	NRO	-1900.0000	2.8001	(5,320.19)	20.80
9655	09/15/2008	09/18/2008	74963L103	RMA	3990.0000	1.1800	4,708.20	51.44
9656	01/07/2010	01/12/2010	87161C105	SNV	2000.0000	2.3890	4,778.00	47.96
9657	01/07/2010	01/12/2010	87161C105	SNV	2000.0000	2.3890	4,778.00	47.96
9658	12/15/2009	12/18/2009	29264A206	ENWV	2000.0000	2.3900	4,780.00	47.89
9659	06/26/2006	06/29/2006	549463107	LU	2000.0000	2.3900	4,780.00	47.89
9660	12/06/2007	12/11/2007	01374L109	ALMY	2000.0000	2.3900	4,780.00	47.89
9661	04/12/2007	04/17/2007	03062W108	ACHI	211000.0000	0.0200	4,220.00	75.98
9662	10/28/2008	10/31/2008	989837109	ZTR	-1763.0000	3.2406	(5,713.18)	1.33
9663	03/28/2006	03/31/2006	55274R103	MEMS	-4535.0000	1.0353	(4,695.09)	52.24
9664	03/30/2007	04/04/2007	80603Q105	SCNI	-2500.0000	1.0500	(2,625.00)	137.09
9665	06/05/2009	06/10/2009	169424108	CNTF	2000.0000	2.3968	4,793.60	47.44
9666	06/04/2009	06/09/2009	169424108	CNTF	2000.0000	2.3968	4,793.60	47.44
9667	06/05/2009	06/10/2009	169424108	CNTF	2000.0000	2.3971	4,794.20	47.42
9668	03/17/2009	03/20/2009	172967101	C	1929.0000	2.4400	4,706.76	51.79
9669	09/13/2010	09/16/2010	82967N108	SIRI	-2500.0000	1.0515	(2,628.75)	137.16
9670	07/21/2008	07/24/2008	82966U103	SIRI	-2000.0000	2.4000	(4,800.00)	47.22
9671	05/01/2008	05/06/2008	472100106	JYHW	2000.0000	2.4000	4,800.00	47.22
9672	01/12/2009	01/15/2009	885535104	COMS	2000.0000	2.4000	4,800.00	47.22
9673	10/13/2008	10/16/2008	345370860	F	2000.0000	2.3999	4,799.80	47.23
9674	11/04/2009	11/09/2009	69344M101	PMI	2000.0000	2.3999	4,799.80	47.23
9675	03/05/2008	03/10/2008	686323106	ORIT	450.0000	11.0042	4,951.89	39.64
9676	09/12/2008	09/17/2008	82967N108	SIRI	-6000.0000	0.9020	(5,412.00)	16.67
9677	09/17/2009	09/22/2009	68555P100	ORBC	-2000.0000	2.4020	(4,804.00)	47.09
9678	04/07/2006	04/12/2006	222814204	DVW	2400.0000	2.0942	5,026.08	36.11
9679	03/16/2009	03/19/2009	02503Y103	ACAS	3300.0000	1.0193	3,363.69	119.28
9680	06/12/2006	06/15/2006	549463107	LU	2000.0000	2.4100	4,820.00	46.55
9681	12/19/2008	12/24/2008	45928H106	ICO	2000.0000	2.4099	4,819.80	46.56
9682	05/22/2009	05/28/2009	093679108	BBI	4900.0000	0.6731	3,298.19	122.67
9683	06/02/2006	06/07/2006	62474G101	MTMC	-1849.0000	2.8300	(5,232.67)	26.02
9684	11/25/2008	12/01/2008	345370860	F	3500.0000	1.6400	5,740.00	0.69
9685	09/07/2006	09/12/2006	05348W109	AVNX	3000.0000	1.6859	5,057.70	34.82
9686	05/08/2007	05/11/2007	6435EV108	NEWC	-5500.0000	0.6000	(3,300.00)	122.72
9687	10/09/2006	10/12/2006	37958F209	GTE	-10000.0000	0.3300	(3,300.00)	122.72
9688	01/25/2007	01/30/2007	90916J102	UPZS	30000.0000	0.1100	3,300.00	122.72
9689	05/02/2006	05/05/2006	05348W109	AVNX	2000.0000	2.5839	5,167.80	29.41
9690	09/19/2006	09/22/2006	465647204	ITEX	-5993.0000	0.5508	(3,300.94)	122.75

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9691	05/27/2010	06/02/2010	62914U108	NIV	2000.0000	2.4199	4,839.80	45.89
9692	10/15/2008	10/20/2008	345370860	F	2000.0000	2.4199	4,839.80	45.89
9693	05/02/2008	05/07/2008	206710204	CCUR	-5000.0000	0.6606	(3,303.00)	122.81
9694	07/17/2006	07/20/2006	45768R305	INFN	-2500.0000	1.0680	(2,670.00)	137.96
9695	11/02/2006	11/07/2006	05348W109	AVNX	3000.0000	1.6860	5,058.00	35.10
9696	11/19/2008	11/24/2008	74963J108	RHR	-2122.0000	1.8568	(3,940.13)	91.18
9697	12/17/2009	12/22/2009	24869P104	DENN	-2000.0000	2.4300	(4,860.00)	45.21
9698	04/23/2010	04/28/2010	147154108	CACB	-4500.0000	1.2100	(5,445.00)	15.97
9699	11/24/2009	11/30/2009	207142308	CNXT	-2000.0000	2.4330	(4,866.00)	45.01
9700	09/19/2008	09/24/2008	291525103	EMMS	3000.0000	1.5893	4,767.90	49.95
9701	12/16/2009	12/21/2009	693654105	PVFC	2200.0000	1.8081	3,977.82	89.49
9702	07/30/2009	08/04/2009	98416J100	XRM	2500.0000	1.0799	2,699.75	138.53
9703	09/15/2006	09/20/2006	247361108	DALRQ	2500.0000	1.0800	2,700.00	138.54
9704	09/18/2009	09/23/2009	036642106	AIS	-2500.0000	1.0800	(2,700.00)	138.54
9705	08/12/2009	08/17/2009	767754104	RAD	3000.0000	1.5999	4,799.70	48.79
9706	04/10/2008	04/15/2008	37364Q104	GETC	25000.0000	0.1700	4,250.00	76.32
9707	07/06/2006	07/11/2006	524636107	LRCI	-5000.0000	0.8500	(4,250.00)	76.32
9708	02/09/2009	02/12/2009	87929J103	TSYS	-500.0000	9.1000	(4,550.00)	61.33
9709	05/23/2007	05/29/2007	197779101	CBRX	-2000.0000	2.4501	(4,900.20)	43.87
9710	10/26/2007	10/31/2007	69811Q106	PANC	2000.0000	2.4538	4,907.60	43.62
9711	10/30/2006	11/02/2006	247361108	DALRQ	2500.0000	1.0900	2,725.00	139.02
9712	07/31/2008	08/05/2008	82966U103	SIRI	3000.0000	1.6100	4,830.00	47.67
9713	04/09/2009	04/15/2009	427825104	HT	-2000.0000	2.4601	(4,920.20)	43.20
9714	03/26/2007	03/29/2007	125750109	CMGI	-2000.0000	2.4600	(4,920.00)	43.21
9715	08/10/2010	08/13/2010	11161T108	BWEN	-2000.0000	2.4615	(4,923.00)	43.11
9716	05/18/2007	05/23/2007	04289L107	ARTG	2000.0000	2.6347	5,269.40	25.93
9717	11/01/2006	11/06/2006	04289L107	ARTG	2000.0000	2.6347	5,269.40	25.93
9718	08/02/2010	08/05/2010	023139108	ABK	5000.0000	0.8519	4,259.50	76.45
9719	10/13/2008	10/16/2008	894675107	TREE	2000.0000	2.4670	4,934.00	42.74
9720	11/28/2008	12/03/2008	345370860	F	-2000.0000	2.5000	(5,000.00)	39.46
9721	07/30/2009	08/04/2009	76028H100	RPRX	2000.0000	2.5000	5,000.00	39.46
9722	11/08/2006	11/13/2006	549463107	LU	-2000.0000	2.5000	(5,000.00)	39.46
9723	01/21/2009	01/26/2009	466090107	JASO	2000.0000	2.5000	5,000.00	39.46
9724	12/06/2006	12/11/2006	498724103	KDSM	-2000.0000	2.5000	(5,000.00)	39.46
9725	02/05/2010	02/10/2010	062401104	GRAN	-2500.0000	1.1000	(2,750.00)	139.50
9726	03/19/2009	03/24/2009	345370860	F	2000.0000	2.4691	4,938.20	42.60
9727	05/15/2008	05/20/2008	744674201	PUDC	10000.0000	0.5465	5,465.00	16.28
9728	01/24/2006	01/27/2006	303698104	FA	-2000.0000	2.4700	(4,940.00)	42.54
9729	02/17/2009	02/20/2009	74347R743	UYG	2000.0000	2.5050	5,010.00	39.11
9730	10/06/2008	10/09/2008	635405103	NCC	2000.0000	2.5100	5,020.00	38.75
9731	05/16/2007	05/21/2007	786449108	SFE	2000.0000	2.5099	5,019.80	38.76
9732	10/13/2008	10/16/2008	345370860	F	2000.0000	2.5098	5,019.60	38.77
9733	05/07/2009	05/12/2009	026874107	AIG	2400.0000	2.1700	5,208.00	29.43
9734	03/04/2009	03/09/2009	345370860	F	2500.0000	1.8700	4,675.00	56.08
9735	01/24/2006	01/27/2006	549463107	LU	-2000.0000	2.4800	(4,960.00)	41.87
9736	11/12/2008	11/17/2008	466090107	JASO	2000.0000	2.4800	4,960.00	41.87
9737	05/10/2006	05/15/2006	007947104	AERTA	-2000.0000	2.4800	(4,960.00)	41.87
9738	07/20/2009	07/23/2009	984249102	YRCW	3000.0000	1.6294	4,888.20	45.53
9739	11/21/2008	11/26/2008	60886R103	MNC	5000.0000	0.8602	4,301.00	74.89
9740	02/02/2006	02/07/2006	44043J105	HOFF	-2500.0000	1.1100	(2,775.00)	139.99
9741	08/25/2006	08/30/2006	37229P507	GTOP	2000.0000	2.6395	5,279.00	26.05
9742	06/30/2009	07/06/2009	781748108	RURL	-2000.0000	2.5500	(5,100.00)	35.00
9743	08/25/2006	08/30/2006	37229P507	GTOP	-2000.0000	2.5311	(5,062.20)	36.89
9744	08/19/2009	08/24/2009	195493309	CBCG	-38000.0000	0.1003	(3,811.40)	99.43
9745	11/09/2006	11/14/2006	16117M107	CHTR	-2000.0000	2.5200	(5,040.00)	38.05
9746	01/31/2006	02/03/2006	872237201	TBYH	2000.0000	2.5200	5,040.00	38.05
9747	01/25/2006	01/30/2006	549463107	LU	-1968.0000	2.5200	(4,959.36)	42.08

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9748	10/31/2006	11/03/2006	000875104	ACGI	9671.0000	0.4307	4,165.30	81.87
9749	06/07/2007	06/12/2007	60852T109	MLPH	20000.0000	0.1666	3,332.00	123.59
9750	07/17/2008	07/22/2008	380170100	GOHE	-2000.0000	2.5250	(5,050.00)	37.70
9751	11/13/2006	11/16/2006	247126105	DPHIQ	2000.0000	2.4900	4,980.00	41.20
9752	04/03/2009	04/08/2009	607500105	MDVX	2000.0000	2.4900	4,980.00	41.20
9753	03/20/2009	03/25/2009	172967101	C	2000.0000	2.4899	4,979.80	41.21
9754	10/09/2008	10/15/2008	885218800	TMA	2220.0000	2.5697	5,704.73	5.03
9755	08/21/2009	08/26/2009	313586109	FNM	4512.0000	1.2500	5,640.00	8.32
9756	10/26/2009	10/29/2009	69313P101	PABK	-1973.0000	2.7300	(5,386.29)	21.01
9757	05/18/2007	05/23/2007	74269U104	PRNW	2000.0000	2.5300	5,060.00	37.34
9758	03/16/2009	03/19/2009	172967101	C	2000.0000	2.5299	5,059.80	37.35
9759	05/06/2008	05/09/2008	05348W109	AVNX	5000.0000	0.9176	4,588.00	60.94
9760	02/22/2008	02/27/2008	449345107	ICAD	-2000.0000	2.5310	(5,062.00)	37.27
9761	12/08/2008	12/11/2008	917273104	ULGX	-10000.0000	0.4947	(4,947.00)	43.02
9762	03/09/2010	03/12/2010	150934503	CTIC	2436.0000	1.1198	2,727.83	140.42
9763	11/13/2009	11/18/2009	82967N108	SIRI	5000.0000	0.6685	3,342.50	123.31
9764	05/07/2007	05/10/2007	97750V109	WIZD	-2200.0000	2.3205	(5,105.10)	35.22
9765	06/08/2007	06/13/2007	92908D101	VEII	45000.0000	0.1100	4,950.00	43.04
9766	02/20/2009	02/25/2009	345370860	F	3250.0000	1.5591	5,067.08	37.25
9767	03/18/2009	03/23/2009	345370860	F	2000.0000	2.5391	5,078.20	36.70
9768	06/16/2008	06/19/2008	73932B105	PWSV	1900.0000	2.9400	5,586.00	11.43
9769	07/30/2008	08/04/2008	82966U103	SIRI	2600.0000	1.6500	4,290.00	76.23
9770	06/03/2009	06/08/2009	370442105	GMGMQ	5300.0000	0.6300	3,339.00	123.78
9771	08/28/2009	09/02/2009	023139108	ABK	-3000.0000	1.6500	(4,950.00)	43.25
9772	06/11/2009	06/16/2009	150934503	CTIC	3000.0000	1.5600	4,680.00	56.77
9773	11/01/2006	11/06/2006	04289L107	ARTG	2000.0000	2.6954	5,390.80	21.26
9774	02/24/2009	02/27/2009	82967N108	SIRI	25000.0000	0.1336	3,340.00	123.81
9775	02/17/2009	02/20/2009	74347R743	UYG	2000.0000	2.5500	5,100.00	35.93
9776	07/07/2006	07/12/2006	629248105	NMSS	-2000.0000	2.5500	(5,100.00)	35.93
9777	09/17/2010	09/22/2010	37517Y103	GGOX	-2000.0000	2.5500	(5,100.00)	35.93
9778	05/18/2007	05/23/2007	74269U104	PRNW	2000.0000	2.5500	5,100.00	35.93
9779	11/24/2006	11/29/2006	901167106	TWTR	2000.0000	2.5500	5,100.00	35.93
9780	01/17/2006	01/20/2006	549463107	LU	-2000.0000	2.5500	(5,100.00)	35.93
9781	06/18/2010	06/23/2010	11161T108	BWEN	2000.0000	2.5498	5,099.60	35.95
9782	09/14/2006	09/19/2006	69073F103	OWENQ	2500.0000	1.1300	2,825.00	140.95
9783	02/01/2006	02/06/2006	917277204	UPI	1900.0000	2.9500	5,605.00	10.76
9784	10/07/2008	10/10/2008	780097721	RBS	3000.0000	1.6800	5,040.00	39.03
9785	08/21/2009	08/26/2009	82967N108	SIRI	4400.0000	0.7599	3,343.56	123.91
9786	02/24/2010	03/01/2010	759720105	RCG	2000.0000	2.5569	5,113.80	35.45
9787	05/27/2009	06/01/2009	64190A103	NRO	-2135.0000	1.8901	(4,035.36)	89.37
9788	02/25/2010	03/02/2010	759720105	RCG	2000.0000	2.5600	5,120.00	35.23
9789	03/18/2009	03/23/2009	345370860	F	2000.0000	2.5600	5,120.00	35.23
9790	02/24/2010	03/01/2010	759720105	RCG	2000.0000	2.5599	5,119.80	35.24
9791	04/17/2006	04/20/2006	371485103	GNBT	-2000.0000	2.5604	(5,120.80)	35.20
9792	08/16/2010	08/19/2010	67073X108	NXTH	22000.0000	0.1950	4,290.00	76.80
9793	12/31/2008	01/06/2009	918171109	UVUM	-133333.0000	0.0251	(3,346.66)	124.00
9794	05/09/2007	05/14/2007	318465101	FACT	3000.0000	1.6895	5,068.50	37.95
9795	10/15/2009	10/20/2009	559775101	MPG	2000.0000	2.5700	5,140.00	34.52
9796	05/04/2009	05/07/2009	37247D106	GNW	2000.0000	2.5700	5,140.00	34.52
9797	11/27/2006	11/30/2006	549463107	LU	-2000.0000	2.5700	(5,140.00)	34.52
9798	10/14/2008	10/17/2008	345370860	F	2000.0000	2.5699	5,139.80	34.53
9799	05/17/2010	05/20/2010	68210T208	OMNI	2000.0000	2.5699	5,139.80	34.53
9800	01/14/2008	01/17/2008	793838103	STHG	2500.0000	1.1420	2,855.00	141.53
9801	06/09/2009	06/12/2009	97750V109	WZE	5000.0000	0.6699	3,349.50	124.07
9802	06/25/2009	06/30/2009	521865105	LEA	5000.0000	0.6701	3,350.50	124.10
9803	01/23/2008	01/28/2008	689890101	OPCO	8000.0000	0.6894	5,515.20	15.91
9804	12/17/2009	12/22/2009	87161C105	SNV	2500.0000	1.9200	4,800.00	51.68

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9805	06/05/2006	06/08/2006	58606R403	MEMY	3000.0000	1.7000	5,100.00	36.74
9806	03/31/2010	04/06/2010	85517P101	CIGX	2000.0000	2.5793	5,158.60	33.87
9807	06/03/2010	06/08/2010	427093109	HERO	2000.0000	2.5800	5,160.00	33.82
9808	10/02/2008	10/07/2008	635405103	NCC	-2000.0000	2.5800	(5,160.00)	33.82
9809	07/05/2006	07/10/2006	85517P101	STSI	-2000.0000	2.5800	(5,160.00)	33.82
9810	12/15/2008	12/18/2008	636328106	NHCR	20000.0000	0.2150	4,300.00	76.91
9811	11/13/2009	11/18/2009	353487200	FCMC	5000.0000	0.8600	4,300.00	76.91
9812	09/16/2008	09/19/2008	345196109	FORC	2500.0000	1.1500	2,875.00	141.91
9813	08/31/2009	09/03/2009	313586109	FNM	2500.0000	1.9299	4,824.75	50.81
9814	10/09/2008	10/15/2008	026874107	AIG	2000.0000	2.5900	5,180.00	33.11
9815	12/12/2008	12/17/2008	345370860	F	2089.0000	2.2200	4,637.58	60.44
9816	08/25/2009	08/28/2009	62010A105	MTLQQ	-4000.0000	0.8400	(3,360.00)	124.35
9817	09/25/2008	09/30/2008	219248104	CLM	1900.0000	2.9994	5,698.86	7.45
9818	11/06/2007	11/09/2007	46489V104	ISR	2000.0000	2.5995	5,199.00	32.44
9819	01/31/2008	02/05/2008	206710204	CCUR	-4000.0000	0.7669	(3,067.60)	139.02
9820	01/19/2010	01/22/2010	150934503	CTIC	2500.0000	1.1600	2,900.00	142.40
9821	03/04/2009	03/09/2009	501014104	KKD	-2450.0000	1.1601	(2,842.25)	142.40
9822	07/09/2008	07/14/2008	380170100	GOHE	2000.0000	2.6000	5,200.00	32.41
9823	04/30/2007	05/03/2007	318465101	FACT	3000.0000	1.7195	5,158.50	34.51
9824	11/21/2008	11/26/2008	98155N106	WWAT	21000.0000	0.2402	5,044.20	40.29
9825	03/15/2010	03/18/2010	458738101	ILI	5000.0000	0.9695	4,847.50	50.21
9826	04/11/2006	04/17/2006	29259G101	END	1850.0000	3.0099	5,568.32	14.27
9827	12/07/2007	12/12/2007	01374L109	ALMY	2000.0000	2.6100	5,220.00	31.70
9828	02/18/2009	02/23/2009	852061100	S	2000.0000	2.6100	5,220.00	31.70
9829	04/03/2006	04/06/2006	98912M201	ZP	2000.0000	2.6100	5,220.00	31.70
9830	07/30/2008	08/04/2008	82966U103	SIRI	2250.0000	1.6500	3,712.50	107.10
9831	10/29/2008	11/03/2008	721467108	PPC	2500.0000	1.1676	2,919.00	142.76
9832	12/29/2009	01/04/2010	280597105	DIET	-2500.0000	1.1680	(2,920.00)	142.78
9833	04/27/2009	04/30/2009	52729N100	LVL	2500.0000	1.1700	2,925.00	142.88
9834	05/15/2007	05/18/2007	21144M104	CFUL	2000.0000	2.6175	5,235.00	31.17
9835	10/12/2010	10/15/2010	52729N100	LVL	5000.0000	0.8878	4,439.00	71.03
9836	06/27/2006	06/30/2006	09250E107	HIS	-2000.0000	2.6200	(5,240.00)	31.00
9837	12/02/2009	12/07/2009	68210T208	OMNI	-2500.0000	1.1732	(2,933.00)	143.03
9838	11/18/2008	11/21/2008	872340104	TSI	-2000.0000	2.6241	(5,248.20)	30.71
9839	01/10/2007	01/16/2007	88579F102	TDCP	6000.0000	0.5617	3,370.20	124.63
9840	05/07/2009	05/12/2009	305560104	FRP	3000.0000	1.7399	5,219.70	32.17
9841	06/02/2010	06/07/2010	74267T109	PRWP	-6000.0000	0.7200	(4,320.00)	77.15
9842	02/06/2008	02/11/2008	743586406	PHY	-2000.0000	2.6270	(5,254.00)	30.50
9843	04/21/2006	04/26/2006	09250E107	HIS	2000.0000	2.6300	5,260.00	30.29
9844	11/12/2008	11/17/2008	466090107	JASO	2000.0000	2.6300	5,260.00	30.29
9845	03/10/2009	03/13/2009	172967101	C	2400.0000	1.4100	3,384.00	124.11
9846	09/12/2006	09/15/2006	825636103	SHMT	2500.0000	1.1800	2,950.00	143.36
9847	05/13/2009	05/18/2009	865378103	SUF	2500.0000	1.1799	2,949.75	143.36
9848	04/13/2010	04/16/2010	803893106	SATC	2000.0000	2.6345	5,269.00	29.98
9849	03/19/2009	03/24/2009	073334104	BFNB	-3100.0000	1.5200	(4,712.00)	57.90
9850	05/17/2007	05/22/2007	318465101	FACT	3000.0000	1.7500	5,250.00	31.01
9851	05/17/2007	05/22/2007	318465101	FACT	3000.0000	1.7500	5,250.00	31.01
9852	04/03/2007	04/09/2007	667280101	NWACQ	4500.0000	0.7500	3,375.00	124.76
9853	08/02/2006	08/07/2006	922281100	VSTY	1900.0000	3.0395	5,775.05	4.77
9854	09/01/2009	09/04/2009	21750M109	CPRK	494148.0000	0.0094	4,644.99	61.38
9855	02/06/2009	02/11/2009	337930101	FBC	5000.0000	0.7599	3,799.50	103.67
9856	05/01/2007	05/04/2007	269437208	EAGB	-20000.0000	0.1900	(3,800.00)	103.68
9857	07/13/2010	07/16/2010	989834106	ZF	-1900.0000	3.0500	(5,795.00)	4.06
9858	09/19/2008	09/24/2008	192108108	CDE	3000.0000	1.7600	5,280.00	29.86
9859	05/16/2007	05/21/2007	318465101	FACT	3000.0000	1.7600	5,280.00	29.86
9860	03/17/2009	03/20/2009	023850100	APP	2000.0000	2.6500	5,300.00	28.88
9861	02/02/2006	02/07/2006	002083103	ATSI	2000.0000	2.6500	5,300.00	28.88

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
9862	05/07/2008	05/12/2008	56400P201	MNKD	2000.0000	2.6500	5,300.00	28.88
9863	05/16/2007	05/21/2007	318465101	FACT	3000.0000	1.7613	5,283.90	29.72
9864	03/23/2007	03/28/2007	206710204	CCUR	2400.0000	1.5725	3,774.00	105.30
9865	02/11/2008	02/14/2008	89784T101	TNEN	-20000.0000	0.2540	(5,080.00)	40.14
9866	03/17/2009	03/20/2009	40108N106	GFG	10000.0000	0.4898	4,898.00	49.27
9867	03/24/2009	03/27/2009	720279108	PIR	5000.0000	0.8674	4,337.00	77.35
9868	09/21/2010	09/24/2010	82967N108	SIRI	-2500.0000	1.2000	(3,000.00)	144.33
9869	09/21/2010	09/24/2010	82967N108	SIRI	-2500.0000	1.2000	(3,000.00)	144.33
9870	03/08/2010	03/11/2010	062128103	BOFL	-2500.0000	1.2000	(3,000.00)	144.33
9871	07/30/2009	08/04/2009	172967101	C	-1830.0000	3.1101	(5,691.48)	9.77
9872	12/10/2007	12/13/2007	67106S100	OTD	-15000.0000	0.3105	(4,657.50)	61.49
9873	11/13/2006	11/16/2006	97750V109	WIZD	2500.0000	2.2220	5,555.00	16.62
9874	04/21/2008	04/24/2008	05348W109	AVNX	6500.0000	0.8353	5,429.45	22.98
9875	04/04/2007	04/10/2007	16117M107	CHTR	-2000.0000	2.6700	(5,340.00)	27.47
9876	02/23/2007	02/28/2007	747906204	QTM	2000.0000	2.6699	5,339.80	27.48
9877	12/07/2007	12/12/2007	67106S100	OTD	-15000.0000	0.3107	(4,660.50)	61.51
9878	02/13/2006	02/16/2006	559091301	MPET	3000.0000	1.7800	5,340.00	27.57
9879	03/27/2009	04/01/2009	172967101	C	2000.0000	2.6800	5,360.00	26.77
9880	04/23/2008	04/28/2008	05348W109	AVNX	7020.0000	0.8159	5,727.62	8.46
9881	03/30/2009	04/02/2009	05348W307	AVNX	-2266.0000	1.7066	(3,867.16)	101.52
9882	09/20/2006	09/25/2006	37229P507	GTOP	2000.0000	2.7000	5,400.00	25.00
9883	07/13/2006	07/18/2006	47189R104	JAVO	2500.0000	1.7600	4,400.00	75.00
9884	04/11/2008	04/16/2008	05348W109	AVNX	5900.0000	0.7400	4,366.00	76.70
9885	11/26/2007	11/29/2007	05348W109	AVNX	3200.0000	1.5822	5,063.04	41.89
9886	10/13/2009	10/16/2009	35907K105	FTBK	5000.0000	0.6790	3,395.00	125.31
9887	12/19/2006	12/22/2006	24778R209	DOIG	-7000.0000	0.7843	(5,490.10)	20.56
9888	11/12/2008	11/17/2008	345203202	FRPT	2000.0000	2.6925	5,385.00	25.89
9889	10/21/2008	10/24/2008	313586109	FNH	-5000.0000	0.8705	(4,352.50)	77.53
9890	05/06/2009	05/11/2009	150934503	CTIC	4200.0000	0.9102	3,822.84	104.12
9891	10/04/2006	10/10/2006	052036100	AOG	2000.0000	2.6975	5,395.00	25.53
9892	05/17/2006	05/22/2006	222814204	DVW	-2800.0000	1.7400	(4,872.00)	51.69
9893	05/17/2006	05/22/2006	222814204	DVW	-2800.0000	1.7400	(4,872.00)	51.69
9894	05/17/2006	05/22/2006	222814204	DVW	-2800.0000	1.7400	(4,872.00)	51.69
9895	03/02/2010	03/05/2010	984249102	YRCW	10000.0000	0.4355	4,355.00	77.56
9896	04/21/2008	04/24/2008	254668106	DSCO	2000.0000	2.6986	5,397.20	25.46
9897	01/28/2010	02/02/2010	87161C105	SNV	2000.0000	2.6990	5,398.00	25.43
9898	08/09/2006	08/14/2006	89530U105	TLHO	-30000.0000	0.1200	(3,600.00)	115.33
9899	06/10/2010	06/15/2010	427093109	HERO	2000.0000	2.6995	5,399.00	25.39
9900	08/10/2009	08/13/2009	169424108	CNTF	2000.0000	2.7000	5,400.00	25.36
9901	02/08/2006	02/13/2006	976524108	WTT	-2000.0000	2.7000	(5,400.00)	25.36
9902	07/21/2008	07/24/2008	31787A101	FNSR	-3000.0000	1.3300	(3,990.00)	95.93
9903	01/19/2010	01/22/2010	30211M103	EXOU	-10000.0000	0.3400	(3,400.00)	125.44
9904	08/11/2006	08/16/2006	79586Q108	LIV	10000.0000	0.3400	3,400.00	125.44
9905	03/16/2006	03/21/2006	694288101	PFCE	-10000.0000	0.3400	(3,400.00)	125.44
9906	10/16/2007	10/19/2007	23254W104	CYPW	17000.0000	0.2000	3,400.00	125.44
9907	10/01/2009	10/06/2009	35907K105	FTBK	-2538.0000	1.0300	(2,614.14)	145.48
9908	10/24/2008	10/29/2008	989834106	ZF	2000.0000	2.7065	5,413.00	24.90
9909	10/31/2006	11/03/2006	235496403	DFCO	-5000.0000	0.8600	(4,300.00)	80.56
9910	12/17/2009	12/22/2009	09250E107	HIS	-3000.0000	1.8101	(5,430.30)	24.12
9911	09/19/2008	09/24/2008	192108108	CDE	3000.0000	1.8100	5,430.00	24.13
9912	10/24/2008	10/29/2008	989834106	ZF	2000.0000	2.7095	5,419.00	24.69
9913	03/13/2007	03/16/2007	13126N101	CALL	2000.0000	2.7100	5,420.00	24.65
9914	03/11/2008	03/14/2008	82966U103	SIRI	-2000.0000	2.7108	(5,421.60)	24.60
9915	11/12/2008	11/17/2008	345203202	FRPT	2000.0000	2.7127	5,425.40	24.46
9916	12/06/2006	12/11/2006	42236H100	HTLJ	-8000.0000	0.5100	(4,080.00)	91.73
9917	10/03/2006	10/06/2006	74765E109	QTWW	-3000.0000	1.8160	(5,448.00)	23.44
9918	10/24/2008	10/29/2008	989834106	ZF	2000.0000	2.7192	5,438.40	24.00

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9919	09/12/2006	09/15/2006	989513205	ZILA	-2000.0000	2.7206	(5,441.20)	23.90
9920	07/10/2007	07/13/2007	04289L107	ARTG	-2000.0000	2.6120	(5,224.00)	34.80
9921	10/05/2009	10/08/2009	68555P100	ORBC	-2000.0000	2.7220	(5,444.00)	23.81
9922	10/05/2009	10/08/2009	68555P100	ORBC	-2000.0000	2.7230	(5,446.00)	23.73
9923	12/17/2009	12/22/2009	561911108	HYF	2200.0000	2.0192	4,442.24	73.95
9924	01/31/2006	02/03/2006	125318105	THK	2100.0000	2.3300	4,893.00	51.49
9925	11/27/2006	11/30/2006	901167106	TWTR	2000.0000	2.7300	5,460.00	23.24
9926	02/17/2006	02/23/2006	976524108	WTT	-2000.0000	2.7300	(5,460.00)	23.24
9927	02/17/2009	02/20/2009	82967N108	SIRI	24500.0000	0.1784	4,370.80	77.75
9928	07/23/2010	07/28/2010	984249102	YRCW	10000.0000	0.3411	3,411.00	125.74
9929	03/29/2006	04/03/2006	292576204	EDAV	-2500.0000	1.2500	(3,125.00)	140.15
9930	04/23/2010	04/28/2010	26745T101	DYAI	2100.0000	2.3400	4,914.00	50.79
9931	11/14/2008	11/19/2008	989834106	ZF	2000.0000	2.7394	5,478.80	22.58
9932	02/09/2006	02/14/2006	976524108	WTT	-2000.0000	2.7400	(5,480.00)	22.54
9933	07/28/2008	07/31/2008	74963L103	RMA	-2500.0000	2.1216	(5,304.00)	31.47
9934	02/20/2007	02/23/2007	698821105	PCLP	-5000.0000	0.9000	(4,500.00)	71.71
9935	09/17/2010	09/22/2010	380481101	SILA	5463.0000	0.8600	4,698.18	61.81
9936	10/09/2006	10/12/2006	74765E109	QTWW	-3000.0000	1.8434	(5,530.20)	20.31
9937	08/26/2009	08/31/2009	46062P201	INAR	-1000000.0000	0.0047	(4,700.00)	61.82
9938	10/13/2008	10/16/2008	345370860	F	2000.0000	2.7500	5,500.00	21.83
9939	01/05/2006	01/10/2006	549463107	LU	-2000.0000	2.7500	(5,500.00)	21.83
9940	01/28/2008	01/31/2008	47023A101	JMBA	2000.0000	2.7500	5,500.00	21.83
9941	12/13/2006	12/18/2006	367220100	GSX	-2000.0000	2.7500	(5,500.00)	21.83
9942	11/15/2006	11/20/2006	606710200	MITK	3228.0000	1.2500	4,035.00	95.22
9943	03/09/2010	03/12/2010	939322103	WAMUQ	10000.0000	0.3420	3,420.00	125.98
9944	02/04/2009	02/09/2009	316773100	FITB	2525.0000	1.8490	4,668.73	63.60
9945	11/18/2008	11/21/2008	168615102	CHS	3000.0000	1.8500	5,550.00	19.55
9946	05/30/2007	06/04/2007	318465101	FACT	3000.0000	1.8500	5,550.00	19.55
9947	10/05/2009	10/08/2009	68555P100	ORBC	2000.0000	2.7580	5,516.00	21.27
9948	07/30/2008	08/04/2008	82966U103	SIRI	3075.0000	1.6299	5,011.94	46.48
9949	06/09/2010	06/14/2010	427093109	HERO	2000.0000	2.7594	5,518.80	21.17
9950	09/02/2009	09/08/2009	313586109	FNM	-3000.0000	1.3701	(4,110.30)	91.62
9951	09/21/2006	09/26/2006	04289L107	ARTG	2000.0000	2.9386	5,877.20	3.34
9952	08/15/2006	08/18/2006	151313103	CD	3000.0000	1.8599	5,579.70	18.42
9953	12/19/2007	12/24/2007	760112102	RTK	-3000.0000	1.8604	(5,581.20)	18.36
9954	01/23/2009	01/28/2009	74347R743	UYG	2000.0000	2.7700	5,540.00	20.42
9955	02/13/2006	02/16/2006	549463107	LU	-2000.0000	2.7700	(5,540.00)	20.42
9956	07/08/2009	07/13/2009	388689101	GPK	3130.0000	1.4400	4,507.20	72.18
9957	06/06/2007	06/11/2007	04289L107	ARTG	-2000.0000	2.6512	(5,302.40)	32.48
9958	07/09/2009	07/14/2009	670002104	NVAX	2000.0000	2.7800	5,560.00	19.72
9959	01/21/2009	01/26/2009	305560104	FRP	-1948.0000	2.7800	(5,415.44)	26.95
9960	05/25/2007	05/31/2007	27944M103	EDNE	4000.0000	1.0590	4,236.00	85.96
9961	03/15/2006	03/20/2006	05348W109	AVNX	2000.0000	2.9589	5,917.80	1.91
9962	11/20/2008	11/25/2008	74963Q102	RHY	-6600.0000	0.5200	(3,432.00)	126.31
9963	08/27/2009	09/01/2009	002346104	AVII	3000.0000	1.3900	4,170.00	89.47
9964	02/07/2007	02/12/2007	05348W109	AVNX	2500.0000	2.2692	5,673.00	14.35
9965	01/06/2009	01/09/2009	345370860	F	2000.0000	2.7900	5,580.00	19.01
9966	08/27/2009	09/01/2009	911805109	USEG	2000.0000	2.7915	5,583.00	18.90
9967	03/24/2008	03/27/2008	885218107	TMA	2500.0000	1.2900	3,225.00	136.80
9968	06/13/2007	06/18/2007	367600301	GNRG	-5000.0000	0.8800	(4,400.00)	78.09
9969	05/18/2007	05/23/2007	04289L107	ARTG	2100.0000	2.5920	5,443.20	26.04
9970	06/15/2009	06/18/2009	23248L107	CYDE	2000.0000	2.8000	5,600.00	18.31
9971	05/05/2009	05/08/2009	989834106	ZF	-2000.0000	2.8000	(5,600.00)	18.31
9972	05/28/2010	06/03/2010	370853103	GSI	-2000.0000	2.8005	(5,601.00)	18.27
9973	09/16/2009	09/21/2009	767754104	RAD	-3000.0000	1.8900	(5,670.00)	14.96
9974	05/13/2009	05/18/2009	370442105	GM	2500.0000	1.3000	3,250.00	135.96
9975	05/10/2006	05/15/2006	221737109	CWRN	2500.0000	1.3000	3,250.00	135.96

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9976	12/27/2006	01/02/2007	70322M105	PPRG	-2500.0000	1.3000	(3,250.00)	135.96
9977	09/01/2006	09/07/2006	602682106	MSPD	-3000.0000	1.8903	(5,670.90)	14.93
9978	10/25/2006	10/30/2006	63860C100	NHRX	2450.0000	2.1439	5,252.56	35.84
9979	01/27/2009	01/30/2009	316773100	FITB	2000.0000	2.8091	5,618.20	17.66
9980	12/16/2008	12/19/2008	427825104	HT	2000.0000	2.8098	5,619.60	17.61
9981	03/22/2006	03/27/2006	549463107	LU	-2000.0000	2.8101	(5,620.20)	17.59
9982	03/17/2008	03/20/2008	885535104	COMS	-2000.0000	2.8100	(5,620.00)	17.60
9983	05/08/2007	05/11/2007	898567102	TUBR	2000.0000	2.8100	5,620.00	17.60
9984	04/17/2009	04/22/2009	49803T102	KRG	2000.0000	2.8100	5,620.00	17.60
9985	02/14/2006	02/17/2006	549463107	LU	-2000.0000	2.8100	(5,620.00)	17.60
9986	06/05/2009	06/10/2009	74373F100	PVCT	4000.0000	1.0800	4,320.00	82.73
9987	10/08/2010	10/14/2010	82967N108	SIRI	2500.0000	1.3085	3,271.25	135.25
9988	09/07/2007	09/12/2007	351465109	FXPE	2000.0000	2.8200	5,640.00	16.89
9989	06/17/2009	06/22/2009	916896103	UEC	2000.0000	2.8199	5,639.80	16.90
9990	03/19/2007	03/22/2007	50575Q102	LTS	2000.0000	2.8199	5,639.80	16.90
9991	07/20/2006	07/25/2006	14067D102	CPST	-2200.0000	2.1000	(4,620.00)	68.00
9992	12/23/2008	12/29/2008	345370860	F	2120.0000	2.1700	4,600.40	68.98
9993	07/23/2007	07/26/2007	84479V107	SWCC	7000.0000	0.5900	4,130.00	92.50
9994	01/04/2010	01/07/2010	71902E109	PNX	-2000.0000	2.8240	(5,648.00)	16.61
9995	04/09/2007	04/12/2007	33938W206	FLXT	-2500.0000	1.3140	(3,285.00)	134.79
9996	07/12/2006	07/17/2006	629196106	NMCX	415000.0000	0.0125	5,187.50	39.71
9997	10/28/2008	10/31/2008	989834106	ZF	1950.0000	2.8285	5,515.58	23.37
9998	12/23/2009	12/29/2009	71902E109	PNX	-2000.0000	2.8301	(5,660.20)	16.18
9999	06/04/2010	06/09/2010	427093109	HERO	2000.0000	2.8300	5,660.00	16.19
10000	11/17/2008	11/20/2008	345370860	F	-2300.0000	1.8200	(4,186.00)	89.89
10001	05/07/2008	05/12/2008	380961102	MYNG	500000.0000	0.0069	3,450.00	126.80
10002	12/31/2008	01/06/2009	989834106	ZF	2000.0000	2.8380	5,676.00	15.63
10003	02/22/2008	02/27/2008	05348W109	AVNX	5000.0000	0.8372	4,186.00	90.20
10004	07/28/2010	08/02/2010	984249102	YRCW	10000.0000	0.3453	3,453.00	126.88
10005	09/06/2006	09/11/2006	871627105	SYMD	3834.0000	1.2400	4,754.16	61.83
10006	05/28/2010	06/03/2010	47231X109	JEDM	85085.0000	0.0520	4,424.42	78.37
10007	03/02/2007	03/07/2007	206710204	CCUR	2350.0000	1.7175	4,036.13	97.81
10008	08/27/2009	09/01/2009	09547T107	BESNE	40000.0000	0.1300	5,200.00	39.64
10009	02/10/2009	02/13/2009	370442105	GM	2000.0000	2.8460	5,692.00	15.06
10010	12/13/2007	12/18/2007	44919F104	HYTM	2000.0000	2.8485	5,697.00	14.89
10011	01/04/2006	01/09/2006	097924104	BBA	2000.0000	2.8485	5,697.00	14.89
10012	11/13/2008	11/18/2008	370442105	GM	2000.0000	2.8500	5,700.00	14.78
10013	11/18/2009	11/23/2009	987413101	UBET	2000.0000	2.8500	5,700.00	14.78
10014	04/02/2009	04/07/2009	172967101	C	2000.0000	2.8500	5,700.00	14.78
10015	04/01/2009	04/06/2009	46267Q103	IRE	-2000.0000	2.8500	(5,700.00)	14.78
10016	12/04/2007	12/07/2007	05348W109	AVNX	3800.0000	1.5289	5,809.82	9.33
10017	04/16/2007	04/19/2007	762072106	RTGI	-314286.0000	0.0110	(3,457.15)	126.99
10018	10/31/2008	11/05/2008	749121109	Q	-2000.0000	2.8535	(5,707.00)	14.53
10019	02/20/2009	02/25/2009	74347R743	UYG	3000.0000	1.9991	5,997.30	0.13
10020	02/27/2009	03/04/2009	172967101	C	3546.0000	1.6897	5,991.68	0.42
10021	11/14/2006	11/17/2006	206710204	CCUR	3000.0000	1.9900	5,970.00	1.50
10022	09/16/2009	09/21/2009	720279108	PIR	2000.0000	2.8999	5,799.80	10.01
10023	02/01/2008	02/06/2008	781748108	RURL	2000.0000	2.8900	5,780.00	11.00
10024	02/18/2010	02/23/2010	82967N108	SIRI	5000.0000	1.1398	5,699.00	15.05
10025	10/28/2008	10/31/2008	137801106	CFW	10000.0000	0.5697	5,697.00	15.15
10026	03/17/2006	03/22/2006	45953X208	IFUE	5000.0000	1.1390	5,695.00	15.25
10027	03/18/2009	03/23/2009	345370860	F	2400.0000	2.3691	5,685.84	15.71
10028	03/02/2009	03/05/2009	345550107	FCE.A	-1200.0000	4.7320	(5,678.40)	16.08
10029	07/17/2009	07/22/2009	125581108	CIT	10000.0000	0.5600	5,600.00	20.00
10030	10/28/2008	10/31/2008	137801106	CFW	10000.0000	0.5560	5,560.00	22.00
10031	10/28/2008	10/31/2008	137801106	CFW	10000.0000	0.5500	5,500.00	25.00
10032	11/28/2007	12/03/2007	92909W108	VOYT	-40000.0000	0.1350	(5,400.00)	30.00

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
10033	05/07/2009	05/12/2009	026874107	AIG	2500.0000	2.1500	5,375.00	31.25
10034	02/05/2009	02/10/2009	009720103	AKNS	3000.0000	1.7780	5,334.00	33.30
10035	07/27/2006	08/01/2006	37940U108	GEPT	5000.0000	1.0498	5,249.00	37.55
10036	09/24/2008	09/29/2008	313400301	FRE	3200.0000	1.6150	5,168.00	41.60
10037	09/12/2006	09/15/2006	05348W109	AVNX	3000.0000	1.7200	5,160.00	42.00
10038	01/04/2007	01/09/2007	000875104	ACGJ	10000.0000	0.5100	5,100.00	45.00
10039	11/30/2007	12/05/2007	92909W108	VOYT	-40000.0000	0.1273	(5,092.00)	45.40
10040	04/25/2006	04/28/2006	45953X208	IFUE	5000.0000	1.0100	5,050.00	47.50
10041	06/24/2008	06/27/2008	959590100	WSEG	10000.0000	0.5020	5,020.00	49.00
10042	11/30/2007	12/05/2007	92909W108	VOYT	-40000.0000	0.1253	(5,012.00)	49.40
10043	12/04/2006	12/07/2006	000875104	ACGJ	10000.0000	0.5000	5,000.00	50.00
10044	05/14/2009	05/19/2009	040725103	AKDS	25000.0000	0.2000	5,000.00	50.00
10045	08/13/2010	08/18/2010	585013105	MZEI	20000.0000	0.2500	5,000.00	50.00
10046	09/14/2006	09/19/2006	000875104	ACGJ	10000.0000	0.5000	5,000.00	50.00
10047	11/29/2007	12/04/2007	92909W108	VOYT	-40000.0000	0.1245	(4,980.00)	51.00
10048	03/08/2006	03/13/2006	243636107	DCZ	-15000.0000	0.3300	(4,950.00)	52.50
10049	08/31/2009	09/03/2009	85857R105	STEM	3000.0000	1.6390	4,917.00	54.15
10050	06/07/2007	06/12/2007	000875104	ACGJ	10000.0000	0.4900	4,900.00	55.00
10051	06/07/2007	06/12/2007	000875104	ACGJ	10000.0000	0.4900	4,900.00	55.00
10052	03/30/2009	04/02/2009	235825205	DAN	-10000.0000	0.4800	(4,800.00)	60.00
10053	01/03/2008	01/08/2008	19247V104	RPF	300.0000	15.5200	4,656.00	67.20
10054	12/21/2007	12/27/2007	873135107	TXPO	8532.0000	0.5452	4,651.65	67.42
10055	09/24/2008	09/29/2008	313586109	FNM	3200.0000	1.4200	4,544.00	72.80
10056	12/04/2006	12/07/2006	000875104	ACGJ	10000.0000	0.4500	4,500.00	75.00
10057	12/01/2008	12/04/2008	885218800	TMA	10000.0000	0.4500	4,500.00	75.00
10058	06/18/2007	06/21/2007	000875104	ACGJ	10000.0000	0.4300	4,300.00	85.00
10059	06/18/2007	06/21/2007	000875104	ACGJ	10000.0000	0.4300	4,300.00	85.00
10060	04/10/2008	04/15/2008	29382L100	ETAD	-10000.0000	0.4250	(4,250.00)	87.50
10061	04/04/2008	04/09/2008	29382L100	ETAD	-10000.0000	0.4250	(4,250.00)	87.50
10062	05/31/2007	06/05/2007	000875104	ACGJ	5000.0000	0.8250	4,125.00	93.75
10063	04/08/2008	04/11/2008	885218107	TMA	-3000.0000	1.3704	(4,111.20)	94.44
10064	08/16/2006	08/21/2006	831698105	SMVD	4000.0000	1.0100	4,040.00	98.00
10065	09/22/2006	09/27/2006	000875104	ACGJ	10000.0000	0.4000	4,000.00	100.00
10066	09/20/2006	09/25/2006	000875104	ACGJ	10000.0000	0.4000	4,000.00	100.00
10067	10/11/2006	10/16/2006	000875104	ACGJ	10000.0000	0.4000	4,000.00	100.00
10068	09/20/2006	09/25/2006	000875104	ACGJ	10000.0000	0.4000	4,000.00	100.00
10069	10/11/2006	10/16/2006	000875104	ACGJ	10000.0000	0.4000	4,000.00	100.00
10070	10/11/2006	10/16/2006	000875104	ACGJ	10000.0000	0.4000	4,000.00	100.00
10071	09/22/2006	09/27/2006	000875104	ACGJ	10000.0000	0.4000	4,000.00	100.00
10072	02/16/2007	02/22/2007	62472C102	XDSL	15000.0000	0.2640	3,960.00	102.00
10073	11/23/2009	11/27/2009	337930101	FBC	-5304.0000	0.7200	(3,818.88)	109.06
10074	09/22/2006	09/27/2006	000875104	ACGJ	10000.0000	0.3800	3,800.00	110.00
10075	03/02/2006	03/07/2006	222286106	CTPS	5000.0000	0.7500	3,750.00	112.50
10076	03/11/2009	03/16/2009	195493309	CNB	10000.0000	0.3738	3,738.00	113.10
10077	02/20/2009	02/25/2009	446150104	HBAN	3700.0000	1.0100	3,737.00	113.15
10078	04/24/2007	04/27/2007	90342T104	USFI	7300.0000	0.5000	3,650.00	117.50
10079	10/27/2006	11/01/2006	000875104	ACGJ	10000.0000	0.3600	3,600.00	120.00
10080	03/01/2007	03/06/2007	67106S100	OTD	-5000.0000	0.7001	(3,500.50)	124.98
10081	09/22/2006	09/27/2006	000875104	ACGJ	10000.0000	0.3500	3,500.00	125.00
10082	10/04/2006	10/10/2006	000875104	ACGJ	10000.0000	0.3500	3,500.00	125.00
10083	08/27/2008	09/02/2008	85228F202	SBSW	-6000.0000	0.4500	(2,700.00)	150.00
10084	12/03/2008	12/08/2008	885218800	TMA	10000.0000	0.2600	2,600.00	150.00
10085	12/03/2008	12/08/2008	885218800	TMA	10000.0000	0.2500	2,500.00	150.00
10086	11/15/2006	11/20/2006	36318B106	GAX	-5000.0000	0.2700	(1,350.00)	150.00
10087	07/09/2007	07/12/2007	23316N100	DDCI	-2500.0000	0.5000	(1,250.00)	150.00
10088	02/21/2007	02/26/2007	53224G103	LSGP	13527.0000	0.3850	5,207.90	39.62
10089	11/21/2008	11/26/2008	370442105	GM	2000.0000	2.8585	5,717.00	14.18

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
10090	05/26/2009	05/29/2009	82967N108	SIRI	-10000.0000	0.3460	(3,460.00)	127.07
10091	07/19/2006	07/24/2006	63007X108	NNPP	2500.0000	1.3400	3,350.00	132.62
10092	06/16/2010	06/21/2010	561911108	HYF	-2200.0000	2.1316	(4,689.52)	65.67
10093	09/01/2009	09/04/2009	989834106	ZF	-1925.0000	2.9301	(5,640.44)	18.20
10094	03/09/2006	03/14/2006	92644A106	VTYC	70000.0000	0.0680	4,760.00	62.29
10095	07/02/2009	07/08/2009	19105E103	CGCA	-10000.0000	0.5000	(5,000.00)	50.30
10096	07/01/2009	07/07/2009	19105E103	CGCA	-10000.0000	0.5000	(5,000.00)	50.30
10097	01/30/2009	02/04/2009	64190A103	NRO	-2268.0000	1.8501	(4,196.03)	90.53
10098	01/23/2008	01/28/2008	82966U103	SIRI	-2000.0000	2.8700	(5,740.00)	13.37
10099	06/16/2009	06/19/2009	989834106	ZF	2000.0000	2.8699	5,739.80	13.38
10100	12/18/2009	12/23/2009	64190A103	NRO	2000.0000	2.8699	5,739.80	13.38
10101	04/24/2009	04/29/2009	026874107	AIG	3061.0000	1.5000	4,591.50	70.90
10102	05/05/2009	05/08/2009	13126N101	CALL	-2600.0000	1.1300	(2,938.00)	150.50
10103	11/20/2008	11/25/2008	345203202	FRPT	2000.0000	2.8748	5,749.60	13.03
10104	05/25/2010	05/28/2010	759720105	RCG	2048.0000	2.5000	5,120.00	44.53
10105	07/20/2006	07/25/2006	15672C108	CGXP	3000.0000	1.9500	5,850.00	8.08
10106	08/15/2007	08/20/2007	84650T103	SPBU	-5000.0000	0.7800	(3,900.00)	105.63
10107	06/18/2008	06/23/2008	09070W105	BSHF	2200.0000	2.1455	4,720.10	64.65
10108	07/22/2009	07/27/2009	989834106	ZF	-2000.0000	2.8801	(5,760.20)	12.66
10109	09/08/2010	09/13/2010	561911108	HYF	-2500.0000	2.2102	(5,525.50)	24.40
10110	01/07/2009	01/12/2009	52729N100	LVL	5000.0000	0.9535	4,767.50	62.36
10111	09/19/2008	09/24/2008	86771C109	SSLR	9000.0000	0.5978	5,380.20	31.85
10112	12/29/2008	01/02/2009	29266S106	ELGX	-2500.0000	1.3600	(3,400.00)	130.94
10113	11/14/2006	11/17/2006	053491106	AVAN	-2500.0000	1.3600	(3,400.00)	130.94
10114	03/18/2009	03/23/2009	989837109	ZTR	2000.0000	2.8900	5,780.00	11.96
10115	07/20/2009	07/23/2009	172967101	C	2000.0000	2.8900	5,780.00	11.96
10116	08/18/2009	08/21/2009	82967N108	SIRI	6000.0000	0.5786	3,471.60	127.39
10117	02/15/2007	02/21/2007	206710204	CCUR	2500.0000	1.5004	3,751.00	113.45
10118	02/19/2010	02/24/2010	760911107	REFR	2000.0000	2.8920	5,784.00	11.82
10119	01/04/2010	01/07/2010	492515101	KERX	2042.0000	2.5200	5,145.84	43.85
10120	02/05/2009	02/10/2009	7591EP100	RF	2000.0000	2.8997	5,799.40	11.27
10121	04/10/2008	04/15/2008	812224202	SRCH	2000.0000	2.9000	5,800.00	11.25
10122	03/19/2008	03/25/2008	812224202	SRCH	2000.0000	2.9000	5,800.00	11.25
10123	11/18/2008	11/21/2008	370442105	GM	-2000.0000	2.9000	(5,800.00)	11.25
10124	08/25/2008	08/28/2008	85517P101	STSI	-2000.0000	2.9000	(5,800.00)	11.25
10125	05/25/2010	05/28/2010	82967N108	SIRI	-4100.0000	1.0000	(4,100.00)	96.35
10126	01/08/2009	01/13/2009	37247D106	GNW	-2000.0000	2.9045	(5,809.00)	10.94
10127	12/30/2008	01/05/2009	026874107	AIG	-3200.0000	1.5200	(4,864.00)	58.22
10128	08/14/2006	08/17/2006	206710204	CCUR	-2500.0000	1.2694	(3,173.50)	142.83
10129	02/12/2009	02/18/2009	74347R743	UYG	2000.0000	2.9091	5,818.20	10.61
10130	07/02/2009	07/08/2009	720279108	PIR	2165.0000	2.1700	4,698.05	66.64
10131	07/02/2009	07/08/2009	720279108	PIR	2165.0000	2.1700	4,698.05	66.64
10132	06/08/2010	06/11/2010	767754104	RAD	-3100.0000	1.0501	(3,255.31)	138.77
10133	09/10/2009	09/15/2009	35907K105	FTBK	-6990.0000	0.7500	(5,242.50)	39.48
10134	08/30/2006	09/05/2006	602682106	MSPD	3000.0000	1.9798	5,939.40	4.67
10135	02/09/2010	02/12/2010	22787P107	CRWG	3000.0000	1.9800	5,940.00	4.65
10136	09/15/2006	09/20/2006	247361108	DALRQ	3000.0000	1.0800	3,240.00	139.65
10137	09/15/2006	09/20/2006	247361108	DALRQ	-2600.0000	1.1550	(3,003.00)	151.60
10138	10/22/2009	10/27/2009	767754104	RAD	2500.0000	1.3800	3,450.00	129.27
10139	06/11/2010	06/16/2010	82967N108	SIRI	-4100.0000	1.0101	(4,141.41)	94.76
10140	09/18/2009	09/23/2009	64190A103	NRO	2000.0000	2.9199	5,839.80	9.85
10141	03/07/2007	03/12/2007	30049A107	EPM	-2000.0000	2.9209	(5,841.80)	9.78
10142	08/10/2009	08/13/2009	313586109	FNM	-4000.0000	0.8710	(3,484.00)	127.72
10143	05/25/2010	05/28/2010	27887F107	ECOB	15000.0000	0.3500	5,250.00	39.44
10144	10/27/2009	10/30/2009	078137106	BELM	-2000.0000	2.9275	(5,855.00)	9.31
10145	08/21/2009	08/26/2009	591556105	MTIZ	-1000000.0000	0.0046	(4,600.00)	72.10
10146	07/16/2009	07/21/2009	125581108	CIT	10000.0000	0.4600	4,600.00	72.10

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
10147	12/01/2008	12/04/2008	345370860	F	2000.0000	2.9400	5,880.00	8.43
10148	09/18/2009	09/23/2009	64190A103	NRO	2000.0000	2.9400	5,880.00	8.43
10149	05/08/2007	05/11/2007	862773108	SGCR	3500.0000	1.0300	3,605.00	122.21
10150	10/27/2010	11/01/2010	865378103	SUF	-10000.0000	0.4798	(4,798.00)	62.59
10151	12/06/2007	12/11/2007	67106S100	OTD	-15000.0000	0.3200	(4,800.00)	62.61
10152	05/27/2008	05/30/2008	27922X105	ESPH	10000.0000	0.4800	4,800.00	62.61
10153	04/14/2009	04/17/2009	720279108	PIR	-5000.0000	0.9600	(4,800.00)	62.61
10154	05/29/2009	06/03/2009	370021107	GGWPQ	-2300.0000	1.9100	(4,393.00)	82.96
10155	10/05/2009	10/08/2009	163428105	CHTP	2000.0000	2.9482	5,896.40	7.85
10156	12/16/2008	12/19/2008	003881307	ACTG	2000.0000	2.9480	5,896.00	7.87
10157	09/03/2010	09/09/2010	133223602	CMGR	38675.0000	0.1157	4,474.70	78.97
10158	11/21/2008	11/26/2008	950587105	WEN	2000.0000	2.9499	5,899.80	7.73
10159	07/07/2010	07/12/2010	943526301	WAVX	-2000.0000	2.9500	(5,900.00)	7.73
10160	04/20/2009	04/23/2009	607500105	MDVX	2000.0000	2.9500	5,900.00	7.73
10161	03/20/2006	03/23/2006	63935M208	NAVI	-2000.0000	2.9505	(5,901.00)	7.69
10162	01/21/2010	01/26/2010	40204A107	GNXP	4724.0000	0.7400	3,495.76	128.04
10163	05/28/2009	06/02/2009	464119106	IFC	4000.0000	1.3200	5,280.00	38.85
10164	04/30/2008	05/05/2008	590479101	MESA	5000.0000	0.6992	3,496.00	128.05
10165	08/25/2009	08/28/2009	125581108	CIT	-3545.0000	1.3100	(4,643.95)	70.66
10166	01/31/2006	02/03/2006	85512C105	SGU	-2040.0000	2.5800	(5,263.20)	39.84
10167	04/18/2008	04/23/2008	14067D102	CPST	2000.0000	2.9595	5,919.00	7.06
10168	02/03/2010	02/08/2010	24242R108	DEAR	2500.0000	1.4100	3,525.00	126.76
10169	01/05/2006	01/10/2006	89672P104	TRPH	10000.0000	0.4480	4,480.00	79.02
10170	10/27/2010	11/01/2010	02152E101	AEGY	50000.0000	0.0700	3,500.00	128.16
10171	12/18/2008	12/23/2008	63935M208	NAVI	10000.0000	0.3500	3,500.00	128.16
10172	04/15/2008	04/18/2008	869327106	SSTP	100000.0000	0.0350	3,500.00	128.16
10173	10/06/2009	10/09/2009	57666R105	MTCH	10000.0000	0.3500	3,500.00	128.16
10174	12/28/2009	12/31/2009	922587100	VMCI	-70000.0000	0.0500	(3,500.00)	128.16
10175	01/18/2008	01/24/2008	681659108	OMAG	-5000.0000	0.7000	(3,500.00)	128.16
10176	09/09/2008	09/12/2008	86686U103	SNRV	10000.0000	0.3500	3,500.00	128.16
10177	10/14/2008	10/17/2008	83426W101	SOLM	500000.0000	0.0070	3,500.00	128.16
10178	04/29/2010	05/04/2010	58489M109	MDMN	100000.0000	0.0350	3,500.00	128.16
10179	10/08/2009	10/14/2009	35907K105	FTBK	-5000.0000	0.7002	(3,501.00)	128.19
10180	05/15/2009	05/20/2009	82967N108	SIRI	-10000.0000	0.3501	(3,501.00)	128.19
10181	05/18/2007	05/23/2007	883623209	KOOL	2000.0000	2.9699	5,939.80	6.32
10182	04/15/2008	04/18/2008	98425R200	YTBLA	-2300.0000	1.9300	(4,439.00)	81.42
10183	11/20/2008	11/25/2008	362397101	GAB	-1935.0000	2.9800	(5,766.30)	15.08
10184	03/30/2007	04/04/2007	04012E107	AGXM	-3000.0000	1.1200	(3,360.00)	135.44
10185	09/09/2009	09/14/2009	92823T108	VHC	2000.0000	2.9790	5,958.00	5.68
10186	02/24/2010	03/01/2010	87161C105	SNV	2000.0000	2.9790	5,958.00	5.68
10187	10/11/2010	10/14/2010	80862K104	SCLN	-2000.0000	2.9800	(5,960.00)	5.61
10188	12/12/2008	12/17/2008	345370860	F	-2000.0000	2.9801	(5,960.20)	5.61
10189	11/19/2008	11/24/2008	920961109	VVR	-2000.0000	2.9806	(5,961.20)	5.57
10190	04/03/2009	04/08/2009	345370860	F	-2000.0000	2.9809	(5,961.80)	5.55
10191	07/12/2007	07/17/2007	458168101	ITLI	-2600.0000	1.2000	(3,120.00)	147.68
10192	03/23/2009	03/26/2009	91274F104	YSI	-2972.0000	2.0401	(6,063.18)	0.62
10193	12/27/2006	01/02/2007	978093102	WLV	-4000.0000	1.1901	(4,760.40)	65.81
10194	09/21/2009	09/24/2009	14067D102	CPST	2500.0000	1.4300	3,575.00	125.09
10195	10/10/2008	10/16/2008	44977W106	PPR	-2000.0000	2.9900	(5,980.00)	4.91
10196	06/22/2007	06/27/2007	82966U103	SIRI	-2000.0000	2.9900	(5,980.00)	4.91
10197	10/10/2006	10/13/2006	957669104	ZIF	2000.0000	2.9900	5,980.00	4.91
10198	04/11/2006	04/17/2006	549463107	LU	-2000.0000	2.9900	(5,980.00)	4.91
10199	09/22/2010	09/27/2010	87161C105	SNV	-2111.0000	2.3501	(4,961.06)	55.96
10200	03/05/2009	03/10/2009	457669208	INSM	5000.0000	0.8996	4,498.00	79.24
10201	11/10/2008	11/14/2008	345203202	FRPT	2000.0000	2.9987	5,997.40	4.29
10202	05/24/2010	05/27/2010	943526301	WAVX	-2000.0000	3.0000	(6,000.00)	4.20
10203	02/15/2008	02/21/2008	82966U103	SIRI	-2000.0000	3.0000	(6,000.00)	4.20

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
10204	09/10/2008	09/15/2008	939322103	WM	2000.0000	3.0000	6,000.00	4.20
10205	04/17/2009	04/22/2009	172967101	C	-2000.0000	3.0000	(6,000.00)	4.20
10206	03/24/2009	03/27/2009	172967101	C	2000.0000	3.0000	6,000.00	4.20
10207	04/10/2007	04/13/2007	023111107	AMRN	2000.0000	3.0000	6,000.00	4.20
10208	12/26/2007	12/31/2007	52729N100	LVL	-2000.0000	2.8779	(5,755.80)	16.41
10209	04/26/2010	04/29/2010	984249102	YRCW	5810.0000	0.7744	4,499.26	79.26
10210	09/12/2006	09/15/2006	928628106	VOII	-10000.0000	0.4500	(4,500.00)	79.26
10211	11/13/2008	11/18/2008	80201E108	SFEG	-10000.0000	0.4500	(4,500.00)	79.26
10212	01/30/2006	02/02/2006	04621M102	ASAM	-5000.0000	0.9000	(4,500.00)	79.26
10213	11/20/2008	11/25/2008	345370860	F	-2500.0000	1.4415	(3,603.75)	124.12
10214	12/17/2007	12/20/2007	67106S100	OTD	-15000.0000	0.3220	(4,830.00)	62.84
10215	03/10/2010	03/15/2010	229393301	CYRV	-6000.0000	0.5860	(3,516.00)	128.59
10216	07/02/2007	07/06/2007	957669104	ZIF	-1950.0000	3.0077	(5,865.02)	11.18
10217	10/29/2008	11/03/2008	313400301	FRE	-3322.0000	1.0825	(3,596.07)	124.69
10218	03/03/2006	03/08/2006	457642205	INOD	2000.0000	3.0100	6,020.00	3.50
10219	11/10/2008	11/14/2008	345203202	FRPT	2000.0000	3.0128	6,025.60	3.30
10220	12/12/2008	12/17/2008	345370860	F	-2000.0000	3.0201	(6,040.20)	2.78
10221	05/04/2009	05/07/2009	109178103	BEXP	2000.0000	3.0200	6,040.00	2.79
10222	05/11/2007	05/16/2007	131347106	CPNLQ	-2000.0000	3.0200	(6,040.00)	2.79
10223	10/02/2008	10/07/2008	269246104	ETFC	2000.0000	3.0199	6,039.80	2.80
10224	10/02/2008	10/07/2008	269246104	ETFC	2000.0000	3.0199	6,039.80	2.80
10225	10/14/2008	10/17/2008	16117M107	CHTR	11000.0000	0.4100	4,510.00	79.38
10226	06/05/2008	06/10/2008	184681104	CSKH	-4000.0000	1.2150	(4,860.00)	61.98
10227	10/10/2008	10/16/2008	002083103	ATSI	3100.0000	1.8468	5,725.08	18.76
10228	08/05/2009	08/10/2009	989834106	ZF	2000.0000	3.0299	6,059.80	2.09
10229	09/04/2009	09/10/2009	989834106	ZF	-2000.0000	3.0300	(6,060.00)	2.09
10230	09/04/2009	09/10/2009	989834106	ZF	-2000.0000	3.0300	(6,060.00)	2.09
10231	02/16/2010	02/19/2010	71902E109	PNX	-2138.0000	2.3000	(4,917.40)	59.22
10232	08/09/2010	08/12/2010	040732109	AKVA	15000.0000	0.2350	3,525.00	128.84
10233	11/02/2007	11/07/2007	05348W109	AVNX	2500.0000	1.9921	4,980.25	56.24
10234	11/13/2008	11/18/2008	370442105	GM	2000.0000	3.0400	6,080.00	1.38
10235	03/29/2006	04/03/2006	549463107	LU	-2000.0000	3.0400	(6,080.00)	1.38
10236	07/20/2009	07/23/2009	422704106	HL	-1985.0000	3.0400	(6,034.40)	3.66
10237	08/12/2010	08/17/2010	75601N104	RSOL	-2000.0000	3.0435	(6,087.00)	1.13
10238	05/01/2007	05/04/2007	901167106	TWTR	-2500.0000	1.4700	(3,675.00)	121.74
10239	11/24/2008	11/28/2008	09255M104	CYE	-2000.0000	3.0450	(6,090.00)	1.03
10240	11/09/2009	11/13/2009	619430101	MRT	-2000.0000	3.0485	(6,097.00)	0.78
10241	03/08/2010	03/11/2010	74838C106	ZQK	2000.0000	3.0499	6,099.80	0.68
10242	10/01/2009	10/06/2009	163428105	CHTP	2000.0000	3.0500	6,100.00	0.68
10243	03/29/2006	04/03/2006	549463107	LU	-2000.0000	3.0500	(6,100.00)	0.68
10244	03/24/2009	03/27/2009	172967101	C	2000.0000	3.0500	6,100.00	0.68
10245	06/02/2006	06/07/2006	235811106	DCNAQ	-1977.0000	3.0500	(6,029.85)	4.19
10246	12/31/2007	01/04/2008	82966U103	SIRI	-2000.0000	3.0508	(6,101.60)	0.62
10247	05/11/2006	05/16/2006	46612J101	JDSU	-2000.0000	2.9271	(5,854.20)	13.09
10248	01/28/2010	02/02/2010	062401104	GRAN	-2500.0000	1.4800	(3,700.00)	120.90
10249	07/01/2009	07/07/2009	501014104	KKD	-2000.0000	3.0582	(6,116.40)	0.10
10250	10/27/2008	10/30/2008	313586109	FNM	7000.0000	0.6940	4,858.00	63.06
10251	03/06/2009	03/11/2009	345370860	F	-2400.0000	1.7312	(4,154.88)	98.32
10252	06/10/2010	06/15/2010	210413100	CMIN	6000.0000	0.8100	4,860.00	63.08
10253	02/18/2009	02/23/2009	852061100	S	2100.0000	2.6800	5,628.00	24.70
10254	12/15/2006	12/20/2006	607500105	MDVX	2250.0000	2.0027	4,506.08	80.84
10255	07/03/2008	07/09/2008	023139108	ABK	4000.0000	1.2600	5,040.00	54.26
10256	03/19/2009	03/24/2009	060505104	BAC	500.0000	7.1570	3,578.50	127.43
10257	01/06/2009	01/09/2009	64190A103	NRO	2136.0000	2.3398	4,997.81	56.50
10258	01/07/2009	01/12/2009	003687100	ABH	-5000.0000	1.0000	(5,000.00)	56.40
10259	10/24/2007	10/29/2007	68338A107	ONT	5000.0000	1.0000	5,000.00	56.40
10260	05/09/2007	05/14/2007	45820C106	IMFD	-3500.0000	1.1167	(3,908.45)	111.05

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
10261	02/13/2009	02/19/2009	345370860	F	3400.0000	1.7892	6,083.28	2.34
10262	12/12/2007	12/17/2007	02639A102	AGFL	3000.0000	1.1900	3,570.00	128.06
10263	06/26/2009	07/01/2009	844909101	SWTX	-5000.0000	1.0060	(5,030.00)	55.17
10264	05/08/2009	05/13/2009	370442105	GM	3000.0000	1.6000	4,800.00	66.82
10265	05/30/2007	06/04/2007	85517P101	STSI	-3300.0000	1.0500	(3,465.00)	133.61
10266	08/04/2010	08/09/2010	967734104	WKLI	11100.0000	0.3200	3,552.00	129.57
10267	02/18/2009	02/23/2009	172967101	C	-2001.0000	2.9100	(5,822.91)	16.08
10268	03/26/2009	03/31/2009	026874107	AIG	-3750.0000	1.1000	(4,125.00)	101.03
10269	07/12/2006	07/17/2006	449575109	IG	-3353.0000	1.3000	(4,358.90)	89.40
10270	04/14/2008	04/17/2008	359059102	FRNT	5000.0000	1.0406	5,203.00	47.35
10271	07/09/2009	07/14/2009	195493309	CNB	10000.0000	0.4888	4,888.00	63.30
10272	02/26/2010	03/03/2010	82967N108	SIRI	5000.0000	1.0298	5,149.00	50.27
10273	12/03/2007	12/06/2007	293821105	EDCI	-5000.0000	0.7120	(3,560.00)	129.79
10274	07/01/2010	07/07/2010	82967N108	SIRI	-5000.0000	0.9118	(4,559.00)	79.95
10275	12/18/2007	12/21/2007	05348W109	AVNX	-4000.0000	1.2430	(4,972.00)	59.40
10276	08/27/2009	09/01/2009	30033R108	ESLR	-3000.0000	1.6301	(4,890.30)	63.58
10277	06/10/2008	06/13/2008	20970P207	CSLG	198000.0000	0.0180	3,564.00	129.90
10278	08/13/2007	08/16/2007	825636103	SHMT	-2500.0000	1.5360	(3,840.00)	116.22
10279	10/27/2008	10/30/2008	313400301	FRE	7000.0000	0.6996	4,897.20	63.38
10280	07/19/2006	07/24/2006	549463107	LU	-2295.0000	2.0601	(4,727.93)	71.92
10281	10/19/2010	10/22/2010	046484101	ASTC	-2980.0000	1.2303	(3,666.29)	125.05
10282	02/04/2010	02/09/2010	150934503	CTIC	2700.0000	1.1000	2,970.00	158.50
10283	09/26/2008	10/01/2008	929903102	WB	500.0000	8.5000	4,250.00	96.11
10284	09/13/2007	09/18/2007	85857R105	STEM	2250.0000	2.0699	4,657.28	75.83
10285	03/11/2008	03/14/2008	351465109	FXPE	3373.0000	1.3300	4,486.09	84.39
10286	01/06/2009	01/09/2009	749941100	RFMD	6000.0000	0.8999	5,399.40	38.82
10287	01/15/2010	01/21/2010	767754104	RAD	-2500.0000	1.5500	(3,875.00)	115.05
10288	05/22/2009	05/28/2009	370442105	GM	-3542.0000	1.4700	(5,206.74)	48.47
10289	12/21/2009	12/24/2009	457669208	INSM	-6100.0000	0.7498	(4,573.78)	80.13
10290	02/15/2006	02/21/2006	45069D203	DMX	2100.0000	2.7699	5,816.79	18.04
10291	12/26/2008	12/31/2008	01903Q108	ALD	2050.0000	2.7699	5,678.30	24.97
10292	06/12/2009	06/17/2009	093698108	BDR	-2500.0000	1.5540	(3,885.00)	114.71
10293	03/16/2009	03/19/2009	37247D106	GNW	-3000.0000	1.6740	(5,022.00)	57.89
10294	04/20/2010	04/23/2010	26884J104	ERHE	-11250.0000	0.5100	(5,737.50)	22.14
10295	12/16/2009	12/21/2009	87161C105	SNV	2250.0000	2.0790	4,677.75	75.15
10296	09/07/2007	09/12/2007	452907108	IMMU	2300.0000	2.0800	4,784.00	69.88
10297	05/28/2009	06/02/2009	64190A103	NRO	-2348.0000	1.8100	(4,249.88)	96.69
10298	03/17/2008	03/20/2008	74963Q102	RHY	-2045.0000	2.7801	(5,685.30)	24.93
10299	09/08/2009	09/11/2009	009728106	AKRX	-2500.0000	1.5600	(3,900.00)	114.21
10300	08/28/2009	09/02/2009	767754104	RAD	-2500.0000	1.5703	(3,925.75)	113.35
10301	11/20/2008	11/25/2008	345370860	F	-3000.0000	1.2600	(3,780.00)	120.69
10302	05/22/2009	05/28/2009	026874107	AIG	-3500.0000	1.6801	(5,880.35)	15.77
10303	04/19/2010	04/22/2010	093679108	BBI	9000.0000	0.5100	4,590.00	80.32
10304	12/26/2007	12/31/2007	74269U104	PKT	-4000.0000	1.3501	(5,400.40)	39.96
10305	03/26/2007	03/29/2007	74765E109	QTWW	4000.0000	1.3500	5,400.00	39.98
10306	07/14/2009	07/17/2009	125581108	CIT	3545.0000	1.4994	5,315.37	44.21
10307	12/26/2007	12/31/2007	37229P507	GTOP	-5000.0000	0.7274	(3,637.00)	128.15
10308	01/22/2010	01/27/2010	062401104	GRAN	-2500.0000	1.5800	(3,950.00)	112.54
10309	01/04/2010	01/07/2010	939322103	WAMUQ	20000.0000	0.1795	3,590.00	130.60
10310	06/16/2010	06/21/2010	82967N108	SIRI	3600.0000	0.9972	3,589.92	130.60
10311	10/22/2009	10/27/2009	849109103	SPNG	100000.0000	0.0595	5,950.00	12.68
10312	06/19/2009	06/24/2009	767754104	RAD	3500.0000	1.2000	4,200.00	100.33
10313	11/25/2008	12/01/2008	345370860	F	3000.0000	1.7100	5,130.00	53.85
10314	04/17/2007	04/20/2007	247361108	DALRQ	-22461.0000	0.1600	(3,593.76)	130.70
10315	02/06/2007	02/09/2007	08659K102	BESC	10000.0000	0.4600	4,600.00	80.43
10316	04/07/2009	04/13/2009	172967101	C	-2100.0000	2.8201	(5,922.21)	14.33
10317	10/28/2009	11/02/2009	147154108	CACB	-4393.0000	1.3100	(5,754.83)	22.76

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10318	07/20/2009	07/23/2009	195493309	CNB	6000.0000	0.5996	3,597.60	130.81
10319	10/13/2008	10/16/2008	26884K101	ERFW	19000.0000	0.2600	4,940.00	63.71
10320	07/20/2006	07/25/2006	64104P105	NESS	-20000.0000	0.1800	(3,600.00)	130.87
10321	03/06/2008	03/11/2008	806732103	SCTN	-36000.0000	0.1000	(3,600.00)	130.87
10322	04/02/2007	04/05/2007	269437208	EAG	-20000.0000	0.1800	(3,600.00)	130.87
10323	06/29/2010	07/02/2010	37990W103	GHLV	10000.0000	0.3600	3,600.00	130.87
10324	04/23/2007	04/26/2007	19057V103	CTTJ	-3000000.0000	0.0012	(3,600.00)	130.87
10325	06/26/2007	06/29/2007	619790108	MHFM	-40000.0000	0.0900	(3,600.00)	130.87
10326	04/03/2006	04/06/2006	45768R305	INFN	-4500.0000	0.8000	(3,600.00)	130.87
10327	11/20/2007	11/26/2007	131347106	CPNLQ	-8000.0000	0.4500	(3,600.00)	130.87
10328	11/29/2006	12/04/2006	865106108	SLJB	150000.0000	0.0240	3,600.00	130.87
10329	02/29/2008	03/05/2008	60878A100	MMBF	9062.5000	0.4500	4,078.13	106.97
10330	09/08/2008	09/11/2008	09250E107	HIS	-2329.0000	1.8801	(4,378.75)	91.99
10331	10/18/2010	10/21/2010	82967N108	SIRI	2600.0000	1.3685	3,558.10	133.03
10332	06/05/2007	06/08/2007	83414T102	SLND	10000.0000	0.5000	5,000.00	61.22
10333	01/13/2006	01/19/2006	338487101	FLMP	10000.0000	0.5000	5,000.00	61.22
10334	04/09/2010	04/14/2010	26884K101	ERFW	-50000.0000	0.1000	(5,000.00)	61.22
10335	03/19/2009	03/24/2009	345370860	F	2200.0000	2.4891	5,476.02	37.43
10336	06/24/2009	06/29/2009	849109103	SPNG	33000.0000	0.1500	4,950.00	63.79
10337	04/01/2009	04/06/2009	81616X103	SCSS	-6000.0000	0.7690	(4,614.00)	80.60
10338	09/19/2006	09/22/2006	00440A109	ACEC	2250.0000	2.1391	4,812.98	70.68
10339	12/05/2007	12/10/2007	67106S100	OTD	-15000.0000	0.3301	(4,951.50)	63.81
10340	03/09/2009	03/12/2009	370442105	GM	-2500.0000	1.6140	(4,035.00)	109.69
10341	07/31/2006	08/03/2006	14148P108	CDNC	-935000.0000	0.0053	(4,955.50)	63.84
10342	06/08/2010	06/11/2010	03819M106	AERG	5000.0000	1.1200	5,600.00	31.71
10343	01/05/2006	01/10/2006	125318105	THK	2250.0000	2.1500	4,837.50	69.87
10344	02/26/2010	03/03/2010	90333L102	RMIX	10000.0000	0.5876	5,876.00	17.96
10345	12/10/2008	12/15/2008	00808Y109	AEMD	20000.0000	0.2733	5,466.00	38.54
10346	02/26/2010	03/03/2010	210502100	CPSS	-3000.0000	1.7500	(5,250.00)	49.36
10347	05/29/2008	06/03/2008	74271A104	PTVL	-7500.0000	0.4817	(3,612.75)	131.22
10348	01/19/2010	01/22/2010	282812106	ECPN	32000.0000	0.1550	4,960.00	63.87
10349	11/27/2007	11/30/2007	553477100	MRVC	-2730.0000	2.2520	(6,147.96)	4.52
10350	04/27/2007	05/02/2007	74765E109	QTWW	-4000.0000	1.4000	(5,600.00)	32.05
10351	05/08/2006	05/11/2006	282914100	EGHT	-2500.0000	1.6300	(4,075.00)	108.35
10352	04/09/2010	04/14/2010	468202106	JTX	-2500.0000	1.6301	(4,075.25)	108.35
10353	04/16/2009	04/21/2009	192108108	CDE	5000.0000	1.1300	5,650.00	29.65
10354	07/11/2006	07/14/2006	378970107	GBVS	10000.0000	0.6000	6,000.00	12.31
10355	07/11/2006	07/14/2006	378970107	GBVS	10000.0000	0.6000	6,000.00	12.31
10356	06/04/2010	06/09/2010	463320101	IROG	30000.0000	0.2000	6,000.00	12.31
10357	04/22/2008	04/25/2008	757736103	RPBC	-10000.0000	0.5200	(5,200.00)	52.31
10358	05/27/2009	06/01/2009	29362Y106	ENSL	26000.0000	0.2000	5,200.00	52.31
10359	01/20/2006	01/25/2006	125318105	THK	2300.0000	2.2000	5,060.00	59.46
10360	04/17/2009	04/22/2009	676220106	ODP	2300.0000	2.1692	4,989.16	63.01
10361	10/17/2006	10/20/2006	05348W109	AVNX	-2500.0000	1.5350	(3,837.50)	120.63
10362	02/27/2009	03/04/2009	52729N100	LVL	5100.0000	0.7101	3,621.51	131.45
10363	03/14/2006	03/17/2006	16117M107	CHTR	-4000.0000	0.9055	(3,622.00)	131.47
10364	09/17/2007	09/20/2007	131347106	CPNLQ	-5000.0000	1.1400	(5,700.00)	27.59
10365	08/30/2010	09/02/2010	53123T206	LBSR	33625.0000	0.1379	4,636.89	80.87
10366	07/07/2008	07/10/2008	26884K101	ERFW	13000.0000	0.3827	4,975.10	63.99
10367	02/25/2010	03/02/2010	94683U100	WESA	-13500.0000	0.2685	(3,624.75)	131.54
10368	01/21/2010	01/26/2010	949765101	WGA	-155.0000	2.5900	(401.45)	162.88
10369	11/01/2006	11/06/2006	978093102	WLV	-4000.0000	1.4218	(5,687.20)	28.58
10370	12/28/2006	01/03/2007	924237100	VPS	-3400.0000	1.4263	(4,849.42)	70.55
10371	07/24/2007	07/27/2007	34512E109	FTRS	4500.0000	1.2300	5,535.00	36.35
10372	03/27/2009	04/01/2009	22765Y104	XTXI	2400.0000	1.9090	4,581.60	84.03
10373	09/17/2010	09/22/2010	62010A105	MTLQQ	-10000.0000	0.3630	(3,630.00)	131.69
10374	07/30/2009	08/04/2009	62010A105	MTLQQ	-9667.0000	0.5155	(4,983.34)	64.05

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10375	08/12/2008	08/15/2008	973146103	WNEA	10000.0000	0.5500	5,500.00	38.40
10376	01/14/2008	01/17/2008	351465109	FXPE	5000.0000	0.9300	4,650.00	81.02
10377	04/13/2007	04/18/2007	456277102	INTK	-20000.0000	0.2325	(4,650.00)	81.02
10378	08/16/2010	08/19/2010	00830E105	AFLB	5000.0000	0.9300	4,650.00	81.02
10379	04/04/2008	04/09/2008	760112102	RTK	5000.0000	1.1698	5,849.00	21.46
10380	06/25/2009	06/30/2009	82967N108	SIRI	-12250.0000	0.4500	(5,512.50)	38.36
10381	07/27/2009	07/30/2009	52729N100	LVL	-2500.0000	1.6800	(4,200.00)	104.17
10382	03/11/2009	03/16/2009	172967101	C	2500.0000	1.6799	4,199.75	104.18
10383	04/24/2006	04/27/2006	025637109	AMEP	35718.0000	0.1020	3,643.24	132.05
10384	09/03/2009	09/09/2009	739363109	PWAV	5000.0000	1.1790	5,895.00	19.57
10385	11/13/2009	11/18/2009	909839102	UCFC	-2570.0000	1.4500	(3,726.50)	128.11
10386	10/13/2008	10/16/2008	767754104	RAD	5000.0000	0.7295	3,647.50	132.17
10387	04/01/2010	04/07/2010	458738101	ILI	-5000.0000	0.7300	(3,650.00)	132.23
10388	11/01/2006	11/06/2006	85771Q100	STCA	5000.0000	0.7300	3,650.00	132.23
10389	10/06/2009	10/09/2009	460378102	ISCO	5000.0000	0.7300	3,650.00	132.23
10390	12/23/2009	12/29/2009	45810J103	ITKG	5000.0000	0.7300	3,650.00	132.23
10391	03/24/2009	03/27/2009	37247D106	GNW	2500.0000	2.1360	5,340.00	47.77
10392	03/15/2010	03/18/2010	458738101	ILI	5000.0000	0.9690	4,845.00	72.52
10393	10/01/2009	10/06/2009	27922Y103	ETLY	22900.0000	0.2040	4,671.60	81.28
10394	03/24/2009	03/27/2009	02503Y103	ACAS	2500.0000	2.1397	5,349.25	47.45
10395	10/15/2007	10/18/2007	40227P107	GFET	-2500.0000	1.7000	(4,250.00)	102.50
10396	09/29/2009	10/02/2009	739308104	PWER	2400.0000	1.9575	4,698.00	80.14
10397	07/22/2009	07/27/2009	336453105	FSNM	4500.0000	1.3399	6,029.55	13.63
10398	11/07/2008	11/13/2008	345203202	FRPT	2200.0000	2.6090	5,739.80	28.13
10399	03/16/2009	03/19/2009	82967N108	SIRI	15000.0000	0.2437	3,655.50	132.39
10400	07/19/2007	07/24/2007	020524104	AGMB	2300.0000	2.2800	5,244.00	52.98
10401	07/11/2006	07/14/2006	460588106	IPA	5000.0000	1.1996	5,998.00	15.33
10402	08/06/2007	08/09/2007	367626108	GTW	5000.0000	1.2000	6,000.00	15.25
10403	11/29/2006	12/04/2006	38168B103	GTA	-2700.0000	1.2500	(3,375.00)	146.53
10404	06/02/2009	06/05/2009	561911108	HYF	-2502.0000	1.5701	(3,928.39)	118.97
10405	05/12/2006	05/17/2006	05348W109	AVNX	2351.0000	2.0542	4,829.42	74.03
10406	05/12/2006	05/17/2006	05348W109	AVNX	2351.0000	2.0519	4,824.02	74.30
10407	01/23/2007	01/26/2007	207142100	CNXT	-2396.0000	1.9700	(4,720.12)	79.52
10408	04/30/2009	05/05/2009	446150104	HBAN	-2032.0000	3.0100	(6,116.32)	9.74
10409	04/15/2010	04/20/2010	22787P107	CRWG	3700.0000	1.4600	5,402.00	45.46
10410	05/13/2009	05/18/2009	370442105	GM	-5000.0000	1.2100	(6,050.00)	13.19
10411	11/30/2007	12/05/2007	98425R200	YTBLA	5000.0000	1.2100	6,050.00	13.19
10412	01/20/2006	01/25/2006	28264Y107	EKWX	-5235000.0000	0.0007	(3,664.50)	132.63
10413	09/25/2008	09/30/2008	313400301	FRE	-2300.0000	2.3000	(5,290.00)	51.36
10414	12/19/2007	12/24/2007	09856E105	BKHM	-2233.0000	2.3100	(5,158.23)	57.97
10415	06/26/2006	06/29/2006	67034S107	NNRF	4000.0000	1.0000	4,000.00	116.10
10416	11/03/2006	11/08/2006	05348W109	AVNX	2500.0000	1.8765	4,691.25	81.69
10417	05/03/2007	05/08/2007	949765101	WGA	-2100.0000	3.0130	(6,327.30)	0.05
10418	10/05/2007	10/11/2007	15118V108	CSUH	-7200.0000	0.5100	(3,672.00)	132.83
10419	02/05/2009	02/10/2009	35907K105	FTBK	5000.0000	1.2300	6,150.00	9.07
10420	02/05/2009	02/10/2009	35907K105	FTBK	5000.0000	1.2300	6,150.00	9.07
10421	08/09/2007	08/14/2007	607500105	MDVX	2500.0000	1.7400	4,350.00	99.15
10422	06/07/2007	06/12/2007	607500105	MDVX	2500.0000	1.7400	4,350.00	99.15
10423	10/17/2008	10/22/2008	23248L107	CYDE	5000.0000	1.2320	6,160.00	8.66
10424	09/12/2007	09/17/2007	69366L205	PSPJ	-20000.0000	0.2500	(5,000.00)	66.71
10425	12/13/2007	12/18/2007	67106S100	OTD	-15000.0000	0.3409	(5,113.50)	61.13
10426	08/27/2010	09/01/2010	460378102	ISCO	-3000.0000	1.0483	(3,144.90)	159.67
10427	10/24/2006	10/27/2006	885183103	THPW	12263.0000	0.3000	3,678.90	133.02
10428	09/25/2008	09/30/2008	313400301	FRE	-2300.0000	2.3335	(5,367.05)	48.64
10429	10/04/2007	10/10/2007	05348W109	AVNX	2500.0000	1.8968	4,742.00	79.90
10430	11/20/2008	11/25/2008	345370860	F	5000.0000	1.2400	6,200.00	7.02
10431	10/12/2009	10/15/2009	922587100	VMCI	-46000.0000	0.0800	(3,680.00)	133.05

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
10432	07/19/2010	07/22/2010	82967N108	SIRI	4000.0000	0.9201	3,680.40	133.06
10433	05/07/2010	05/12/2010	460378102	ISCO	2900.0000	1.6300	4,727.00	80.79
10434	03/28/2006	03/31/2006	04621M102	ASAM	-4000.0000	1.5250	(6,100.00)	12.21
10435	03/09/2009	03/12/2009	313400301	FRE	11600.0000	0.3801	4,409.16	96.86
10436	12/16/2008	12/19/2008	835916107	SONS	-4000.0000	1.5301	(6,120.40)	11.40
10437	09/14/2009	09/17/2009	280597105	DIET	2500.0000	1.7600	4,400.00	97.48
10438	02/02/2006	02/07/2006	125318105	THK	2300.0000	2.3500	5,405.00	47.30
10439	02/28/2007	03/05/2007	29275M108	EYTC	-18784.0000	0.1963	(3,687.30)	133.25
10440	05/21/2007	05/24/2007	98974P100	ZIXI	-3240.0000	1.7600	(5,702.40)	32.66
10441	08/28/2009	09/02/2009	125581108	CIT	2500.0000	1.7700	4,425.00	96.64
10442	07/15/2010	07/20/2010	468202106	JTX	5000.0000	1.2600	6,300.00	2.90
10443	02/16/2010	02/19/2010	26884J104	ERHE	-11250.0000	0.5200	(5,850.00)	25.41
10444	01/09/2008	01/14/2008	89784T101	TNEN	20000.0000	0.2800	5,600.00	37.99
10445	09/07/2006	09/12/2006	75621X108	RCAA	-80000.0000	0.0700	(5,600.00)	37.99
10446	02/02/2009	02/05/2009	370021107	GGP	7000.0000	0.8000	5,600.00	37.99
10447	07/07/2006	07/12/2006	82967C102	SIRC	-5300.0000	1.0747	(5,695.91)	33.20
10448	01/12/2010	01/15/2010	14067D102	CPST	-3000.0000	1.4500	(4,350.00)	100.67
10449	01/21/2009	01/26/2009	516548104	LTRX	9000.0000	0.6821	6,138.90	11.29
10450	07/17/2007	07/20/2007	63860C100	NHRX	-3415.0000	1.4120	(4,821.98)	77.13
10451	07/19/2007	07/24/2007	206710204	CCUR	-2500.0000	1.6727	(4,181.75)	109.16
10452	03/24/2009	03/27/2009	195493309	CNB	6000.0000	0.9349	5,609.40	37.95
10453	04/02/2009	04/07/2009	74765L202	QGP	5000.0000	0.9460	4,730.00	81.96
10454	11/28/2006	12/01/2006	27922X105	ESPHE	-10000.0000	0.3700	(3,700.00)	133.59
10455	12/05/2006	12/08/2006	85771Q100	STCA	5000.0000	0.7400	3,700.00	133.59
10456	09/28/2006	10/03/2006	80603Q105	SCNI	-5000.0000	0.7400	(3,700.00)	133.59
10457	04/24/2006	04/27/2006	45768R305	INFN	-5000.0000	0.7400	(3,700.00)	133.59
10458	06/02/2009	06/05/2009	767754104	RAD	3000.0000	1.4600	4,380.00	99.62
10459	05/02/2006	05/05/2006	69073F103	OWENQ	-2750.0000	1.1200	(3,080.00)	164.70
10460	04/13/2009	04/16/2009	370442105	GM	-3600.0000	1.7200	(6,192.00)	9.16
10461	06/29/2009	07/02/2009	041356106	AI	-10000.0000	0.3703	(3,703.00)	133.67
10462	03/19/2009	03/24/2009	313400301	FRE	5000.0000	1.0798	5,399.00	49.05
10463	03/19/2009	03/24/2009	313586109	FNM	5000.0000	1.0498	5,249.00	56.55
10464	08/07/2007	08/10/2007	45254P102	IMH	4000.0000	1.5699	6,279.60	5.07
10465	03/09/2006	03/14/2006	539570408	LPHC	-2375.0000	2.0597	(4,891.79)	74.50
10466	10/22/2007	10/25/2007	039209101	KAD	-4000.0000	1.0603	(4,241.20)	107.03
10467	03/08/2007	03/13/2007	05348W109	AVNX	-2500.0000	1.6923	(4,230.75)	107.71
10468	07/24/2006	07/27/2006	817208408	SNT	-3400.0000	1.6000	(5,440.00)	47.34
10469	05/21/2009	05/27/2009	989834106	ZF	2200.0000	2.7399	6,027.78	17.97
10470	07/09/2007	07/12/2007	3622N9103	GSEN	23500.0000	0.1579	3,710.65	133.88
10471	01/05/2010	01/08/2010	68555P100	ORBC	2200.0000	2.7432	6,035.04	17.72
10472	09/11/2006	09/14/2006	629196106	NMCX	-225000.0000	0.0165	(3,712.50)	133.94
10473	05/02/2006	05/05/2006	243778107	DPRK	19000.0000	0.2500	4,750.00	82.20
10474	02/07/2008	02/12/2008	98155N106	WWAT	2957.0000	1.4866	4,395.88	100.02
10475	07/31/2007	08/03/2007	318465101	FACT	4000.0000	1.5900	6,360.00	1.89
10476	11/17/2008	11/20/2008	345370860	F	-2497.0000	1.8200	(4,544.54)	92.73
10477	02/23/2009	02/26/2009	446150104	HBAN	4000.0000	1.4299	5,719.60	34.02
10478	12/14/2007	12/19/2007	422217109	HRAL	-4000.0000	1.4200	(5,680.00)	36.00
10479	06/16/2009	06/19/2009	767754104	RAD	3500.0000	1.4297	5,003.95	69.90
10480	09/28/2007	10/03/2007	131722605	CBMC	20000.0000	0.1860	3,720.00	134.13
10481	07/14/2008	07/17/2008	032681108	ANLY	-2631.0000	1.3700	(3,604.47)	139.97
10482	02/27/2009	03/04/2009	00504W100	ACPW	-7956.0000	0.5601	(4,456.16)	97.59
10483	03/10/2009	03/13/2009	172967101	C	3500.0000	1.4400	5,040.00	68.53
10484	12/10/2009	12/15/2009	59318T109	CIF	-2225.0000	2.4901	(5,540.47)	43.65
10485	09/29/2008	10/02/2008	929903102	WB	-3600.0000	1.2600	(4,536.00)	93.87
10486	09/25/2007	09/28/2007	09250E107	HIS	-2500.0000	2.3067	(5,766.75)	32.41
10487	11/24/2009	11/30/2009	65080T102	NBBC	-2400.0000	2.1342	(5,122.08)	64.66
10488	06/04/2009	06/09/2009	370442105	GMGMQ	5000.0000	0.7460	3,730.00	134.41

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10489	01/16/2009	01/22/2009	345370860	F	2400.0000	2.1390	5,133.60	64.25
10490	11/26/2008	12/02/2008	721467108	PPC	-4195.0000	0.8900	(3,733.55)	134.50
10491	04/12/2006	04/18/2006	706552106	PMTR	-2500.0000	1.8500	(4,625.00)	89.95
10492	09/15/2010	09/20/2010	578877102	MBTF	3500.0000	1.4591	5,106.85	65.98
10493	11/29/2006	12/04/2006	206710204	CCUR	2487.0000	1.9992	4,972.01	72.72
10494	08/23/2010	08/26/2010	203631107	CCBD	-2778.0000	1.1800	(3,278.04)	157.57
10495	03/20/2008	03/26/2008	244331104	DFR	-4000.0000	0.9352	(3,740.80)	134.70
10496	10/19/2010	10/22/2010	71902E109	PNX	2500.0000	2.3398	5,849.50	29.44
10497	02/06/2006	02/09/2006	581531100	MKBY	-4800.0000	0.7800	(3,744.00)	134.79
10498	08/28/2006	08/31/2006	05348W109	AVNX	2500.0000	1.9988	4,997.00	72.15
10499	01/04/2008	01/09/2008	17285T106	CDL	2500.0000	1.8700	4,675.00	88.28
10500	05/20/2009	05/26/2009	313586109	FNM	-4800.0000	0.7802	(3,744.96)	134.81
10501	04/30/2010	05/05/2010	39808Q104	GRPR	3000.0000	1.5400	4,620.00	91.19
10502	10/07/2009	10/13/2009	029616109	AMNP	5100.0000	0.9400	4,794.00	82.71
10503	06/05/2009	06/10/2009	767754104	RAD	-2500.0000	1.8800	(4,700.00)	87.44
10504	09/18/2008	09/23/2008	698338100	PDAE	50000.0000	0.0750	3,750.00	134.95
10505	02/06/2006	02/09/2006	278744107	ECSL	-15000.0000	0.2500	(3,750.00)	134.95
10506	07/24/2007	07/27/2007	23316N100	DDCI	-20000.0000	0.1875	(3,750.00)	134.95
10507	07/10/2007	07/13/2007	92908D101	VEII	-15000.0000	0.2500	(3,750.00)	134.95
10508	10/24/2006	10/27/2006	85771Q100	STCA	5000.0000	0.7500	3,750.00	134.95
10509	02/16/2007	02/22/2007	85771Q100	STCA	5000.0000	0.7500	3,750.00	134.95
10510	05/22/2006	05/25/2006	743729105	PLBI	-10000.0000	0.3750	(3,750.00)	134.95
10511	02/26/2010	03/03/2010	88289Q109	TEXC	25000.0000	0.1500	3,750.00	134.95
10512	04/20/2006	04/25/2006	45768R305	INFN	-5000.0000	0.7500	(3,750.00)	134.95
10513	03/12/2010	03/17/2010	27922X105	ESPH	5000.0000	0.9590	4,795.00	82.72
10514	03/12/2010	03/17/2010	27922X105	ESPH	5000.0000	0.9590	4,795.00	82.72
10515	03/12/2010	03/17/2010	27922X105	ESPH	5000.0000	0.9590	4,795.00	82.72
10516	03/12/2010	03/17/2010	27922X105	ESPH	5000.0000	0.9590	4,795.00	82.72
10517	07/16/2007	07/19/2007	715814307	PECB	30000.0000	0.1900	5,700.00	37.57
10518	07/12/2006	07/17/2006	U00944105	AKRSB	-6000.0000	0.9500	(5,700.00)	37.57
10519	07/16/2007	07/19/2007	715814307	PECB	30000.0000	0.1900	5,700.00	37.57
10520	09/29/2010	10/04/2010	665141107	NXPB	-30000.0000	0.0190	(5,700.00)	37.57
10521	05/24/2010	05/27/2010	27887F107	ECOB	-15000.0000	0.3800	(5,700.00)	37.57
10522	05/29/2007	06/01/2007	74765E109	QTTWW	3500.0000	1.4900	5,215.00	61.84
10523	04/21/2009	04/24/2009	40075T102	GBNK	-2297.0000	2.5000	(5,742.50)	35.52
10524	02/25/2009	03/02/2009	607500105	MDVX	3000.0000	2.0400	6,120.00	16.75
10525	11/14/2008	11/19/2008	290846104	EMKR	-3228.0000	1.3300	(4,293.24)	108.14
10526	11/11/2009	11/16/2009	87161C105	SNV	2500.0000	1.8900	4,725.00	86.60
10527	06/30/2009	07/06/2009	767754104	RAD	3100.0000	1.4797	4,587.07	93.56
10528	11/15/2007	11/20/2007	009417106	ANS	-3000.0000	2.0450	(6,135.00)	16.19
10529	08/12/2009	08/17/2009	68555P100	ORBC	2400.0000	2.1967	5,272.08	59.37
10530	03/31/2009	04/03/2009	607500105	MDVX	3000.0000	2.0500	6,150.00	15.63
10531	04/27/2006	05/02/2006	45768R305	INFN	-5000.0000	0.7520	(3,760.00)	135.22
10532	08/25/2008	08/28/2008	91688T104	URZ	2440.0000	1.8999	4,635.76	91.47
10533	05/12/2009	05/15/2009	026874107	AIG	2485.0000	1.9000	4,721.50	87.20
10534	10/30/2009	11/04/2009	125581108	CIT	5000.0000	0.7524	3,762.00	135.28
10535	06/25/2009	06/30/2009	64190A103	NRO	-2409.0000	2.0000	(4,818.00)	82.59
10536	12/19/2007	12/24/2007	67106S100	OTD	-15000.0000	0.3500	(5,250.00)	61.01
10537	04/19/2007	04/24/2007	747399103	QFAB	4000.0000	1.1500	4,600.00	93.54
10538	01/09/2009	01/14/2009	989834106	ZF	2179.0000	2.8700	6,253.73	10.89
10539	05/02/2006	05/05/2006	524636107	LRCI	-7500.0000	0.8100	(6,075.00)	19.83
10540	06/04/2009	06/09/2009	74373F100	PVCT	-4000.0000	1.1515	(4,606.00)	93.31
10541	11/15/2006	11/20/2006	367626108	GTW	-2500.0000	1.9100	(4,775.00)	84.93
10542	05/10/2006	05/15/2006	45768R305	INFN	-2500.0000	1.9100	(4,775.00)	84.93
10543	06/17/2009	06/22/2009	553477100	MRVC	-11888.0000	0.4050	(4,814.64)	82.96
10544	06/10/2010	06/15/2010	336453105	FSNM	-15000.0000	0.3503	(5,254.50)	61.00
10545	02/05/2007	02/08/2007	197779101	CBRX	-3000.0000	1.5800	(4,740.00)	86.97

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10546	10/27/2010	11/01/2010	928449107	VSUL	6985.0000	0.6900	4,819.65	83.01
10547	03/29/2007	04/03/2007	360912307	FMDA	3000.0000	1.2000	3,600.00	144.06
10548	06/20/2007	06/25/2007	235811106	DCNAQ	-2500.0000	1.9200	(4,800.00)	84.09
10549	09/04/2009	09/10/2009	090621103	BONU	2500.0000	1.9200	4,800.00	84.09
10550	02/09/2006	02/14/2006	976524108	WTT	-2000.0000	2.7400	(5,480.00)	50.20
10551	09/30/2009	10/05/2009	559091301	MPET	3250.0000	1.4249	4,630.93	92.70
10552	02/16/2007	02/22/2007	318465101	FACT	-3250.0000	1.9305	(6,274.13)	10.71
10553	01/03/2008	01/08/2008	82966U103	SIRI	-2105.0000	3.0700	(6,462.35)	1.44
10554	09/21/2006	09/26/2006	744627100	CARD	-200000.0000	0.0189	(3,780.00)	135.77
10555	01/19/2006	01/24/2006	902549500	UALAQ	-6000.0000	0.6300	(3,780.00)	135.77
10556	05/10/2006	05/15/2006	45768R305	INFN	-2500.0000	1.9400	(4,850.00)	82.42
10557	03/09/2010	03/12/2010	939322103	WAMUQ	8000.0000	0.6599	5,279.20	60.98
10558	03/15/2007	03/20/2007	827692104	SDRG	3000.0000	2.1500	6,450.00	2.50
10559	03/15/2007	03/20/2007	827692104	SDRG	3000.0000	2.1500	6,450.00	2.50
10560	02/23/2007	02/28/2007	367626108	GTW	3000.0000	2.0900	6,270.00	11.50
10561	06/21/2006	06/26/2006	713661106	PPHM	3000.0000	1.7100	5,130.00	68.50
10562	08/03/2009	08/06/2009	090605106	BEHL	40000.0000	0.1280	5,120.00	69.00
10563	12/26/2007	12/31/2007	37229P507	GTOP	-5000.0000	0.7601	(3,800.50)	134.98
10564	05/02/2007	05/07/2007	689890101	OPCO	-4000.0000	1.1798	(4,719.20)	89.06
10565	10/29/2009	11/03/2009	628852105	NCS	2500.0000	1.9500	4,875.00	81.58
10566	12/07/2006	12/12/2006	206710204	CCUR	2900.0000	2.0022	5,806.38	35.06
10567	11/25/2008	12/01/2008	07556Q105	BZH	3000.0000	1.6175	4,852.50	83.03
10568	03/23/2006	03/28/2006	917277204	UPI	2500.0000	2.4500	6,125.00	19.51
10569	08/17/2010	08/20/2010	82967N108	SIRI	3800.0000	0.9982	3,793.16	136.12
10570	11/09/2009	11/13/2009	852061100	S	-2189.0000	2.9412	(6,438.29)	3.98
10571	12/21/2007	12/27/2007	69811Q106	PANC	6000.0000	0.8831	5,298.60	60.96
10572	08/03/2009	08/06/2009	001733104	AMLJ	-7000.0000	0.7571	(5,299.70)	60.97
10573	08/03/2006	08/08/2006	667280101	NWACQ	-10000.0000	0.5300	(5,300.00)	60.97
10574	04/03/2008	04/08/2008	767754104	RAD	-2136.0000	2.9510	(6,303.34)	10.99
10575	07/01/2009	07/07/2009	19105E103	CGCA	-10000.0000	0.5300	(5,300.00)	61.23
10576	07/14/2008	07/17/2008	70212G101	PDRT	-2400.0000	2.2900	(5,496.00)	51.47
10577	04/16/2007	04/19/2007	28224N100	EFSE	10000.0000	0.3800	3,800.00	136.31
10578	08/10/2007	08/15/2007	247126105	DPHIQ	4000.0000	0.9500	3,800.00	136.31
10579	10/16/2008	10/21/2008	85771Q100	STCA	10000.0000	0.3800	3,800.00	136.31
10580	07/08/2009	07/13/2009	521865105	LEAR	-10000.0000	0.3800	(3,800.00)	136.31
10581	06/16/2006	06/21/2006	75628T100	GARM	-95000.0000	0.0400	(3,800.00)	136.31
10582	10/01/2008	10/06/2008	885218800	TMA	2500.0000	2.0000	5,000.00	76.33
10583	11/13/2008	11/18/2008	86272T106	BEE	2500.0000	2.0000	5,000.00	76.33
10584	12/29/2008	01/02/2009	607500105	MDVX	2500.0000	2.0000	5,000.00	76.33
10585	01/29/2009	02/03/2009	345370860	F	2500.0000	1.9760	4,940.00	79.41
10586	09/12/2008	09/17/2008	957669104	ZIF	-2500.0000	1.9770	(4,942.50)	79.33
10587	08/07/2007	08/10/2007	206710204	CCUR	2650.0000	1.6432	4,354.48	108.76
10588	04/29/2009	05/04/2009	313586109	FNM	5000.0000	0.7605	3,802.50	136.38
10589	08/28/2009	09/02/2009	313586109	FNM	2500.0000	2.0100	5,025.00	75.45
10590	10/05/2006	10/11/2006	21254V100	CSHD	-2500.0000	2.0100	(5,025.00)	75.45
10591	09/25/2008	09/30/2008	939322103	WM	2500.0000	2.0100	5,025.00	75.45
10592	03/13/2007	03/16/2007	131347106	CPNLQ	2500.0000	2.0100	5,025.00	75.45
10593	12/18/2007	12/21/2007	207142100	CNXT	-4000.0000	0.9514	(3,805.60)	136.46
10594	02/12/2010	02/18/2010	62010A105	MTLQQ	-6550.0000	0.5810	(3,805.55)	136.46
10595	05/17/2006	05/22/2006	222814204	DVW	-2550.0000	1.7400	(4,437.00)	105.05
10596	05/27/2009	06/01/2009	370442105	GM	-3000.0000	1.2600	(3,780.00)	137.90
10597	03/16/2009	03/19/2009	172967101	C	2500.0000	1.9900	4,975.00	78.24
10598	07/25/2007	07/30/2007	98155N106	WWAT	2400.0000	2.3150	5,556.00	49.36
10599	02/26/2008	02/29/2008	448954107	HDY	3000.0000	1.6740	5,022.00	76.07
10600	01/25/2008	01/30/2008	02639A102	AGFL	-8000.0000	0.6656	(5,324.80)	60.94
10601	10/31/2006	11/03/2006	652919101	NXCN	250000.0000	0.0213	5,325.00	60.94
10602	07/02/2009	07/08/2009	19105E103	CGCA	-10000.0000	0.5000	(5,000.00)	77.60

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
10603	03/18/2009	03/23/2009	345370860	F	2600.0000	2.5093	6,524.18	1.47
10604	11/21/2008	11/26/2008	45254P102	IMPH	100000.0000	0.0488	4,880.00	83.72
10605	04/05/2006	04/10/2006	150837409	CVM	-4000.0000	1.2600	(5,040.00)	76.14
10606	02/09/2010	02/12/2010	22787P107	CRWG	2500.0000	2.0500	5,125.00	71.92
10607	01/06/2009	01/09/2009	607500105	MDVX	2500.0000	2.0500	5,125.00	71.92
10608	03/06/2009	03/11/2009	812224202	SRCH	-2600.0000	1.7700	(4,602.00)	98.09
10609	01/07/2009	01/12/2009	16941J106	CPSL	3000.0000	1.7000	5,100.00	73.20
10610	10/27/2009	10/30/2009	492515101	KERX	2500.0000	2.0594	5,148.50	71.10
10611	07/16/2008	07/21/2008	719358103	PHMD	-10000.0000	0.5834	(5,834.00)	37.02
10612	10/10/2008	10/16/2008	345370860	F	2400.0000	2.3599	5,663.76	45.56
10613	09/18/2009	09/23/2009	720279108	PIR	-2165.0000	3.0301	(6,560.17)	0.76
10614	05/22/2009	05/28/2009	370442105	GM	-3500.0000	1.6400	(5,740.00)	41.78
10615	02/28/2006	03/03/2006	371485103	GNBT	-2500.0000	2.0700	(5,175.00)	70.16
10616	05/16/2007	05/21/2007	92672P108	VWPT	7000.0000	0.7000	4,900.00	83.95
10617	01/05/2006	01/10/2006	338487101	FLMP	6500.0000	0.5900	3,835.00	137.26
10618	01/19/2010	01/22/2010	090621103	BONU	5900.0000	0.6500	3,835.00	137.26
10619	06/05/2006	06/08/2006	131347106	CPNLQ	9000.0000	0.5100	4,590.00	99.68
10620	12/22/2006	12/28/2006	03062Y104	AWNE	2500.0000	2.0800	5,200.00	69.28
10621	10/18/2006	10/23/2006	88409N101	THK	2250.0000	2.7000	6,075.00	25.67
10622	08/12/2010	08/17/2010	82967N108	SIRI	-4400.0000	0.9806	(4,314.64)	113.69
10623	03/09/2009	03/12/2009	313586109	FNM	11914.0000	0.3856	4,594.04	99.74
10624	11/22/2006	11/28/2006	054617105	AXTG	2500.0000	2.0850	5,212.50	68.84
10625	12/10/2008	12/15/2008	47189R104	JAVO	40000.0000	0.1600	6,400.00	9.64
10626	02/23/2009	02/26/2009	172967101	C	2500.0000	2.0900	5,225.00	68.40
10627	12/04/2008	12/09/2008	316773100	FITB	-360.0000	8.0120	(2,884.32)	179.92
10628	08/03/2009	08/06/2009	720279108	PIR	2500.0000	2.1999	5,499.75	55.01
10629	08/03/2009	08/06/2009	720279108	PIR	2500.0000	2.1899	5,474.75	56.26
10630	01/27/2009	01/30/2009	150934503	CTIC	50000.0000	0.1090	5,450.00	57.50
10631	03/18/2009	03/23/2009	026874107	AIG	-3300.0000	1.3200	(4,356.00)	112.20
10632	10/14/2008	10/17/2008	74963Q102	RHY	6000.0000	0.6500	3,900.00	135.00
10633	04/05/2007	04/11/2007	98155N106	WWAT	-5500.0000	0.6900	(3,795.00)	140.25
10634	10/22/2008	10/27/2008	345370860	F	2500.0000	2.1000	5,250.00	67.52
10635	05/05/2009	05/08/2009	19074Y205	CLSC	-31250.0000	0.1232	(3,850.00)	137.67
10636	12/11/2009	12/16/2009	266898105	DUSA	-3700.0000	1.3016	(4,815.92)	89.47
10637	10/12/2007	10/17/2007	05348W109	AVNX	-3000.0000	1.6599	(4,979.70)	81.32
10638	08/27/2009	09/01/2009	313400301	FRE	2500.0000	2.1099	5,274.75	66.64
10639	04/17/2008	04/22/2008	83545R108	SOFO	-4660.0000	0.8268	(3,852.89)	137.75
10640	06/10/2009	06/15/2009	370442105	GMGMQ	2700.0000	1.5900	4,293.00	115.81
10641	04/03/2009	04/08/2009	370442105	GM	2500.0000	2.1193	5,298.25	65.82
10642	02/23/2009	02/26/2009	447011107	HUN	2500.0000	2.1200	5,300.00	65.75
10643	04/01/2009	04/06/2009	82967N108	SIRI	11500.0000	0.3355	3,858.25	137.89
10644	10/25/2007	10/30/2007	465733103	ITNS	9000.0000	0.6000	5,400.00	60.88
10645	08/02/2006	08/07/2006	667280101	NWACQ	-10000.0000	0.5400	(5,400.00)	60.88
10646	10/08/2009	10/14/2009	739308104	PWER	-2400.0000	2.4222	(5,813.28)	40.29
10647	06/03/2009	06/08/2009	305560104	FRP	-3000.0000	1.0000	(3,000.00)	181.20
10648	02/22/2007	02/27/2007	367626108	GTW	2500.0000	2.1368	5,342.00	64.27
10649	01/03/2008	01/08/2008	58447Q101	MRED	200000.0000	0.0247	4,940.00	84.42
10650	02/26/2007	03/01/2007	367626108	GTW	2500.0000	2.1396	5,349.00	64.02
10651	02/22/2007	02/27/2007	367626108	GTW	2500.0000	2.1400	5,350.00	63.99
10652	04/30/2007	05/03/2007	05348W109	AVNX	3000.0000	1.9105	5,731.50	44.93
10653	03/19/2009	03/24/2009	989834106	ZF	2350.0000	2.4380	5,729.30	45.05
10654	12/07/2006	12/12/2006	45768R305	INFN	-3000.0000	1.0083	(3,024.90)	180.37
10655	03/26/2009	03/31/2009	556088105	MIC	2600.0000	1.8499	4,809.74	91.13
10656	03/30/2009	04/02/2009	026874107	AIG	3000.0000	1.0100	3,030.00	180.19
10657	03/29/2010	04/01/2010	630079101	NANX	-3000.0000	1.0100	(3,030.00)	180.19
10658	12/22/2009	12/28/2009	174420109	CRBC	9000.0000	0.6556	5,900.40	36.75
10659	02/13/2006	02/16/2006	549463107	LU	-2295.0000	2.7700	(6,357.15)	13.93

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10660	07/10/2006	07/13/2006	466121101	JDSU	-3000.0000	2.1894	(6,568.20)	3.39
10661	01/05/2009	01/08/2009	607500105	MDVX	2500.0000	2.1500	5,375.00	63.11
10662	05/26/2010	06/01/2010	03077G106	AMEL	5000.0000	1.0000	5,000.00	81.94
10663	05/20/2009	05/26/2009	313400301	FRE	-4800.0000	0.8069	(3,873.12)	138.29
10664	03/13/2007	03/16/2007	009253105	ABEW	20000.0000	0.2500	5,000.00	81.97
10665	05/02/2006	05/05/2006	243778107	DPRK	20000.0000	0.2500	5,000.00	81.97
10666	04/28/2006	05/03/2006	949464101	WRNW	9000.0000	0.5500	4,950.00	84.54
10667	10/07/2008	10/10/2008	721467108	PPC	2750.0000	2.2858	6,285.95	17.88
10668	03/31/2009	04/03/2009	45771T108	IVRVF	2393.0000	2.4608	5,888.69	37.89
10669	10/06/2006	10/12/2006	67060N204	NTRZ	-3857.0000	1.5200	(5,862.64)	39.30
10670	03/30/2007	04/04/2007	971858105	WLON	-5400.0000	0.7185	(3,879.90)	138.48
10671	03/13/2009	03/18/2009	172967101	C	2550.0000	1.8700	4,768.50	94.07
10672	04/20/2009	04/23/2009	313586109	FNM	5000.0000	0.7763	3,881.50	138.53
10673	04/06/2006	04/11/2006	222814204	DVW	2800.0000	2.2999	6,439.72	10.71
10674	12/12/2007	12/17/2007	67106S100	OTD	-15000.0000	0.3626	(5,439.00)	60.84
10675	07/24/2009	07/29/2009	90341W108	LCC	-2242.0000	2.8000	(6,277.60)	18.93
10676	05/15/2007	05/18/2007	90342T104	USFI	7300.0000	0.6377	4,655.21	100.24
10677	12/05/2006	12/08/2006	45768R305	INFN	-3000.0000	1.0400	(3,120.00)	177.18
10678	09/22/2008	09/25/2008	939322830	WM.PRK	-1000.0000	4.6500	(4,650.00)	100.77
10679	12/15/2006	12/20/2006	33938W206	FLXT	-3400.0000	1.0600	(3,604.00)	153.41
10680	04/26/2006	05/01/2006	45768R305	INFN	-5000.0000	0.7790	(3,895.00)	138.89
10681	08/15/2006	08/18/2006	69073F103	OWENQ	3000.0000	1.0500	3,150.00	176.18
10682	10/24/2008	10/29/2008	635405103	NCC	2500.0000	2.2000	5,500.00	58.70
10683	10/10/2008	10/16/2008	345370860	F	2500.0000	2.1999	5,499.75	58.71
10684	08/24/2009	08/27/2009	64190A103	NRO	-2397.0000	2.5001	(5,992.74)	34.07
10685	03/02/2010	03/05/2010	561911108	HYF	-2500.0000	2.2010	(5,502.50)	58.62
10686	11/01/2007	11/06/2007	206710204	CCUR	5000.0000	1.1168	5,584.00	54.80
10687	03/02/2007	03/07/2007	49689D205	KNGS	-65000.0000	0.0600	(3,900.00)	139.03
10688	03/10/2008	03/13/2008	806732103	SCTN	-39000.0000	0.1000	(3,900.00)	139.03
10689	01/04/2007	01/09/2007	806732103	SCTN	-60000.0000	0.0650	(3,900.00)	139.03
10690	10/30/2006	11/02/2006	747906204	DSS	-2500.0000	2.2100	(5,525.00)	57.82
10691	01/13/2006	01/19/2006	80862K104	SCLN	-2500.0000	2.2102	(5,525.50)	57.80
10692	04/27/2009	04/30/2009	920961109	VVR	-2300.0000	2.8400	(6,532.00)	7.56
10693	12/07/2006	12/12/2006	206710204	CCUR	3000.0000	1.9814	5,944.20	36.99
10694	03/26/2009	03/31/2009	55608B105	MIC	2600.0000	1.9099	4,965.74	85.91
10695	08/31/2009	09/03/2009	26884K101	ERFW	-19000.0000	0.2879	(5,470.10)	60.81
10696	08/11/2008	08/14/2008	82967N108	SIRI	4000.0000	1.4000	5,600.00	54.34
10697	03/03/2010	03/08/2010	472100106	JYHW	-3873.0000	1.0850	(4,202.21)	124.23
10698	06/21/2007	06/26/2007	26152H103	DROOY	-6000.0000	0.7314	(4,388.40)	115.12
10699	12/01/2009	12/04/2009	00764X103	ANX	50000.0000	0.1010	5,050.00	82.09
10700	05/01/2009	05/06/2009	30024B104	EEE	-3000.0000	1.0704	(3,211.20)	174.13
10701	04/09/2010	04/14/2010	411307101	HNSN	-2500.0000	2.2287	(5,571.75)	56.17
10702	07/07/2009	07/10/2009	90341W108	LCC	2500.0000	2.2297	5,574.25	56.09
10703	12/10/2008	12/15/2008	313586109	FNM	7100.0000	0.7122	5,056.62	82.11
10704	12/10/2008	12/15/2008	313586109	FNM	7100.0000	0.7122	5,056.62	82.11
10705	03/30/2009	04/02/2009	05348W307	AVNX	-2640.0000	1.6917	(4,466.09)	111.70
10706	06/23/2010	06/28/2010	767754104	RAD	3000.0000	1.0799	3,239.70	173.18
10707	09/17/2008	09/22/2008	026874107	AIG	2500.0000	2.2399	5,599.75	55.18
10708	09/13/2007	09/18/2007	516548104	LTRX	3000.0000	1.0800	3,240.00	173.17
10709	10/15/2007	10/18/2007	206710204	CCUR	4063.0000	1.3625	5,535.84	58.40
10710	04/30/2009	05/05/2009	026874107	AIG	4000.0000	1.4200	5,680.00	51.21
10711	11/13/2009	11/18/2009	172407108	CIDM	-4000.0000	1.4200	(5,680.00)	51.21
10712	01/11/2007	01/17/2007	14067D102	CPST	-5000.0000	1.0700	(5,350.00)	67.79
10713	01/24/2006	01/27/2006	69901U108	POGI	-15000.0000	0.2933	(4,399.50)	115.34
10714	01/13/2006	01/19/2006	33938W206	FLXT	2700.0000	1.7000	4,590.00	105.87
10715	11/15/2006	11/20/2006	549463107	LU	-2398.0000	2.5500	(6,114.90)	29.73
10716	03/14/2007	03/19/2007	89784T101	TNEN	-2500.0000	2.2500	(5,625.00)	54.29

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10717	07/29/2008	08/01/2008	62957N102	NCENE	4000.0000	0.9800	3,920.00	139.57
10718	03/13/2006	03/16/2006	16117M107	CHTR	-4000.0000	0.9800	(3,920.00)	139.57
10719	08/13/2007	08/16/2007	84650T103	SPBU	-4900.0000	0.8000	(3,920.00)	139.57
10720	09/09/2008	09/12/2008	767754104	RAD	3000.0000	1.0888	3,266.40	172.28
10721	11/21/2008	11/26/2008	64190A103	NRO	3000.0000	1.0899	3,269.70	172.18
10722	09/18/2006	09/21/2006	69073F103	OWENQ	-3000.0000	1.0950	(3,285.00)	171.66
10723	04/13/2009	04/16/2009	19247L106	RQI	-2300.0000	2.8986	(6,666.78)	2.81
10724	05/31/2007	06/05/2007	190643106	COTE	-3000.0000	1.1000	(3,300.00)	171.16
10725	12/01/2008	12/04/2008	313586109	FNM	-3000.0000	1.1005	(3,301.50)	171.11
10726	09/18/2008	09/23/2008	026874107	AIG	2500.0000	2.2699	5,674.75	52.54
10727	09/27/2010	09/30/2010	665141107	NXP	300000.0000	0.0200	6,000.00	36.34
10728	03/09/2006	03/14/2006	706552106	PMTR	2500.0000	2.2720	5,680.00	52.35
10729	04/04/2006	04/07/2006	023434103	AANI	-3000.0000	1.1050	(3,315.00)	170.66
10730	05/11/2009	05/14/2009	358434108	FBR	10000.0000	0.5085	5,085.00	82.17
10731	03/05/2010	03/10/2010	37246C109	GNVC	2280.0000	2.9100	6,634.80	4.80
10732	12/18/2009	12/23/2009	225646108	CSNT	-6900.0000	0.5700	(3,933.00)	139.92
10733	10/06/2008	10/09/2008	721467108	PPC	2500.0000	2.2800	5,700.00	51.65
10734	06/30/2009	07/06/2009	370442105	GMGMQ	-3000.0000	1.1100	(3,330.00)	170.15
10735	09/18/2007	09/21/2007	97750V109	WIZD	2400.0000	2.5917	6,220.08	25.95
10736	06/30/2008	07/03/2008	767754104	RAD	3600.0000	1.6500	5,940.00	40.04
10737	08/04/2010	08/09/2010	585013105	MZEI	15000.0000	0.2950	4,425.00	115.84
10738	11/20/2006	11/24/2006	247361108	DALRQ	-3500.0000	1.3500	(4,725.00)	100.85
10739	01/30/2006	02/02/2006	91379U105	UPDA	-10000.0000	0.3940	(3,940.00)	140.11
10740	05/12/2009	05/15/2009	370442105	GM	-3000.0000	1.1200	(3,360.00)	169.15
10741	03/19/2009	03/24/2009	720279108	PIR	25000.0000	0.1577	3,942.50	140.18
10742	02/21/2006	02/24/2006	69073F103	OWENQ	-3000.0000	1.9300	(5,790.00)	47.87
10743	10/02/2009	10/07/2009	492515101	KERX	2500.0000	2.3000	5,750.00	49.89
10744	07/02/2010	07/08/2010	16941J106	CPSL	3720.0000	1.3195	4,908.54	91.99
10745	02/28/2007	03/05/2007	29275M108	EYTC	-18785.0000	0.2100	(3,944.85)	140.25
10746	10/10/2008	10/16/2008	82967N108	SIRI	10000.0000	0.3949	3,949.00	140.36
10747	04/27/2006	05/02/2006	12284P106	BRST	-3500.0000	1.8600	(6,510.00)	12.36
10748	11/25/2009	12/01/2009	830695102	SKNY	39500.0000	0.1000	3,950.00	140.38
10749	10/29/2009	11/03/2009	837841105	TSFG	5000.0000	0.7900	3,950.00	140.38
10750	03/08/2007	03/13/2007	130501109	COGC	5000.0000	0.7900	3,950.00	140.38
10751	11/24/2008	11/28/2008	01903Q108	ALD	2500.0000	2.3200	5,800.00	48.12
10752	07/23/2008	07/28/2008	786449108	SFE	-2920.0000	1.1712	(3,419.90)	167.13
10753	07/23/2010	07/28/2010	343389102	FTK	-3000.0000	1.1401	(3,420.30)	167.14
10754	01/28/2010	02/02/2010	943526301	WAVX	2500.0000	2.3228	5,807.00	47.88
10755	10/10/2008	10/16/2008	345370860	F	2500.0000	2.3299	5,824.75	47.25
10756	07/17/2007	07/20/2007	34512E109	FTRS	5000.0000	1.1400	5,700.00	53.64
10757	07/17/2007	07/20/2007	34512E109	FTRS	5000.0000	1.1400	5,700.00	53.64
10758	11/20/2008	11/25/2008	345370860	F	3000.0000	1.1500	3,450.00	166.14
10759	02/03/2006	02/08/2006	549463107	LU	-2325.0000	2.6900	(6,254.25)	25.94
10760	01/05/2006	01/10/2006	049375108	ALMI	4000.0000	0.9900	3,960.00	140.66
10761	03/28/2007	04/02/2007	282914100	EGHT	2900.0000	1.4500	4,205.00	128.51
10762	08/05/2009	08/10/2009	64190A103	NRO	-2409.0000	2.4500	(5,902.05)	43.73
10763	11/21/2008	11/26/2008	60886R103	MNC	4500.0000	0.8805	3,962.25	140.72
10764	01/13/2010	01/19/2010	90333L102	RMIX	5000.0000	1.0400	5,200.00	78.99
10765	03/31/2009	04/03/2009	01903Q108	ALD	-3630.0000	1.5242	(5,532.85)	62.44
10766	03/21/2007	03/26/2007	131347106	CPNLQ	-3500.0000	1.8900	(6,615.00)	8.35
10767	09/29/2008	10/02/2008	516012101	LCI	-2500.0000	2.3469	(5,867.25)	45.75
10768	03/13/2006	03/16/2006	04621M102	ASAM	-5000.0000	1.1500	(5,750.00)	51.62
10769	02/01/2008	02/06/2008	29256X107	ENCY	-5000.0000	0.7932	(3,966.00)	140.82
10770	03/05/2009	03/10/2009	87161C105	SNV	2500.0000	2.6000	6,500.00	14.13
10771	12/11/2008	12/16/2008	45928H106	ICO	2500.0000	2.3480	5,870.00	45.66
10772	08/14/2009	08/19/2009	989834106	ZF	2250.0000	2.9899	6,727.28	2.89
10773	10/08/2009	10/14/2009	009720103	AKNS	-3000.0000	1.1627	(3,488.10)	164.87

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
10774	08/03/2006	08/08/2006	549463107	LU	-2573.0000	2.0701	(5,326.37)	73.02
10775	03/26/2010	03/31/2010	337930101	FBC	11000.0000	0.5517	6,068.70	36.06
10776	12/07/2006	12/12/2006	901167106	TWTR	2500.0000	2.3600	5,900.00	44.60
10777	02/23/2009	02/26/2009	446150104	HBAN	-3000.0000	1.1701	(3,510.30)	164.13
10778	03/16/2007	03/21/2007	827692104	SDRG	3000.0000	2.1700	6,510.00	14.50
10779	08/23/2006	08/28/2006	37229P507	GTOP	2500.0000	2.5088	6,272.00	26.40
10780	07/14/2006	07/19/2006	05348W109	AVNX	-4000.0000	1.4550	(5,820.00)	49.00
10781	04/12/2010	04/15/2010	85857R105	STEM	-5000.0000	1.1600	(5,800.00)	50.00
10782	12/27/2007	01/02/2008	37229P507	GTOP	-5000.0000	0.8000	(4,000.00)	140.00
10783	08/31/2009	09/03/2009	02503Y103	ACAS	-2422.0000	2.4300	(5,885.46)	45.86
10784	04/16/2009	04/21/2009	720279108	PIR	-4200.0000	1.2202	(5,124.84)	83.90
10785	02/22/2007	02/27/2007	37245M207	GNTA	10000.0000	0.5591	5,591.00	60.71
10786	06/04/2010	06/09/2010	561911108	HYF	-3000.0000	2.0105	(6,031.50)	39.00
10787	10/21/2009	10/26/2009	027263102	AMLM	2500.0000	2.3900	5,975.00	41.95
10788	10/21/2009	10/26/2009	027263102	AMLM	2500.0000	2.3900	5,975.00	41.95
10789	09/18/2008	09/23/2008	014485106	AFN	5700.0000	0.7000	3,990.00	141.47
10790	05/07/2008	05/12/2008	83001P109	SIX	-2500.0000	2.4000	(6,000.00)	41.07
10791	04/06/2006	04/11/2006	093698108	BDR	-2500.0000	2.4000	(6,000.00)	41.07
10792	09/23/2009	09/28/2009	37245M603	GETA	5000.0000	1.1910	5,955.00	43.34
10793	04/14/2010	04/19/2010	023139108	ABK	2700.0000	1.8280	4,935.60	94.31
10794	05/13/2009	05/18/2009	865378103	SUF	3000.0000	1.2000	3,600.00	161.12
10795	10/10/2006	10/13/2006	00253U107	ASTM	-3000.0000	1.2000	(3,600.00)	161.12
10796	07/17/2007	07/20/2007	42805E108	HSKA	2350.0000	2.7100	6,368.50	22.71
10797	08/14/2006	08/17/2006	69561N204	PAYD	8500.0000	0.4700	3,995.00	141.61
10798	06/08/2006	06/13/2006	549463107	LU	-2500.0000	2.4101	(6,025.25)	40.18
10799	08/11/2009	08/14/2009	68555P100	ORBC	3200.0000	2.0700	6,624.00	10.41
10800	04/19/2010	04/22/2010	08901P206	BGBR	3000.0000	1.2100	3,630.00	160.12
10801	01/20/2010	01/25/2010	90333L102	RMIX	5000.0000	1.0966	5,483.00	67.59
10802	01/06/2010	01/11/2010	229393301	CYRV	-10000.0000	0.4000	(4,000.00)	141.74
10803	06/02/2009	06/05/2009	238084107	DTMG	-10000.0000	0.0400	(4,000.00)	141.74
10804	03/03/2008	03/06/2008	806732103	SCTN	-40000.0000	0.1000	(4,000.00)	141.74
10805	06/28/2006	07/03/2006	380709105	GDRHA	20000.0000	0.2000	4,000.00	141.74
10806	07/20/2007	07/25/2007	71879Q101	PHLC	100000.0000	0.0400	4,000.00	141.74
10807	10/06/2008	10/09/2008	27922X105	ESPH	10000.0000	0.4000	4,000.00	141.74
10808	05/26/2010	06/01/2010	16944A102	CRJI	20000.0000	0.2000	4,000.00	141.74
10809	12/14/2006	12/19/2006	456039106	IBTY	10000.0000	0.4000	4,000.00	141.74
10810	11/28/2008	12/03/2008	83426W101	SOLM	500000.0000	0.0080	4,000.00	141.74
10811	04/28/2006	05/03/2006	353879109	FMNJ	-50000.0000	0.0800	(4,000.00)	141.74
10812	10/28/2009	11/02/2009	14888U101	CPRX	5000.0000	0.8000	4,000.00	141.74
10813	04/18/2006	04/21/2006	45768R305	INFN	-5000.0000	0.8000	(4,000.00)	141.74
10814	05/18/2006	05/23/2006	30266R106	FLIP	50000.0000	0.0800	4,000.00	141.74
10815	08/07/2006	08/10/2006	928628106	VOII	-10000.0000	0.4000	(4,000.00)	141.74
10816	04/06/2010	04/09/2010	701354102	PRKR	2000.0000	1.9399	3,879.80	147.75
10817	07/12/2007	07/17/2007	23316N100	DDCI	-15000.0000	0.2667	(4,000.50)	141.76
10818	09/16/2008	09/19/2008	97750V109	WZE	3000.0000	1.2139	3,641.70	159.73
10819	04/20/2009	04/23/2009	195493309	CNB	4500.0000	0.8896	4,003.20	141.83
10820	12/23/2008	12/29/2008	721467108	PGPDQ	10000.0000	0.6700	6,700.00	7.12
10821	01/11/2007	01/17/2007	45768R305	IMEN	-5000.0000	0.8010	(4,005.00)	141.88
10822	03/25/2010	03/30/2010	269246104	ETFC	-4000.0000	1.5800	(6,320.00)	26.29
10823	09/07/2006	09/12/2006	928628106	VOII	-10000.0000	0.4500	(4,500.00)	117.30
10824	12/11/2008	12/16/2008	45928H106	ICO	2500.0000	2.4400	6,100.00	37.55
10825	08/21/2009	08/26/2009	313586109	FNM	5000.0000	1.2500	6,250.00	30.12
10826	02/13/2007	02/16/2007	23282B106	CYCR	6000.0000	0.6690	4,014.00	142.12
10827	05/29/2009	06/03/2009	370442105	GM	-5000.0000	0.8028	(4,014.00)	142.12
10828	03/14/2008	03/19/2008	68375N103	OPK	2500.0000	2.4496	6,124.00	36.70
10829	12/11/2008	12/16/2008	45928H106	ICO	2500.0000	2.4500	6,125.00	36.66
10830	04/10/2006	04/13/2006	284859105	EAQU	-2500.0000	2.4500	(6,125.00)	36.66

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10831	12/12/2008	12/17/2008	45928H106	ICO	2500.0000	2.4499	6,124.75	36.67
10832	04/22/2010	04/27/2010	872449103	TIBB	-5000.0000	0.8500	(4,250.00)	130.48
10833	09/24/2007	09/27/2007	86126T203	STKR	-3000.0000	1.2400	(3,720.00)	157.10
10834	02/10/2006	02/15/2006	816074405	SELA	-2407.0000	2.5800	(6,210.06)	32.66
10835	09/29/2006	10/04/2006	247361108	DALRQ	4405.0000	1.4100	6,211.05	32.65
10836	01/21/2009	01/26/2009	336453105	FSNM	5000.0000	1.2367	6,183.50	34.11
10837	10/11/2006	10/16/2006	62544X100	MBND	-6450.0000	0.7455	(4,808.48)	103.09
10838	01/31/2006	02/03/2006	45667P103	INNX	3000.0000	1.2500	3,750.00	156.10
10839	07/29/2008	08/01/2008	073677106	BCON	3000.0000	1.2500	3,750.00	156.10
10840	12/07/2009	12/10/2009	150934503	CTIC	3000.0000	1.2500	3,750.00	156.10
10841	08/10/2010	08/13/2010	11161T108	BWEN	-2500.0000	2.4710	(6,177.50)	34.82
10842	10/29/2008	11/03/2008	026874107	AIG	4000.0000	1.6199	6,479.60	20.08
10843	02/27/2008	03/03/2008	806732103	SCTN	-40300.0000	0.1000	(4,030.00)	142.56
10844	09/21/2009	09/24/2009	82932T107	SITO	-8000.0000	0.5038	(4,030.40)	142.57
10845	08/09/2010	08/12/2010	051057107	AUGT	-3000.0000	1.2600	(3,780.00)	155.10
10846	04/10/2008	04/15/2008	885218107	TMA	-3000.0000	1.2600	(3,780.00)	155.10
10847	04/16/2009	04/21/2009	720279108	PIR	-3000.0000	1.2602	(3,780.60)	155.08
10848	04/16/2009	04/21/2009	720279108	PIR	-3000.0000	1.2602	(3,780.60)	155.08
10849	12/02/2008	12/05/2008	345370860	F	2400.0000	2.7967	6,712.08	8.60
10850	04/08/2009	04/14/2009	37247D106	GNW	2500.0000	2.4888	6,222.00	33.24
10851	03/19/2009	03/24/2009	345370860	F	2500.0000	2.4891	6,222.75	33.22
10852	06/11/2010	06/16/2010	03077G106	AMEL	10000.0000	0.5675	5,675.00	60.64
10853	01/26/2009	01/29/2009	026874107	AIG	3000.0000	1.2700	3,810.00	154.09
10854	10/01/2009	10/06/2009	150837409	CVM	2800.0000	1.6799	4,703.72	109.43
10855	03/18/2009	03/23/2009	345370860	F	2500.0000	2.5000	6,250.00	32.26
10856	07/24/2009	07/29/2009	749941100	RFMD	-2500.0000	2.5000	(6,250.00)	32.26
10857	08/28/2009	09/02/2009	132618109	CAFI	-2444.0000	2.5000	(6,110.00)	39.26
10858	06/12/2006	06/15/2006	91359V107	UVIH	2800.0000	1.6829	4,712.12	109.15
10859	08/09/2010	08/12/2010	11161T108	BWEN	-2500.0000	2.5008	(6,252.00)	32.19
10860	04/02/2007	04/05/2007	282914100	EGHT	2905.0000	1.4500	4,212.25	134.18
10861	05/07/2009	05/12/2009	462044108	IO	-2500.0000	2.5040	(6,260.00)	31.90
10862	11/24/2008	11/28/2008	563771104	MTEX	-2613.0000	2.0238	(5,288.19)	80.49
10863	12/07/2006	12/12/2006	131347106	CPNLQ	-4100.0000	1.0100	(4,141.00)	137.89
10864	11/06/2007	11/09/2007	14067D102	CPST	3000.0000	1.2800	3,840.00	153.09
10865	03/04/2009	03/09/2009	026874107	AIG	10000.0000	0.4280	4,280.00	131.18
10866	09/21/2007	09/26/2007	206710204	CCUR	-3000.0000	1.1849	(3,554.70)	167.57
10867	03/22/2010	03/25/2010	82967N108	SIRI	-5680.0000	0.8000	(4,544.00)	118.15
10868	04/15/2010	04/20/2010	859319105	STSA	5000.0000	0.8095	4,047.50	143.04
10869	12/14/2009	12/17/2009	371485103	GNBT	10000.0000	0.6200	6,200.00	35.51
10870	04/17/2008	04/22/2008	357288109	FMNT	25000.0000	0.1620	4,050.00	143.10
10871	01/24/2007	01/29/2007	380961102	MYNG	500000.0000	0.0081	4,050.00	143.10
10872	09/13/2007	09/18/2007	284683109	EDEX	300000.0000	0.0190	5,700.00	60.62
10873	05/22/2007	05/25/2007	302311105	EZEN	-3000.0000	1.2933	(3,879.90)	151.76
10874	05/18/2010	05/21/2010	87356M108	TADF	130000.0000	0.0350	4,550.00	118.26
10875	04/11/2006	04/17/2006	378970107	GBVS	5000.0000	1.2900	6,450.00	23.33
10876	10/10/2008	10/16/2008	98912M201	ZAAP	-12246.0000	0.4300	(5,265.78)	82.61
10877	11/17/2009	11/20/2009	37250U201	GMET	-3000.0000	1.3000	(3,900.00)	151.08
10878	09/23/2009	09/28/2009	871630109	SYNM	-2400.0000	2.8501	(6,840.24)	4.08
10879	08/29/2006	09/01/2006	549463107	LU	-2529.0000	2.2700	(5,740.83)	59.07
10880	07/21/2010	07/26/2010	286202205	ETAK	3104.0000	1.7870	5,546.85	68.77
10881	07/14/2008	07/17/2008	97750V109	WZE	-4000.0000	1.1701	(4,680.40)	112.16
10882	04/11/2006	04/17/2006	378970107	GBVS	5000.0000	1.3000	6,500.00	21.31
10883	11/02/2006	11/07/2006	978093102	WLV	-3850.0000	1.3404	(5,160.54)	88.30
10884	09/12/2007	09/17/2007	69366L205	PSPJ	-19000.0000	0.2500	(4,750.00)	108.84
10885	03/30/2007	04/04/2007	190643106	COTE	-11600.0000	0.3500	(4,060.00)	143.37
10886	06/05/2008	06/10/2008	09058V103	BCRX	-2400.0000	2.8600	(6,864.00)	3.24
10887	10/07/2009	10/13/2009	76028H100	RPRX	-2625.0000	2.0210	(5,305.13)	81.21

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10888	01/30/2009	02/04/2009	137801106	CFW	6000.0000	0.6770	4,062.00	143.43
10889	01/29/2008	02/01/2008	806732103	SCTN	-62500.0000	0.0650	(4,062.50)	143.45
10890	06/18/2010	06/23/2010	11161T108	BWEN	2500.0000	2.5498	6,374.50	27.87
10891	11/12/2008	11/17/2008	466090107	JASO	2500.0000	2.5500	6,375.00	27.85
10892	05/13/2009	05/18/2009	026874107	AIG	-4000.0000	1.6800	(6,720.00)	10.72
10893	09/15/2008	09/18/2008	04349Y107	ASDS	-10000.0000	0.4900	(4,900.00)	101.80
10894	05/07/2009	05/12/2009	235825205	DAN	-3000.0000	1.7101	(5,130.30)	90.35
10895	06/17/2010	06/22/2010	11161T108	BWEN	2500.0000	2.5574	6,393.50	27.20
10896	02/11/2009	02/17/2009	52729N100	LVLTL	3075.0000	1.1300	3,474.75	173.15
10897	02/11/2009	02/17/2009	52729N100	LVLTL	3075.0000	1.1300	3,474.75	173.15
10898	03/25/2009	03/30/2009	91274F104	YSI	-2700.0000	2.0001	(5,400.27)	76.97
10899	03/03/2008	03/06/2008	00167K500	ADL	-2350.0000	2.8801	(6,768.24)	8.74
10900	12/11/2006	12/14/2006	607500105	MDVX	4000.0000	1.7000	6,800.00	7.60
10901	11/07/2008	11/13/2008	345203202	FRPT	2500.0000	2.5790	6,447.50	25.30
10902	07/21/2006	07/26/2006	23126R101	CRGN	2400.0000	2.8963	6,951.12	0.16
10903	10/01/2009	10/06/2009	35907K105	FTBK	-3105.0000	1.0300	(3,198.15)	187.90
10904	11/18/2008	11/21/2008	488360108	KEM	12000.0000	0.3800	4,560.00	120.00
10905	12/04/2009	12/09/2009	64190A103	NRO	-2395.0000	2.9047	(6,956.76)	0.18
10906	05/21/2009	05/27/2009	42327Y103	HMH	-5050.0000	0.8084	(4,082.42)	143.98
10907	02/09/2006	02/14/2006	247361108	DALRQ	-9750.0000	0.5900	(5,752.50)	60.58
10908	09/23/2008	09/26/2008	313586109	FNM	3000.0000	1.3430	4,029.00	146.76
10909	11/11/2008	11/14/2008	384871109	GKK	5000.0000	1.3400	6,700.00	13.22
10910	10/04/2007	10/10/2007	05348W109	AVNX	3000.0000	1.8761	5,628.30	66.89
10911	08/10/2006	08/15/2006	91729G301	UDW	6200.0000	0.7398	4,586.76	118.98
10912	06/05/2009	06/10/2009	026874107	AIG	4000.0000	1.7200	6,880.00	4.48
10913	11/14/2008	11/19/2008	31787A101	FNSR	-8500.0000	0.4812	(4,090.20)	144.19
10914	04/07/2006	04/12/2006	004920104	APII	-2575.0000	2.3155	(5,962.41)	50.62
10915	09/08/2008	09/11/2008	74963H102	RSF	-3033.0000	1.1701	(3,548.91)	171.41
10916	03/04/2010	03/09/2010	74765E109	QTTWW	-5300.0000	0.7723	(4,093.19)	144.27
10917	03/31/2009	04/03/2009	607500105	MDVX	2700.0000	2.0500	5,535.00	72.22
10918	06/17/2009	06/22/2009	767754104	RAD	3000.0000	1.3597	4,079.10	145.09
10919	05/06/2009	05/11/2009	150934503	CTIC	-4000.0000	1.2300	(4,920.00)	103.34
10920	07/18/2007	07/23/2007	20461S108	CPTC	4000.0000	1.7400	6,960.00	1.37
10921	11/25/2009	12/01/2009	125581108	CITGQ	25000.0000	0.1640	4,100.00	144.46
10922	05/05/2008	05/08/2008	830695102	SKNY	-10000.0000	0.4100	(4,100.00)	144.46
10923	11/20/2009	11/25/2009	817208408	SNT	10000.0000	0.4100	4,100.00	144.46
10924	11/29/2006	12/04/2006	38168B103	GTA	-4000.0000	1.2500	(5,000.00)	99.54
10925	11/29/2006	12/04/2006	38168B103	GTA	-4000.0000	1.2500	(5,000.00)	99.54
10926	08/04/2006	08/09/2006	00178W105	AMM	5700.0000	0.7200	4,104.00	144.57
10927	08/25/2009	08/28/2009	14052H506	CT	2500.0000	2.6399	6,599.75	19.92
10928	02/01/2010	02/04/2010	988858106	ZLC	-2633.0000	2.0800	(5,476.64)	76.09
10929	10/23/2008	10/28/2008	52729N100	LVLTL	10000.0000	0.6297	6,297.00	35.11
10930	11/02/2006	11/07/2006	464478106	IPIN	69800.0000	0.1000	6,980.00	1.00
10931	07/24/2007	07/27/2007	640518106	NEOP	14000.0000	0.4950	6,930.00	3.50
10932	03/11/2008	03/14/2008	781748108	RURL	2500.0000	2.7260	6,815.00	9.25
10933	11/07/2007	11/13/2007	131910101	CAMH	5000.0000	1.3200	6,600.00	20.00
10934	10/28/2010	11/02/2010	45773S108	INCT	10000.0000	0.6000	6,000.00	50.00
10935	07/11/2007	07/16/2007	099469504	WEL	-3500.0000	1.6904	(5,916.40)	54.18
10936	12/05/2006	12/08/2006	005015102	AGNM	-14000.0000	0.4179	(5,850.60)	57.47
10937	05/18/2007	05/23/2007	05348W109	AVNX	-4000.0000	1.4025	(5,610.00)	69.50
10938	12/03/2008	12/08/2008	86272T106	BEE	5000.0000	0.9700	4,850.00	107.50
10939	05/30/2006	06/02/2006	032165102	ASO	-100.0000	27.1000	(2,710.00)	200.00
10940	02/24/2009	02/27/2009	501014104	KKD	3000.0000	1.3799	4,139.70	143.06
10941	06/30/2009	07/06/2009	19105E103	CGCA	-10000.0000	0.5700	(5,700.00)	65.26
10942	04/20/2006	04/25/2006	26884J104	ERHE	-5000.0000	0.8700	(4,350.00)	132.81
10943	01/06/2006	01/11/2006	44934S107	ICAD	5000.0000	1.3850	6,925.00	4.13
10944	11/07/2006	11/10/2006	367626108	GTW	2850.0000	1.6300	4,645.50	118.23

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
10945	08/17/2006	08/22/2006	549463107	LU	-3000.0000	2.2601	(6,780.30)	11.49
10946	03/03/2010	03/08/2010	82967N108	SIRI	-6000.0000	0.9671	(5,802.60)	60.53
10947	05/22/2007	05/25/2007	43738R109	HMB	3800.0000	1.8071	6,866.98	7.42
10948	12/16/2008	12/19/2008	09065G107	BFRM	-4500.0000	0.9154	(4,119.30)	144.99
10949	08/18/2006	08/23/2006	09061B102	BSLM	-30000.0000	0.2170	(6,510.00)	25.50
10950	07/02/2009	07/08/2009	767754104	RAD	-5000.0000	1.4002	(7,001.00)	1.06
10951	05/02/2008	05/07/2008	82966U103	SIRI	-2500.0000	2.6800	(6,700.00)	16.39
10952	07/21/2010	07/26/2010	45248F103	IMGG	15000.0000	0.2750	4,125.00	145.14
10953	12/30/2008	01/05/2009	64190A103	NRO	-2818.0000	1.6601	(4,678.16)	117.62
10954	09/05/2007	09/10/2007	516548104	LTRX	3000.0000	1.4100	4,230.00	140.04
10955	02/10/2010	02/16/2010	150934503	CTIC	-5600.0000	0.7370	(4,127.20)	145.20
10956	10/27/2008	10/30/2008	026874107	AIG	3000.0000	1.4200	4,260.00	139.03
10957	03/10/2009	03/13/2009	172967101	C	3000.0000	1.4200	4,260.00	139.03
10958	11/10/2008	11/14/2008	52729N100	LVL	4885.0000	0.9500	4,640.75	120.03
10959	04/06/2006	04/11/2006	222814204	DVW	3000.0000	2.2999	6,899.70	7.10
10960	09/17/2008	09/22/2008	026874107	AIG	2500.0000	2.7000	6,750.00	14.63
10961	10/23/2008	10/28/2008	82967N108	SIRI	15000.0000	0.2757	4,135.50	145.43
10962	04/22/2009	04/27/2009	370442105	GM	2814.0000	1.6892	4,753.41	114.70
10963	03/16/2009	03/19/2009	228834107	KRU	25000.0000	0.2000	5,000.00	102.72
10964	08/11/2009	08/14/2009	76028H100	RPRX	3867.0000	1.1800	4,563.06	124.70
10965	12/31/2008	01/06/2009	247126105	DPHIQ	160000.0000	0.0259	4,144.00	145.65
10966	02/16/2006	02/22/2006	976524108	WTT	-2500.0000	2.7200	(6,800.00)	12.87
10967	09/04/2007	09/07/2007	922515200	VGPM	60000.0000	0.0900	5,400.00	82.94
10968	03/10/2009	03/13/2009	172967101	C	3000.0000	1.4400	4,320.00	137.03
10969	11/27/2006	11/30/2006	247361108	DALRQ	-3100.0000	1.2500	(3,875.00)	159.29
10970	06/13/2006	06/16/2006	536778103	LTWV	35320.0000	0.1500	5,298.00	88.30
10971	09/27/2006	10/02/2006	549463107	LU	-3000.0000	2.3301	(6,990.30)	3.77
10972	06/21/2010	06/24/2010	11161T108	BWEN	2500.0000	2.7494	6,873.50	10.28
10973	04/18/2007	04/23/2007	607500105	MDVX	-3000.0000	1.4601	(4,380.30)	135.01
10974	09/26/2007	10/01/2007	008920100	AITX	-13000.0000	0.3200	(4,160.00)	146.09
10975	02/16/2007	02/22/2007	92846M205	PRH	-4000.0000	1.3470	(5,388.00)	84.72
10976	09/29/2008	10/02/2008	026874107	AIG	-2500.0000	2.7601	(6,900.25)	9.33
10977	11/13/2009	11/18/2009	591556105	MTIZ	-1000000.0000	0.0059	(5,900.00)	59.36
10978	08/20/2009	08/25/2009	591556105	MTIZ	-1000000.0000	0.0041	(4,163.50)	146.19
10979	08/15/2008	08/20/2008	82967N108	SIRI	3000.0000	1.4700	4,410.00	134.01
10980	09/17/2009	09/22/2009	290846104	EMKR	3000.0000	1.4700	4,410.00	134.01
10981	08/02/2007	08/07/2007	206710204	CCUR	-3000.0000	1.3718	(4,115.40)	148.83
10982	07/31/2006	08/03/2006	00178W105	AMM	8000.0000	0.7100	5,680.00	70.79
10983	04/01/2010	04/07/2010	455688101	IDGG	-78000.0000	0.0600	(4,680.00)	120.80
10984	08/19/2008	08/22/2008	960568103	WSTN	-695000.0000	0.0060	(4,170.00)	146.36
10985	03/08/2006	03/13/2006	26841Y103	EDIG	32000.0000	0.1700	5,440.00	83.03
10986	02/22/2006	02/27/2006	976524108	WTT	-2500.0000	2.7800	(6,950.00)	7.58
10987	04/12/2010	04/15/2010	26884J104	ERHE	-11250.0000	0.6000	(6,750.00)	17.95
10988	06/30/2009	07/06/2009	767754104	RAD	3000.0000	1.4897	4,469.10	132.04
10989	02/04/2009	02/09/2009	044103109	AHT	-3000.0000	1.4912	(4,473.60)	131.89
10990	03/25/2009	03/30/2009	172967101	C	-2500.0000	2.8000	(7,000.00)	5.81
10991	03/03/2010	03/08/2010	86272T106	BEE	2500.0000	2.8000	7,000.00	5.81
10992	03/09/2009	03/12/2009	530158104	USA	-2604.0000	2.3420	(6,098.57)	50.94
10993	10/01/2009	10/06/2009	023139108	ABK	-3000.0000	1.5000	(4,500.00)	131.00
10994	02/09/2006	02/14/2006	55274R103	MEMS	-3000.0000	1.5000	(4,500.00)	131.00
10995	03/02/2010	03/05/2010	86272T106	BEE	2500.0000	2.8099	7,024.75	4.94
10996	03/17/2008	03/20/2008	26881P103	EPMD	-3000.0000	1.5087	(4,526.10)	130.13
10997	01/20/2006	01/25/2006	87929J103	TSYS	2800.0000	2.1230	5,944.40	59.22
10998	11/20/2008	11/25/2008	345203202	FRPT	2500.0000	2.8205	7,051.25	4.01
10999	02/22/2010	02/25/2010	210502100	CPSS	-4000.0000	1.4000	(5,600.00)	76.62
11000	01/30/2008	02/04/2008	20461S108	CPTC	-4000.0000	1.4000	(5,600.00)	76.62
11001	03/14/2007	03/19/2007	125750109	CMGI	-2890.0000	1.7202	(4,971.38)	108.13

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11002	11/13/2008	11/18/2008	370442105	GM	-2436.0000	2.8300	(6,893.88)	12.22
11003	08/09/2007	08/14/2007	607500105	MDVX	2900.0000	1.7500	5,075.00	103.18
11004	06/30/2009	07/06/2009	767754104	RAD	3000.0000	1.5200	4,560.00	128.99
11005	02/11/2008	02/14/2008	806732103	SCTN	-35000.0000	0.1200	(4,200.00)	147.18
11006	07/23/2009	07/28/2009	090621103	BONU	15000.0000	0.2800	4,200.00	147.18
11007	10/18/2006	10/23/2006	834376105	SOLUQ	-10000.0000	0.4200	(4,200.00)	147.18
11008	04/13/2009	04/16/2009	720279108	PIR	5000.0000	0.8400	4,200.00	147.18
11009	01/13/2009	01/16/2009	247126105	DPHIQ	-60000.0000	0.0700	(4,200.00)	147.18
11010	09/03/2008	09/08/2008	607500105	MDVX	3000.0000	1.5250	4,575.00	128.49
11011	01/22/2010	01/27/2010	472100106	JYHW	3000.0000	1.5258	4,577.40	128.41
11012	03/30/2009	04/02/2009	172967101	C	-2700.0000	2.2600	(6,102.00)	52.23
11013	03/27/2006	03/30/2006	37941L206	GAXC	-5000.0000	1.0000	(5,000.00)	107.47
11014	06/10/2009	06/15/2009	370442105	GMGMQ	-3000.0000	1.5300	(4,590.00)	127.99
11015	05/15/2006	05/18/2006	46612J101	JDSU	-2500.0000	2.7270	(6,817.50)	16.63
11016	08/03/2007	08/08/2007	206710204	CCUR	3000.0000	1.6692	5,007.60	107.22
11017	12/21/2009	12/24/2009	35952H106	FCEL	2500.0000	2.8494	7,123.50	1.45
11018	03/01/2007	03/06/2007	83540T109	SNMB	-10000.0000	0.5500	(5,500.00)	82.63
11019	04/06/2006	04/11/2006	222814204	DVW	2700.0000	2.2699	6,128.73	51.28
11020	10/09/2008	10/15/2008	42327L101	HMNA	-5000.0000	1.0052	(5,026.00)	106.44
11021	07/26/2006	07/31/2006	127639102	KDUS	-3400.0000	1.5700	(5,338.00)	91.00
11022	03/23/2009	03/26/2009	345370860	F	2500.0000	2.8591	7,147.75	0.60
11023	06/23/2010	06/28/2010	74766A106	QTMM	50000.0000	0.1295	6,475.00	34.37
11024	04/12/2010	04/15/2010	02311T106	AMOK	2700.0000	2.2800	6,156.00	50.32
11025	11/15/2007	11/20/2007	126827104	CCWW	1000000.0000	0.0055	5,500.00	83.18
11026	03/23/2006	03/28/2006	37941L206	GAXC	-5000.0000	1.0150	(5,075.00)	104.50
11027	06/26/2006	06/29/2006	559091301	MPET	3000.0000	1.5453	4,635.90	126.46
11028	07/06/2006	07/11/2006	23321E103	DHBT	-8000.0000	0.8100	(6,480.00)	34.35
11029	03/01/2010	03/04/2010	811707306	SBCF	3000.0000	1.5500	4,650.00	125.98
11030	10/16/2009	10/21/2009	767754104	RAD	3000.0000	1.5499	4,649.70	126.00
11031	05/27/2010	06/02/2010	468202106	JTX	-2941.0000	1.5500	(4,558.55)	130.55
11032	12/05/2008	12/10/2008	45953X208	IFUE	20400.0000	0.2700	5,508.00	83.19
11033	11/02/2007	11/07/2007	00764X103	ANX	-10000.0000	0.5510	(5,510.00)	83.20
11034	03/29/2010	04/01/2010	27922X105	ESPH	3000.0000	1.5550	4,665.00	125.48
11035	01/07/2010	01/12/2010	922587100	VMCI	-84425.0000	0.0500	(4,221.25)	147.76
11036	03/11/2008	03/14/2008	244331104	DFR	3000.0000	1.5700	4,710.00	123.97
11037	01/07/2008	01/10/2008	67060N204	NTRZ	3000.0000	1.5700	4,710.00	123.97
11038	11/25/2008	12/01/2008	345370860	F	4150.0000	1.6400	6,806.00	19.33
11039	06/14/2010	06/17/2010	232828301	CYTR	5000.0000	0.8469	4,234.50	148.12
11040	03/12/2009	03/17/2009	172967101	C	3000.0000	1.5800	4,740.00	122.97
11041	01/17/2006	01/20/2006	98385L102	XSNX	6000.0000	1.1990	7,194.00	0.30
11042	11/18/2008	11/21/2008	781748108	RURL	3000.0000	1.7000	5,100.00	105.00
11043	04/25/2007	04/30/2007	09061B102	BSLM	-37000.0000	0.1307	(4,835.90)	118.21
11044	09/21/2006	09/26/2006	000875104	ACGJ	12000.0000	0.4000	4,800.00	120.00
11045	06/06/2006	06/09/2006	56176P104	MANS	12000.0000	0.3840	4,608.00	129.60
11046	12/26/2008	12/31/2008	488360108	KEM	-12000.0000	0.2510	(3,012.00)	209.40
11047	06/06/2006	06/09/2006	90916J102	UPZS	-24000.0000	0.1800	(4,320.00)	144.21
11048	10/06/2008	10/09/2008	74765E109	QTWW	-6000.0000	1.0160	(6,096.00)	55.54
11049	12/06/2007	12/11/2007	757736103	RPBC	-10000.0000	0.6000	(6,000.00)	60.36
11050	07/27/2006	08/01/2006	742852205	PDSC	100000.0000	0.0600	6,000.00	60.36
11051	06/02/2009	06/05/2009	370442105	GMGMQ	8000.0000	0.7500	6,000.00	60.36
11052	05/13/2010	05/18/2010	269246104	ETFCOLD	3000.0000	1.5900	4,770.00	121.97
11053	06/18/2009	06/23/2009	91688W107	UPTR	-20700.0000	0.2900	(6,003.00)	60.39
11054	12/03/2007	12/06/2007	42223C106	HSP0	8600.0000	0.6453	5,549.58	83.30
11055	06/03/2009	06/08/2009	169424108	CNTF	2700.0000	2.3470	6,336.90	43.95
11056	11/24/2008	11/28/2008	344123203	FMXL	50000.0000	0.1110	5,550.00	83.30
11057	06/30/2009	07/06/2009	19105E103	CGCA	-10000.0000	0.6008	(6,008.00)	60.44
11058	09/10/2007	09/13/2007	04349Y107	ASDS	-14000.0000	0.5100	(7,140.00)	3.86

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11059	03/31/2006	04/05/2006	805423100	SVVS	-4000.0000	1.4900	(5,960.00)	62.86
11060	02/05/2009	02/10/2009	04033A100	ARIA	-2700.0000	2.3500	(6,345.00)	43.66
11061	10/03/2006	10/06/2006	14067D102	CPST	3000.0000	1.6000	4,800.00	120.96
11062	09/29/2008	10/02/2008	635405103	NCC	3000.0000	1.5999	4,799.70	120.98
11063	04/17/2009	04/22/2009	64190A103	NRO	-3000.0000	1.6001	(4,800.30)	120.96
11064	07/19/2006	07/24/2006	29382F103	ENMD	-3500.0000	1.4494	(5,072.90)	107.39
11065	03/13/2007	03/16/2007	85771Q100	STCA	5000.0000	0.8500	4,250.00	148.53
11066	03/10/2009	03/13/2009	17770A109	CTBK	3000.0000	1.6040	4,812.00	120.56
11067	12/18/2006	12/21/2006	371485103	GNBT	-2900.0000	1.8500	(5,365.00)	92.95
11068	03/26/2009	03/31/2009	37247D106	GNW	2726.0000	2.1260	5,795.48	71.48
11069	12/23/2008	12/29/2008	898697107	TCX	-21500.0000	0.2800	(6,020.00)	60.34
11070	12/08/2008	12/11/2008	254668106	DSCO	5000.0000	1.0800	5,400.00	91.60
11071	12/02/2008	12/05/2008	025351107	EAG	8500.0000	0.5300	4,505.00	136.42
11072	07/30/2008	08/04/2008	767754104	RAD	5000.0000	1.2898	6,449.00	39.33
11073	08/18/2006	08/23/2006	37942D104	GDVI	-100000.0000	0.0603	(6,030.00)	60.34
11074	11/17/2006	11/22/2006	247361108	DALRQ	-3200.0000	1.2400	(3,968.00)	163.55
11075	09/16/2009	09/21/2009	55970P203	MDOR	4300.0000	1.0550	4,536.50	135.15
11076	03/02/2007	03/07/2007	206710204	CCUR	3000.0000	1.7607	5,282.10	98.00
11077	12/15/2009	12/18/2009	40075T102	GBNK	5000.0000	1.0900	5,450.00	89.61
11078	04/04/2007	04/10/2007	207142100	CNXT	-3000.0000	1.6300	(4,890.00)	117.95
11079	02/09/2006	02/14/2006	361880305	GMCC	3200.0000	1.2500	4,000.00	162.48
11080	08/29/2006	09/01/2006	21254V100	CSHD	-5000.0000	1.1000	(5,500.00)	87.63
11081	01/08/2009	01/13/2009	82967N108	SIRI	50000.0000	0.1315	6,575.00	33.96
11082	02/27/2009	03/04/2009	172967101	C	3065.0000	1.4400	4,413.60	142.10
11083	04/02/2009	04/07/2009	37247D106	GNW	3000.0000	2.0900	6,270.00	49.32
11084	06/25/2007	06/28/2007	63008X107	NNSR	1140531.0000	0.0049	5,588.60	83.39
11085	08/03/2009	08/06/2009	767754104	RAD	-3000.0000	1.6403	(4,920.90)	116.92
11086	05/19/2010	05/24/2010	87356M108	TADF	160000.0000	0.0300	4,800.00	123.13
11087	07/22/2010	07/27/2010	71902E109	PNX	3000.0000	2.1000	6,300.00	48.24
11088	11/07/2007	11/13/2007	05348W109	AVNX	3000.0000	1.8111	5,433.30	91.64
11089	08/23/2007	08/28/2007	05348W109	AVNX	-3000.0000	1.5689	(4,706.70)	127.97
11090	06/08/2009	06/11/2009	767754104	RAD	3000.0000	1.6697	5,009.10	112.88
11091	10/14/2009	10/19/2009	269246104	ETFC	-3000.0000	1.6701	(5,010.30)	112.84
11092	02/23/2007	02/28/2007	806732103	SCTN	-100000.0000	0.0550	(5,500.00)	88.40
11093	02/05/2007	02/08/2007	009253105	ABEW	20000.0000	0.2800	5,600.00	83.42
11094	03/18/2010	03/23/2010	269246104	ETFC	3000.0000	1.6500	4,950.00	115.94
11095	05/19/2008	05/22/2008	25278S100	DHCC	-3000.0000	1.6500	(4,950.00)	115.94
11096	12/15/2009	12/18/2009	45248F103	IMGG	5000.0000	1.1159	5,579.50	84.48
11097	03/07/2008	03/12/2008	87162Q103	SYBD	8550.0000	0.6556	5,605.38	83.43
11098	07/28/2006	08/02/2006	207142100	CNXT	3000.0000	1.6800	5,040.00	111.79
11099	04/03/2006	04/06/2006	345203202	FRPT	3500.0000	1.9700	6,895.00	19.06
11100	11/11/2009	11/16/2009	70336N107	PTSC	-30000.0000	0.2200	(6,600.00)	33.85
11101	07/24/2006	07/27/2006	131347106	CPNLQ	15000.0000	0.4400	6,600.00	33.85
11102	04/21/2010	04/26/2010	82967N108	SIRI	5000.0000	1.1298	5,649.00	81.72
11103	05/17/2010	05/20/2010	82967N108	SIRI	3300.0000	1.1098	3,662.34	181.15
11104	11/14/2008	11/19/2008	249908104	DEPO	-3000.0000	1.6969	(5,090.70)	110.01
11105	07/09/2008	07/14/2008	29100N107	EMDH	-3000.0000	1.7000	(5,100.00)	109.67
11106	05/23/2008	05/29/2008	123720104	BUKS	-10000.0000	0.4300	(4,300.00)	149.89
11107	10/09/2009	10/15/2009	062401104	GRAN	5000.0000	0.8600	4,300.00	149.89
11108	08/03/2006	08/08/2006	928628106	VOII	-10000.0000	0.4300	(4,300.00)	149.89
11109	02/20/2009	02/25/2009	172967101	C	-2818.0000	1.9900	(5,607.82)	84.51
11110	09/11/2006	09/14/2006	37229P507	GTOP	2550.0000	2.7767	7,080.59	10.97
11111	12/26/2007	12/31/2007	37229P507	GTOP	-6000.0000	0.7300	(4,380.00)	146.00
11112	11/15/2006	11/20/2006	247361108	DALRQ	3000.0000	1.7100	5,130.00	108.62
11113	11/30/2009	12/03/2009	78402X107	DDD	10832.0000	0.5200	5,632.64	83.50
11114	04/15/2010	04/20/2010	82967N108	SIRI	3300.0000	1.1298	3,728.34	178.94
11115	09/08/2010	09/13/2010	871630109	SYNM	3000.0000	1.7200	5,160.00	107.56

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11116	04/05/2007	04/11/2007	69325N102	PCSV	3000.0000	1.7200	5,160.00	107.56
11117	04/04/2007	04/10/2007	05348W109	AVNX	3000.0000	1.8619	5,585.70	86.42
11118	08/31/2010	09/03/2010	023139108	ABK	10000.0000	0.5645	5,645.00	83.53
11119	02/13/2008	02/19/2008	69811Q106	PANC	10000.0000	0.5898	5,898.00	70.93
11120	08/17/2010	08/20/2010	871630109	SYNM	4000.0000	1.5970	6,388.00	46.51
11121	11/01/2007	11/06/2007	05348W109	AVNX	3000.0000	1.8720	5,616.00	85.20
11122	12/31/2008	01/06/2009	911301109	UPFC	-5000.0000	1.1656	(5,828.00)	74.62
11123	04/30/2008	05/05/2008	590049102	MERX	3600.0000	1.9000	6,840.00	24.29
11124	08/12/2008	08/15/2008	03460L100	ANSV	2828.0000	1.9899	5,627.44	84.92
11125	09/29/2006	10/04/2006	46612J101	JDSU	3000.0000	2.3121	6,936.30	19.49
11126	08/09/2007	08/14/2007	607500105	MDVX	3000.0000	1.7400	5,220.00	105.44
11127	02/11/2009	02/17/2009	52729N100	LVL	3300.0000	1.1500	3,795.00	176.71
11128	10/04/2007	10/10/2007	05348W109	AVNX	-3000.0000	1.6378	(4,913.40)	120.93
11129	09/04/2009	09/10/2009	91729G301	UDW	-22100.0000	0.2562	(5,662.02)	83.57
11130	12/27/2006	01/02/2007	63860C100	NHRX	-3155.0000	1.3321	(4,202.78)	156.69
11131	03/09/2009	03/12/2009	345370860	F	3000.0000	1.7491	5,247.30	104.49
11132	08/16/2010	08/19/2010	002346104	AVII	-2893.0000	1.9900	(5,757.07)	79.34
11133	03/30/2010	04/05/2010	976524108	WTT	-3359.0000	1.0000	(3,359.00)	199.35
11134	06/04/2009	06/09/2009	524908100	LEHMQ	-85000.0000	0.0510	(4,335.00)	150.84
11135	03/06/2009	03/11/2009	30254M101	FPBI	-2800.0000	2.2500	(6,300.00)	52.61
11136	05/19/2006	05/24/2006	928497106	VTSS	3000.0000	1.7700	5,310.00	102.27
11137	08/22/2007	08/27/2007	516548104	LTRX	3200.0000	1.3506	4,321.92	151.71
11138	12/15/2006	12/20/2006	607500105	MDVX	2900.0000	2.0100	5,829.00	76.59
11139	07/03/2006	07/07/2006	37958F209	GTE	-3215.0000	1.2200	(3,922.30)	172.02
11140	02/03/2006	02/08/2006	123720104	BUKS	10000.0000	0.4875	4,875.00	124.58
11141	06/18/2010	06/23/2010	82967N108	SIRI	-3340.0000	1.0201	(3,407.13)	198.07
11142	12/21/2009	12/24/2009	74624D102	PSRU	100000.0000	0.0670	6,700.00	33.44
11143	12/05/2006	12/08/2006	42805E108	HSKA	-4000.0000	1.6528	(6,611.20)	37.98
11144	04/04/2008	04/09/2008	74269U104	PKT	-5000.0000	1.3340	(6,670.00)	35.07
11145	07/18/2007	07/23/2007	34512E109	FTRS	5500.0000	1.1500	6,325.00	52.35
11146	12/12/2006	12/15/2006	607500105	MDVX	3000.0000	1.7900	5,370.00	100.15
11147	01/17/2007	01/22/2007	05348W109	AVNX	3000.0000	2.3729	7,118.70	12.77
11148	04/06/2006	04/11/2006	222814204	DVW	2800.0000	2.2799	6,383.72	49.66
11149	03/12/2009	03/17/2009	17770A109	CTBK	3000.0000	1.7958	5,387.40	99.54
11150	12/10/2007	12/13/2007	206710204	CCUR	-5000.0000	0.7970	(3,985.00)	169.75
11151	08/12/2008	08/15/2008	607500105	MDVX	3000.0000	1.8000	5,400.00	99.10
11152	06/02/2010	06/07/2010	74267T109	PRWP	-10000.0000	0.7100	(7,100.00)	14.13
11153	09/25/2009	09/30/2009	030145205	ATCO	-3000.0000	1.8010	(5,403.00)	98.99
11154	04/06/2006	04/11/2006	222814204	DVW	2800.0000	2.2899	6,411.72	48.67
11155	01/13/2009	01/16/2009	68555P100	ORBC	-2830.0000	2.0540	(5,812.82)	78.64
11156	03/23/2007	03/28/2007	14160C100	CTE	-4000.0000	1.6700	(6,680.00)	35.36
11157	02/06/2009	02/11/2009	607500105	MDVX	4000.0000	1.6700	6,680.00	35.36
11158	09/15/2009	09/18/2009	269246104	ETFC	3000.0000	1.8099	5,429.70	98.05
11159	10/16/2009	10/21/2009	254668106	DSCO	4400.0000	1.0600	4,664.00	136.35
11160	08/25/2006	08/30/2006	739191104	PWAC	6000.0000	1.1900	7,140.00	12.58
11161	04/18/2007	04/23/2007	007928104	ADVR	-200000.0000	0.0286	(5,720.00)	83.70
11162	07/27/2006	08/01/2006	92659D201	VDWB	50000.0000	0.1470	7,350.00	2.30
11163	04/03/2007	04/09/2007	318465101	FACT	-4000.0000	1.6801	(6,720.40)	33.81
11164	07/06/2007	07/11/2007	318465101	FACT	4000.0000	1.6850	6,740.00	33.06
11165	04/04/2008	04/09/2008	74765E109	QTWW	5000.0000	1.1895	5,947.50	72.80
11166	12/05/2006	12/08/2006	74269U104	PRNW	4000.0000	1.6875	6,750.00	32.68
11167	07/25/2006	07/28/2006	53634X100	LQMT	-3000.0000	1.8250	(5,475.00)	96.45
11168	12/15/2009	12/18/2009	225646108	CSNT	-7300.0000	0.5986	(4,369.78)	151.79
11169	12/30/2009	01/05/2010	40075T102	GBNK	-5000.0000	1.2500	(6,250.00)	57.87
11170	12/14/2006	12/19/2006	206710204	CCUR	3000.0000	1.9735	5,920.50	74.48
11171	04/04/2007	04/10/2007	247361108	DALRQ	11565.0000	0.4000	4,626.00	139.25
11172	05/04/2007	05/09/2007	318465101	FACT	4000.0000	1.6972	6,788.80	31.20

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11173	02/15/2006	02/21/2006	278744107	ECSL	-17500.0000	0.2500	(4,375.00)	151.93
11174	09/20/2010	09/23/2010	45810J103	ITKG	9000.0000	0.7500	6,750.00	33.23
11175	09/26/2007	10/01/2007	00509L109	ACUR	4000.0000	1.7000	6,800.00	30.78
11176	04/06/2009	04/09/2009	421933102	HMA	2700.0000	2.6000	7,020.00	19.86
11177	05/17/2010	05/20/2010	03077G106	AMEL	5000.0000	1.2600	6,300.00	55.88
11178	06/12/2009	06/17/2009	150934503	CTIC	-4200.0000	1.3900	(5,838.00)	79.32
11179	09/27/2010	09/30/2010	86806M205	SUPR	-5000.0000	0.8764	(4,382.00)	152.12
11180	09/15/2010	09/20/2010	82967N108	SIRI	3400.0000	1.0700	3,638.00	189.34
11181	09/24/2008	09/29/2008	192108108	CDE	4000.0000	1.7100	6,840.00	29.25
11182	02/06/2009	02/11/2009	607500105	MDVX	3500.0000	1.6700	5,845.00	79.03
11183	04/09/2010	04/14/2010	83615A100	SRGL	3000.0000	1.8500	5,550.00	93.81
11184	12/17/2008	12/22/2008	635405103	NCC	-3000.0000	1.8500	(5,550.00)	93.81
11185	09/22/2009	09/25/2009	023139108	ABK	3000.0000	1.8500	5,550.00	93.81
11186	12/08/2008	12/11/2008	670823103	CHUX	3000.0000	1.8542	5,562.60	93.36
11187	06/03/2009	06/08/2009	35907K105	FTBK	4870.0000	1.4000	6,818.00	30.60
11188	05/30/2007	06/04/2007	607500105	MDVX	3000.0000	1.8583	5,574.90	92.94
11189	08/15/2006	08/18/2006	482074200	JRAK	3000.0000	1.8600	5,580.00	92.75
11190	09/30/2008	10/03/2008	635405103	NCC	3000.0000	1.8599	5,579.70	92.77
11191	08/06/2010	08/11/2010	051057107	AUGT	-5000.0000	1.2770	(6,385.00)	52.51
11192	10/07/2009	10/13/2009	561911108	HYF	-3000.0000	1.8603	(5,580.90)	92.72
11193	01/19/2007	01/24/2007	367626108	GTW	-2850.0000	2.1005	(5,986.43)	72.59
11194	03/29/2007	04/03/2007	131347106	CPNLQ	-3300.0000	2.0900	(6,897.00)	27.11
11195	06/23/2008	06/26/2008	885218107	TMA	20000.0000	0.2679	5,358.00	104.10
11196	04/13/2006	04/19/2006	70336N107	PTSC	-5000.0000	0.9860	(4,930.00)	125.66
11197	03/19/2008	03/25/2008	885218107	TMA	3000.0000	1.8696	5,608.80	91.73
11198	03/18/2008	03/24/2008	98416J100	XRM	3200.0000	1.4331	4,585.92	142.87
11199	09/29/2008	10/02/2008	929903102	WB	3000.0000	1.8700	5,610.00	91.69
11200	01/08/2009	01/13/2009	607500105	MDVX	3000.0000	1.8700	5,610.00	91.69
11201	02/13/2009	02/19/2009	345370860	F	4240.0000	1.7500	7,420.00	1.20
11202	04/01/2009	04/06/2009	195493309	CNB	4400.0000	0.9997	4,398.68	152.58
11203	05/08/2008	05/13/2008	55610N105	DCR	-3000.0000	1.8793	(5,637.90)	90.71
11204	07/23/2009	07/28/2009	973146103	WNEA	20000.0000	0.2200	4,400.00	152.61
11205	02/22/2007	02/27/2007	806732103	SCTN	-80000.0000	0.0550	(4,400.00)	152.61
11206	02/23/2007	02/28/2007	806732103	SCTN	-80000.0000	0.0550	(4,400.00)	152.61
11207	05/12/2009	05/15/2009	521865105	LEA	3000.0000	1.8797	5,639.10	90.67
11208	04/05/2007	04/11/2007	647285105	NMXC	200000.0000	0.0340	6,800.00	33.03
11209	12/05/2008	12/10/2008	607500105	MDVX	3000.0000	1.8900	5,670.00	89.58
11210	12/05/2008	12/10/2008	607500105	MDVX	3000.0000	1.8900	5,670.00	89.58
11211	12/07/2007	12/12/2007	206710204	CCUR	-4950.0000	0.8146	(4,032.27)	171.62
11212	09/18/2009	09/23/2009	336453105	FSNM	-5000.0000	1.3068	(6,534.00)	46.60
11213	08/28/2009	09/02/2009	63902E106	NRVN	11025.0000	0.4000	4,410.00	152.88
11214	12/19/2008	12/24/2008	023139108	ABK	-5000.0000	1.3085	(6,542.50)	46.27
11215	03/23/2006	03/28/2006	74835Y101	QSC	3166.0000	1.4600	4,622.36	142.48
11216	04/14/2010	04/19/2010	811707306	SBCF	2769.0000	2.4000	6,645.60	41.53
11217	09/10/2008	09/15/2008	82967N108	SIRI	-4600.0000	0.9600	(4,416.00)	153.04
11218	03/23/2009	03/26/2009	720279108	PIR	10000.0000	0.4416	4,416.00	153.04
11219	07/21/2009	07/24/2009	172967101	C	2700.0000	2.6800	7,236.00	12.24
11220	09/25/2008	09/30/2008	939322103	WM	3000.0000	1.9150	5,745.00	86.93
11221	10/23/2007	10/26/2007	961815107	WON	-2825.0000	2.1900	(6,186.75)	64.96
11222	11/03/2006	11/08/2006	206710204	CCUR	3000.0000	2.0648	6,194.40	64.68
11223	09/19/2008	09/24/2008	192108108	CDE	4000.0000	1.7800	7,120.00	18.54
11224	09/27/2006	10/02/2006	21254V100	CSDH	3200.0000	1.4800	4,736.00	137.85
11225	03/25/2010	03/30/2010	14141A108	CSCX	3000.0000	1.9298	5,789.40	85.37
11226	02/02/2006	02/07/2006	62472C102	XDSL	14000.0000	0.3550	4,970.00	126.43
11227	07/10/2009	07/15/2009	48131M102	JUHL	3525.0000	2.0500	7,226.25	13.68
11228	11/15/2006	11/20/2006	00509L109	ACUR	10000.0000	0.7488	7,488.00	0.60
11229	12/21/2007	12/27/2007	85517P101	STSI	-9000.0000	0.8301	(7,470.90)	1.45

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11230	10/16/2006	10/19/2006	00509L109	ACUR	10000.0000	0.7125	7,125.00	18.75
11231	03/20/2009	03/25/2009	026874107	AIG	-5000.0000	1.4248	(7,124.00)	18.80
11232	11/20/2006	11/24/2006	05348W109	AVNX	3000.0000	2.0750	6,225.00	63.75
11233	01/17/2008	01/23/2008	92909W108	VOYT	-50000.0000	0.1228	(6,140.00)	68.00
11234	04/14/2010	04/19/2010	82967N108	SIRI	-5000.0000	1.0402	(5,201.00)	114.95
11235	01/22/2008	01/25/2008	92909W108	VOYT	-50000.0000	0.0870	(4,350.00)	157.50
11236	06/12/2007	06/15/2007	26884J104	ERHE	-20000.0000	0.3150	(6,300.00)	60.11
11237	02/06/2009	02/11/2009	59318T109	CIF	-2940.0000	1.9419	(5,709.19)	89.91
11238	06/12/2006	06/15/2006	422360305	EAR	-5000.0000	1.3500	(6,750.00)	38.03
11239	03/20/2009	03/25/2009	74975N105	RTIX	-2679.0000	2.7193	(7,285.00)	11.36
11240	08/26/2010	08/31/2010	86806M205	SUPR	5060.0000	1.1500	5,819.00	84.79
11241	04/27/2010	04/30/2010	172967101	C	1100.0000	4.5200	4,972.00	127.17
11242	10/02/2006	10/05/2006	91359V107	UVIH	-2800.0000	2.4500	(6,860.00)	32.87
11243	04/11/2008	04/16/2008	806732103	SCTN	-52271.0000	0.0850	(4,443.04)	153.78
11244	02/26/2009	03/03/2009	345370860	F	3000.0000	1.9591	5,877.30	82.27
11245	09/22/2008	09/25/2008	313586109	FNM	5000.0000	0.8894	4,447.00	153.89
11246	06/05/2007	06/08/2007	318465101	FACT	-4000.0000	1.8202	(7,280.80)	12.40
11247	04/21/2010	04/26/2010	37941L206	GAXC	5000.0000	0.8900	4,450.00	153.97
11248	10/24/2008	10/29/2008	313586109	FNM	9000.0000	0.6500	5,850.00	84.02
11249	03/16/2010	03/19/2010	67060U109	NPHC	-10000.0000	0.5750	(5,750.00)	89.02
11250	04/09/2008	04/14/2008	705536100	PRLS	3000.0000	1.9683	5,904.90	81.30
11251	12/05/2007	12/10/2007	68338A107	ONT	-5000.0000	0.8902	(4,451.00)	153.99
11252	06/21/2006	06/26/2006	89989X105	TTEG	-4900.0000	1.5000	(7,350.00)	9.06
11253	09/21/2009	09/24/2009	23126R101	CRGN	5000.0000	1.3700	6,850.00	34.06
11254	06/15/2006	06/20/2006	565011103	MANU	-2800.0000	2.4700	(6,916.00)	30.90
11255	04/03/2007	04/09/2007	74765E109	QTWW	-4500.0000	1.0600	(4,770.00)	138.36
11256	04/04/2007	04/10/2007	82934C102	SOBM	5000.0000	1.3900	6,950.00	29.40
11257	03/04/2010	03/09/2010	85517P101	CIGX	3500.0000	1.0099	3,534.65	200.18
11258	08/12/2010	08/17/2010	343389102	FTK	5000.0000	1.3799	6,899.50	32.10
11259	08/19/2008	08/22/2008	88409N101	THK	-8800.0000	0.4876	(4,290.88)	162.60
11260	02/12/2009	02/18/2009	904572104	UNXL	-9430.0000	0.7000	(6,601.00)	47.15
11261	03/23/2009	03/26/2009	19247L106	RQI	-2937.0000	1.9890	(5,841.69)	85.38
11262	10/18/2006	10/23/2006	207142100	CNXT	-3000.0000	1.9900	(5,970.00)	79.00
11263	08/23/2007	08/28/2007	318465101	FACT	5000.0000	1.3900	6,950.00	30.09
11264	01/20/2010	01/25/2010	925490104	VRTA	-4900.0000	1.1500	(5,635.00)	95.97
11265	05/04/2009	05/07/2009	871630109	SYNM	3000.0000	2.0000	6,000.00	77.94
11266	12/15/2006	12/20/2006	607500105	MDVX	3000.0000	2.0000	6,000.00	77.94
11267	04/12/2010	04/15/2010	023139108	ABK	-3000.0000	2.0000	(6,000.00)	77.94
11268	12/15/2006	12/20/2006	607500105	MDVX	3000.0000	2.0000	6,000.00	77.94
11269	01/10/2006	01/13/2006	378970107	GBVS	-6200.0000	1.1450	(7,099.00)	23.10
11270	11/27/2007	11/30/2007	949702104	WLM	10000.0000	0.4474	4,474.00	154.62
11271	04/24/2008	04/29/2008	030145205	ATCO	3000.0000	2.0094	6,028.20	76.95
11272	10/01/2008	10/06/2008	635405103	NCC	-3000.0000	2.0100	(6,030.00)	76.88
11273	04/01/2009	04/06/2009	607500105	MDVX	3600.0000	2.1000	7,560.00	0.40
11274	05/29/2007	06/01/2007	090681107	BTEM	6581.0000	0.6800	4,475.08	154.65
11275	06/25/2010	06/30/2010	74624H103	PSWS	32000.0000	0.1400	4,480.00	154.78
11276	05/05/2006	05/10/2006	232825109	CYGX	-5110.0000	1.2020	(6,142.22)	71.84
11277	03/12/2009	03/17/2009	37247D106	GNW	3634.0000	1.2794	4,649.34	146.53
11278	01/05/2007	01/10/2007	806732103	SCTN	-69000.0000	0.0650	(4,485.00)	154.92
11279	11/06/2008	11/12/2008	345370860	F	3000.0000	2.0300	6,090.00	74.77
11280	09/16/2009	09/21/2009	767754104	RAD	-3000.0000	2.0306	(6,091.80)	74.71
11281	10/16/2007	10/19/2007	89989X105	TTEG	-4000.0000	1.0000	(4,000.00)	179.31
11282	12/05/2008	12/10/2008	64190A103	NRO	-4200.0000	1.5553	(6,532.26)	52.81
11283	06/09/2009	06/12/2009	74963F106	RMR	-2670.0000	2.8155	(7,517.39)	3.57
11284	03/13/2007	03/16/2007	206710204	CCUR	5000.0000	1.5159	7,579.50	0.52
11285	06/20/2008	06/25/2008	74269U104	PKT	-5000.0000	1.4300	(7,150.00)	22.16
11286	11/20/2007	11/26/2007	917027104	URCO	5000.0000	1.4300	7,150.00	22.16

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11287	11/11/2008	11/14/2008	313400301	FRE	-5600.0000	0.8023	(4,492.88)	155.14
11288	08/20/2009	08/25/2009	42327W107	HAV	-3330.0000	1.2301	(4,096.23)	174.97
11289	02/23/2009	02/26/2009	316773100	FITB	5000.0000	1.3400	6,700.00	45.00
11290	11/17/2008	11/20/2008	345370860	F	-3035.0000	1.8200	(5,523.70)	103.85
11291	08/28/2008	09/03/2008	229393301	CRZ	3000.0000	2.0499	6,149.70	72.67
11292	08/25/2008	08/28/2008	229393301	CRZ	3000.0000	2.0499	6,149.70	72.67
11293	06/05/2009	06/10/2009	42327W107	HAV	-3375.0000	1.2301	(4,151.59)	172.66
11294	05/28/2008	06/02/2008	806732103	SCTN	-75000.0000	0.0600	(4,500.00)	155.33
11295	02/20/2007	02/23/2007	698821105	PCLP	-5000.0000	0.9000	(4,500.00)	155.33
11296	04/19/2010	04/22/2010	248231102	DNRR	125000.0000	0.0360	4,500.00	155.33
11297	07/27/2010	07/30/2010	83615A100	SRGL	-10000.0000	0.4500	(4,500.00)	155.33
11298	02/01/2008	02/06/2008	553570102	MSGI	5000.0000	0.9000	4,500.00	155.33
11299	04/25/2007	04/30/2007	925313108	VSTI	45000.0000	0.1000	4,500.00	155.33
11300	08/11/2006	08/16/2006	238084107	DTMG	150000.0000	0.0300	4,500.00	155.33
11301	09/13/2006	09/18/2006	60742E205	MOBL	-10000.0000	0.1500	(1,500.00)	230.33
11302	12/07/2006	12/12/2006	131347106	CPNLQ	-4600.0000	1.0011	(4,605.06)	150.33
11303	11/21/2008	11/26/2008	345370860	F	3800.0000	1.3100	4,978.00	131.71
11304	07/27/2010	07/30/2010	83615A100	SRGL	-10000.0000	0.4505	(4,505.00)	155.46
11305	03/09/2007	03/14/2007	206710204	CCUR	4000.0000	1.5452	6,180.80	71.76
11306	08/31/2007	09/06/2007	943526301	WAVX	3200.0000	1.6231	5,193.92	121.11
11307	03/23/2009	03/26/2009	52729N100	LVL	6100.0000	0.7389	4,507.29	155.53
11308	03/10/2009	03/13/2009	172967101	C	3297.0000	1.4400	4,747.68	143.62
11309	01/02/2009	01/07/2009	607500105	MDVX	3000.0000	2.0700	6,210.00	70.54
11310	05/30/2006	06/02/2006	247126105	DPHIQ	5000.0000	1.4600	7,300.00	16.21
11311	06/12/2008	06/17/2008	74963Q102	RHY	-2875.0000	2.3200	(6,670.00)	47.79
11312	04/12/2007	04/17/2007	830701108	SKNN	-5000.0000	1.4630	(7,315.00)	15.61
11313	06/04/2009	06/09/2009	984141101	XTNT	-3211.0000	1.4800	(4,752.28)	143.90
11314	06/17/2008	06/20/2008	36238T104	GTCB	-15000.0000	0.4239	(6,358.50)	63.97
11315	07/21/2008	07/24/2008	957669104	ZIF	-3000.0000	2.0901	(6,270.30)	68.42
11316	11/06/2008	11/12/2008	989834106	ZF	2634.0000	2.8885	7,608.31	1.55
11317	04/05/2006	04/10/2006	33938W206	FLXT	3000.0000	2.0933	6,279.90	68.08
11318	07/31/2007	08/03/2007	020524104	AGMB	3000.0000	2.0950	6,285.00	67.89
11319	01/02/2009	01/07/2009	233384106	SRQ	3000.0000	2.0977	6,293.10	67.61
11320	09/01/2010	09/07/2010	48023P106	JSDA	-4600.0000	1.0300	(4,738.00)	145.44
11321	09/16/2008	09/19/2008	026874107	AIG	3000.0000	2.0999	6,299.70	67.38
11322	03/24/2009	03/27/2009	043353101	ARM	3315.0000	1.3099	4,342.32	165.34
11323	12/04/2006	12/07/2006	922281100	VSTY	-5000.0000	1.4854	(7,427.00)	11.17
11324	06/14/2010	06/17/2010	266898105	DUSA	-3000.0000	2.1063	(6,318.90)	66.70
11325	10/28/2008	10/31/2008	026874107	AIG	5000.0000	1.4900	7,450.00	10.25
11326	04/27/2009	04/30/2009	370442105	GM	3000.0000	2.1099	6,329.70	66.32
11327	09/17/2009	09/22/2009	767754104	RAD	-3000.0000	2.1103	(6,330.90)	66.28
11328	10/14/2010	10/19/2010	42970V109	NXPND	5000.0000	1.5000	7,500.00	8.27
11329	09/28/2006	10/03/2006	96684T107	WYDY	-5000.0000	0.9600	(4,800.00)	143.31
11330	11/30/2007	12/05/2007	457669208	INSM	-5100.0000	0.8901	(4,539.51)	156.39
11331	06/25/2009	06/30/2009	073677106	BCON	5000.0000	0.9079	4,539.50	156.40
11332	02/25/2009	03/02/2009	98974P100	ZIXI	5000.0000	1.0090	5,045.00	131.25
11333	03/14/2006	03/17/2006	37941L206	GAXC	-4560.0000	1.0500	(4,788.00)	144.15
11334	05/24/2010	05/27/2010	82967N108	SIRI	-5000.0000	1.0105	(5,052.50)	130.96
11335	03/16/2010	03/19/2010	67060U109	NPHC	-7900.0000	0.5750	(4,542.50)	156.49
11336	12/08/2006	12/13/2006	125750109	CMGI	-3300.0000	1.4700	(4,851.00)	141.38
11337	11/12/2008	11/17/2008	228834107	KRU	3000.0000	2.1399	6,419.70	63.15
11338	08/27/2009	09/01/2009	313400301	FRE	3000.0000	2.1399	6,419.70	63.15
11339	08/17/2007	08/22/2007	222372104	CFC	200.0000	20.0175	4,003.50	183.98
11340	05/07/2008	05/12/2008	600388105	MCEL	130000.0000	0.0350	4,550.00	156.68
11341	03/20/2007	03/23/2007	247361108	DALRQ	-5200.0000	0.8750	(4,550.00)	156.68
11342	03/14/2007	03/19/2007	26152H103	DROOY	8000.0000	0.5688	4,550.40	156.70
11343	06/14/2007	06/19/2007	91729G301	UDW	-10000.0000	0.6000	(6,000.00)	84.38

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11344	08/29/2006	09/01/2006	501879100	LITL	5115.0000	0.8902	4,553.37	156.77
11345	10/29/2008	11/03/2008	345370860	F	-3000.0000	2.1500	(6,450.00)	62.08
11346	01/11/2006	01/17/2006	867472300	SNYL	5000.0000	1.5270	7,635.00	2.91
11347	09/19/2008	09/24/2008	313400301	FRE	8000.0000	0.5699	4,559.20	156.93
11348	05/03/2007	05/08/2007	00389M102	ACEN	5000.0000	1.2560	6,280.00	71.00
11349	12/31/2007	01/04/2008	05348W109	AVNX	-5000.0000	0.9630	(4,815.00)	144.25
11350	11/16/2007	11/21/2007	422217109	HRAL	5000.0000	1.5400	7,700.00	0.33
11351	07/17/2008	07/22/2008	320771108	FMD	3000.0000	2.1687	6,506.10	60.09
11352	01/21/2010	01/26/2010	925490104	VRTA	-5000.0000	1.1500	(5,750.00)	97.92
11353	04/17/2008	04/22/2008	705536100	PRLS	3000.0000	2.1692	6,507.60	60.05
11354	11/16/2007	11/21/2007	92886T201	VG	3000.0000	2.1696	6,508.80	60.00
11355	10/20/2006	10/25/2006	04289L107	ARTG	-3000.0000	2.0615	(6,184.50)	76.28
11356	10/22/2008	10/27/2008	92336G106	VSE	3300.0000	1.5000	4,950.00	138.06
11357	03/06/2009	03/11/2009	02083A103	AWP	-3000.0000	2.1724	(6,517.20)	59.71
11358	10/18/2007	10/23/2007	68338A107	ONT	-5000.0000	1.1270	(5,635.00)	104.25
11359	02/20/2008	02/25/2008	52729N100	LVLTL	3000.0000	2.3287	6,986.10	36.80
11360	11/20/2008	11/25/2008	026874107	AIG	3300.0000	1.5100	4,983.00	136.96
11361	01/31/2006	02/03/2006	225223106	CRAY	3000.0000	2.1900	6,570.00	57.84
11362	02/03/2010	02/08/2010	82967N108	SIRI	5000.0000	0.9158	4,579.00	157.47
11363	03/05/2009	03/10/2009	172967101	C	5000.0000	1.0200	5,100.00	131.53
11364	03/11/2009	03/16/2009	235825205	DAN	20000.0000	0.2739	5,478.00	112.80
11365	07/08/2008	07/11/2008	69207P100	OXBO	-5952.0000	0.7700	(4,583.04)	157.58
11366	07/28/2008	07/31/2008	92532R203	VCSY	100000.0000	0.0710	7,100.00	31.79
11367	02/28/2007	03/05/2007	25278S100	DHCC	3000.0000	2.2000	6,600.00	56.79
11368	12/04/2006	12/07/2006	004920104	APII	-3462.5000	1.8500	(6,405.63)	66.78
11369	06/18/2009	06/23/2009	00253U107	ASTM	10000.0000	0.4589	4,589.00	157.74
11370	03/17/2008	03/20/2008	885218107	TMA	3000.0000	2.2100	6,630.00	55.73
11371	04/02/2009	04/07/2009	607500105	MDVX	3000.0000	2.2100	6,630.00	55.73
11372	04/04/2008	04/09/2008	74765E109	QTTWW	5000.0000	1.1790	5,895.00	92.49
11373	04/04/2008	04/09/2008	74765E109	QTTWW	5000.0000	1.1792	5,896.00	92.46
11374	02/09/2009	02/12/2009	911301109	UPFC	5000.0000	1.0769	5,384.50	118.04
11375	04/18/2008	04/23/2008	705536100	PRLS	3000.0000	2.2188	6,656.40	54.80
11376	09/29/2009	10/02/2009	80201E108	SFEG	3500.0000	1.1990	4,196.50	178.03
11377	01/12/2006	01/18/2006	989414107	ZIF	-2725.0000	2.7853	(7,589.94)	8.38
11378	12/05/2006	12/08/2006	404160103	HEII	-4000.0000	1.5803	(6,321.20)	71.89
11379	06/28/2006	07/03/2006	380709105	GDRHA	23000.0000	0.2000	4,600.00	158.04
11380	09/28/2007	10/03/2007	55306V106	MIVT	10000.0000	0.4600	4,600.00	158.04
11381	02/24/2006	03/01/2006	284868106	ELC	5000.0000	0.9200	4,600.00	158.04
11382	02/19/2010	02/24/2010	10501E102	BCLI	11500.0000	0.4000	4,600.00	158.04
11383	08/24/2009	08/27/2009	591556105	MTIZ	-100000.0000	0.0046	(4,600.00)	158.04
11384	08/21/2009	08/26/2009	591556105	MTIZ	-100000.0000	0.0046	(4,600.00)	158.04
11385	07/16/2009	07/21/2009	125581108	CIT	10000.0000	0.4600	4,600.00	158.04
11386	12/23/2008	12/29/2008	976524108	WTT	-15000.0000	0.3500	(5,250.00)	125.71
11387	12/14/2006	12/19/2006	607500105	MDVX	3100.0000	2.0000	6,200.00	78.26
11388	10/05/2009	10/08/2009	68555P100	ORBC	-2800.0000	2.7530	(7,708.40)	2.96
11389	02/25/2010	03/02/2010	210502100	CPSS	-4000.0000	1.5900	(6,360.00)	70.43
11390	11/06/2009	11/12/2009	98156L307	WGAT	6142.0000	0.7500	4,606.50	158.22
11391	02/22/2006	02/27/2006	929367100	WWNG	14860.0000	0.3100	4,606.60	158.22
11392	10/17/2008	10/22/2008	345370860	F	3000.0000	2.2500	6,750.00	51.50
11393	12/28/2009	12/31/2009	82967N108	SIRI	-7700.0000	0.5993	(4,614.61)	158.44
11394	03/11/2010	03/16/2010	45810J103	ITKG	-4100.0000	1.0300	(4,223.00)	178.13
11395	02/22/2010	02/25/2010	42218Y105	HEPI	-10000.0000	0.6100	(6,100.00)	84.62
11396	08/18/2006	08/23/2006	549463107	LU	3000.0000	2.2699	6,809.70	49.40
11397	12/27/2007	01/02/2008	781748108	RURL	-3000.0000	2.2500	(6,750.00)	52.50
11398	09/14/2006	09/19/2006	000875104	ACGJ	13000.0000	0.5000	6,500.00	65.00
11399	08/16/2006	08/21/2006	59266P109	MWWW	13000.0000	0.1600	2,080.00	240.00
11400	07/19/2010	07/22/2010	82967N108	SIRI	-5000.0000	0.9258	(4,629.00)	158.83

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11401	11/13/2009	11/18/2009	591556105	MTIZ	-1000000.0000	0.0065	(6,500.00)	65.39
11402	03/17/2009	03/20/2009	019228402	AIB	3400.0000	1.4199	4,827.66	149.53
11403	04/30/2010	05/05/2010	696077304	PTN	15000.0000	0.3093	4,639.50	159.12
11404	05/12/2010	05/17/2010	811707306	SBCF	3000.0000	2.3000	6,900.00	46.21
11405	04/01/2009	04/06/2009	607500105	MDVX	3500.0000	2.1000	7,350.00	23.74
11406	07/31/2008	08/05/2008	88409N101	THK	-15000.0000	0.3839	(5,758.50)	103.38
11407	12/14/2009	12/17/2009	254668106	DSCO	10000.0000	0.7200	7,200.00	31.37
11408	04/28/2006	05/03/2006	103354106	BOYD	10000.0000	0.7200	7,200.00	31.37
11409	11/17/2006	11/22/2006	404160103	HEII	4000.0000	1.6500	6,600.00	61.44
11410	05/05/2009	05/08/2009	767754104	RAD	5000.0000	0.9298	4,649.00	159.37
11411	11/21/2007	11/27/2007	206710204	CCUR	-5000.0000	0.8517	(4,258.50)	179.08
11412	03/10/2009	03/13/2009	09250E107	HIS	6500.0000	1.1041	7,176.65	33.19
11413	05/03/2010	05/06/2010	859319105	STSA	6500.0000	0.7163	4,655.95	159.56
11414	12/02/2009	12/07/2009	78402X107	DDD	11610.0000	0.5300	6,153.30	84.76
11415	09/14/2006	09/19/2006	378970107	GBVS	-10000.0000	0.6653	(6,653.00)	59.80
11416	03/30/2009	04/02/2009	172967101	C	3000.0000	2.3299	6,989.70	43.05
11417	05/07/2007	05/10/2007	377899109	GEMS	3000.0000	2.3300	6,990.00	43.04
11418	05/10/2006	05/15/2006	05348W109	AVNX	3000.0000	2.4809	7,442.70	20.57
11419	05/26/2006	06/01/2006	549463107	LU	-2895.0000	2.5901	(7,498.34)	17.92
11420	03/04/2009	03/09/2009	402635304	GPOR	4000.0000	1.6800	6,720.00	56.95
11421	06/06/2008	06/11/2008	868301102	SLAT	3000.0000	2.3400	7,020.00	41.98
11422	07/19/2006	07/24/2006	92848N102	VITS	-52000.0000	0.0897	(4,664.40)	159.79
11423	07/24/2009	07/29/2009	391523107	WOLF	-3000.0000	2.3411	(7,023.30)	41.87
11424	03/17/2009	03/20/2009	74373F100	PVCT	5000.0000	1.0000	5,000.00	143.22
11425	11/20/2008	11/25/2008	345370860	F	4000.0000	1.2500	5,000.00	143.24
11426	11/07/2006	11/10/2006	247126105	DPHIQ	-3075.0000	2.1100	(6,488.25)	68.88
11427	06/20/2008	06/25/2008	767754104	RAD	-3870.0000	1.8600	(7,198.20)	33.46
11428	04/30/2009	05/05/2009	109178103	BEXP	3000.0000	2.3500	7,050.00	40.92
11429	12/04/2006	12/07/2006	29259G101	END	-3000.0000	2.3500	(7,050.00)	40.92
11430	07/29/2010	08/03/2010	26746E103	DYAX	3000.0000	2.3499	7,049.70	40.94
11431	06/23/2008	06/26/2008	80201E108	SFEG	10000.0000	0.6674	6,674.00	59.78
11432	04/07/2008	04/10/2008	74269U104	PKT	-5000.0000	1.3292	(6,646.00)	61.34
11433	08/25/2009	08/28/2009	14067D102	CPST	5700.0000	1.1800	6,726.00	57.48
11434	04/04/2008	04/09/2008	74269U104	PKT	-5000.0000	1.3400	(6,700.00)	58.83
11435	07/20/2006	07/25/2006	524636107	LRCI	-5500.0000	0.8500	(4,675.00)	160.08
11436	01/02/2009	01/07/2009	345370860	F	-3000.0000	2.3600	(7,080.00)	39.86
11437	07/17/2006	07/20/2006	68267M106	OLNK	-9850.0000	0.6900	(6,796.50)	54.18
11438	05/05/2006	05/10/2006	26884J104	ERHE	-6399.0000	0.7310	(4,677.67)	160.16
11439	06/01/2009	06/04/2009	370442105	GM	6676.0000	0.7009	4,679.21	160.20
11440	09/27/2007	10/02/2007	46426P103	ISO	-18000.0000	0.2600	(4,680.00)	160.22
11441	03/24/2006	03/29/2006	44043J105	HOFF	6500.0000	0.7200	4,680.00	160.22
11442	10/10/2008	10/16/2008	254668106	DSCO	-4500.0000	1.4000	(6,300.00)	79.37
11443	07/01/2009	07/07/2009	19105E103	CGCA	-10000.0000	0.5700	(5,700.00)	109.56
11444	06/30/2009	07/06/2009	19105E103	CGCA	-10000.0000	0.5700	(5,700.00)	109.56
11445	02/03/2009	02/06/2009	19074Y205	CLSC	31250.0000	0.1500	4,687.50	160.43
11446	09/21/2009	09/24/2009	67060U109	NPHC	-10000.0000	0.6200	(6,200.00)	84.86
11447	08/25/2009	08/28/2009	82967N108	SIRI	7000.0000	0.6700	4,690.00	160.49
11448	09/27/2007	10/02/2007	74343V102	PDNL	10000.0000	0.7500	7,500.00	20.00
11449	01/11/2006	01/17/2006	16938E102	CHDT	255000.0000	0.0254	6,477.00	71.15
11450	04/07/2008	04/10/2008	74269U104	PKT	-5000.0000	1.3638	(6,819.00)	54.12
11451	02/10/2009	02/13/2009	316773100	FITB	3000.0000	2.3890	7,167.00	36.80
11452	07/15/2009	07/20/2009	767754104	RAD	3500.0000	1.3294	4,652.90	162.76
11453	08/06/2009	08/11/2009	720279108	PIR	3000.0000	2.3988	7,196.40	35.76
11454	04/26/2006	05/01/2006	87929J103	TSYS	-2800.0000	2.8087	(7,864.36)	2.42
11455	02/15/2006	02/21/2006	629196106	NMCX	700000.0000	0.0096	6,720.00	59.74
11456	09/11/2007	09/14/2007	89989X105	TTEG	-10000.0000	0.7300	(7,300.00)	30.96
11457	06/22/2010	06/25/2010	98884U108	ZAGG	3000.0000	2.4100	7,230.00	34.57

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11458	12/11/2008	12/16/2008	45928H106	ICO	3000.0000	2.4100	7,230.00	34.57
11459	11/02/2006	11/07/2006	549463107	LU	-3000.0000	2.4100	(7,230.00)	34.57
11460	02/13/2007	02/16/2007	206710204	CCUR	3500.0000	1.4732	5,156.20	138.39
11461	08/13/2008	08/18/2008	957669104	ZIF	-3102.0000	2.0532	(6,369.03)	78.04
11462	08/11/2006	08/16/2006	53123Q103	LBTB	8500.0000	0.5541	4,709.85	161.03
11463	11/20/2009	11/25/2009	125581108	CITGQ	25000.0000	0.1884	4,710.00	161.03
11464	12/14/2007	12/19/2007	44860H109	HYBT	3500.0000	1.3500	4,725.00	160.34
11465	12/05/2006	12/08/2006	901167106	TWTR	3000.0000	2.4253	7,275.90	32.96
11466	08/30/2007	09/05/2007	206710204	CCUR	4000.0000	1.4222	5,688.80	112.36
11467	10/26/2007	10/31/2007	00247X100	AAAE	5000.0000	1.2500	6,250.00	84.33
11468	04/22/2008	04/25/2008	38144H208	GFSI	5000.0000	1.5500	7,750.00	9.37
11469	04/07/2008	04/10/2008	74269U104	PKT	-5000.0000	1.3362	(6,681.00)	63.04
11470	01/16/2008	01/22/2008	29666M204	EPRT	40000.0000	0.1450	5,800.00	107.27
11471	10/05/2009	10/08/2009	492515101	KERX	3019.0000	2.2500	6,792.75	57.68
11472	03/31/2008	04/03/2008	68214U102	OFSI	5000.0000	1.2600	6,300.00	82.37
11473	10/06/2008	10/09/2008	721467108	PPC	3000.0000	2.4400	7,320.00	31.40
11474	11/08/2006	11/13/2006	97750V109	WIZD	-3100.0000	2.2000	(6,820.00)	56.40
11475	10/31/2006	11/03/2006	549463107	LU	-2982.0000	2.4410	(7,279.06)	33.50
11476	04/07/2008	04/10/2008	74269U104	PKT	-5000.0000	1.3424	(6,712.00)	61.89
11477	11/30/2009	12/03/2009	78402X107	DDD	12022.0000	0.5199	6,250.24	84.99
11478	02/05/2007	02/08/2007	247361108	DALRQ	3745.0000	1.2400	4,643.80	165.39
11479	10/01/2010	10/06/2010	50575Q102	LTS	-3671.0000	1.0543	(3,870.34)	204.19
11480	08/21/2009	08/26/2009	125581108	CIT	3500.0000	1.3694	4,792.90	158.08
11481	01/25/2006	01/30/2006	225223106	CRAY	-3000.0000	2.4500	(7,350.00)	30.34
11482	04/30/2010	05/05/2010	47777N101	JOEZ	-3000.0000	2.4563	(7,368.90)	29.68
11483	01/07/2010	01/12/2010	87161C105	SNV	3000.0000	2.4590	7,377.00	29.39
11484	09/17/2008	09/22/2008	026874107	AIG	-3133.0000	2.0000	(6,266.00)	85.08
11485	04/04/2008	04/09/2008	74269U104	PKT	-5000.0000	1.3576	(6,788.00)	59.04
11486	07/20/2006	07/25/2006	07159T100	BMGX	-7500.0000	0.8600	(6,450.00)	75.94
11487	03/05/2010	03/10/2010	82967N108	SIRI	5000.0000	0.9470	4,735.00	161.71
11488	05/29/2009	06/03/2009	370442105	GM	-6000.0000	0.7901	(4,740.60)	161.86
11489	11/05/2009	11/10/2009	43289P106	HIMX	-3000.0000	2.4760	(7,428.00)	27.59
11490	11/21/2008	11/26/2008	38144H208	GFSI	10000.0000	0.7500	7,500.00	24.00
11491	11/21/2008	11/26/2008	38144H208	GFSI	10000.0000	0.7000	7,000.00	49.00
11492	12/17/2009	12/22/2009	58447E108	MEDG	8400.0000	0.5671	4,763.64	160.82
11493	03/19/2009	03/24/2009	345370860	F	3000.0000	2.4793	7,437.90	27.25
11494	07/26/2007	07/31/2007	089084107	BCTE	5000.0000	1.2940	6,470.00	75.76
11495	08/21/2007	08/24/2007	206710204	CCUR	-3500.0000	1.2933	(4,526.55)	173.02
11496	01/30/2007	02/02/2007	388113102	GLIF	-77855.0000	0.0750	(5,839.13)	107.49
11497	09/06/2007	09/11/2007	206710204	CCUR	4000.0000	1.4799	5,919.60	103.62
11498	10/03/2008	10/08/2008	500747100	KOW	-13230.0000	0.2344	(3,101.11)	244.55
11499	09/15/2006	09/20/2006	247361108	DALRQ	5000.0000	0.9500	4,750.00	162.12
11500	07/02/2009	07/08/2009	98986M103	ZYXI	-5000.0000	0.9500	(4,750.00)	162.12
11501	04/03/2006	04/06/2006	976512103	WNWN	8000.0000	0.5938	4,750.40	162.13
11502	12/24/2007	12/28/2007	85857R105	STEM	-5150.0000	1.5200	(7,828.00)	8.60
11503	03/16/2010	03/19/2010	767754104	RAD	-5000.0000	1.5600	(7,800.00)	10.00
11504	08/22/2006	08/25/2006	37229P507	GTOP	3000.0000	2.5600	7,680.00	16.00
11505	02/10/2009	02/13/2009	192108108	CDE	-10000.0000	0.7449	(7,449.00)	27.55
11506	02/14/2007	02/20/2007	206710204	CCUR	5000.0000	1.4700	7,350.00	32.50
11507	09/02/2010	09/08/2010	00752K105	ACTC	100000.0000	0.0710	7,100.00	45.00
11508	01/17/2008	01/23/2008	29382L100	ETAD	-10000.0000	0.7000	(7,000.00)	50.00
11509	01/08/2009	01/13/2009	904572104	UNXL	-10000.0000	0.6125	(6,125.00)	93.75
11510	08/14/2006	08/17/2006	33938W206	FLXT	-4500.0000	1.3300	(5,985.00)	100.75
11511	01/13/2009	01/16/2009	904572104	UNXL	-10000.0000	0.5700	(5,700.00)	115.00
11512	12/31/2008	01/06/2009	720279108	PIR	15000.0000	0.3783	5,674.50	116.28
11513	02/22/2008	02/27/2008	74269U104	PKT	4500.0000	1.2400	5,580.00	121.00
11514	02/05/2009	02/10/2009	14067D102	CPST	5700.0000	0.9399	5,357.43	132.13

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11515	03/07/2008	03/12/2008	747906204	QTM	-3000.0000	2.5001	(7,500.30)	25.05
11516	10/31/2008	11/05/2008	635405103	NCC	-3000.0000	2.5000	(7,500.00)	25.06
11517	05/06/2010	05/11/2010	759720105	RCG	3000.0000	2.5000	7,500.00	25.06
11518	02/06/2007	02/09/2007	806732103	SCTN	-73173.0000	0.0650	(4,756.25)	162.29
11519	01/23/2006	01/26/2006	549463107	LU	-3000.0000	2.5100	(7,530.00)	24.00
11520	11/14/2006	11/17/2006	549463107	LU	-3000.0000	2.5150	(7,545.00)	23.47
11521	08/05/2008	08/08/2008	82966U103	SIRI	-4900.0000	1.4500	(7,105.00)	45.50
11522	06/29/2007	07/05/2007	593054109	MXOM	5000.0000	1.3210	6,605.00	70.50
11523	01/06/2010	01/11/2010	229393301	CYRV	-15000.0000	0.4000	(6,000.00)	100.82
11524	05/15/2008	05/20/2008	844909101	SWTX	-6000.0000	1.2043	(7,225.80)	39.90
11525	08/06/2007	08/09/2007	96328T109	WFYW	-30000.0000	0.1591	(4,773.00)	162.74
11526	06/04/2010	06/09/2010	676118102	OMEX	4500.0000	1.0800	4,860.00	158.67
11527	04/03/2009	04/08/2009	26200S101	DHF	3000.0000	2.5378	7,613.40	21.06
11528	02/24/2010	03/01/2010	759720105	RCG	3000.0000	2.5473	7,641.90	20.05
11529	10/06/2008	10/09/2008	635405103	NCC	-3000.0000	2.5493	(7,647.90)	19.85
11530	02/27/2009	03/04/2009	172967101	C	4500.0000	1.5500	6,975.00	53.59
11531	10/04/2007	10/10/2007	45244W100	IMDS	-90000.0000	0.0705	(6,345.00)	85.21
11532	06/13/2006	06/16/2006	247361108	DALRQ	6062.0000	0.7900	4,788.98	163.18
11533	02/24/2010	03/01/2010	759720105	RCG	3000.0000	2.5599	7,679.70	18.72
11534	01/28/2009	02/02/2009	422704106	HL	3000.0000	2.5599	7,679.70	18.72
11535	01/26/2006	01/31/2006	549463107	LU	-3000.0000	2.5600	(7,680.00)	18.71
11536	10/27/2008	10/30/2008	30033R108	ESLR	3000.0000	2.5600	7,680.00	18.71
11537	01/22/2010	01/27/2010	76129W105	RVP	-3500.0000	1.4804	(5,181.40)	143.67
11538	06/30/2009	07/06/2009	370442105	GMGMQ	-4500.0000	1.1000	(4,950.00)	155.43
11539	12/01/2008	12/04/2008	635405103	NCC	-4000.0000	1.8801	(7,520.40)	26.96
11540	08/30/2010	09/02/2010	53123T206	LBSR	50000.0000	0.1271	6,355.00	85.24
11541	06/05/2007	06/08/2007	519485106	AID	10000.0000	0.7098	7,098.00	48.33
11542	07/14/2009	07/17/2009	64190A103	NRO	-3265.0000	1.8840	(6,151.26)	95.70
11543	01/09/2008	01/14/2008	67106S100	OTD	-15000.0000	0.3200	(4,800.00)	163.48
11544	10/20/2008	10/23/2008	85771Q100	STCA	10000.0000	0.4800	4,800.00	163.48
11545	03/12/2008	03/17/2008	00651F108	ADPT	3000.0000	2.5796	7,738.80	16.64
11546	08/14/2009	08/19/2009	006854202	ADEP	-3000.0000	2.5815	(7,744.50)	16.44
11547	05/11/2010	05/14/2010	411307101	HNSN	3000.0000	2.5878	7,763.40	15.77
11548	11/26/2008	12/02/2008	290846104	EMKR	4000.0000	1.8999	7,599.60	23.98
11549	03/10/2009	03/13/2009	172967101	C	4300.0000	1.4200	6,106.00	98.75
11550	07/19/2006	07/24/2006	33938W206	FLXT	3400.0000	1.6985	5,774.90	115.37
11551	05/27/2009	06/01/2009	370442105	GM	-3662.0000	1.1600	(4,247.92)	191.77
11552	03/15/2010	03/18/2010	45244W100	IMDS	-170000.0000	0.0283	(4,811.00)	163.78
11553	03/23/2006	03/28/2006	020772109	APT	-3000.0000	2.6000	(7,800.00)	14.48
11554	03/23/2006	03/28/2006	020772109	APT	-3000.0000	2.6000	(7,800.00)	14.48
11555	08/25/2006	08/30/2006	377899109	GEMS	3000.0000	2.6000	7,800.00	14.48
11556	06/10/2008	06/13/2008	454072109	IDEV	5000.0000	1.3900	6,950.00	57.07
11557	09/29/2008	10/02/2008	845905108	SOV	-3000.0000	2.6100	(7,830.00)	13.42
11558	01/02/2008	01/07/2008	67106S100	OTD	-15000.0000	0.3213	(4,819.50)	164.01
11559	06/02/2009	06/05/2009	767754104	RAD	-5000.0000	1.4000	(7,000.00)	55.11
11560	01/10/2006	01/13/2006	00104G109	AESP	100000.0000	0.0750	7,500.00	30.13
11561	01/08/2008	01/11/2008	37229P507	GTOP	-10000.0000	0.7500	(7,500.00)	30.13
11562	06/13/2008	06/18/2008	640518106	NEOP	-10000.0000	0.7500	(7,500.00)	30.13
11563	11/30/2009	12/03/2009	254668106	DSCO	10000.0000	0.7500	7,500.00	30.13
11564	11/25/2009	12/01/2009	872449103	TIBB	-5737.0000	0.8500	(4,876.45)	161.47
11565	08/20/2010	08/25/2010	76154Q106	RMCP	-15000.0000	0.3217	(4,825.50)	164.17
11566	08/13/2010	08/18/2010	86806M205	SUPR	-3500.0000	1.5363	(5,377.05)	136.78
11567	09/02/2009	09/08/2009	865378103	SUF	5000.0000	1.4099	7,049.50	53.19
11568	12/26/2006	12/29/2006	836157107	SOPO	5797.0000	1.3983	8,105.95	0.49
11569	07/06/2009	07/09/2009	268157104	DYNT	6700.0000	0.7209	4,830.03	164.29
11570	03/20/2009	03/25/2009	172967101	C	3000.0000	2.6300	7,890.00	11.30
11571	03/20/2009	03/25/2009	172967101	C	3000.0000	2.6299	7,889.70	11.32

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11572	03/20/2009	03/25/2009	172967101	C	3000.0000	2.6299	7,889.70	11.32
11573	08/18/2006	08/23/2006	75061P102	RAE	-3000.0000	2.6301	(7,890.30)	11.30
11574	06/26/2009	07/01/2009	92823T108	VHC	3500.0000	1.5446	5,406.10	135.76
11575	07/21/2009	07/24/2009	87538X105	TLF	-3100.0000	2.3900	(7,409.00)	35.63
11576	02/29/2008	03/05/2008	725848105	PZZI	-3000.0000	2.6382	(7,914.60)	10.44
11577	06/05/2009	06/10/2009	370442105	GMGMQ	5000.0000	0.9670	4,835.00	164.43
11578	10/10/2008	10/16/2008	76120W302	RSO	3000.0000	2.6399	7,919.70	10.26
11579	12/14/2007	12/19/2007	67106S100	OTD	-15000.0000	0.3224	(4,836.00)	164.45
11580	07/11/2007	07/16/2007	254668106	DSCO	3000.0000	2.6422	7,926.60	10.01
11581	10/01/2009	10/06/2009	14888U101	CPRX	-3500.0000	1.5500	(5,425.00)	135.09
11582	12/22/2009	12/28/2009	68555P100	ORBC	3000.0000	2.6500	7,950.00	9.19
11583	01/11/2006	01/17/2006	549463107	LU	-3000.0000	2.6500	(7,950.00)	9.19
11584	03/23/2009	03/26/2009	19247W102	RLF	-3269.0000	1.9591	(6,404.30)	86.71
11585	07/27/2007	08/01/2007	98155N106	WWAT	3120.0000	2.2268	6,947.62	59.70
11586	02/06/2009	02/11/2009	45928H106	ICO	-3000.0000	2.6601	(7,980.30)	8.12
11587	12/15/2008	12/18/2008	46614H301	JRT	5000.0000	0.9700	4,850.00	164.83
11588	03/13/2006	03/16/2006	16117M107	CHTR	-5000.0000	0.9701	(4,850.50)	164.85
11589	06/17/2010	06/22/2010	09250E107	HIS	3300.0000	1.9700	6,501.00	82.40
11590	06/17/2010	06/22/2010	09250E107	HIS	3300.0000	1.9700	6,501.00	82.40
11591	12/15/2008	12/18/2008	607500105	MDVX	4000.0000	1.9700	7,880.00	13.48
11592	03/24/2008	03/27/2008	00651F108	ADPT	3000.0000	2.6690	8,007.00	7.18
11593	01/09/2009	01/14/2009	345370860	F	-3000.0000	2.6700	(8,010.00)	7.07
11594	01/30/2009	02/04/2009	447011107	HUN	3000.0000	2.6699	8,009.70	7.08
11595	06/04/2009	06/09/2009	767754104	RAD	3500.0000	1.5799	5,529.65	131.40
11596	02/23/2007	02/28/2007	747906204	QTM	3000.0000	2.6779	8,033.70	6.24
11597	04/16/2008	04/21/2008	20450U106	CKNO	-3500.0000	1.5857	(5,549.95)	130.68
11598	12/23/2008	12/29/2008	989834106	ZF	3000.0000	2.6880	8,064.00	5.17
11599	01/30/2006	02/02/2006	549463107	LU	-3000.0000	2.6900	(8,070.00)	4.96
11600	11/20/2008	11/25/2008	74963L103	RMA	-6660.0000	0.7305	(4,865.13)	165.24
11601	01/25/2006	01/30/2006	69901U108	POGI	-20000.0000	0.2800	(5,600.00)	128.84
11602	09/17/2008	09/22/2008	026874107	AIG	3000.0000	2.7000	8,100.00	3.90
11603	12/12/2008	12/17/2008	345370860	F	3000.0000	2.6999	8,099.70	3.91
11604	05/08/2009	05/13/2009	026874107	AIG	-3286.0000	2.0000	(6,572.00)	80.31
11605	08/06/2009	08/11/2009	313586109	FNM	8000.0000	0.8088	6,470.40	85.52
11606	02/18/2009	02/23/2009	316773100	FITB	4000.0000	1.5490	6,196.00	99.30
11607	03/21/2006	03/24/2006	45885A102	IIP	5000.0000	1.0100	5,050.00	156.63
11608	03/21/2006	03/24/2006	37941L206	GAXC	-5000.0000	1.0100	(5,050.00)	156.63
11609	12/20/2007	12/26/2007	357288109	FMT	-3000.0000	2.7057	(8,117.10)	3.29
11610	02/08/2006	02/13/2006	169419108	CHMD	13000.0000	0.3750	4,875.00	165.51
11611	01/28/2009	02/02/2009	466090107	JASO	3000.0000	2.7100	8,130.00	2.84
11612	01/21/2010	01/26/2010	82967N108	SIRI	-6779.0000	0.7193	(4,876.13)	165.54
11613	06/10/2010	06/15/2010	82967N108	SIRI	5000.0000	0.9753	4,876.50	165.56
11614	06/14/2007	06/19/2007	42223C106	HSPO	3300.0000	2.0100	6,633.00	77.74
11615	06/29/2010	07/02/2010	814785309	SNFCA	-3233.0000	2.0145	(6,512.88)	83.77
11616	02/11/2008	02/14/2008	803050103	SAOL	70000.0000	0.1000	7,000.00	59.50
11617	10/12/2006	10/17/2006	928497106	VTSS	-5000.0000	1.0200	(5,100.00)	154.71
11618	03/06/2009	03/11/2009	172967101	C	5000.0000	1.0200	5,100.00	154.71
11619	03/28/2007	04/02/2007	53221W101	LSPN	-40000.0000	0.1900	(7,600.00)	29.72
11620	12/04/2009	12/09/2009	343389102	FTK	-5000.0000	1.0201	(5,100.50)	154.70
11621	04/09/2007	04/12/2007	16117M107	CHTR	-3000.0000	2.7200	(8,160.00)	1.78
11622	03/20/2009	03/25/2009	920961109	VVR	3110.0000	2.3194	7,213.33	49.21
11623	03/28/2008	04/02/2008	68214U102	OFSI	5000.0000	1.4900	7,450.00	37.59
11624	03/17/2010	03/22/2010	85517P101	CIGX	-3500.0000	1.6242	(5,684.70)	125.93
11625	06/03/2008	06/06/2008	252785100	DHCC	-3500.0000	1.6300	(5,705.00)	125.21
11626	03/15/2010	03/18/2010	458738101	ILI	5000.0000	0.9781	4,890.50	165.94
11627	06/14/2007	06/19/2007	91729G301	UDW	-10834.0000	0.6000	(6,500.40)	85.60
11628	03/19/2009	03/24/2009	026874107	AIG	-5000.0000	1.5000	(7,500.00)	35.65

Acct Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11629	12/23/2008	12/29/2008	87311M102	TXCO	-5000.0000	1.5000	(7,500.00)	35.65
11630	01/16/2009	01/22/2009	16117M107	CHTR	3100.0000	2.5000	7,750.00	23.61
11631	06/05/2009	06/10/2009	197231103	CBBO	-3100.0000	2.5000	(7,750.00)	23.61
11632	03/17/2006	03/22/2006	37941L206	GAXC	-5000.0000	1.0440	(5,220.00)	150.13
11633	07/23/2010	07/28/2010	82967N108	SIRI	-5000.0000	0.9799	(4,899.50)	166.18
11634	02/14/2007	02/20/2007	49689D205	KNGS	-70000.0000	0.0700	(4,900.00)	166.19
11635	09/29/2008	10/02/2008	92933E108	WSFG	5000.0000	0.9800	4,900.00	166.19
11636	09/12/2008	09/17/2008	04349Y107	ASDS	-10000.0000	0.4900	(4,900.00)	166.19
11637	07/22/2010	07/27/2010	40204A107	GNXP	7000.0000	0.7000	4,900.00	166.19
11638	12/24/2009	12/30/2009	52729N100	LVL	-5000.0000	1.5100	(7,550.00)	33.70
11639	07/29/2008	08/01/2008	291648103	EEGC	35000.0000	0.1861	6,513.50	85.63
11640	03/15/2010	03/18/2010	27922X105	ESPH	5000.0000	1.0500	5,250.00	148.99
11641	03/15/2010	03/18/2010	27922X105	ESPH	5000.0000	1.0500	5,250.00	148.99
11642	03/15/2010	03/18/2010	27922X105	ESPH	5000.0000	1.0500	5,250.00	148.99
11643	03/15/2010	03/18/2010	27922X105	ESPH	5000.0000	1.0500	5,250.00	148.99
11644	05/19/2008	05/22/2008	252785100	DHCC	-3500.0000	1.6500	(5,775.00)	122.75
11645	03/13/2006	03/16/2006	16117M107	CHTR	-5000.0000	0.9827	(4,913.50)	166.56
11646	03/02/2009	03/05/2009	425166303	HLDCY	-1650.0000	3.1000	(5,115.00)	156.75
11647	09/08/2008	09/11/2008	313400301	FRE	5000.0000	1.0700	5,350.00	145.16
11648	09/18/2009	09/23/2009	254668106	DSCO	5000.0000	1.0700	5,350.00	145.16
11649	12/05/2006	12/08/2006	53634X100	LQMT	-5000.0000	1.5404	(7,702.00)	27.78
11650	01/19/2006	01/24/2006	03815A106	APN	-4500.0000	1.7520	(7,884.00)	18.86
11651	12/31/2007	01/04/2008	917569105	UTUC	-8264.0000	0.8000	(6,611.20)	82.64
11652	02/04/2010	02/09/2010	468202106	JTX	2941.0000	2.8000	8,234.80	1.58
11653	05/15/2007	05/18/2007	137225108	CNR	10000.0000	0.7083	7,083.00	59.43
11654	02/29/2008	03/05/2008	917273104	ULGX	5000.0000	1.0865	5,432.50	142.02
11655	12/28/2007	01/03/2008	05348W109	AVNX	7000.0000	1.0891	7,623.70	32.52
11656	12/03/2008	12/08/2008	00846L101	FEED	4000.0000	1.6393	6,557.20	86.04
11657	02/23/2010	02/26/2010	68403Y105	OPTZ	-3758.0000	0.1700	(638.86)	263.95
11658	02/01/2010	02/04/2010	68210T208	OMNI	-5000.0000	1.5601	(7,800.50)	23.93
11659	01/25/2010	01/28/2010	92886T201	VG	5000.0000	1.5599	7,799.50	23.99
11660	05/29/2009	06/03/2009	370442105	GM	-6000.0000	0.8233	(4,939.80)	167.27
11661	05/15/2007	05/18/2007	137225108	CNR	10000.0000	0.7100	7,100.00	59.41
11662	06/15/2010	06/18/2010	82932T107	SITO	-5000.0000	1.1000	(5,500.00)	139.43
11663	07/24/2006	07/27/2006	222479107	CYLC	5000.0000	1.1000	5,500.00	139.43
11664	07/24/2006	07/27/2006	222479107	CYLC	5000.0000	1.1000	5,500.00	139.43
11665	12/29/2008	01/02/2009	895735108	TIV	-5000.0000	1.5700	(7,850.00)	22.01
11666	05/13/2009	05/18/2009	195493309	CNB	5000.0000	1.5700	7,850.00	22.01
11667	12/08/2006	12/13/2006	29382F103	ENMD	-3900.0000	1.8231	(7,110.09)	59.10
11668	06/25/2009	06/30/2009	370442105	GMGMQ	-3750.0000	1.1800	(4,425.00)	193.48
11669	09/26/2008	10/01/2008	757004106	RRPH	200000.0000	0.0250	5,000.00	164.96
11670	03/20/2006	03/23/2006	123720104	BUKS	10000.0000	0.5000	5,000.00	164.96
11671	03/01/2007	03/06/2007	83540T109	SNMB	-10000.0000	0.5000	(5,000.00)	164.96
11672	12/16/2009	12/21/2009	09548T106	BGEM	10000.0000	0.5000	5,000.00	164.96
11673	07/02/2009	07/08/2009	19105E103	CGCA	-10000.0000	0.5000	(5,000.00)	164.96
11674	01/18/2006	01/23/2006	131720104	CLYW	10000.0000	0.5000	5,000.00	164.96
11675	09/07/2010	09/10/2010	536804107	LTUM	15000.0000	0.3300	4,950.00	167.55
11676	09/29/2008	10/02/2008	635405103	NCC	5000.0000	1.5800	7,900.00	20.07
11677	07/20/2009	07/23/2009	536252109	LIOX	-3200.0000	2.3500	(7,520.00)	39.10
11678	08/07/2006	08/10/2006	460588106	IPA	5700.0000	1.2499	7,124.43	59.31
11679	03/27/2009	04/01/2009	521865105	LEA	-5000.0000	0.9913	(4,956.50)	167.73
11680	04/23/2010	04/28/2010	82967N108	SIRI	5000.0000	1.1198	5,599.00	135.65
11681	06/19/2008	06/24/2008	30218U108	XJT	5000.0000	1.1200	5,600.00	135.62
11682	09/24/2008	09/29/2008	313586109	FNM	-3496.0000	1.7307	(6,050.53)	113.13
11683	12/08/2009	12/11/2009	45248F103	IMGG	5000.0000	1.1224	5,612.00	135.15
11684	11/01/2006	11/06/2006	549463107	LU	-3120.0000	2.4210	(7,553.52)	38.40
11685	09/19/2006	09/22/2006	97750V109	WIZD	5000.0000	1.6000	8,000.00	16.18

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11686	11/15/2007	11/20/2007	351465109	FXPE	5000.0000	1.1320	5,660.00	133.32
11687	01/18/2008	01/24/2008	671065100	OTD	-15000.0000	0.3349	(5,023.50)	165.33
11688	06/17/2008	06/20/2008	36238T104	GTCB	-15000.0000	0.4420	(6,630.00)	85.09
11689	02/22/2006	02/27/2006	974280109	WNDXQ	15000.0000	0.3350	5,025.00	165.35
11690	03/20/2008	03/26/2008	98416J100	XRM	4589.0000	1.2199	5,598.12	136.76
11691	03/16/2009	03/19/2009	989834106	ZF	3200.0000	2.3880	7,641.60	34.81
11692	09/20/2006	09/25/2006	05348W109	AVNX	-5000.0000	1.5466	(7,733.00)	30.35
11693	04/28/2006	05/03/2006	928628106	VOII	-5000.0000	1.1500	(5,750.00)	129.88
11694	10/13/2006	10/18/2006	235496403	DFCO	5000.0000	1.1500	5,750.00	129.88
11695	01/08/2008	01/11/2008	671065100	OTD	-15000.0000	0.3359	(5,038.50)	165.56
11696	01/25/2010	01/28/2010	74765E109	QTWW	5300.0000	0.9399	4,981.47	168.41
11697	04/14/2010	04/19/2010	922587100	VMCI	-84000.0000	0.0600	(5,040.00)	165.58
11698	07/02/2009	07/08/2009	370442105	GMGMQ	-6000.0000	0.8400	(5,040.00)	165.58
11699	09/21/2007	09/26/2007	29759M103	ETLC	50000.0000	0.1150	5,750.00	130.19
11700	03/24/2009	03/27/2009	82967N108	SIRI	15000.0000	0.3326	4,989.00	168.61
11701	09/15/2009	09/18/2009	767754104	RAD	-3500.0000	1.7803	(6,231.05)	106.67
11702	12/31/2009	01/06/2010	45972C102	INIS	-12000.0000	0.4800	(5,760.00)	130.28
11703	01/11/2008	01/16/2008	671065100	OTD	-15000.0000	0.3328	(4,992.00)	168.69
11704	03/29/2006	04/03/2006	33938W206	FLXT	3300.0000	2.1930	7,236.90	56.46
11705	09/09/2008	09/12/2008	313586109	FNM	5000.0000	0.9988	4,994.00	168.75
11706	11/01/2006	11/06/2006	978093102	WLV	-5000.0000	1.1684	(5,842.00)	126.36
11707	09/29/2006	10/04/2006	43741N103	HISC	1000000.0000	0.0078	7,800.00	28.89
11708	08/18/2009	08/21/2009	090621103	BONU	-11000.0000	0.4600	(5,060.00)	165.89
11709	02/10/2006	02/15/2006	025636200	AEGG	-4542.0000	1.6357	(7,429.35)	47.53
11710	11/24/2008	11/28/2008	68210T208	OMNI	7000.0000	1.1070	7,749.00	31.75
11711	11/24/2008	11/28/2008	336453105	FSNM	5000.0000	1.6577	8,288.50	4.94
11712	01/09/2009	01/14/2009	367220100	GSX	13250.0000	0.5896	7,812.20	28.84
11713	05/06/2009	05/11/2009	150934503	CTIC	5000.0000	1.1890	5,945.00	122.43
11714	12/28/2006	01/03/2007	978093102	WLV	-5000.0000	1.1901	(5,950.50)	122.22
11715	11/26/2008	12/02/2008	74347R776	UYM	200.0000	12.9900	2,598.00	270.00
11716	11/26/2008	12/02/2008	74347R776	UYM	100.0000	12.9900	1,299.00	270.00
11717	11/26/2008	12/02/2008	74347R776	UYM	100.0000	12.9900	1,299.00	270.00
11718	11/26/2008	12/02/2008	74347R776	UYM	100.0000	12.9899	1,298.99	270.00
11719	11/26/2008	12/02/2008	74347R776	UYM	100.0000	12.9898	1,298.98	270.00
11720	11/26/2008	12/02/2008	74347R776	UYM	100.0000	12.9898	1,298.98	270.00
11721	11/26/2008	12/02/2008	74347R776	UYM	100.0000	12.9897	1,298.97	270.00
11722	11/26/2008	12/02/2008	74347R776	UYM	100.0000	12.9897	1,298.97	270.00
11723	11/26/2008	12/02/2008	74347R776	UYM	100.0000	12.9897	1,298.97	270.00
11724	01/10/2008	01/15/2008	671065100	OTD	-15000.0000	0.3386	(5,079.00)	166.19
11725	06/17/2009	06/22/2009	026874107	AIG	-3700.0000	1.4500	(5,365.00)	151.94
11726	02/27/2008	03/03/2008	351465109	FXPE	5000.0000	1.2000	6,000.00	120.33
11727	11/13/2009	11/18/2009	591556105	MTIZ	-1000000.0000	0.0070	(7,000.00)	70.42
11728	12/17/2009	12/22/2009	561911108	HYF	3400.0000	2.0246	6,883.64	76.28
11729	10/28/2008	10/31/2008	313400301	FRE	5600.0000	0.9079	5,084.24	166.27
11730	11/20/2008	11/25/2008	313586109	FNM	13750.0000	0.3700	5,087.50	166.32
11731	01/15/2009	01/21/2009	026874107	AIG	-3700.0000	1.4601	(5,402.37)	150.62
11732	02/11/2008	02/14/2008	29256X107	ENCY	-10000.0000	0.7841	(7,841.00)	28.72
11733	10/12/2007	10/17/2007	05348W109	AVNX	4000.0000	1.8852	7,540.80	43.76
11734	12/29/2009	01/04/2010	796043107	SSN	22500.0000	0.2280	5,130.00	164.50
11735	04/20/2010	04/23/2010	48023P106	JSDA	5000.0000	1.2119	6,059.50	118.06
11736	12/29/2009	01/04/2010	796043107	SSN	22500.0000	0.2265	5,096.25	166.46
11737	01/13/2009	01/16/2009	52729N100	LVL	-5000.0000	1.2180	(6,090.00)	116.89
11738	03/22/2006	03/27/2006	247126105	DPHIQ	-4000.0000	1.0000	(4,000.00)	221.46
11739	01/14/2008	01/17/2008	671065100	OTD	-15000.0000	0.3400	(5,100.00)	166.51
11740	03/13/2009	03/18/2009	71902E109	PNX	-10000.0000	0.5100	(5,100.00)	166.51
11741	03/29/2007	04/03/2007	247361108	DALRQ	15000.0000	0.3400	5,100.00	166.51
11742	07/09/2010	07/14/2010	88289Q109	TEXC	30000.0000	0.1700	5,100.00	166.51

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11743	07/28/2009	07/31/2009	627330103	MFLI	-1000000.0000	0.0051	(5,100.00)	166.51
11744	01/25/2008	01/30/2008	01374L109	ALMY	10000.0000	0.5100	5,100.00	166.51
11745	11/17/2009	11/20/2009	591556105	MTIZ	-850000.0000	0.0060	(5,100.00)	166.51
11746	01/16/2008	01/22/2008	67106S100	OTD	-15000.0000	0.3403	(5,104.50)	166.59
11747	08/28/2009	09/02/2009	62010A105	MTLQQ	-6213.0000	0.8220	(5,107.09)	166.63
11748	03/13/2009	03/18/2009	29362U104	ENTG	8000.0000	0.8400	6,720.00	86.12
11749	01/10/2007	01/16/2007	59507Q106	MII	-7000.0000	0.7300	(5,110.00)	166.67
11750	03/19/2009	03/24/2009	313586109	FNM	-7100.0000	1.0702	(7,598.42)	42.38
11751	03/19/2009	03/24/2009	313586109	FNM	-7100.0000	1.0802	(7,669.42)	39.45
11752	03/02/2010	03/05/2010	607500105	MDVX	5000.0000	1.2450	6,225.00	111.73
11753	02/20/2008	02/25/2008	29256X107	ENCY	-3300.0000	2.2908	(7,559.64)	45.07
11754	09/14/2009	09/17/2009	53224G301	LSCG	10000.0000	0.7552	7,552.00	45.48
11755	04/26/2006	05/01/2006	007947104	AERTA	3350.0000	2.0800	6,968.00	74.84
11756	08/12/2008	08/15/2008	957669104	ZIF	-3391.0000	2.0806	(7,055.31)	70.50
11757	07/24/2007	07/27/2007	707573101	POCC	3700.0000	1.5073	5,577.01	144.47
11758	12/04/2009	12/09/2009	87161C105	SNV	-4146.0000	1.9401	(8,043.65)	21.20
11759	01/14/2010	01/20/2010	984249102	YRCW	4000.0000	1.0294	4,117.60	217.53
11760	04/13/2007	04/18/2007	13710T100	CAUI	4000.0000	1.4000	5,600.00	143.49
11761	06/04/2009	06/09/2009	464119106	IFC	-6000.0000	0.8561	(5,136.60)	167.08
11762	02/21/2008	02/26/2008	904572104	UNXL	-10600.0000	0.6094	(6,459.64)	101.02
11763	12/08/2006	12/13/2006	29382F103	ENMD	-4000.0000	1.8313	(7,325.20)	57.83
11764	06/15/2009	06/18/2009	195493309	CNB	4000.0000	1.0397	4,158.80	216.15
11765	08/03/2009	08/06/2009	64190A103	NRO	3250.0000	2.3196	7,538.70	47.53
11766	10/07/2009	10/13/2009	90262T308	UCBH	-5000.0000	1.2704	(6,352.00)	106.88
11767	12/08/2006	12/13/2006	29382F103	ENMD	-4000.0000	1.8400	(7,360.00)	56.55
11768	12/17/2009	12/22/2009	984249102	YRCW	4000.0000	1.0494	4,197.60	214.85
11769	12/08/2006	12/13/2006	63008A107	NSLT	7500.0000	0.6867	5,150.25	167.29
11770	03/11/2009	03/16/2009	989834106	ZF	3277.0000	2.3300	7,635.41	43.19
11771	01/25/2006	01/30/2006	92644A106	VTYC	50000.0000	0.1175	5,875.00	131.31
11772	05/13/2009	05/18/2009	150934503	CTIC	-5000.0000	1.2810	(6,405.00)	104.85
11773	02/27/2008	03/03/2008	69325N102	PCSV	3900.0000	1.2000	4,680.00	191.34
11774	09/11/2009	09/16/2009	699157103	PLLL	3200.0000	2.5690	8,220.80	14.39
11775	11/19/2008	11/24/2008	46614H301	JRT	4000.0000	1.0600	4,240.00	213.43
11776	03/13/2009	03/18/2009	71902E109	PNX	12000.0000	0.4300	5,160.00	167.44
11777	06/16/2010	06/21/2010	561911108	HYF	-3400.0000	2.1316	(7,247.44)	63.45
11778	10/31/2008	11/05/2008	721467108	PPC	4000.0000	1.0700	4,280.00	212.09
11779	07/06/2006	07/11/2006	23321E103	DHBT	-8000.0000	0.8500	(6,800.00)	86.32
11780	03/19/2007	03/22/2007	67030P107	NSOL	10000.0000	0.5900	5,900.00	131.54
11781	10/27/2008	10/30/2008	472100106	JYHW	-10000.0000	0.5900	(5,900.00)	131.54
11782	03/15/2007	03/20/2007	207142100	CNXT	-4500.0000	1.6900	(7,605.00)	46.86
11783	08/11/2009	08/14/2009	760112102	RTK	5000.0000	1.3199	6,599.50	97.43
11784	03/07/2006	03/10/2006	16117M107	CHTR	-4000.0000	1.0900	(4,360.00)	209.42
11785	03/07/2006	03/10/2006	16117M107	CHTR	-4000.0000	1.0900	(4,360.00)	209.42
11786	11/03/2009	11/06/2009	450732201	IBAS	-3760.0000	2.2600	(8,497.60)	2.69
11787	01/28/2009	02/02/2009	195493309	CNB	10000.0000	0.8000	8,000.00	28.06
11788	02/13/2009	02/19/2009	746235100	PSPM	-20000.0000	0.4000	(8,000.00)	28.06
11789	05/04/2006	05/09/2006	629196106	NMCX	400000.0000	0.0200	8,000.00	28.06
11790	04/22/2008	04/25/2008	757736103	RPBC	-10000.0000	0.5200	(5,200.00)	168.06
11791	04/21/2008	04/24/2008	757736103	RPBC	-10000.0000	0.5200	(5,200.00)	168.06
11792	03/29/2007	04/03/2007	123720104	BUKS	10000.0000	0.5200	5,200.00	168.06
11793	09/18/2009	09/23/2009	30208Q109	EXBX	-100000.0000	0.0520	(5,200.00)	168.06
11794	08/04/2008	08/07/2008	05348W109	AVNX	8000.0000	0.6500	5,200.00	168.06
11795	07/12/2006	07/17/2006	45768R305	INFN	-4000.0000	1.1000	(4,400.00)	208.08
11796	01/06/2009	01/09/2009	339099103	FLTW	60000.0000	0.0867	5,202.00	168.10
11797	07/06/2007	07/11/2007	784933103	SGRP	5000.0000	1.3350	6,675.00	94.54
11798	04/22/2009	04/27/2009	87311M102	TXCO	-7600.0000	0.7300	(5,548.00)	150.92
11799	04/13/2007	04/18/2007	031539109	AMNT	3700.0000	1.6000	5,920.00	132.37

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11800	07/24/2009	07/29/2009	026874784	AIG	-175.0000	12.2412	(2,142.21)	278.44
11801	08/26/2009	08/31/2009	313586109	FNM	-3500.0000	1.9800	(6,930.00)	82.02
11802	08/03/2009	08/06/2009	195493309	CNB	10000.0000	0.5210	5,210.00	168.22
11803	08/08/2008	08/13/2008	607500105	MDVX	4000.0000	1.9188	7,675.20	44.97
11804	03/21/2007	03/26/2007	42235Q101	HOGC	44000.0000	0.1185	5,214.00	168.28
11805	02/24/2006	03/01/2006	378970107	GBVS	5000.0000	1.3500	6,750.00	91.68
11806	06/02/2006	06/07/2006	46426P103	ISO	18000.0000	0.2900	5,220.00	168.37
11807	03/10/2010	03/15/2010	313586737	FNM.PRT	-4000.0000	1.1201	(4,480.40)	205.39
11808	06/23/2009	06/26/2009	169424108	CNTF	-3500.0000	2.0000	(7,000.00)	79.55
11809	04/29/2009	05/04/2009	370442105	GM	3525.0000	1.8299	6,450.40	107.12
11810	06/26/2006	06/29/2006	655555100	NRDS	-6000.0000	0.8708	(5,224.80)	168.45
11811	06/29/2009	07/02/2009	036642106	AIS	4000.0000	1.1300	4,520.00	204.06
11812	01/20/2010	01/25/2010	150934503	CTIC	3928.0000	1.1400	4,477.92	206.20
11813	10/22/2009	10/27/2009	767754104	RAD	-5000.0000	1.3701	(6,850.50)	87.84
11814	03/13/2006	03/16/2006	09250E107	HIS	3300.0000	2.4500	8,085.00	26.55
11815	06/21/2006	06/26/2006	669789109	NVAO	3500.0000	2.0329	7,115.15	75.49
11816	12/27/2007	01/02/2008	67106S100	OTD	-15000.0000	0.3500	(5,250.00)	168.84
11817	01/15/2008	01/18/2008	67106S100	OTD	-15000.0000	0.3500	(5,250.00)	168.84
11818	07/07/2010	07/12/2010	871105102	SWRF	-10500.0000	0.5000	(5,250.00)	168.84
11819	08/02/2006	08/07/2006	49834X106	KWBT	-15000.0000	0.3500	(5,250.00)	168.84
11820	07/07/2010	07/12/2010	871105102	SWRF	5828.0000	0.9010	5,251.03	168.86
11821	04/04/2007	04/10/2007	82934C102	SOBM	5000.0000	1.3900	6,950.00	84.04
11822	06/10/2009	06/15/2009	97750V109	WZE	10000.0000	0.6900	6,900.00	86.56
11823	10/03/2008	10/08/2008	53630P101	LIPD	777400.0000	0.0110	8,551.40	4.32
11824	09/05/2008	09/10/2008	53227S104	LWLG	-4000.0000	1.1600	(4,640.00)	200.05
11825	12/09/2009	12/14/2009	91704A105	URBF	4000.0000	1.1600	4,640.00	200.05
11826	09/16/2009	09/21/2009	767754104	RAD	-3500.0000	2.0503	(7,176.05)	73.35
11827	03/17/2009	03/20/2009	40108N106	GFG	15000.0000	0.4800	7,200.00	72.43
11828	02/19/2010	02/24/2010	062128103	BOFL	-4000.0000	1.1706	(4,682.40)	198.63
11829	03/10/2010	03/15/2010	298734104	EUGS	24000.0000	0.2200	5,280.00	169.31
11830	05/28/2009	06/02/2009	370442105	GM	-4000.0000	1.1900	(4,760.00)	196.03
11831	01/15/2010	01/21/2010	254668106	DSCO	10500.0000	0.7750	8,137.50	27.50
11832	10/22/2007	10/25/2007	641876107	HYB	-4693.0000	1.8500	(8,682.05)	0.32
11833	05/04/2006	05/09/2006	29382F103	ENMD	3500.0000	2.0980	7,343.00	67.46
11834	11/09/2009	11/13/2009	125581108	CITGQ	25000.0000	0.2120	5,300.00	169.62
11835	09/06/2006	09/11/2006	80603Q105	SCNI	-10000.0000	0.5300	(5,300.00)	169.62
11836	09/28/2010	10/01/2010	229675103	QBC	-7500.0000	0.7069	(5,301.75)	169.64
11837	10/26/2010	10/29/2010	12008R107	BLDR	-3500.0000	2.1013	(7,354.55)	67.05
11838	08/19/2009	08/24/2009	82967N108	SIRI	9000.0000	0.5893	5,303.70	169.68
11839	05/04/2009	05/07/2009	42327Y103	HMH	-7000.0000	0.7604	(5,322.80)	168.86
11840	03/24/2010	03/29/2010	984249102	YRCW	-10000.0000	0.5309	(5,309.00)	169.76
11841	03/02/2009	03/05/2009	172967101	C	4000.0000	1.2100	4,840.00	193.35
11842	12/18/2008	12/23/2008	313586752	FNM.PRS	-6250.0000	0.8500	(5,312.50)	169.82
11843	01/20/2006	01/25/2006	74269U104	PRNW	10000.0000	0.7538	7,538.00	59.04
11844	12/07/2007	12/12/2007	68619Y105	OTHM	-10000.0000	0.8175	(8,175.00)	27.34
11845	01/07/2008	01/10/2008	67106S100	OTD	-15000.0000	0.3549	(5,323.50)	169.99
11846	08/10/2009	08/13/2009	313586109	FNM	-7920.0000	0.8828	(6,991.78)	86.78
11847	07/06/2009	07/09/2009	92764R103	VRAL	-48000.0000	0.1110	(5,328.00)	170.05
11848	06/18/2009	06/23/2009	91688W107	UPTR	-25000.0000	0.2800	(7,000.00)	86.52
11849	01/03/2006	01/06/2006	928628106	VOII	-5100.0000	1.3500	(6,885.00)	92.41
11850	08/10/2009	08/13/2009	62010A105	MTLQQ	-7893.0000	0.9570	(7,553.60)	59.02
11851	09/05/2006	09/08/2006	549463107	LU	-3400.0000	2.3501	(7,990.34)	37.25
11852	10/06/2006	10/12/2006	29382F103	ENMD	4000.0000	2.0709	8,283.60	22.63
11853	11/25/2008	12/01/2008	370021107	GGP	5000.0000	1.4800	7,400.00	66.84
11854	10/24/2008	10/29/2008	45031U101	SFI	4000.0000	1.2500	5,000.00	186.93
11855	08/02/2010	08/05/2010	585013105	IMZEI	18100.0000	0.2950	5,339.50	170.23
11856	09/22/2008	09/25/2008	313586109	FNM	6000.0000	0.8900	5,340.00	170.24

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11857	12/20/2006	12/26/2006	247361108	DALRQ	3984.0000	1.2600	5,019.84	186.53
11858	10/27/2006	11/01/2006	29382F103	ENMD	4000.0000	2.0894	8,357.60	19.91
11859	05/22/2007	05/25/2007	302311105	EZEN	-4000.0000	1.2680	(5,072.00)	184.39
11860	09/28/2009	10/01/2009	837841105	TSFG	5000.0000	1.5000	7,500.00	63.02
11861	04/16/2009	04/21/2009	720279108	PIR	-4000.0000	1.2702	(5,080.80)	184.08
11862	04/21/2009	04/24/2009	58047P107	MCGC	4000.0000	1.2716	5,086.40	183.88
11863	03/04/2008	03/07/2008	917027104	URCO	-5000.0000	1.5040	(7,520.00)	62.26
11864	11/07/2007	11/13/2007	74621R104	PBOF	8000.0000	0.6700	5,360.00	170.55
11865	05/12/2006	05/17/2006	05348W109	AVNX	3546.0000	2.0037	7,105.12	83.38
11866	08/06/2009	08/11/2009	313400301	FRE	8000.0000	0.8798	7,038.40	86.90
11867	11/16/2009	11/19/2009	82967N108	SIRI	10000.0000	0.6551	6,551.00	111.54
11868	03/03/2008	03/06/2008	876287103	TARR	-3735.0000	1.6187	(6,045.84)	136.87
11869	04/13/2009	04/16/2009	026874107	AIG	-4000.0000	1.2900	(5,160.00)	181.29
11870	03/12/2010	03/17/2010	939322103	WAMUQ	-60000.0000	0.1020	(6,120.00)	133.51
11871	11/30/2009	12/03/2009	591556105	MTIZ	-100000.0000	0.0070	(7,000.00)	89.53
11872	01/06/2006	01/11/2006	449345107	ICAD	6500.0000	1.3426	8,726.90	3.19
11873	05/05/2006	05/10/2006	629196106	NMCX	344000.0000	0.0240	8,256.00	27.00
11874	10/11/2007	10/16/2007	131347106	CPNLQ	-3712.0000	1.6800	(6,236.16)	127.99
11875	05/08/2006	05/11/2006	222814204	DVW	-3448.0000	2.2036	(7,598.01)	60.16
11876	08/11/2010	08/16/2010	16941J106	CPSL	5000.0000	1.5400	7,700.00	55.38
11877	02/12/2010	02/18/2010	536804107	LTUM	4000.0000	1.3100	5,240.00	178.47
11878	06/17/2009	06/22/2009	169424108	CNTF	3500.0000	2.2200	7,770.00	52.41
11879	03/03/2006	03/08/2006	89376R109	TMTA	-5000.0000	1.5500	(7,750.00)	53.47
11880	03/16/2007	03/21/2007	05348W109	AVNX	-3700.0000	1.7308	(6,403.96)	120.84
11881	03/27/2006	03/30/2006	30049W109	EWAN	60000.0000	0.0900	5,400.00	171.17
11882	10/13/2006	10/18/2006	27031F102	EBOF	-3500.0000	2.2271	(7,794.85)	51.53
11883	05/22/2009	05/28/2009	837841105	TSFG	3600.0000	2.0265	7,295.40	76.51
11884	03/15/2007	03/20/2007	318465101	FACT	-5000.0000	1.5562	(7,781.00)	52.29
11885	09/04/2007	09/07/2007	206710204	CCUR	-4000.0000	1.2277	(4,910.80)	196.06
11886	02/21/2006	02/24/2006	26884J104	ERHE	6399.0000	0.8450	5,407.16	171.28
11887	02/02/2007	02/07/2007	05348W109	AVNX	-3546.0000	1.9654	(6,969.31)	93.36
11888	07/22/2010	07/27/2010	82967N108	SIRI	-6000.0000	0.9610	(5,766.00)	153.59
11889	09/18/2009	09/23/2009	767754104	RAD	-3500.0000	2.2406	(7,842.10)	49.87
11890	09/17/2009	09/22/2009	37245M603	GETA	10000.0000	0.7100	7,100.00	87.04
11891	10/08/2009	10/14/2009	767754104	RAD	5000.0000	1.5699	7,849.50	49.67
11892	08/06/2008	08/11/2008	31787A101	FNSR	4000.0000	1.3400	5,360.00	174.24
11893	05/01/2007	05/04/2007	206710204	CCUR	-3883.0000	1.4033	(5,449.01)	169.82
11894	10/01/2010	10/06/2010	427093109	HERO	3250.0000	2.6899	8,742.18	5.36
11895	07/07/2008	07/10/2008	47023A101	JMBA	-5000.0000	1.4877	(7,438.50)	70.84
11896	03/15/2007	03/20/2007	207142100	CNXT	-4500.0000	1.6800	(7,560.00)	65.26
11897	02/25/2010	03/02/2010	26817G102	DYN	5000.0000	1.5900	7,950.00	45.83
11898	01/20/2010	01/25/2010	925490104	VRTA	-5000.0000	1.1500	(5,750.00)	155.97
11899	01/21/2010	01/26/2010	925490104	VRTA	-5000.0000	1.1500	(5,750.00)	155.97
11900	12/03/2007	12/06/2007	92909W108	VOYT	-44220.0000	0.1230	(5,439.06)	171.78
11901	03/09/2009	03/12/2009	21839P107	CBAI	400000.0000	0.0136	5,440.00	171.79
11902	07/20/2009	07/23/2009	74440R103	PTOS	8000.0000	0.6800	5,440.00	171.79
11903	03/15/2006	03/20/2006	007928104	ADVR	-100000.0000	0.0544	(5,440.00)	171.79
11904	03/11/2009	03/16/2009	172967101	C	-5000.0000	1.6000	(8,000.00)	43.92
11905	06/14/2010	06/17/2010	75382A107	RPTN	-30000.0000	0.2567	(7,701.00)	58.90
11906	04/04/2008	04/09/2008	74765E109	QTWW	5000.0000	1.1590	5,795.00	154.28
11907	12/11/2006	12/14/2006	63008A107	NSLT	-7500.0000	1.0773	(8,079.75)	40.26
11908	04/18/2007	04/23/2007	318465101	FACT	5000.0000	1.6081	8,040.50	42.37
11909	05/02/2007	05/07/2007	72705J105	PRRY	-4000.0000	1.3800	(5,520.00)	168.60
11910	12/26/2007	12/31/2007	37229P507	GTOP	-10000.0000	0.7301	(7,301.00)	79.95
11911	02/06/2009	02/11/2009	82967N108	SIRI	-30000.0000	0.1820	(5,460.00)	172.10
11912	05/12/2008	05/15/2008	23254W104	CYPW	-26000.0000	0.2100	(5,460.00)	172.10
11913	07/21/2006	07/26/2006	96328T109	WFYW	-12500.0000	0.6180	(7,725.00)	58.88

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
11914	01/26/2006	01/31/2006	549463107	LU	-3360.0000	2.5200	(8,467.20)	21.93
11915	08/06/2009	08/11/2009	125581108	CIT	5000.0000	1.6300	8,150.00	38.19
11916	07/29/2009	08/03/2009	767754104	RAD	4000.0000	1.3997	5,598.80	165.82
11917	09/24/2008	09/29/2008	313400301	FRE	-3703.0000	1.8107	(6,705.02)	110.53
11918	11/25/2009	12/01/2009	87161C105	SNV	5000.0000	1.6299	8,149.50	38.45
11919	11/21/2007	11/27/2007	800907107	SANM	-3800.0000	1.6426	(6,241.88)	134.03
11920	05/12/2009	05/15/2009	75061P102	RAE	-4041.0000	1.2500	(5,051.25)	193.59
11921	10/10/2008	10/16/2008	695459107	PAET	6600.0000	0.8300	5,478.00	172.38
11922	05/21/2009	05/27/2009	370442105	GM	3662.0000	1.9300	7,067.66	92.99
11923	05/20/2009	05/26/2009	804098101	SAY	3635.0000	1.9499	7,087.89	93.07
11924	01/07/2010	01/12/2010	01642L105	ALKN	49000.0000	0.1122	5,497.80	172.69
11925	04/23/2008	04/28/2008	87163L103	BRLC	5300.0000	1.2899	6,836.47	106.07
11926	04/01/2009	04/06/2009	370442105	GM	5000.0000	1.6740	8,370.00	29.78
11927	05/19/2009	05/22/2009	91530T104	UOMO	-4300.0000	1.0010	(4,304.30)	233.41
11928	04/30/2008	05/05/2008	590049102	MERX	4400.0000	1.9000	8,360.00	30.76
11929	10/13/2009	10/16/2009	46185W109	INXI	-500.0000	6.9500	(3,475.00)	275.09
11930	08/07/2006	08/10/2006	89784T101	TNEN	3578.0000	2.1758	7,785.01	59.95
11931	03/29/2010	04/01/2010	976524108	WTT	-5000.0000	1.0000	(5,000.00)	199.39
11932	11/19/2009	11/24/2009	062128103	BOFL	-6000.0000	1.0050	(6,030.00)	148.19
11933	10/22/2010	10/27/2010	82967N108	SIRI	-6800.0000	1.2500	(8,500.00)	25.00
11934	06/23/2006	06/28/2006	46612J101	JDSU	3000.0000	2.7400	8,220.00	39.00
11935	11/21/2008	11/26/2008	82967N108	SIRI	50000.0000	0.1548	7,740.00	63.00
11936	02/22/2008	02/27/2008	74269U104	PKT	5000.0000	1.2200	6,100.00	145.00
11937	03/10/2009	03/13/2009	195493309	CNB	15000.0000	0.3496	5,244.00	187.80
11938	01/24/2006	01/27/2006	92644A106	VTYC	50000.0000	0.1260	6,300.00	135.13
11939	12/21/2007	12/27/2007	37229P507	GTOP	10000.0000	0.8487	8,487.00	26.05
11940	11/13/2009	11/18/2009	591556105	MTIZ	-1000000.0000	0.0075	(7,500.00)	75.45
11941	08/05/2010	08/10/2010	811707306	SBCF	5000.0000	1.2616	6,308.00	135.06
11942	04/20/2009	04/23/2009	82967N108	SIRI	-10000.0000	0.5543	(5,543.00)	173.39
11943	05/18/2007	05/23/2007	206710204	CCUR	-4000.0000	1.3873	(5,549.20)	173.34
11944	01/16/2009	01/22/2009	835916107	SONS	-5000.0000	1.2701	(6,350.50)	133.47
11945	09/12/2007	09/17/2007	89989X105	TTEG	-10000.0000	0.8500	(8,500.00)	26.00
11946	12/02/2009	12/07/2009	204046106	CSHB	10000.0000	0.8500	8,500.00	26.00
11947	11/13/2009	11/18/2009	591556105	MTIZ	-1000000.0000	0.0071	(7,100.00)	96.00
11948	12/21/2007	12/27/2007	67106S100	OTD	-15000.0000	0.3700	(5,550.00)	173.50
11949	12/26/2007	12/31/2007	67106S100	OTD	-15000.0000	0.3700	(5,550.00)	173.50
11950	12/05/2006	12/08/2006	901167106	TWTR	3500.0000	2.4271	8,494.85	26.85
11951	12/07/2006	12/12/2006	131347106	CPNLQ	-5600.0000	1.0100	(5,656.00)	168.86
11952	06/04/2009	06/09/2009	767754104	RAD	-4000.0000	1.5000	(6,000.00)	151.67
11953	03/02/2010	03/05/2010	20010A103	CRXX	4000.0000	1.5000	6,000.00	151.67
11954	08/12/2010	08/17/2010	86806M205	SUPR	4000.0000	1.5000	6,000.00	151.67
11955	05/19/2006	05/24/2006	26152H103	DROOY	-4000.0000	1.5000	(6,000.00)	151.67
11956	10/10/2008	10/16/2008	46614H301	JRT	3500.0000	2.4288	8,500.80	26.64
11957	11/20/2009	11/25/2009	812224202	SRCH	-4000.0000	1.5044	(6,017.60)	151.05
11958	07/16/2009	07/21/2009	48131M102	JUHL	4404.0000	2.0500	9,028.20	0.59
11959	11/03/2006	11/08/2006	05348W109	AVNX	-5000.0000	1.6596	(8,298.00)	37.10
11960	02/25/2010	03/02/2010	37990W103	GHLV	5800.0000	0.9600	5,568.00	173.78
11961	07/09/2008	07/14/2008	44887L109	HYHY	-5400.0000	1.2456	(6,726.24)	116.18
11962	06/07/2010	06/10/2010	74267T109	PRWP	-10000.0000	0.7300	(7,300.00)	87.53
11963	10/09/2008	10/15/2008	780097721	RBS	5500.0000	1.6000	8,800.00	12.67
11964	07/09/2007	07/12/2007	84467T205	SORD	-69000.0000	0.1142	(7,879.80)	58.74
11965	04/17/2009	04/22/2009	38144H208	GFSI	10000.0000	0.7200	7,200.00	92.87
11966	11/22/2006	11/28/2006	282812106	ECPN	-7000.0000	1.0900	(7,630.00)	71.49
11967	06/03/2010	06/08/2010	984249102	YRCW	20000.0000	0.3656	7,313.00	87.56
11968	02/12/2009	02/18/2009	446150104	HBAN	5000.0000	1.7600	8,800.00	13.35
11969	03/24/2009	03/27/2009	195493309	CNB	-5943.0000	0.9404	(5,588.80)	174.10
11970	04/10/2006	04/13/2006	651360109	NWGN	-5000.0000	1.7500	(8,750.00)	16.36

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11971	01/31/2007	02/05/2007	49689D205	KNGS	-70000.0000	0.0800	(5,600.00)	174.27
11972	09/22/2008	09/25/2008	00737P104	ADST	-140000.0000	0.0400	(5,600.00)	174.27
11973	11/16/2009	11/19/2009	09250E107	HIS	5000.0000	1.7791	8,895.50	9.70
11974	12/21/2007	12/27/2007	05348W109	AVNX	-5000.0000	1.2491	(6,245.50)	142.23
11975	11/13/2009	11/18/2009	09250E107	HIS	5000.0000	1.7800	8,900.00	9.53
11976	04/10/2007	04/13/2007	42805E108	HSKA	-5000.0000	1.6632	(8,316.00)	38.75
11977	03/06/2008	03/11/2008	885218107	TMA	-4100.0000	1.3904	(5,700.64)	169.61
11978	10/30/2008	11/04/2008	45245S108	IWSY	13000.0000	0.3085	4,010.50	254.48
11979	06/15/2007	06/20/2007	002083103	ATSI	-4750.0000	1.5982	(7,591.45)	75.44
11980	06/15/2007	06/20/2007	002083103	ATSI	-4750.0000	1.5982	(7,591.45)	75.44
11981	08/19/2008	08/22/2008	345196109	FORC	6500.0000	1.0700	6,955.00	107.31
11982	05/07/2010	05/12/2010	48023P106	JSDA	-7000.0000	0.8020	(5,614.00)	174.49
11983	05/29/2009	06/03/2009	370442105	GM	-9000.0000	0.8813	(7,931.70)	58.69
11984	04/04/2008	04/09/2008	74269U104	PKT	-5000.0000	1.3401	(6,700.50)	120.36
11985	04/07/2008	04/10/2008	74269U104	PKT	-5000.0000	1.3432	(6,716.00)	119.77
11986	04/15/2010	04/20/2010	458738101	ILI	-7700.0000	0.7300	(5,621.00)	174.60
11987	01/05/2007	01/10/2007	602682106	MSPD	-5000.0000	1.8002	(9,001.00)	5.67
11988	08/25/2008	08/28/2008	85517P101	STSI	-3600.0000	2.3000	(8,280.00)	41.79
11989	06/18/2009	06/23/2009	91688W107	UPTR	-25300.0000	0.3000	(7,590.00)	76.36
11990	01/04/2010	01/07/2010	984249102	YRCW	-7500.0000	0.7500	(5,625.00)	174.66
11991	04/07/2008	04/10/2008	74269U104	PKT	-5000.0000	1.3501	(6,750.50)	118.49
11992	08/03/2006	08/08/2006	025637109	AMEP	-84000.0000	0.0670	(5,628.00)	174.71
11993	05/23/2007	05/29/2007	318465101	FACT	-5000.0000	1.8082	(9,041.00)	4.14
11994	07/14/2009	07/17/2009	52729N100	LVLTL	-4305.0000	1.4000	(6,027.00)	154.84
11995	11/17/2009	11/20/2009	09250E107	HIS	5000.0000	1.8100	9,050.00	3.80
11996	06/04/2009	06/09/2009	767754104	RAD	4000.0000	1.5800	6,320.00	140.39
11997	06/09/2009	06/12/2009	767754104	RAD	4000.0000	1.5800	6,320.00	140.39
11998	08/19/2010	08/24/2010	62957N102	NCEN	-154000.0000	0.0416	(6,406.40)	136.08
11999	11/13/2009	11/18/2009	591556105	MTIZ	-1000000.0000	0.0076	(7,600.00)	76.45
12000	03/27/2009	04/01/2009	720279108	PIR	-12500.0000	0.5903	(7,378.75)	87.72
12001	06/04/2009	06/09/2009	767754104	RAD	4000.0000	1.5897	6,358.80	139.02
12002	10/04/2006	10/10/2006	05348W109	AVNX	-4000.0000	1.4957	(5,982.80)	158.06
12003	06/11/2010	06/16/2010	210413100	CMIN	10000.0000	0.7975	7,975.00	58.66
12004	07/14/2008	07/17/2008	74269U104	PKT	-6000.0000	1.1238	(6,742.80)	120.44
12005	09/15/2009	09/18/2009	767754104	RAD	-3900.0000	1.7703	(6,904.17)	112.42
12006	02/11/2009	02/17/2009	92340P100	VRNM	4250.0000	1.1280	4,794.00	217.95
12007	05/11/2006	05/16/2006	05348W109	AVNX	3700.0000	2.2737	8,412.69	37.06
12008	03/22/2007	03/27/2007	045188109	AKMN	10000.0000	0.5663	5,663.00	175.25
12009	09/29/2009	10/02/2009	767754104	RAD	4000.0000	1.6197	6,478.80	134.79
12010	08/25/2008	08/28/2008	74963L103	RMA	-4000.0000	1.6201	(6,480.40)	134.73
12011	08/24/2006	08/29/2006	007928104	ADVR	-90000.0000	0.0630	(5,670.00)	175.36
12012	03/20/2009	03/25/2009	00850H103	GRO	5000.0000	1.0000	5,000.00	209.60
12013	07/27/2009	07/30/2009	888314101	TTNP	-4000.0000	1.6400	(6,560.00)	131.93
12014	12/02/2008	12/05/2008	74963Q102	RHY	10000.0000	0.5990	5,990.00	160.50
12015	12/09/2009	12/14/2009	313586109	FNM	-4600.0000	0.9128	(4,198.88)	250.06
12016	05/30/2007	06/04/2007	26884J104	ERHE	-27400.0000	0.3175	(8,699.50)	25.18
12017	03/07/2008	03/12/2008	683718308	OPWV	-3800.0000	1.8870	(7,170.60)	101.65
12018	03/24/2009	03/27/2009	45814P105	IBNK	3750.0000	2.0000	7,500.00	85.52
12019	06/09/2009	06/12/2009	553477100	MRVC	-10000.0000	0.5698	(5,698.00)	175.79
12020	10/01/2009	10/06/2009	67060U109	NPHC	7500.0000	0.7600	5,700.00	175.82
12021	03/19/2009	03/24/2009	197231103	CBBO	6000.0000	0.9500	5,700.00	175.82
12022	05/07/2007	05/10/2007	862773108	SGCR	6500.0000	1.0100	6,565.00	132.61
12023	03/30/2007	04/04/2007	282914100	EGHT	4195.0000	1.4500	6,082.75	156.83
12024	12/28/2007	01/03/2008	05348W109	AVNX	5000.0000	1.1222	5,611.00	180.45
12025	03/15/2006	03/20/2006	26152H103	DROOY	-5000.0000	1.4300	(7,150.00)	103.51
12026	05/18/2007	05/23/2007	49689D205	KNGS	-80000.0000	0.0713	(5,704.00)	175.89
12027	08/07/2009	08/12/2009	195493309	CNB	-10000.0000	0.5704	(5,704.00)	175.89

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12028	09/30/2009	10/05/2009	767754104	RAD	4000.0000	1.6600	6,640.00	129.11
12029	05/30/2008	06/04/2008	607500105	MDVX	-4000.0000	1.6625	(6,650.00)	128.75
12030	08/07/2009	08/12/2009	195493309	CNB	-10000.0000	0.5707	(5,707.00)	175.93
12031	07/31/2006	08/03/2006	247361108	DALRQ	10000.0000	0.6950	6,950.00	113.81
12032	12/17/2009	12/22/2009	561911108	HYF	3800.0000	2.0192	7,672.96	77.94
12033	05/18/2007	05/23/2007	04289L107	ARTG	3600.0000	2.5583	9,209.88	1.39
12034	03/27/2008	04/01/2008	924914104	VRCC	52000.0000	0.1100	5,720.00	176.13
12035	04/05/2007	04/11/2007	630075109	NGEN	4000.0000	1.6800	6,720.00	126.29
12036	03/26/2008	03/31/2008	924914104	VRCC	32000.0000	0.1790	5,728.00	176.26
12037	09/15/2010	09/20/2010	759720105	RCG	4100.0000	1.9312	7,917.92	67.06
12038	01/08/2008	01/11/2008	449208100	IASCA	15000.0000	0.5000	7,500.00	88.01
12039	06/06/2007	06/11/2007	989865100	ZYNX	-10000.0000	0.7500	(7,500.00)	88.01
12040	09/11/2006	09/14/2006	74267T109	PRW	10000.0000	0.7500	7,500.00	88.01
12041	11/20/2008	11/25/2008	024061103	AXL	6300.0000	1.3882	8,745.66	25.95
12042	06/10/2008	06/13/2008	45972C102	INIS	-7000.0000	0.8200	(5,740.00)	176.44
12043	03/11/2009	03/16/2009	172967101	C	4000.0000	1.7000	6,800.00	123.47
12044	09/16/2009	09/21/2009	090621103	BONU	4000.0000	1.7000	6,800.00	123.47
12045	04/09/2007	04/12/2007	131347106	CPNLQ	-4000.0000	2.2800	(9,120.00)	7.53
12046	09/21/2006	09/26/2006	92833K204	VGVI	10000.0000	0.7000	7,000.00	114.10
12047	06/18/2009	06/23/2009	59318T109	CIF	3750.0000	2.0650	7,743.75	76.97
12048	02/25/2009	03/02/2009	370442105	GM	3600.0000	2.4591	8,852.76	21.60
12049	03/30/2006	04/04/2006	44043J105	HOFF	-6500.0000	0.8850	(5,752.50)	176.64
12050	07/17/2006	07/20/2006	960568103	WSTN	-21500.0000	0.3500	(7,525.00)	88.07
12051	01/13/2010	01/19/2010	30211M103	EXOU	-13500.0000	0.4263	(5,755.05)	176.68
12052	02/20/2009	02/25/2009	095333100	BLU	-3725.0000	2.1004	(7,823.99)	73.56
12053	12/11/2006	12/14/2006	247361108	DALRQ	-5000.0000	1.4900	(7,450.00)	92.27
12054	08/09/2007	08/14/2007	607500105	MDVX	4000.0000	1.7300	6,920.00	119.23
12055	02/20/2008	02/25/2008	71675Y100	PTSG	-8615.0000	0.6700	(5,772.05)	176.94
12056	02/26/2007	03/01/2007	806732103	SCTN	-105000.0000	0.0550	(5,775.00)	176.99
12057	08/28/2009	09/02/2009	591556105	MTIZ	-995000.0000	0.0078	(7,761.00)	78.07
12058	11/05/2008	11/10/2008	835916107	SONS	-3800.0000	2.1001	(7,980.38)	67.11
12059	09/09/2009	09/14/2009	767754104	RAD	-4000.0000	1.7500	(7,000.00)	116.41
12060	04/25/2006	04/28/2006	887133106	TBLC	10000.0000	0.9000	9,000.00	16.42
12061	07/31/2009	08/05/2009	449575109	IG	-5600.0000	1.2354	(6,918.24)	120.64
12062	12/01/2008	12/04/2008	86272T106	BEE	4500.0000	1.0000	4,500.00	241.60
12063	07/23/2008	07/28/2008	20461S108	CPTC	6000.0000	1.2600	7,560.00	88.61
12064	10/02/2008	10/07/2008	74963Q102	RHY	10000.0000	0.7420	7,420.00	95.67
12065	11/20/2008	11/25/2008	373686104	GVCN	-11668.0000	0.7000	(8,167.60)	58.50
12066	09/16/2008	09/19/2008	939322103	WM	-4000.0000	1.7593	(7,037.20)	115.10
12067	07/27/2009	07/30/2009	627330103	MFLI	-630000.0000	0.0092	(5,796.00)	177.31
12068	04/08/2010	04/13/2010	53121P107	LBSV	10000.0000	0.5800	5,800.00	177.38
12069	06/16/2010	06/21/2010	561911108	HYF	-3800.0000	2.1316	(8,100.08)	62.89
12070	08/02/2006	08/07/2006	05348W109	AVNX	-6000.0000	1.2120	(7,272.00)	104.40
12071	04/17/2007	04/20/2007	913513107	UFBV	-30000.0000	0.2533	(7,599.00)	88.25
12072	02/17/2009	02/20/2009	043353101	ARM	10000.0000	0.7600	7,600.00	88.25
12073	04/22/2008	04/25/2008	757736103	RPBC	-15000.0000	0.5200	(7,800.00)	78.47
12074	12/01/2008	12/04/2008	053774105	CAR	8200.0000	0.7099	5,821.18	177.70
12075	06/17/2010	06/22/2010	09250E107	HIS	3900.0000	1.9700	7,683.00	84.96
12076	05/22/2007	05/25/2007	43738R109	HMB	4000.0000	1.8000	7,200.00	109.36
12077	06/17/2010	06/22/2010	09250E107	HIS	3900.0000	1.9796	7,720.44	83.64
12078	07/24/2006	07/27/2006	607500105	MDVX	-9200.0000	0.6348	(5,840.16)	178.00
12079	05/19/2006	05/24/2006	46612J101	JDSU	-3500.0000	2.6657	(9,329.95)	3.55
12080	07/09/2007	07/12/2007	404450108	HABE	-35000.0000	0.1900	(6,650.00)	138.26
12081	04/15/2009	04/20/2009	989834106	ZF	-3600.0000	2.5840	(9,302.40)	5.75
12082	11/20/2009	11/25/2009	14964W104	CVAT	18900.0000	0.3100	5,859.00	178.29
12083	05/06/2010	05/11/2010	561911108	HYF	-4500.0000	1.8746	(8,435.70)	50.22
12084	03/01/2010	03/04/2010	82967N108	SIRI	6000.0000	0.9789	5,873.40	178.52

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12085	07/10/2008	07/15/2008	87609J100	TARM	12500.0000	0.4700	5,875.00	178.54
12086	01/05/2006	01/10/2006	222814204	DVW	-6462.0000	0.9100	(5,880.42)	178.63
12087	06/29/2009	07/02/2009	505336107	LZB	1000.0000	4.8300	4,830.00	231.52
12088	04/07/2010	04/12/2010	82967N108	SIRI	8200.0000	0.9398	7,706.36	88.50
12089	10/30/2008	11/04/2008	38144H208	GFSI	10000.0000	0.9000	9,000.00	23.93
12090	06/23/2008	06/26/2008	16946A100	CHVC	-10000.0000	0.5900	(5,900.00)	178.93
12091	07/21/2009	07/24/2009	52729N100	LVL	-5000.0000	1.6500	(8,250.00)	62.29
12092	07/10/2008	07/15/2008	82966U103	SIRI	-3850.0000	2.0700	(7,969.50)	76.39
12093	04/13/2009	04/16/2009	370442105	GM	-5000.0000	1.7100	(8,550.00)	47.50
12094	05/15/2008	05/20/2008	600388105	MCEL	-130000.0000	0.0564	(7,332.00)	108.40
12095	04/13/2007	04/18/2007	762072106	RTGI	-400000.0000	0.0148	(5,920.00)	179.24
12096	01/11/2007	01/17/2007	42236H100	HTLJ	-18500.0000	0.3200	(5,920.00)	179.24
12097	05/18/2009	05/21/2009	670002104	NVAX	4000.0000	1.9000	7,600.00	95.26
12098	12/17/2008	12/22/2008	607500105	MDVX	4000.0000	1.9000	7,600.00	95.26
12099	11/30/2009	12/03/2009	561911108	HYF	-4000.0000	1.9008	(7,603.20)	95.15
12100	02/23/2006	02/28/2006	69073F103	OWENQ	-5500.0000	1.4900	(8,195.00)	65.96
12101	08/19/2009	08/24/2009	82967N108	SIRI	9700.0000	0.6119	5,935.43	179.48
12102	07/21/2009	07/24/2009	627330103	MFLI	-371000.0000	0.0160	(5,936.00)	179.49
12103	11/03/2009	11/06/2009	69325N102	PCSV	-4750.0000	1.1200	(5,320.00)	210.39
12104	12/05/2006	12/08/2006	29382F103	ENMD	-4000.0000	1.9208	(7,683.20)	92.33
12105	02/06/2009	02/11/2009	607500105	MDVX	5000.0000	1.6800	8,400.00	56.67
12106	12/05/2006	12/08/2006	29382F103	ENMD	-4000.0000	1.9240	(7,696.00)	91.87
12107	04/03/2009	04/08/2009	607500105	MDVX	3700.0000	2.4900	9,213.00	16.27
12108	12/05/2007	12/10/2007	757736103	RPBC	-15000.0000	0.5583	(8,374.50)	58.33
12109	10/20/2010	10/25/2010	76154Q106	RMCP	10000.0000	0.5950	5,950.00	179.70
12110	12/23/2008	12/29/2008	843833203	SSEY	-19500.0000	0.4300	(8,385.00)	58.31
12111	11/01/2006	11/06/2006	978093102	WLV	-8000.0000	1.1600	(9,280.00)	13.70
12112	01/18/2008	01/24/2008	55235L104	LYRI	-8100.0000	1.1000	(8,910.00)	32.63
12113	05/18/2009	05/21/2009	150934503	CTIC	5000.0000	1.2800	6,400.00	158.18
12114	09/29/2009	10/02/2009	739308104	PWER	4000.0000	1.9500	7,800.00	88.21
12115	06/09/2006	06/14/2006	16938E102	CHDT	-127500.0000	0.0620	(7,905.00)	83.75
12116	03/15/2010	03/18/2010	80201E108	SFEG	-5522.0000	1.0107	(5,581.09)	200.05
12117	05/18/2006	05/23/2006	344437108	FONR	13000.0000	0.4600	5,980.00	180.17
12118	01/19/2010	01/22/2010	174420109	CRBC	10000.0000	0.8420	8,420.00	58.28
12119	09/30/2009	10/05/2009	739308104	PWER	4000.0000	1.9800	7,920.00	83.98
12120	04/28/2010	05/03/2010	82967N108	SIRI	8000.0000	1.1798	9,438.40	8.08
12121	09/25/2008	09/30/2008	781748108	RURL	4000.0000	2.1689	8,675.60	46.22
12122	09/30/2009	10/05/2009	739308104	PWER	4000.0000	1.9815	7,926.00	83.77
12123	12/05/2008	12/10/2008	989834106	ZF	3635.0000	2.5495	9,267.43	16.71
12124	05/17/2006	05/22/2006	222814204	DVW	-5000.0000	1.7400	(8,700.00)	45.44
12125	01/04/2010	01/07/2010	796043107	SSN	25000.0000	0.2400	6,000.00	180.48
12126	09/11/2008	09/16/2008	313400301	FRE	10000.0000	0.6000	6,000.00	180.48
12127	05/19/2009	05/22/2009	64190A103	NRO	-4035.0000	1.8201	(7,344.10)	113.41
12128	01/08/2010	01/13/2010	27887F107	ECOB	10000.0000	0.9150	9,150.00	23.31
12129	07/03/2008	07/09/2008	903369106	USOO	-4391.0000	1.3600	(5,971.76)	182.35
12130	04/08/2008	04/11/2008	00504W100	ACPW	-5000.0000	1.6582	(8,291.00)	66.45
12131	11/02/2006	11/07/2006	29382F103	ENMD	3900.0000	2.1795	8,500.05	56.16
12132	02/10/2010	02/16/2010	00202J203	APPA	-4000.0000	2.0000	(8,000.00)	81.16
12133	09/17/2008	09/22/2008	026874107	AIG	-3957.0000	2.0000	(7,914.00)	85.46
12134	04/25/2006	04/28/2006	887133106	TBLC	10000.0000	0.9435	9,435.00	9.92
12135	09/03/2009	09/09/2009	090621103	BONU	-4000.0000	2.0088	(8,035.20)	79.91
12136	04/20/2009	04/23/2009	767754104	RAD	-10000.0000	0.6020	(6,020.00)	180.79
12137	03/08/2007	03/13/2007	91818T107	UWNK	-5000.0000	1.3355	(6,677.50)	147.99
12138	03/18/2009	03/23/2009	30033R108	ESLR	5000.0000	1.3399	6,699.50	147.18
12139	08/07/2009	08/12/2009	125581108	CIT	4300.0000	1.5300	6,579.00	153.38
12140	05/07/2009	05/12/2009	720279108	PIR	-4000.0000	2.0200	(8,080.00)	78.34
12141	06/05/2009	06/10/2009	150837409	CVM	-10000.0000	0.6034	(6,034.00)	181.01

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12142	09/02/2008	09/05/2008	073677106	BCON	5000.0000	1.3500	6,750.00	145.32
12143	09/16/2009	09/21/2009	767754104	RAD	-4000.0000	2.0308	(8,123.20)	76.81
12144	05/05/2008	05/08/2008	39303R102	GETG	6500.0000	0.9300	6,045.00	181.18
12145	07/29/2009	08/03/2009	767754104	RAD	4400.0000	1.4000	6,160.00	175.53
12146	02/17/2010	02/22/2010	52464N109	LFBG	-300000.0000	0.0075	(2,250.00)	333.91
12147	06/05/2008	06/10/2008	97750V109	WZE	4000.0000	2.0500	8,200.00	74.10
12148	05/07/2009	05/12/2009	58047P107	MCGC	-4000.0000	2.0500	(8,200.00)	74.10
12149	08/02/2006	08/07/2006	46612J101	JDSU	-4800.0000	1.9991	(9,595.68)	4.54
12150	05/12/2008	05/15/2008	724153200	PP	5430.0000	1.1917	6,470.93	161.14
12151	02/27/2009	03/04/2009	781748108	RURL	4500.0000	1.4000	6,300.00	170.00
12152	02/27/2009	03/04/2009	781748108	RURL	4500.0000	1.3493	6,071.85	181.41
12153	04/23/2010	04/28/2010	872449103	TIBB	-5000.0000	1.0000	(5,000.00)	235.14
12154	05/11/2006	05/16/2006	67030P107	NSOL	4350.0000	1.4289	6,215.72	174.62
12155	04/17/2007	04/20/2007	05348W109	AVNX	5000.0000	1.9271	9,635.50	3.72
12156	10/20/2006	10/25/2006	29382F103	ENMD	4000.0000	2.0743	8,297.20	70.68
12157	02/17/2006	02/23/2006	63887P100	NGSY	5000.0000	1.8350	9,175.00	27.64
12158	07/23/2009	07/28/2009	75734R105	FRZ	4000.0000	2.0900	8,360.00	68.46
12159	11/30/2009	12/03/2009	591556105	MTIZ	-1000000.0000	0.0081	(8,100.00)	81.49
12160	09/11/2006	09/14/2006	105666101	BRVOE	15000.0000	0.5300	7,950.00	89.09
12161	01/11/2010	01/14/2010	14067D102	CPST	-5000.0000	1.4100	(7,050.00)	134.30
12162	10/08/2008	10/14/2008	780097721	RBS	6000.0000	1.5670	9,402.00	16.88
12163	06/28/2006	07/03/2006	344437108	FONR	10000.0000	0.6100	6,100.00	182.03
12164	04/05/2006	04/10/2006	45768R305	INFN	-10000.0000	0.6100	(6,100.00)	182.03
12165	09/17/2009	09/22/2009	767754104	RAD	-4000.0000	2.1000	(8,400.00)	67.05
12166	09/17/2008	09/22/2008	026874107	AIG	4000.0000	2.0999	8,399.60	67.07
12167	12/29/2008	01/02/2009	885535104	COMS	-4000.0000	2.1004	(8,401.60)	67.00
12168	05/11/2007	05/16/2007	45820C106	IMFD	-6000.0000	1.1100	(6,660.00)	154.10
12169	12/19/2008	12/24/2008	598251106	MBHI	-4250.0000	1.6111	(6,847.18)	145.11
12170	12/18/2007	12/21/2007	550278303	LUM	-7500.0000	1.0600	(7,950.00)	90.00
12171	07/20/2006	07/25/2006	549463107	LU	4000.0000	2.1100	8,440.00	65.64
12172	08/27/2009	09/01/2009	313400301	FRE	4000.0000	2.1099	8,439.60	65.66
12173	09/17/2008	09/22/2008	026874107	AIG	-4000.0000	2.1101	(8,440.40)	65.63
12174	02/21/2008	02/26/2008	747135101	PMID	-4000.0000	2.1126	(8,450.40)	65.28
12175	04/10/2006	04/13/2006	651360109	NWGN	-5000.0000	1.7000	(8,500.00)	62.86
12176	05/29/2008	06/03/2008	369038104	GNAU	10000.0000	0.6950	6,950.00	140.95
12177	01/11/2007	01/17/2007	131347106	CPNLQ	-6000.0000	1.5945	(9,567.00)	10.46
12178	04/21/2009	04/24/2009	37247D106	GNW	4000.0000	2.1300	8,520.00	62.82
12179	04/20/2007	04/25/2007	75382A107	RPTN	-4030.0000	1.9700	(7,939.10)	92.11
12180	07/02/2007	07/06/2007	009253105	ABEW	40000.0000	0.2000	8,000.00	89.22
12181	03/29/2007	04/03/2007	247361108	DALRQ	25000.0000	0.3200	8,000.00	89.22
12182	07/14/2006	07/19/2006	448954107	HDY	4550.0000	2.0100	9,145.50	32.12
12183	04/17/2006	04/20/2006	651360109	NWGN	-5000.0000	1.7500	(8,750.00)	51.94
12184	10/29/2008	11/03/2008	026874107	AIG	6000.0000	1.6099	9,659.40	6.86
12185	09/10/2010	09/15/2010	87161C105	SNV	4000.0000	2.1490	8,596.00	60.14
12186	06/18/2010	06/23/2010	11161T108	BWEN	3727.0000	2.5498	9,503.10	14.80
12187	09/14/2007	09/19/2007	05348W109	AVNX	6300.0000	1.5200	9,576.00	11.20
12188	08/04/2009	08/07/2009	720279108	PIR	4000.0000	2.2598	9,039.20	38.04
12189	07/07/2006	07/12/2006	834156101	SOEN	5000.0000	1.9000	9,500.00	15.46
12190	12/30/2008	01/05/2009	336453105	FSNM	-4300.0000	1.6601	(7,138.43)	133.65
12191	12/12/2007	12/17/2007	206710204	CCUR	-7000.0000	0.8046	(5,632.20)	209.09
12192	05/01/2007	05/04/2007	901167106	TWTR	-4500.0000	1.3700	(6,165.00)	182.72
12193	01/02/2009	01/07/2009	607500105	MDVX	4000.0000	2.1700	8,680.00	57.18
12194	12/27/2006	01/02/2007	039209101	KAD	4000.0000	2.1700	8,680.00	57.18
12195	08/20/2009	08/25/2009	266605104	DRRX	-3850.0000	2.3574	(9,075.99)	37.59
12196	05/01/2007	05/04/2007	901167106	TWTR	-4500.0000	1.3800	(6,210.00)	181.14
12197	01/17/2007	01/22/2007	806732103	SCTN	-95000.0000	0.0650	(6,175.00)	183.20
12198	05/14/2009	05/19/2009	370442105	GM	8260.0000	1.1500	9,499.00	17.65

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12199	10/14/2008	10/17/2008	026874107	AIG	3800.0000	2.5800	9,804.00	2.81
12200	04/15/2009	04/20/2009	989834106	ZF	-3800.0000	2.5802	(9,804.76)	2.78
12201	12/17/2009	12/22/2009	561911108	HYF	4100.0000	2.0295	8,320.95	77.22
12202	06/24/2008	06/27/2008	16946A100	CHVC	-10000.0000	0.6200	(6,200.00)	183.58
12203	01/06/2006	01/11/2006	565011103	MANU	-4300.0000	1.7100	(7,353.00)	126.09
12204	04/15/2009	04/20/2009	989834106	ZF	-3800.0000	2.5940	(9,857.20)	0.93
12205	04/22/2008	04/25/2008	757736103	RPBC	-15000.0000	0.5200	(7,800.00)	104.68
12206	04/10/2008	04/15/2008	030145205	ATCO	-4000.0000	2.2300	(8,920.00)	48.72
12207	02/05/2008	02/08/2008	65488W103	NBF	-4100.0000	2.0601	(8,446.41)	72.80
12208	04/10/2006	04/13/2006	68267M106	OLNK	-4800.0000	1.0200	(4,896.00)	250.47
12209	09/18/2009	09/23/2009	767754104	RAD	-4000.0000	2.2403	(8,961.20)	47.27
12210	09/23/2010	09/28/2010	22787P107	CRWG	4800.0000	1.0300	4,944.00	248.86
12211	12/05/2006	12/08/2006	607500105	MDVX	5000.0000	1.5610	7,805.00	106.57
12212	11/16/2006	11/21/2006	131347106	CPNLQ	-15000.0000	0.5433	(8,149.50)	89.59
12213	12/16/2008	12/19/2008	831756101	SWHC	-4000.0000	2.2758	(9,103.20)	42.26
12214	02/13/2006	02/16/2006	253869101	TBUS	-4375.0000	1.6162	(7,070.88)	144.01
12215	09/25/2007	09/28/2007	371485103	GNBT	6000.0000	1.5400	9,240.00	36.13
12216	05/12/2010	05/17/2010	811707306	SBCF	4000.0000	2.2985	9,194.00	39.06
12217	01/25/2006	01/30/2006	125318105	THK	4000.0000	2.3000	9,200.00	38.85
12218	02/20/2008	02/25/2008	29256X107	ENCY	-4000.0000	2.3003	(9,201.20)	38.80
12219	02/08/2007	02/13/2007	49689D205	KNGS	-85000.0000	0.0824	(7,004.00)	149.02
12220	12/29/2008	01/02/2009	00846L101	FEED	5000.0000	1.6000	8,000.00	99.41
12221	06/16/2010	06/21/2010	561911108	HYF	-4100.0000	2.1316	(8,739.56)	62.46
12222	01/15/2008	01/18/2008	516548104	LTRX	9000.0000	0.6993	6,293.70	185.04
12223	10/23/2008	10/28/2008	345370860	F	4700.0000	2.0999	9,869.53	6.52
12224	09/04/2009	09/10/2009	720279108	PIR	3850.0000	2.5499	9,817.12	9.14
12225	10/08/2008	10/14/2008	781748108	RURL	5000.0000	1.9380	9,690.00	15.50
12226	05/26/2009	05/29/2009	781748108	RURL	-4000.0000	2.4000	(9,600.00)	20.00
12227	12/27/2007	01/02/2008	781748108	RURL	-4000.0000	2.2500	(9,000.00)	50.00
12228	09/25/2008	09/30/2008	939322103	WM	5000.0000	1.7399	8,699.50	65.03
12229	08/31/2009	09/03/2009	125581108	CIT	-5000.0000	1.7303	(8,651.50)	67.43
12230	12/07/2007	12/12/2007	812224202	SCH	-5000.0000	1.7000	(8,500.00)	75.00
12231	10/10/2008	10/16/2008	47777N101	JOEZ	-10000.0000	0.8000	(8,000.00)	100.00
12232	05/21/2009	05/27/2009	09255W102	DVF	-1000.0000	7.9980	(7,998.00)	100.10
12233	09/26/2008	10/01/2008	286186200	ETGF	10000.0000	0.7100	7,100.00	145.00
12234	09/04/2008	09/09/2008	74963Q102	RHY	5000.0000	1.0499	5,249.50	237.53
12235	07/23/2010	07/28/2010	939322103	WAMUQ	-25000.0000	0.1750	(4,375.00)	281.25
12236	04/11/2006	04/17/2006	754219509	RVMO	-19047619.0000	0.0002	(3,809.52)	309.52
12237	11/21/2007	11/27/2007	450732201	IBAS	500.0000	5.1500	2,575.00	350.00
12238	03/26/2007	03/29/2007	125750109	CMGI	4000.0000	2.3200	9,280.00	36.03
12239	09/26/2008	10/01/2008	885218107	TMA	-21000.0000	0.3000	(6,300.00)	185.14
12240	05/27/2008	05/30/2008	806732103	SCTN	-105000.0000	0.0600	(6,300.00)	185.14
12241	08/01/2007	08/06/2007	03252Q408	ANDS	-4000.0000	2.3300	(9,320.00)	34.62
12242	04/29/2008	05/02/2008	09070W105	BSHF	3840.0000	2.5200	9,676.80	16.89
12243	01/14/2008	01/17/2008	232828301	CYTR	4000.0000	2.3324	9,329.60	34.28
12244	03/10/2009	03/13/2009	336453105	FSNM	11200.0000	0.7914	8,863.68	57.90
12245	04/15/2010	04/20/2010	82967N108	SIRI	-8500.0000	1.1202	(9,521.70)	25.17
12246	05/17/2007	05/22/2007	583538103	MKTY	7000.0000	1.2799	8,959.30	53.60
12247	08/13/2010	08/18/2010	759720105	RCG	-4125.0000	2.0205	(8,334.56)	85.01
12248	02/24/2010	03/01/2010	468202106	JTX	4000.0000	2.3499	9,399.60	31.81
12249	11/20/2008	11/25/2008	989834106	ZF	4000.0000	2.3564	9,425.60	30.89
12250	08/05/2008	08/08/2008	97750V109	WZE	4500.0000	1.5447	6,951.15	155.00
12251	06/30/2009	07/06/2009	457669208	INSM	-6300.0000	1.0300	(6,489.00)	178.33
12252	03/26/2008	03/31/2008	924914104	VRCC	40000.0000	0.1589	6,356.00	186.01
12253	03/13/2008	03/18/2008	92769R108	VM	-4000.0000	2.3898	(9,559.20)	26.18
12254	04/13/2009	04/16/2009	195493309	CNB	-9000.0000	0.9208	(8,287.20)	89.91
12255	10/08/2009	10/14/2009	739308104	PWER	-4000.0000	2.3956	(9,582.40)	25.36

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12256	06/19/2009	06/24/2009	68375N103	OPK	-5000.0000	1.8450	(9,225.00)	43.43
12257	12/28/2006	01/03/2007	26152H103	DROOY	7000.0000	0.9100	6,370.00	186.22
12258	05/07/2009	05/12/2009	305560104	FRP	-5000.0000	1.6805	(8,402.50)	84.63
12259	03/27/2006	03/30/2006	53123Q103	LBTS	5198.0000	1.0417	5,414.76	234.25
12260	06/25/2009	06/30/2009	521865105	LEA	10000.0000	0.6385	6,385.00	186.46
12261	07/21/2008	07/24/2008	596087106	MBRK	4200.0000	2.0700	8,694.00	71.44
12262	07/25/2007	07/30/2007	206710204	CCUR	-5000.0000	1.6187	(8,093.50)	101.83
12263	03/14/2007	03/19/2007	559091301	MPET	-7000.0000	1.3500	(9,450.00)	34.12
12264	05/09/2006	05/12/2006	105666101	BRVO	12300.0000	0.7900	9,717.00	20.96
12265	09/15/2009	09/18/2009	767754104	RAD	-4400.0000	1.7603	(7,745.32)	119.63
12266	10/08/2009	10/14/2009	739308104	PWER	-4000.0000	2.4401	(9,760.40)	19.09
12267	11/16/2006	11/21/2006	97653A103	WFII	4000.0000	2.4500	9,800.00	17.69
12268	08/31/2009	09/03/2009	26884K101	ERFW	30000.0000	0.3000	9,000.00	57.78
12269	07/08/2008	07/11/2008	05348W109	AVNX	-7297.0000	0.8800	(6,421.36)	187.02
12270	05/24/2010	05/27/2010	468202106	JTX	4500.0000	1.6299	7,334.55	141.49
12271	11/02/2007	11/07/2007	452907108	IMMU	4000.0000	2.4600	9,840.00	16.28
12272	05/05/2008	05/08/2008	67060N204	NTRZ	-7680.0000	0.8365	(6,424.32)	187.06
12273	11/01/2006	11/06/2006	978093102	WLV	-8000.0000	1.1619	(9,295.20)	44.08
12274	09/23/2009	09/28/2009	099469504	WEL	-5400.0000	1.6702	(9,019.08)	58.55
12275	04/17/2007	04/20/2007	75886X108	RGN	4000.0000	2.4879	9,951.60	12.35
12276	06/26/2006	06/29/2006	79586Q108	LIV	15000.0000	0.4300	6,450.00	187.46
12277	06/19/2008	06/24/2008	36238T104	GTCB	-15000.0000	0.4302	(6,453.00)	187.51
12278	08/17/2006	08/22/2006	00754E107	API	4100.0000	2.3099	9,470.59	36.69
12279	07/27/2009	07/30/2009	67575P108	OCLS	4000.0000	2.5000	10,000.00	10.64
12280	01/13/2006	01/19/2006	549463107	LU	-4000.0000	2.5000	(10,000.00)	10.64
12281	12/08/2006	12/13/2006	054617105	AXTG	4000.0000	2.5000	10,000.00	10.64
12282	09/26/2007	10/01/2007	516548104	LTRX	5000.0000	1.0000	5,000.00	260.67
12283	03/10/2006	03/15/2006	37941L206	GAXC	-5000.0000	1.0020	(5,010.00)	260.32
12284	03/30/2009	04/02/2009	58977Q109	TMR	30000.0000	0.2267	6,801.00	170.96
12285	08/15/2008	08/20/2008	607500105	MDVX	4500.0000	1.6778	7,550.10	133.89
12286	08/10/2009	08/13/2009	76028H100	RPRX	4736.0000	1.2613	5,973.52	212.78
12287	12/31/2008	01/06/2009	920913100	VLT	-5388.0000	1.7001	(9,160.14)	53.51
12288	03/15/2007	03/20/2007	207142100	CNXT	-4500.0000	1.6800	(7,560.00)	133.54
12289	03/15/2007	03/20/2007	207142100	CNXT	-4500.0000	1.6800	(7,560.00)	133.54
12290	05/14/2009	05/19/2009	529900102	ASG	-3950.0000	2.5201	(9,954.40)	14.11
12291	09/02/2009	09/08/2009	37941L206	GAXC	5000.0000	1.0190	5,095.00	257.32
12292	02/05/2009	02/10/2009	233384106	SRQ	-4300.0000	2.0000	(8,600.00)	82.12
12293	03/27/2009	04/01/2009	026874107	AIG	5000.0000	1.0199	5,099.50	257.17
12294	01/17/2008	01/23/2008	16946A100	CHVC	-5000.0000	1.0210	(5,105.00)	256.97
12295	09/14/2006	09/19/2006	21254V100	CSHD	5000.0000	1.0300	5,150.00	255.38
12296	08/04/2008	08/07/2008	82966U103	SIRI	5000.0000	1.4000	7,000.00	163.14
12297	09/12/2006	09/15/2006	46612J101	JDSU	4200.0000	2.2822	9,585.24	33.98
12298	11/12/2009	11/17/2009	055000103	AZGS	-65000.0000	0.1000	(6,500.00)	188.24
12299	02/14/2007	02/20/2007	83426W101	SOLM	-10000.0000	0.6500	(6,500.00)	188.24
12300	02/01/2010	02/04/2010	58447E108	MEDG	10000.0000	0.6500	6,500.00	188.24
12301	02/09/2007	02/14/2007	81684P107	DLK	50000.0000	0.1300	6,500.00	188.24
12302	11/30/2009	12/03/2009	591556105	MTIZ	-100000.0000	0.0065	(6,500.00)	188.24
12303	01/28/2009	02/02/2009	832727101	SSCC	-154100.0000	0.0422	(6,503.02)	188.29
12304	02/19/2008	02/22/2008	29256X107	ENCY	10000.0000	0.9864	9,864.00	20.36
12305	01/22/2008	01/25/2008	16117M107	CHTR	5000.0000	1.0400	5,200.00	253.62
12306	06/25/2010	06/30/2010	82967N108	SIRI	-5000.0000	1.0401	(5,200.50)	253.61
12307	10/17/2007	10/22/2007	29267A104	ENEI	13818.0000	0.6600	9,119.88	57.68
12308	05/13/2009	05/18/2009	370021107	GGWPQ	-5000.0000	1.0490	(5,245.00)	252.03
12309	03/23/2009	03/26/2009	64127R302	CUR	5000.0000	1.0500	5,250.00	251.86
12310	06/13/2008	06/18/2008	85771Q100	STCA	5000.0000	1.0500	5,250.00	251.86
12311	10/13/2008	10/16/2008	598251106	MBHI	5000.0000	1.9800	9,900.00	19.63
12312	09/09/2008	09/12/2008	313586109	FNM	5000.0000	1.0540	5,270.00	251.15

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12313	11/03/2009	11/06/2009	02503Y103	ACAS	-3996.0000	2.5700	(10,269.72)	1.28
12314	09/29/2008	10/02/2008	767754104	RAD	10000.0000	0.8489	8,489.00	90.40
12315	07/07/2006	07/12/2006	524636107	LRCI	-10000.0000	0.8500	(8,500.00)	90.42
12316	05/01/2009	05/06/2009	207142308	CNXT	5000.0000	1.4380	7,190.00	156.30
12317	01/31/2006	02/03/2006	759525108	RWC	-1000.0000	10.2500	(10,250.00)	3.43
12318	12/21/2006	12/27/2006	37942D104	GDVI	-150000.0000	0.0473	(7,095.00)	161.25
12319	12/12/2006	12/15/2006	206710204	CCUR	5000.0000	1.9733	9,866.50	23.18
12320	05/15/2009	05/20/2009	370442105	GM	-5000.0000	1.1000	(5,500.00)	243.04
12321	01/27/2010	02/01/2010	925490104	VRTA	-5987.0000	1.1202	(6,706.64)	182.98
12322	04/27/2010	04/30/2010	859319105	STSA	-5000.0000	1.1120	(5,560.00)	240.93
12323	06/11/2009	06/16/2009	904753100	UGNE	-5000.0000	1.3372	(6,686.00)	185.15
12324	04/19/2010	04/22/2010	39808Q104	GRPR	5000.0000	1.1200	5,600.00	239.52
12325	10/20/2010	10/25/2010	23703Q104	DQ	-800.0000	12.6450	(10,116.00)	13.74
12326	03/27/2009	04/01/2009	300248104	EEE	-5200.0000	1.0945	(5,691.40)	234.97
12327	05/29/2009	06/03/2009	52729N100	LVLTL	-7000.0000	1.0500	(7,350.00)	152.09
12328	03/12/2009	03/17/2009	172967101	C	6000.0000	1.5700	9,420.00	48.66
12329	03/12/2009	03/17/2009	172967101	C	6000.0000	1.5700	9,420.00	48.66
12330	07/11/2007	07/16/2007	23316N100	DDCI	-20000.0000	0.3300	(6,600.00)	189.79
12331	06/19/2007	06/22/2007	641876107	HYB	-4123.0000	2.3247	(9,584.74)	40.85
12332	05/14/2009	05/19/2009	150934503	CTIC	-6000.0000	1.1500	(6,900.00)	175.56
12333	03/10/2006	03/15/2006	458854106	IHDR	-25000.0000	0.3000	(7,500.00)	145.88
12334	03/25/2009	03/30/2009	03058P109	AWBC	-5040.0000	1.0250	(5,166.00)	263.09
12335	10/23/2008	10/28/2008	345370860	F	4525.0000	2.1099	9,547.30	44.33
12336	06/01/2009	06/04/2009	197231103	CBBO	-4100.0000	2.5000	(10,250.00)	9.21
12337	08/12/2009	08/17/2009	313586109	FNM	-5000.0000	1.1500	(5,750.00)	234.23
12338	11/11/2008	11/14/2008	14067D102	CPST	5000.0000	1.1500	5,750.00	234.23
12339	06/17/2008	06/20/2008	36238T104	GTCB	-15000.0000	0.4422	(6,633.00)	190.30
12340	03/14/2006	03/17/2006	805423100	SVVS	5000.0000	1.1600	5,800.00	232.46
12341	08/16/2006	08/21/2006	125750109	CMGI	-5065.0000	1.0400	(5,267.60)	259.14
12342	10/27/2008	10/30/2008	52729N100	LVLTL	-10000.0000	0.9300	(9,300.00)	57.53
12343	08/05/2009	08/10/2009	090605106	BEHL	100000.0000	0.0930	9,300.00	57.53
12344	03/13/2009	03/18/2009	505336107	LZB	9000.0000	0.9595	8,635.50	90.75
12345	06/17/2009	06/22/2009	91688W107	UPTL	-25000.0000	0.3100	(7,750.00)	135.54
12346	10/20/2009	10/23/2009	832727101	SSCCQ	-7000.0000	0.9500	(6,650.00)	190.57
12347	02/14/2006	02/17/2006	364825109	GARB	-170518.0000	0.0390	(6,650.20)	190.57
12348	05/13/2008	05/16/2008	23254W104	CYPW	-30000.0000	0.2217	(6,651.00)	190.58
12349	11/20/2009	11/25/2009	91704A105	URBF	5000.0000	1.1700	5,850.00	230.70
12350	09/01/2006	09/07/2006	29759Y107	EERG	5000.0000	1.1700	5,850.00	230.70
12351	01/03/2006	01/06/2006	20461S108	CPTC	-5000.0000	1.1710	(5,855.00)	230.52
12352	01/11/2008	01/16/2008	009417106	ANS	-6000.0000	1.6257	(9,754.20)	36.14
12353	09/09/2009	09/14/2009	14067D102	CPST	4800.0000	1.4400	6,912.00	178.51
12354	12/05/2008	12/10/2008	09255T109	ARK	-4310.0000	2.0833	(8,979.02)	75.39
12355	06/07/2006	06/12/2006	886405109	TIDE	-7564.0000	1.0779	(8,153.24)	116.95
12356	12/30/2009	01/05/2010	410495105	HAFC	-5000.0000	1.1900	(5,950.00)	227.17
12357	05/03/2010	05/06/2010	82967N108	SIRI	-5000.0000	1.1900	(5,950.00)	227.17
12358	11/10/2009	11/16/2009	37990W103	GHLV	5000.0000	1.1900	5,950.00	227.17
12359	03/19/2007	03/22/2007	85771Q100	STCA	7500.0000	0.8900	6,675.00	190.96
12360	10/14/2008	10/17/2008	767754104	RAD	12000.0000	0.7791	9,349.20	57.48
12361	03/06/2008	03/11/2008	885218107	TMA	-5475.0000	1.3500	(7,391.25)	155.40
12362	02/10/2009	02/13/2009	043353101	ARM	6500.0000	1.2851	8,353.15	107.34
12363	08/28/2009	09/02/2009	313586109	FNM	4400.0000	2.0399	8,975.56	76.26
12364	08/22/2008	08/27/2008	800907107	SANM	5000.0000	2.0000	10,000.00	25.94
12365	02/23/2009	02/26/2009	65080T102	NBBC	4714.0000	1.5000	7,071.00	172.45
12366	05/28/2009	06/02/2009	68375N103	OPK	5000.0000	1.2088	6,044.00	223.86
12367	12/14/2009	12/17/2009	232828301	CYTR	-5000.0000	1.2101	(6,050.50)	223.64
12368	01/17/2006	01/20/2006	232828301	CYTR	-5000.0000	1.2101	(6,050.50)	223.64
12369	02/11/2008	02/14/2008	073677106	BCON	5000.0000	1.2244	6,122.00	221.11

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12370	02/14/2008	02/20/2008	806732103	SCTN	-65000.0000	0.1033	(6,714.50)	191.57
12371	04/22/2010	04/27/2010	336453105	FSNM	-10000.0000	0.9400	(9,400.00)	57.44
12372	09/11/2006	09/14/2006	867931305	SCON	-4507.0000	1.8300	(8,247.81)	115.55
12373	10/19/2009	10/22/2009	82967N108	SIRI	-15000.0000	0.6279	(9,418.50)	57.43
12374	03/04/2009	03/09/2009	862700101	SDIX	7700.0000	1.0500	8,085.00	124.42
12375	06/25/2009	06/30/2009	269246104	ETFC	5000.0000	1.2499	6,249.50	216.62
12376	06/12/2006	06/15/2006	33938W206	FLXT	4400.0000	2.1045	9,259.80	66.24
12377	03/23/2009	03/26/2009	19247W102	RLF	-4489.0000	1.9501	(8,754.00)	91.76
12378	09/15/2008	09/18/2008	04349Y107	ASDS	-17500.0000	0.4900	(8,575.00)	100.74
12379	07/06/2006	07/11/2006	229675103	QBIK	7500.0000	0.9000	6,750.00	192.12
12380	04/09/2007	04/12/2007	269437208	EAGB	-40000.0000	0.1913	(7,652.00)	147.25
12381	12/14/2007	12/19/2007	757736103	RPBC	-15000.0000	0.6300	(9,450.00)	57.39
12382	03/23/2009	03/26/2009	19247L106	RQI	-4422.0000	1.9902	(8,800.66)	90.36
12383	09/26/2007	10/01/2007	206710204	CCUR	-5000.0000	1.1739	(5,869.50)	237.03
12384	11/05/2009	11/10/2009	767754104	RAD	-5000.0000	1.2701	(6,350.50)	213.06
12385	09/14/2009	09/17/2009	093679108	BBI	-4900.0000	1.4100	(6,909.00)	185.99
12386	11/11/2008	11/14/2008	37247D106	GNW	5000.0000	1.2900	6,450.00	209.55
12387	10/21/2008	10/24/2008	345370860	F	4400.0000	2.1500	9,460.00	59.18
12388	03/20/2009	03/25/2009	920961109	VVR	4240.0000	2.3197	9,835.53	40.61
12389	04/20/2009	04/23/2009	37247D106	GNW	4500.0000	1.9999	8,999.55	82.78
12390	09/30/2009	10/05/2009	125581108	CIT	5000.0000	1.2997	6,498.50	207.84
12391	05/21/2008	05/27/2008	206710204	CCUR	10000.0000	0.6800	6,800.00	192.90
12392	12/15/2009	12/18/2009	767754104	RAD	5000.0000	1.3099	6,549.50	206.04
12393	04/12/2010	04/15/2010	023139108	ABK	-6200.0000	1.5505	(9,613.10)	53.08
12394	07/19/2006	07/24/2006	63007X108	NNPP	5000.0000	1.3140	6,570.00	205.31
12395	10/20/2006	10/25/2006	04289L107	ARTG	-4400.0000	2.0686	(9,101.84)	79.07
12396	10/28/2009	11/02/2009	92823T108	VHC	5000.0000	2.1299	10,649.50	2.08
12397	09/02/2008	09/05/2008	82967N108	SIRI	5000.0000	1.3300	6,650.00	202.49
12398	10/13/2010	10/18/2010	16936Y100	CBAK	5000.0000	2.1399	10,699.50	0.25
12399	11/21/2008	11/26/2008	22544F103	DHY	-5173.0000	1.0900	(5,638.57)	253.34
12400	07/26/2010	07/29/2010	09250E107	HIS	-4500.0000	2.0510	(9,229.50)	74.68
12401	01/16/2007	01/19/2007	60036E101	MLHP	-100000.0000	0.0890	(8,900.00)	91.39
12402	10/06/2009	10/09/2009	150837409	CVM	-5000.0000	1.3500	(6,750.00)	198.97
12403	06/04/2009	06/09/2009	849109103	SPNG	100000.0000	0.0686	6,860.00	193.83
12404	09/18/2009	09/23/2009	150837409	CVM	5000.0000	1.3599	6,799.50	197.23
12405	09/02/2009	09/08/2009	125581108	CIT	5000.0000	1.3600	6,800.00	197.21
12406	12/20/2006	12/26/2006	450909106	IBIS	5000.0000	1.3685	6,842.50	195.71
12407	09/18/2009	09/23/2009	197231103	CBBO	-4873.0000	1.5000	(7,309.50)	172.47
12408	10/27/2006	11/01/2006	204166102	CVLT	-300.0000	17.9500	(5,385.00)	269.06
12409	02/25/2009	03/02/2009	97750V109	WZE	10000.0000	0.6886	6,886.00	194.23
12410	05/09/2006	05/12/2006	236277109	DANKY	5000.0000	1.3800	6,900.00	193.68
12411	05/01/2009	05/06/2009	48248A306	KFN	5000.0000	1.7699	8,849.50	96.57
12412	08/18/2009	08/21/2009	125581108	CIT	5000.0000	1.3898	6,949.00	191.95
12413	02/07/2007	02/12/2007	806732103	SCTN	-100000.0000	0.0690	(6,900.00)	194.45
12414	12/11/2008	12/16/2008	313400301	FRE	10000.0000	0.6900	6,900.00	194.45
12415	02/23/2006	02/28/2006	70336N107	PTSC	-10200.0000	0.7662	(7,815.24)	148.71
12416	01/06/2009	01/09/2009	920913100	VLT	-4544.0000	1.9501	(8,861.25)	96.65
12417	06/11/2010	06/16/2010	26745T101	DYAI	5300.0000	1.8000	9,540.00	62.75
12418	10/07/2008	10/10/2008	780097721	RBS	5400.0000	1.6500	8,910.00	94.50
12419	05/01/2007	05/04/2007	901167106	TWTR	-5000.0000	1.4012	(7,006.00)	189.94
12420	05/01/2007	05/04/2007	901167106	TWTR	-5000.0000	1.4024	(7,012.00)	189.73
12421	12/05/2006	12/08/2006	922281100	VSTY	-5000.0000	1.4035	(7,017.50)	189.54
12422	12/28/2006	01/03/2007	111412607	BVSN	-10308.0000	0.7600	(7,834.08)	148.89
12423	09/06/2006	09/11/2006	46612J101	JDSU	4500.0000	2.2603	10,171.35	32.78
12424	08/07/2007	08/10/2007	206710204	CCUR	-5000.0000	1.3217	(6,608.50)	211.08
12425	05/20/2010	05/25/2010	313586109	FNMA	-10000.0000	0.9000	(9,000.00)	91.63
12426	04/13/2006	04/19/2006	524636107	LRCI	-10000.0000	0.9000	(9,000.00)	91.63

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12427	07/20/2007	07/25/2007	63008X107	NNSR	1200000.0000	0.0075	9,000.00	91.63
12428	10/03/2006	10/06/2006	739191104	PWAC	10000.0000	0.9000	9,000.00	91.63
12429	11/17/2009	11/20/2009	812224202	SRCH	-5000.0000	1.4200	(7,100.00)	186.63
12430	10/13/2006	10/18/2006	344229109	FDRA	5000.0000	1.4240	7,120.00	185.92
12431	03/26/2009	03/31/2009	37247D106	GNW	4500.0000	2.1397	9,628.65	60.61
12432	04/27/2009	04/30/2009	65080T102	NBBC	-4430.0000	2.1502	(9,525.39)	65.77
12433	02/02/2007	02/07/2007	206710204	CCUR	5000.0000	1.4300	7,150.00	184.87
12434	05/14/2010	05/19/2010	550351100	LUNA	-4500.0000	2.1500	(9,675.00)	58.97
12435	02/02/2007	02/07/2007	206710204	CCUR	5000.0000	1.5586	7,793.00	153.35
12436	01/02/2009	01/07/2009	607500105	MDVX	4500.0000	2.1600	9,720.00	57.38
12437	04/13/2010	04/16/2010	922587100	VMCI	-116000.0000	0.0600	(6,960.00)	195.38
12438	10/13/2006	10/18/2006	344229109	FDRA	5000.0000	1.4440	7,220.00	182.40
12439	02/20/2009	02/25/2009	65080T102	NBBC	4986.0000	1.4480	7,219.73	182.70
12440	10/28/2010	11/02/2010	82967N108	SIRI	6000.0000	1.4590	8,754.00	106.09
12441	03/19/2007	03/22/2007	75382A107	RPTN	-5000.0000	1.4501	(7,250.50)	181.33
12442	02/27/2007	03/02/2007	21076X102	CTXNY	8298.0000	0.8400	6,970.32	195.54
12443	05/13/2010	05/18/2010	82967N108	SIRI	-6000.0000	1.0611	(6,366.60)	225.77
12444	03/20/2007	03/23/2007	85771Q100	STCA	7500.0000	0.9300	6,975.00	195.61
12445	06/08/2009	06/11/2009	10807Q205	BLSW	-5000.0000	1.4584	(7,292.00)	179.86
12446	12/15/2006	12/20/2006	206710204	CCUR	5000.0000	1.9689	9,844.50	52.28
12447	03/27/2007	03/30/2007	98155N106	WWAT	-11240.0000	0.6210	(6,980.04)	195.69
12448	09/21/2009	09/24/2009	125581108	CIT	-5000.0000	1.4701	(7,350.50)	177.80
12449	05/01/2007	05/04/2007	901167106	TWTR	-5000.0000	1.4740	(7,370.00)	177.11
12450	05/01/2007	05/04/2007	901167106	TWTR	-5000.0000	1.4788	(7,394.00)	176.26
12451	12/06/2006	12/11/2006	206710204	CCUR	5000.0000	1.9892	9,946.00	48.70
12452	03/02/2010	03/05/2010	131910101	CAMH	-50000.0000	0.1400	(7,000.00)	196.00
12453	06/19/2009	06/24/2009	91688W107	UPTR	-25000.0000	0.2800	(7,000.00)	196.00
12454	10/27/2008	10/30/2008	313400301	FRE	-10000.0000	0.7000	(7,000.00)	196.00
12455	12/01/2009	12/04/2009	591556105	MTIZ	-1000000.0000	0.0070	(7,000.00)	196.00
12456	08/15/2008	08/20/2008	82967N108	SIRI	5000.0000	1.4800	7,400.00	176.05
12457	06/08/2009	06/11/2009	849109103	SPNG	65000.0000	0.1399	9,093.50	91.87
12458	05/21/2008	05/27/2008	05348W109	AVNX	-8000.0000	1.0401	(8,320.80)	130.55
12459	09/20/2007	09/25/2007	051545101	AULO	11000.0000	0.8900	9,790.00	57.10
12460	06/01/2009	06/04/2009	02318N102	ABTG	66600.0000	0.1470	9,790.20	57.10
12461	01/15/2009	01/21/2009	247126105	DPHIQ	-100000.0000	0.0701	(7,010.00)	196.16
12462	04/23/2010	04/28/2010	92839U107	VSTNQ	5000.0000	1.5000	7,500.00	172.53
12463	04/25/2006	04/28/2006	887133106	TBLC	10000.0000	0.8500	8,500.00	122.64
12464	07/28/2009	07/31/2009	627330103	MFLI	-1734667.0000	0.0050	(8,673.34)	114.01
12465	12/31/2009	01/06/2010	82967N108	SIRI	-12000.0000	0.5860	(7,032.00)	196.50
12466	03/11/2009	03/16/2009	172967101	C	5000.0000	1.5100	7,550.00	170.76
12467	11/16/2009	11/19/2009	812224202	SRCH	-5000.0000	1.5100	(7,550.00)	170.76
12468	03/26/2009	03/31/2009	68555P100	ORBC	5000.0000	1.5105	7,552.50	170.68
12469	04/13/2010	04/16/2010	984249102	YRCW	-12000.0000	0.5866	(7,039.20)	196.61
12470	12/13/2007	12/18/2007	206710204	CCUR	-8000.0000	0.8068	(6,454.40)	226.08
12471	11/17/2008	11/20/2008	345370860	F	4745.0000	1.7900	8,493.55	124.19
12472	01/30/2007	02/02/2007	206710204	CCUR	5000.0000	1.6398	8,199.00	139.05
12473	01/30/2007	02/02/2007	206710204	CCUR	5000.0000	1.6398	8,199.00	139.05
12474	06/18/2009	06/23/2009	91688W107	UPTR	-25000.0000	0.2820	(7,050.00)	196.78
12475	01/30/2007	02/02/2007	206710204	CCUR	5000.0000	1.6499	8,249.50	137.03
12476	10/08/2009	10/14/2009	767754104	RAD	5000.0000	1.5298	7,649.00	167.27
12477	05/24/2007	05/30/2007	903421105	USSE	-110000.0000	0.0754	(8,294.00)	135.30
12478	12/26/2007	12/31/2007	37229P507	GTOP	-10000.0000	0.7227	(7,227.00)	188.65
12479	08/22/2008	08/27/2008	607500105	MDVX	5000.0000	1.5340	7,670.00	166.53
12480	05/07/2007	05/10/2007	269437208	EAGB	-40000.0000	0.2000	(8,000.00)	150.37
12481	06/02/2009	06/05/2009	640518106	NEOP	-5325.0000	1.0723	(5,710.00)	265.60
12482	08/29/2007	09/04/2007	63008X107	NNSR	-2963031.0000	0.0031	(9,185.40)	92.08
12483	03/11/2008	03/14/2008	885218107	TMA	5000.0000	1.5600	7,800.00	161.95

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12484	02/17/2010	02/22/2010	82967N108	SIRI	-7500.0000	1.0400	(7,800.00)	162.22
12485	05/28/2009	06/02/2009	370021107	GGWPQ	-5000.0000	1.5640	(7,820.00)	161.24
12486	10/12/2009	10/15/2009	456277102	INTK	100000.0000	0.0710	7,100.00	197.55
12487	06/06/2007	06/11/2007	26205U101	DKAM	-6750.0000	1.2078	(8,152.65)	144.98
12488	06/13/2007	06/18/2007	15118V108	CSUH	7200.0000	1.3100	9,432.00	82.07
12489	04/17/2009	04/22/2009	026874107	AIG	-5000.0000	1.5900	(7,950.00)	156.66
12490	11/08/2006	11/13/2006	548910108	LPTN	7500.0000	0.9500	7,125.00	197.94
12491	07/22/2008	07/25/2008	014485106	AFN	-7500.0000	1.2200	(9,150.00)	96.84
12492	09/02/2009	09/08/2009	929566107	WNC	4500.0000	2.0731	9,328.95	88.13
12493	03/08/2006	03/13/2006	69073F103	OWENQ	-8000.0000	1.1400	(9,120.00)	98.83
12494	09/28/2009	10/01/2009	448954107	HDY	5000.0000	1.6000	8,000.00	154.90
12495	09/06/2006	09/11/2006	75621X108	RCAA	-155000.0000	0.0700	(10,850.00)	13.03
12496	07/28/2008	07/31/2008	746235100	PSPM	70000.0000	0.1550	10,850.00	13.03
12497	11/08/2006	11/13/2006	00253U107	ASTM	5000.0000	1.6100	8,050.00	153.13
12498	04/30/2009	05/05/2009	37247D106	GNW	-4500.0000	2.3500	(10,575.00)	27.24
12499	09/18/2008	09/23/2008	82967N108	SIRI	5500.0000	1.0146	5,580.30	277.08
12500	05/29/2008	06/03/2008	767754104	RAD	4900.0000	2.1600	10,584.00	27.14
12501	09/29/2009	10/02/2009	767754104	RAD	5000.0000	1.6198	8,099.00	151.41
12502	08/07/2009	08/12/2009	65080T102	NBBC	-4597.0000	2.2000	(10,113.40)	50.99
12503	12/27/2006	01/02/2007	978093102	WLV	-8000.0000	1.1642	(9,313.60)	91.15
12504	09/29/2009	10/02/2009	767754104	RAD	5000.0000	1.6298	8,149.00	149.64
12505	09/29/2009	10/02/2009	767754104	RAD	5000.0000	1.6300	8,150.00	149.61
12506	09/29/2009	10/02/2009	767754104	RAD	5000.0000	1.6300	8,150.00	149.61
12507	03/07/2008	03/12/2008	45818G101	ITYC	-15000.0000	0.6200	(9,300.00)	92.36
12508	10/08/2009	10/14/2009	492515101	KERX	4529.0000	2.2300	10,099.67	52.84
12509	06/02/2009	06/05/2009	14052H506	CT	-5000.0000	1.6500	(8,250.00)	146.08
12510	08/25/2009	08/28/2009	290846104	EMKR	7000.0000	1.1027	7,718.90	172.64
12511	03/10/2009	03/13/2009	561911108	HYF	7200.0000	0.9993	7,194.96	199.02
12512	12/04/2007	12/07/2007	58977Q109	TMR	-5000.0000	1.6550	(8,275.00)	145.20
12513	08/11/2006	08/16/2006	039209101	KAD	4900.0000	2.2000	10,780.00	20.08
12514	08/22/2006	08/25/2006	007928104	ADVR	-120000.0000	0.0600	(7,200.00)	199.10
12515	12/19/2007	12/24/2007	757736103	RPBC	-15000.0000	0.6700	(10,050.00)	56.67
12516	01/27/2006	02/01/2006	20461S108	CPTC	5000.0000	1.6590	8,295.00	144.49
12517	02/01/2010	02/04/2010	535925101	LTON	-5000.0000	1.6629	(8,314.50)	143.81
12518	11/01/2006	11/06/2006	978093102	WLV	-8000.0000	1.2038	(9,630.40)	78.58
12519	01/08/2008	01/11/2008	64125U406	NRGX	-5320.0000	1.2000	(6,384.00)	241.41
12520	09/03/2008	09/08/2008	339099103	FLE	4800.0000	1.9599	9,407.52	90.52
12521	04/27/2010	04/30/2010	83615A100	SRGL	5400.0000	1.9700	10,638.00	29.05
12522	08/05/2009	08/10/2009	125581108	CIT	-5200.0000	1.4300	(7,436.00)	189.53
12523	06/23/2008	06/26/2008	254668106	DSCO	-5000.0000	1.6900	(8,450.00)	139.03
12524	05/01/2008	05/06/2008	641876107	HYB	5000.0000	1.6900	8,450.00	139.03
12525	09/12/2008	09/17/2008	313400301	FRE	20000.0000	0.5497	10,994.00	11.88
12526	05/04/2006	05/09/2006	67030P107	NSOL	-5000.0000	1.6910	(8,455.00)	138.85
12527	04/20/2006	04/25/2006	63007X108	NNPP	-4500.0000	2.4400	(10,980.00)	12.96
12528	01/24/2007	01/29/2007	629196106	NMCX	-900000.0000	0.0113	(10,170.00)	56.08
12529	12/05/2007	12/10/2007	031539109	AMNT	-7600.0000	1.1083	(8,423.08)	143.48
12530	05/17/2006	05/22/2006	222814204	DVW	-6000.0000	1.7400	(10,440.00)	42.92
12531	06/10/2009	06/15/2009	720279108	PIR	5000.0000	1.7899	8,949.50	117.53
12532	08/11/2009	08/14/2009	207142308	CNXT	-5000.0000	2.1431	(10,715.50)	29.41
12533	01/13/2006	01/19/2006	46488N103	ILE	5000.0000	2.1499	10,749.50	28.18
12534	04/17/2007	04/20/2007	53221W101	LSPN	-58400.0000	0.1900	(11,096.00)	11.07
12535	08/19/2009	08/24/2009	82967N108	SIRI	12000.0000	0.6086	7,303.20	200.71
12536	04/28/2010	05/03/2010	83615A100	SRGL	5000.0000	1.7500	8,750.00	128.45
12537	11/11/2008	11/14/2008	345370860	F	5000.0000	1.7500	8,750.00	128.45
12538	03/05/2007	03/08/2007	05348W109	AVNX	-5000.0000	1.6468	(8,234.00)	154.30
12539	07/22/2008	07/25/2008	06652B103	BKUNA	-6500.0000	1.6700	(10,855.00)	23.31
12540	07/01/2008	07/07/2008	45972C102	INIS	12000.0000	0.7900	9,480.00	92.79

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12541	09/27/2010	09/30/2010	41754V103	HNR	-100.0000	7.5000	(750.00)	417.32
12542	06/04/2009	06/09/2009	68375N103	OPK	-5000.0000	1.7701	(8,850.50)	124.91
12543	11/27/2007	11/30/2007	78402X107	DDD	-5000.0000	1.7701	(8,850.50)	124.91
12544	03/20/2009	03/25/2009	720279108	PIR	60000.0000	0.1583	9,498.00	92.84
12545	10/06/2009	10/09/2009	45408X100	IGC	5000.0000	1.7800	8,900.00	123.16
12546	08/27/2007	08/30/2007	367626108	GTW	-5000.0000	1.7900	(8,950.00)	121.40
12547	08/27/2007	08/30/2007	367626108	GTW	-5000.0000	1.7900	(8,950.00)	121.40
12548	08/27/2007	08/30/2007	367626108	GTW	-5000.0000	1.7900	(8,950.00)	121.40
12549	06/05/2009	06/10/2009	68375N103	OPK	-5000.0000	1.8000	(9,000.00)	119.64
12550	09/22/2008	09/25/2008	92336G106	VSE	5000.0000	1.8000	9,000.00	119.64
12551	11/04/2008	11/07/2008	032515108	ANAD	-5698.0000	1.8100	(10,313.38)	54.13
12552	11/21/2008	11/26/2008	852061100	S	5700.0000	1.6400	9,348.00	102.60
12553	05/08/2009	05/13/2009	781748108	RURL	5000.0000	1.8400	9,200.00	110.00
12554	12/19/2008	12/24/2008	339099103	FLE	76500.0000	0.1248	9,547.20	92.95
12555	06/19/2009	06/24/2009	68375N103	OPK	-5000.0000	1.8200	(9,100.00)	116.11
12556	08/26/2010	08/31/2010	82967N108	SIRI	-7460.0000	0.9900	(7,385.40)	201.98
12557	06/05/2009	06/10/2009	92340P100	VRNM	16713.0000	0.6716	11,224.45	10.05
12558	07/09/2010	07/14/2010	468202106	JTX	-5624.0000	1.0000	(5,624.00)	290.63
12559	10/13/2006	10/18/2006	26884J104	ERHE	20000.0000	0.3700	7,400.00	202.21
12560	12/01/2006	12/06/2006	85771Q100	STCA	10000.0000	0.7400	7,400.00	202.21
12561	12/04/2006	12/07/2006	85771Q100	STCA	10000.0000	0.7400	7,400.00	202.21
12562	12/02/2009	12/07/2009	591556105	MTIZ	-1000000.0000	0.0074	(7,400.00)	202.21
12563	10/22/2009	10/27/2009	45408X100	IGC	-5000.0000	1.8382	(9,191.00)	112.90
12564	02/26/2010	03/03/2010	86272T106	BEE	2500.0000	2.7999	6,999.75	222.51
12565	06/20/2008	06/25/2008	037032109	AGEN	5000.0000	1.8400	9,200.00	112.59
12566	12/18/2008	12/23/2008	192108108	CDE	10000.0000	0.9600	9,600.00	93.08
12567	04/30/2010	05/05/2010	062401104	GRAN	5000.0000	1.8470	9,235.00	111.35
12568	06/01/2009	06/04/2009	767754104	RAD	-5400.0000	1.3500	(7,290.00)	209.42
12569	11/21/2006	11/27/2006	206710204	CCUR	5000.0000	1.9848	9,924.00	77.80
12570	02/01/2006	02/06/2006	313135501	FJC	6878.0000	1.3885	9,550.10	97.13
12571	08/28/2006	08/31/2006	05348W109	AVNX	5000.0000	1.8684	9,342.00	107.58
12572	01/07/2010	01/12/2010	45320R108	IMSC	30000.0000	0.3592	10,776.00	36.20
12573	01/06/2010	01/11/2010	93041P100	WGBS	2500.0000	2.3100	5,775.00	286.25
12574	03/20/2007	03/23/2007	85771Q100	STCA	10000.0000	0.9300	9,300.00	110.08
12575	04/23/2009	04/28/2009	800907107	SANM	-16000.0000	0.4653	(7,444.80)	202.90
12576	09/10/2010	09/15/2010	82967N108	SIRI	10000.0000	1.0400	10,400.00	55.38
12577	10/31/2006	11/03/2006	171605207	CYRO	-5500.0000	1.2536	(6,894.80)	230.73
12578	02/02/2009	02/05/2009	345370860	F	5000.0000	1.8798	9,399.00	105.57
12579	08/07/2006	08/10/2006	27944M103	EDNE	-5000.0000	1.8851	(9,425.50)	104.64
12580	01/06/2009	01/09/2009	939322103	WAMUQ	120000.0000	0.0660	7,920.00	179.97
12581	01/24/2007	01/29/2007	247361108	DALRQ	10000.0000	1.0500	10,500.00	51.26
12582	02/25/2009	03/02/2009	44934S107	ICAD	10000.0000	1.0521	10,521.00	50.39
12583	03/09/2009	03/12/2009	345370860	F	5053.0000	1.7592	8,889.24	132.13
12584	03/10/2009	03/13/2009	370442105	GM	5000.0000	1.8998	9,499.00	102.04
12585	02/25/2009	03/02/2009	44934S107	ICAD	10000.0000	1.0600	10,600.00	47.15
12586	04/23/2009	04/28/2009	720279108	PIR	5000.0000	1.9090	9,545.00	100.42
12587	02/12/2009	02/18/2009	065908600	BBX	5000.0000	1.9100	9,550.00	100.25
12588	05/14/2009	05/19/2009	529900102	ASG	-4550.0000	2.5202	(11,466.91)	5.02
12589	10/07/2008	10/10/2008	767754104	RAD	10000.0000	0.7500	7,500.00	203.76
12590	07/31/2006	08/03/2006	00178W105	AMM	10000.0000	0.7500	7,500.00	203.76
12591	10/20/2009	10/23/2009	83069S102	SKNY	-50000.0000	0.1500	(7,500.00)	203.76
12592	02/22/2007	02/27/2007	85771Q100	STCA	10000.0000	0.7500	7,500.00	203.76
12593	02/23/2007	02/28/2007	85771Q100	STCA	10000.0000	0.7500	7,500.00	203.76
12594	02/27/2007	03/02/2007	85771Q100	STCA	10000.0000	0.7500	7,500.00	203.76
12595	02/21/2007	02/26/2007	85771Q100	STCA	10000.0000	0.7500	7,500.00	203.76
12596	02/20/2007	02/23/2007	85771Q100	STCA	10000.0000	0.7500	7,500.00	203.76
12597	10/13/2008	10/16/2008	598251106	MBHI	5000.0000	1.9299	9,649.50	96.74

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12598	03/11/2009	03/16/2009	345370860	F	5000.0000	1.9298	9,649.00	96.76
12599	03/10/2006	03/15/2006	458854106	IHDR	-25000.0000	0.4200	(10,500.00)	54.86
12600	06/18/2009	06/23/2009	720279108	PIR	5000.0000	1.9295	9,647.50	97.63
12601	10/22/2008	10/27/2008	313400301	FRE	-10000.0000	0.8511	(8,511.00)	154.95
12602	05/23/2007	05/29/2007	43738R109	HMB	5000.0000	1.9500	9,750.00	93.19
12603	09/29/2009	10/02/2009	739308104	PWER	5000.0000	1.9500	9,750.00	93.19
12604	09/30/2009	10/05/2009	739308104	PWER	5000.0000	1.9500	9,750.00	93.19
12605	10/20/2009	10/23/2009	313586109	FNM	-10000.0000	0.9750	(9,750.00)	93.44
12606	08/08/2007	08/13/2007	038188306	ADSX	-10000.0000	1.1047	(11,047.00)	28.74
12607	09/13/2006	09/18/2006	377899109	GEMS	4800.0000	2.2500	10,800.00	41.42
12608	09/28/2010	10/01/2010	665141107	NXPB	-695000.0000	0.0165	(11,467.50)	8.12
12609	11/21/2008	11/26/2008	195493309	CNB	5000.0000	1.9699	9,849.50	89.68
12610	09/16/2009	09/21/2009	254668106	DSCO	10000.0000	0.9782	9,782.00	93.52
12611	07/11/2006	07/14/2006	957541105	WSTL	-5000.0000	1.8639	(9,319.50)	117.03
12612	07/19/2007	07/24/2007	83426W101	SOLM	-20000.0000	0.4900	(9,800.00)	93.57
12613	05/20/2009	05/26/2009	169424108	CNTF	5000.0000	1.9900	9,950.00	86.14
12614	01/22/2009	01/27/2009	345370860	F	5000.0000	1.9900	9,950.00	86.14
12615	03/02/2006	03/07/2006	345203202	FRPT	-5000.0000	1.9900	(9,950.00)	86.14
12616	01/23/2007	01/26/2007	629196106	NMCX	-1000000.0000	0.0106	(10,600.00)	53.95
12617	11/16/2007	11/21/2007	206710204	CCUR	10000.0000	1.0184	10,184.00	74.80
12618	05/18/2009	05/21/2009	195493309	CNB	5500.0000	1.3600	7,480.00	210.10
12619	12/20/2006	12/26/2006	607500105	MDVX	5000.0000	1.9970	9,985.00	84.91
12620	05/04/2009	05/07/2009	871630109	SYNM	5000.0000	1.9999	9,999.50	84.40
12621	05/04/2009	05/07/2009	871630109	SYNM	5000.0000	1.9999	9,999.50	84.40
12622	12/19/2006	12/22/2006	367626108	GTW	5000.0000	2.0000	10,000.00	84.38
12623	05/24/2006	05/30/2006	282812106	ECPN	-5000.0000	2.0000	(10,000.00)	84.38
12624	09/03/2009	09/09/2009	760112102	RTK	5000.0000	2.0000	10,000.00	84.38
12625	10/23/2008	10/28/2008	345370860	F	5000.0000	2.0000	10,000.00	84.38
12626	11/07/2006	11/10/2006	206710204	CCUR	5000.0000	2.1269	10,634.50	52.78
12627	06/15/2006	06/20/2006	45840F105	ISWI	5000.0000	2.0080	10,040.00	82.97
12628	12/19/2006	12/22/2006	367626108	GTW	5000.0000	2.0100	10,050.00	82.62
12629	12/15/2008	12/18/2008	920961109	VVR	-5000.0000	2.0304	(10,152.00)	79.02
12630	06/08/2009	06/11/2009	370442105	GMGMQ	7893.0000	1.1300	8,919.09	140.84
12631	03/10/2009	03/13/2009	37247D106	GNW	10000.0000	1.1700	11,700.00	1.87
12632	11/18/2008	11/21/2008	37247D106	GNW	10000.0000	1.1699	11,699.00	1.92
12633	04/09/2007	04/12/2007	96684T107	WYDY	-11744.0000	0.6494	(7,626.55)	205.72
12634	04/16/2008	04/21/2008	803893106	SATC	-5050.0000	1.9000	(9,595.00)	107.43
12635	12/19/2006	12/22/2006	367626108	GTW	5000.0000	2.0400	10,200.00	77.33
12636	01/20/2010	01/25/2010	98974P100	ZIXI	-5000.0000	2.0400	(10,200.00)	77.33
12637	11/06/2009	11/12/2009	554225102	MCBC	5000.0000	2.0444	10,222.00	76.55
12638	07/23/2009	07/28/2009	627330103	MFLI	-747000.0000	0.0131	(9,785.70)	98.44
12639	05/13/2009	05/18/2009	195493309	CNB	6000.0000	1.6100	9,660.00	104.80
12640	09/21/2009	09/24/2009	86272T106	BEE	5000.0000	2.0499	10,249.50	75.58
12641	06/04/2007	06/07/2007	29382I105	EDCI	-5000.0000	2.0506	(10,253.00)	75.46
12642	11/20/2008	11/25/2008	989834106	ZF	4800.0000	2.3505	11,282.40	24.42
12643	02/11/2010	02/17/2010	468202106	JTX	5000.0000	2.0599	10,299.50	73.82
12644	10/14/2009	10/19/2009	14888U101	CPRX	8600.0000	0.8900	7,654.00	206.15
12645	01/02/2009	01/07/2009	607500105	MDVX	5000.0000	2.0700	10,350.00	72.04
12646	02/19/2008	02/22/2008	351465109	FXPE	16000.0000	0.6200	9,920.00	93.86
12647	02/27/2008	03/03/2008	70322H106	PSTX	-8550.0000	1.1071	(9,465.71)	116.65
12648	03/17/2009	03/20/2009	40108N106	GFG	15000.0000	0.4904	7,356.00	222.20
12649	03/17/2009	03/20/2009	40108N106	GFG	15000.0000	0.4700	7,050.00	237.50
12650	03/22/2010	03/25/2010	269246104	ETFC	-6100.0000	1.5300	(9,333.00)	123.44
12651	12/20/2006	12/26/2006	367626108	GTW	5000.0000	2.0800	10,400.00	70.28
12652	08/25/2010	08/30/2010	87161C105	SNV	-5000.0000	2.0802	(10,401.00)	70.24
12653	08/08/2006	08/11/2006	85857R105	STEM	-5000.0000	2.0900	(10,450.00)	68.51
12654	03/12/2009	03/17/2009	90262T308	UCBH	-7000.0000	1.5020	(10,514.00)	65.84

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12655	10/27/2009	10/30/2009	313586109	FNM	-7500.0000	1.0701	(8,025.75)	190.41
12656	03/25/2010	03/30/2010	062128103	BOFL	-12000.0000	0.7743	(9,291.60)	127.15
12657	01/21/2009	01/26/2009	345370860	F	5000.0000	2.1000	10,500.00	66.75
12658	03/02/2007	03/07/2007	806732103	SCTN	-128500.0000	0.0600	(7,710.00)	207.02
12659	11/09/2006	11/14/2006	33938W206	FLXT	-8572.0000	1.1606	(9,948.66)	97.26
12660	02/04/2008	02/07/2008	89834W104	TCHH	100000.0000	0.1084	10,840.00	52.76
12661	08/03/2009	08/06/2009	720279108	PIR	5000.0000	2.1685	10,842.50	52.88
12662	04/17/2008	04/22/2008	141916106	CXM	-5000.0000	2.1501	(10,750.50)	57.92
12663	12/23/2008	12/29/2008	345370860	F	5000.0000	2.1500	10,750.00	57.94
12664	01/02/2009	01/07/2009	607500105	MDVX	5000.0000	2.1500	10,750.00	57.94
12665	06/04/2009	06/09/2009	767754104	RAD	5500.0000	1.4999	8,249.45	182.97
12666	03/13/2006	03/16/2006	16117M107	CHTR	-8000.0000	0.9701	(7,760.80)	207.81
12667	10/23/2009	10/28/2009	492515101	KERX	5000.0000	2.1600	10,800.00	56.17
12668	12/10/2008	12/15/2008	453838104	IBCP	-5000.0000	2.1605	(10,802.50)	56.09
12669	08/24/2009	08/27/2009	68375N103	OPK	5000.0000	2.1699	10,849.50	54.43
12670	12/23/2008	12/29/2008	345370860	F	-5000.0000	2.1701	(10,850.50)	54.40
12671	08/06/2008	08/11/2008	98425R200	YTBLA	-10000.0000	0.7800	(7,800.00)	208.42
12672	10/08/2009	10/14/2009	14067D102	CPST	-5700.0000	1.3100	(7,467.00)	225.31
12673	08/06/2009	08/11/2009	767754104	RAD	5500.0000	1.5400	8,470.00	175.19
12674	10/16/2008	10/21/2008	313400301	FRE	-5900.0000	1.1000	(6,490.00)	274.50
12675	05/11/2009	05/14/2009	767754104	RAD	-6000.0000	1.0000	(6,000.00)	299.15
12676	08/14/2006	08/17/2006	69073F103	OWENQ	-6000.0000	1.0000	(6,000.00)	299.15
12677	05/20/2010	05/25/2010	468202106	JTX	5375.0000	1.6700	8,976.25	150.58
12678	01/26/2007	01/31/2007	33938W206	FLXT	-6000.0000	1.0042	(6,025.20)	298.26
12679	09/13/2006	09/18/2006	377899109	GEMS	5000.0000	2.2094	11,047.00	47.46
12680	06/16/2006	06/21/2006	786449108	SFE	-5000.0000	2.2094	(11,047.00)	47.46
12681	09/22/2009	09/25/2009	86272T106	BEE	5000.0000	2.2100	11,050.00	47.36
12682	07/21/2006	07/26/2006	786578302	SFLK	30000.0000	0.3943	11,829.00	8.55
12683	03/16/2006	03/21/2006	20461S108	CPTC	10000.0000	1.1800	11,800.00	10.00
12684	06/16/2008	06/19/2008	74963Q102	RHY	-5000.0000	2.3500	(11,750.00)	12.50
12685	08/18/2009	08/21/2009	720279108	PIR	5000.0000	2.3496	11,748.00	12.60
12686	10/23/2006	10/26/2006	04289L107	ARTG	5000.0000	2.3400	11,700.00	15.00
12687	05/13/2009	05/18/2009	06652B103	BKUNA	10000.0000	1.1226	11,226.00	38.70
12688	11/05/2009	11/10/2009	98388V107	XCEL	20000.0000	0.5600	11,200.00	40.00
12689	03/05/2009	03/10/2009	172967101	C	10000.0000	1.1000	11,000.00	50.00
12690	12/10/2008	12/15/2008	74963Q102	RHY	-24317.0000	0.4500	(10,942.65)	52.87
12691	03/09/2006	03/14/2006	20461S108	CPTC	10000.0000	1.0920	10,920.00	54.00
12692	07/21/2006	07/26/2006	45953X208	IFUE	-10000.0000	1.0825	(10,825.00)	58.75
12693	07/27/2009	07/30/2009	75061P102	RAE	-6000.0000	1.7800	(10,680.00)	66.00
12694	10/12/2007	10/17/2007	05348W109	AVNX	-6000.0000	1.6700	(10,020.00)	99.00
12695	01/04/2010	01/07/2010	62010A105	MTLQQ	-20000.0000	0.5000	(10,000.00)	100.00
12696	01/04/2007	01/09/2007	45768R305	IMEN	-10000.0000	0.9900	(9,900.00)	105.00
12697	03/01/2006	03/06/2006	45811E103	IESRQ	-10000.0000	0.9500	(9,500.00)	125.00
12698	03/01/2006	03/06/2006	45811E103	IESRQ	-10000.0000	0.9500	(9,500.00)	125.00
12699	09/27/2006	10/02/2006	667280101	NWACQ	10000.0000	0.7900	7,900.00	205.00
12700	02/01/2006	02/06/2006	28224N100	EFSE	20000.0000	0.3050	6,100.00	295.00
12701	02/06/2009	02/11/2009	59318T109	CIF	-5135.0000	1.9364	(9,943.41)	102.98
12702	07/15/2010	07/20/2010	291087203	MSN	-5000.0000	2.2150	(11,075.00)	46.48
12703	04/20/2010	04/23/2010	090621103	BONU	13000.0000	0.6023	7,829.90	208.89
12704	08/16/2010	08/19/2010	002346104	AVII	-5107.0000	1.9900	(10,162.93)	92.29
12705	05/20/2008	05/23/2008	68375N103	OPK	5000.0000	2.2199	11,099.50	45.62
12706	08/09/2007	08/14/2007	05348W109	AVNX	6500.0000	1.7624	11,455.60	27.82
12707	08/04/2008	08/07/2008	36870F107	GNLK	20000.0000	0.5485	10,970.00	52.12
12708	09/18/2008	09/23/2008	026874107	AIG	5000.0000	2.2399	11,199.50	42.08
12709	01/09/2006	01/12/2006	27944M103	EDNE	5000.0000	2.2460	11,230.00	41.01
12710	08/06/2009	08/11/2009	229393301	CYRV	5000.0000	2.2500	11,250.00	40.31
12711	05/11/2010	05/14/2010	811707306	SBCF	5000.0000	2.2500	11,250.00	40.31

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12712	09/09/2008	09/12/2008	065908501	BBX	5000.0000	2.2599	11,299.50	38.56
12713	02/09/2010	02/12/2010	40204A107	GNXP	6000.0000	1.0500	6,300.00	288.57
12714	06/04/2008	06/09/2008	885218107	TMA	10000.0000	0.7889	7,889.00	209.80
12715	11/06/2007	11/09/2007	293821105	EDCI	-6000.0000	1.0600	(6,360.00)	286.46
12716	10/02/2007	10/05/2007	903369106	USOO	-5395.0000	1.7359	(9,365.18)	136.38
12717	07/28/2009	07/31/2009	720279108	PIR	5000.0000	2.2983	11,491.50	30.43
12718	10/01/2008	10/06/2008	635405103	NCC	5000.0000	2.2800	11,400.00	35.02
12719	02/05/2007	02/08/2007	69562E104	PRZ	-9000.0000	0.8783	(7,904.70)	210.05
12720	10/22/2009	10/27/2009	313586109	FNM	7500.0000	1.2299	9,224.25	144.61
12721	02/19/2008	02/22/2008	71675Y100	PTSG	-11999.0000	0.6600	(7,919.34)	210.27
12722	05/14/2010	05/19/2010	811707306	SBCF	5000.0000	2.3000	11,500.00	31.49
12723	02/20/2008	02/25/2008	29256X107	ENCY	-5000.0000	2.3002	(11,501.00)	31.46
12724	03/07/2006	03/10/2006	16117M107	CHTR	-6000.0000	1.0900	(6,540.00)	280.11
12725	11/06/2009	11/12/2009	45031U101	SFI	-5000.0000	2.3101	(11,550.50)	29.72
12726	02/18/2010	02/23/2010	872449103	TIBB	-11067.0000	0.9205	(10,187.17)	99.32
12727	05/07/2007	05/10/2007	377899109	GEMS	5000.0000	2.3300	11,650.00	26.20
12728	10/27/2009	10/30/2009	058264102	BLD	-5500.0000	1.6801	(9,240.55)	148.02
12729	05/13/2010	05/18/2010	811707306	SBCF	5000.0000	2.3500	11,750.00	22.68
12730	03/15/2010	03/18/2010	68555P100	ORBC	-5000.0000	2.3500	(11,750.00)	22.68
12731	10/23/2008	10/28/2008	598251106	MBHI	-5000.0000	2.3500	(11,750.00)	22.68
12732	05/12/2010	05/17/2010	811707306	SBCF	5000.0000	2.3587	11,793.50	21.15
12733	08/26/2009	08/31/2009	68375N103	OPK	5000.0000	2.3599	11,799.50	20.93
12734	03/18/2009	03/23/2009	345370860	F	-5000.0000	2.3602	(11,801.00)	20.88
12735	05/13/2010	05/18/2010	811707306	SBCF	5000.0000	2.3654	11,827.00	19.96
12736	04/01/2010	04/07/2010	14888U101	CPRX	10000.0000	0.7999	7,999.00	211.50
12737	11/23/2009	11/27/2009	591556105	MTIZ	-2000000.0000	0.0040	(8,000.00)	211.52
12738	07/17/2009	07/22/2009	125581108	CIT	-10000.0000	0.8002	(8,002.00)	211.55
12739	10/08/2009	10/14/2009	739308104	PWER	-5000.0000	2.3701	(11,850.50)	19.14
12740	02/08/2010	02/11/2010	52729N100	LVL	-8000.0000	1.3400	(10,720.00)	76.15
12741	12/05/2006	12/08/2006	901167106	TWTR	5000.0000	2.3796	11,898.00	17.46
12742	07/27/2009	07/30/2009	720279108	PIR	-5000.0000	2.3901	(11,950.50)	15.61
12743	09/21/2009	09/24/2009	269246104	ETFC	6100.0000	1.8199	11,101.39	58.49
12744	01/21/2010	01/26/2010	683718308	OPWV	-5000.0000	2.4000	(12,000.00)	13.86
12745	04/26/2010	04/29/2010	63884T105	NTUR	-32145.0000	0.2500	(8,036.25)	212.09
12746	08/26/2008	08/29/2008	82967N108	SIRI	-6532.0000	1.3101	(8,557.57)	186.24
12747	11/06/2008	11/12/2008	026874107	AIG	5380.0000	1.8600	10,006.80	114.18
12748	04/26/2007	05/01/2007	90212D106	TYEG	-16996.0000	0.5000	(8,498.00)	191.10
12749	03/17/2009	03/20/2009	172967101	C	5000.0000	2.4299	12,149.50	8.60
12750	12/04/2006	12/07/2006	75382A107	RPTN	10000.0000	0.8075	8,075.00	212.68
12751	04/13/2006	04/19/2006	45768R305	INFN	-10000.0000	0.8075	(8,075.00)	212.68
12752	06/17/2010	06/22/2010	468202106	JTX	5624.0000	1.5359	8,637.90	184.61
12753	09/23/2008	09/26/2008	313586109	FNM	6000.0000	1.2130	7,278.00	254.09
12754	05/21/2009	05/27/2009	313400301	FRE	-15500.0000	0.7960	(12,338.00)	1.19
12755	03/19/2008	03/25/2008	767754104	RAD	5000.0000	2.4598	12,299.00	3.32
12756	01/17/2006	01/20/2006	026649103	AHOM	-5000.0000	2.4640	(12,320.00)	2.58
12757	11/30/2006	12/05/2006	206710204	CCUR	6000.0000	2.0006	12,003.60	18.70
12758	04/16/2007	04/19/2007	879604106	TKO	-5000.0000	2.4701	(12,350.50)	1.50
12759	03/12/2009	03/17/2009	92823T108	VHC	6000.0000	1.2271	7,362.60	251.11
12760	08/08/2006	08/11/2006	37229P507	GTOP	5000.0000	2.4799	12,399.50	0.02
12761	02/22/2008	02/27/2008	26884J104	ERHE	-31000.0000	0.2300	(7,130.00)	263.50
12762	03/09/2009	03/12/2009	699157103	PLLL	10000.0000	0.9800	9,800.00	130.04
12763	02/13/2006	02/16/2006	894065101	TXCC	-5500.0000	1.8050	(9,927.50)	123.81
12764	02/24/2006	03/01/2006	91349P103	USXP	-500000.0000	0.0248	(12,400.00)	0.69
12765	10/13/2008	10/16/2008	313400301	FRE	5900.0000	1.3598	8,022.82	220.45
12766	05/14/2010	05/19/2010	82967N108	SIRI	-10000.0000	1.0309	(10,309.00)	107.00
12767	03/06/2009	03/11/2009	457669208	INSM	-11900.0000	0.8906	(10,598.14)	92.87
12768	01/12/2010	01/15/2010	04314Q105	ALIF	6000.0000	1.2700	7,620.00	242.03

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12769	03/05/2007	03/08/2007	522132109	LEAT	16721.0000	0.4892	8,179.91	214.31
12770	01/12/2006	01/18/2006	05348W109	AVNX	8500.0000	1.1734	9,973.90	125.21
12771	04/21/2009	04/24/2009	026874107	AIG	-6000.0000	1.2800	(7,680.00)	239.92
12772	08/21/2009	08/26/2009	82967N108	SIRI	15000.0000	0.7660	11,490.00	49.54
12773	03/31/2009	04/03/2009	227046109	CROX	-8400.0000	1.1750	(9,870.00)	131.37
12774	03/10/2006	03/15/2006	235811106	DCNAQ	10000.0000	1.0600	10,600.00	95.24
12775	09/23/2008	09/26/2008	313400301	FRE	6000.0000	1.3000	7,800.00	235.69
12776	01/17/2006	01/20/2006	899690101	TMWD	-5000.0000	2.4583	(12,291.50)	12.43
12777	04/27/2006	05/02/2006	91729G301	UDW	15000.0000	0.5500	8,250.00	215.40
12778	12/22/2008	12/26/2008	88033G100	THC	10000.0000	1.0900	10,900.00	83.11
12779	12/05/2007	12/10/2007	051545101	AULO	-19000.0000	0.6100	(11,590.00)	49.05
12780	11/10/2008	11/14/2008	345370860	F	-5500.0000	1.9100	(10,505.00)	103.44
12781	02/02/2010	02/05/2010	82967N108	SIRI	-9000.0000	0.9180	(8,262.00)	215.59
12782	09/26/2008	10/01/2008	26884K101	ERFW	40000.0000	0.2900	11,600.00	49.00
12783	04/28/2010	05/03/2010	939322103	WAMUQ	50000.0000	0.1655	8,275.00	215.79
12784	11/20/2008	11/25/2008	026874107	AIG	5708.0000	1.6100	9,189.88	170.91
12785	11/20/2008	11/25/2008	026874107	AIG	5778.0000	1.5800	9,129.24	174.59
12786	10/13/2008	10/16/2008	635405103	NCC	5300.0000	2.2100	11,713.00	46.10
12787	01/05/2009	01/08/2009	290846104	EMKR	7000.0000	1.1399	7,979.30	232.89
12788	04/25/2006	04/28/2006	247361108	DALRQ	13500.0000	0.6950	9,382.50	162.77
12789	01/14/2008	01/17/2008	957669104	ZIF	-5175.0000	2.3615	(12,220.76)	21.70
12790	09/10/2008	09/15/2008	82967N108	SIRI	9600.0000	0.9800	9,408.00	162.99
12791	02/08/2010	02/11/2010	82967N108	SIRI	-10000.0000	0.8334	(8,334.00)	216.70
12792	10/17/2008	10/22/2008	14067D102	CPST	-5979.0000	1.3900	(8,310.81)	218.11
12793	09/29/2008	10/02/2008	635405103	NCC	5000.0000	1.5899	7,949.50	237.07
12794	02/01/2007	02/06/2007	206710204	CCUR	-7200.0000	1.4100	(10,152.00)	128.91
12795	02/01/2010	02/04/2010	69561N204	PAYD	20000.0000	0.4200	8,400.00	217.73
12796	05/17/2006	05/22/2006	786578302	SFLK	15000.0000	0.5600	8,400.00	217.73
12797	11/12/2008	11/17/2008	46614H301	JRT	6000.0000	1.4389	8,633.40	206.30
12798	10/10/2006	10/13/2006	37958F209	GTE	-38472.0000	0.2710	(10,425.91)	116.89
12799	05/07/2009	05/12/2009	388689101	GPK	-7660.0000	1.4251	(10,916.27)	92.45
12800	09/16/2009	09/21/2009	767754104	RAD	-5500.0000	2.0402	(11,221.10)	78.19
12801	09/16/2009	09/21/2009	767754104	RAD	-5500.0000	2.0402	(11,221.10)	78.19
12802	04/27/2009	04/30/2009	268158102	DVAX	10000.0000	1.2075	12,075.00	35.62
12803	05/27/2009	06/01/2009	370442105	GM	10500.0000	1.1799	12,388.95	20.55
12804	03/23/2007	03/28/2007	206710204	CCUR	-6000.0000	1.3633	(8,179.80)	231.21
12805	01/17/2006	01/20/2006	86880T100	SRGG	-5500.0000	2.0545	(11,299.75)	75.42
12806	02/22/2006	02/27/2006	70336N107	PTSC	11000.0000	0.8041	8,845.10	198.49
12807	08/20/2008	08/25/2008	927426106	VNBC	-10000.0000	0.8450	(8,450.00)	218.50
12808	12/20/2006	12/26/2006	206710204	CCUR	6050.0000	1.8360	11,107.80	85.91
12809	08/04/2009	08/07/2009	82967N108	SIRI	-16000.0000	0.5289	(8,462.40)	218.70
12810	07/22/2009	07/27/2009	627330103	MFLI	-629000.0000	0.0170	(10,693.00)	107.57
12811	11/22/2006	11/28/2006	607500105	MDVX	10000.0000	1.2530	12,530.00	17.23
12812	06/01/2006	06/06/2006	74373C107	PRRC	10000.0000	0.8500	8,500.00	219.28
12813	07/28/2006	08/02/2006	524636107	LRCI	-10000.0000	0.8500	(8,500.00)	219.28
12814	02/01/2008	02/06/2008	222814204	DVW	10000.0000	0.8500	8,500.00	219.28
12815	03/12/2007	03/15/2007	85771Q100	STCA	10000.0000	0.8500	8,500.00	219.28
12816	07/21/2009	07/24/2009	00547W109	ADMP	50000.0000	0.1900	9,500.00	170.00
12817	12/31/2009	01/06/2010	449575109	IG	-14012.0000	0.7900	(11,069.48)	91.96
12818	02/04/2008	02/07/2008	29256X107	ENCY	-10000.0000	0.8524	(8,524.00)	219.65
12819	03/27/2009	04/01/2009	30024B104	EEE	-10000.0000	0.8531	(8,531.00)	219.76
12820	05/08/2007	05/11/2007	318465101	FACT	7500.0000	1.6920	12,690.00	12.20
12821	05/19/2008	05/22/2008	25278S100	DHCC	-5900.0000	1.6500	(9,735.00)	160.08
12822	02/25/2009	03/02/2009	026874107	AIG	-30000.0000	0.4225	(12,675.00)	16.25
12823	02/11/2009	02/17/2009	00752K105	ACTC	50000.0000	0.1750	8,750.00	212.50
12824	11/04/2008	11/07/2008	229393301	CRZ	10000.0000	0.8600	8,600.00	220.83
12825	02/11/2008	02/14/2008	206710204	CCUR	-10000.0000	0.7949	(7,949.00)	253.55

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12826	08/26/2010	08/31/2010	038287108	APVS	-24600.0000	0.3504	(8,619.84)	221.14
12827	03/13/2008	03/18/2008	885218107	TMA	-5250.0000	2.4800	(13,020.00)	1.85
12828	07/09/2010	07/14/2010	37990W103	GHLV	32500.0000	0.3000	9,750.00	166.06
12829	06/22/2007	06/27/2007	641876107	HYB	-5500.0000	2.2200	(12,210.00)	43.33
12830	03/06/2009	03/11/2009	026874107	AIG	31500.0000	0.3389	10,675.35	120.19
12831	06/17/2009	06/22/2009	553477100	MRVC	-27800.0000	0.4050	(11,259.00)	91.59
12832	04/30/2008	05/05/2008	786449108	SFE	6000.0000	1.6300	9,780.00	165.88
12833	04/11/2007	04/16/2007	552705105	MDII	6000.0000	1.6400	9,840.00	163.76
12834	06/13/2006	06/16/2006	33938W206	FLXT	5600.0000	2.1200	11,872.00	62.62
12835	01/17/2006	01/20/2006	380795104	GAPJ	6000.0000	1.6500	9,900.00	161.65
12836	03/29/2007	04/03/2007	123720104	BUKS	15000.0000	0.5833	8,749.50	223.16
12837	02/04/2008	02/07/2008	206710204	CCUR	-10000.0000	0.7851	(7,851.00)	268.45
12838	10/10/2008	10/16/2008	207142308	CNXT	5600.0000	2.1899	12,263.44	48.82
12839	05/19/2009	05/22/2009	29362Y106	ENSL	42000.0000	0.2093	8,790.60	223.79
12840	03/29/2007	04/03/2007	318465101	FACT	-7800.0000	1.6154	(12,600.12)	33.33
12841	09/15/2008	09/18/2008	04349Y107	ASDS	-18750.0000	0.4700	(8,812.50)	224.14
12842	10/27/2006	11/01/2006	68283P109	ONSC	-21000.0000	0.4200	(8,820.00)	224.25
12843	08/03/2009	08/06/2009	44930M203	ICOP	-17300.0000	0.5100	(8,823.00)	224.29
12844	03/21/2007	03/26/2007	641876107	HYB	5500.0000	2.3700	13,035.00	14.24
12845	02/10/2006	02/15/2006	713661106	PPHM	7500.0000	1.4700	11,025.00	115.01
12846	10/08/2009	10/14/2009	174420109	CRBC	-18304.0000	0.6795	(12,437.57)	44.84
12847	09/03/2009	09/09/2009	83416P108	SNRY	-20025.0000	0.5000	(10,012.50)	168.36
12848	11/19/2009	11/24/2009	591556105	MTIZ	-1775873.0000	0.0050	(8,879.37)	225.17
12849	06/29/2009	07/02/2009	38144H208	GFSI	15000.0000	0.5921	8,881.50	225.21
12850	09/07/2007	09/12/2007	307325100	FEEC	-10000.0000	1.3205	(13,205.00)	9.96
12851	08/19/2008	08/22/2008	98425R200	YTBIA	10000.0000	0.8900	8,900.00	225.49
12852	03/16/2007	03/21/2007	85771Q100	STCA	10000.0000	0.8900	8,900.00	225.49
12853	03/20/2007	03/23/2007	269437208	EAG	-40800.0000	0.2641	(10,775.28)	131.99
12854	11/18/2009	11/23/2009	09071A102	BIOM	6250.0000	1.5000	9,375.00	203.38
12855	03/20/2009	03/25/2009	920961109	VVR	5700.0000	2.3198	13,222.86	11.87
12856	03/17/2006	03/22/2006	458854106	IHDR	-30000.0000	0.3363	(10,089.00)	168.67
12857	11/21/2008	11/26/2008	313586109	FNM	23903.0000	0.3742	8,944.50	226.18
12858	11/21/2008	11/26/2008	313586109	FNM	23903.0000	0.3742	8,944.50	226.18
12859	09/08/2009	09/11/2009	062401104	GRAN	-10857.0000	1.2424	(13,488.74)	0.24
12860	04/23/2010	04/28/2010	313586109	FNM	7400.0000	1.2580	9,309.20	210.59
12861	04/16/2009	04/21/2009	388689101	GPK	10000.0000	0.9538	9,538.00	199.78
12862	12/04/2007	12/07/2007	206710204	CCUR	-10000.0000	0.8329	(8,329.00)	260.55
12863	01/26/2007	01/31/2007	813714102	SDGL	-150000.0000	0.0600	(9,000.00)	227.04
12864	04/18/2008	04/23/2008	806732103	SCTN	-90000.0000	0.1000	(9,000.00)	227.04
12865	01/27/2010	02/01/2010	652903105	NEXM	-15000.0000	0.6000	(9,000.00)	227.04
12866	12/15/2006	12/20/2006	834376105	SOLUQ	-15000.0000	0.6000	(9,000.00)	227.04
12867	10/12/2007	10/17/2007	83540T109	SNMB	-18000.0000	0.5000	(9,000.00)	227.04
12868	02/01/2008	02/06/2008	903421105	USSE	-300000.0000	0.0300	(9,000.00)	227.04
12869	03/16/2009	03/19/2009	305560104	FRP	20000.0000	0.4500	9,000.00	227.04
12870	02/18/2009	02/23/2009	370442105	GM	-5735.0000	2.1203	(12,159.92)	69.24
12871	03/11/2009	03/16/2009	172967101	C	8100.0000	1.5300	12,393.00	58.94
12872	04/24/2009	04/29/2009	148711104	CMRG	-10000.0000	1.1388	(11,388.00)	112.06
12873	05/08/2009	05/13/2009	268158102	DVAX	10000.0000	1.1400	11,400.00	111.59
12874	11/01/2006	11/06/2006	83426W101	SOLM	6700.0000	1.2155	8,143.85	275.18
12875	08/17/2009	08/20/2009	561911108	HYF	-8000.0000	1.6523	(13,218.40)	21.51
12876	09/07/2010	09/10/2010	53123T206	LBSR	100000.0000	0.1184	11,840.00	90.46
12877	01/28/2010	02/02/2010	82967N108	SIRI	-15000.0000	0.7916	(11,874.00)	90.39
12878	05/08/2009	05/13/2009	150934503	CTIC	10000.0000	1.1700	11,700.00	99.68
12879	04/26/2010	04/29/2010	248231102	DNRR	220000.0000	0.0415	9,130.00	229.06
12880	10/23/2007	10/26/2007	92909Q101	VTECOLD	40000.0000	0.2978	11,912.00	90.31
12881	10/27/2009	10/30/2009	336453105	FSNM	-11000.0000	1.0849	(11,933.90)	89.26
12882	01/02/2008	01/07/2008	009253105	ABEW	217000.0000	0.0550	11,935.00	90.27

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12883	09/22/2009	09/25/2009	760112102	RTK	-6000.0000	2.0000	(12,000.00)	87.60
12884	09/05/2007	09/10/2007	45771R201	IVMX	-6031.0000	1.8900	(11,398.59)	117.68
12885	11/06/2007	11/09/2007	190354100	CFHI	-10000.0000	1.0892	(10,892.00)	143.40
12886	05/16/2007	05/21/2007	977427103	WITM	7000.0000	1.0100	7,070.00	335.16
12887	01/17/2008	01/23/2008	516548104	LTRX	15000.0000	0.6123	9,184.50	229.91
12888	05/08/2006	05/11/2006	422360305	EAR	-10000.0000	1.2188	(12,188.00)	80.32
12889	02/17/2010	02/22/2010	00547W109	ADMP	-23100.0000	0.4000	(9,240.00)	228.00
12890	10/22/2007	10/25/2007	258094101	DORB	-5000.0000	0.2300	(1,150.00)	540.00
12891	09/11/2008	09/16/2008	82967N108	SIRI	10000.0000	0.9199	9,199.00	230.13
12892	09/20/2010	09/23/2010	73174C100	PYMX	10000.0000	0.9200	9,200.00	230.14
12893	03/20/2008	03/26/2008	222814204	DVW	-10000.0000	0.9200	(9,200.00)	230.14
12894	12/29/2006	01/04/2007	207142100	CNXT	-5990.0000	2.0300	(12,159.70)	82.28
12895	09/19/2008	09/24/2008	844909101	SWTX	12000.0000	1.1200	13,440.00	18.74
12896	05/01/2009	05/06/2009	666135108	NFLD	-92000.0000	0.1001	(9,209.20)	230.29
12897	06/14/2006	06/19/2006	09061B102	BSLM	-30000.0000	0.4326	(12,978.00)	42.17
12898	03/09/2009	03/12/2009	561911108	HYF	-9914.0000	0.9300	(9,220.02)	230.46
12899	08/07/2007	08/10/2007	313135501	FJCC	65000.0000	0.1854	12,051.00	90.04
12900	12/19/2007	12/24/2007	05348W109	AVNX	8000.0000	1.4266	11,412.80	122.16
12901	05/07/2008	05/12/2008	761525500	REV	-10000.0000	0.9241	(9,241.00)	230.78
12902	02/08/2007	02/13/2007	903421105	USSE	37000.0000	0.2500	9,250.00	230.92
12903	07/27/2010	07/30/2010	53220K207	LGND	7535.0000	1.6799	12,658.05	61.45
12904	05/20/2010	05/25/2010	561911108	HYF	-6425.0000	1.9300	(12,400.25)	74.66
12905	07/17/2007	07/20/2007	781748108	RURL	-2500.0000	5.2200	(13,050.00)	42.81
12906	11/30/2007	12/05/2007	68338A107	ONT	-10000.0000	0.9302	(9,302.00)	231.73
12907	03/01/2010	03/04/2010	984249102	YRCW	20000.0000	0.4653	9,306.00	231.79
12908	12/31/2007	01/04/2008	300248104	EEE	6000.0000	2.1200	12,720.00	62.22
12909	01/11/2006	01/17/2006	640505103	NEOM	-30000.0000	0.3110	(9,330.00)	232.16
12910	11/21/2008	11/26/2008	345370860	F	10000.0000	1.3100	13,100.00	44.13
12911	01/20/2006	01/25/2006	754219400	RVMN	-65835002.0000	0.0002	(13,167.00)	41.65
12912	07/05/2006	07/10/2006	667280101	NWACQ	-17500.0000	0.5700	(9,975.00)	201.25
12913	05/14/2010	05/19/2010	290846104	EMKR	7000.0000	1.1400	7,980.00	303.07
12914	08/25/2009	08/28/2009	19105E103	CGCA	9000.0000	1.1000	9,900.00	207.28
12915	03/06/2008	03/11/2008	452907108	IMMU	-5825.0000	2.3303	(13,574.00)	25.78
12916	07/19/2010	07/22/2010	767754104	RAD	10000.0000	0.9424	9,424.00	233.62
12917	11/04/2009	11/09/2009	032839102	ABCW	-14074.0000	0.6696	(9,423.95)	233.62
12918	02/21/2007	02/26/2007	47189R104	JAVO	-15000.0000	0.8867	(13,300.50)	40.56
12919	11/14/2008	11/19/2008	88033G100	THC	6121.0000	2.0000	12,242.00	94.27
12920	06/23/2009	06/26/2009	150934503	CTIC	10000.0000	1.4000	14,000.00	8.42
12921	06/13/2006	06/16/2006	422360305	EAR	-10000.0000	1.4000	(14,000.00)	8.42
12922	11/26/2008	12/02/2008	92531L108	VRS	9000.0000	1.1676	10,508.40	184.03
12923	10/31/2006	11/03/2006	171605207	CYRO	-8900.0000	1.2400	(11,036.00)	157.98
12924	04/19/2010	04/22/2010	552351108	LYJN	-250000.0000	0.0510	(12,750.00)	72.50
12925	12/16/2009	12/21/2009	290846104	EMKR	10000.0000	1.1038	11,038.00	158.11
12926	11/28/2007	12/03/2007	68338A107	ONT	-10000.0000	0.9504	(9,504.00)	234.86
12927	01/07/2010	01/12/2010	073677106	BCON	-22000.0000	0.4900	(10,780.00)	171.47
12928	10/03/2007	10/09/2007	206710204	CCUR	7000.0000	1.3417	9,391.90	242.31
12929	06/20/2007	06/25/2007	269437208	EAGB	-82000.0000	0.1322	(10,840.40)	171.71
12930	07/11/2007	07/16/2007	727664104	PLRO	-24450.0000	0.5523	(13,503.74)	39.55
12931	03/31/2009	04/03/2009	686228107	OOIL	28500.0000	0.3363	9,584.55	236.11
12932	05/14/2009	05/19/2009	370442105	GM	12400.0000	1.1500	14,260.00	2.70
12933	07/17/2006	07/20/2006	222479107	CYLC	10000.0000	1.0100	10,100.00	210.90
12934	05/10/2006	05/15/2006	46426P103	ISO	-30000.0000	0.3200	(9,600.00)	236.35
12935	08/03/2006	08/08/2006	222814204	DVW	-10100.0000	1.4131	(14,272.31)	2.77
12936	12/02/2009	12/07/2009	767754104	RAD	7000.0000	1.2799	8,959.30	268.55
12937	05/23/2007	05/29/2007	60975U108	MGRM	7000.0000	1.6500	11,550.00	139.47
12938	03/10/2010	03/15/2010	23338X102	SRO	-7000.0000	1.2901	(9,030.70)	266.03
12939	04/18/2006	04/21/2006	70336N107	PTSC	-11600.0000	0.8300	(9,628.00)	236.79

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12940	10/13/2008	10/16/2008	635405103	NCC	-6170.0000	2.1301	(13,142.72)	62.99
12941	06/12/2009	06/17/2009	849109103	SPNG	-66000.0000	0.1913	(12,625.80)	88.93
12942	08/17/2009	08/20/2009	64190A103	NRO	-6000.0000	2.3701	(14,220.60)	9.31
12943	08/10/2007	08/15/2007	60975U108	MGRM	-7000.0000	1.6900	(11,830.00)	129.39
12944	09/30/2009	10/05/2009	448954107	HDY	8000.0000	1.6199	12,959.20	73.87
12945	05/08/2006	05/11/2006	45885A102	IIP	-7000.0000	1.3400	(9,380.00)	253.71
12946	04/15/2009	04/20/2009	268158102	DVAX	10000.0000	0.9700	9,700.00	237.90
12947	01/07/2009	01/12/2009	224916106	CIK	-6000.0000	2.4010	(14,406.00)	2.77
12948	06/05/2006	06/08/2006	007928104	ADVR	-135000.0000	0.0720	(9,720.00)	238.21
12949	07/22/2010	07/27/2010	767754104	RAD	-12000.0000	0.9199	(11,038.80)	172.52
12950	03/06/2009	03/11/2009	370442105	GM	-7000.0000	1.7280	(12,096.00)	119.81
12951	04/07/2006	04/12/2006	805423100	SVVS	-6800.0000	1.5500	(10,540.00)	198.06
12952	01/05/2009	01/08/2009	60886R103	MNC	15000.0000	0.6490	9,735.00	238.45
12953	03/18/2008	03/24/2008	15118V108	CSUH	80000.0000	0.1218	9,744.00	238.59
12954	03/08/2010	03/11/2010	42805E108	HSKA	10000.0000	0.9761	9,761.00	238.85
12955	08/10/2007	08/15/2007	206710204	CCUR	7000.0000	1.4939	10,457.30	204.44
12956	12/09/2008	12/12/2008	09255T109	ARK	-6288.0000	2.0951	(13,173.99)	68.70
12957	12/26/2008	12/31/2008	65105M108	NCT	15000.0000	0.9200	13,800.00	38.09
12958	03/06/2009	03/11/2009	559775200	MPG.PRA	10000.0000	0.9780	9,780.00	239.15
12959	12/01/2006	12/06/2006	865106108	SLJB	500000.0000	0.0196	9,800.00	239.46
12960	02/26/2008	02/29/2008	58505W107	MDRA	18598.0000	0.5285	9,829.04	239.91
12961	11/06/2009	11/12/2009	150837409	CVM	10000.0000	1.2410	12,410.00	113.50
12962	09/21/2009	09/24/2009	82967N108	SIRI	-15000.0000	0.6580	(9,870.00)	240.54
12963	08/11/2010	08/16/2010	82967N108	SIRI	9000.0000	1.0000	9,000.00	284.89
12964	04/09/2009	04/15/2009	781748108	RURL	10000.0000	0.9750	9,750.00	247.50
12965	08/22/2006	08/25/2006	007928104	ADVR	-200000.0000	0.0600	(12,000.00)	136.01
12966	10/15/2009	10/20/2009	217721109	COPY	-10000.0000	0.9900	(9,900.00)	241.01
12967	03/25/2008	03/28/2008	98425R200	YTBLA	10000.0000	0.9900	9,900.00	241.01
12968	11/13/2008	11/18/2008	37247D106	GNW	10000.0000	0.9900	9,900.00	241.01
12969	09/17/2007	09/20/2007	516548104	LTRX	7500.0000	1.0609	7,956.75	340.76
12970	05/20/2009	05/26/2009	29362Y106	ENSL	48000.0000	0.2075	9,960.00	241.94
12971	08/25/2006	08/30/2006	619790108	MHFM	-49938.0000	0.2000	(9,987.60)	242.37
12972	02/20/2009	02/25/2009	09255T109	ARK	-6288.0000	2.2578	(14,197.05)	32.66
12973	01/30/2006	02/02/2006	29408A104	EMTI	-100000.0000	0.1000	(10,000.00)	242.56
12974	06/20/2008	06/25/2008	806732103	SCTN	-200000.0000	0.0500	(10,000.00)	242.56
12975	03/17/2008	03/20/2008	806732103	SCTN	-100000.0000	0.1000	(10,000.00)	242.56
12976	05/05/2006	05/10/2006	524636107	LRCI	-12500.0000	0.8000	(10,000.00)	242.56
12977	01/27/2009	01/30/2009	150921104	CEGE	-40000.0000	0.2500	(10,000.00)	242.56
12978	05/16/2006	05/19/2006	55916M207	MGCN	-34500.0000	0.2900	(10,005.00)	242.61
12979	03/09/2009	03/12/2009	74347R743	UYG	7000.0000	1.5598	10,918.60	199.46
12980	03/02/2007	03/07/2007	190643106	COTE	-14000.0000	0.7179	(10,050.60)	243.07
12981	03/11/2009	03/16/2009	172967101	C	8823.0000	1.6000	14,116.80	40.69
12982	02/09/2006	02/14/2006	278744107	ECSL	-40300.0000	0.2500	(10,075.00)	243.31
12983	10/13/2010	10/18/2010	918914102	VLNC	10000.0000	1.3000	13,000.00	97.97
12984	10/26/2006	10/31/2006	05348W109	AVNX	7000.0000	1.7070	11,949.00	151.55
12985	05/09/2008	05/14/2008	29666M204	EPRT	75000.0000	0.1780	13,350.00	82.50
12986	07/17/2009	07/22/2009	00547W109	ADMP	60000.0000	0.1900	11,400.00	180.00
12987	10/23/2008	10/28/2008	52729N100	LVLTL	14000.0000	0.7242	10,138.80	243.96
12988	09/18/2008	09/23/2008	593054109	MXOM	-43500.0000	0.3300	(14,355.00)	35.34
12989	06/11/2009	06/16/2009	516648102	LPAD	23246.0000	0.4384	10,191.05	244.48
12990	12/03/2008	12/08/2008	885218800	THMR	40000.0000	0.2550	10,200.00	244.57
12991	03/24/2006	03/29/2006	26152H103	DROOY	-10000.0000	1.3700	(13,700.00)	70.71
12992	06/10/2009	06/15/2009	370442105	GMGMQ	-7000.0000	1.6600	(11,620.00)	174.73
12993	03/16/2009	03/19/2009	345370860	F	6400.0000	2.2900	14,656.00	23.45
12994	08/06/2008	08/11/2008	82967N108	SIRI	10000.0000	1.4000	14,000.00	59.02
12995	12/28/2006	01/03/2007	247361108	DALRQ	-7324.0000	1.3400	(9,814.16)	268.39
12996	12/01/2008	12/04/2008	758338107	REED	-7750.0000	1.0181	(7,890.28)	365.98

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
12997	02/14/2007	02/20/2007	28224N100	EFSF	30000.0000	0.3433	10,299.00	245.57
12998	02/01/2010	02/04/2010	82967N108	SIRI	12000.0000	0.8600	10,320.00	245.78
12999	03/23/2010	03/26/2010	767754104	RAD	-9000.0000	1.6610	(14,949.00)	14.37
13000	11/03/2008	11/06/2008	337930101	FBC	7500.0000	1.7480	13,110.00	107.77
13001	05/07/2007	05/10/2007	862773108	SGCR	10000.0000	1.0100	10,100.00	258.62
13002	03/06/2008	03/11/2008	836151209	SORC	10000.0000	1.4456	14,456.00	41.26
13003	12/29/2006	01/04/2007	70322M105	PPRG	-7500.0000	1.3000	(9,750.00)	277.53
13004	11/07/2008	11/13/2008	82967N108	SIRI	40000.0000	0.2599	10,396.00	246.54
13005	07/23/2009	07/28/2009	150934503	CTIC	7400.0000	1.4100	10,434.00	246.05
13006	01/15/2010	01/21/2010	282812106	ECPN	92000.0000	0.1599	14,710.80	33.58
13007	05/13/2010	05/18/2010	897051108	TRX AQ	7500.0000	1.3413	10,059.75	266.61
13008	11/23/2009	11/27/2009	593054109	MXOM	-32500.0000	0.4531	(14,725.75)	33.50
13009	07/08/2010	07/13/2010	37990W103	GHLV	39704.0000	0.3000	11,911.20	176.06
13010	07/23/2007	07/26/2007	46426P103	ISO	-50000.0000	0.2099	(10,495.00)	247.54
13011	12/16/2009	12/21/2009	290846104	EMKR	10000.0000	1.0840	10,840.00	230.35
13012	09/03/2009	09/09/2009	53222K106	LFVN	20000.0000	0.5250	10,500.00	247.59
13013	05/02/2007	05/07/2007	901167106	TWTR	-7500.0000	1.3718	(10,288.50)	258.55
13014	10/20/2009	10/23/2009	313400301	FRE	-10000.0000	1.1000	(11,000.00)	224.24
13015	04/30/2007	05/03/2007	367600301	GNRG	-20000.0000	0.7013	(14,026.00)	73.70
13016	11/25/2008	12/01/2008	74963Q102	RHY	20000.0000	0.5300	10,600.00	245.00
13017	12/18/2009	12/23/2009	313586109	FNM	10000.0000	1.1100	11,100.00	220.42
13018	03/08/2010	03/11/2010	468202106	JTX	6456.0000	2.3800	15,365.28	7.36
13019	06/09/2009	06/12/2009	88343P204	TZPC	-6666.0000	2.1901	(14,599.21)	48.67
13020	03/11/2009	03/16/2009	172967101	C	9354.0000	1.5400	14,405.16	59.59
13021	05/13/2009	05/18/2009	370442105	GM	-7799.0000	1.1900	(9,280.81)	316.22
13022	08/14/2007	08/17/2007	624581104	MOVI	40000.0000	0.3474	13,896.00	86.44
13023	08/03/2006	08/08/2006	879291103	TSYS	-6500.0000	2.2721	(14,768.65)	42.87
13024	05/02/2006	05/05/2006	049513104	ATML	-2000.0000	5.1300	(10,260.00)	269.75
13025	05/01/2009	05/06/2009	137801106	CFW	20900.0000	0.6877	14,372.93	65.41
13026	03/27/2009	04/01/2009	300248104	EEE	-8000.0000	1.0700	(8,560.00)	356.36
13027	09/23/2009	09/28/2009	767754104	RAD	-7000.0000	1.9501	(13,650.70)	103.14
13028	03/17/2009	03/20/2009	40108N106	GFG	15000.0000	0.4999	7,498.50	411.23
13029	01/08/2010	01/13/2010	01642L105	ALKN	-49000.0000	0.2203	(10,794.70)	250.56
13030	08/22/2006	08/25/2006	093440105	BESV	-9486.0000	1.1584	(10,988.58)	241.92
13031	04/09/2010	04/14/2010	023139108	ABK	-8000.0000	1.1440	(9,152.00)	335.49
13032	05/27/2009	06/01/2009	00922R105	ATSG	-10000.0000	1.2600	(12,600.00)	163.11
13033	06/05/2009	06/10/2009	150934503	CTIC	-7575.0000	1.4717	(11,148.13)	236.15
13034	10/22/2007	10/25/2007	45818G101	ITYC	6610.0000	2.3912	15,805.83	4.35
13035	09/08/2009	09/11/2009	062401104	GRAN	-10000.0000	1.2730	(12,730.00)	158.15
13036	01/10/2006	01/13/2006	928628106	VOII	-10000.0000	1.2730	(12,730.00)	158.15
13037	04/04/2008	04/09/2008	20461S108	CPTC	-12800.0000	0.8500	(10,880.00)	251.41
13038	10/18/2007	10/23/2007	29382F103	ENMD	-10000.0000	1.2800	(12,800.00)	155.47
13039	02/28/2007	03/05/2007	054617105	AXTG	-8000.0000	1.1700	(9,360.00)	328.16
13040	03/22/2007	03/27/2007	89784T101	TNEN	-10000.0000	1.2930	(12,930.00)	150.50
13041	10/10/2007	10/15/2007	20084U100	CPNE	-15000.0000	0.7300	(10,950.00)	252.12
13042	02/05/2009	02/10/2009	195493309	CNB	20000.0000	0.6200	12,400.00	180.00
13043	03/05/2009	03/10/2009	505336107	LZB	20000.0000	0.5766	11,532.00	223.40
13044	02/16/2010	02/19/2010	00547W109	ADMP	-31000.0000	0.4000	(12,400.00)	182.22
13045	03/15/2010	03/18/2010	82967N108	SIRI	12000.0000	0.9164	10,996.80	252.59
13046	03/22/2006	03/27/2006	007928104	ADVR	-200000.0000	0.0550	(11,000.00)	252.62
13047	06/28/2007	07/03/2007	49689D205	KNGS	-210000.0000	0.0524	(11,004.00)	252.66
13048	10/26/2007	10/31/2007	206710204	CCUR	8000.0000	1.3506	10,804.80	264.56
13049	01/29/2009	02/03/2009	192108108	CDE	15000.0000	0.7359	11,038.50	253.01
13050	07/25/2007	07/30/2007	806732103	SCTN	-31000.0000	0.3568	(11,060.80)	253.23
13051	04/27/2007	05/02/2007	44949L105	IECE	-7500.0000	1.6800	(12,600.00)	177.05
13052	04/30/2010	05/05/2010	468202106	JTX	7500.0000	1.7000	12,750.00	171.76
13053	08/26/2008	08/29/2008	885218107	TMA	21000.0000	0.5291	11,111.10	253.74

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
13054	10/27/2008	10/30/2008	23338X102	SRO	7000.0000	2.1853	15,297.10	45.09
13055	07/09/2007	07/12/2007	727664104	PLRO	-26350.0000	0.5934	(15,636.09)	29.00
13056	12/31/2007	01/04/2008	05348W109	AVNX	-10000.0000	0.9289	(9,289.00)	346.55
13057	03/31/2009	04/03/2009	686228107	OOIL	38500.0000	0.3500	13,475.00	137.83
13058	04/19/2010	04/22/2010	290846104	EMKR	10000.0000	1.4260	14,260.00	99.69
13059	12/01/2008	12/04/2008	313586109	FNM	-11754.0000	0.9524	(11,194.51)	254.57
13060	10/23/2009	10/28/2009	74269U104	PKT	-20000.0000	0.5600	(11,200.00)	254.63
13061	12/04/2006	12/07/2006	456910306	IMCI	-35000.0000	0.4171	(14,598.50)	85.07
13062	03/03/2009	03/06/2009	172967101	C	8000.0000	1.3300	10,640.00	283.02
13063	03/12/2010	03/17/2010	065908600	BBX	7700.0000	1.5873	12,222.21	205.12
13064	03/01/2006	03/06/2006	45811E103	IESRQ	-13625.0000	0.9500	(12,943.75)	170.31
13065	03/21/2006	03/24/2006	007928104	ADVR	-20000.0000	0.0565	(11,300.00)	255.64
13066	08/22/2006	08/25/2006	27944M103	EDNE	-10000.0000	1.4970	(14,970.00)	72.56
13067	03/12/2009	03/17/2009	90262T308	UCBH	-10000.0000	1.5020	(15,020.00)	70.65
13068	03/12/2009	03/17/2009	90262T308	UCBH	-10000.0000	1.5020	(15,020.00)	70.65
13069	03/12/2009	03/17/2009	90262T308	UCBH	-10000.0000	1.5020	(15,020.00)	70.65
13070	03/12/2009	03/17/2009	90262T308	UCBH	-10000.0000	1.5020	(15,020.00)	70.65
13071	03/12/2009	03/17/2009	90262T308	UCBH	-10000.0000	1.5020	(15,020.00)	70.65
13072	08/28/2006	08/31/2006	754730109	RJF	-250.0000	27.5801	(6,895.03)	477.88
13073	09/14/2006	09/19/2006	26152H103	DROOY	-10250.0000	1.3300	(13,632.50)	142.15
13074	08/03/2009	08/06/2009	77487R100	RODM	-10000.0000	1.5222	(15,222.00)	62.93
13075	08/14/2006	08/17/2006	27944M103	EDNE	10000.0000	1.5255	15,255.00	61.67
13076	03/19/2009	03/24/2009	26200S101	DHF	7000.0000	2.3435	16,404.50	6.04
13077	06/25/2010	06/30/2010	85517P101	CIGX	-7500.0000	1.8600	(13,950.00)	129.45
13078	10/16/2006	10/19/2006	20461S108	CPTC	18000.0000	0.8900	16,020.00	27.09
13079	10/10/2007	10/15/2007	217721109	COPY	20000.0000	0.8013	16,026.00	27.06
13080	11/13/2009	11/18/2009	591556105	MTIZ	-200000.0000	0.0069	(13,800.00)	138.82
13081	12/21/2006	12/27/2006	206710204	CCUR	7500.0000	2.0075	15,056.25	76.69
13082	07/26/2007	07/31/2007	206710204	CCUR	-10000.0000	1.5069	(15,069.00)	77.55
13083	09/03/2009	09/09/2009	313586109	FNM	10000.0000	1.5900	15,900.00	37.03
13084	10/11/2007	10/16/2007	83540T109	SNMB	-25000.0000	0.4600	(11,500.00)	257.65
13085	05/05/2010	05/10/2010	20461S108	CPTC	50000.0000	0.2300	11,500.00	257.65
13086	01/06/2010	01/11/2010	00764X103	ANX	50000.0000	0.2999	14,995.00	84.30
13087	09/08/2006	09/13/2006	422360305	EAR	-10000.0000	1.6100	(16,100.00)	29.39
13088	03/16/2009	03/19/2009	453838104	IBCP	10000.0000	1.6132	16,132.00	28.17
13089	12/14/2007	12/19/2007	14067D102	CPST	-8000.0000	1.5325	(12,260.00)	225.91
13090	02/20/2007	02/23/2007	806732103	SCTN	-200000.0000	0.0581	(11,620.00)	258.86
13091	03/13/2009	03/18/2009	336453105	FSNM	10000.0000	1.6657	16,657.00	8.11
13092	09/01/2009	09/04/2009	832727101	SSCCQ	-32000.0000	0.5100	(16,320.00)	25.61
13093	07/01/2009	07/07/2009	00547W109	ADMP	60000.0000	0.2027	12,162.00	241.90
13094	10/02/2009	10/07/2009	492515101	KERX	7500.0000	2.0696	15,522.00	74.03
13095	01/02/2009	01/07/2009	607500105	MDVX	7500.0000	2.0700	15,525.00	73.92
13096	05/12/2009	05/15/2009	00922R105	ATSG	-12000.0000	1.0529	(12,634.80)	222.79
13097	10/08/2008	10/14/2008	367220100	GSX	-10000.0000	1.0500	(10,500.00)	331.82
13098	02/08/2008	02/13/2008	553570102	MSGI	13700.0000	0.8700	11,919.00	261.87
13099	02/28/2007	03/05/2007	68338A107	ONT	8650.0000	1.1600	10,034.00	356.86
13100	02/16/2010	02/19/2010	313586109	FNM	-10000.0000	1.0200	(10,200.00)	350.41
13101	10/13/2010	10/18/2010	82967N108	SIRI	10000.0000	1.4200	14,200.00	152.73
13102	09/12/2006	09/15/2006	746091107	PFTI	9000.0000	1.0000	9,000.00	414.58
13103	03/17/2006	03/22/2006	45885A102	IIP	14000.0000	0.8600	12,040.00	263.08
13104	04/06/2009	04/09/2009	370021107	GGWPQ	-10000.0000	1.0900	(10,900.00)	324.70
13105	06/25/2009	06/30/2009	254668106	DSCO	11400.0000	1.0663	12,155.82	262.67
13106	10/10/2008	10/16/2008	82967N108	SIRI	35000.0000	0.4691	16,418.50	50.57
13107	01/05/2006	01/10/2006	97750V109	WIZD	-5000.0000	1.9050	(9,525.00)	397.40
13108	04/01/2009	04/06/2009	781748108	RURL	15000.0000	0.9029	13,543.50	197.83
13109	01/19/2006	01/24/2006	830703104	SKVI	-35000.0000	0.2400	(8,400.00)	455.00
13110	01/19/2006	01/24/2006	830703104	SKVI	-35000.0000	0.2300	(8,050.00)	472.50

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
13111	08/24/2007	08/29/2007	29256X107	ENCY	-8000.0000	1.8400	(14,720.00)	139.17
13112	06/25/2009	06/30/2009	254668106	DSCO	11454.0000	1.0600	12,141.24	269.69
13113	06/17/2009	06/22/2009	370021107	GGWPQ	7500.0000	2.3180	17,385.00	8.34
13114	07/05/2007	07/10/2007	285848107	ELST	-11500.0000	1.0700	(12,305.00)	262.86
13115	06/06/2008	06/11/2008	05348W109	AVNX	-9000.0000	1.1401	(10,260.90)	370.13
13116	06/06/2006	06/09/2006	007928104	ADVR	-185000.0000	0.0668	(12,358.00)	266.28
13117	11/15/2006	11/20/2006	81684P107	DLK	-50000.0000	0.2628	(13,140.00)	227.59
13118	07/23/2009	07/28/2009	42327Y103	HMH	15000.0000	0.8298	12,447.00	262.65
13119	11/22/2006	11/28/2006	806732103	SCTN	-175000.0000	0.0707	(12,372.50)	266.43
13120	08/12/2010	08/17/2010	468202106	JTX	15000.0000	0.8300	12,450.00	267.21
13121	11/21/2008	11/26/2008	26817G102	DYN	8400.0000	1.6396	13,772.64	202.07
13122	01/06/2009	01/09/2009	88033G100	THC	10000.0000	1.2000	12,000.00	291.07
13123	07/24/2009	07/29/2009	627330103	MFLI	-100000.0000	0.0125	(12,500.00)	267.71
13124	11/16/2007	11/21/2007	652919101	NXCN	250000.0000	0.0500	12,500.00	267.71
13125	07/19/2010	07/22/2010	210413100	CMIN	20000.0000	0.8109	16,218.00	81.91
13126	03/27/2006	03/30/2006	210502100	CPSS	-1792.0000	8.5000	(15,232.00)	134.40
13127	10/02/2008	10/07/2008	943526301	WAVX	-38000.0000	0.4003	(15,211.40)	136.66
13128	07/22/2008	07/25/2008	78402X107	DDD	19209.0000	0.8500	16,327.65	81.70
13129	01/19/2006	01/24/2006	82657A101	SGGC	-105000.0000	0.1200	(12,600.00)	268.72
13130	08/11/2006	08/16/2006	928628106	VOII	-30000.0000	0.4200	(12,600.00)	268.72
13131	06/19/2007	06/22/2007	367600301	GNRG	-18000.0000	0.9983	(17,969.40)	1.53
13132	06/12/2009	06/17/2009	14067D102	CPST	15000.0000	1.1874	17,811.00	9.45
13133	01/03/2007	01/08/2007	344229109	FDRA	18000.0000	0.8700	15,660.00	117.00
13134	03/01/2006	03/06/2006	45811E103	IESRQ	-15000.0000	0.9400	(14,100.00)	195.00
13135	03/01/2006	03/06/2006	45811E103	IESRQ	-15000.0000	0.9400	(14,100.00)	195.00
13136	06/17/2010	06/22/2010	09250E107	HIS	8100.0000	1.9654	15,919.74	104.24
13137	10/08/2009	10/14/2009	75040P405	ROIAC	9000.0000	1.3000	11,700.00	319.38
13138	06/14/2006	06/19/2006	007928104	ADVR	-190000.0000	0.0670	(12,730.00)	270.02
13139	01/20/2006	01/25/2006	86880T100	SRGG	-8000.0000	2.1188	(16,950.40)	60.53
13140	07/24/2007	07/27/2007	34512E109	FTRS	14500.0000	1.2300	17,835.00	18.49
13141	09/22/2009	09/25/2009	82967N108	SIRI	-24500.0000	0.6769	(16,584.05)	81.20
13142	02/21/2006	02/24/2006	278744107	ECSL	-51200.0000	0.2500	(12,800.00)	270.73
13143	07/29/2009	08/03/2009	35907K105	FTBK	-18395.0000	0.9031	(16,612.52)	81.14
13144	06/10/2009	06/15/2009	42327Y103	HMH	15000.0000	0.9300	13,950.00	217.50
13145	05/22/2008	05/28/2008	073677106	BCON	10000.0000	1.4388	14,388.00	196.58
13146	05/26/2010	06/01/2010	52729N100	LVL	-9134.0000	1.2500	(11,417.50)	345.31
13147	06/09/2009	06/12/2009	521865105	LEA	9000.0000	1.4099	12,689.10	284.51
13148	01/16/2007	01/19/2007	904572104	UNXL	-11500.0000	1.0589	(12,177.35)	311.13
13149	09/01/2010	09/07/2010	82967N108	SIRI	-15000.0000	0.9786	(14,679.00)	187.27
13150	03/03/2009	03/06/2009	429906100	HYP	-8000.0000	2.2500	(18,000.00)	23.53
13151	11/14/2007	11/19/2007	781748108	RURL	5000.0000	2.7302	13,651.00	243.92
13152	12/07/2009	12/10/2009	82967N108	SIRI	-27000.0000	0.6286	(16,972.20)	80.44
13153	08/25/2010	08/30/2010	137801106	CFW	-20900.0000	0.7607	(15,898.63)	137.38
13154	03/03/2008	03/06/2008	232828301	CYTR	-8500.0000	1.9000	(16,150.00)	125.62
13155	02/22/2006	02/27/2006	007928104	ADVR	-200000.0000	0.0660	(13,200.00)	274.75
13156	12/04/2007	12/07/2007	68338A107	ONT	-15000.0000	0.8801	(13,201.50)	274.77
13157	12/01/2006	12/06/2006	44183W106	HRAI	-47000.0000	0.2809	(13,202.30)	274.78
13158	03/20/2009	03/25/2009	026874107	AIG	12900.0000	1.2100	15,609.00	156.74
13159	07/30/2009	08/04/2009	83416P108	SNRY	-29975.0000	0.5000	(14,987.50)	188.53
13160	12/17/2009	12/22/2009	561911108	HYF	8350.0000	2.0341	16,984.74	90.31
13161	02/17/2010	02/22/2010	488360108	KEME	-12000.0000	1.4937	(17,924.40)	43.43
13162	07/06/2006	07/11/2006	61970P102	MHME	-25000.0000	0.7400	(18,500.00)	14.80
13163	04/17/2007	04/20/2007	28224N100	EFSE	40000.0000	0.3775	15,100.00	188.98
13164	12/17/2008	12/22/2008	561911108	HYF	-13000.0000	1.2100	(15,730.00)	157.56
13165	08/19/2009	08/24/2009	313586109	FNM	16400.0000	0.9144	14,996.16	200.19
13166	03/17/2006	03/22/2006	786578302	SFLK	20000.0000	0.6732	13,464.00	276.80
13167	04/17/2009	04/22/2009	781748108	RURL	10000.0000	1.1513	11,513.00	374.35

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13168	08/20/2009	08/25/2009	449575109	IG	-12400.0000	1.1300	(14,012.00)	250.74
13169	06/16/2010	06/21/2010	561911108	HYF	-8350.0000	2.1316	(17,798.86)	61.68
13170	01/05/2007	01/10/2007	45768R305	IMEN	-10000.0000	1.0000	(10,000.00)	453.06
13171	09/17/2010	09/22/2010	458738101	ILIU	-50000.0000	0.3500	(17,500.00)	79.41
13172	06/19/2007	06/22/2007	05348W109	AVNX	9000.0000	1.8461	16,614.90	124.16
13173	06/05/2006	06/08/2006	928628106	VOII	-17300.0000	0.7832	(13,549.36)	278.26
13174	07/18/2007	07/23/2007	34512E109	FTRS	15500.0000	1.1400	17,670.00	73.09
13175	03/06/2007	03/09/2007	834156101	SOEN	10000.0000	1.0250	10,250.00	444.25
13176	03/23/2009	03/26/2009	52729N100	LVLTL	18600.0000	0.7300	13,578.00	278.55
13177	08/10/2010	08/13/2010	02554E204	AMZG	10000.0000	1.0500	10,500.00	435.43
13178	06/18/2007	06/21/2007	313549107	FDMLQ	-10000.0000	1.0600	(10,600.00)	431.91
13179	08/01/2006	08/04/2006	14067D102	CPST	-8841.0000	1.8100	(16,002.21)	161.90
13180	04/11/2007	04/16/2007	25382P109	DGLY	-5000.0000	1.7400	(8,700.00)	527.15
13181	06/16/2008	06/19/2008	85771Q100	STCA	10000.0000	1.1000	11,000.00	417.80
13182	04/22/2010	04/27/2010	82967N108	SIRI	10000.0000	1.1000	11,000.00	417.80
13183	06/19/2009	06/24/2009	023139108	ABK	-10000.0000	1.1200	(11,200.00)	410.75
13184	08/24/2006	08/29/2006	003881208	CBMX	10000.0000	1.1300	11,300.00	407.22
13185	03/29/2007	04/03/2007	190643106	COTE	-39500.0000	0.3500	(13,825.00)	281.04
13186	04/24/2007	04/27/2007	313549107	FDMLQ	-10000.0000	1.1500	(11,500.00)	400.17
13187	05/11/2009	05/14/2009	92823T108	VHC	10000.0000	1.9000	19,000.00	27.17
13188	12/13/2006	12/18/2006	90403T100	ULUR	-10000.0000	1.9000	(19,000.00)	27.17
13189	03/04/2009	03/09/2009	781748108	RURL	9400.0000	1.4349	13,488.06	305.60
13190	11/02/2006	11/07/2006	83426W101	SOLM	10000.0000	1.1950	11,950.00	384.31
13191	01/06/2009	01/09/2009	88033G100	THC	10000.0000	1.2000	12,000.00	382.54
13192	07/02/2009	07/08/2009	77487R100	RODM	-10000.0000	1.2006	(12,006.00)	382.33
13193	07/23/2009	07/28/2009	627330103	MFLI	-100000.0000	0.0140	(14,000.00)	282.80
13194	05/05/2006	05/10/2006	007928104	ADVR	-200000.0000	0.0700	(14,000.00)	282.80
13195	01/20/2006	01/25/2006	233347103	DVLN	-103814.0000	0.1349	(14,004.51)	282.84
13196	06/08/2009	06/11/2009	195493309	CNB	10000.0000	1.2100	12,100.00	379.02
13197	01/30/2006	02/02/2006	45256R106	IMMG	-8500.0000	2.3129	(19,659.65)	1.88
13198	05/23/2006	05/26/2006	282812106	ECPN	-9000.0000	1.9100	(17,190.00)	125.81
13199	06/12/2007	06/15/2007	318029105	FNMM	10000.0000	1.2200	12,200.00	375.49
13200	07/24/2009	07/29/2009	627330103	MFLI	-1492000.0000	0.0110	(16,412.00)	165.10
13201	03/11/2008	03/14/2008	19647Y203	CGFI	23000.0000	0.8500	19,550.00	9.60
13202	06/05/2009	06/10/2009	195493309	CNB	10000.0000	1.2600	12,600.00	361.39
13203	08/08/2008	08/13/2008	607500105	MDVX	10250.0000	1.8310	18,767.75	56.81
13204	11/18/2008	11/21/2008	720279108	PIR	-9977.0000	0.7800	(7,782.06)	608.60
13205	09/17/2009	09/22/2009	849109103	SPNG	150000.0000	0.0950	14,250.00	285.32
13206	10/21/2009	10/26/2009	879604106	TKO	58000.0000	0.3414	19,801.20	8.36
13207	01/06/2010	01/11/2010	225646108	CSNT	-32800.0000	0.4348	(14,261.44)	285.43
13208	01/04/2008	01/09/2008	207142100	CNXT	28000.0000	0.7100	19,880.00	6.00
13209	01/27/2006	02/01/2006	580395101	MEM	-20000.0000	0.9700	(19,400.00)	30.00
13210	12/12/2006	12/15/2006	344229109	FDRA	20000.0000	0.9500	19,000.00	50.00
13211	11/14/2008	11/19/2008	52729N100	LVLTL	20000.0000	0.9400	18,800.00	60.00
13212	12/21/2006	12/27/2006	344229109	FDRA	20000.0000	0.9300	18,600.00	70.00
13213	12/26/2006	12/29/2006	344229109	FDRA	20000.0000	0.9100	18,200.00	90.00
13214	12/13/2006	12/18/2006	344229109	FDRA	20000.0000	0.8500	17,000.00	150.00
13215	01/04/2007	01/09/2007	344229109	FDRA	20000.0000	0.8300	16,600.00	170.00
13216	08/15/2006	08/18/2006	344229109	FDRA	10000.0000	1.6000	16,000.00	200.00
13217	04/30/2009	05/05/2009	42327Y103	HMH	20000.0000	0.7699	15,398.00	230.10
13218	05/05/2009	05/08/2009	00547W109	ADMPE	16000.0000	0.9500	15,200.00	240.00
13219	04/29/2009	05/04/2009	781748108	RURL	10000.0000	1.3414	13,414.00	329.30
13220	02/03/2006	02/08/2006	830703104	SKVI	50000.0000	0.2500	12,500.00	375.00
13221	05/29/2007	06/01/2007	64125C109	NBIX	1000.0000	11.3500	11,350.00	432.50
13222	03/31/2010	04/06/2010	464286400	EWZ	80.0000	73.4900	5,879.20	706.04
13223	04/14/2010	04/19/2010	767754104	RAD	10000.0000	1.3597	13,597.00	326.24
13224	11/16/2009	11/19/2009	23283B204	GTF	-30000.0000	0.4800	(14,400.00)	286.82

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13225	11/21/2008	11/26/2008	345370860	F	10000.0000	1.3800	13,800.00	319.08
13226	12/04/2008	12/09/2008	59318T109	CIF	11050.0000	1.4400	15,912.00	214.55
13227	03/18/2009	03/23/2009	232437301	CYAN	9000.0000	2.1089	18,980.10	62.70
13228	06/05/2006	06/08/2006	48122A109	JYSR	24640.0000	0.7600	18,726.40	77.02
13229	08/17/2007	08/22/2007	206710204	CCUR	10000.0000	1.5214	15,214.00	253.30
13230	06/12/2009	06/17/2009	832727101	SSCCQ	100250.0000	0.1870	18,746.75	76.97
13231	11/28/2007	12/03/2007	206710204	CCUR	-15000.0000	0.8948	(13,422.00)	344.40
13232	02/26/2009	03/03/2009	37247D106	GNW	10000.0000	1.4300	14,300.00	301.45
13233	06/12/2007	06/15/2007	45820C106	IMFD	-19450.0000	0.9700	(18,866.50)	76.73
13234	10/30/2008	11/04/2008	313400301	FRE	-10547.0000	1.0900	(11,496.23)	445.40
13235	04/27/2007	05/02/2007	206710204	CCUR	10000.0000	1.5722	15,722.00	235.90
13236	03/11/2008	03/14/2008	885218107	TMA	10000.0000	1.4980	14,980.00	277.48
13237	04/22/2009	04/27/2009	026874107	AIG	10000.0000	1.5000	15,000.00	276.77
13238	05/27/2009	06/01/2009	29362Y106	ENSL	74000.0000	0.1991	14,733.40	290.18
13239	12/10/2007	12/13/2007	089084107	BCTE	-10000.0000	1.5156	(15,156.00)	271.27
13240	08/03/2006	08/08/2006	268841104	ERHE	-50000.0000	0.4100	(20,500.00)	4.89
13241	03/10/2009	03/13/2009	90262T308	UCBH	15000.0000	1.3700	20,550.00	2.44
13242	09/18/2009	09/23/2009	561911108	HYF	9450.0000	1.8700	17,671.50	147.09
13243	01/24/2007	01/29/2007	440591105	HNIN	35000.0000	0.5479	19,176.50	76.14
13244	10/01/2009	10/06/2009	767754104	RAD	10000.0000	1.5700	15,700.00	252.09
13245	09/20/2007	09/25/2007	247126105	DPHIQ	-30000.0000	0.4971	(14,913.00)	291.98
13246	02/21/2006	02/24/2006	97750V109	WIZD	-9500.0000	1.9200	(18,240.00)	125.66
13247	10/01/2008	10/06/2008	313586109	FNM	10000.0000	1.5900	15,900.00	245.04
13248	08/18/2009	08/21/2009	760112102	RTK	10000.0000	1.5900	15,900.00	245.04
13249	02/14/2008	02/20/2008	20461S108	CPTC	-10690.0000	1.1628	(12,430.33)	419.16
13250	02/25/2010	03/02/2010	37941P207	GLPW	-9800.0000	1.7300	(16,954.00)	193.13
13251	11/06/2009	11/12/2009	14052H506	CT	-10000.0000	1.6000	(16,000.00)	241.51
13252	12/04/2006	12/07/2006	28027T106	EGIL	10000.0000	1.6009	16,009.00	241.19
13253	04/12/2010	04/15/2010	14888U101	CPRX	-10000.0000	1.6022	(16,022.00)	240.74
13254	10/04/2010	10/07/2010	69924P102	PZG	-10000.0000	1.6200	(16,200.00)	234.46
13255	05/17/2010	05/20/2010	14056D105	CBC	-9314.0000	2.1006	(19,564.99)	71.27
13256	08/06/2007	08/09/2007	247126105	DPHIQ	-17500.0000	1.1500	(20,125.00)	43.75
13257	08/03/2009	08/06/2009	767754104	RAD	10000.0000	1.5800	15,800.00	260.00
13258	04/26/2010	04/29/2010	92886T201	VG	10000.0000	1.6800	16,800.00	213.31
13259	04/17/2009	04/22/2009	720279108	PIR	10000.0000	1.6999	16,999.00	206.29
13260	04/16/2009	04/21/2009	026874107	AIG	10000.0000	1.7000	17,000.00	206.25
13261	09/24/2009	09/29/2009	767754104	RAD	10000.0000	1.7000	17,000.00	206.25
13262	04/15/2010	04/20/2010	290846104	EMKR	-10000.0000	1.7004	(17,004.00)	206.11
13263	12/18/2007	12/21/2007	812224202	SRCH	-10000.0000	1.7025	(17,025.00)	205.37
13264	03/24/2010	03/29/2010	09250E107	HIS	9600.0000	2.0097	19,293.12	95.91
13265	09/18/2009	09/23/2009	561911108	HYF	9800.0000	1.8700	18,326.00	144.75
13266	05/12/2009	05/15/2009	370442105	GM	-14000.0000	1.1100	(15,540.00)	286.74
13267	07/05/2007	07/10/2007	00509L109	ACUR	10000.0000	1.7765	17,765.00	179.28
13268	04/22/2009	04/27/2009	720279108	PIR	10000.0000	1.7860	17,860.00	175.93
13269	12/29/2006	01/04/2007	206710204	CCUR	10000.0000	1.8969	18,969.00	120.55
13270	04/27/2006	05/02/2006	31156S303	FTGP	-10000.0000	1.8040	(18,040.00)	169.59
13271	10/14/2009	10/19/2009	09656A105	KAZ	-11000.0000	1.2000	(13,200.00)	413.97
13272	06/13/2006	06/16/2006	007928104	ADVR	-225000.0000	0.0690	(15,525.00)	298.14
13273	03/24/2010	03/29/2010	561911108	HYF	-9450.0000	2.2702	(21,453.39)	3.02
13274	03/27/2009	04/01/2009	835916107	SONS	10000.0000	1.8485	18,485.00	153.90
13275	08/28/2009	09/02/2009	591556105	MTIZ	-200000.0000	0.0078	(15,600.00)	298.90
13276	10/27/2008	10/30/2008	92336G106	VSE	-14134.0000	1.1286	(15,951.63)	283.69
13277	09/18/2009	09/23/2009	561911108	HYF	10000.0000	1.8800	18,800.00	142.79
13278	09/18/2009	09/23/2009	561911108	HYF	10000.0000	1.8800	18,800.00	142.79
13279	08/03/2007	08/08/2007	29256X107	ENCY	-10000.0000	1.8800	(18,800.00)	142.79
13280	01/23/2007	01/26/2007	98156L307	WGAT	11000.0000	1.2600	13,860.00	390.70
13281	04/15/2008	04/18/2008	803893106	SATC	-9950.0000	1.9000	(18,905.00)	140.49

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
13282	10/12/2009	10/15/2009	74765E109	QTTWW	13700.0000	1.3900	19,043.00	135.20
13283	12/04/2008	12/09/2008	336453105	FSNM	10000.0000	1.9247	19,247.00	127.03
13284	02/17/2010	02/22/2010	488360108	KEME	-12000.0000	1.4969	(17,962.80)	197.99
13285	10/27/2008	10/30/2008	345370860	F	10000.0000	2.0000	20,000.00	97.31
13286	03/23/2009	03/26/2009	40075T102	GBNK	-10000.0000	2.0000	(20,000.00)	97.31
13287	05/07/2009	05/12/2009	026874107	AIG	-10000.0000	2.0000	(20,000.00)	97.31
13288	02/12/2010	02/18/2010	68375N103	OPK	10000.0000	2.0100	20,100.00	93.28
13289	03/24/2010	03/29/2010	09250E107	HIS	9970.0000	2.0100	20,039.70	96.29
13290	07/07/2006	07/12/2006	007928104	ADVR	-273000.0000	0.0584	(15,943.20)	302.35
13291	05/21/2008	05/27/2008	27922X105	ESPH	62000.0000	0.2900	17,980.00	200.66
13292	06/02/2009	06/05/2009	720279108	PIR	10000.0000	2.0900	20,900.00	55.00
13293	04/14/2010	04/19/2010	03819M106	AERG	20000.0000	1.0100	20,200.00	91.97
13294	07/31/2007	08/03/2007	760112102	RTK	-10000.0000	2.0922	(20,922.00)	60.18
13295	10/01/2009	10/06/2009	492515101	KERX	10000.0000	2.1000	21,000.00	57.04
13296	10/01/2009	10/06/2009	492515101	KERX	10000.0000	2.1000	21,000.00	57.04
13297	03/03/2006	03/08/2006	252785100	DHCC	-10000.0000	2.1000	(21,000.00)	57.04
13298	02/05/2010	02/10/2010	561911108	HYF	10000.0000	2.1046	21,046.00	55.19
13299	04/03/2009	04/08/2009	370442105	GM	10000.0000	2.1100	21,100.00	53.02
13300	03/13/2007	03/16/2007	760112102	RTK	10000.0000	2.1183	21,183.00	49.67
13301	07/21/2009	07/24/2009	720279108	PIR	-10000.0000	2.1199	(21,199.00)	49.03
13302	07/23/2009	07/28/2009	720279108	PIR	-10000.0000	2.1400	(21,400.00)	40.94
13303	05/07/2010	05/12/2010	811707306	SBCF	10000.0000	2.1800	21,800.00	24.83
13304	04/17/2009	04/22/2009	694873100	PSUN	10000.0000	2.1800	21,800.00	24.83
13305	01/08/2009	01/13/2009	429906100	HYP	10000.0000	2.1865	21,865.00	22.21
13306	09/29/2008	10/02/2008	313400301	FRE	-10000.0000	2.2000	(22,000.00)	16.78
13307	03/21/2006	03/24/2006	45885A102	IIP	15000.0000	1.0100	15,150.00	360.63
13308	10/05/2009	10/08/2009	492515101	KERX	10000.0000	2.2300	22,300.00	4.70
13309	05/05/2009	05/08/2009	720279108	PIR	-10000.0000	2.2404	(22,404.00)	0.51
13310	12/29/2009	01/04/2010	00764X103	ANX	-50000.0000	0.4200	(21,000.00)	72.58
13311	08/07/2007	08/10/2007	806732103	SCTN	-64000.0000	0.2563	(16,403.20)	306.98
13312	08/24/2009	08/27/2009	090627100	BMOD	-35000.0000	0.4693	(16,425.50)	307.21
13313	08/14/2008	08/19/2008	844909101	SWTX	-11000.0000	1.6000	(17,600.00)	258.84
13314	11/16/2009	11/19/2009	591556105	MTIZ	-200000.0000	0.0083	(16,600.00)	308.96
13315	01/22/2010	01/27/2010	460378102	ISCO	19150.0000	0.8700	16,660.50	309.57
13316	04/27/2006	05/02/2006	928628106	VOII	-15000.0000	1.1500	(17,250.00)	280.40
13317	01/11/2010	01/14/2010	37941P207	GLPW	10800.0000	1.8000	19,440.00	179.21
13318	10/30/2006	11/02/2006	131347106	CPNLQ	80000.0000	0.2700	21,600.00	71.41
13319	11/21/2007	11/27/2007	096227103	BFLY	25000.0000	0.8692	21,730.00	71.15
13320	09/08/2009	09/11/2009	46261V108	IPAS	-14800.0000	1.3685	(20,253.80)	150.28
13321	07/22/2009	07/27/2009	627330103	MFLI	-100000.0000	0.0170	(17,000.00)	312.98
13322	07/11/2007	07/16/2007	806732103	SCTN	-45000.0000	0.3800	(17,100.00)	313.99
13323	04/20/2009	04/23/2009	192108108	CDE	-21500.0000	1.0800	(23,220.00)	24.97
13324	10/25/2010	10/28/2010	82967N108	SIRI	-12000.0000	1.3500	(16,200.00)	381.93
13325	02/02/2006	02/07/2006	74835Y101	QSC	25000.0000	0.9000	22,500.00	69.65
13326	01/13/2010	01/19/2010	00764X103	ANX	-50000.0000	0.4510	(22,550.00)	69.55
13327	01/29/2009	02/03/2009	904572104	UNXL	-30000.0000	0.7367	(22,101.00)	94.95
13328	06/24/2009	06/29/2009	14067D102	CPST	-20000.0000	0.8713	(17,426.00)	328.70
13329	11/03/2006	11/08/2006	055183107	BABB	1650.0000	1.0200	1,683.00	1,050.00
13330	10/22/2008	10/27/2008	67071S101	JTP	-4000.0000	5.1833	(20,733.20)	163.82
13331	10/21/2008	10/24/2008	780097721	RBS	18000.0000	1.2917	23,250.60	40.66
13332	06/07/2006	06/12/2006	693283103	PDGE	-12500.0000	1.9164	(23,955.00)	5.87
13333	04/06/2006	04/11/2006	67030P107	NSOL	-13350.0000	1.4304	(19,095.84)	250.23
13334	11/20/2007	11/26/2007	131347106	CPNLQ	-50000.0000	0.4550	(22,750.00)	69.16
13335	05/12/2010	05/17/2010	74362T103	PRPG	-11429.0000	1.7500	(20,000.75)	209.08
13336	07/13/2009	07/16/2009	65080T102	NBBC	-11300.0000	1.9204	(21,700.52)	124.69
13337	09/25/2009	09/30/2009	007947104	AERT	30000.0000	0.5978	17,934.00	322.38
13338	08/16/2007	08/21/2007	761525500	REV	12800.0000	1.1500	14,720.00	493.10

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13339	12/20/2007	12/26/2007	31563P108	FBOP	-62668.0000	0.3073	(19,257.88)	270.77
13340	01/15/2010	01/21/2010	150934503	CTIC	12711.0000	1.1900	15,126.09	477.98
13341	11/20/2009	11/25/2009	561911108	HYF	11700.0000	1.9300	22,581.00	118.73
13342	03/23/2009	03/26/2009	52729N100	LVL	25300.0000	0.7300	18,469.00	327.76
13343	01/27/2006	02/01/2006	44913M105	HYC	-1600.0000	6.9500	(11,120.00)	704.69
13344	11/20/2008	11/25/2008	345370860	F	-13000.0000	1.2400	(16,120.00)	458.49
13345	07/12/2010	07/15/2010	62942D102	NTMG	75000.0000	0.2500	18,750.00	330.59
13346	06/04/2009	06/09/2009	767754104	RAD	12400.0000	1.6000	19,840.00	283.09
13347	03/16/2006	03/21/2006	20461S108	CPTC	-13800.0000	1.1563	(15,956.94)	483.60
13348	03/11/2010	03/16/2010	53224G301	LSCG	24000.0000	0.8000	19,200.00	335.11
13349	01/26/2006	01/31/2006	156732208	CERP	40000.0000	0.4800	19,200.00	335.11
13350	09/01/2006	09/07/2006	74267T109	PRW	30000.0000	0.8200	24,600.00	65.55
13351	04/02/2008	04/07/2008	096227103	BFLYOLD	50000.0000	0.4921	24,605.00	65.54
13352	05/14/2010	05/19/2010	82967N108	SIRI	-20060.0000	1.0513	(21,089.08)	242.69
13353	07/16/2009	07/21/2009	125581108	CIT	50000.0000	0.3878	19,390.00	337.02
13354	04/12/2010	04/15/2010	14888U101	CPRX	-15000.0000	1.4907	(22,360.50)	190.70
13355	01/04/2010	01/07/2010	796043107	SSN	80000.0000	0.2430	19,440.00	337.53
13356	05/31/2006	06/05/2006	247126105	DPHIQ	13000.0000	1.4800	19,240.00	348.49
13357	06/08/2009	06/11/2009	17285T106	CTDB	300000.0000	0.0650	19,500.00	338.13
13358	09/07/2007	09/12/2007	051545101	AULO	19000.0000	1.0697	20,324.30	306.85
13359	05/29/2009	06/03/2009	370442105	GM	-19500.0000	1.0600	(20,670.00)	319.74
13360	03/31/2006	04/05/2006	629196106	NMCX	-1350000.0000	0.0150	(20,250.00)	345.68
13361	03/28/2006	03/31/2006	007928104	ADVR	-375000.0000	0.0540	(20,250.00)	345.68
13362	08/04/2009	08/07/2009	195493309	CNB	50000.0000	0.4500	22,500.00	259.31
13363	01/08/2008	01/11/2008	843833203	SSEY	19500.0000	1.2867	25,090.65	130.98
13364	07/16/2008	07/21/2008	195493309	CNB	5000.0000	3.9235	19,617.50	408.02
13365	07/09/2007	07/12/2007	624581104	MOVI	40000.0000	0.6652	26,608.00	61.63
13366	03/20/2006	03/23/2006	007928104	ADVR	-390000.0000	0.0535	(20,865.00)	351.86
13367	09/30/2008	10/03/2008	635405103	NCC	10000.0000	1.9200	19,200.00	440.67
13368	06/25/2008	06/30/2008	98155N106	WWAT	-42000.0000	0.5000	(21,000.00)	353.22
13369	10/08/2008	10/14/2008	367220100	GSX	-15000.0000	1.0615	(15,922.50)	612.93
13370	06/17/2010	06/22/2010	09250E107	HIS	13400.0000	1.9776	26,499.84	86.29
13371	08/12/2008	08/15/2008	29259G101	END	14015.0000	1.5500	21,723.25	330.03
13372	12/06/2007	12/11/2007	031539109	AMNT	-20000.0000	1.3195	(26,390.00)	103.15
13373	03/05/2010	03/10/2010	939322103	WAMUQ	-60000.0000	0.4000	(24,000.00)	225.06
13374	04/28/2010	05/03/2010	82967N108	SIRI	15000.0000	1.1500	17,250.00	566.12
13375	03/22/2007	03/27/2007	654865104	NFTI	15000.0000	1.1613	17,419.50	560.15
13376	06/19/2006	06/22/2006	17252Y104	CIPH	15000.0000	1.2180	18,270.00	530.16
13377	06/17/2010	06/22/2010	09250E107	HIS	13800.0000	1.9694	27,177.72	88.49
13378	12/17/2009	12/22/2009	561911108	HYF	13800.0000	2.0400	28,152.00	49.26
13379	12/17/2009	12/22/2009	561911108	HYF	13800.0000	2.0400	28,152.00	49.26
13380	08/17/2007	08/22/2007	761525500	REV	15191.0000	1.2200	18,533.02	535.80
13381	12/04/2008	12/09/2008	528872104	LXRX	-14200.0000	1.8100	(25,702.00)	177.40
13382	08/05/2009	08/10/2009	82967N108	SIRI	-40000.0000	0.5511	(22,044.00)	363.72
13383	06/02/2009	06/05/2009	767754104	RAD	15000.0000	1.4100	21,150.00	419.68
13384	06/26/2009	07/01/2009	82967N108	SIRI	50000.0000	0.4790	23,950.91	293.36
13385	05/01/2007	05/04/2007	901167106	TWTR	-15000.0000	1.5120	(22,680.00)	358.08
13386	07/12/2007	07/17/2007	898697107	TCX	21500.0000	1.1791	25,350.65	230.48
13387	06/15/2009	06/18/2009	83001P109	SIXFQ	-140000.0000	0.1614	(22,596.00)	369.28
13388	06/15/2009	06/18/2009	83001P109	SIXF	-140000.0000	0.1614	(22,596.00)	369.28
13389	03/19/2010	03/24/2010	09250E107	HIS	14300.0000	2.0100	28,743.00	62.33
13390	03/08/2006	03/13/2006	458854106	IHDR	-50000.0000	0.5076	(25,380.00)	230.65
13391	04/18/2006	04/21/2006	26884J104	ERHE	26000.0000	0.9173	23,849.80	310.91
13392	02/06/2006	02/09/2006	713661106	PPHM	15000.0000	1.5900	23,850.00	310.97
13393	05/14/2007	05/17/2007	913513107	UFBV	-100000.0000	0.2268	(22,680.00)	370.12
13394	11/28/2007	12/03/2007	31787A101	FNSR	-15000.0000	1.6701	(25,051.50)	262.59
13395	05/02/2006	05/05/2006	007928104	ADVR	-400000.0000	0.0581	(23,240.00)	375.75

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13396	12/10/2008	12/15/2008	920913100	VLT	15900.0000	1.3007	20,681.13	504.92
13397	12/01/2008	12/04/2008	313586109	FNM	-23903.0000	1.1000	(26,293.30)	224.65
13398	12/01/2008	12/04/2008	313586109	FNM	-23903.0000	1.1000	(26,293.30)	224.65
13399	04/25/2006	04/28/2006	741618102	PMXX	-60000.0000	0.3892	(23,352.00)	376.88
13400	12/18/2009	12/23/2009	600577100	MILL	-16000.0000	1.3050	(20,880.00)	504.29
13401	12/01/2006	12/06/2006	05348W109	AVNX	15000.0000	1.9000	28,500.00	123.73
13402	06/09/2009	06/12/2009	370442105	GMGMQ	16000.0000	1.3600	21,760.00	468.86
13403	04/18/2006	04/21/2006	67030P107	NSOL	-15000.0000	1.9563	(29,344.50)	89.72
13404	07/06/2007	07/11/2007	375558103	GILD	-400.0000	39.0320	(15,612.80)	780.64
13405	06/27/2006	06/30/2006	784429102	SLS	-68350.0000	0.3473	(23,737.96)	380.76
13406	08/25/2009	08/28/2009	46062P201	INAR	-4885000.0000	0.0049	(23,936.50)	382.77
13407	06/22/2007	06/27/2007	806732103	SCTN	-80000.0000	0.3000	(24,000.00)	383.40
13408	08/27/2007	08/30/2007	806732103	SCTN	-100000.0000	0.2400	(24,000.00)	383.40
13409	07/24/2009	07/29/2009	15118V108	CSUH	-50000.0000	0.4800	(24,000.00)	383.40
13410	07/23/2009	07/28/2009	15118V108	CSUH	-50000.0000	0.4836	(24,180.00)	385.21
13411	08/26/2010	08/31/2010	203612106	BTC	-26616.0000	0.9596	(25,540.71)	319.92
13412	12/08/2006	12/13/2006	928628106	VOII	50000.0000	0.4875	24,375.00	387.17
13413	05/22/2006	05/25/2006	007928104	ADVR	-350000.0000	0.0706	(24,710.00)	390.54
13414	01/04/2007	01/09/2007	20914T109	CEIW	-93000.0000	0.3019	(28,076.70)	241.60
13415	12/10/2008	12/15/2008	561911108	HYF	17250.0000	1.2226	21,089.85	592.29
13416	02/16/2007	02/22/2007	282914100	EGHT	-17100.0000	1.4500	(24,795.00)	427.76
13417	02/21/2006	02/24/2006	26884J104	ERHE	-30000.0000	0.8510	(25,530.00)	398.79
13418	03/06/2006	03/09/2006	458854106	IHDR	-100000.0000	0.3300	(33,000.00)	49.15
13419	05/07/2009	05/12/2009	172967101	C	-1000.0000	4.0700	(4,070.00)	1,502.64
13420	12/04/2007	12/07/2007	949765101	WGA	17313.0000	1.5600	27,008.28	360.33
13421	01/15/2009	01/21/2009	920913100	VLT	16811.0000	1.9605	32,957.97	72.65
13422	02/02/2006	02/07/2006	278744107	ECSL	-99000.0000	0.2667	(26,403.30)	407.58
13423	03/18/2010	03/23/2010	09250E107	HIS	17250.0000	2.0100	34,672.50	27.69
13424	04/25/2006	04/28/2006	344123104	FMXIQ	-20000.0000	1.7500	(35,000.00)	15.58
13425	03/26/2008	03/31/2008	351465109	FXPE	-24373.0000	1.1100	(27,054.03)	429.76
13426	11/24/2008	11/28/2008	928497106	VTSS	125000.0000	0.2200	27,500.00	418.61
13427	08/07/2008	08/12/2008	68389X105	ORCL	-524.0000	22.8200	(11,957.68)	1,195.77
13428	07/17/2007	07/20/2007	722014107	PHK	-300.0000	14.1532	(4,245.96)	1,587.70
13429	03/12/2009	03/17/2009	505336107	LZB	41000.0000	0.8600	35,260.00	44.74
13430	07/10/2007	07/13/2007	920919107	VKQ	800.0000	15.1175	12,094.00	1,209.40
13431	12/17/2008	12/22/2008	313400301	FRE	40000.0000	0.7018	28,072.00	424.36
13432	10/06/2010	10/12/2010	98371P208	XCHC	20000.0000	1.0025	20,050.00	832.65
13433	08/04/2009	08/07/2009	169453107	CTFO	-6000.0000	5.7400	(34,440.00)	118.00
13434	02/17/2010	02/22/2010	82967N108	SIRI	20000.0000	1.0400	20,800.00	802.46
13435	03/06/2009	03/11/2009	446150104	HBAN	20000.0000	1.0611	21,222.00	785.46
13436	09/25/2009	09/30/2009	014485106	AFN	10000.0000	1.2495	12,495.00	1,227.08
13437	05/12/2009	05/15/2009	370442105	GM	-20000.0000	1.1000	(22,000.00)	754.14
13438	01/29/2009	02/03/2009	561911108	HYF	19000.0000	1.6700	31,730.00	282.07
13439	04/12/2006	04/18/2006	45811E103	IESRQ	-30000.0000	1.0800	(32,400.00)	258.56
13440	05/05/2008	05/08/2008	073677106	BCON	-20000.0000	1.2869	(25,738.00)	603.62
13441	06/04/2010	06/09/2010	74267T109	PRWP	-50000.0000	0.7520	(37,600.00)	40.18
13442	12/12/2008	12/17/2008	161133103	CHRS	20000.0000	1.6358	32,716.00	298.20
13443	10/20/2008	10/23/2008	336920103	FPX	-1000.0000	15.5200	(15,520.00)	1,160.32
13444	04/06/2006	04/11/2006	67030P107	NSOL	-20000.0000	1.6538	(33,076.00)	296.51
13445	07/31/2006	08/03/2006	007928104	ADVR	-490000.0000	0.0619	(30,331.00)	447.09
13446	11/08/2007	11/14/2007	26884J104	ERHE	-165000.0000	0.2020	(33,330.00)	321.10
13447	04/17/2006	04/20/2006	704231109	ION	-40000.0000	0.9000	(36,000.00)	200.00
13448	08/24/2009	08/27/2009	82967N108	SIRI	-50000.0000	0.7135	(35,675.00)	216.25
13449	09/04/2009	09/10/2009	00547W109	ADMP	100000.0000	0.3383	33,830.00	308.50
13450	06/07/2010	06/10/2010	00547W109	ADMP	98700.0000	0.3195	31,534.65	459.20
13451	12/26/2007	12/31/2007	096227103	BFLY	50000.0000	0.8014	40,070.00	35.35
13452	06/19/2006	06/22/2006	007928104	ADVR	-500000.0000	0.0644	(32,200.00)	465.89

Acct_Num	Trade Date	Settlement Date	CUSIP	Symbol	Shares	Price	Principal	Restitution Commission
13453	06/15/2009	06/18/2009	83001P109	SIXFQ	-200000.0000	0.1614	(32,280.00)	466.70
13454	06/15/2009	06/18/2009	83001P109	SIXF	-200000.0000	0.1614	(32,280.00)	466.70
13455	09/15/2010	09/20/2010	86806M205	SUPR	-28600.0000	1.3005	(37,194.30)	227.44
13456	06/16/2009	06/19/2009	14067D102	CPST	-35000.0000	1.0204	(35,714.00)	314.30
13457	04/12/2010	04/15/2010	82967N108	SIRI	35000.0000	0.9859	34,506.50	374.68
13458	02/11/2010	02/17/2010	00547W109	ADMP	-84500.0000	0.4001	(33,808.45)	422.08
13459	01/15/2009	01/21/2009	561911108	HYF	22290.0000	1.4656	32,668.22	484.15
13460	07/09/2007	07/12/2007	15118V108	CSUH	-31500.0000	1.2116	(38,165.40)	213.14
13461	07/15/2008	07/18/2008	174420109	CRBC	-22209.0000	1.7969	(39,907.35)	164.40
13462	03/01/2010	03/04/2010	159765106	CTHR	30000.0000	1.3900	41,700.00	106.18
13463	02/06/2009	02/11/2009	195493309	CNB	55000.0000	0.7891	43,400.50	29.97
13464	07/17/2009	07/22/2009	125581108	CIT	-50000.0000	0.7000	(35,000.00)	494.06
13465	09/05/2006	09/08/2006	70558E108	PGWC	-33330.0000	1.2930	(43,095.69)	94.11
13466	03/25/2009	03/30/2009	17275R102	CSCO	-550.0000	16.3720	(9,004.60)	1,800.92
13467	10/17/2007	10/22/2007	74835Y101	QSC	15000.0000	1.0200	15,300.00	1,491.89
13468	12/06/2007	12/11/2007	458168101	ITLI	-34200.0000	1.0400	(35,568.00)	480.74
13469	10/02/2009	10/07/2009	760112102	RTK	-24000.0000	1.5100	(36,240.00)	452.07
13470	07/21/2009	07/24/2009	69924P102	PZG	25000.0000	1.3489	33,722.50	613.88
13471	06/01/2007	06/06/2007	190643106	COTE	-26000.0000	1.0500	(27,300.00)	983.15
13472	08/23/2006	08/28/2006	09064V105	BIPH	64423.0000	0.7341	47,292.92	21.25
13473	08/21/2009	08/26/2009	82967N108	SIRI	-48945.0000	0.7649	(37,438.03)	518.59
13474	07/10/2007	07/13/2007	806732103	SCTN	-95000.0000	0.3947	(37,496.50)	519.18
13475	01/31/2006	02/03/2006	53224G103	LSGP	-83333.0000	0.4504	(37,533.18)	519.54
13476	06/15/2009	06/18/2009	14067D102	CPST	40000.0000	1.1307	45,228.00	138.60
13477	10/03/2008	10/08/2008	69207P100	OXBO	75000.0000	0.5128	38,460.00	528.87
13478	10/07/2009	10/13/2009	254668106	DSCO	35000.0000	1.0400	36,400.00	640.90
13479	06/08/2010	06/11/2010	74267T109	PRWP	-70000.0000	0.7071	(49,497.00)	16.95
13480	06/27/2008	07/02/2008	16946A100	CHVC	-80000.0000	0.5003	(40,024.00)	544.60
13481	05/19/2006	05/24/2006	153491105	CENF	27100.0000	1.7500	47,425.00	187.95
13482	08/11/2009	08/14/2009	62010A105	MTLQQ	-28700.0000	1.1100	(31,857.00)	991.71
13483	06/25/2009	06/30/2009	82967N108	SIRI	100000.0000	0.4235	42,350.00	568.00
13484	03/07/2007	03/12/2007	693283103	PDGE	-60000.0000	0.7100	(42,600.00)	570.52
13485	02/17/2006	02/23/2006	278744107	ECSL	-178000.0000	0.2500	(44,500.00)	589.63
13486	02/23/2009	02/26/2009	370442105	GM	30000.0000	1.8595	55,785.00	33.51
13487	06/23/2008	06/26/2008	36238T104	GTCB	-110191.0000	0.4425	(48,759.52)	490.52
13488	09/01/2010	09/07/2010	86806M205	SUPR	41905.0000	1.2130	50,830.77	399.90
13489	11/13/2008	11/18/2008	137801106	CFW	100000.0000	0.5000	50,000.00	500.00
13490	07/17/2007	07/20/2007	722014107	PHK	-500.0000	14.1306	(7,065.30)	2,646.74
13491	09/22/2006	09/27/2006	827056102	SGID	100000.0000	0.0612	6,120.00	2,694.00
13492	06/13/2006	06/16/2006	378970107	GBVS	46500.0000	1.0970	51,010.50	457.73
13493	08/08/2007	08/13/2007	806732103	SCTN	-280000.0000	0.1711	(47,908.00)	623.91
13494	04/07/2010	04/12/2010	17285T106	CTDBQ	-300101.0000	0.1613	(48,406.29)	628.93
13495	03/11/2009	03/16/2009	172967101	C	35000.0000	1.6100	56,350.00	377.30
13496	06/25/2009	06/30/2009	254668106	DSCO	46775.0000	1.0600	49,581.50	740.75
13497	06/16/2008	06/19/2008	36238T104	GTCB	-124700.0000	0.4421	(55,129.87)	554.61
13498	03/07/2006	03/10/2006	458854106	IHDR	-100000.0000	0.6090	(60,900.00)	374.65
13499	01/15/2008	01/18/2008	723787107	PXD	-225.0000	44.5615	(10,026.34)	3,007.90
13500	06/08/2009	06/11/2009	370442105	GMGMQ	60000.0000	1.1000	66,000.00	266.93
13501	07/31/2009	08/05/2009	195493309	CNB	100000.0000	0.6291	62,910.00	774.83
13502	08/21/2009	08/26/2009	82967N108	SIRI	-100000.0000	0.7212	(72,120.00)	394.00
13503	09/19/2007	09/24/2007	739308104	PWER	-800.0000	5.0900	(4,072.00)	3,864.33
13504	09/18/2006	09/21/2006	457669208	INSM	60000.0000	1.2798	76,788.00	288.43
13505	09/13/2007	09/18/2007	74963Q102	RHY	5000.0000	9.0972	45,486.00	2,315.70
13506	10/12/2007	10/17/2007	74963Q102	RHY	1400.0000	7.9536	11,135.04	4,133.25
13507	06/25/2008	06/30/2008	36238T104	GTCB	-200035.0000	0.4019	(80,394.07)	950.73
13508	06/08/2009	06/11/2009	370442105	GMGMQ	-85000.0000	1.1300	(96,050.00)	179.94
13509	05/27/2009	06/01/2009	370442105	GM	-63500.0000	1.2200	(77,470.00)	1,548.42

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