

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

MATTHEW J. SEMETULSKIS,
(CRD No. 6351144),

Respondent.

STAR No. 2015044491101
(Expedited Proceeding)

**ORDER ACCEPTING OFFER OF
SETTLEMENT OF MATTHEW J.
SEMETULSKIS**

Date: August 25, 2015

INTRODUCTION

On July 21, 2015, the FINRA Department of Enforcement (Complainant) initiated the above-referenced expedited proceeding pursuant to FINRA Rule 9552. Respondent Matthew J. Semetulskis submitted an Offer of Settlement (Offer) to Complainant dated August 14, 2015. Pursuant to FINRA Rule 9270(e), the Complainant and the National Adjudicatory Council (NAC), a Review Subcommittee of the NAC, or the Office of Disciplinary Affairs (ODA) have accepted the uncontested Offer. Accordingly, this Order now is issued pursuant to FINRA Rules 9270(e)(3) and 9552(g). The findings, conclusions and sanction set forth in this Order are those stated in the Offer as accepted by the Complainant and approved by the NAC.

Under the terms of the Offer, Respondent has consented, without admitting or denying the allegations contained in the Notice of Suspension (as amended by the Offer of Settlement), and solely for the purposes of this proceeding and any other proceeding brought by or on behalf of FINRA, or to which FINRA is a party, to the entry of findings and violations consistent with

the allegations in the Notice of Suspension (as amended by the Offer of Settlement), and to the imposition of the sanction set forth below, and fully understands that this Order will become part of Respondent's permanent disciplinary record and may be considered in any future actions brought by FINRA.

BACKGROUND

On or about July 17, 2014, Respondent entered the securities industry when he became associated with FINRA member J.P. Morgan Securities LLC. He remained associated with the firm until January 16, 2015. He was registered as a General Securities Representative from August 12, 2014 to February 13, 2015. He was also employed by JPMorgan Chase Bank, N.A.

FINDINGS AND CONCLUSIONS

It has been determined that the Offer be accepted and that findings be made as follows:

On March 25, 2015, FINRA staff issued a Rule 8210 request letter to Respondent, as part of an investigation into allegations that while Respondent was associated with J.P. Morgan Securities, he acted improperly in providing false information regarding client meetings on firm-required documentation. FINRA staff served the letter on Respondent by certified mail and first class mail at his CRD residential address. On or about April 9, 2015, Respondent stated, through counsel, that he would assert his Fifth Amendment privilege and would not provide the documents and information requested by the staff. Based on the foregoing, Respondent violated FINRA Rule 8210.

Based on these considerations, the sanction hereby imposed by the acceptance of the Offer is in the public interest, is sufficiently remedial to deter Respondent from any future misconduct, and represents a proper discharge by FINRA, of its regulatory responsibility under the Securities Exchange Act of 1934.

SANCTION

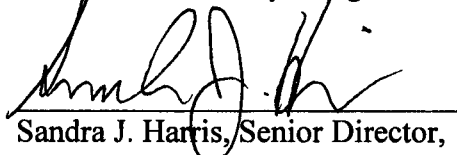
It is ordered that Respondent be barred from associating with any FINRA member in any capacity.

The sanction imposed herein shall be effective on a date set by FINRA staff. Pursuant to FINRA Rule 8313(e), a bar or expulsion shall become effective upon approval or acceptance of this Order.

SO ORDERED.

FINRA

Signed on behalf of the
Director of ODA, by delegated authority

A handwritten signature in black ink, appearing to read 'Sandra J. Harris', is written over a horizontal line.

Sandra J. Harris, Senior Director,
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