

FINANCIAL INDUSTRY REGULATORY AUTHORITY
LETTER OF ACCEPTANCE, WAIVER AND CONSENT
NO. 20150465799-01

TO: Department of Market Regulation
Financial Industry Regulatory Authority ("FINRA")

RE: Edward D. Jones & Co., L.P., Respondent
Broker-Dealer
CRD No. 250

Pursuant to FINRA Rule 9216 of FINRA's Code of Procedure, Edward D. Jones & Co., L.P. (the "firm" or "Respondent") submits this Letter of Acceptance, Waiver and Consent ("AWC") for the purpose of proposing a settlement of the alleged rule violations described below. This AWC is submitted on the condition that, if accepted, FINRA will not bring any future actions against Respondent alleging violations based on the same factual findings described herein.

I.

ACCEPTANCE AND CONSENT

- A. Respondent hereby accepts and consents, without admitting or denying the findings, and solely for the purposes of this proceeding and any other proceeding brought by or on behalf of FINRA, or to which FINRA is a party, prior to a hearing and without an adjudication of any issue of law or fact, to the entry of the following findings by FINRA:

BACKGROUND

The firm became a member of FINRA on October 16, 1939, and its registration remains in effect.

RELEVANT DISCIPLINARY HISTORY

On July 9, 2014, the firm finalized an AWC in which it was censured, fined \$21,100 (of which \$16,000 was for violating Municipal Securities Rulemaking Board ("MSRB") Rules G-17 and G-30), and required to pay \$9,784 in restitution in connection with conduct which occurred during the period of January 1, 2011 through March 31, 2011.

On June 7, 2013, the firm finalized an AWC in which it was censured and fined \$160,000 for violating MSRB Rules G-17 and G-30(a) during the period of October 1, 2008 through December 31, 2008.

SUMMARY

In 20150465799, the Department of Market Regulation's Municipal Securities Bonds Team and the Department of Member Regulation (collectively, the "staff") reviewed the firm's compliance with MSRB Rule G-15(f) during the period December 1, 2013 through December 31, 2014 (the "review period").

MSRB Rule G-15(f)(i) prohibits a broker, dealer, or municipal securities dealer from effecting a customer transaction in municipal securities issued after June 1, 2002 in an amount lower than the minimum denomination of the issue.

Issuers set minimum denominations for the purchase or sale of municipal securities and, generally, disclose those minimum denominations in Official Statements, which are documents prepared by or on behalf of the issuer of municipal securities in connection with a primary offering. Minimum denominations generally range from \$5,000 to \$100,000. An issuer may set a minimum denomination at \$100,000 or higher to qualify for one of several exemptions from Securities Exchange Act Rule 15c2-12, which is designed to ensure production of certain disclosure documents in the primary and secondary markets. In addition, an issuer may set high minimum denominations because of a concern that the offered securities may not be appropriate for retail investors who are likely to purchase securities in relatively small amounts.

There are two limited exceptions to executing transactions below the minimum denomination. First, under MSRB Rule G-15(f)(ii), a dealer may purchase municipal securities from a customer in an amount below the minimum denomination of the issue if the dealer determines that the customer's position in the issue is already below the minimum denomination and the customer's entire position in the issue would be liquidated by the transaction.

Second, under MSRB Rule G-15(f)(iii), a dealer may sell municipal securities to a customer in an amount below the minimum denomination of the issue if the dealer determines that the position being sold resulted from the liquidation of another customer's entire position in the issue which was below the minimum denomination of the issue. Additionally, a dealer selling under MSRB Rule G-15(f)(iii) must, at or before the completion of the transaction, notify the customer that the amount of the transaction is below the minimum denomination of the issue and that this may adversely affect the liquidity of the customer's position.

Under MSRB Rule G-15(f), brokers, dealers, and municipal securities dealers may not sell (or buy) municipal securities in amounts below the minimum denomination of an issue to a customer regardless of whether the customer holds or would hold a position in the issue which is equal to or exceeds the minimum denomination of the issue.

MSRB Rule G-17 requires a broker, dealer or municipal securities dealer effecting a municipal securities transaction to disclose to its customer all material information about the transaction known by the broker, dealer or municipal securities dealer, as well as material information about the security that is reasonably accessible to the market. Such

disclosure must be made at or prior to the sale of the municipal securities to the investor (i.e., when the investor and the dealer agree to make the trade), also referred to as the "time of trade."¹

The staff determined that the firm violated these MSRB rules as described below.

FACTS AND VIOLATIVE CONDUCT

During the review period:

1. The firm effected 188 customer transactions in a municipal security in an amount lower than the minimum denomination of the issue which were not subject to an exception under the rule. These transactions, detailed on Exhibit A, were concentrated in eight CUSIPs. The conduct described in this paragraph constitutes separate and distinct violations of MSRB Rule G-15(f);²
2. The firm failed, in four instances, to provide the customer a written statement at or before completion of the transaction informing the customer that the quantity of securities being sold is below the minimum denomination for the issue. The conduct described in this paragraph constitutes separate and distinct violations of MSRB Rule G-15(f)(iii); and
3. The firm failed to disclose all material facts concerning 192 municipal securities transactions at or prior to the time of trade. Specifically, the firm failed to inform its customer: (i) that the municipal securities transaction was in an amount below the minimum denomination of the issue, or (ii) that the municipal securities contained a resale restriction which could affect the liquidity of the customer's position. The conduct described in this paragraph constitutes separate and distinct violations of MSRB Rule G-17 (for conduct occurring before July 5, 2014) and MSRB Rule G-47 (for conduct occurring on or after July 5, 2014).

B. Respondent also consents to the imposition of the following sanctions:

- A censure;
- A fine of \$210,000 (consisting of a fine of \$160,000 for violating MSRB Rule G-15(f) and a fine of \$50,000 for violating MSRB Rule G-17/G-47); and
- An undertaking to submit satisfactory proof of offer of rescission. Within 60 days of acceptance of this AWC by the National Adjudicatory Council ("NAC"), a registered principal on behalf of the firm shall submit satisfactory proof of offer of rescission, or of reasonable and documented efforts undertaken to effect rescission, including the offered price and a description of how the offered price was determined. Such proof shall be submitted to the COMPLIANCE

¹ MSRB Rule G-17's time of trade disclosure requirements were codified in MSRB Rule G-47 on July 5, 2014.

² Prior to this settlement, the firm offered rescission to each of the customers who purchased securities listed on Exhibit A.

ASSISTANT, LEGAL SECTION, MARKET REGULATION DEPARTMENT,
9509 KEY WEST AVENUE, ROCKVILLE, MD 20850, either by letter that
identifies the Respondent and case number, or by email from a work-related
account of the registered principal of the firm to
MarketRegulationComp@finra.org.

Respondent agrees to pay the monetary sanction(s) upon notice that this AWC has been
accepted and that such payment(s) are due and payable. It has submitted an Election of
Payment form showing the method by which it proposes to pay the fine imposed.

Respondent specifically and voluntarily waives any right to claim that it is unable to pay,
now or at any time hereafter, the monetary sanction(s) imposed in this matter.

The sanctions imposed herein shall be effective on a date set by FINRA staff.

II.

WAIVER OF PROCEDURAL RIGHTS

Respondent specifically and voluntarily waives the following rights granted under FINRA's
Code of Procedure:

- A. To have a Complaint issued specifying the allegations against the firm;
- B. To be notified of the Complaint and have the opportunity to answer the allegations in
writing;
- C. To defend against the allegations in a disciplinary hearing before a hearing panel, to have
a written record of the hearing made and to have a written decision issued; and
- D. To appeal any such decision to the NAC and then to the U.S. Securities and Exchange
Commission and a U.S. Court of Appeals.

Further, Respondent specifically and voluntarily waives any right to claim bias or prejudgment
of the Chief Legal Officer, the NAC, or any member of the NAC, in connection with such
person's or body's participation in discussions regarding the terms and conditions of this AWC,
or other consideration of this AWC, including acceptance or rejection of this AWC.

Respondent further specifically and voluntarily waives any right to claim that a person violated
the ex parte prohibitions of FINRA Rule 9143 or the separation of functions prohibitions of
FINRA Rule 9144, in connection with such person's or body's participation in discussions
regarding the terms and conditions of this AWC, or other consideration of this AWC, including
its acceptance or rejection.

III.

OTHER MATTERS

Respondent understands that:

- A. Submission of this AWC is voluntary and will not resolve this matter unless and until it has been reviewed and accepted by the NAC, a Review Subcommittee of the NAC, or the Office of Disciplinary Affairs ("ODA"), pursuant to FINRA Rule 9216;
- B. If this AWC is not accepted, its submission will not be used as evidence to prove any of the allegations against Respondent; and
- C. If accepted:
 - 1. this AWC will become part of the firm's permanent disciplinary record and may be considered in any future actions brought by FINRA or any other regulator against the firm;
 - 2. this AWC will be made available through FINRA's public disclosure program in accordance with FINRA Rule 8313;
 - 3. FINRA may make a public announcement concerning this agreement and the subject matter thereof in accordance with FINRA Rule 8313; and
 - 4. Respondent may not take any action or make or permit to be made any public statement, including in regulatory filings or otherwise, denying, directly or indirectly, any finding in this AWC or create the impression that the AWC is without factual basis. Respondent may not take any position in any proceeding brought by or on behalf of FINRA, or to which FINRA is a party, that is inconsistent with any part of this AWC. Nothing in this provision affects the firm's: (i) testimonial obligations; or (ii) right to take legal or factual positions in litigation or other legal proceedings in which FINRA is not a party.
- D. Respondent may attach a Corrective Action Statement to this AWC that is a statement of demonstrable corrective steps taken to prevent future misconduct. The firm understands that it may not deny the charges or make any statement that is inconsistent with the AWC in this Statement. This Statement does not constitute factual or legal findings by FINRA, nor does it reflect the views of FINRA or its staff.

The undersigned, on behalf of the firm, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that it has agreed to the AWC's provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth herein and the prospect of avoiding the issuance of a Complaint, has been made to induce the firm to submit it.

May 10, 2017
Date

Respondent

Edward D. Jones & Co., L.P.

By: [Signature]

Name: DAVID BARTOLOMEU

Title: Principal & Deputy General Counsel

Reviewed by:

[Signature]

Attorney Name: Cheryl L. Haas, Esq.

Counsel for Respondent

Firm Name: McGuireWoods LLP

Address: 1230 Peachtree Street, N.E., Suite 2100

City/State/Zip: Atlanta, GA 30309

Phone Number: (404) 443-5726

Accepted by FINRA:

6/2/17
Date:

Signed on behalf of the
Director of ODA, by delegated authority

Robert A. Marchman/TS6
Robert A. Marchman, Esq.
Executive Vice President
FINRA Department of Market Regulation

Edward Jones ("EDJO")
Review Number: 20150465799
Review Period: December 1, 2013 through December 31, 2014

Exhibit A

Item	Trade Date	Trade Time	CUSIP	Seller	Volume	Price	Buyer	XREF ID
1	1/23/2014	13:16:03	573479EK4	EDJO	15,000	97.57	CUST	24877619814
2	1/23/2014	15:12:27	573479EK4	EDJO	10,000	97.57	CUST	24878530814
3	1/23/2014	15:14:13	573479EK4	EDJO	10,000	97.57	CUST	24878541214
4	1/23/2014	15:16:48	573479EK4	EDJO	10,000	97.57	CUST	24878560814
5	1/23/2014	15:22:13	573479EK4	EDJO	10,000	97.57	CUST	24878707314
6	1/23/2014	15:38:51	573479EK4	EDJO	15,000	97.57	CUST	24878957914
7	1/23/2014	15:51:21	573479EK4	EDJO	15,000	97.57	CUST	24879918214
8	1/23/2014	15:54:04	573479EK4	EDJO	20,000	97.57	CUST	24880148914
9	1/24/2014	11:32:31	573479EK4	EDJO	5,000	97.57	CUST	24950018714
10	1/24/2014	13:53:32	573479EK4	EDJO	25,000	97.57	CUST	24952363714
11	1/24/2014	14:11:16	573479EK4	EDJO	15,000	97.57	CUST	24952592514
12	1/24/2014	14:21:52	573479EK4	EDJO	20,000	97.57	CUST	24953118214
13	1/24/2014	14:40:59	573479EK4	EDJO	25,000	97.57	CUST	24953323614
14	1/24/2014	15:10:18	573479EK4	EDJO	40,000	97.57	CUST	24953567414
15	1/24/2014	15:15:37	573479EK4	EDJO	50,000	97.57	CUST	24953610914
16	1/24/2014	15:52:50	573479EK4	EDJO	20,000	97.57	CUST	24954139114
17	1/27/2014	9:33:25	573479EK4	EDJO	15,000	97.57	CUST	25034886014
18	1/27/2014	9:51:54	573479EK4	EDJO	20,000	97.57	CUST	25035023014
19	1/27/2014	10:20:15	573479EK4	EDJO	5,000	97.57	CUST	25035221314
20	1/27/2014	12:04:40	573479EK4	EDJO	10,000	97.57	CUST	25036061014
21	1/27/2014	12:20:11	573479EK4	EDJO	20,000	97.57	CUST	25036180614
22	1/27/2014	14:17:53	573479EK4	EDJO	20,000	97.57	CUST	25037675814
23	1/27/2014	15:13:42	573479EK4	EDJO	5,000	97.57	CUST	25038106214
24	1/27/2014	16:03:34	573479EK4	EDJO	5,000	97.57	CUST	25038705214
25	1/28/2014	8:01:36	573479EK4	EDJO	15,000	97.57	CUST	25076127214
26	1/28/2014	11:20:00	573479EK4	EDJO	5,000	97.57	CUST	25077433814
27	1/28/2014	11:46:11	573479EK4	EDJO	30,000	97.57	CUST	25077653314
28	1/28/2014	11:52:45	573479EK4	EDJO	10,000	97.57	CUST	25077696114
29	1/28/2014	11:59:25	573479EK4	EDJO	10,000	97.57	CUST	25077745214
30	1/28/2014	14:54:20	573479EK4	EDJO	10,000	97.57	CUST	25079740914
31	1/28/2014	15:04:40	573479EK4	EDJO	5,000	97.57	CUST	25079830014
32	1/28/2014	15:08:29	573479EK4	EDJO	10,000	97.57	CUST	25079859714
33	5/14/2014	10:21:06	473304FR9	EDJO	25,000	104.126	CUST	27224899514
34	12/04/2013	10:37:50	199098AB0	EDJO	10,000	102.834	CUST	23777999813
35	05/22/2014	11:41:11	199098AB0	EDJO	10,000	102.535	CUST	27411013014
36	04/07/2014	08:03:25	199098AJ3	EDJO	10,000	110.227	CUST	26460380814
37	04/07/2014	08:03:25	199098AJ3	EDJO	15,000	110.227	CUST	26460380914
38	12/04/2013	17:11:50	199098BT0	EDJO	5,000	107.276	CUST	23781764113
39	12/10/2013	10:26:08	199098BT0	EDJO	5,000	107.125	CUST	23909712513
40	12/10/2013	10:58:43	199098BT0	EDJO	5,000	107.125	CUST	23909921713
41	12/10/2013	15:59:00	199098BT0	EDJO	5,000	107.125	CUST	23913058213
42	12/11/2013	15:17:47	199098BT0	EDJO	15,000	107.125	CUST	23941706813
43	12/11/2013	16:34:53	199098BT0	EDJO	5,000	107.125	CUST	23942459813
44	12/11/2013	17:42:38	199098BT0	EDJO	10,000	107.125	CUST	23942780913
45	12/19/2013	11:44:34	199098BT0	EDJO	5,000	107.625	CUST	24108813313
46	12/23/2013	14:19:09	199098BT0	EDJO	5,000	107.625	CUST	24185277613
47	01/02/2014	13:37:46	199098BT0	EDJO	5,000	107.125	CUST	24373159414
48	01/09/2014	16:09:47	199098BT0	EDJO	10,000	107.125	CUST	24563930914

Edward Jones ("EDJO")
Review Number: 20150465799
Review Period: December 1, 2013 through December 31, 2014

Exhibit A (Cont.)

Item	Trade Date	Trade Time	CUSIP	Seller	Volume	Price	Buyer	XREF ID
49	01/09/2014	16:18:43	199098BT0	EDJO	10,000	107.125	CUST	24564012014
50	01/10/2014	12:02:30	199098BT0	EDJO	5,000	107.125	CUST	24585473414
51	01/13/2014	12:19:55	199098BT0	EDJO	5,000	107.625	CUST	24613507314
52	01/13/2014	12:21:21	199098BT0	EDJO	10,000	107.625	CUST	24613515614
53	01/13/2014	12:22:23	199098BT0	EDJO	5,000	107.625	CUST	24613523914
54	01/15/2014	12:21:08	199098BT0	EDJO	5,000	107.250	CUST	24676463014
55	01/16/2014	08:01:48	199098BT0	EDJO	25,000	108.182	CUST	24718652714
56	01/16/2014	16:24:09	199098BT0	EDJO	15,000	108.125	CUST	24724917914
57	01/17/2014	14:58:09	199098BT0	EDJO	15,000	107.975	CUST	24758521814
58	01/27/2014	17:58:27	199098BT0	EDJO	10,000	108.025	CUST	25039489014
59	01/28/2014	08:01:45	199098BT0	EDJO	10,000	108.025	CUST	25076131014
60	02/11/2014	09:14:19	199098BT0	EDJO	5,000	109.468	CUST	25337416714
61	02/13/2014	10:27:35	199098BT0	EDJO	5,000	109.468	CUST	25380097214
62	02/19/2014	08:02:01	199098BT0	EDJO	10,000	108.735	CUST	25481021114
63	02/19/2014	11:40:51	199098BT0	EDJO	5,000	108.735	CUST	25482160314
64	02/20/2014	10:35:45	199098BT0	EDJO	10,000	108.635	CUST	25518071814
65	02/20/2014	11:14:10	199098BT0	EDJO	5,000	108.635	CUST	25518379714
66	02/25/2014	09:23:31	199098BT0	EDJO	5,000	108.635	CUST	25609158514
67	02/25/2014	13:02:12	199098BT0	EDJO	10,000	108.424	CUST	25611703514
68	02/25/2014	14:05:18	199098BT0	EDJO	5,000	108.424	CUST	25612494514
69	02/25/2014	15:38:42	199098BT0	EDJO	5,000	107.831	CUST	25614328914
70	02/25/2014	16:23:23	199098BT0	EDJO	5,000	107.831	CUST	25614664414
71	03/05/2014	15:19:53	199098BT0	EDJO	5,000	106.827	CUST	25790476714
72	03/12/2014	10:55:23	199098BT0	EDJO	20,000	107.882	CUST	25922619814
73	03/17/2014	14:47:10	199098BT0	EDJO	5,000	107.279	CUST	25995471214
74	03/19/2014	13:19:56	199098BT0	EDJO	5,000	107.279	CUST	26072194014
75	03/19/2014	13:40:36	199098BT0	EDJO	5,000	107.279	CUST	26072311114
76	03/25/2014	15:41:58	199098BT0	EDJO	10,000	107.320	CUST	26200222414
77	03/26/2014	10:54:08	199098BT0	EDJO	15,000	107.320	CUST	26240532114
78	03/31/2014	14:59:01	199098BT0	EDJO	10,000	108.631	CUST	26305595114
79	04/01/2014	08:03:20	199098BT0	EDJO	10,000	107.430	CUST	26329712614
80	04/01/2014	15:30:10	199098BT0	EDJO	5,000	107.681	CUST	26332758314
81	04/02/2014	12:08:21	199098BT0	EDJO	10,000	107.681	CUST	26376478014
82	04/02/2014	15:51:04	199098BT0	EDJO	25,000	107.681	CUST	26379670614
83	04/04/2014	14:39:58	199098BT0	EDJO	5,000	106.978	CUST	26428629214
84	04/04/2014	15:22:23	199098BT0	EDJO	5,000	106.978	CUST	26428900414
85	04/07/2014	09:04:27	199098BT0	EDJO	10,000	106.978	CUST	26460403314
86	04/10/2014	08:03:34	199098BT0	EDJO	10,000	107.430	CUST	26542924414
87	04/10/2014	14:16:56	199098BT0	EDJO	10,000	107.430	CUST	26546118914
88	04/10/2014	15:43:57	199098BT0	EDJO	10,000	107.430	CUST	26546939114
89	04/14/2014	16:52:02	199098BT0	EDJO	5,000	107.387	CUST	26603165114
90	04/15/2014	10:38:08	199098BT0	EDJO	5,000	107.387	CUST	26637512114
91	04/21/2014	08:03:14	199098BT0	EDJO	15,000	107.387	CUST	26763652814
92	04/21/2014	08:03:15	199098BT0	EDJO	10,000	107.387	CUST	26763653014
93	04/21/2014	08:03:15	199098BT0	EDJO	25,000	107.387	CUST	26763652914
94	04/28/2014	13:52:35	199098BT0	EDJO	10,000	106.777	CUST	26916820714
95	04/28/2014	16:05:04	199098BT0	EDJO	5,000	106.777	CUST	26918370214
96	04/30/2014	11:23:10	199098BT0	EDJO	10,000	106.649	CUST	26964232014

Edward Jones ("EDJO")
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Exhibit A (Cont.)

Item	Trade Date	Trade Time	CUSIP	Seller	Volume	Price	Buyer	XREF ID
97	05/06/2014	14:30:54	199098BT0	EDJO	10,000	107.217	CUST	27086017014
98	05/06/2014	16:52:25	199098BT0	EDJO	10,000	107.217	CUST	27086832514
99	05/07/2014	14:37:09	199098BT0	EDJO	5,000	107.129	CUST	27113427814
100	05/14/2014	14:48:15	199098BT0	EDJO	5,000	106.878	CUST	27227139614
101	05/20/2014	12:17:42	199098BT0	EDJO	10,000	106.878	CUST	27343566414
102	05/27/2014	08:02:39	199098BT0	EDJO	10,000	106.777	CUST	27463740014
103	05/27/2014	12:17:56	199098BT0	EDJO	10,000	107.129	CUST	27465400914
104	05/27/2014	15:48:19	199098BT0	EDJO	5,000	107.129	CUST	27469206914
105	05/28/2014	09:24:01	199098BT0	EDJO	5,000	107.129	CUST	27504927914
106	05/29/2014	12:32:19	199098BT0	EDJO	5,000	106.978	CUST	27530448914
107	05/29/2014	13:08:11	199098BT0	EDJO	5,000	106.978	CUST	27530693214
108	05/29/2014	14:54:19	199098BT0	EDJO	5,000	106.978	CUST	27532671414
109	05/29/2014	16:04:45	199098BT0	EDJO	5,000	106.978	CUST	27533486814
110	06/11/2014	14:16:09	199098BT0	EDJO	10,000	106.313	CUST	27776528314
111	06/12/2014	17:42:53	199098BT0	EDJO	5,000	106.225	CUST	27798630214
112	06/17/2014	13:30:29	199098BT0	EDJO	5,000	106.325	CUST	27885417514
113	06/17/2014	17:17:12	199098BT0	EDJO	5,000	106.325	CUST	27887218914
114	07/03/2014	13:25:27	199098BT0	EDJO	5,000	105.432	CUST	28244840214
115	07/03/2014	13:26:26	199098BT0	EDJO	5,000	105.432	CUST	28244841314
116	07/09/2014	10:04:52	199098BT0	EDJO	5,000	105.673	CUST	28336239314
117	07/14/2014	14:56:08	199098BT0	EDJO	5,000	106.084	CUST	28412150514
118	07/15/2014	11:13:00	199098BT0	EDJO	15,000	106.084	CUST	28440917114
119	07/23/2014	15:06:10	199098BT0	EDJO	5,000	105.547	CUST	28648065614
120	07/28/2014	14:11:51	199098BT0	EDJO	5,000	105.472	CUST	28730700114
121	08/04/2014	17:07:52	199098BT0	EDJO	5,000	105.472	CUST	28868539114
122	08/11/2014	14:03:03	199098BT0	EDJO	10,000	105.831	CUST	29007422014
123	08/15/2014	16:01:56	199098BT0	EDJO	10,000	105.622	CUST	29086870514
124	08/20/2014	14:29:21	199098BT0	EDJO	10,000	105.210	CUST	29186279614
125	08/22/2014	14:15:11	199098BT0	EDJO	15,000	105.210	CUST	29256451414
126	08/27/2014	16:31:40	199098BT0	EDJO	5,000	105.060	CUST	29353678414
127	09/04/2014	11:34:46	199098BT0	EDJO	10,000	105.060	CUST	29492629614
128	09/04/2014	11:37:56	199098BT0	EDJO	10,000	105.060	CUST	29492650114
129	09/11/2014	10:44:12	199098BT0	EDJO	35,000	105.160	CUST	29622377514
130	09/11/2014	14:57:06	199098BT0	EDJO	5,000	105.160	CUST	29625146514
131	09/16/2014	14:30:47	199098BT0	EDJO	5,000	104.459	CUST	29705077514
132	10/03/2014	13:24:24	199098BT0	EDJO	5,000	104.960	CUST	30105901514
133	12/19/2013	12:47:32	199098BU7	EDJO	5,000	103.068	CUST	24110220313
134	01/08/2014	14:37:05	199098BU7	EDJO	5,000	102.750	CUST	24535710914
135	01/16/2014	14:56:34	199098BU7	EDJO	5,000	102.600	CUST	24724290114
136	01/17/2014	14:34:19	199098BU7	EDJO	5,000	101.500	CUST	24758294814
137	02/06/2014	10:33:14	199098BU7	EDJO	5,000	103.553	CUST	25261504514
138	02/11/2014	12:25:12	199098BU7	EDJO	5,000	106.802	CUST	25338791614
139	02/21/2014	14:41:46	199098BU7	EDJO	5,000	103.085	CUST	25557623214
140	03/11/2014	10:31:21	199098BU7	EDJO	5,000	102.633	CUST	25899947714
141	03/19/2014	13:38:04	199098BU7	EDJO	5,000	102.046	CUST	26072295714
142	04/03/2014	12:39:54	199098BU7	EDJO	5,000	102.852	CUST	26403120214
143	04/10/2014	12:35:15	199098BU7	EDJO	5,000	102.852	CUST	26544365214
144	04/11/2014	10:36:17	199098BU7	EDJO	5,000	103.281	CUST	26573772814

Edward Jones ("EDJO")
Review Number: 20150465799
Review Period: December 1, 2013 through December 31, 2014

Exhibit A (Cont.)

Item	Trade Date	Trade Time	CUSIP	Seller	Volume	Price	Buyer	XREF ID
145	05/07/2014	11:20:57	199098BU7	EDJO	5,000	102.650	CUST	27114733914
146	05/07/2014	11:41:01	199098BU7	EDJO	5,000	103.443	CUST	27111365414
147	05/09/2014	16:48:28	199098BU7	EDJO	5,000	103.443	CUST	27161694914
148	05/27/2014	10:33:25	199098BU7	EDJO	5,000	104.200	CUST	27464529814
149	05/27/2014	10:37:30	199098BU7	EDJO	5,000	104.810	CUST	27464611314
150	05/29/2014	15:52:10	199098BU7	EDJO	5,000	104.699	CUST	27533294414
151	06/02/2014	14:39:11	199098BU7	EDJO	5,000	103.797	CUST	27576446114
152	06/13/2014	10:30:10	199098BU7	EDJO	5,000	103.816	CUST	27815574614
153	06/16/2014	11:35:08	199098BU7	EDJO	5,000	103.554	CUST	27841484914
154	06/16/2014	16:41:46	199098BU7	EDJO	5,000	103.727	CUST	27844864914
155	06/17/2014	13:18:12	199098BU7	EDJO	5,000	103.030	CUST	27885336114
156	07/03/2014	12:34:01	199098BU7	EDJO	5,000	101.010	CUST	28243445814
157	07/03/2014	13:06:30	199098BU7	EDJO	5,000	101.010	CUST	28244783914
158	07/09/2014	09:12:13	199098BU7	EDJO	15,000	101.010	CUST	28335670714
159	07/22/2014	14:58:07	199098BU7	EDJO	5,000	103.824	CUST	28616467914
160	07/28/2014	14:08:25	199098BU7	EDJO	5,000	103.824	CUST	28730685114
161	08/01/2014	14:42:24	199098BU7	EDJO	5,000	103.824	CUST	28827928614
162	08/01/2014	14:43:29	199098BU7	EDJO	5,000	103.824	CUST	28827948514
163	08/11/2014	14:51:39	199098BU7	EDJO	20,000	104.210	CUST	29007812314
164	08/15/2014	15:52:50	199098BU7	EDJO	10,000	103.838	CUST	29086790714
165	09/03/2014	12:11:14	199098BU7	EDJO	15,000	103.838	CUST	29464598214
166	10/03/2014	10:59:10	199098BU7	EDJO	5,000	102.507	CUST	30101330014
167	10/06/2014	15:59:18	199098BU7	EDJO	5,000	103.047	CUST	30139564814
168	12/05/2013	09:51:53	45130CAK7	EDJO	5,000	106.254	CUST	23812332513
169	12/13/2013	10:34:06	45130CAK7	EDJO	5,000	107.076	CUST	23986109613
170	03/07/2014	11:35:34	45130CAK7	EDJO	5,000	108.011	CUST	25841122314
171	03/13/2014	10:23:24	45130CAK7	EDJO	5,000	108.012	CUST	25942938814
172	03/19/2014	12:59:52	45130CAK7	EDJO	5,000	107.995	CUST	26071952114
173	04/15/2014	09:47:06	45130CAK7	EDJO	10,000	109.454	CUST	26637151114
174	05/21/2014	09:46:48	45130CAK7	EDJO	5,000	110.354	CUST	27386271414
175	05/21/2014	09:55:25	45130CAK7	EDJO	5,000	110.354	CUST	27386361314
176	05/23/2014	13:32:27	45130CAK7	EDJO	5,000	109.798	CUST	27436963914
177	06/05/2014	13:35:40	45130CAK7	EDJO	5,000	109.773	CUST	27666139714
178	07/25/2014	15:22:57	45130CAK7	EDJO	5,000	109.990	CUST	28689840014
179	07/29/2014	10:00:55	45130CAK7	EDJO	5,000	109.347	CUST	28755177614
180	02/26/2014	11:46:38	45130CAL5	EDJO	5,000	105.601	CUST	25655497414
181	02/26/2014	13:51:34	45130CAL5	EDJO	5,000	105.601	CUST	25657061514
182	03/28/2014	16:08:54	45130CAL5	EDJO	10,000	106.469	CUST	26284190514
183	03/28/2014	16:08:56	45130CAL5	EDJO	10,000	106.469	CUST	26284191414
184	03/28/2014	16:14:03	45130CAL5	EDJO	10,000	106.469	CUST	26284356714
185	04/01/2014	10:59:37	45130CAL5	EDJO	15,000	107.400	CUST	26330423114
186	04/01/2014	11:06:12	45130CAL5	EDJO	10,000	107.400	CUST	26330466314
187	04/01/2014	11:26:37	45130CAL5	EDJO	5,000	107.400	CUST	26330587914
188	08/04/2014	16:47:21	45130CAL5	EDJO	5,000	112.245	CUST	28868436514