

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
LETTER OF ACCEPTANCE, WAIVER, AND CONSENT
NO. 2021069460901**

TO: Department of Enforcement
Financial Industry Regulatory Authority (FINRA)

RE: Securities America, Inc. (Respondent)
Member Firm
CRD No. 10205

Royal Alliance Associates, Inc. (Respondent)
Member Firm
CRD No. 23131

SagePoint Financial, Inc. (Respondent)
Member Firm
CRD No. 133763

Pursuant to FINRA Rule 9216, Respondents Securities America, Royal Alliance, and SagePoint submit this Letter of Acceptance, Waiver, and Consent (AWC) for the purpose of proposing a settlement of the alleged rule violations described below. This AWC is submitted on the condition that, if accepted, FINRA will not bring any future actions against Respondents alleging violations based on the same factual findings described in this AWC.

I.

ACCEPTANCE AND CONSENT

- A. Respondents accept and consent to the following findings by FINRA without admitting or denying them:

BACKGROUND

Respondents are subsidiaries of Advisor Group, Inc.

Securities America has been a FINRA member firm since November 1981, and is headquartered in La Vista, Nebraska. Securities America has approximately 3,700 registered representatives and 2,100 branch offices.

Royal Alliance has been a FINRA member firm since July 1989, and is headquartered in Jersey City, New Jersey. Royal Alliance has approximately 3,600 registered representatives and 1,300 branch offices.

SagePoint has been a FINRA member firm since May 2005, and is headquartered in Phoenix, Arizona. SagePoint has approximately 1,900 registered representatives and 800 branch offices.

In December 2021, Royal Alliance and SagePoint entered into AWC No. 2019062531501, in which FINRA found that the firms failed to establish and maintain a supervisory system reasonably designed to supervise 529 plan share-class recommendations in that, among other

things, the firms' shared written supervisory procedures did not reasonably address share-class suitability factors specific to 529 plan investments. Royal Alliance and SagePoint were censured and ordered to pay restitution to customers.

In July 2016, Securities America entered into AWC No. 2015047269801 and in December 2017, Royal Alliance entered into AWC No. 2016049977701 and SagePoint into AWC No. 2017054229301, in which FINRA found that each firm failed to establish and maintain a supervisory system and procedures reasonably designed to ensure that certain retirement plan and charitable organization customers who purchased mutual fund shares received the benefit of applicable sales charge waivers. Each firm was censured and ordered to pay restitution to customers; and Royal Alliance and SagePoint were fined.¹

OVERVIEW

From September 2015 to September 2020, Securities America, Royal Alliance, and SagePoint failed to establish and maintain a supervisory system reasonably designed to ensure that all eligible customers received applicable sales charge waivers or special share classes in connection with rolling over 529 plans from one state plan to another. Some customers who were eligible for these waivers or special share classes did not receive them. Therefore, Respondents violated MSRB Rule G-27.

As more fully described below, FINRA credits Respondents for their extraordinary cooperation. Accordingly, this AWC includes an undertaking to pay restitution totaling approximately \$515,000 plus interest, and censures, but no fines.

FACTS AND VIOLATIVE CONDUCT

This matter originated from a FINRA review of whether eligible customers who rolled their 529 plans from one state plan to another were receiving available front-end sales charge waivers or Class AR shares.

Background—529 Plans

529 plans are tax-advantaged municipal securities that are designed to encourage saving for the future educational expenses of a designated beneficiary.² 529 plans are sponsored by states, state agencies, or educational institutions. All fifty states and the District of Columbia sponsor at least one type of 529 plan. States offer 529 plans either directly, through designated broker-dealers, or both.

Shares of 529 plans are sold in different classes with different fee structures.³ Class A shares typically impose a front-end sales charge but charge lower annual fees compared to other classes. Class C shares typically impose no front-end sales charge but impose higher annual fees than Class A shares.

¹ For more information about each firm named in this AWC, including prior regulatory events, visit BrokerCheck® at www.finra.org/brokercheck.

² 529 plans are named after Section 529 of the Internal Revenue Code (26 U.S.C. § 529), which grants tax-exempt status to qualified education expenses.

³ A 529 plan is structured as a trust. A customer purchases “units” in the trust. For purposes of this AWC, the terms “unit” and “share” are used interchangeably.

Some 529 plan product sponsors waive sales charge for investors who roll their current 529 plan from one state's 529 plan into another state's 529 plan, either by waiving the sales charges on Class A shares or by offering a special share class, Class AR, which is meant specifically for 529 plan state-to-state rollovers and has no upfront sales charge. Class AR shares are subject to a contingent deferred sales charge within the first year and, initially, have annual fees similar to a Class C share but convert to Class A shares after a predefined length of time, usually one year.

MSRB Rules Relating to 529 Plan Share-Class Recommendations

Because 529 plans are municipal securities, the sales of 529 plans are governed by the rules of the Municipal Securities Rulemaking Board (MSRB).

MSRB Rule G- 27(a) requires each broker, dealer, and municipal securities dealer to supervise the conduct of its municipal securities activities to ensure compliance with MSRB rules and federal securities laws. MSRB Rule G-27(b) requires each firm to establish and maintain a system to supervise its municipal securities activities that is reasonably designed to achieve compliance with MSRB rules and the federal securities laws.

Respondents' 529 Plan Rollover Supervision Was Not Reasonable

During the period September 2015 to September 2020, each Respondent offered customers between 29 and 34 different 529 plans. At any given time, at least 20 of those plans offered state-to-state rollover Class A share sales charge waivers or Class AR shares.

Respondents' written supervisory procedures did not alert firm personnel of the potential availability of Class A sales charge waivers or Class AR shares for 529 plan rollovers and the firms did not offer training to registered representatives on this subject. Instead, Respondents relied upon their registered representatives to determine whether sales charge waivers or Class AR shares on 529 plan rollovers were available, and to then complete the required forms to ensure that customers received those benefits. Respondents also failed to provide supervisors with guidance or training on how to review 529 plan rollover transactions to identify Class A sales charge waivers or Class AR shares.

As a result, during the period September 2015 through September 2020, Respondents failed to consistently apply available sales charge waivers or provide eligible customers with Class AR shares, as follows:

- Securities America: The firm failed to apply sales charge waivers or purchase Class AR shares in approximately 38% of 529 plan rollover transactions, impacting approximately 250 accounts in which rollover purchases totaled approximately \$4 million. This caused customers to unnecessarily pay approximately \$120,000 in sales charges and fees.
- Royal Alliance: The firm failed to apply sales charge waivers or purchase Class AR shares in approximately 41% of 529 plan rollover transactions, impacting approximately 500 accounts in which rollover purchases totaled approximately \$7 million. This caused customers to unnecessarily pay approximately \$235,000 in sales charges and fees.
- SagePoint: The firm failed to apply sales charge waivers or purchase Class AR shares in approximately 56% of 529 plan rollover transactions, impacting approximately 260 accounts

in which rollover purchases totaled approximately \$5.3 million. This caused customers to unnecessarily pay approximately \$160,000 in sales charges and fees.

By failing to reasonably supervise 529 plan rollovers to ensure that eligible customers received sales charge waivers or Class AR shares, Respondents violated MSRB Rules G-27(a) and (b).

CREDIT FOR EXTRAORDINARY COOPERATION

In resolving this matter without monetary fines, FINRA recognizes Respondents' extraordinary cooperation for: (1) initiating an internal investigation of Royal Alliance, SagePoint, and other Advisor Group affiliates not then under FINRA review, to identify whether eligible customers were provided with sales charge waivers and/or Class AR shares for 529 plan rollover transactions; (2) initiating, after discovery of the issue, an extensive review of Respondents' systems relating to 529 plan sales charge waivers and Class AR shares; (3) engaging an outside consultant to identify affected customers; (4) promptly establishing a plan to provide remediation by identifying the affected customers, calculating overcharges to those customers, working with plan sponsors to convert shares, where applicable, and agreeing to pay restitution to affected customers; and (5) providing substantial assistance to FINRA in its investigation.

B. Respondents also consent to the imposition of the following sanctions:

1. Securities America consents to the imposition of the following sanctions:

- a censure;
- restitution of \$122,845.59 plus interest as described below; and
- an undertaking that, within 90 days of the date of the notice of acceptance of this AWC, a member of Securities America's senior management who is a registered principal of the firm shall certify in writing that, as of the date of the certification, the firm has remediated the issues identified in this AWC and implemented a supervisory system, including written supervisory procedures, reasonably designed to achieve compliance with MSRB Rule G-27 regarding the issues identified in this AWC. The certification shall include a narrative description and supporting exhibits sufficient to demonstrate Securities America's remediation and implementation. FINRA staff may request further evidence of Securities America's remediation and implementation, and Securities America agrees to provide such evidence. Securities America shall submit the certification to Julia A. Derish, Counsel, FINRA Department of Enforcement, 200 Liberty Street, 11th Floor, New York, NY 10281, julia.derish@FINRA.org, with a copy to EnforcementNotice@finra.org. Upon written request showing good cause, FINRA staff may extend this deadline.

2. Royal Alliance consents to the imposition of the following sanctions:

- a censure;
- restitution of \$234,831.92 plus interest as described below; and
- an undertaking that, within 90 days of the date of the notice of acceptance of this AWC, a member of Royal Alliance's senior management who is a registered principal of the

firm shall certify in writing that, as of the date of the certification, the firm has remediated the issues identified in this AWC and implemented a supervisory system, including written supervisory procedures, reasonably designed to achieve compliance with MSRB Rule G-27 regarding the issues identified in this AWC. The certification shall include a narrative description and supporting exhibits sufficient to demonstrate Royal Alliance's remediation and implementation. FINRA staff may request further evidence of Royal Alliance's remediation and implementation, and Royal Alliance agrees to provide such evidence. Royal Alliance shall submit the certification to Julia A. Derish, Counsel, FINRA Department of Enforcement, 200 Liberty Street, 11th Floor, New York, NY 10281, julia.derish@FINRA.org, with a copy to EnforcementNotice@finra.org. Upon written request showing good cause, FINRA staff may extend this deadline.

3. SagePoint consents to the imposition of the following sanctions:

- a censure;
- restitution of \$156,903.93 plus interest as described below; and
- an undertaking that, within 90 days of the date of the notice of acceptance of this AWC, a member of SagePoint's senior management who is a registered principal of the firm shall certify in writing that, as of the date of the certification, the firm has remediated the issues identified in this AWC and implemented a supervisory system, including written supervisory procedures, reasonably designed to achieve compliance with MSRB Rule G-27 regarding the issues identified in this AWC. The certification shall include a narrative description and supporting exhibits sufficient to demonstrate SagePoint's remediation and implementation. FINRA staff may request further evidence of SagePoint's remediation and implementation, and SagePoint agrees to provide such evidence. SagePoint shall submit the certification to Julia A. Derish, Counsel, FINRA Department of Enforcement, 200 Liberty Street, 11th Floor, New York, NY 10281, julia.derish@FINRA.org, with a copy to EnforcementNotice@finra.org. Upon written request showing good cause, FINRA staff may extend this deadline.

Restitution is ordered to be paid to the customers listed on Attachments A – C to this AWC (Eligible Customers) in the total amount of \$514,581.44, plus interest at the rate set forth in Section 6621(a)(2) of the Internal Revenue Code, 26 U.S.C. § 6621(a)(2). Securities America shall pay restitution to the customers on Attachment A to this AWC in the listed principal amounts plus interest. Royal Alliance shall pay restitution to the customers on Attachment B to this AWC in the listed principal amounts plus interest. SagePoint shall pay restitution to the customers on Attachment C to this AWC in the listed principal amounts plus interest. The interest amounts shall be calculated based on the date that restitution amounts for each respective customer were first incurred until the date this AWC is accepted by the National Adjudicatory Council (NAC).

A registered principal on behalf of each Respondent shall submit satisfactory proof of payment of restitution and interest (separately specifying the date and amount of each paid to each Eligible Customer listed on Attachments A – C) or of reasonable and documented efforts undertaken to effect restitution. Such proof shall be submitted by email to EnforcementNotice@FINRA.org from a work-related account of the registered principal of Respondent. The email must identify Respondent and the case number and include a copy of the

check, money order, or other method of payment. This proof shall be provided by email to EnforcementNotice@FINRA.org no later than 120 days after the date of the notice of acceptance of the AWC.

The restitution amount plus interest to be paid to each Eligible Customer shall be treated by the Respondents as the Eligible Customer's property for purposes of state escheatment, unclaimed property, abandoned property, and similar laws. If after reasonable and documented efforts undertaken to effect restitution Respondents are unable to pay all Eligible Customers within 120 days after the date of the notice of acceptance of the AWC, Respondents shall submit to FINRA in the manner described above a list of the unpaid Eligible Customers and a description of Respondents' plan, not unacceptable to FINRA, to comply with the applicable escheatment, unclaimed property, abandoned property, or similar laws for each such Eligible Customer.

Respondents specifically and voluntarily waive any right to claim an inability to pay, now or at any time after the execution of this AWC, the monetary sanctions imposed in this matter.

The imposition of a restitution order or any other monetary sanctions in this AWC, and the timing of such ordered payments, does not preclude customers from pursuing their own actions to obtain restitution or other remedies.

Restitution payments to customers shall be preceded or accompanied by a letter, not unacceptable to FINRA, describing the reason for the payment and the fact that the payment is being made pursuant to a settlement with FINRA and as a term of this AWC.

The sanctions imposed in this AWC shall be effective on a date set by FINRA.

II. WAIVER OF PROCEDURAL RIGHTS

Respondents specifically and voluntarily waive the following rights granted under FINRA's Code of Procedure:

- A. To have a complaint issued specifying the allegations against them;
- B. To be notified of the complaint and have the opportunity to answer the allegations in writing;
- C. To defend against the allegations in a disciplinary hearing before a hearing panel, to have a written record of the hearing made, and to have a written decision issued; and
- D. To appeal any such decision to the NAC and then to the U.S. Securities and Exchange Commission and a U.S. Court of Appeals.

Further, Respondents specifically and voluntarily waive any right to claim bias or prejudgment of the Chief Legal Officer, the NAC, or any member of the NAC, in connection with such person's or body's participation in discussions regarding the terms and conditions of this AWC, or other consideration of this AWC, including its acceptance or rejection.

Respondents further specifically and voluntarily waive any right to claim that a person violated the ex parte prohibitions of FINRA Rule 9143 or the separation of functions prohibitions of FINRA Rule 9144,

in connection with such person's or body's participation in discussions regarding the terms and conditions of this AWC, or other consideration of this AWC, including its acceptance or rejection.

III. OTHER MATTERS

Respondents understand that:

- A. Submission of this AWC is voluntary and will not resolve this matter unless and until it has been reviewed and accepted by the NAC, a Review Subcommittee of the NAC, or the Office of Disciplinary Affairs (ODA), pursuant to FINRA Rule 9216;
- B. If this AWC is not accepted, its submission will not be used as evidence to prove any of the allegations against Respondents; and
- C. If accepted:
 - 1. this AWC will become part of Respondents' permanent disciplinary record and may be considered in any future action brought by FINRA or any other regulator against Respondents;
 - 2. this AWC will be made available through FINRA's public disclosure program in accordance with FINRA Rule 8313;
 - 3. FINRA may make a public announcement concerning this agreement and its subject matter in accordance with FINRA Rule 8313; and
 - 4. Respondents may not take any action or make or permit to be made any public statement, including in regulatory filings or otherwise, denying, directly or indirectly, any finding in this AWC or create the impression that the AWC is without factual basis. Respondents may not take any position in any proceeding brought by or on behalf of FINRA, or to which FINRA is a party, that is inconsistent with any part of this AWC. Nothing in this provision affects Respondents' right to take legal or factual positions in litigation or other legal proceedings in which FINRA is not a party. Nothing in this provision affects Respondents' testimonial obligations in any litigation or other legal proceedings.
- D. Respondents may attach a corrective action statement to this AWC that is a statement of demonstrable corrective steps taken to prevent future misconduct. Respondents understand that they may not deny the charges or make any statement that is inconsistent with the AWC in this statement. This statement does not constitute factual or legal findings by FINRA, nor does it reflect the views of FINRA.

1/4/2023
Date

Print Name: Harold Chaffee

Title: Chief Compliance Officer

Date

Royal Alliance Associates, Inc.
Respondent

Print Name: _____

Title: _____

Date _____

SagePoint Financial, Inc.
Respondent

Print Name: _____

Title: _____

The undersigned, on behalf of Respondent Securities America, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that Securities America has agreed to the AWC's provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth in this AWC and the prospect of avoiding the issuance of a complaint, has been made to induce Securities America to submit this AWC.

Date

Securities America, Inc.
Respondent

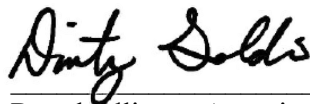
Print Name: _____

Title: _____

The undersigned, on behalf of Respondent Royal Alliance, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that Royal Alliance has agreed to the AWC's provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth in this AWC and the prospect of avoiding the issuance of a complaint, has been made to induce Royal Alliance to submit this AWC.

1-3-2023

Date



Royal Alliance Associates, Inc.
Respondent

Print Name: Dmitry Goldin

Title: President & CEO

The undersigned, on behalf of Respondent SagePoint, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that SagePoint has agreed to the AWC's provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth in this AWC and the prospect of avoiding the issuance of a complaint, has been made to induce SagePoint to submit this AWC.

Date

SagePoint Financial, Inc.
Respondent

Print Name: _____

Title: _____

The undersigned, on behalf of Respondent Securities America, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that Securities America has agreed to the AWC's provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth in this AWC and the prospect of avoiding the issuance of a complaint, has been made to induce Securities America to submit this AWC.

Date

Securities America, Inc.
Respondent

Print Name: _____

Title: _____

The undersigned, on behalf of Respondent Royal Alliance, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that Royal Alliance has agreed to the AWC's provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth in this AWC and the prospect of avoiding the issuance of a complaint, has been made to induce Royal Alliance to submit this AWC.

Date

Royal Alliance Associates, Inc.
Respondent

Print Name: _____

Title: _____

The undersigned, on behalf of Respondent SagePoint, certifies that a person duly authorized to act on its behalf has read and understands all of the provisions of this AWC and has been given a full opportunity to ask questions about it; that SagePoint has agreed to the AWC's provisions voluntarily; and that no offer, threat, inducement, or promise of any kind, other than the terms set forth in this AWC and the prospect of avoiding the issuance of a complaint, has been made to induce SagePoint to submit this AWC.

01/04/2023



Date

SagePoint Financial, Inc.
Respondent

Print Name: Desiree' Sii

Title: President and CEO

Accepted by FINRA:

Signed on behalf of the
Director of ODA, by delegated authority

1/24/2023

Date

Julia A. Derish

Julia A. Derish
Counsel
FINRA
Department of Enforcement
200 Liberty Street, 11th Floor
New York, NY 10281-1003

Attachment A

Account	Account Number	Remediation Amount
1	XXXXXX4680	\$839.27
2	XXXXXX0022	\$171.15
3	XXXXXX0023	\$202.60
4	XXXXXX0041	\$41.12
5	XXXXXX3164	\$57.16
6	XXXXXX7742	\$29.49
7	XXXXXX1784	\$4,669.87
8	XXXXXX9364	\$1,484.89
9	XXXXXX9743	\$949.86
10	XXXXXX9752	\$27.75
11	XXXXXX1505	\$370.17
12	XXXXXX8449	\$5.56
13	XXXXXX2800	\$781.74
14	XXXXXX0710	\$196.74
15	XXXXXX1176	\$113.39
16	XXXXXX2238	\$121.37
17	XXXXXX3260	\$213.28
18	XXXXXX0609	\$17.54
19	XXXXXX2368	\$567.01
20	XXXXXX7658	\$25.89
21	XXXXXX7659	\$50.25
22	XXXXXX7660	\$25.89
23	XXXXXX7661	\$50.25
24	XXXXXX9567	\$624.70
25	XXXXXX3346	\$651.90
26	XXXXXX1690	\$1,442.93
27	XXXXXX4795	\$3,105.32
28	XXXXXX7368	\$2,587.62
29	XXXXXX7371	\$1,679.28
30	XXXXXX4049	\$363.93
31	XXXXXX4382	\$671.84
32	XXXXXX4383	\$634.08
33	XXXXXX4384	\$585.37
34	XXXXXX4385	\$669.63
35	XXXXXX4509	\$363.05
36	XXXXXX6533	\$86.42
37	XXXXXX7989	\$16.09
38	XXXXXX8569	\$1,160.29
39	XXXXXX2248	\$1,053.76
40	XXXXXX8829	\$116.18
41	XXXXXX8853	\$225.79
42	XXXXXX8825	\$16.39
43	XXXXXX9552	\$595.00
44	XXXXXX1261	\$234.39

Attachment A

Account	Account Number	Remediation Amount
45	XXXXXXXX9610	\$73.47
46	XXXXXXXX9615	\$72.27
47	XXXXXXXX9618	\$79.72
48	XXXXXXXX9619	\$66.87
49	XXXXXXXX9624	\$72.92
50	XXXXXXXX9842	\$61.25
51	XXXXXXXX2318	\$126.98
52	XXXXXXXX2326	\$1,714.64
53	XXXXXXXX3233	\$291.15
54	XXXXXXXX4330	\$1,966.34
55	XXXXXXXX0325	\$262.65
56	XXXXXXXX0602	\$323.95
57	XXXXXXXX4954	\$2,319.52
58	XXXXXXXX4955	\$1,271.26
59	XXXXXXXX5153	\$170.09
60	XXXXXXXX6397	\$2,765.95
61	XXXXXXXX4418	\$219.61
62	XXXXXXXX0737	\$300.96
63	XXXXXXXX1466	\$145.70
64	XXXXXXXX1627	\$145.70
65	XXXXXXXX1674	\$32.06
66	XXXXXXXX2718	\$146.58
67	XXXXXXXX5037	\$1,359.39
68	XXXXXXXX3375	\$39.82
69	XXXXXXXX9389	\$344.41
70	XXXXXXXX4854	\$213.23
71	XXXXXXXX4855	\$60.92
72	XXXXXXXX4856	\$152.31
73	XXXXXXXX5337	\$76.48
74	XXXXXXXX5786	\$716.64
75	XXXXXXXX5787	\$695.16
76	XXXXXXXX6964	\$323.02
77	XXXXXXXX7090	\$506.19
78	XXXXXXXX7246	\$216.25
79	XXXXXXXX7247	\$363.34
80	XXXXXXXX7709	\$2,454.54
81	XXXXXXXX7711	\$2,430.79
82	XXXXXXXX8394	\$549.07
83	XXXXXXXX9391	\$459.29
84	XXXXXXXX9393	\$456.23
85	XXXXXXXX0430	\$260.85
86	XXXXXXXX0431	\$129.04
87	XXXXXXXX0432	\$306.60
88	XXXXXXXX1072	\$179.00
89	XXXXXXXX1798	\$51.91
90	XXXXXXXX1920	\$85.88

Attachment A

Account	Account Number	Remediation Amount
91	XXXXXXX3066	\$282.65
92	XXXXXXX3068	\$157.87
93	XXXXXXX3073	\$44.52
94	XXXXXXX3117	\$10.82
95	XXXXXXX4077	\$312.53
96	XXXXXXX4078	\$279.02
97	XXXXXXX4079	\$137.11
98	XXXXXXX4080	\$275.36
99	XXXXXXX4762	\$282.11
100	XXXXXXX4764	\$206.93
101	XXXXXXX7078	\$68.69
102	XXXXXXX7079	\$162.47
103	XXXXXXX8725	\$797.41
104	XXXXXXX8786	\$301.20
105	XXXXXXX2046	\$528.91
106	XXXXXXX2061	\$529.21
107	XXXXXXX3916	\$3,076.10
108	XXXXXXX6332	\$199.63
109	XXXXXXX2286	\$132.33
110	XXXXXXX2294	\$486.76
111	XXXXXXX2516	\$875.87
112	XXXXXXX5317	\$223.30
113	XXXXXXX4870	\$48.85
114	XXXXXXX4331	\$11.61
115	XXXXXXX5509	\$184.42
116	XXXXXXX7687	\$285.63
117	XXXXXXX9767	\$247.24
118	XXXXXXX0818	\$74.04
119	XXXXXXX5869	\$472.36
120	XXXXXXX5870	\$11.39
121	XXXXXXX5871	\$23.28
122	XXXXXXX5872	\$29.41
123	XXXXXXX4692	\$269.21
124	XXXXXXX7428	\$2,770.84
125	XXXXXXX9629	\$1,741.61
126	XXXXXXX2668	\$177.29
127	XXXXXXX2672	\$118.15
128	XXXXXXX2759	\$295.47
129	XXXXXXX1002	\$356.37
130	XXXXXXX3607	\$122.74
131	XXXXXXX3611	\$57.48
132	XXXXXXX2418	\$143.85
133	XXXXXXX6327	\$389.31
134	XXXXXXX6442	\$697.79
135	XXXXXXX2998	\$793.74
136	XXXXXXX6284	\$48.33

Attachment A

Account	Account Number	Remediation Amount
137	XXXXXXX6944	\$596.19
138	XXXXXXX2041	\$330.08
139	XXXXXXX7047	\$300.65
140	XXXXXXX4747	\$77.62
141	XXXXXXX4994	\$78.07
142	XXXXXXX5058	\$78.07
143	XXXXXXX5352	\$811.99
144	XXXXXXX9420	\$1,358.24
145	XXXXXXX2110	\$272.79
146	XXXXXXX0560	\$3,394.55
147	XXXXXXX7930	\$1,372.85
148	XXXXXXX5820	\$1,078.84
149	XXXXXXX8000	\$667.12
150	XXXXXXX3569	\$43.79
151	XXXXXXX8326	\$17.71
152	XXXXXXX4336	\$1,968.96
153	XXXXXXX7471	\$145.73
154	XXXXXXX1384	\$877.03
155	XXXXXXX1385	\$292.35
156	XXXXXXX1386	\$1,192.91
157	XXXXXXX1118	\$142.48
158	XXXXXXX1122	\$79.82
159	XXXXXXX1126	\$82.91
160	XXXXXXX1130	\$149.33
161	XXXXXXX0497	\$137.98
162	XXXXXXX0501	\$141.91
163	XXXXXXX4796	\$320.75
164	XXXXXXX4800	\$321.12
165	XXXXXXX4804	\$317.63
166	XXXXXXX4808	\$320.82
167	XXXXXXX7661	\$193.69
168	XXXXXXX3953	\$177.26
169	XXXXXXX3957	\$295.42
170	XXXXXXX3961	\$118.14
171	XXXXXXX4012	\$163.63
172	XXXXXXX4013	\$272.29
173	XXXXXXX4014	\$108.88
174	XXXXXXX7651	\$334.50
175	XXXXXXX7655	\$735.90
176	XXXXXXX7659	\$267.60
177	XXXXXXX1433	\$131.85
178	XXXXXXX1437	\$105.48
179	XXXXXXX1460	\$782.95
180	XXXXXXX1464	\$355.88
181	XXXXXXX1468	\$284.71
182	XXXXXXX1472	\$290.07

Attachment A

Account	Account Number	Remediation Amount
183	XXXXXXX8523	\$252.45
184	XXXXXXX8527	\$251.76
185	XXXXXXX8209	\$1,047.03
186	XXXXXXX7680	\$140.97
187	XXXXXXX0989	\$49.63
188	XXXXXXX5723	\$168.31
189	XXXXXXX5726	\$23.39
190	XXXXXXX3648	\$47.16
191	XXXXXXX3880	\$143.47
192	XXXXXXX5276	\$142.19
193	XXXXXXX6433	\$71.34
194	XXXXXXX5869	\$1,043.11
195	XXXXXXX5871	\$1,043.11
196	XXXXXXX5881	\$1,043.11
197	XXXXXXX8170	\$917.39
198	XXXXXXX7190	\$844.72
199	XXXXXXX8510	\$449.12
200	XXXXXXX0600	\$360.19
201	XXXXXXX2700	\$283.00
202	XXXXXXX3620	\$332.17
203	XXXXXXX6840	\$563.71
204	XXXXXXX8820	\$412.22
205	XXXXXXX8920	\$885.44
206	XXXXXXX1690	\$336.63
207	XXXXXXX2784	\$104.31
208	XXXXXXX5091	\$108.11
209	XXXXXXX1790	\$104.26
210	XXXXXXX4520	\$225.54
211	XXXXXXX6710	\$66.89
212	XXXXXXX1110	\$132.55
213	XXXXXXX5470	\$1,315.67
214	XXXXXXX0670	\$1,388.77
215	XXXXXXX3060	\$51.91
216	XXXXXXX0480	\$228.20
217	XXXXXXX2390	\$566.88
218	XXXXXXX5390	\$565.93
219	XXXXXXX6590	\$119.65
220	XXXXXXX1360	\$487.95
221	XXXXXXX1694	\$704.02
222	XXXXXXX1695	\$909.46
223	XXXXXXX5495	\$1,309.49
224	XXXXXXX1040	\$416.85
225	XXXXXXX6750	\$82.51
226	XXXXXXX1500	\$67.87
227	XXXXXXX8886	\$320.08
228	XXXXXXX8902	\$201.54

Attachment A

Account	Account Number	Remediation Amount
229	XXXXXXXX3644	\$50.76
230	XXXXXXXX3648	\$50.77
231	XXXXXXXX1719	\$196.96
232	XXXXXXXX8567	\$3,564.84
233	XXXXXXXX3148	\$549.45
234	XXXXXXXX0208	\$151.85
235	XXXXXXXX4257	\$162.40
236	XXXXXXXX4284	\$171.01
237	XXXXXXXX0603	\$1,410.03
238	XXXXXXXX2043	\$520.67
239	XXXXXXXX3825	\$18.79
240	XXXXXXXX0504	\$390.28
241	XXXXXXXX0957	\$14.75
242	XXXXXXXX1263	\$180.26
243	XXXXXXXX1616	\$43.85
244	XXXXXXXX1749	\$291.99
245	XXXXXXXX1250	\$20.87
246	XXXXXXXX8330	\$108.93
247	XXXXXXXX3290	\$1,331.10
Grand Total		\$122,845.59

Attachment B

Account	Account Number	Remediation Amount
1	XXXXXX2772	\$42.98
2	XXXXXX7404	\$15.99
3	XXXXXX2401	\$345.16
4	XXXXXX6385	\$200.20
5	XXXXXX1875	\$868.64
6	XXXXXX1891	\$1,648.93
7	XXXXXX0997	\$3,159.64
8	XXXXXX1029	\$3,547.41
9	XXXXXX1078	\$2,511.51
10	XXXXXX1790	\$106.54
11	XXXXXX4380	\$1,332.33
12	XXXXXX4355	\$1,332.33
13	XXXXXX1151	\$80.18
14	XXXXXX2217	\$239.80
15	XXXXXX3433	\$493.80
16	XXXXXX4015	\$201.84
17	XXXXXX7993	\$191.58
18	XXXXXX7930	\$171.85
19	XXXXXX7931	\$13.99
20	XXXXXX5372	\$31.18
21	XXXXXX5700	\$203.86
22	XXXXXX3893	\$174.94
23	XXXXXX9309	\$120.24
24	XXXXXX0107	\$396.99
25	XXXXXX8368	\$75.13
26	XXXXXX2467	\$815.95
27	XXXXXX4579	\$230.14
28	XXXXXX8688	\$281.18
29	XXXXXX4781	\$184.45
30	XXXXXX0360	\$191.42
31	XXXXXX0364	\$191.25
32	XXXXXX9721	\$746.15
33	XXXXXX6800	\$650.30
34	XXXXXX7073	\$1,172.03
35	XXXXXX7241	\$6.40
36	XXXXXX7242	\$12.83
37	XXXXXX7243	\$9.61
38	XXXXXX7244	\$16.01
39	XXXXXX7245	\$3.23
40	XXXXXX7246	\$15.99
41	XXXXXX8005	\$399.33
42	XXXXXX8006	\$398.97
43	XXXXXX8010	\$551.62
44	XXXXXX8011	\$551.05
45	XXXXXX8579	\$5.23
46	XXXXXX8580	\$5.22

Attachment B

Account	Account Number	Remediation Amount
47	XXXXXX8581	\$15.66
48	XXXXXX8582	\$5.23
49	XXXXXX8583	\$5.26
50	XXXXXX8584	\$5.23
51	XXXXXX8585	\$5.22
52	XXXXXX8586	\$5.22
53	XXXXXX8588	\$4.30
54	XXXXXX8589	\$1.43
55	XXXXXX8590	\$1.43
56	XXXXXX8591	\$0.72
57	XXXXXX8592	\$0.72
58	XXXXXX8593	\$0.72
59	XXXXXX8595	\$4.81
60	XXXXXX8596	\$14.41
61	XXXXXX8597	\$4.81
62	XXXXXX8598	\$4.84
63	XXXXXX8599	\$4.81
64	XXXXXX8600	\$4.80
65	XXXXXX8601	\$4.80
66	XXXXXX8602	\$4.80
67	XXXXXX8924	\$5.02
68	XXXXXX9097	\$95.15
69	XXXXXX9098	\$95.13
70	XXXXXX9099	\$127.29
71	XXXXXX9100	\$196.11
72	XXXXXX9101	\$196.06
73	XXXXXX9102	\$262.35
74	XXXXXX4202	\$896.27
75	XXXXXX4203	\$697.86
76	XXXXXX0120	\$318.38
77	XXXXXX4880	\$66.70
78	XXXXXX7522	\$1,861.82
79	XXXXXX3662	\$62.40
80	XXXXXX6007	\$14.54
81	XXXXXX5111	\$536.50
82	XXXXXX5118	\$554.74
83	XXXXXX5814	\$82.31
84	XXXXXX5817	\$82.36
85	XXXXXX0064	\$1,796.35
86	XXXXXX0084	\$2,199.20
87	XXXXXX6995	\$442.98
88	XXXXXX7254	\$185.14
89	XXXXXX7573	\$196.47
90	XXXXXX1549	\$178.02
91	XXXXXX6794	\$230.52
92	XXXXXX9096	\$223.98

Attachment B

Account	Account Number	Remediation Amount
93	XXXXXX2572	\$202.08
94	XXXXXX7147	\$327.15
95	XXXXXX8937	\$1,119.82
96	XXXXXX8942	\$1,358.10
97	XXXXXX9171	\$43.35
98	XXXXXX9191	\$276.62
99	XXXXXX9275	\$673.16
100	XXXXXX5718	\$102.24
101	XXXXXX0352	\$500.55
102	XXXXXX6614	\$308.25
103	XXXXXX8242	\$878.96
104	XXXXXX8248	\$703.71
105	XXXXXX8250	\$554.35
106	XXXXXX7311	\$20.52
107	XXXXXX8079	\$67.88
108	XXXXXX4552	\$437.67
109	XXXXXX2588	\$457.08
110	XXXXXX5767	\$25.70
111	XXXXXX6176	\$443.53
112	XXXXXX6412	\$389.36
113	XXXXXX7890	\$395.34
114	XXXXXX7891	\$504.69
115	XXXXXX8332	\$230.51
116	XXXXXX8427	\$324.59
117	XXXXXX1001	\$170.75
118	XXXXXX1727	\$4.99
119	XXXXXX2989	\$1,496.03
120	XXXXXX3338	\$804.97
121	XXXXXX3339	\$795.19
122	XXXXXX4376	\$965.45
123	XXXXXX8741	\$1,283.09
124	XXXXXX8742	\$1,204.31
125	XXXXXX1309	\$195.35
126	XXXXXX1310	\$195.35
127	XXXXXX1311	\$90.89
128	XXXXXX1313	\$155.04
129	XXXXXX1315	\$193.63
130	XXXXXX1328	\$91.07
131	XXXXXX1331	\$195.35
132	XXXXXX4381	\$608.94
133	XXXXXX5949	\$184.45
134	XXXXXX5951	\$184.45
135	XXXXXX5952	\$184.45
136	XXXXXX6456	\$155.39
137	XXXXXX6457	\$133.19
138	XXXXXX7207	\$167.88

Attachment B

Account	Account Number	Remediation Amount
139	XXXXXX9071	\$48.33
140	XXXXXX9074	\$77.03
141	XXXXXX9193	\$118.05
142	XXXXXX0943	\$487.08
143	XXXXXX0944	\$468.53
144	XXXXXX1862	\$28.82
145	XXXXXX2976	\$192.73
146	XXXXXX3292	\$341.56
147	XXXXXX3293	\$40.80
148	XXXXXX4252	\$15.41
149	XXXXXX4253	\$15.69
150	XXXXXX5114	\$38.91
151	XXXXXX5115	\$39.16
152	XXXXXX5162	\$8.24
153	XXXXXX5163	\$10.20
154	XXXXXX5164	\$23.60
155	XXXXXX5165	\$23.30
156	XXXXXX5437	\$578.24
157	XXXXXX9695	\$3.81
158	XXXXXX3978	\$64.98
159	XXXXXX4220	\$577.72
160	XXXXXX4221	\$980.90
161	XXXXXX0081	\$168.86
162	XXXXXX0272	\$55.24
163	XXXXXX1289	\$399.70
164	XXXXXX3790	\$48.18
165	XXXXXX3993	\$498.26
166	XXXXXX4190	\$483.52
167	XXXXXX4705	\$21.45
168	XXXXXX5411	\$129.23
169	XXXXXX5580	\$175.79
170	XXXXXX5589	\$47.60
171	XXXXXX5355	\$122.53
172	XXXXXX8419	\$965.45
173	XXXXXX8424	\$647.56
174	XXXXXX8429	\$965.45
175	XXXXXX0709	\$269.48
176	XXXXXX9221	\$68.01
177	XXXXXX9222	\$120.78
178	XXXXXX4780	\$12.93
179	XXXXXX6070	\$1,206.08
180	XXXXXX8852	\$1,085.82
181	XXXXXX9483	\$99.75
182	XXXXXX6308	\$208.91
183	XXXXXX3224	\$3.67
184	XXXXXX3232	\$6.77

Attachment B

Account	Account Number	Remediation Amount
185	XXXXXX3240	\$6.90
186	XXXXXX3257	\$8.69
187	XXXXXX3281	\$3.18
188	XXXXXX3299	\$2.82
189	XXXXXX1768	\$783.04
190	XXXXXX1772	\$781.84
191	XXXXXX2208	\$20.00
192	XXXXXX2686	\$243.67
193	XXXXXX8529	\$20.40
194	XXXXXX4861	\$445.67
195	XXXXXX8149	\$70.89
196	XXXXXX8153	\$70.89
197	XXXXXX8156	\$138.95
198	XXXXXX8160	\$34.74
199	XXXXXX8164	\$347.36
200	XXXXXX8168	\$69.47
201	XXXXXX8172	\$34.74
202	XXXXXX8176	\$141.77
203	XXXXXX8180	\$70.89
204	XXXXXX8184	\$354.44
205	XXXXXX2498	\$3,632.40
206	XXXXXX2502	\$3,592.08
207	XXXXXX0144	\$1,170.78
208	XXXXXX7692	\$2,120.96
209	XXXXXX1503	\$2,470.00
210	XXXXXX9568	\$903.31
211	XXXXXX9572	\$1,511.01
212	XXXXXX9576	\$586.60
213	XXXXXX9580	\$581.30
214	XXXXXX2579	\$775.16
215	XXXXXX8458	\$172.70
216	XXXXXX8462	\$173.04
217	XXXXXX8466	\$275.22
218	XXXXXX8470	\$172.30
219	XXXXXX8474	\$163.22
220	XXXXXX8478	\$163.54
221	XXXXXX8482	\$102.95
222	XXXXXX8486	\$103.24
223	XXXXXX0976	\$118.18
224	XXXXXX0980	\$118.18
225	XXXXXX0984	\$118.36
226	XXXXXX0988	\$118.36
227	XXXXXX1114	\$24.49
228	XXXXXX0474	\$103.01
229	XXXXXX0482	\$94.04
230	XXXXXX0486	\$94.30

Attachment B

Account	Account Number	Remediation Amount
231	XXXXXX0490	\$103.21
232	XXXXXX3231	\$494.28
233	XXXXXX3235	\$495.97
234	XXXXXX7447	\$690.71
235	XXXXXX7451	\$536.23
236	XXXXXX3495	\$304.45
237	XXXXXX3499	\$260.67
238	XXXXXX4260	\$325.84
239	XXXXXX4264	\$83.79
240	XXXXXX4273	\$304.45
241	XXXXXX4277	\$380.56
242	XXXXXX4281	\$97.86
243	XXXXXX4285	\$260.67
244	XXXXXX7175	\$107.17
245	XXXXXX7763	\$85.25
246	XXXXXX7767	\$106.36
247	XXXXXX7771	\$106.12
248	XXXXXX7775	\$63.89
249	XXXXXX7779	\$63.77
250	XXXXXX5805	\$784.66
251	XXXXXX3493	\$59.69
252	XXXXXX3497	\$33.99
253	XXXXXX3501	\$37.52
254	XXXXXX3505	\$12.79
255	XXXXXX9005	\$170.87
256	XXXXXX9008	\$312.75
257	XXXXXX9012	\$311.40
258	XXXXXX1403	\$181.84
259	XXXXXX2964	\$788.13
260	XXXXXX4260	\$301.71
261	XXXXXX4278	\$416.19
262	XXXXXX4286	\$523.21
263	XXXXXX3258	\$289.35
264	XXXXXX7885	\$1,504.78
265	XXXXXX0017	\$137.59
266	XXXXXX5830	\$2,426.88
267	XXXXXX4630	\$34.11
268	XXXXXX0530	\$324.25
269	XXXXXX7950	\$513.65
270	XXXXXX7860	\$169.60
271	XXXXXX8810	\$2,790.40
272	XXXXXX9570	\$107.21
273	XXXXXX3040	\$1,635.47
274	XXXXXX2950	\$2,090.35
275	XXXXXX5213	\$589.32
276	XXXXXX5214	\$711.08

Attachment B

Account	Account Number	Remediation Amount
277	XXXXXX5217	\$208.41
278	XXXXXX5218	\$61.96
279	XXXXXX5942	\$674.84
280	XXXXXX5946	\$385.46
281	XXXXXX5950	\$248.36
282	XXXXXX5954	\$211.98
283	XXXXXX5958	\$467.51
284	XXXXXX5962	\$730.35
285	XXXXXX8025	\$960.09
286	XXXXXX8029	\$215.53
287	XXXXXX8033	\$179.50
288	XXXXXX8037	\$395.71
289	XXXXXX8041	\$642.43
290	XXXXXX8045	\$633.16
291	XXXXXX9136	\$121.61
292	XXXXXX9165	\$119.36
293	XXXXXX9169	\$953.01
294	XXXXXX9173	\$119.33
295	XXXXXX0358	\$3,239.64
296	XXXXXX0522	\$991.75
297	XXXXXX0526	\$371.26
298	XXXXXX0530	\$251.51
299	XXXXXX0534	\$986.89
300	XXXXXX1937	\$310.80
301	XXXXXX1945	\$310.80
302	XXXXXX1953	\$310.80
303	XXXXXX3999	\$631.13
304	XXXXXX4003	\$504.90
305	XXXXXX4007	\$504.90
306	XXXXXX4011	\$200.57
307	XXXXXX4015	\$631.13
308	XXXXXX4306	\$102.02
309	XXXXXX4633	\$1,473.00
310	XXXXXX4637	\$1,301.20
311	XXXXXX4641	\$1,301.20
312	XXXXXX0017	\$185.97
313	XXXXXX0018	\$145.06
314	XXXXXX0019	\$145.06
315	XXXXXX0020	\$165.23
316	XXXXXX1957	\$150.85
317	XXXXXX2755	\$1,532.72
318	XXXXXX3558	\$109.91
319	XXXXXX3083	\$487.92
320	XXXXXX3451	\$53.59
321	XXXXXX3809	\$411.34
322	XXXXXX5241	\$2,298.19

Attachment B

Account	Account Number	Remediation Amount
323	XXXXXX5242	\$1,710.50
324	XXXXXX6784	\$19.70
325	XXXXXX6788	\$4.96
326	XXXXXX6792	\$9.86
327	XXXXXX6796	\$4.93
328	XXXXXX6800	\$14.66
329	XXXXXX6808	\$19.49
330	XXXXXX6812	\$19.47
331	XXXXXX6816	\$4.88
332	XXXXXX6820	\$9.76
333	XXXXXX6824	\$4.87
334	XXXXXX6855	\$14.83
335	XXXXXX6859	\$19.69
336	XXXXXX6863	\$19.70
337	XXXXXX7221	\$26.74
338	XXXXXX7225	\$35.82
339	XXXXXX7229	\$35.78
340	XXXXXX7233	\$35.84
341	XXXXXX7237	\$8.97
342	XXXXXX7241	\$17.92
343	XXXXXX7245	\$8.96
344	XXXXXX1613	\$5.86
345	XXXXXX2459	\$5.72
346	XXXXXX2753	\$10.91
347	XXXXXX2757	\$10.89
348	XXXXXX2761	\$9.35
349	XXXXXX2765	\$10.89
350	XXXXXX2769	\$10.91
351	XXXXXX2773	\$9.33
352	XXXXXX2152	\$160.11
353	XXXXXX2156	\$168.94
354	XXXXXX4106	\$54.41
355	XXXXXX4107	\$54.41
356	XXXXXX4108	\$52.82
357	XXXXXX4109	\$52.82
358	XXXXXX4110	\$52.79
359	XXXXXX4111	\$52.79
360	XXXXXX4304	\$20.22
361	XXXXXX4308	\$20.19
362	XXXXXX4312	\$26.92
363	XXXXXX7709	\$88.53
364	XXXXXX8330	\$97.64
365	XXXXXX8691	\$55.01
366	XXXXXX9868	\$308.61
367	XXXXXX0020	\$310.36
368	XXXXXX0910	\$430.18

Attachment B

Account	Account Number	Remediation Amount
369	XXXXXX0982	\$19.58
370	XXXXXX8602	\$43.12
371	XXXXXX8896	\$128.78
372	XXXXXX8897	\$154.54
373	XXXXXX8898	\$154.54
374	XXXXXX8899	\$77.27
375	XXXXXX9917	\$54.00
376	XXXXXX1883	\$31.53
377	XXXXXX1884	\$33.68
378	XXXXXX1885	\$8.42
379	XXXXXX1886	\$16.88
380	XXXXXX1887	\$42.16
381	XXXXXX1888	\$25.30
382	XXXXXX1303	\$1,188.70
383	XXXXXX6126	\$261.29
384	XXXXXX3541	\$130.41
385	XXXXXX9246	\$14.60
386	XXXXXX9220	\$119.70
387	XXXXXX4308	\$1,585.25
388	XXXXXX0791	\$223.60
389	XXXXXX0993	\$746.94
390	XXXXXX0994	\$696.34
391	XXXXXX1603	\$819.68
392	XXXXXX2400	\$403.48
393	XXXXXX0964	\$309.42
394	XXXXXX0970	\$166.08
395	XXXXXX4202	\$65.66
396	XXXXXX5080	\$935.20
397	XXXXXX6600	\$1,307.93
398	XXXXXX0470	\$491.91
399	XXXXXX7140	\$104.46
400	XXXXXX6330	\$876.34
401	XXXXXX0360	\$99.24
402	XXXXXX0970	\$1,441.55
403	XXXXXX7220	\$1,332.63
404	XXXXXX7260	\$380.96
405	XXXXXX4550	\$3,775.75
406	XXXXXX1420	\$1,000.42
407	XXXXXX2620	\$1,344.77
408	XXXXXX3640	\$371.67
409	XXXXXX7760	\$1,866.09
410	XXXXXX6963	\$303.63
411	XXXXXX6870	\$22.99
412	XXXXXX2200	\$1,340.27
413	XXXXXX8700	\$1,331.50
414	XXXXXX6610	\$1,640.60

Attachment B

Account	Account Number	Remediation Amount
415	XXXXXX0950	\$460.07
416	XXXXXX0760	\$660.01
417	XXXXXX0530	\$515.09
418	XXXXXX2800	\$5,046.99
419	XXXXXX6940	\$661.60
420	XXXXXX0560	\$379.34
421	XXXXXX1764	\$414.26
422	XXXXXX4140	\$338.87
423	XXXXXX9810	\$375.01
424	XXXXXX6980	\$399.94
425	XXXXXX6550	\$29.00
426	XXXXXX6630	\$3,718.77
427	XXXXXX4350	\$1,892.16
428	XXXXXX0700	\$718.96
429	XXXXXX1810	\$835.64
430	XXXXXX4910	\$2,255.97
431	XXXXXX8930	\$2,513.15
432	XXXXXX0450	\$702.52
433	XXXXXX6780	\$668.71
434	XXXXXX0060	\$661.54
435	XXXXXX1040	\$202.76
436	XXXXXX5670	\$1,257.70
437	XXXXXX3230	\$7,273.23
438	XXXXXX2230	\$540.84
439	XXXXXX7740	\$487.33
440	XXXXXX8590	\$4,884.26
441	XXXXXX9460	\$1,295.97
442	XXXXXX3590	\$2,207.29
443	XXXXXX1680	\$718.66
444	XXXXXX9080	\$2,682.83
445	XXXXXX8010	\$4,050.65
446	XXXXXX3360	\$211.78
447	XXXXXX7900	\$627.88
448	XXXXXX5590	\$579.20
449	XXXXXX3080	\$554.52
450	XXXXXX7380	\$451.23
451	XXXXXX6940	\$182.30
452	XXXXXX9060	\$590.84
453	XXXXXX6330	\$289.79
454	XXXXXX6960	\$1,200.34
455	XXXXXX3210	\$1,562.17
456	XXXXXX1950	\$879.35
457	XXXXXX2630	\$1,402.73
458	XXXXXX3136	\$90.06
459	XXXXXX0183	\$225.20
460	XXXXXX5585	\$5.68

Attachment B

Account	Account Number	Remediation Amount
461	XXXXXX5586	\$5.95
462	XXXXXX0248	\$83.56
463	XXXXXX0258	\$206.19
464	XXXXXX7101	\$561.75
465	XXXXXX7106	\$1,409.90
466	XXXXXX8146	\$55.18
467	XXXXXX2049	\$23.12
468	XXXXXX0544	\$5.62
469	XXXXXX0546	\$5.62
470	XXXXXX1168	\$224.87
471	XXXXXX1628	\$96.50
472	XXXXXX1629	\$181.22
473	XXXXXX6594	\$69.28
474	XXXXXX1452	\$33.38
475	XXXXXX8908	\$409.25
476	XXXXXX3691	\$51.52
477	XXXXXX5960	\$260.57
478	XXXXXX3160	\$262.42
479	XXXXXX8160	\$774.45
480	XXXXXX5360	\$1,667.72
Grand Total		\$234,831.92

Attachment C

Account	Account Number	Remediation Amount
1	XXXXXX1423	\$37.58
2	XXXXXX7959	\$72.38
3	XXXXXX7967	\$59.27
4	XXXXXX7975	\$39.26
5	XXXXXX9094	\$78.26
6	XXXXXX5520	\$815.09
7	XXXXXX8118	\$1,285.48
8	XXXXXX8134	\$1,306.60
9	XXXXXX4572	\$280.28
10	XXXXXX4598	\$308.53
11	XXXXXX6707	\$2,304.98
12	XXXXXX2082	\$128.12
13	XXXXXX2124	\$64.06
14	XXXXXX9547	\$513.75
15	XXXXXX9554	\$188.95
16	XXXXXX1350	\$465.00
17	XXXXXX1351	\$1,195.92
18	XXXXXX4164	\$445.45
19	XXXXXX7988	\$414.82
20	XXXXXX7989	\$413.97
21	XXXXXX1591	\$808.10
22	XXXXXX3761	\$879.54
23	XXXXXX5503	\$769.89
24	XXXXXX5253	\$814.07
25	XXXXXX5261	\$814.07
26	XXXXXX5279	\$814.07
27	XXXXXX1233	\$35.79
28	XXXXXX1231	\$59.71
29	XXXXXX5886	\$812.86
30	XXXXXX8147	\$162.23
31	XXXXXX1307	\$85.72
32	XXXXXX5851	\$202.49
33	XXXXXX5854	\$96.52
34	XXXXXX4204	\$39.03
35	XXXXXX4889	\$17.05
36	XXXXXX6502	\$38.51
37	XXXXXX5691	\$391.25
38	XXXXXX3645	\$40.08
39	XXXXXX6225	\$99.65
40	XXXXXX6230	\$99.65
41	XXXXXX1274	\$39.50
42	XXXXXX1275	\$43.48
43	XXXXXX3718	\$137.04
44	XXXXXX3726	\$55.48
45	XXXXXX3866	\$195.50
46	XXXXXX3874	\$108.44

Attachment C

Account	Account Number	Remediation Amount
47	XXXXXX3882	\$94.81
48	XXXXXX3908	\$108.44
49	XXXXXX6992	\$172.97
50	XXXXXX7081	\$229.09
51	XXXXXX7263	\$242.92
52	XXXXXX9354	\$81.41
53	XXXXXX9358	\$20.42
54	XXXXXX0759	\$718.17
55	XXXXXX2266	\$174.94
56	XXXXXX2435	\$45.31
57	XXXXXX2672	\$365.99
58	XXXXXX6154	\$51.39
59	XXXXXX6319	\$302.14
60	XXXXXX6320	\$101.01
61	XXXXXX7121	\$19.94
62	XXXXXX9595	\$50.03
63	XXXXXX9936	\$289.94
64	XXXXXX6088	\$1,523.04
65	XXXXXX6092	\$824.98
66	XXXXXX6096	\$819.00
67	XXXXXX6837	\$188.31
68	XXXXXX6988	\$1,065.46
69	XXXXXX6992	\$118.68
70	XXXXXX7075	\$849.81
71	XXXXXX9497	\$60.03
72	XXXXXX9199	\$4.47
73	XXXXXX9200	\$4.49
74	XXXXXX9201	\$4.47
75	XXXXXX0587	\$144.71
76	XXXXXX0588	\$144.28
77	XXXXXX0589	\$144.28
78	XXXXXX0681	\$626.33
79	XXXXXX5940	\$232.43
80	XXXXXX0411	\$1,554.83
81	XXXXXX2198	\$308.30
82	XXXXXX2202	\$763.78
83	XXXXXX2586	\$964.09
84	XXXXXX5011	\$16.86
85	XXXXXX6551	\$247.78
86	XXXXXX1219	\$1,466.78
87	XXXXXX1223	\$3,953.93
88	XXXXXX1227	\$581.69
89	XXXXXX1503	\$425.72
90	XXXXXX1507	\$85.49
91	XXXXXX1511	\$56.83
92	XXXXXX1515	\$291.76

Attachment C

Account	Account Number	Remediation Amount
93	XXXXXX1519	\$291.32
94	XXXXXX1535	\$1,624.08
95	XXXXXX1559	\$2,674.15
96	XXXXXX7476	\$621.47
97	XXXXXX7480	\$621.47
98	XXXXXX3530	\$1,617.41
99	XXXXXX7344	\$131.18
100	XXXXXX7348	\$43.63
101	XXXXXX7352	\$43.84
102	XXXXXX0477	\$2,677.17
103	XXXXXX0576	\$2,701.70
104	XXXXXX6550	\$405.87
105	XXXXXX6667	\$493.99
106	XXXXXX7334	\$1,009.87
107	XXXXXX7359	\$747.20
108	XXXXXX1971	\$323.78
109	XXXXXX4403	\$372.42
110	XXXXXX6401	\$12.99
111	XXXXXX6430	\$27.63
112	XXXXXX6559	\$4.56
113	XXXXXX7615	\$76.41
114	XXXXXX1300	\$1,472.98
115	XXXXXX0740	\$6,936.57
116	XXXXXX6905	\$1,082.13
117	XXXXXX5430	\$9,164.76
118	XXXXXX5433	\$1,022.46
119	XXXXXX0152	\$56.05
120	XXXXXX0156	\$112.14
121	XXXXXX0160	\$112.51
122	XXXXXX3228	\$159.80
123	XXXXXX3229	\$161.08
124	XXXXXX7975	\$343.64
125	XXXXXX8246	\$362.08
126	XXXXXX8247	\$180.28
127	XXXXXX8248	\$180.88
128	XXXXXX9570	\$341.19
129	XXXXXX5850	\$123.44
130	XXXXXX5854	\$333.15
131	XXXXXX5858	\$135.21
132	XXXXXX1915	\$408.14
133	XXXXXX4459	\$205.41
134	XXXXXX6683	\$472.49
135	XXXXXX6687	\$378.76
136	XXXXXX6691	\$379.49
137	XXXXXX6695	\$379.13
138	XXXXXX6699	\$284.86

Attachment C

Account	Account Number	Remediation Amount
139	XXXXXX6742	\$422.68
140	XXXXXX6746	\$337.84
141	XXXXXX6750	\$338.49
142	XXXXXX6754	\$338.17
143	XXXXXX6758	\$254.08
144	XXXXXX7441	\$819.01
145	XXXXXX8186	\$503.73
146	XXXXXX8190	\$132.32
147	XXXXXX3910	\$70.24
148	XXXXXX3914	\$105.36
149	XXXXXX3918	\$87.80
150	XXXXXX3922	\$87.80
151	XXXXXX7407	\$58.33
152	XXXXXX7411	\$87.50
153	XXXXXX7415	\$72.92
154	XXXXXX7419	\$72.92
155	XXXXXX7423	\$59.39
156	XXXXXX7427	\$89.08
157	XXXXXX7431	\$74.23
158	XXXXXX7435	\$74.23
159	XXXXXX4482	\$866.64
160	XXXXXX4486	\$320.28
161	XXXXXX4490	\$697.08
162	XXXXXX8919	\$154.32
163	XXXXXX0340	\$2,368.36
164	XXXXXX0341	\$931.82
165	XXXXXX1597	\$1,290.45
166	XXXXXX7681	\$473.66
167	XXXXXX7682	\$473.66
168	XXXXXX9293	\$474.82
169	XXXXXX9297	\$205.76
170	XXXXXX9301	\$311.13
171	XXXXXX9305	\$189.93
172	XXXXXX9309	\$395.68
173	XXXXXX4769	\$133.30
174	XXXXXX4771	\$63.59
175	XXXXXX6238	\$148.12
176	XXXXXX8751	\$259.51
177	XXXXXX8753	\$252.94
178	XXXXXX5580	\$105.21
179	XXXXXX5593	\$103.27
180	XXXXXX9682	\$69.94
181	XXXXXX9718	\$134.30
182	XXXXXX4336	\$412.43
183	XXXXXX4698	\$176.78
184	XXXXXX2000	\$307.23

Attachment C

Account	Account Number	Remediation Amount
185	XXXXXX6689	\$167.08
186	XXXXXX2604	\$241.86
187	XXXXXX8442	\$222.38
188	XXXXXX9450	\$1,529.48
189	XXXXXX6375	\$501.07
190	XXXXXX6389	\$352.23
191	XXXXXX8456	\$1,383.03
192	XXXXXX5119	\$1,366.44
193	XXXXXX7061	\$792.99
194	XXXXXX7066	\$697.29
195	XXXXXX7161	\$380.93
196	XXXXXX1197	\$410.04
197	XXXXXX1203	\$408.92
198	XXXXXX6794	\$184.78
199	XXXXXX2258	\$655.07
200	XXXXXX2259	\$415.50
201	XXXXXX2614	\$337.21
202	XXXXXX3023	\$135.44
203	XXXXXX6295	\$136.53
204	XXXXXX6296	\$566.70
205	XXXXXX9890	\$19,248.02
206	XXXXXX0920	\$2,047.85
207	XXXXXX6670	\$2,203.85
208	XXXXXX8670	\$674.66
209	XXXXXX8940	\$724.58
210	XXXXXX8230	\$2,476.02
211	XXXXXX9300	\$38.98
212	XXXXXX2040	\$107.36
213	XXXXXX5370	\$59.51
214	XXXXXX4660	\$198.37
215	XXXXXX5950	\$395.98
216	XXXXXX8160	\$89.43
217	XXXXXX4030	\$51.92
218	XXXXXX4870	\$1,311.22
219	XXXXXX9630	\$333.19
220	XXXXXX1669	\$536.86
221	XXXXXX1675	\$264.29
222	XXXXXX2400	\$451.98
223	XXXXXX7170	\$907.88
224	XXXXXX4320	\$1,545.90
225	XXXXXX2860	\$164.86
226	XXXXXX9158	\$653.04
227	XXXXXX9159	\$52.84
228	XXXXXX1108	\$30.13
229	XXXXXX0550	\$378.86
230	XXXXXX6550	\$338.82

Attachment C

Account	Account Number	Remediation Amount
231	XXXXXX1178	\$538.79
232	XXXXXX2440	\$444.90
233	XXXXXX5504	\$420.42
234	XXXXXX5506	\$1,180.15
235	XXXXXX9520	\$5,980.72
236	XXXXXX8640	\$927.05
237	XXXXXX4687	\$224.32
238	XXXXXX4693	\$138.89
239	XXXXXX4695	\$229.73
240	XXXXXX6252	\$67.71
241	XXXXXX6253	\$69.26
242	XXXXXX5967	\$140.62
243	XXXXXX5983	\$149.39
244	XXXXXX6040	\$344.94
245	XXXXXX6045	\$382.74
246	XXXXXX6052	\$464.27
247	XXXXXX7249	\$153.38
248	XXXXXX9975	\$200.96
249	XXXXXX9980	\$63.53
250	XXXXXX0028	\$109.59
251	XXXXXX4156	\$37.91
252	XXXXXX8219	\$212.78
253	XXXXXX8232	\$234.97
254	XXXXXX8885	\$1,017.59
255	XXXXXX8888	\$100.32
256	XXXXXX6896	\$56.50
257	XXXXXX8669	\$809.28
258	XXXXXX0041	\$318.44
259	XXXXXX0043	\$247.89
260	XXXXXX4553	\$18.37
261	XXXXXX1140	\$72.38
262	XXXXXX5520	\$38.66
Grand Total		\$156,903.93