

**NASD
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

WILLIAM E. JASKO
(CRD No. 2103111)

Respondent.

Disciplinary Proceeding
No. C9B040112

Hearing Officer -

COMPLAINT

Upon information and belief, Complainant alleges the following:

1. Respondent William E. Jasko ("Jasko") became registered with NASD as a Series 7 general securities representative on or about December 3, 1990.
2. From on or about October 1, 1990, through on or about March 31, 2000, Jasko was employed by Merrill Lynch, Pierce, Fenner & Smith Incorporated ("Merrill Lynch"), an NASD member firm.
3. On or about April 24, 2000, Merrill Lynch filed a Form U-5 on behalf of Jasko voluntarily terminating his employment as of March 31, 2000.
4. From on or about March 31, 2000, through on or about April 23, 2002, Jasko was employed by Salomon Smith Barney, Inc. ("Salomon"), an NASD member firm.
5. On or about May 1, 2002, Salomon filed a Form U-5 on behalf of Jasko terminating his employment as of April 23, 2002. This Form U-5 disclosed that Jasko was "Permitted to Resign."
6. After the original Form U-5's filed on his behalf, Salomon filed several

amendments disclosing a substantial number of customer complaints and/or arbitrations against Jasko which allege that he engaged in various acts of misconduct, including the following:

- 11/01/02: Form U-5 amendment filing by Citigroup¹ disclosing customer complaints by TA and LA, FB and MB, WG and NG and MA. These complaint included allegations of churning and unsuitability. The complaints were settled with MA receiving \$43,000, FB and MB receiving \$61,250, WG receiving \$77,000, and TA and LA receiving \$65,000;
- 02/10/03: Form U-5 amendment filing by Citigroup disclosing a customer complaint by JT, JW, JC and WL. Complaint asserts, among other allegations, suitability and churning. There were unspecified damages;
- 05/21/03: Form U-5 amendment filing by Citigroup disclosing a customer complaint by LH and NH, alleging, among other allegations, suitability and churning. There were unspecified damages;
- 05/28/03: Form U-5 amendment filing by Citigroup disclosing a customer complaint by JM and KM. The complaint, putting forth various allegations including suitability, settled for \$450,000;
- 07/10/03: Form U-5 amendment filing by Citigroup disclosing an arbitration involving customers JW and RW, WL and ML, JT and IT, JC and MC, WH and EH, LW, DW and KW, seeking damages of \$7,600,014;
- 09/10/03: Form U-5 amendment filing by Citigroup disclosing a complaint by customer RC, alleging gross negligence. The claim was denied;
- 02/09/04: Form U-5 amendment filing by Citigroup disclosing a complaint by customers FS, RS, TS and SS, VS, SS, JL, MC, RF, MF, RT and JT, WM and MM, HG and JG, KN, MF, PC, JC, JJ, BS, RA, AG, JG,

¹ Salomon Smith Barney, Inc. is a division of Citigroup.

RM, MB, SB and YA. Complaint asserted, among various allegations, unsuitable trading, seeking damages of \$12,000,000; and

03/19/04: Form U-5 amendment filing by Citigroup disclosing a complaint by customer DT, stating allegations including churning and suitability. Complaint seeks \$110,940.58 in damages.

7. Jasko is not currently registered with any NASD member firm, but is still within the jurisdiction of NASD pursuant to Article V, Section 4, of NASD's By-Laws. Jurisdiction over Jasko expires on or about March 18, 2006, which is two years after the date that the last member firm that he was associated with filed an amended Form U-5 on his behalf, and such amendment was filed within two years of Jasko's termination.

FIRST CAUSE OF ACTION

JASKO'S EXCESSIVE TRADING/SUITABILITY VIOLATIONS IN CUSTOMER KR'S ACCOUNTS Violation of IM-2310-2 and NASD Conduct Rules 2110 and 2310

8. Paragraphs 1 through 7 are realleged and are incorporated by reference herein.
9. In or about September 1999, after being introduced to Jasko by an attorney, customer KR opened an individual securities account (#[REDACTED]) at Merrill Lynch.
10. Jasko served as KR's account executive from inception.
11. At the time KR opened his individual account at Merrill Lynch, he was in his forties, married and had a young child.
12. Several years before opening this account, KR was involved in a devastating automobile accident which left him unable to work. KR also suffers from H.I.V.
13. Prior to his automobile accident, KR was employed as a carpenter.

14. While Jasko serviced KR's accounts, KR had an annual income of less than \$50,000.

15. KR funded his individual account through cash deposits totaling approximately \$518,000. These funds were derived from the proceeds he received from the settlement of a personal injury case related to his automobile accident. These settlement funds represented KR's entire net worth.

16. Prior to opening this account, KR had no securities investment experience.

17. While Jasko served as his account executive, KR relied on Jasko's investment recommendations. Moreover, Jasko, who is also a pastor, repeatedly used his position as a pastor to obtain KR's trust. Specifically, Jasko told KR that, "If you believe in Christ, I will make you get rich. God Blesses all that follow him." Similarly, when KR expressed concern to Jasko regarding the decline in the value of his account, Jasko sought to remedy the situation by praying, "Oh Jesus, help our stocks go up. In the name of the Lord, please Jesus, help the markets go up."

18. When his individual account was opened, KR sought to have a diversified portfolio that would be managed conservatively. KR's objectives never changed. Moreover, KR expected to use the funds in his account to support his family.

19. Between September 1999 and November 1999, Jasko primarily recommended that KR purchase and sell positions in concentrated speculative technology stocks. These purchases totaled approximately \$761,856, while the average monthly equity was approximately \$511,076.

20. In or about November 1999, KR created a Trust and opened a Trust account [REDACTED] [REDACTED] at Merrill Lynch. When this account was opened, KR's individual account was closed and the holdings in KR's individual account were transferred into the Trust account.

21. Between in or about December 1999 through in or about March 2000, Jasko primarily continued to recommend that KR purchase and sell concentrated speculative technology stocks in the Merrill Lynch Trust account. These purchases totaled approximately \$2,091,857, while the average monthly equity was approximately \$771,643.

22. In or about April 2000, when Jasko left Merrill Lynch and became associated with Salomon, KR opened a Trust account at Salomon [REDACTED] and transferred the holdings in his Merrill Lynch Trust account to the Salomon Trust account.

23. When transferred to Salomon, KR's account value had depreciated to approximately \$424,121 and consisted of approximately \$9,145 in cash and three stocks: General Electric (\$15,725); JDS Uniphase Corp. (\$207,376) and PMC-Sierra Inc. (\$191,875).

24. Between in or about April 2000 through in or about February 2001, Jasko primarily continued to recommend that KR purchase and sell concentrated speculative technology stocks in the Salomon Trust account. These purchases totaled approximately \$1,924,291, while the average monthly equity was approximately \$390,919.

25. From in or about September 1999 through in or about February 2001, (the "relevant time period") Jasko, while exercising effective control over customer KR's accounts, effected or caused to be effected numerous and excessive purchase and sale transactions in KR's account, as more fully detailed in Exhibits A-C attached to this Complaint and incorporated herein by reference, without having reasonable grounds for believing that such transactions were suitable for KR in view of the size and frequency of the transactions, concentration of speculative securities, the nature of the account and his financial situation, investment objectives and needs.

26. The transactions referred to in paragraph 25 above were excessive, costing a total of approximately \$4,778,005 and resulting in a turnover ratio of 9.642 and a cost-to-equity ratio

(converted to percentage) of approximately 16%. Accordingly, during this time period KR would have had to earn a 16% return just to break even.

27. During the relevant time period, customer KR paid approximately \$66,128 in commissions and approximately \$15,042 in margin interest, while sustaining losses of approximately \$291,346.

28. Many of the transactions referred to in paragraph 25 above were effected through the use of margin and resulted in KR incurring additional costs of at least approximately \$15,042 in margin interest. Specifically, in October 2000, KR's Salomon Trust account had a margin balance of approximately \$159,280, while the equity was \$438,935. By the end of January 2001, the margin balance had increased to a high of approximately \$304,211, while the equity was \$225,320.

29. The margin utilized by Jasko in KR's account was unsuitable for KR in view of the size and the nature of the account and KR's financial situation and needs.

30. In addition, during the relevant time period, Jasko also recommended numerous purchase and sale transactions resulting in KR's account having concentrated positions in speculative securities, without having reasonable grounds for believing that such transactions were suitable. The following chart highlights several of these positions:

Month-End	Account Equity	Security	Value of Position	% of Equity
April 2000	\$424,121	PMC Sierra	\$191,875	45%
April 2000	\$424,121	JDS Uniphase	\$207,376	49%
Nov 2000	\$340,943	SDL Inc	\$329,700	97%
Feb 2001	\$75,266	JDS Uniphase	\$80,937	108%

31. By reason of the foregoing, Jasko violated IM-2310-2 and NASD Conduct Rules 2110 and 2310.

SECOND CAUSE OF ACTION

JASKO'S EXCESSIVE TRADING/SUITABILITY VIOLATIONS IN THE JOINT ACCOUNT OF CUSTOMERS AD AND CD Violation of IM-2310-2 and NASD Conduct Rules 2110 and 2310

32. Paragraphs 1 through 31 are realleged and are incorporated by reference herein.

33. In or about March 1992, customers AD and CD, husband and wife, opened a joint securities account (# [REDACTED]) at Merrill Lynch.

34. Jasko served as their account executive from inception.

35. When AD and CD opened this account they had an approximate annual income of \$100,000 to \$150,000, sought conservative growth and had no prior investing experience.

36. While Jasko served as their account executive, AD and CD relied on Jasko's investment recommendations. Moreover, Jasko repeatedly used his position as a pastor to obtain their trust. Specifically, Jasko repeatedly told AD and CD that the stocks he had recommended to them were "inspired by God" and that Jasko "was merely the Lord's functionary in passing these stocks along to his flock." Additionally, Jasko told AD and CD that "Jesus has spoken to me in picking these stocks."

37. Between in or about January 1999 through in or about March 2000, Jasko primarily recommended that AD and CD purchase and sell concentrated speculative technology stocks in their account. These purchases totaled approximately \$8,888,659, while the average monthly equity was approximately \$217,021.

38. From in or about January 1999 through in or about March 2000, Jasko, while exercising effective control over their account, effected or caused to be effected numerous and excessive purchase and sale transactions in AD's and CD's account, as more fully detailed in Exhibit D attached to this Complaint and incorporated herein by reference, without having reasonable grounds for believing that such transactions were suitable for AD and CD in view of the size and frequency of the transactions, concentration of speculative securities, the nature of the account and the customers' financial situation, investment objectives and needs.

39. The transactions referred to in paragraph 38 above were excessive, costing a total of approximately \$8.8 million and resulting in a turnover ratio of 40.97 and a cost-to-equity ratio (converted to percentage) of approximately 38%. Accordingly, during this time period, AD and CD would have had to earn a 38% return just to break even.

40. Between January 1999 and March 2000, customers AD and CD paid approximately \$46,085 in commissions, while sustaining losses of approximately \$217,021.

41. By reason of the foregoing, Jasko violated IM-2310-2 and NASD Conduct Rules 2110 and 2310.

THIRD CAUSE OF ACTION

JASKO'S EXCESSIVE TRADING/SUITABILITY VIOLATIONS IN THE JOINT ACCOUNT OF CUSTOMERS TA AND LA Violation of IM-2310-2 and NASD Conduct Rules 2110 and 2310

42. Paragraphs 1 through 41 are realleged and are incorporated by reference herein.

43. In or about October 1997, customers TA and LA, husband and wife, opened a joint securities account (# [REDACTED]) at Merrill Lynch.

44. Jasko served as their account executive from inception.

45. When TA and LA opened this account they had an approximate annual income of \$50,000 to \$100,000, they sought, among other things, a total return on their investment and their prior investment experience was limited to investing in mutual funds and bonds.

46. While Jasko served as their account executive, TA and LA relied on Jasko's investment recommendations. Moreover, Jasko repeatedly used his position as a pastor to obtain their trust. Specifically, Jasko repeatedly told TA and LA that, "God would 'increase the price' of the stocks he recommended to them if they 'tithed' money to religious causes . . . and lived a 'good Christian life.'" Additionally, Jasko told TA and LA the "God wants you to be rich." Furthermore, Jasko told TA that "God's working for me, not the guys at *Barron's*."

47. Between in or about January 1999 through in or about March 2000, Jasko primarily recommended that TA and LA purchase and sell concentrated speculative technology stocks in their account. These purchases totaled approximately \$1,741,427, while the average monthly equity was approximately \$185,201.

48. From in or about January 1999 through in or about March 2000, Jasko, while exercising effective control over their account, effected or caused to be effected numerous and excessive purchase and sale transactions in TA's and LA's account, as more fully detailed in Exhibit E attached to this Complaint and incorporated herein by reference, without having reasonable grounds for believing that such transactions were suitable for TA and LA in view of the size and frequency of the transactions, concentration of speculative securities, the nature of the account and the customers' financial situation, investment objectives and needs.

49. The transactions referred to in paragraph 48 above were excessive, costing a total of approximately \$1.7 million and resulting in a turnover ratio of 9.403 and a cost-to-equity ratio

(converted to percentage) of approximately 13%. Accordingly, during this time period TA and LA would have had to earn a 13% return just to break even.

50. Between January 1999 and March 2000, customers TA and LA paid approximately \$19,849 in commissions.

51. By reason of the foregoing, Jasko violated IM-2310-2 and NASD Conduct Rules 2110 and 2310.

PRAYER FOR RELIEF

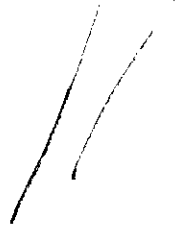
WHEREFORE, Complainant respectfully requests:

- A. Findings of fact and conclusions of law that Respondent Jasko committed the violations charged and alleged herein;
- B. An order imposing appropriate sanctions upon Respondent Jasko in accordance with NASD Procedural Rule 8310;
- C. An order requiring Respondent Jasko to disgorge fully any and all ill-gotten gains and/or make full and complete restitution, together with interest;
- D. An order imposing such costs of any proceeding as are deemed fair and appropriate under the circumstances in accordance with NASD Procedural Rule 8330; and
- E. An order granting all further relief that is warranted under the circumstances.

Dated: January 3, 2005



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EXHIBIT A

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EXHIBIT A - TRADES IN KRS ACCOUNT
SEPTEMBER 1999 THROUGH NOVEMBER 1999

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
9/15/1999	AMAZON COM INC	BUY	200	\$66.06	\$13,212.40
9/15/1999	ALTERA CORP	BUY	200	\$51.88	\$10,375.00
9/15/1999	AMERICA ONLINE INC	BUY	100	\$96.75	\$9,675.00
9/15/1999	CISCO SYSTEMS INC	BUY	200	\$71.13	\$14,225.00
9/15/1999	CISCO SYSTEMS INC	BUY	200	\$71.19	\$14,237.40
9/15/1999	GENERAL ELECTRIC	BUY	100	\$119.69	\$11,968.70
9/15/1999	HALLIBURTON COMPANY	BUY	200	\$50.88	\$10,175.00
9/15/1999	HALLIBURTON COMPANY	BUY	200	\$51.00	\$10,200.00
9/15/1999	INTEL CORP	BUY	200	\$86.69	\$17,337.40
9/15/1999	INTL BUSINESS MACH	BUY	200	\$135.81	\$27,162.40
9/15/1999	INTL BUSINESS MACH	BUY	100	\$135.94	\$13,593.70
9/15/1999	LUCENT TECHNOLOGIES, INC	BUY	200	\$67.00	\$13,400.00
9/15/1999	MICROSOFT CORP	BUY	200	\$94.69	\$18,937.40
9/15/1999	MICROSOFT CORP	BUY	200	\$95.00	\$19,000.00
9/15/1999	MICRON TECHNOLOGY INC	BUY	200	\$74.81	\$14,962.40
9/15/1999	NOVELLUS SYS INC	BUY	200	\$67.13	\$13,425.00
9/15/1999	SCHLUMBERGER LTD	BUY	200	\$70.31	\$14,062.40
9/15/1999	TEXAS INSTRUMENTS	BUY	200	\$90.50	\$18,100.00
9/15/1999	TEXAS INSTRUMENTS	BUY	200	\$90.88	\$18,175.00
9/15/1999	YAHOO INC	BUY	200	\$169.06	\$33,812.40
9/15/1999	YAHOO INC	BUY	200	\$169.63	\$33,925.00
9/20/1999	ALTERA CORP	BUY	200	\$54.63	\$10,925.00
9/20/1999	BROADCOM CORP	BUY	200	\$115.19	\$23,037.40
9/20/1999	MICRON TECHNOLOGY INC	BUY	200	\$80.38	\$16,075.00
9/20/1999	NOVELLUS SYS INC	BUY	200	\$67.56	\$13,512.40
9/23/1999	AMERICA ONLINE INC	SELL	100	\$82.86	\$8,287.50
9/23/1999	SUN MICROSISTEMS INC	BUY	75	\$93.94	\$7,045.28
9/24/1999	E M C CORPORATION	BUY	100	\$72.38	\$7,237.50
9/24/1999	SUN MICROSISTEMS INC	BUY	75	\$91.56	\$6,867.15
10/4/1999	AMERICA ONLINE INC	BUY	300	\$112.00	\$33,600.00
10/4/1999	INTL BUSINESS MACH	SELL	300	\$121.69	\$36,506.10
10/7/1999	GAP INC	BUY	200	\$36.00	\$7,200.00
10/7/1999	HALLIBURTON COMPANY	SELL	400	\$40.63	\$16,250.00
10/7/1999	MICRON TECHNOLOGY INC	BUY	400	\$72.94	\$29,174.80
10/7/1999	SCHLUMBERGER LTD	SELL	200	\$61.38	\$12,275.00
10/22/1999	AMERICA ONLINE INC	BUY	100	\$113.56	\$11,356.20

EXHIBIT A . TRADES IN KR'S ACCOUNT
SEPTEMBER 1999 THROUGH NOVEMBER 1999

Date	Security Name	BUY/SELL	Quantity	Price	Market Value
10/22/1999	INTEL CORP	SELL	200	\$67.69	\$13,537.40
11/2/1999	LUCENT TECHNOLOGIES, INC	SELL	200	\$62.63	\$12,525.00
11/4/1999	LAM RESEARCH CORP	BUY	200	\$83.06	\$16,612.40
11/4/1999	NOKIA CORP	BUY	200	\$112.50	\$22,500.00
11/9/1999	AMAZON COM INC	SELL	200	\$65.06	\$13,012.40
11/9/1999	ECHOSTAR COMM	BUY	100	\$68.94	\$6,893.70
11/9/1999	LAM RESEARCH CORP	BUY	100	\$84.38	\$8,437.50
11/9/1999	MOTOROLA INC	BUY	100	\$106.38	\$10,637.50
11/9/1999	NETWORK APPLIANCE INC	BUY	100	\$86.38	\$8,637.50
11/9/1999	QUALCOMM INC	BUY	50	\$263.19	\$13,159.35
11/12/1999	NETWORK APPLIANCE INC	BUY	100	\$94.25	\$9,425.00
11/12/1999	NETWORK APPLIANCE INC	BUY	100	\$94.25	\$9,425.00
11/15/1999	ECHOSTAR COMM	BUY	100	\$74.13	\$7,412.50
11/17/1999	BROADCOM CORP	BUY	200	\$173.25	\$34,650.00
11/17/1999	QUALCOMM INC	BUY	50	\$365.00	\$18,250.00
11/19/1999	QUALCOMM INC	BUY	50	\$363.25	\$18,162.50
11/24/1999	BROADCOM CORP	BUY	100	\$187.00	\$18,700.00
11/24/1999	JDS UNIPHASE CORP	BUY	100	\$213.31	\$21,331.20
11/24/1999	NOKIA CORP	BUY	100	\$132.38	\$13,237.50

EXHIBIT B

EXHIBIT B - TRADES IN KR'S ACCOUNT
DECEMBER 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
12/6/1999	NEXTEL COMMUNICATIONS	BUY	200	\$104.19	\$20,837.40
12/21/1999	E M C CORPORATION	SELL	(100)	\$95.13	(\$9,512.50)
12/21/1999	GAP INC	SELL	(200)	\$45.94	(\$9,187.40)
12/21/1999	LAM RESEARCH CORP	SELL	(300)	\$87.75	(\$26,325.00)
12/21/1999	MOTOROLA INC	SELL	(100)	\$128.19	(\$12,818.70)
12/21/1999	NOVELLUS SYS INC	SELL	(400)	\$77.63	(\$31,050.00)
12/21/1999	TEXAS INSTRUMENTS	SELL	(400)	\$97.75	(\$39,100.00)
12/23/1999	QUALCOMM INC	BUY	150	\$468.69	\$70,303.05
12/27/1999	CMGI INC	BUY	100	\$256.19	\$25,618.70
12/27/1999	INTERNET CAP GROUP	BUY	100	\$169.06	\$16,906.20
12/27/1999	QUALCOMM INC	BUY	100	\$493.00	\$49,300.00
12/27/1999	YAHOO INC	BUY	200	\$402.50	\$80,500.00
12/29/1999	ALTERA CORP	SELL	(400)	\$52.38	(\$20,950.00)
12/29/1999	MICRON TECHNOLOGY INC.	SELL	(800)	\$75.13	(\$60,100.00)
1/3/2000	ELCOM INTERNATIONAL INC	BUY	1000	\$49.75	\$49,750.00
1/3/2000	ELCOM INTERNATIONAL INC	SELL	(500)	\$40.50	(\$20,250.00)
1/3/2000	ELCOM INTERNATIONAL INC	SELL	(500)	\$40.63	(\$20,312.50)
1/4/2000	AMERICA ONLINE INC	SELL	(400)	\$78.25	(\$31,300.00)
1/12/2000	AMERICA ONLINE INC	SELL	(300)	\$70.00	(\$21,000.00)
1/12/2000	BROADCOM CORP	SELL	(100)	\$224.50	(\$22,450.00)
1/12/2000	CMGI INC	BUY	100	\$269.75	\$26,975.00
1/12/2000	CISCO SYSTEMS INC	SELL	(200)	\$99.75	(\$19,950.00)
1/12/2000	JDS UNIPHASE CORP	BUY	100	\$167.00	\$16,700.00
1/12/2000	MICROSOFT CORP	SELL	(100)	\$108.63	(\$10,862.50)
1/12/2000	MICROSOFT CORP	SELL	(200)	\$111.19	(\$22,237.40)
1/12/2000	NETWORK APPLIANCE INC	SELL	(200)	\$68.94	(\$13,787.40)
1/12/2000	NOKIA CORP	SELL	(100)	\$165.00	(\$16,500.00)
1/12/2000	QUALCOMM INC	SELL	(600)	\$134.69	(\$80,812.20)
1/12/2000	YAHOO INC	SELL	(200)	\$366.75	(\$73,350.00)
1/13/2000	EXODUS COMMUNICATIONS INC	BUY	200	\$102.44	\$20,487.40
1/13/2000	YAHOO INC	BUY	500	\$433.06	\$216,531.00
1/18/2000	AMERICA ONLINE INC	SELL	(100)	\$59.50	(\$5,950.00)
1/18/2000	YAHOO INC	SELL	(350)	\$364.94	(\$127,727.95)
1/18/2000	YAHOO INC	SELL	(200)	\$369.88	(\$73,975.00)
1/18/2000	YAHOO INC	SELL	(350)	\$358.94	(\$125,627.95)
1/19/2000	EXODUS COMMUNICATIONS INC	BUY	200	\$108.88	\$21,775.00

EXHIBIT B - TRADES IN KR'S ACCOUNT
DECEMBER 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
1/19/2000	JDS UNIPHASE CORP	BUY	100	\$188.88	\$18,887.50
1/19/2000	MICROSOFT CORP	SELL	(100)	\$102.44	(\$10,243.70)
1/26/2000	CMGI INC	SELL	(400)	\$119.94	(\$47,974.80)
1/26/2000	INTERNET CAP GROUP	BUY	100	\$158.88	\$15,887.50
1/26/2000	JDS UNIPHASE CORP	BUY	100	\$236.13	\$23,612.50
1/26/2000	NOVELLUS SYS INC	BUY	400	\$54.50	\$21,800.00
1/26/2000	PORTAL SOFTWARE INC	SELL	(400)	\$51.63	(\$20,650.00)
2/2/2000	EXODUS COMMUNICATIONS INC	BUY	200	\$124.19	\$24,837.40
2/2/2000	JDS UNIPHASE CORP	BUY	100	\$207.25	\$20,725.00
2/2/2000	NOVELLUS SYS INC	BUY	200	\$48.44	\$9,687.40
2/2/2000	PMC SIERRA INC	BUY	100	\$189.63	\$18,962.50
2/10/2000	BROADCOM CORP	BUY	100	\$322.38	\$32,237.50
2/10/2000	CISCO SYSTEMS INC	BUY	100	\$123.50	\$12,350.00
2/10/2000	ECHOSTAR COMM CO.	SELL	(200)	\$84.06	(\$16,812.40)
2/10/2000	ERICSN LM TEL	BUY	200	\$85.94	\$17,187.40
2/10/2000	NETWORK APPLIANCE INC	BUY	200	\$126.00	\$25,200.00
2/10/2000	NOKIA CORP	BUY	100	\$192.50	\$19,250.00
2/10/2000	PMC SIERRA INC	BUY	300	\$251.88	\$75,562.50
2/10/2000	QUALCOMM INC	SELL	(500)	\$132.13	(\$66,062.50)
2/11/2000	INTERNET CAP GROUP	SELL	(200)	\$126.38	(\$25,275.00)
2/11/2000	PORTAL SOFTWARE INC	BUY	400	\$62.00	\$24,800.00
2/15/2000	BROADCOM CORP	BUY	200	\$341.25	\$68,250.00
2/15/2000	NORTEL NETWORKS CORP	BUY	300	\$121.81	\$36,543.60
2/15/2000	NOKIA CORP	BUY	200	\$201.81	\$40,362.40
2/15/2000	PMC SIERRA INC	BUY	400	\$287.63	\$115,050.00
2/15/2000	QUALCOMM INC	SELL	(500)	\$135.81	(\$67,906.00)
2/16/2000	NETWORK APPLIANCE INC	BUY	400	\$149.44	\$59,774.80
2/16/2000	PMC SIERRA INC	SELL	(800)	\$261.75	(\$209,400.00)
2/24/2000	NEXTEL COMMUNICATIONS	BUY	1500	\$122.50	\$183,750.00
2/28/2000	CISCO SYSTEMS INC	SELL	(300)	\$137.75	(\$41,325.00)
2/28/2000	EXODUS COMMUNICATIONS INC	SELL	(500)	\$131.00	(\$65,500.00)
2/28/2000	EXODUS COMMUNICATIONS INC	SELL	(100)	\$130.69	(\$13,068.70)
2/28/2000	ERICSN LM TEL	SELL	(200)	\$91.75	(\$18,350.00)
2/28/2000	NEXTEL COMMUNICATIONS	SELL	(1700)	\$132.06	(\$224,505.40)
2/28/2000	NORTEL NETWORKS CORP	SELL	(300)	\$118.50	(\$35,550.00)
2/28/2000	NOKIA CORP	SELL	(300)	\$203.63	(\$61,087.50)

EXHIBIT B - TRADES IN KR'S ACCOUNT [REDACTED]
 DECEMBER 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
2/28/2000	NOKIA CORP	SELL	(200)	\$200.75	(\$40,150.00)
2/28/2000	NOVELLUS SYS INC	SELL	(600)	\$55.06	(\$33,037.20)
2/28/2000	PORTAL SOFTWARE INC	SELL	(400)	\$78.75	(\$31,500.00)
2/28/2000	SUN MICROSYSTEMS INC	SELL	(300)	\$94.00	(\$28,200.00)
3/1/2000	JDS UNIPHASE CORP	BUY	1500	\$263.44	\$395,155.50
3/17/2000	PMC SIERRA INC	BUY	500	\$222.00	\$111,000.00
3/21/2000	PMC SIERRA INC	BUY	500	\$188.25	\$94,125.00

EXHIBIT C

EXHIBIT C - TRADES IN KRS ACCOUNT
APRIL 2000 THROUGH FEBRUARY 2001

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
4/28/2000	GENERAL ELECTRIC CO	RECEIVE	100		\$15,725.00
4/28/2000	JDC UNIPHASE CORP	RECEIVE	2000		\$207,376.00
4/28/2000	PMC SIERRA INC	RECEIVE	1000		\$191,875.00
5/30/2000	APPLIED MICROCIRCUITS CORP	BUY	100	\$93.81	\$9,381.20
5/30/2000	APPLIED MICROCIRCUITS CORP	BUY	100	\$93.13	\$9,312.50
5/30/2000	APPLIED MICROCIRCUITS CORP	BUY	200	\$93.56	\$18,712.40
5/30/2000	APPLIED MICROCIRCUITS CORP	BUY	15	\$129.56	\$1,943.43
5/30/2000	BROADCOM CORP	BUY	185	\$129.06	\$23,876.47
5/30/2000	BROADCOM CORP	BUY	200	\$129.88	\$25,975.00
5/30/2000	BROADCOM CORP	BUY	100	\$174.56	\$17,456.20
5/30/2000	SDL INC	BUY	100	\$174.88	\$17,487.50
5/30/2000	SDL INC	BUY	200	\$174.75	\$34,950.00
5/30/2000	VERITAS SOFTWARE CORP	BUY	400	\$104.44	\$41,774.80
6/19/2000	BROADCOM CORP	SELL	(100)	\$148.13	(\$14,812.50)
6/19/2000	BROADCOM CORP	SELL	(300)	\$148.50	(\$44,550.00)
6/20/2000	RAMBUS INC	BUY	400	\$58.13	\$23,250.00
6/20/2000	VERISIGN INC	BUY	100	\$173.56	\$17,356.20
6/20/2000	VERISIGN INC	BUY	100	\$173.73	\$17,373.00
6/21/2000	APPLIED MICROCIRCUITS CORP	SELL	(400)	\$91.75	(\$36,700.00)
6/21/2000	GENERAL ELECTRIC CO	SELL	(300)	\$51.63	(\$15,487.50)
6/21/2000	JDS UNIPHASE CORP	SELL	(500)	\$121.50	(\$60,750.00)
6/21/2000	PMC SIERRA INC	SELL	(100)	\$185.56	(\$18,556.20)
6/21/2000	PMC SIERRA INC	SELL	(200)	\$185.50	(\$37,100.00)
6/21/2000	PMC SIERRA INC	SELL	(200)	\$185.63	(\$37,125.00)
6/22/2000	JUNIPER NETWORKS INC	BUY	100	\$116.00	\$11,600.00
6/22/2000	JUNIPER NETWORKS INC	BUY	100	\$115.94	\$11,593.70
6/22/2000	RAMBUS INC	BUY	100	\$90.13	\$9,012.50
6/22/2000	RAMBUS INC	BUY	300	\$89.69	\$26,906.10
6/23/2000	SDL INC	BUY	200	\$309.69	\$61,937.40
6/27/2000	SDL INC	BUY	100	\$276.88	\$27,687.50
7/20/2000	JUNIPER NETWORKS INC	SELL	(100)	\$172.88	(\$17,287.50)
7/20/2000	JUNIPER NETWORKS INC	SELL	(100)	\$173.00	(\$17,300.00)
7/20/2000	PMC SIERRA INC	SELL	(500)	\$226.06	(\$113,031.00)
7/20/2000	RAMBUS INC	SELL	(100)	\$111.75	(\$11,175.00)
7/20/2000	RAMBUS INC	SELL	(100)	\$111.81	(\$11,181.20)
7/20/2000	RAMBUS INC	SELL	(400)	\$111.77	(\$44,706.00)

EXHIBIT C - TRADES IN KRS ACCOUNT
APRIL 2000 THROUGH FEBRUARY 2001

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
7/20/2000	RAMBUS INC	SELL	(200)	\$111.88	(\$22,375.00)
7/20/2000	VERISIGN INC	SELL	(100)	\$194.50	(\$19,450.00)
7/20/2000	VERISIGN INC	SELL	(100)	\$194.56	(\$19,456.20)
7/20/2000	VERITAS SOFTWARE CORP	SELL	(400)	\$138.63	(\$55,450.00)
8/2/2000	JDS UNIPHASE CORP	SELL	(45)	\$118.63	(\$5,338.13)
8/2/2000	JDS UNIPHASE CORP	SELL	(1055)	\$118.69	(\$125,214.79)
8/2/2000	JDS UNIPHASE CORP	SELL	(200)	\$118.75	(\$23,750.00)
8/2/2000	JDS UNIPHASE CORP	SELL	(200)	\$118.81	(\$23,762.40)
9/11/2000	AMAREN MICROWAVE INC	BUY	200	\$114.44	\$22,887.40
9/11/2000	APPLIED MICROCIRCUITS CORP	BUY	200	\$181.25	\$36,250.00
9/11/2000	12 TECHNOLOGIES INC	BUY	200	\$162.19	\$32,437.40
9/11/2000	JUNIPER NETWORKS INC	BUY	100	\$209.50	\$20,950.00
9/11/2000	JUNIPER NETWORKS INC	BUY	100	\$211.94	\$21,193.70
9/11/2000	JUNIPER NETWORKS INC	BUY	100	\$212.88	\$21,287.50
9/11/2000	NEWPORT CORP	BUY	100	\$147.63	\$14,762.50
9/11/2000	POWER-ONE INC	BUY	200	\$170.63	\$34,125.00
9/13/2000	AMAREN MICROWAVE INC	SELL	(200)	\$113.50	(\$22,700.00)
9/13/2000	APPLIED MICROCIRCUITS CORP	SELL	(100)	\$191.75	(\$19,175.00)
9/13/2000	APPLIED MICROCIRCUITS CORP	SELL	(100)	\$191.69	(\$19,168.70)
9/13/2000	12 TECHNOLOGIES INC	SELL	(200)	\$162.00	(\$32,400.00)
9/13/2000	JUNIPER NETWORKS INC	SELL	(100)	\$205.81	(\$20,581.20)
9/13/2000	JUNIPER NETWORKS INC	SELL	(100)	\$205.81	(\$20,581.20)
9/13/2000	JUNIPER NETWORKS INC	SELL	(100)	\$205.69	(\$20,568.70)
9/13/2000	NEWPORT CORP	SELL	(100)	\$151.13	(\$15,112.50)
9/13/2000	POWER-ONE INC	SELL	(200)	\$175.13	(\$35,025.00)
10/3/2000	SDL INC	BUY	100	\$319.75	\$31,975.00
10/3/2000	SDL INC	BUY	100	\$321.00	\$32,100.00
10/3/2000	SDL INC	BUY	100	\$320.88	\$32,087.50
10/3/2000	SDL INC	BUY	100	\$320.38	\$32,037.50
10/3/2000	SDL INC	BUY	300	\$320.63	\$96,187.50
10/10/2000	APPLIED MICROCIRCUITS CORP	BUY	100	\$183.56	\$18,356.20
10/10/2000	APPLIED MICROCIRCUITS CORP	BUY	150	\$183.75	\$27,562.50
10/10/2000	BEA SYSTEMS INC	BUY	250	\$71.50	\$17,875.00
10/10/2000	BROCADE COMMUNICATIONS SYS	BUY	100	\$217.69	\$21,768.70
10/10/2000	JUNIPER NETWORKS INC	BUY	250	\$204.44	\$51,109.25
10/10/2000	NETWORK APPLIANCE INC	BUY	250	\$117.00	\$29,250.00

EXHIBIT C - TRADES IN KRS ACCOUNT
APRIL 2000 THROUGH FEBRUARY 2001

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
10/10/2000	NEWPORT CORP	BUY	250	\$170.88	\$42,718.75
10/10/2000	POWER-ONE INC	BUY	200	\$58.63	\$11,725.00
10/10/2000	POWER-ONE INC	BUY	300	\$58.44	\$17,531.10
10/10/2000	SIEBEL SYSTEMS INC	BUY	250	\$97.44	\$24,359.25
11/3/2000	BROCADE COMMUNICATIONS SYS	BUY	100	\$214.63	\$21,462.50
11/28/2000	SDL INC	SELL	(400)	\$232.75	(\$93,100.00)
11/30/2000	SDL INC	BUY	100	\$235.50	\$23,550.00
11/30/2000	VERITAS SOFTWARE CORP	BUY	100	\$106.50	\$10,650.00
12/8/2000	SDL INC	BUY	300	\$223.00	\$66,900.00
12/26/2000	POWER-ONE INC	SELL	(250)	\$37.19	(\$9,296.75)
12/26/2000	SDL INC	SELL	(400)	\$176.31	(\$70,524.80)
12/28/2000	CIENA CORP	BUY	250	\$76.00	\$19,000.00
12/28/2000	I2 TECHNOLOGIES INC	BUY	250	\$50.31	\$12,578.00
1/3/2001	APPLIED MICROCIRCUITS CORP	BUY	500	\$78.25	\$39,125.00
1/3/2001	JUNIPER NETWORKS INC	BUY	250	\$136.38	\$34,093.75
1/3/2001	NEWPORT CORP	BUY	250	\$88.38	\$22,093.75
1/3/2001	POWER-ONE INC	BUY	250	\$44.88	\$11,218.75
1/4/2001	BEA SYSTEMS INC	SELL	(250)	\$68.56	(\$17,140.50)
1/4/2001	CIENA CORP	SELL	(250)	\$81.38	(\$20,343.75)
1/4/2001	I2 TECHNOLOGIES INC	SELL	(250)	\$53.88	(\$13,468.75)
1/4/2001	NETWORK APPLANCE INC	SELL	(250)	\$63.13	(\$15,781.25)
1/4/2001	SIEBEL SYSTEMS INC	SELL	(250)	\$64.00	(\$16,000.00)
1/10/2001	POWER-ONE INC	SELL	(500)	\$35.19	(\$17,593.50)
1/10/2001	SDL INC	SELL	(200)	\$151.13	(\$30,225.00)
1/10/2001	SDL INC	BUY	200	\$151.94	\$30,387.40
1/11/2001	APPLIED MICROCIRCUITS CORP	SELL	(500)	\$59.50	(\$29,750.00)
1/11/2001	APPLIED MICROCIRCUITS CORP	SELL	(500)	\$59.88	(\$29,937.50)
1/11/2001	BROCADE COMMUNICATIONS SYS	SELL	(400)	\$76.75	(\$30,700.00)
1/11/2001	JUNIPER NETWORKS INC	SELL	(500)	\$106.44	(\$53,218.50)
1/11/2001	VERITAS SOFTWARE CORP	SELL	(100)	\$74.00	(\$7,400.00)
1/12/2001	CIENA CORP	BUY	500	\$75.94	\$37,968.50
1/12/2001	I2 TECHNOLOGIES INC	BUY	351	\$46.06	\$16,167.76
1/12/2001	I2 TECHNOLOGIES INC	BUY	649	\$45.89	\$29,782.61
1/18/2001	BROADCOM CORP	BUY	400	\$124.73	\$49,890.40
1/18/2001	NEWFOCUS INC	BUY	1000	\$40.22	\$40,218.00
1/18/2001	NEWPORT CORP	BUY	500	\$88.00	\$44,000.00

EXHIBIT C - TRADES IN KRS ACCOUNT [REDACTED]
APRIL 2000 THROUGH FEBRUARY 2001

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
1/22/2001	JUNIPER NETWORKS INC	BUY	400	\$141.13	\$56,450.00
1/23/2001	12 TECHNOLOGIES INC	SELL	(1000)	\$50.41	(\$50,406.00)
1/24/2001	PMC SIERRA INC	BUY	500	\$108.00	\$54,000.00
2/1/2001	PMC SIERRA INC	SELL	(500)	\$71.69	(\$35,843.50)
2/2/2001	NEWFOCUS INC	BUY	500	\$49.69	\$24,843.50
2/5/2001	BROADCOM CORP	BUY	100	\$120.69	\$12,068.70
2/7/2001	CIENA CORP	SELL	(500)	\$84.63	(\$42,312.50)
2/7/2001	NEWFOCUS INC	SELL	(1500)	\$51.33	(\$76,999.50)
2/12/2001	BROADCOM CORP	SELL	(500)	\$81.25	(\$40,625.00)
2/12/2001	NEWFOCUS INC	BUY	1000	\$44.81	\$44,812.00
2/14/2001	JUNIPER NETWORKS INC	SELL	(400)	\$88.25	(\$35,300.00)
2/14/2001	NEWFOCUS INC	SELL	(1000)	\$43.88	(\$43,875.00)
2/15/2001	NEWPORT CORP	SELL	(1000)	\$67.00	(\$67,000.00)
2/15/2001	SDL INC	SELL	(1000)	\$159.81	(\$159,812.00)
2/21/2001	JDS UNIPHASE CORP	BUY	2500	\$44.06	\$110,155.00

EXHIBIT D

EXHIBIT D - TRADES IN ADS AND CDS ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
1/1/1999	EBAY INC	SELL	(290)	\$260.00	(\$75,400.00)
1/1/1999	YAHOO INC	BUY	400	\$294.00	\$117,600.00
1/13/1999	AMAZON COM INC	BUY	500	\$190.00	\$95,000.00
1/13/1999	AMERICA ONLINE INC	SELL	(1106)	\$149.63	(\$165,485.25)
1/13/1999	YAHOO INC	BUY	300	\$350.19	\$105,056.10
1/15/1999	YAHOO INC	BUY	500	\$417.00	\$208,500.00
1/21/1999	AMERICA ONLINE INC	BUY	2000	\$146.63	\$293,250.00
1/21/1999	YAHOO INC	SELL	(1700)	\$316.50	(\$538,050.00)
1/25/1999	AMAZON COM INC	SELL	(1050)	\$115.88	(\$121,668.75)
1/25/1999	LUCENT TECHNOLOGIES	BUY	500	\$117.31	\$58,656.00
1/27/1999	YAHOO INC	BUY	500	\$285.25	\$142,625.00
2/1/1999	AMERICA ONLINE INC	BUY	400	\$162.69	\$65,074.80
2/1/1999	LUCENT TECHNOLOGIES	SELL	(500)	\$111.75	(\$55,875.00)
2/1/1999	YAHOO INC	BUY	100	\$367.38	\$36,737.50
2/1/1999	ALLIANCE NORTH AMERICA	BUY	3388	\$7.38	\$25,003.44
2/4/1999	AMAZON COM INC	SELL	(500)	\$116.88	(\$58,437.50)
2/4/1999	DELL COMPUTER CORP	BUY	400	\$108.31	\$43,324.80
2/4/1999	LYCOS INC	BUY	275	\$134.06	\$36,867.05
2/8/1999	AMERICA ONLINE INC	SELL	(100)	\$171.50	(\$17,150.00)
2/11/1999	AMERICA ONLINE INC	SELL	(700)	\$158.75	(\$111,125.00)
2/11/1999	DELL COMPUTER CORP	BUY	600	\$101.13	\$60,675.00
2/11/1999	LYCOS INC	BUY	525	\$131.75	\$69,168.75
2/11/1999	YAHOO INC	SELL	(200)	\$158.63	(\$31,725.00)
2/12/1999	AMERICA ONLINE INC	SELL	(1000)	\$147.81	(\$147,812.00)
2/12/1999	DELL COMPUTER CORP	BUY	1000	\$100.94	\$100,937.00
2/12/1999	YAHOO INC	SELL	(1000)	\$141.75	(\$141,750.00)
2/17/1999	ALLIANCE NORTH AMERICA	BUY	3343	\$7.48	\$25,005.64
2/23/1999	AMERICA ONLINE INC	SELL	(600)	\$152.69	(\$91,612.20)
2/23/1999	LYCOS INC	SELL	(300)	\$86.75	(\$26,025.00)
2/23/1999	YAHOO INC	SELL	(500)	\$126.50	(\$63,250.00)
2/24/1999	DELL COMPUTER CORP	SELL	(1000)	\$80.06	(\$80,062.00)
2/25/1999	AMERICA ONLINE INC	BUY	500	\$164.81	\$82,406.00
2/25/1999	DELL COMPUTER CORP	SELL	(500)	\$79.88	(\$39,937.50)
2/25/1999	ALLIANCE NORTH AMERICA	BUY	3321	\$7.53	\$25,007.13
2/26/1999	YAHOO INC	BUY	500	\$155.38	\$77,687.50
3/3/1999	AMAZON COM INC	BUY	300	\$127.13	\$38,137.50

EXHIBIT D - TRADES IN AD'S AND CD'S ACCOUNT [REDACTED]
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
3/3/1999	DELL COMPUTER CORP	SELL	(500)	\$78.88	(\$39,437.50)
3/3/1999	EBAY INC	BUY	200	\$322.00	\$64,400.00
3/8/1999	EBAY INC	SELL	(400)	\$119.88	(\$47,950.00)
3/8/1999	EBAY INC	SELL	(200)	\$119.88	(\$23,975.00)
3/11/1999	LYCOS INC	SELL	(500)	\$84.50	(\$42,250.00)
3/11/1999	YAHOO INC	BUY	300	\$167.00	\$50,100.00
3/19/1999	AMERICA ONLINE INC	BUY	300	\$104.88	\$31,462.50
3/25/1999	AMAZON COM INC	SELL	(300)	\$135.00	(\$40,500.00)
3/25/1999	AMERICA ONLINE INC	BUY	500	\$127.13	\$63,562.50
3/29/1999	AMERICA ONLINE INC	SELL	(1000)	\$114.63	(\$114,625.00)
3/30/1999	YAHOO INC	BUY	700	\$170.75	\$119,525.00
4/8/1999	YAHOO INC	BUY	1000	\$205.31	\$205,312.00
4/15/1999	AT HOME CP COM	BUY	500	\$189.88	\$94,937.50
4/15/1999	YAHOO INC	SELL	(600)	\$203.75	(\$122,250.00)
4/22/1999	YAHOO INC	SELL	(1000)	\$168.50	(\$168,500.00)
4/26/1999	AMAZON COM INC	BUY	500	\$178.00	\$89,000.00
4/26/1999	AMERICA ONLINE INC	BUY	500	\$134.00	\$67,000.00
4/27/1999	AMERICA ONLINE INC	BUY	500	\$146.00	\$73,000.00
4/27/1999	AMERICA ONLINE INC	BUY	700	\$146.31	\$102,418.40
4/27/1999	YAHOO INC	SELL	(1000)	\$177.00	(\$177,000.00)
5/6/1999	AMERICA ONLINE INC	SELL	(1000)	\$135.38	(\$135,375.00)
5/11/1999	AT&T CORP	BUY	300	\$61.44	\$18,431.10
5/11/1999	AMERICA ONLINE INC	SELL	(500)	\$122.69	(\$61,343.50)
5/11/1999	PRICELINE COM	BUY	200	\$144.38	\$28,875.00
5/12/1999	AMAZON COM INC	SELL	(300)	\$134.06	(\$40,218.60)
5/12/1999	AMERICA ONLINE INC	SELL	(1000)	\$118.50	(\$118,500.00)
5/12/1999	AMERICA ONLINE INC	SELL	(500)	\$119.00	(\$59,500.00)
5/12/1999	YAHOO INC	SELL	(200)	\$149.25	(\$29,850.00)
5/14/1999	AMERICA ONLINE INC	BUY	1000	\$136.94	\$136,937.00
5/14/1999	CMGI INC CORP	BUY	300	\$244.88	\$73,462.50
5/14/1999	YAHOO INC	BUY	500	\$172.50	\$86,250.00
5/14/1999	YAHOO INC	BUY	300	\$163.00	\$48,900.00
5/14/1999	YAHOO INC	BUY	200	\$173.00	\$34,600.00
5/17/1999	AMAZON COM INC	SELL	(200)	\$143.63	(\$28,725.00)
5/18/1999	AMERICA ONLINE INC	SELL	(1500)	\$137.38	(\$206,062.50)
5/18/1999	INTL BUSINESS MACH	BUY	200	\$239.94	\$47,987.40

EXHIBIT D - TRADES IN ADS AND CD'S ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
5/18/1999	LYCOS INC	BUY	400	\$113.69	\$45,474.80
5/19/1999	AMERICA ONLINE INC	SELL	(300)	\$126.25	(\$37,875.00)
5/19/1999	YAHOO INC	SELL	(500)	\$155.75	(\$77,875.00)
5/20/1999	YAHOO INC	SELL	(300)	\$152.06	(\$45,618.60)
5/21/1999	AT&T CORP	SELL	(300)	\$59.81	(\$17,943.60)
5/21/1999	AMERICA ONLINE INC	BUY	300	\$136.75	\$41,025.00
5/21/1999	LYCOS INC	BUY	200	\$112.25	\$22,450.00
5/25/1999	AT HOME CP COM	SELL	(500)	\$142.19	(\$71,093.50)
5/25/1999	CNET INC	BUY	500	\$127.69	\$63,843.50
5/25/1999	CNET INC	BUY	500	\$126.38	\$63,187.50
5/25/1999	INTL BUSINESS MACH	SELL	(200)	\$234.25	(\$46,850.00)
5/27/1999	AMERICA ONLINE INC	SELL	(1000)	\$120.69	(\$120,687.00)
5/27/1999	CMGI INC CORP	SELL	(300)	\$214.50	(\$64,350.00)
5/27/1999	CNET INC	SELL	(1000)	\$116.13	(\$116,125.00)
5/27/1999	LYCOS INC	SELL	(600)	\$95.88	(\$57,525.00)
5/27/1999	PRICELINE COM	SELL	(200)	\$127.13	(\$25,425.00)
5/27/1999	YAHOO INC	SELL	(400)	\$139.50	(\$55,800.00)
6/11/1999	CMGI INC CORP	BUY	200	\$112.69	\$22,537.40
6/11/1999	LYCOS INC	BUY	200	\$95.25	\$19,050.00
6/11/1999	PRICELINE COM	BUY	200	\$108.25	\$21,650.00
6/11/1999	YAHOO INC	BUY	300	\$150.13	\$45,037.50
6/17/1999	CMGI INC CORP	SELL	(200)	\$80.31	(\$16,062.40)
6/17/1999	LYCOS INC	SELL	(200)	\$78.69	(\$15,737.40)
6/17/1999	PRICELINE COM	SELL	(200)	\$82.81	(\$16,562.40)
6/17/1999	YAHOO INC	SELL	(300)	\$127.56	(\$38,268.60)
6/22/1999	AMERICA ONLINE INC	BUY	300	\$113.69	\$34,106.10
6/22/1999	YAHOO INC	BUY	300	\$149.19	\$44,756.10
6/25/1999	YAHOO INC	BUY	300	\$160.00	\$48,000.00
7/2/1999	YAHOO INC	BUY	200	\$162.63	\$32,525.00
7/6/1999	BROADCOM CORP	BUY	200	\$128.06	\$25,612.40
7/6/1999	YAHOO INC	BUY	500	\$173.94	\$86,968.50
7/13/1999	AMERICA ONLINE INC	BUY	700	\$128.00	\$89,600.00
7/13/1999	BROADCOM CORP	BUY	500	\$139.75	\$69,875.00
7/13/1999	YAHOO INC	SELL	(1300)	\$163.25	(\$212,225.00)
7/23/1999	AMERICA ONLINE INC	SELL	(1000)	\$115.00	(\$115,000.00)
7/23/1999	BROADCOM CORP	SELL	(700)	\$130.00	(\$91,000.00)

EXHIBIT D - TRADES IN AD'S AND CD'S ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
8/17/1999	AMERICA ONLINE INC	BUY	300	\$93.50	\$28,050.00
8/17/1999	PRICELINE COM	BUY	200	\$68.81	\$13,762.40
8/17/1999	YAHOO INC	BUY	200	\$127.44	\$25,487.40
8/27/1999	AMERICA ONLINE INC	BUY	200	\$100.38	\$20,075.00
8/27/1999	LYCOS INC	BUY	200	\$45.50	\$9,100.00
8/27/1999	YAHOO INC	BUY	100	\$155.00	\$15,500.00
8/31/1999	AMAZON COM INC	BUY	100	\$128.06	\$12,806.20
9/3/1999	AMERICA ONLINE INC	SELL	(300)	\$90.56	(\$27,168.60)
9/3/1999	INTEL CORP	BUY	200	\$81.44	\$16,287.40
9/3/1999	MICRON TECHNOLOGY INC.	BUY	200	\$71.13	\$14,225.00
9/3/1999	YAHOO INC	SELL	(200)	\$141.50	(\$28,300.00)
9/9/1999	INTEL CORP	BUY	200	\$88.31	\$17,662.40
9/9/1999	INTEL CORP	BUY	200	\$88.50	\$17,700.00
9/9/1999	MICRON TECHNOLOGY INC.	BUY	200	\$78.69	\$15,737.40
9/9/1999	MICRON TECHNOLOGY INC.	BUY	200	\$78.69	\$15,737.40
9/14/1999	ALTERA CORP	BUY	200	\$50.56	\$10,112.40
9/14/1999	AMERICA ONLINE INC	SELL	(200)	\$95.13	(\$19,025.00)
9/14/1999	NOVELLUS SYS	BUY	200	\$66.44	\$13,287.40
9/14/1999	PRICELINE COM	SELL	(200)	\$58.25	(\$11,650.00)
9/15/1999	YAHOO INC	BUY	125	\$169.56	\$21,195.25
9/27/1999	ALTERA CORP	SELL	(200)	\$48.00	(\$9,600.00)
9/27/1999	BROADCOM CORP	BUY	100	\$114.94	\$11,493.70
9/27/1999	INTEL CORP	SELL	(600)	\$83.19	(\$49,912.20)
9/27/1999	LYCOS INC	SELL	(200)	\$46.44	(\$9,287.40)
9/27/1999	MICRON TECHNOLOGY INC.	BUY	200	\$83.44	\$16,687.40
9/27/1999	VITESSE SEMICONDUCTR CORP	BUY	200	\$93.88	\$18,775.00
9/27/1999	YAHOO INC	BUY	175	\$179.31	\$31,379.60
10/4/1999	AMAZON COM INC	BUY	200	\$82.31	\$16,462.40
10/4/1999	AMERICA ONLINE INC	BUY	200	\$110.81	\$22,162.40
10/4/1999	BROADCOM CORP	SELL	(100)	\$111.88	(\$11,187.50)
10/4/1999	MICRON TECHNOLOGY INC.	SELL	(300)	\$76.13	(\$22,837.50)
10/7/1999	YAHOO INC	SELL	(175)	\$173.81	(\$30,417.10)
10/12/1999	AMERICA ONLINE INC	BUY	300	\$116.81	\$35,043.60
10/12/1999	BROADCOM CORP	BUY	100	\$126.75	\$12,675.00
10/12/1999	ETOYS INC	BUY	100	\$76.00	\$7,600.00
10/12/1999	LYCOS INC	BUY	100	\$61.69	\$6,168.70

EXHIBIT D - TRADES IN ADS AND CDS ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
10/12/1999	LAM RESEARCH CORP	BUY	100	\$69.19	\$6,918.70
10/12/1999	MICRON TECHNOLOGY INC.	SELL	(500)	\$77.63	(\$38,812.50)
10/12/1999	VITESSE SEMICONDUCTR CORP	SELL	(100)	\$88.44	(\$8,843.70)
10/13/1999	AMAZON COM INC	BUY	100	\$88.25	\$8,825.00
10/13/1999	ASK JEEVES INC	BUY	100	\$43.94	\$4,393.70
10/13/1999	AMERICA ONLINE INC	BUY	75	\$122.75	\$9,206.25
10/13/1999	ETOYS INC	BUY	100	\$82.50	\$8,250.00
10/13/1999	LAM RESEARCH CORP	SELL	(100)	\$68.00	(\$6,800.00)
10/13/1999	NOVELLUS SYS	SELL	(200)	\$71.81	(\$14,362.40)
10/13/1999	VITESSE SEMICONDUCTR CORP	SELL	(100)	\$86.13	(\$8,612.50)
10/13/1999	YAHOO INC	BUY	75	\$190.19	\$14,264.03
10/14/1999	ASK JEEVES INC	BUY	100	\$50.75	\$5,075.00
10/14/1999	BROADCOM CORP	SELL	(100)	\$120.75	(\$12,075.00)
10/14/1999	ETOYS INC	BUY	100	\$82.63	\$8,262.50
10/14/1999	LYCOS INC	SELL	(100)	\$61.06	(\$6,106.20)
10/19/1999	ASK JEEVES INC	SELL	(100)	\$61.13	(\$6,112.50)
10/19/1999	ETOYS INC	SELL	(200)	\$70.56	(\$14,112.40)
10/19/1999	ETOYS INC	SELL	(100)	\$70.56	(\$7,056.20)
10/19/1999	YAHOO INC	SELL	(200)	\$168.44	(\$33,687.40)
10/19/1999	YAHOO INC	SELL	(100)	\$167.63	(\$16,762.50)
10/21/1999	ASK JEEVES INC	SELL	(100)	\$56.69	(\$5,668.70)
10/25/1999	AMERICA ONLINE INC	BUY	325	\$117.25	\$38,106.25
10/27/1999	AMERICA ONLINE INC	BUY	175	\$123.38	\$21,590.63
10/29/1999	AMAZON COM INC	BUY	100	\$82.56	\$8,256.20
10/29/1999	ASK JEEVES INC	BUY	200	\$79.94	\$15,987.40
10/29/1999	AMERICA ONLINE INC	SELL	(575)	\$118.81	(\$68,316.90)
10/29/1999	EBAY INC	BUY	100	\$152.06	\$15,206.20
10/29/1999	ETOYS INC	BUY	200	\$77.88	\$15,575.00
11/1/1999	AMAZON COM INC	SELL	(200)	\$76.38	(\$15,275.00)
11/1/1999	EBAY INC	SELL	(100)	\$138.06	(\$13,806.20)
11/2/1999	AMAZON COM INC	SELL	(400)	\$68.75	(\$27,500.00)
11/2/1999	AMERICA ONLINE INC	BUY	100	\$121.38	\$12,137.50
11/2/1999	NOKIA CORP	BUY	100	\$108.63	\$10,862.50
11/2/1999	YAHOO INC	BUY	50	\$178.63	\$8,931.25
11/3/1999	AMERICA ONLINE INC	BUY	350	\$130.00	\$45,500.00
11/3/1999	ETOYS INC	SELL	(200)	\$64.00	(\$12,800.00)

EXHIBIT D - TRADES IN ADS AND CDS ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
11/3/1999	NOKIA CORP	SELL	(100)	\$112.50	(\$11,250.00)
11/8/1999	ECHOSTAR COMM CO	BUY	100	\$66.75	\$6,675.00
11/8/1999	LAM RESEARCH CORP	BUY	100	\$84.63	\$8,462.50
11/8/1999	NETWORK APPLIANCE INC	BUY	100	\$86.19	\$8,618.70
11/8/1999	NOVELLUS SYS	BUY	100	\$86.19	\$8,618.70
11/8/1999	YAHOO INC	SELL	(50)	\$179.88	(\$8,993.75)
11/9/1999	AMERICA ONLINE INC	BUY	50	\$143.75	\$7,187.50
11/9/1999	BROADCOM CORP	BUY	50	\$151.50	\$7,575.00
11/15/1999	BROADCOM CORP	BUY	75	\$159.00	\$11,925.00
11/15/1999	NETWORK APPLIANCE INC	BUY	100	\$96.25	\$9,625.00
11/17/1999	ASK JEEVES INC	SELL	(100)	\$122.56	(\$12,256.20)
11/17/1999	QUALCOMM INC	BUY	25	\$364.25	\$9,106.25
11/18/1999	AMERICA ONLINE INC	BUY	100	\$153.38	\$15,337.50
11/18/1999	YAHOO INC	BUY	100	\$205.25	\$20,525.00
11/23/1999	AMERICA ONLINE INC	BUY	100	\$158.19	\$15,818.70
11/29/1999	ASK JEEVES INC	SELL	(100)	\$149.31	(\$14,931.20)
11/29/1999	BROADCOM CORP	BUY	75	\$196.25	\$14,718.75
11/29/1999	JDS UNIPHASE CORP	BUY	50	\$244.25	\$12,212.50
11/29/1999	LAM RESEARCH CORP	SELL	(100)	\$74.63	(\$7,462.50)
11/29/1999	NOVELLUS SYS	SELL	(100)	\$83.63	(\$8,362.50)
11/29/1999	YAHOO INC	BUY	50	\$223.31	\$11,165.60
12/3/1999	AMERICA ONLINE INC	SELL	(2000)	\$73.81	(\$147,624.00)
12/3/1999	BROADCOM CORP	SELL	(100)	\$180.00	(\$18,000.00)
12/3/1999	ECHOSTAR COMM CO	SELL	(100)	\$65.75	(\$6,575.00)
12/6/1999	AMERICA ONLINE INC	SELL	(400)	\$74.00	(\$29,600.00)
12/6/1999	BROADCOM CORP	BUY	200	\$193.88	\$38,775.00
12/6/1999	YAHOO INC	BUY	250	\$228.69	\$57,171.75
12/6/1999	YAHOO INC	BUY	150	\$229.00	\$34,350.00
12/8/1999	YAHOO INC	BUY	300	\$255.00	\$76,500.00
12/9/1999	YAHOO INC	BUY	150	\$278.94	\$41,840.55
12/14/1999	ECHOSTAR COMM CO	BUY	200	\$97.13	\$19,425.00
12/14/1999	EXODUS COMMUNICATIONS INC	BUY	200	\$162.69	\$32,537.40
12/14/1999	JDS UNIPHASE CORP	SELL	(50)	\$239.31	(\$11,965.60)
12/14/1999	NETWORK APPLIANCE INC	BUY	200	\$158.00	\$31,600.00
12/14/1999	YAHOO INC	SELL	(500)	\$323.13	(\$161,562.50)
12/15/1999	AMERICA ONLINE INC	BUY	1000	\$89.56	\$89,562.00

EXHIBIT D - TRADES IN AD'S AND CD'S ACCOUNT [REDACTED]
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
12/15/1999	YAHOO INC	BUY	300	\$349.94	\$104,981.10
12/27/1999	AMERICA ONLINE INC	SELL	(600)	\$86.94	(\$52,162.20)
12/27/1999	AMERICA ONLINE INC	SELL	(400)	\$87.00	(\$34,800.00)
12/27/1999	BROADCOM CORP	SELL	(300)	\$225.00	(\$67,500.00)
12/27/1999	CMGI INC CORP	BUY	200	\$245.00	\$49,000.00
12/27/1999	ECHOSTAR COMM CO	SELL	(200)	\$85.88	(\$17,175.00)
12/27/1999	EXODUS COMMUNICATIONS INC	SELL	(400)	\$79.00	(\$31,600.00)
12/27/1999	INTERNET CAP GROUP INC	BUY	200	\$162.50	\$32,500.00
12/27/1999	NETWORK APPLIANCE INC	SELL	(800)	\$72.94	(\$58,349.60)
12/27/1999	QUALCOMM INC	BUY	225	\$483.25	\$108,731.25
12/27/1999	YAHOO INC	BUY	200	\$383.06	\$76,612.40
12/28/1999	BROADCOM CORP	BUY	300	\$251.00	\$75,300.00
12/30/1999	INTERNET CAP GROUP INC	SELL	(100)	\$170.38	(\$17,037.50)
12/30/1999	JDS UNIPHASE CORP	BUY	100	\$316.00	\$31,600.00
12/30/1999	YAHOO INC	SELL	(200)	\$381.94	(\$76,387.40)
12/31/1999	ARIBA INC	BUY	50	\$200.75	\$10,037.50
12/31/1999	BROADCOM CORP	BUY	200	\$265.06	\$53,012.40
12/31/1999	INTERNET CAP GROUP INC	SELL	(100)	\$175.50	(\$17,550.00)
12/31/1999	JDS UNIPHASE CORP	BUY	100	\$347.13	\$34,712.50
1/4/2000	ARIBA INC	SELL	(50)	\$168.63	(\$8,431.25)
1/5/2000	CMGI INC CORP	BUY	100	\$280.88	\$28,087.50
1/5/2000	QUALCOMM INC	BUY	500	\$175.13	\$87,562.50
1/11/2000	BROADCOM CORP	SELL	(500)	\$236.69	(\$118,343.50)
1/11/2000	CMGI INC CORP	SELL	(150)	\$272.00	(\$40,800.00)
1/11/2000	QUALCOMM INC	SELL	(1000)	\$149.13	(\$149,125.00)
1/11/2000	YAHOO INC	SELL	(400)	\$399.06	(\$159,624.80)
1/11/2000	YAHOO INC	SELL	(200)	\$372.25	(\$74,450.00)
1/13/2000	BROADCOM CORP	BUY	200	\$288.25	\$57,650.00
1/13/2000	CMGI INC CORP	BUY	150	\$296.56	\$44,484.30
1/13/2000	YAHOO INC	BUY	200	\$445.00	\$89,000.00
1/18/2000	CMGI INC CORP	SELL	(300)	\$125.50	(\$37,650.00)
1/18/2000	EXODUS COMMUNICATIONS INC	BUY	200	\$104.56	\$20,912.40
1/18/2000	NETWORK APPLIANCE INC	BUY	200	\$84.81	\$16,962.40
1/18/2000	YAHOO INC	SELL	(400)	\$367.19	(\$146,874.80)
1/20/2000	BROADCOM CORP	BUY	100	\$293.88	\$29,387.50
1/20/2000	CMGI INC CORP	SELL	(300)	\$124.94	(\$37,481.10)

EXHIBIT D - TRADES IN AD'S AND CD'S ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
1/20/2000	NETWORK APPLIANCE INC	BUY	300	\$92.25	\$27,675.00
1/20/2000	NOVELLUS SYS	BUY	200	\$154.63	\$30,925.00
1/21/2000	BROADCOM CORP	BUY	300	\$316.88	\$95,062.50
1/21/2000	EXODUS COMMUNICATIONS INC	BUY	200	\$113.88	\$22,775.00
1/21/2000	JDS UNIPHASE CORP	BUY	100	\$203.31	\$20,331.20
1/21/2000	JDS UNIPHASE CORP	BUY	200	\$203.44	\$40,687.40
1/21/2000	NETWORK APPLIANCE INC	BUY	500	\$105.75	\$52,875.00
1/21/2000	QUALCOMM INC	SELL	(500)	\$135.56	(\$67,781.00)
1/28/2000	BROADCOM CORP	SELL	(600)	\$277.44	(\$166,462.20)
1/28/2000	JDS UNIPHASE CORP	BUY	300	\$222.63	\$66,787.50
1/28/2000	QUALCOMM INC	BUY	200	\$142.50	\$28,500.00
1/31/2000	QUALCOMM INC	SELL	(100)	\$125.31	(\$12,531.20)
2/2/2000	EXODUS COMMUNICATIONS INC	SELL	(200)	\$117.25	(\$23,450.00)
2/2/2000	JDS UNIPHASE CORP	SELL	(500)	\$195.06	(\$97,531.00)
2/2/2000	NETWORK APPLIANCE INC	SELL	(500)	\$98.25	(\$49,125.00)
2/2/2000	QUALCOMM INC	SELL	(100)	\$109.50	(\$10,950.00)
2/3/2000	EXODUS COMMUNICATIONS INC	SELL	(100)	\$107.63	(\$10,762.50)
2/3/2000	JDS UNIPHASE CORP	SELL	(300)	\$189.25	(\$56,775.00)
2/3/2000	NETWORK APPLIANCE INC	SELL	(300)	\$96.31	(\$28,893.60)
2/4/2000	BROADCOM CORP	BUY	200	\$294.56	\$58,912.40
2/4/2000	EXODUS COMMUNICATIONS INC	BUY	400	\$123.94	\$49,574.80
2/4/2000	NETWORK APPLIANCE INC	BUY	300	\$107.00	\$32,100.00
2/4/2000	NOVELLUS SYS	BUY	200	\$51.13	\$10,225.00
2/4/2000	PMC SIERRA INC	BUY	200	\$197.44	\$39,487.40
2/4/2000	QUALCOMM INC	BUY	300	\$136.06	\$40,818.60
2/7/2000	BROADCOM CORP	BUY	100	\$323.06	\$32,306.20
2/7/2000	JDS UNIPHASE CORP	BUY	100	\$211.69	\$21,168.70
2/7/2000	NETWORK APPLIANCE INC	BUY	300	\$114.44	\$34,331.10
2/7/2000	NOVELLUS SYS	BUY	200	\$54.94	\$10,987.40
2/7/2000	PMC SIERRA INC	BUY	100	\$219.69	\$21,968.70
2/7/2000	QUALCOMM INC	BUY	200	\$144.69	\$28,937.40
2/9/2000	NETWORK APPLIANCE INC	BUY	300	\$127.94	\$38,381.10
2/9/2000	PMC SIERRA INC	BUY	300	\$245.63	\$73,687.50
2/9/2000	QUALCOMM INC	SELL	(200)	\$139.75	(\$27,950.00)
2/15/2000	BROADCOM CORP	BUY	200	\$349.06	\$69,812.40
2/15/2000	EXODUS COMMUNICATIONS INC	SELL	(500)	\$120.06	(\$60,031.00)

EXHIBIT D - TRADES IN AD'S AND CDS ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
2/15/2000	JDS UNIPHASE CORP	SELL	(300)	\$206.19	(\$61,856.10)
2/15/2000	NOVELLUS SYS	SELL	(1000)	\$54.56	(\$54,562.00)
2/15/2000	PMC SIERRA INC	BUY	400	\$295.63	\$118,250.00
2/15/2000	QUALCOMM INC	SELL	(300)	\$136.13	(\$40,837.50)
2/15/2000	VERITAS SOFTWARE	BUY	200	\$172.44	\$34,487.40
2/15/2000	VERISIGN INC	BUY	200	\$209.94	\$41,987.40
2/17/2000	BROADCOM CORP	SELL	(500)	\$160.75	(\$80,375.00)
2/17/2000	PMC SIERRA INC	SELL	(2000)	\$127.75	(\$255,500.00)
2/18/2000	PMC SIERRA INC	BUY	500	\$146.25	\$73,125.00
2/22/2000	NETWORK APPLIANCE INC	BUY	400	\$159.25	\$63,700.00
2/22/2000	PMC SIERRA INC	BUY	500	\$158.94	\$79,468.50
2/28/2000	ADC TELECOMMUNCTNS INC	BUY	200	\$44.00	\$8,800.00
2/28/2000	HUMAN GENOME SCIENCES INC	BUY	100	\$195.00	\$19,500.00
2/28/2000	NETWORK APPLIANCE INC	BUY	200	\$186.50	\$37,300.00
2/28/2000	VERITAS SOFTWARE	BUY	300	\$182.63	\$54,787.50
2/28/2000	VERISIGN INC	BUY	300	\$241.38	\$72,412.50
3/1/2000	ADC TELECOMMUNCTNS INC	SELL	(200)	\$44.56	(\$8,912.40)
3/1/2000	HUMAN GENOME SCIENCES INC	SELL	(100)	\$213.94	(\$21,393.70)
3/1/2000	I2 TECHNOLOGIES INC	BUY	500	\$193.00	\$96,500.00
3/1/2000	JDS UNIPHASE CORP	BUY	500	\$261.63	\$130,812.50
3/2/2000	BROADCOM CORP	SELL	(100)	\$183.06	(\$18,306.20)
3/2/2000	PMC SIERRA INC	SELL	(200)	\$175.56	(\$35,112.40)
3/3/2000	I2 TECHNOLOGIES INC	SELL	(500)	\$159.06	(\$79,531.00)
3/3/2000	PMC SIERRA INC	BUY	300	\$193.50	\$58,050.00
3/8/2000	BROADCOM CORP	BUY	100	\$224.75	\$22,475.00
3/8/2000	EXODUS COMMUNICATIONS INC	BUY	300	\$161.61	\$48,483.60
3/10/2000	ARIBA INC	BUY	100	\$327.88	\$32,787.50
3/10/2000	JDS UNIPHASE CORP	BUY	300	\$298.06	\$89,418.60
3/10/2000	VERISIGN INC	SELL	(300)	\$209.88	(\$62,962.50)
3/13/2000	I2 TECHNOLOGIES INC	BUY	200	\$184.50	\$36,900.00
3/13/2000	VERISIGN INC	SELL	(200)	\$188.81	(\$37,762.40)
3/20/2000	ARIBA INC	SELL	(100)	\$268.25	(\$26,825.00)
3/20/2000	I2 TECHNOLOGIES INC	SELL	(100)	\$153.00	(\$15,300.00)
3/20/2000	JDS UNIPHASE CORP	SELL	(1000)	\$118.88	(\$118,875.00)
3/20/2000	NETWORK APPLIANCE INC	SELL	(1000)	\$177.63	(\$177,625.00)
3/20/2000	PMC SIERRA INC	SELL	(600)	\$193.19	(\$115,912.20)

EXHIBIT D - TRADES IN AD'S AND CD'S ACCOUNT [REDACTED]
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
3/20/2000	VERITAS SOFTWARE	SELL	(350)	\$143.50	(\$50,225.00)
3/21/2000	BROADCOM CORP	BUY	500	\$208.69	\$104,343.50
3/21/2000	NETWORK APPLIANCE INC	BUY	700	\$188.00	\$131,600.00
3/21/2000	PMC SIERRA INC	BUY	500	\$201.38	\$100,687.50
3/21/2000	PMC SIERRA INC	BUY	200	\$201.50	\$40,300.00
3/21/2000	VERITAS SOFTWARE	BUY	600	\$149.50	\$89,700.00
3/23/2000	JDS UNIPHASE CORP	SELL	(600)	\$126.81	(\$76,087.20)
3/23/2000	SDL INC	BUY	400	\$217.50	\$87,000.00
3/29/2000	EXODUS COMMUNICATIONS INC	BUY	100	\$175.94	\$17,593.70
3/29/2000	SDL INC	BUY	300	\$228.00	\$68,400.00

EXHIBIT E

EXHIBIT E - TRADES IN TA'S AND LA'S ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
1/11/1999	EBAY INC	SELL	(40)	\$261.00	(\$10,440.00)
1/11/1999	YAHOO INC	BUY	40	\$280.00	\$11,200.00
1/14/1999	YAHOO INC	BUY	30	\$372.56	\$11,176.86
2/3/1999	AMAZON COM	SELL	(120)	\$115.25	(\$13,830.00)
2/3/2009	LYCOS INC	BUY	100	\$135.00	\$13,500.00
3/19/1999	AMERICAN ONLINE INC	BUY	236	\$105.56	\$24,912.63
3/19/1999	YAHOO INC	SELL	(145)	\$177.69	(\$25,764.62)
4/12/1999	YAHOO INC	BUY	71	\$215.38	\$15,291.63
5/12/1999	AT HOME CP COM	BUY	100	\$159.44	\$15,943.70
5/12/1999	YAHOO INC	SELL	(125)	\$150.00	(\$18,750.00)
5/17/1999	PRICELINE COM INC	BUY	50	\$128.25	\$6,412.50
5/17/1999	PRICELINE COM INC	BUY	50	\$128.50	\$6,425.00
5/24/1999	LYCOS INC	BUY	200	\$121.50	\$24,300.00
5/24/1999	LYCOS INC	BUY	100	\$121.13	\$12,112.50
5/24/1999	YAHOO INC	SELL	(275)	\$158.63	(\$43,621.88)
5/24/1999	YAHOO INC	SELL	(100)	\$159.81	(\$15,981.20)
6/1/1999	PRICELINE COM INC	SELL	(100)	\$99.44	(\$9,943.70)
6/1/1999	YAHOO INC	SELL	(100)	\$124.00	(\$12,400.00)
6/8/1999	YAHOO INC	BUY	100	\$141.56	\$14,156.20
6/25/1999	AT HOME CP COM	SELL	(200)	\$57.63	(\$11,525.00)
6/25/1999	AMERICAN ONLINE INC	SELL	(500)	\$112.56	(\$56,281.00)
6/25/1999	PRICELINE COM INC	BUY	50	\$110.81	\$5,540.60
6/25/1999	YAHOO INC	BUY	300	\$155.13	\$46,537.50
7/16/1999	BROADCOM CORP	BUY	50	\$143.63	\$7,181.25
7/16/1999	YAHOO INC	SELL	(100)	\$153.00	(\$15,300.00)
7/30/1999	AMERICAN ONLINE INC	SELL	(300)	\$101.44	(\$30,431.10)
7/30/1999	BROADCOM CORP	BUY	250	\$118.50	\$29,625.00
8/2/1999	AMERICAN ONLINE INC	SELL	(100)	\$100.44	(\$10,043.70)
8/2/1999	BROADCOM CORP	BUY	100	\$125.94	\$12,593.70
8/12/1999	AMERICAN ONLINE INC	BUY	100	\$87.81	\$8,781.20
9/10/1999	AMERICAN ONLINE INC	SELL	(100)	\$93.56	(\$9,356.20)
9/10/1999	INTEL CORP	BUY	100	\$87.56	\$8,756.20
9/10/1999	LYCOS INC	SELL	(400)	\$43.69	(\$17,474.80)
9/10/1999	LYCOS INC	SELL	(200)	\$43.63	(\$8,725.00)
9/10/1999	MICRON TECHNOLOGY INC	BUY	100	\$78.88	\$7,887.50
9/10/1999	NOVELLUS SYS INC	BUY	100	\$65.69	\$6,568.70

EXHIBIT E - TRADES IN TAS AND LAS ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
9/10/1999	TEXAS INSTRUMENTS	BUY	100	\$90.94	\$9,093.70
10/7/1999	AMERICAN ONLINE INC	BUY	100	\$108.69	\$10,868.70
10/7/1999	PRICELINE COM INC	SELL	(50)	\$62.25	(\$3,112.50)
10/18/1999	AMAZON COM	BUY	100	\$84.63	\$8,462.50
10/18/1999	AMERICAN ONLINE INC	BUY	100	\$116.94	\$11,693.70
10/18/1999	INTEL CORP	SELL	(100)	\$72.75	(\$7,275.00)
10/18/1999	LAM RESEARCH CORP	BUY	100	\$72.25	\$7,225.00
10/18/1999	YAHOO INC	SELL	(100)	\$175.25	(\$17,525.00)
10/22/1999	AMERICAN ONLINE INC	BUY	100	\$114.38	\$11,437.50
10/22/1999	BROADCOM CORP	SELL	(100)	\$113.38	(\$11,337.50)
11/3/1999	AMAZON COM	SELL	(100)	\$70.13	(\$7,012.50)
11/3/1999	AMERICAN ONLINE INC	BUY	50	\$129.88	\$6,493.75
11/22/1999	AMERICAN ONLINE INC	BUY	50	\$158.25	\$7,912.50
11/22/1999	ECHOSTAR COMM	BUY	100	\$70.94	\$7,093.70
11/22/1999	LYCOS INC	SELL	(200)	\$57.25	(\$11,450.00)
11/22/1999	MICRON TECHNOLOGY INC	SELL	(100)	\$64.75	(\$6,475.00)
11/22/1999	NETWORK APPLIANCE INC	BUY	150	\$114.44	\$17,165.55
11/22/1999	QUALCOMM INC	BUY	25	\$339.06	\$8,476.55
11/22/1999	TEXAS INSTRUMENTS	SELL	(100)	\$97.06	(\$9,706.20)
12/3/1999	AMERICAN ONLINE INC	SELL	(400)	\$75.19	(\$30,074.80)
12/3/1999	ECHOSTAR COMM	SELL	(100)	\$66.88	(\$6,687.50)
12/15/1999	AMERICAN ONLINE INC	BUY	100	\$89.31	\$8,931.20
12/15/1999	EXODUS COMMUNICATNS INC	BUY	50	\$161.88	\$8,093.75
12/15/1999	LAM RESEARCH CORP	SELL	(100)	\$86.50	(\$8,650.00)
12/15/1999	NOVELLUS SYS INC	SELL	(100)	\$82.38	(\$8,237.50)
12/15/1999	YAHOO INC	BUY	100	\$348.56	\$34,856.20
12/16/1999	EXODUS COMMUNICATNS INC	BUY	50	\$173.25	\$8,662.50
12/16/1999	QUALCOMM INC	BUY	25	\$415.00	\$10,375.00
12/28/1999	AMERICAN ONLINE INC	SELL	(500)	\$84.50	(\$42,250.00)
12/28/1999	CMGI INC	BUY	50	\$275.63	\$13,781.25
12/28/1999	INTERNET CAP GROUP INC	BUY	100	\$198.13	\$19,812.50
12/28/1999	QUALCOMM INC	BUY	25	\$499.19	\$12,479.68
12/28/1999	QUALCOMM INC	BUY	25	\$499.00	\$12,475.00
1/4/2000	EXODUS COMMUNICATNS INC	SELL	(200)	\$85.56	(\$17,112.40)
1/4/2000	NETWORK APPLIANCE INC	SELL	(200)	\$83.06	(\$16,612.40)
1/4/2000	QUALCOMM INC	BUY	25	\$657.00	\$16,425.00

EXHIBIT E - TRADES IN TAS AND LA'S ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
1/4/2000	QUALCOMM INC	BUY	25	\$658.06	\$16,451.55
1/11/2000	BROADCOM CORP	SELL	(300)	\$237.50	(\$71,250.00)
1/11/2000	JDS UNIPHASE CORP	BUY	50	\$160.31	\$8,015.60
1/13/2000	BROADCOM CORP	BUY	100	\$288.50	\$28,850.00
1/13/2000	CMGI INC	BUY	50	\$296.56	\$14,828.10
1/13/2000	JDS UNIPHASE CORP	BUY	50	\$193.44	\$9,671.85
1/14/2000	EXODUS COMMUNICATNS INC	BUY	100	\$108.38	\$10,837.50
1/14/2000	INTERNET CAP GROUP INC	SELL	(100)	\$155.06	(\$15,506.20)
1/21/2000	BROADCOM CORP	BUY	100	\$310.13	\$31,012.50
1/21/2000	CMGI INC	SELL	(100)	\$118.81	(\$11,881.20)
1/21/2000	NETWORK APPLIANCE INC	BUY	200	\$101.50	\$20,300.00
1/21/2000	NOVELLUS SYS INC	BUY	100	\$50.94	\$5,093.70
1/21/2000	QUALCOMM INC	SELL	(300)	\$139.81	(\$41,943.60)
1/26/2000	EXODUS COMMUNICATNS INC	BUY	200	\$128.38	\$25,675.00
1/26/2000	JDS UNIPHASE CORP	BUY	100	\$234.06	\$23,406.20
1/27/2000	JDS UNIPHASE CORP	BUY	50	\$218.00	\$10,900.00
1/27/2000	NOVELLUS SYS INC	BUY	200	\$53.00	\$10,600.00
1/28/2000	BROADCOM CORP	SELL	(200)	\$282.63	(\$56,525.00)
1/28/2000	CMGI INC	SELL	(100)	\$108.56	(\$10,856.20)
2/4/2000	NETWORK APPLIANCE INC	BUY	200	\$105.00	\$21,000.00
2/4/2000	PMC SIERRA INC	BUY	100	\$194.13	\$19,412.50
2/4/2000	PMC SIERRA INC	BUY	100	\$190.50	\$19,050.00
2/9/2000	BROADCOM CORP	BUY	100	\$316.00	\$31,600.00
2/9/2000	PMC SIERRA INC	BUY	100	\$237.50	\$23,750.00
2/9/2000	QUALCOMM INC	SELL	(200)	\$137.56	(\$27,512.40)
2/11/2000	BROADCOM CORP	BUY	100	\$339.50	\$33,950.00
2/11/2000	NOVELLUS SYS INC	SELL	(300)	\$52.31	(\$15,693.60)
2/11/2000	QUALCOMM INC	SELL	(100)	\$138.63	(\$13,862.50)
2/14/2000	EXODUS COMMUNICATNS INC	SELL	(100)	\$125.69	(\$12,568.70)
2/14/2000	JDS UNIPHASE CORP	SELL	(100)	\$209.06	(\$20,906.20)
2/14/2000	PMC SIERRA INC	BUY	200	\$286.38	\$57,275.00
2/15/2000	EXODUS COMMUNICATNS INC	SELL	(100)	\$121.00	(\$12,100.00)
2/15/2000	JDS UNIPHASE CORP	SELL	(100)	\$205.81	(\$20,581.20)
2/15/2000	VERITAS SOFTWARE	BUY	100	\$173.31	\$17,331.20
2/15/2000	VERISIGN INC	BUY	100	\$212.19	\$21,218.70

EXHIBIT E - TRADES IN TA'S AND LA'S ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

Date	Security Name	Buy/Sell	Quantity	Price	Market Value
2/16/2000	EXODUS COMMUNICATNS INC	SELL	(100)	\$116.38	(\$11,637.50)
2/16/2000	JDS UNIPHASE CORP	SELL	(50)	\$203.25	(\$10,162.50)
2/16/2000	NETWORK APPLIANCE INC	BUY	200	\$149.81	\$29,962.40
2/16/2000	PMC SIERRA INC	SELL	(200)	\$270.50	(\$54,100.00)
2/17/2000	PMC SIERRA INC	SELL	(300)	\$127.50	(\$38,250.00)
2/17/2000	PMC SIERRA INC	SELL	(300)	\$128.19	(\$38,456.10)
2/22/2000	PMC SIERRA INC	BUY	300	\$167.19	\$50,156.10
2/24/2000	NETWORK APPLIANCE INC	SELL	(400)	\$169.88	(\$67,950.00)
2/24/2000	VERISIGN INC	BUY	300	\$231.00	\$69,300.00
2/25/2000	PMC SIERRA INC	BUY	200	\$160.00	\$32,000.00
2/29/2000	BROADCOM CORP	SELL	(200)	\$187.88	(\$37,575.00)
2/29/2000	HUMAN GENOME SCIENCES INC	BUY	100	\$203.38	\$20,337.50
2/29/2000	JDS UNIPHASE CORP	BUY	200	\$252.88	\$50,575.00
2/29/2000	NETWORK APPLIANCE INC	BUY	100	\$184.00	\$18,400.00
2/29/2000	VERITAS SOFTWARE	BUY	200	\$189.94	\$37,987.40
3/8/2000	BROADCOM CORP	BUY	100	\$218.75	\$21,875.00
3/8/2000	INFOSPACE.COM	BUY	100	\$269.88	\$26,987.50
3/10/2000	VERISIGN INC	SELL	(200)	\$205.00	(\$41,000.00)
3/13/2000	HUMAN GENOME SCIENCES INC	SELL	(100)	\$174.88	(\$17,487.50)
3/13/2000	VERISIGN INC	SELL	(200)	\$187.13	(\$37,425.00)
3/14/2000	I2 TECHNOLOGIES INC	BUY	100	\$195.00	\$19,500.00
3/14/2000	NETWORK APPLIANCE INC	BUY	100	\$219.00	\$21,900.00
3/15/2000	BROADCOM CORP	BUY	100	\$248.25	\$24,825.00
3/15/2000	JDS UNIPHASE CORP	SELL	(100)	\$268.56	(\$26,856.20)
3/15/2000	NETWORK APPLIANCE INC	BUY	100	\$241.19	\$24,118.70
3/15/2000	PMC SIERRA INC	BUY	100	\$253.38	\$25,337.50
3/17/2000	INFOSPACE.COM	SELL	(50)	\$221.75	(\$11,087.50)
3/17/2000	I2 TECHNOLOGIES INC	SELL	(100)	\$151.25	(\$15,125.00)
3/20/2000	INFOSPACE.COM	SELL	(50)	\$189.25	(\$9,462.50)
3/20/2000	JDS UNIPHASE CORP	SELL	(200)	\$117.00	(\$23,400.00)
3/20/2000	NETWORK APPLIANCE INC	SELL	(300)	\$171.69	(\$51,506.10)
3/20/2000	PMC SIERRA INC	SELL	(300)	\$193.19	(\$57,956.10)
3/20/2000	VERITAS SOFTWARE	SELL	(250)	\$138.69	(\$34,671.75)
3/21/2000	BROADCOM CORP	BUY	100	\$196.63	\$19,662.50
3/21/2000	BROADCOM CORP	BUY	100	\$205.31	\$20,531.20
3/21/2000	NETWORK APPLIANCE INC	BUY	100	\$182.87	\$18,287.00

EXHIBIT E - TRADES IN TAS AND LA'S ACCOUNT
JANUARY 1999 THROUGH MARCH 2000

#	Date	Security Name	Buy/Sell	Quantity	Price	Market Value
	3/21/2000	PMC SIERRA INC	BUY	100	\$201.56	\$20,156.20
	3/21/2000	PMC SIERRA INC	BUY	100	\$190.69	\$19,068.70
	3/21/2000	VERITAS SOFTWARE	BUY	100	\$142.31	\$14,231.20
	3/22/2000	NETWORK APPLIANCE INC	BUY	200	\$193.63	\$38,725.00
	3/22/2000	PMC SIERRA INC	BUY	100	\$209.69	\$20,968.70
	3/22/2000	SDL INC	BUY	200	\$207.50	\$41,500.00
	3/23/2000	SDL INC	BUY	200	\$218.00	\$43,600.00