

NASD OFFICE OF HEARING OFFICERS

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

DEAN BAKER
(CRD No. 4493790)

Respondent.

Disciplinary Proceeding
No. E072004052202

Hearing Officer -

COMPLAINT

Upon information and belief, Complainant alleges as follows:

RESPONDENT

1. Respondent Dean Baker ("Baker") was first registered as a general securities representative in February 2002, while associated with former member L.H. Ross & Company, Inc. ("LH Ross"). Baker was employed by LH Ross until August 2004. He was subsequently employed by two other member firms in non-registered capacities and was last employed by a member in August 2005. Baker is not currently employed by a NASD member, however he remains subject to the jurisdiction of the NASD pursuant to Article V, Section 4 of the NASD Bylaws.
2. Baker engaged in a pattern of cold-calling LH Ross customers in an attempt to induce them to open accounts and to purchase shares of Bidville.com, Inc.
3. Baker executed numerous unauthorized trades in multiple customer accounts. Specifically, he entered a total of at least 93 unauthorized trades in four customer accounts during a period from on or about December 16, 2003 through on or about April 4, 2004 while employed by LH Ross.

4. In order to induce customers to make purchases that were authorized, Baker made baseless price predictions, used time sensitivity when recommending stocks and failed to disclose material facts such as risks and conflicts of interest associated with stock purchases.

**FACTS COMMON TO FIRST, SECOND, THIRD AND FOURTH
CAUSES OF COMPLAINT**

5. In 2003 LH Ross acted as the primary selling agent for a private placement of shares of Royal Palm Capital Group, Inc. LH Ross Holding Company (LHRH) owned 20% of Royal Palm and Frank Michelin owned 100% of LH Ross. As part of its 20% ownership in Royal Palm, LHRH was to receive 10% of all stock issued publicly by Royal Palm.
6. In or about September 2003, Royal Palm purchased American Recreational Enterprises, Inc. ("AREP"). At or about the same time, Royal Palm purchased No Bidding, Inc., which owned and managed the online auction Bidville.com, Inc.
7. On or about December 11, 2003, AREP announced that it was entering into a reverse merger with No Bidding, Inc. and that Bidville would be the surviving publicly traded entity. On or about January 7, 2004, the stock symbol was changed from AREP to BVLE.
8. During the relevant time period, Peter Kirschner was the President of Royal Palm and Bidville's investor relations contact. On November 13, 2003, Kirschner requested that LH Ross file a Form U4 on his behalf.
9. BVLE's December 11, 2003 Form 8K listed Royal Palm as a major shareholder of the issued and outstanding BVLE stock. Specifically, Royal Palm was issued 15,300,000

shares of BVLE. Accordingly, pursuant to the terms of Royal Palm's ownership, LHRH was ultimately to receive approximately 1.5 million shares of BVLE.

10. According to the delinquent Form 10QSB filed with the Securities and Exchange Commission on February 25, 2003, for the quarter ended on February 28, 2001, BVLE had no assets, no revenue and an accumulated debt of \$492,167. Its auditors noted that there was a substantial concern that the company could not continue as a going concern.
11. According to the Form 10QSB filed with the Securities and Exchange Commission on May 22, 2003, for the quarter ended on February 28, 2003, BVLE had only \$45,350 cash in the bank, \$2,700 in liabilities and no revenues. Its auditors noted that there was a substantial concern that the company could not continue as a going concern.
12. According to the Form 10QSB filed with the Securities and Exchange Commission on July 15, 2003, for the quarter ended on May 31, 2003, BVLE had only \$39,673 cash in the bank, \$2,900 in expenses and no revenues. Its auditors noted that there was a substantial concern that the company could not continue as a going concern.
13. According to the Form 10QSB filed with the Securities and Exchange Commission on October 15, 2003, for the quarter ended on August 31, 2003, BVLE had only \$29,370 cash in the bank, \$13,205 in expenses and no revenues. Its auditors noted that there was a substantial concern that the company could not continue as a going concern.
14. BVLE did not another financial statement until on or about April 2004.

FIRST CAUSE OF COMPLAINT

**Misrepresentations and Omissions of Material Facts
Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder,
And NASD Conduct Rules 2120 and 2110
Customer SH**

15. Complainant realleges and incorporates paragraphs 1 through 14 above.

16. In or about May 2003, customer SH received a cold call from Baker encouraging him to open an LH Ross account. SH agreed to open an account.
17. In December 2003, Baker recommended that SH purchase shares of BVLE. Baker told SH that BVLE would rise to \$7.00 per share by the end of the week and to \$12.00 per share by the end of the month. Baker encouraged SH to buy BVLE by claiming that there were only a small number of shares left so SH needed to act "now."
18. Based on Baker's recommendation, SH purchased 375 shares of BVLE on December 5, 2003 at \$4.28 per share for a total of approximately \$1,170.45.
19. Between December 5, 2003 and mid January 2004, Baker called SH several times to request that SH purchase more shares of BVLE. On or about January 20, 2004, Baker again contacted SH and recommended that SH purchase more shares of BVLE. During each of the phone calls, Baker reiterated his prior representations about BVLE.
20. Based on Baker's continued recommendation of BVLE, SH purchased 625 more shares of BVLE on January 20, 2004 at \$6.20 per share for a total of approximately \$3,955.45.
21. In connection with the purchase recommendations, Baker did not advise SH of any risks associated with BVLE, did not disclose anything about BVLE's financial condition and did not tell SH that BVLE's auditor expressed substantial doubt about BVLE's ability to continue as a going financial concern.
22. Baker did not advise SH that Peter Kirshner, President of Royal Palm Capital Group, was seeking employment with LH Ross. Baker did not advise SH that LH Ross owned a minority stake in Royal Palm Capital Group, or that as part of that minority stake, LH Ross was due to receive 1.5 million shares of BVLE.

SECOND CAUSE OF COMPLAINT
Misrepresentations and Omissions of Material Facts
Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder,
And NASD Conduct Rules 2120 and 2110
Customer JS

23. Complainant realleges and incorporates paragraphs 1 through 14 above.
24. In or about October and November 2003, customer JS received a cold-call and several follow up calls from Baker, who encouraged JS to open an LH Ross account.
25. On or about December 2, 2003, Baker again called JS and recommended that JS open an LH Ross account with a purchase of shares of BVLE. During the phone call, Baker told JS that BVLE would reach \$10.00 per share and guaranteed Schultz that he would make "at least five times" his money. Baker advised JS to "get in now" because BVLE was going to "take off." Baker told JS that Royal Palm was taking BVLE public and that Royal Palm would help BVLE make a run-up in price.
26. Based on Baker's recommendation, JS purchased 1000 shares of BVLE on December 2, 2003 at \$1.10 per share for a total of approximately \$1,180.45.
27. On or about December 8, 2003, Baker called JS again to recommend that JS purchase more shares of BVLE. Baker reiterated his prior representations about BVLE.
28. Based on Baker's recommendation, JS purchased 1000 more shares of BVLE on or about December 8, 2003 at \$3.16 per share for a total of approximately \$3,340.45.
29. On or about January 14, 2004, Baker called JS again to recommend that JS purchase more shares of BVLE. Baker reiterated his prior representations about BVLE.
30. Based on Baker's recommendation, JS purchased 500 more shares of BVLE on January 14, 2004 at \$4.18 per share for a total of approximately \$2,170.45.

31. When the price of BVLE reached \$6.50 approximately a week later, JS called Baker and instructed Baker to sell all of his BVLE shares. Baker asked JS to "hang on" until the price reached \$10.00 per share. Based on Baker's recommendation, JS agreed.
32. In or about late January 2004, the price of BVLE began to drop. JS called Baker again and asked Baker to sell his shares of BVLE. Baker told JS that BVLE would increase again to \$12.00 or \$15.00. Based on Baker's recommendation to hold BVLE, JS agreed.
33. In connection with the purchase recommendations, Baker did not advise JS of any risks associated with BVLE, did not disclose anything about BVLE's financial condition and did not tell JS that BVLE's auditor expressed substantial doubt about BVLE's ability to continue as a going financial concern.
34. Baker did not advise SH that Peter Kirshner, President of Royal Palm Capital Group, was seeking employment with LH Ross. Baker did not advise SH that LH Ross owned a minority stake in Royal Palm Capital Group, or that as part of that minority stake, LH Ross was due to receive 1.5 million shares of BVLE.

THIRD CAUSE OF COMPLAINT

**Misrepresentations and Omissions of Material Facts
Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder,
And NASD Conduct Rules 2120 and 2110;
Unauthorized Trades
NASD Conduct Rule 2110 and IM 2310-2
Customer JB**

35. Complainant realleges and incorporates paragraphs 1 through 14 above.
36. In or about January 2004, customer JB received a cold-call and follow-up calls from Baker who tried to get JB to open an LH Ross account. Baker told JB that LH Ross had a "crack team" that performed research on stocks and that LH Ross got "high returns for all

their customers.” Baker also promised JB that Baker would place a 10% stop-loss order on all trades in JB’s account.

37. During the phone calls, Baker recommended that JB open his LH Ross account by purchasing BVLE and told JB that the price of BVLE would increase “ten-fold” in approximately three months. Baker also told JB that he would become a millionaire, that the price of BVLE would “skyrocket”, and that unless JB bought “now”, he would lose his opportunity.
38. Based on Baker’s recommendation, JB purchased 10,000 shares of BVLE on February 10, 2004 at \$3.50 per share for a total of approximately \$36,030.45.
39. In or about late February 2004 or early March 2004, JB received a trade confirmation indicating that 3,250 additional shares of BVLE were purchased in his LH Ross account at \$3.20 per share for a total of approximately \$10,480.
40. In or about late March 2004, JB received a trade confirmation indicating that 6,600 shares of Synergx Systems Inc. (SYNX) were purchased in his LH Ross account at \$3.45 per share for a total of approximately \$22,800.45.
41. The foregoing purchases of BVLE and SYNX were effected without JB’s prior authorization, knowledge or consent, as set forth on Schedule 1 attached hereto.
42. In connection with the purchase recommendations of BVLE, Baker did not advise JB of any risks associated with BVLE, did not disclose anything about BVLE’s financial condition and did not tell JB that BVLE’s auditor expressed substantial doubt about BVLE’s ability to continue as a going financial concern.
43. Baker did not advise JB that Peter Kirshner, President of Royal Palm Capital Group, was seeking employment with LH Ross. Baker did not advise JB that LH Ross owned a

minority stake in Royal Palm Capital Group, or that as part of that minority stake, LH Ross was due to receive 1.5 million shares of BVLE.

FOURTH CAUSE OF COMPLAINT
Misrepresentations and Omissions of Material Facts
Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder,
And NASD Conduct Rules 2120 and 2110
Customer BH

44. Complainant realleges and incorporates paragraphs 1 through 14 above.
45. In or about early February 2004, customer BH's LH Ross account was transferred from another broker to Baker.
46. On or about February 9, 2004, Baker contacted BH and recommended that BH purchase shares of BVLE. Baker told BH that BVLE was at the lowest price it would be (\$3.63 per share) and that BVLE's stock price would go up to \$7.00 or \$7.50 per share. Baker told BH that because LH Ross was buying BVLE in bulk, BH could purchase BVLE for a cheaper price than the quoted amount. Baker also told BH that if BH did not purchase BVLE shares immediately, he would not be able to participate in BVLE's price rise.
47. Based on Baker's recommendation, BH agreed to purchase 1,040 shares of BVLE at a price below \$3.63 per share.
48. Shortly after February 9, 2004, BH received a trade confirmation indicating that 1,040 shares of BVLE were purchased in his LH Ross account at \$4.10 per share for a total of approximately \$4,344.45.
49. In connection with the purchase recommendation of BVLE, Baker did not advise BH of any risks associated with BVLE, did not disclose anything about BVLE's financial condition and did not tell BH that BVLE's auditor expressed substantial doubt about BVLE's ability to continue as a going financial concern.

50. Baker did not advise BH that Peter Kirshner, President of Royal Palm Capital Group, was seeking employment with LH Ross. Baker did not advise BH that LH Ross owned a minority stake in Royal Palm Capital Group, or that as part of that minority stake, LH Ross was due to receive 1.5 million shares of BVLE.

BAKER MADE MATERIAL MISREPRESENTATIONS AND OMISSIONS

51. Baker had no reasonable basis to tell customers that the price of BVLE would rise to \$7.00, \$7.50, \$10.00, \$12.00 or \$15.00 per share.
52. Baker had no reasonable basis to tell customers that they would make "at least five times" their money and to "get in now" because BVLE was going to "take off."
53. Baker had no reasonable basis to tell customers that the price of BVLE would increase "ten-fold" in approximately three months, that they would become millionaires from buying BVLE, or that the price of BVLE would "skyrocket."
54. Peter Kirshner's seeking employment with LH Ross, LH Ross's minority stake in Royal Palm Capital Group and LH Ross's expected receipt of 1.5 million shares of BVLE were material facts that required disclosure to customers.
55. BVLE's financial condition, namely that it was unprofitable and had minimal assets, was a material fact that required disclosure to customers.
56. Baker acted either knowingly or recklessly in making baseless price predictions and omissions of material fact to customers.
57. Baker's baseless price predictions and improper use of time sensitivity to customers SH, JS, JB and BH violate Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder, and NASD Conduct Rules 2120 and 2110.

58. Baker's omission of material facts concerning BVLE's financial condition to customers SH, JS, JB and BH violate Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder, and NASD Conduct Rules 2120 and 2110.
59. Baker's omission of material facts concerning Peter Kirshner's seeking employment with LH Ross, LH Ross's minority stake in Royal Palm Capital Group and LH Ross's expected receipt of 1.5 million shares of BVLE to customers SH, JS, JB and BH violate Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder, and NASD Conduct Rules 2120 and 2110.

FIFTH CAUSE OF COMPLAINT
Unauthorized Trades
NASD Conduct Rule 2110 and IM 2310-2
Customer RW

60. Complainant realleges and incorporates paragraphs 1 through 4 above.
61. Customer RW opened a securities account with LH Ross after receiving a "cold call" and several follow-up calls from Baker in or about March 2003.
62. Prior to opening his account with Baker, Baker assured RW that he would place a 10% stop loss on all purchases in RW's account, that he would treat RW honestly and fairly and confer with RW prior to all transactions.
63. From on or about March 19, 2003 until on or about February 4, 2004, Baker effected or caused to be effected 78 transactions in RW's account without RW's prior authorization, knowledge or consent, as set forth on Schedule 1 attached hereto.
64. Each such unauthorized transaction constitutes separate and distinct violations of NASD Conduct Rules 2110 and IM-2310-2 by Respondent Baker.

SIXTH CAUSE OF COMPLAINT
Unauthorized Trades
NASD Conduct Rule 2110 and IM 2310-2
Customer KB

- 65. Complainant realleges and incorporates paragraphs 1 through 4 above.
- 66. From on or about April 4, 2003 until on or about March 16, 2004, Baker effected or caused to be effected 10 transactions in KB's account without KB's prior authorization, knowledge or consent, as set forth on Schedule 1 attached hereto.
- 67. Each such unauthorized transaction constitutes separate and distinct violations of NASD Conduct Rules 2110 and IM-2310-2 by Respondent Baker.

SEVENTH CAUSE OF COMPLAINT
Unauthorized Trades
NASD Conduct Rule 2110 and IM 2310-2
Customer SE

- 68. Complainant realleges and incorporates paragraphs 1 through 4 above.
- 69. In or about late 2002, customer SE's LH Ross account was transferred from another broker to Baker.
- 70. On or about December 18, 2003, SE received a trade confirmation indicating that 145 shares of American Recreational Enterprises, Inc. ("AREP"¹) were purchased in his LH Ross account at \$4.27 per share for a total of approximately \$674.
- 71. Before SE had a chance to research the unauthorized purchase of AREP, the same 645 shares of BVLE were sold on January 22, 2004.
- 72. The foregoing purchase of AREP and sale of BVLE were effected without SE's prior authorization, knowledge or consent. Baker funded the unauthorized purchase of AREP by selling 200 shares of SYNX in SE's account without SE's prior authorization,

knowledge or consent. The unauthorized trades are set forth on Schedule 1 attached hereto.

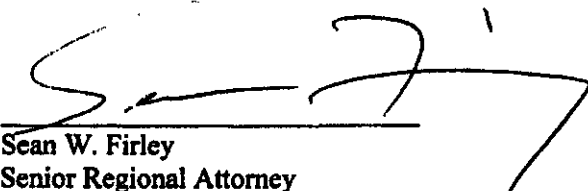
PRAYER FOR RELIEF

WHEREFORE, Complainant respectfully requests:

- A. Findings of fact and conclusions of law that Respondent Baker committed the violations alleged within this Complaint;
- B. An order imposing sanctions upon Respondent Baker in accordance with NASD Rule 8310;
- C. An order requiring Respondent Baker to disgorge any ill-gotten gains and/or make full and complete restitution, together with interest;
- D. An order imposing such costs of any proceeding as are deemed fair and appropriate under the circumstances in accordance with NASD Rule 8330; and
- E. An order imposing any other fitting sanction.

Dated: May 16, 2006

Respectfully submitted,



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¹ AREP was renamed BVLE on January 8, 2004.

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SCHEDULE 1 – UNAUTHORIZED TRADES

Customer JB

Date	Transaction	Security	Quantity	Price	Total Cost
2/26/04	BOT	BVLE	3,250	\$3.20	\$10,480
3/23/04	BOT	SYNX	6,600	\$3.45	\$22,800.45

Customer RW

Date	Transaction	Security	Quantity	Price	Total Cost
3/19/2003	SLD	DGAGX	616.975	\$30.70	\$18,941.13
3/19/2003	SLD	LEIFX	5,395.294	\$3.26	\$17,588.66
3/19/2003	SLD	FMAAX	938.69	\$11.04	\$10,363.14
3/19/2003	SLD	FCMIX	1,922.016	\$18.99	\$36,499.08
3/19/2003	SLD	ICHCX	2,133.61	\$10.13	\$21,613.47
3/19/2003	SLD	ACRNX	2,153.256	\$15.14	\$32,600.30
3/19/2003	SLD	RISIX	752.084	\$7.76	\$5,836.17
3/19/2003	BOT	AAII	4,500	\$11.10	\$49,980.45
3/19/2003	BOT	NCN	2,800	\$7.48	\$20,944.00
3/19/2003	BOT	NCN	2,700	\$7.46	\$20,142.00
3/19/2003	BOT	NCN	2,000	\$7.45	\$14,930.45
4/17/2003	SLD	AAII	4,500	\$11.11	\$49,962.21
4/17/2003	BOT	MSFT	1,500	\$25.40	\$38,230.45
4/17/2003	SLD	NCN	5,500	\$7.64	\$41,254.25
4/17/2003	SLD	NCN	2,000	\$7.55	\$14,832.62
4/23/2003	BOT	IVX	3,400	\$14.20	\$48,348.00
4/23/2003	BOT	IVX	1,600	\$14.90	\$22,750.45
4/24/2003	SLD	IVX	5,000	\$14.90	\$73,966.06
4/25/2003	SLD	MSFT	1,500	\$25.45	\$38,173.21
4/30/2003	BOT	IVX	4,000	\$16.20	\$64,930.45
5/2/2003	SLD	IVX	3,300	\$16.30	\$53,787.48
5/2/2003	SLD	IVX	500	\$16.30	\$8,154.61
5/2/2003	SLD	IVX	200	\$16.32	\$3,233.39
5/2/2003	BOT	DAL	5,000	\$14.90	\$75,030.45
5/5/2003	BOT	CIEN	11,500	\$5.75	\$66,891.69
5/5/2003	BOT	CIEN	500	\$5.745	\$2,036.28
5/6/2003	SLD	DAL	5,000	\$15.40	\$76,465.94
5/9/2003	BOT	NTAP	4,500	\$15.84	\$71,410.45
5/12/2003	SLD	NTAP	4,500	\$16.31	\$73,161.11
5/14/2003	BOT	YHOO	3,000	\$27.20	\$81,730.45
5/15/2003	SLD	YHOO	3,000	\$27.38	\$82,105.70

5/19/2003	BOT	HD	2,750	\$28.04	\$77,240.45
5/20/2003	SLD	CIEN	12,000	\$5.05	\$60,566.71
5/20/2003	SLD	HD	2,750	\$30.79	\$82,788.08
5/20/2003	BOT	MCD	8,000	\$18.50	\$148,030.45
5/30/2003	SLD	MCD	8,000	\$18.71	\$149,602.54
6/2/2003	BOT	OVTI	3,775	\$36.85	\$139,139.20
7/8/2003	SLD	OVTI	3,775	\$38.62	\$145,753.22
7/9/2003	BOT	IJI	5,200	\$14.00	\$72,830.45
7/15/2003	BOT	CHTR	800	\$4.99	\$4,022.45
7/15/2003	BOT	CHINA	4,300	\$14.60	\$62,810.45
7/24/2003	BOT	NXTL	800	\$19.40	\$15,550.45
8/12/2003	SLD	IJI	5,200	\$5.65	\$29,348.17
8/21/2003	BOT	ICOS	800	\$38.30	\$30,670.45
9/16/2003	SLD	ICOS	800	\$42.00	\$33,567.97
9/19/2003	BOT	EBAY	575	\$55.58	\$32,088.95
9/22/2003	SLD	CHINA	4,300	\$9.25	\$39,742.68
9/23/2003	BOT	LYNX	2,500	\$7.90	\$19,880.45
9/24/2003	BOT	ICOS	500	\$39.75	\$20,005.45
10/6/2003	SLD	EBAY	575	\$57.77	\$33,185.74
10/6/2003	SLD	ICOS	500	\$40.72	\$20,328.51
10/6/2003	SLD	NXTL	800	\$21.44	\$17,120.74
10/7/2003	BOT	YHOO	1,800	\$39.74	\$71,562.45
10/9/2003	SLD	YHOO	1,800	\$41.75	\$75,116.03
10/13/2003	BOT	EMC	1,000	\$14.55	\$14,580.45
10/13/2003	BOT	IBM	500	\$93.31	\$46,685.45
10/21/2003	BOT	JBLU	200	\$68.84	\$13,758.45
12/9/2003	BOT	BVLE	3,300	\$3.75	\$12,405.45
12/10/2003	SLD	LYNX	2,500	\$5.00	\$12,468.96
12/19/2003	SLD	EMC	500	\$12.77	\$6,354.25
12/23/2003	BOT	BVLE	1,200	\$5.00	\$6,030.45
12/31/2003	BOT	BVLE	4,200	\$5.00	\$21,110.09
12/31/2003	SLD	IBM	500	\$92.38	\$46,157.38
1/7/2004	BOT	BVLE	2,000	\$5.00	\$10,030.45
1/9/2004	SLD	CHTR	800	\$5.02	\$3,985.36
1/9/2004	SLD	EMC	500	\$14.20	\$7,069.21
1/9/2004	SLD	JBLU	300	\$25.09	\$7,496.19
1/9/2004	BOT	IVII	1,500	\$12.35	\$18,555.45
1/14/2004	BOT	ABLE	300	\$15.93	\$4,834.45
1/14/2004	BOT	CTHR	1,000	\$4.05	\$4,105.45
1/14/2004	BOT	BVLE	1,700	\$4.18	\$7,161.45
1/23/2004	SLD	IVII	1,500	\$13.90	\$20,818.57
1/26/2004	SLD	ABLE	300	\$17.76	\$5,247.60
1/26/2004	SLD	CTHR	1,000	\$4.29	\$4,209.34
1/26/2004	BOT	PLNR	1,700	\$5.51	\$28,794.45
1/28/2004	BOT	BVLE	320	\$5.51	\$1,793.65
2/3/2004	SLD	PLNR	1,700	\$16.68	\$28,324.22
2/4/2004	BOT	BVLE	6,630	\$4.27	\$28,340.55

Customer KB

Date	Transaction	Security	Quantity	Price	Total Cost
4/4/2003	SLD	NXTL	250	\$12.18	\$2,194.40
4/23/2003	BOT	IVX	140	\$14.26	\$2,126.85
5/7/2003	SLD	IVX	140	\$15.90	\$2,145.44
5/8/2003	BOT	NTAP	100	\$15.30	\$1,610.45
5/28/2003	SLD	NTAP	100	\$16.10	\$1,579.47
6/4/2003	BOT	SIRI	900	\$2.22	\$2,128.45
12/18/2003	SLD	EMC	90	\$12.96	\$1,110.89
12/19/2003	BOT	BVLE	230	\$4.50	\$1,165.45
3/12/2004	SLD	BVLE	230	\$2.40	\$401.52
3/16/2004	SLD	CSLMF	469	\$4.91	\$2,272.25

Customer SE

Date	Transaction	Security	Quantity	Price	Total Cost
12/16/2003	SLD	SYNX	200	\$3.55	\$679.51
12/18/2003	BOT	BVLE	145	\$4.27	\$674.60
1/22/2004	SLD	BVLE	145	\$5.00	\$669.51